

**The District Department of Transportation**  
**Oversight Performance Questions**  
**Fiscal Year 2014**



**Government of the District of Columbia**  
**Department of Transportation**



**Performance Oversight Questions**  
**Part 1**

**A. ORGANIZATION AND OPERATIONS**

1. Please provide a complete, up-to-date **organizational chart** for the agency and each division within the agency. Please include an explanation of the roles and responsibilities for each division and subdivision within the agency.

**Response:** See Appendixes 1 – 6.

- Please include a list of the employees (name and title) for each subdivision and the number of vacant positions.

**Response:** See Appendix 7.

- Has the agency made any organizational changes in the last year? If so, please explain.

**Response:** (1) There was an addition of Deputy Director in the Office of the Director; (2) in Transportation Operations Administration (TOA), the ITS Maintenance Division moved under the Traffic Engineering and Inspection Division; and (3) in the Infrastructure Project Management Administration (IPMA), there are new program managers for the Capitol Crossing and DC PLUG projects.

2. Please list each **new program** implemented by the agency during FY14. For each initiative please provide:

- A description of the initiative.
- The funding required to implement the initiative.
- Any documented results of the initiative.

**Response:**

**Creation of Parklet program**

A parklet is a small space serving as an extension of the sidewalk to provide amenities and green space for people using the street. In an effort to activate public space for residents, visitors and workers, DDOT has created a Parklet program. Groups will be able to sponsor a Parklet that will be open to the public. DDOT is currently working with BIDS to implement a number of Parklets this fiscal year. There is minimal funding required to implement the initiative. We have \$108K in the budget to cover the applicants' meter fees (Sustainable DC Fund). All applicants will

be responsible for costs associated with the program (insurance, meter fees, PE signatures, etc.).

Creation of the DC Power Line Undergrounding (DC PLUG) Initiative

DC PLUG is a unique regulated private-public partnership between the District of Columbia and Pepco. The goal of the initiative is to underground up to 60% of the most vulnerable primary and lateral mainline feeders in the city to provide resilience and greater reliability to the District's power system. The undergrounding effort will affect Wards 3, 4, 5, 7 & 8. DDOT and Pepco will jointly design and construct the underground infrastructure. The project is estimated to cost \$1 billion. This will be funded through a \$500 million investment from Pepco, \$375 million in District revenue bonds & \$62-\$125 million from DDOT Capital budget sources.

3. Please provide a complete, up-to-date **position listing** for your agency, which includes the following information for each position:

- Title of position.
- Name of employee or statement that the position is vacant, unfunded, or proposed.
- Date employee began in position.
- Salary and fringe benefits, including the specific grade, series, and step of position.
- Job status (continuing/term/temporary/contract).
- Please list this information by program and activity

**Response:** See Appendix 8.

4. Does the agency conduct annual **performance evaluations** of all of its employees? Who conducts such evaluations? What steps are taken to ensure that all agency employees are meeting individual job requirements?

**Response:** Yes, DDOT does complete evaluations of its employees. Annual evaluations are completed by each employee's immediate supervisor with review of such by the next level of managerial supervision. DDOT also completes evaluations on its employees. Annual evaluations are completed by each employee's immediate supervisor with review of such by the next level of managerial supervision.

5. Please list all **employees detailed** to or from your agency, if any. Please provide the reason for the detail, the detailed employee's date of detail, and the detailed employee's projected date of return.

**Response:** DDOT currently has no employees on detail assignments external to the agency. Further, DDOT has no employees external to the agency on detailed assignments within our organization.

6. Please provide the Committee with:

- A list of all employees who receive cellphones, personal digital assistants, or similar communications devices at agency expense.

- All DDOT Electronic Systems –

**Response:** See Appendix 9.

- Open Government Initiative –

**Response:** See Appendix 10.

- Employee cell phone and telecom services –

**Response:** See Appendix 11<sup>1</sup>

- A list of all vehicles owned, leased, or otherwise used by the agency and to whom the vehicle is assigned.

**Response:** See Appendix 12<sup>2</sup>

- A list of employee bonuses or special award pay granted in FY14 and FY15, to date.

**Response:** There were no bonus or special award pay given to staff in FY14 or FY15 to date.

- A list of travel expenses, arranged by employee.

**Response:** See Appendix 13.

- A list of the total overtime and worker's compensation payments paid in FY14 and FY15, to date.

**Response:** Attached (Appendixes 14-16) are reports showing Total Overtime Hours by Employee by Index for FY14 (Appendix 14), FY15 (Appendix 15 (through 1/24/15)) and (Appendix 16 based on overtime costs). See Appendix 17 for the workman's compensation summary totals as of 1/31/15.

7. Please identify all **electronic databases** maintained by your agency, including the following:

- A detailed description of the information tracked within each system.
- The age of the system and any discussion of substantial upgrades that have been made and/or are planning to be made to the system.
- Whether the public can be granted access to all or part of each system.

**Response:** See Appendix 18.

8. What has the agency done in the past year to make the activities of the agency more **transparent** to the public? In addition, please identify ways in which the activities of the agency and information retained by the agency could be made more transparent.

**Response:** In FY14 DDOT continued to provide timely and useful information regarding agency operations to the public using state-of-the-art technology. Specifically the following means were implemented and utilized.

- a. **Performance Plan and Performance Action Report (PAR)**—DDOT's FY14 Performance Plan and Performance Action Report (PAR) are published on the District website (attached as Appendix 19). The FY13 performance plan outlines the various initiatives, workload measures and key performance indicators (KPIs) for the agency; the Performance Accountability Report (PAR) provides a year-end summary on how the

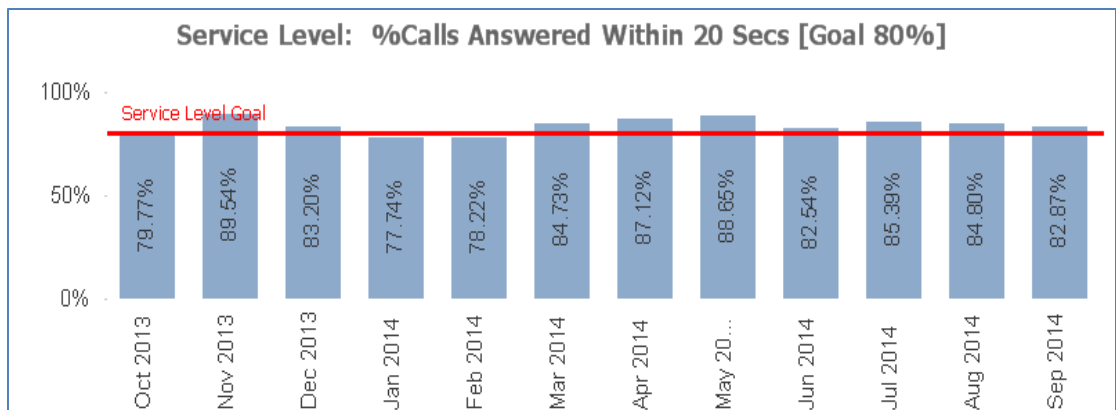
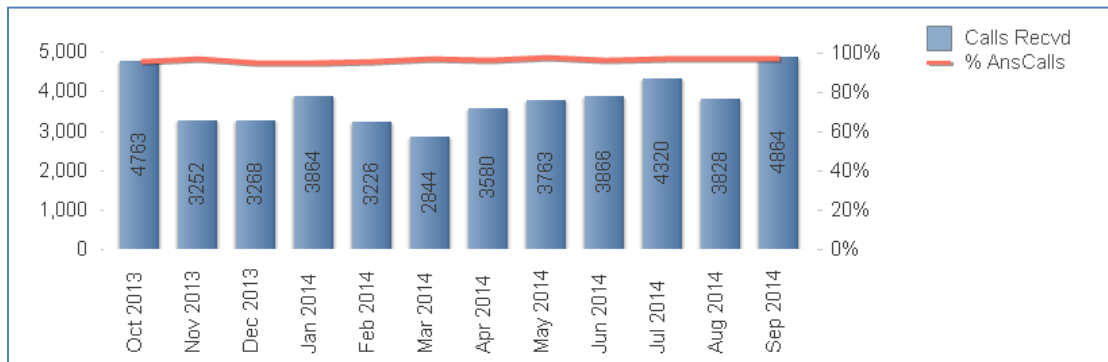
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<sup>1</sup> Some of the persons listed in this list may have multiple electronic devices associated with their names such as Traffic Messaging Boards and other ITS equipment (thus, multiple devices may not be for their individual use, but rather, associated with a project or project lead). DDOT is actively working to address the issue of "multiple devices" associated with one name, but this effort is not yet finished.

<sup>2</sup> DDOT's vehicle list does not include vehicle assignments because vehicles are available for all in each administration assigned.

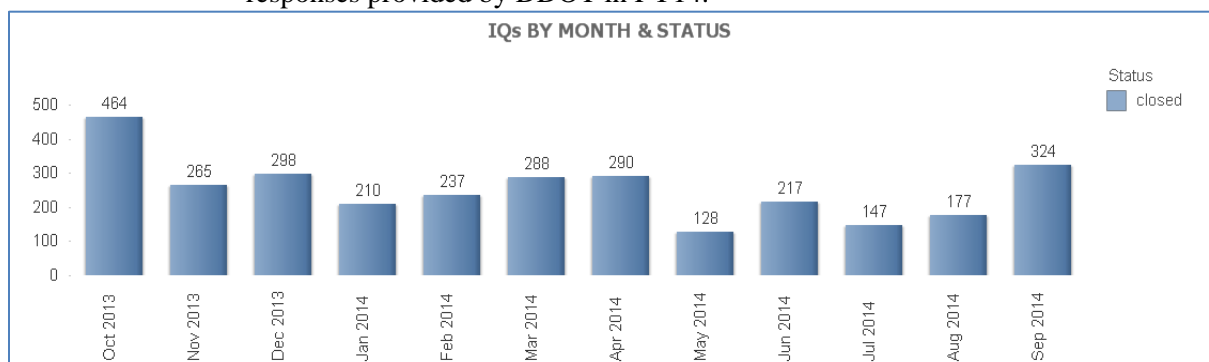
agency performed as compared to the plan submitted at the beginning of the fiscal year (Appendix 20).

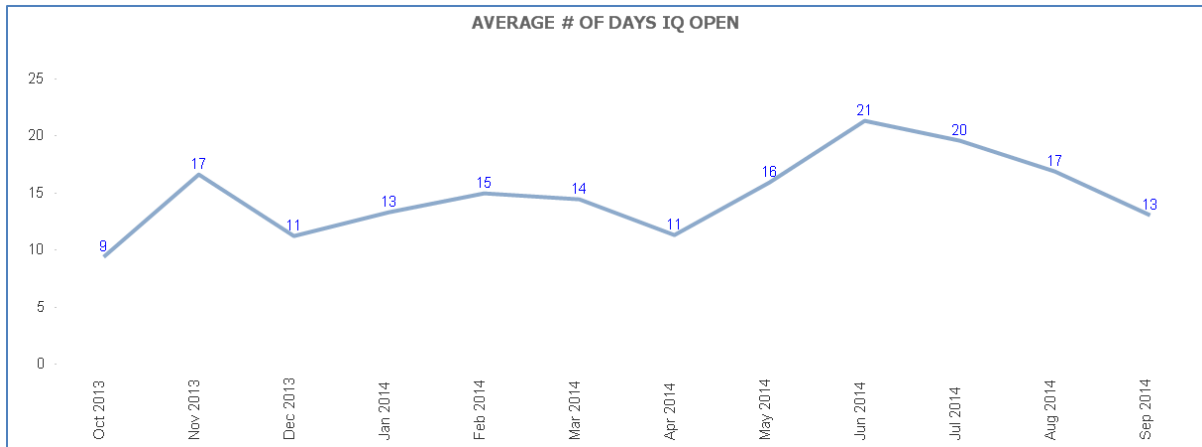
- b. **Notice of Intent (NOI) Website** — FY14 Completed Workplan Summary and FY14 Workplan interactive map showing locations and type of work completed are available at: <http://ddotfiles.com/db/WPFY14Completed/> .
- c. **Infrastructure Repair Workplan**—In FY14, DDOT completed a workplan Summary and FY14 Workplan interactive map showing locations and type of work completed—<http://ddotfiles.com/db/WPFY14Completed/>
- d. **Social Media**—In FY14 DDOT continued to utilize social media to provide timely and relevant information to the public. DDOT’s twitter feed (@DDOTDC) has over 23,000 followers and posts approximately 10 tweets per day. DDOT actively engages the community in twitter conversations, DDOT’s twitter handle has an engagement factor of 57 replies per 100 tweets and an amplification of 192 retweets (RTs) per 100 tweets and continues to be a useful tool to engage the community at large and spread timely and relevant transportation related news.
- e. **DDOT Policy Compendium** - In an effort to provide public access to all DDOT policies, DDOT has posted a searchable public website called the Policy Compendium (<https://comp.ddot.dc.gov/SitePages/Home.aspx>).
- f. **DDOT Call Center and 311**— DDOT manages an internal call center in addition to the Mayor’s Citywide 311 call center to help citizens with detailed queries and questions about DDOT services. In FY14 the call center answered 45,438 calls. A breakdown of the calls answered is presented in the charts and tables below.



Top 10 Call Types	#Calls	% Total Calls
Visitor Parking Permits (VPP)	6580	10%
Employee Lookup	4565	7%
Trees	2604	4%
Public Space Regulations	1950	3%
Permit Processing	1630	2%
General Information	1332	2%
Handicap Parking Applications	1132	2%
Street Lights	924	1%
Signs Investigation	883	1%
Traffic Control / School Crossing Guard	744	1%

- g. **Email (Ask the Director) and Written Communications**— DDOT accepts and responded to 3,045 written communication using the “Ask the Director” link on our webpage and formal letters to the Director and/or the Mayor (Intranet Quorum (IQ) System). The charts and tables below show the breakdown of the responses provided by DDOT in FY14.





- h. **Transportation On-Line Permitting System (TOPS)**— The District’s Real-time Public Space Permitting System, Transportation On-Line Permitting System (TOPS) is an intuitive, online system that enables home owners, tenants, and businesses alike to apply for the specific type of public space occupancy, construction, excavation, annual or rental permit required for use of the public space within the District of Columbia. TOPS may be accessed from home, from conveniently placed kiosks at local Metropolitan Police Department District offices or at DDOT’s Public Space Permit Office at 1100 4th Street in southwest.

DDOT has begun offering applications that allows users to easily access information about public space permits through a web browser or mobile devices. DDOT’s Public Space Permit Viewer application is a geographic information system (GIS) centric application that provides users with an opportunity to a view public space related permits on an interactive map along with corresponding information about the permit such as permit name, duration, expiration date, status, etc. The Viewer application offers information about pending and active public space permits and is searchable by address, area, notable landmark or Ward. DDOT offers a web based solution called Permit Viewer at <http://permitviewer.ddot.dc.gov/permittingtools>.

9. How does the agency solicit **feedback** from customers? Please describe.
- What is the nature of comments received? Please describe.
  - How has the agency changed its practices as a result of such feedback?

**Response:** DDOT uses several means to solicit feedback from our customers including:

- a. **Social Media (Grade DC and Twitter)**—The nature of comments received vary but are typically related to customer service provided by the agency. DDOT has been a leader in the GradeDC effort and has consistently received a grade of A or higher for most of FY14. For DDOT’s twitter feedback, please see response to question 8(d).

Agency	January 2015	December 2014	November 2014	October 2014	September 2014	August 2014	July 2014
DCOA	<b>B+</b> <small>(Based on 69 reviews)</small>	A+	A+	A	A-	A+	A
DCPL	<b>A</b> <small>(Based on 267 reviews)</small>	A+	A	A	A	A	A
DCPS	<b>C+</b> <small>(Based on 821 reviews)</small>	A-	A+	A+	A-	A+	A+
DCRA	<b>A-</b> <small>(Based on 150 reviews)</small>	A	A-	A-	A	A	A
DDOT	<b>A-</b> <small>(Based on 380 reviews)</small>	A	A	A	A	A	A
DMV	<b>A</b>	A-	B+	A	B+	A-	A-

b. **Public Meetings**—DDOT holds public meetings to gather input and feedback and share information with the public regarding projects and services provided by the agency. During FY14, DDOT continued to expand public engagement efforts on specific projects through innovative means intended to expand the breadth of participants in our activities, including holding “pop-up” meetings for the Circulator Transit Development Plan in locations that do and do not currently have Circulator service, and more proactive engagement on other transportation planning activities. DDOT’s Anacostia Waterfront Initiative (AWI) also hosted several public meetings including the following:

- **January 2015** - South Capitol Street Corridor Project SDEIS Public Meeting
- **November 2014** - DDOT Projects Update Meeting for Ward 7
- **November 2014** – Information Booth for Pennsylvania and Potomac Avenues SE Intersection Improvement Project at Potomac Avenue Metro Station
- **October 2014** - DDOT Projects Update Meeting for Ward 8
- **September 2014** – 11th Street Bridge Project’s Community Communications Committee Meeting
- **September 2014** – AWI Information Booth at the National Public Lands Day Event at Kenilworth Aquatic Gardens
- **June 2014** – 11th Street Bridge Project Community Communications Committee Meeting
- **May 2014** – Information Booth and AWI Presentation During DC Public Library’s Annual Community Bike Ride on the Anacostia Riverwalk Trail
- **May 2014** - South Capitol Street Corridor Project Public Meeting
- **March 2014** - Ward 8 Projects Update Meeting
- **March 2014** – 11th Street Bridge Project Community Communications Committee Meeting



- **December 2013** - AWI Master Plan Update Community Meeting

c. **moveDC** - moveDC engaged customers in a variety of ways in FY14. DDOT completed the last of the public workshops and released the draft plan for a public comment period. During the public comment period, DDOT had a survey available for feedback, as well as welcoming open-ended comments. The final moveDC workshops in FY14 engaged hundreds of people through “open house” style meetings in locations across the District as well as Transportation Plan Advisory Committee meetings, which were open to the public.

d. **DC PLUG** - With respect to the DC PLUG project DDOT hosted the following public meeting in FY14

- 3/25/2014 – Contractors and Suppliers Forum I
- 7/22/2014 - Ward 3 Community Meeting
- 7/23/2014 - Ward 4 Community Meeting
- 7/24/2014 - Ward 5 Community Meeting
- 7/28/2014 - Ward 8 Community Meeting
- 7/29/2014 - Ward 7 Community Meeting
- 7/29/2014 - Public Service Commission - Community Meeting
- 9/9/2014 – Public Service Commission - Community Meeting
- 9/18/2014 - Contractors and Suppliers Forum II
- These meetings allowed the public opportunity to learn about the project, ask questions and provide comments via comment forms as well as open forums.

10. How has the agency tried to reduce agency **energy use** in FY14?

**Response:** In FY14, DDOT purchased 13 diesel hybrid electric buses for the DC Circulator fleet. Hybrid electric buses are more fuel efficient than standard diesel buses. DDOT also installed 679 LED Streetlights.

11. Please complete the following chart about the residency of **new hires**:

**Number of Employees Hired in FY14 and FY15, to date**

Position Type	Total Number	Number who are District Residents
Continuing	FY14 - 27; FY15 – 20	FY14 - 9; FY15 – 12
Term	FY14 - 57; FY15 – 0	FY14 - 43; FY15 – 0
Temporary	FY14 - 0; FY15 – 0	
Contract	FY14 - 0; FY15 – 0	

**B. BUDGET AND FINANCE**

12. Please provide a chart showing your agency’s **approved budget and actual spending**, by division, for FY14 and FY15, to date. In addition, please describe any variance between fiscal year appropriations and actual expenditures.

**Response:** See Appendix 21.

13. Please list any **reprogrammings**, in, out, or within, related to FY14 or FY15 funds, to date. For each reprogramming, please list the total amount of the reprogramming, the original purposes for which the funds were dedicated, and the reprogrammed use of funds.

**Response:** See Appendix 22.

14. Please provide a complete accounting for all **intra-District transfers** received by or transferred from the agency during FY14 or FY15, to date.

**Response:** See Appendix 23.

15. Please identify any **special purpose revenue accounts** maintained by, used by, or available for use by your agency during FY14 or FY15, to date. For each account, please list the following:

- The revenue source name and code.
- The source of funding.
- A description of the program that generates the funds.
- The amount of funds generated by each source or program in FY14 and FY15, to date.
- Expenditures of funds, including the purpose of each expenditure, for FY14 and FY15, to date.

**Response:** See Appendix 24.

16. Please provide a list of all projects for which your agency currently has **capital funds** available. Please include the following:

- A description of each project.
- The amount of capital funds available for each project.
- A status report on each project, including a timeframe for completion.
- Planned remaining spending on the project.

**Response:** See Appendix 25.

17. Please provide a complete accounting of all **federal grants** received for FY14 and FY15, to date.

**Response:** See Appendix 26.

18. Please list each contract, procurement, lease, and grant ("**contract**") awarded, entered into, extended and option years exercised, by your agency during FY14 and FY15, to date. For each contract, please provide the following information, where applicable:

- The name of the contracting party.
- The nature of the contract, including the end product or service.
- The dollar amount of the contract, including budgeted amount and actually spent.
- The term of the contract.
- Whether the contract was competitively bid or not.
- The name of the agency's contract monitor and the results of any monitoring activity.
- Funding source.

**Response:** See Appendix 27. At DDOT, it is the responsibility of the Contracting Officer's Technical Representative (COTR) to monitor the contractor's performance to ensure that all of the technical requirements under the contract are met by the delivery date or within the period of performance, and at the price or within the estimated cost stipulated in the contract. While the methodology for monitoring performance may vary by individual and contract, there is some manner of observation completed for every award.

19. Please provide the details of any **surplus** in the agency's budget for FY14, including:

- The total amount of the surplus.
- All projects and/or initiatives that contributed to the surplus.

**Response:** See Appendix 28.

### C. LAWS, AUDITS, AND STUDIES

20. Please identify any **legislative requirements** that the agency lacks sufficient resources to properly implement.

**Response: Visitor Parking Pass Program** - The Visitor Parking Pass program as currently administered costs the District approximately \$750K in staff and hard costs. DDOT had proposed streamlining the management of the program to require residents to register for the passes, which would have reduced staff costs considerably and ensured hard costs were minimized. Continuing blanket mailing of the passes has had an impact on staff resource and budget available to manage parking programs.

21. Please identify any statutory or regulatory **impediments** to your agency's operations.

**Response: Sidewalk cafes** - DDOT currently lacks legislative authority to effectively regulate sidewalk cafés. Without the ability to modify the timeframe for a sidewalk café permit, impose fines on and bill the restaurant or other end user, and adjust fees, DDOT cannot address community concerns. However, DDOT is currently working with the restaurant industry to come up with a fair and sensible approach. And DDOT will be happy to share those results with the Committee.

22. Please list all **regulations** for which the agency is responsible for oversight or implementation. Please list by chapter and subject heading, including the date of the most recent revision.

<b>DCMR Title and Chapter</b>	<b>Description</b>	<b>Most Recent Revision</b>
18-12	Bicycles, Motorized Bicycles, and Miscellaneous Vehicles	November 28, 2014
18-14	Commercial Transportation Safety	November 19, 2010
18-15	DC Circulator	December 10, 2010
18-16	DC Streetcar	November 28, 2014
18-17	Student Transportation	September 20, 2013
18-20	Traffic Regulations: Applicability and Enforcement	March 6, 1998
18-21	Traffic Signs, Signals, Symbols,	May 2, 2008

	and Devices	
18-22	Moving Violations	November 28, 2014
18-23	Pedestrians	August 14, 1987
18-24	Stopping, Standing, Parking, and Other Non-Moving Violations	October 24, 2014
18-25	Size, Weight, Construction, and Loading of Vehicles	November 1, 1991
18-26	Civil Fines for Moving and Non-Moving Infractions	May 30, 2014
18-27	Special Parking Privileges for Persons With Disabilities	August 21, 2009
18-40	Traffic Signs and Restrictions At Specific Locations	February 1, 2013
18-99	Definitions	October 24, 2014
24-1	Occupation and Use of Public Space	January 20, 2012
24-2	Rental of Public Space	February 4, 2011
24-3	Administrative Procedures for Sidewalk Cafes	August 26, 1983
24-5	Vendors and Solicitors (Just Newspaper Stands)	July 30, 1993
24-6	Parking Facilities and Valet Parking	September 4, 2009
24-7	Parades and Public Events	September 24, 2010
24-8	Presidential Inaugurations	May 21, 1982
24-10	Deposits On Public Space	June 30, 1989
24-11	Downtown Streetscape	September 1, 2000
24-12	Sidewalks	December 13, 2013
24-13	Civil Fines Under D.C. Law 6-100	September 3, 2010
24-14	Street and Alley Closing	July 21, 1989
24-16	Valet Parking	September 3, 2010
24-20	Prevention of Hazardous Conditions	January 11, 1991
24-26	Transportation of Ultra-Hazardous Materials	December 9, 2005
24-33	Public Right-of-Way Occupancy Permits	October 10, 2014
24-34	Public Space Management of Excavation Work	September 24, 2010
24-35	Intercity Buses	June 24, 2011
24-37	Special Trees	January 21, 2005

23. Please explain the impact on your agency of any **federal legislation or regulations** adopted during FY14 that significantly affect agency operations.

**Response:** As the MAP-21 reauthorization has not yet been approved, there are no federal legislation or regulations adopted during FY14 that significantly affect DDOT's operations. The Highway and Transportation Funding Act of 2014 (PL 113-159) became law on August 8, 2014 and extended the MAP-21 through May 2015, but did not significantly affect DDOT operations as the agency was already operating under MAP-21 guidelines. USDOT has continued to promulgate regulations implementing Moving Ahead for Progress in the 21st Century (MAP-

21), the federal transportation authorization, but none of the rulemakings significantly affect agency operations or funding.

24. Please provide a list of all **MOUs** in place during FY14.

**Response:** Please reference Appendix 23 for a list of all of the monetary MOUs DDOT has entered into within FY14.

25. Please provide a list of all studies, research papers, and analyses (“**studies**”) the agency requested, prepared, or contracted for during FY14. Please state the status and purpose of each study.

**Response:** See Appendix 29 (IPMA) and table below (PPSA and TOA) for DDOT’s studies, research papers, and analyses.

<b>Study</b>	<b>Purpose</b>	<b>Status</b>
moveDC Long-Range Multimodal Transportation Plan	Long-range transportation plan that will set the blueprint for future investments in DC’s transportation networks. moveDC lays out capital investments, policies and opportunities for education and enforcement. DDOT has also released a two year Action Plan that sets forth 36 action items from moveDC that will be completed within the next two years.	Completed October 2014
parkDC: Chinatown/Penn Quarter	DDOT will use the Chinatown/Penn Quarter Area as a laboratory to test various technologies to make parking easier and reduce congestion. The goal is to make traveling and parking easier by increasing parking availability and providing drivers with tools to find available parking spaces. Based on the lessons learned during the pilot, DDOT will extend these strategies to other parts of the District to better manage parking supply and demand.	Study started September 2014. Will end December 2016
Evaluation of Peak Hour Restrictions on Arterials	Develop a framework for assessing the effectiveness of existing or new rush hour parking restrictions on arterial roadways.	Study started November 2013. Expected to end April 2015.
Transit Signal Priority Micro-simulation	The project will develop a model to assess the impacts of various transit signal priority strategies using DDOT’s traffic signal controller logic, network characteristics and traffic mix.	Study started March 2014. Expected to end September 2015.
Ride Quality Index	This report assessed whether it is possible to predict pavement condition index (PCI) from international roughness index (IRI) in an urban environment. The research concluded that it was not possible to predict PCI from IRI.	Final report prepared September 2014
Evaluation of Mix Designs and Test Procedures for Pervious Concrete	The research project assessed the performance of various pervious concrete mix designs on performance and permeability.	Final report prepared September 2014
Multimodal Trip Generation Rates in	This study established trip generation rates for mixed use developments in Washington, DC. These trip rates were	Final report prepared in

<b>Study</b>	<b>Purpose</b>	<b>Status</b>
Urban Areas	found to be different than “industry standards” and will help DDOT better assess the transportation impacts of new developments.	September 2014
DC Circulator Transit Development Plan	This plan outlines a 10-year vision for management and expansion of the DC Circulator system	Plan finalized in FY15.
DC Circulator Mall Route Implementation Plan	Detailed service-level planning for the implementation of the National Mall Circulator route.	Completed.
Florida Avenue Multimodal Study	Multimodal safety and planning study of Florida Avenue, NE. Focusing on the Florida Avenue corridor from New York Avenue to H Street/Benning Road, the study is evaluating safety, streetscape, and operational enhancements.	Ongoing, completion Spring 2015
M Street SE/SW Special Events Transportation Study	The report assesses the impact of multiple entertainment venues, including the potential addition of a 20,000 seat Soccer Stadium, on the transportation network in the Buzzard Point/Waterfront/Ballpark area.	Completed March 2014
Maryland Avenue SW Transportation Study	Study to analyze the multi-modal impacts of creating a new Maryland Avenue, SW between 7th Street, SW and 12th Street, SW. At present, the Maryland Avenue, SW right of way is occupied by a rail corridor that carries traffic from CSX Transportation, Amtrak and Virginia Railway Express.	Completed April 2014
Mid-City East Livability Study	Study of the “Mid-City East” area, including the Bloomingdale, Eckington, Edgewood, and LeDroit Park neighborhoods to address transportation challenges, enhance community access and circulation (e.g. walking and bicycling) for residents of all ages and abilities, protect local streets as the “home zone” of neighborhoods and communities; and provide opportunities in the public rights of way to celebrate community identity and place. This plan was awarded the Harold Foster Award for Distinction in Community Outreach and Engagement, APA, National Capital Area Chapter, 2014	Completed October 2014
Rhode Island Avenue Streetscape Study	The project area extends from 4 <sup>th</sup> Street, NE to Eastern Avenue, NE. Corridor-wide proposals concentrated on creating a pedestrian friendly environment with amenities such as enhanced paving, site furnishings, lighting improvements, low impact development or ‘green street’ features, medians, street trees and other “green” spaces. Working with the community, two segments of the corridor were identified through a community process for preliminary engineering.	Completed Spring 2014.
Remote Monitoring Systems for Streetlight Operations	The purpose was to gather data on remote monitoring systems.	Completed August 2014.

26. Please list and describe any ongoing **investigations**, audits, or reports on your agency or any employee of your agency, or any investigations, studies, audits, or reports on your

agency or any employee of your agency that were completed during FY14 or FY15, to date.

**Response:** See table below.

**Audits/Investigations/Reports - FY14 & FY15**

Issuing Entity	Report Number	Date Issued	Report Title	Description
OIG	OIG No. 13-1-28KA	1/31/2014	HIGHWAY TRUST FUND FINANCIAL STATEMENT AUDIT FOR THE FISCAL YEAR ENDED SEPTEMBER 30, 2013	OIG examination included a review of existing processes, policies, and controls for the purpose of expressing an opinion on the accompanying forecasted statements. Although OIG found no instances of noncompliance that would be reportable under generally accepted government auditing standards, the objective of our review was not to provide an opinion on overall compliance with such provisions.
OIG	OIG No. 13-1-28KA(a)	4/1/2014	REPORT ON THE EXAMINATION OF THE DISTRICT OF COLUMBIA'S HIGHWAY TRUST FUND FORECAST STATEMENTS FOR FISCAL YEARS 2014 – 2018 WITH ACTUAL AUDITED FIGURES FOR FISCAL YEAR 2013	The Office of the Inspector General (OIG) completed an examination of the District of Columbia Highway Trust Fund's 5-year forecast of expenditure conditions and operations.
OIG	OIG No. 11-2-28KA(b)	6/17/2014	AUDIT OF CONSTRUCTION MANAGEMENT AT THE DISTRICT DEPARTMENT OF TRANSPORTATION	This is the second and final of two audit reports addressing construction management at DDOT.
OIG	OIG No. 14-I-0063	9/14/2014	SPECIAL EVALUATION: PARKING AND AUTOMATED TRAFFIC ENFORCEMENT TICKETS – PART I: TICKET ISSUANCE PRACTICES.	OIG evaluated ATE and Parking Enforcement for the District
DC Auditor	DCA262014	9/17/2014	DISTRICT SPECIAL EVENTS PROCESSES CAN BE IMPROVED	The objectives of this audit were to (1) determine and evaluate the Special Events process; (2) examine the criteria used to determine costs; (3) determine whether for special events held, all necessary approvals and licenses were obtained prior to event being held; and (4) determine whether appropriate costs were charged and collected from special events held.

DC Auditor	DCA292014	9/26/2014	STATUS REPORT ON IMPLEMENTATION OF DISTRICT OF COLUMBIA AUDITOR RECOMMENDATIONS	The intent of this report is to keep the Council of the District of Columbia informed about the implementation status of recommendations made by the Office of the District of Columbia Auditor.
OIG	OIG No. 14-1-23KA	1/30/2015	DISTRICT DEPARTMENT OF TRANSPORTATION HIGHWAY TRUST FUND FINANCIAL STATEMENT AUDIT FOR THE FISCAL YEAR ENDED SEPTEMBER 30, 2014	OIG audited financial statements of the HTF, which is administered by the DDOT as of and for the year ended September 30, 2014. These financial statements are the responsibility of the HTF's management and the OCFO. OIG's responsibility is to express an opinion on these financial statements based on our audit

27. Please identify all **recommendations** identified by the Office of the Inspector General, D.C. Auditor, or other federal or local oversight entities during the previous 3 years. Please provide an update on what actions have been taken to address these recommendations.

**Response:** Attached (Appendix 30) you will find the audit recommendation status report for FY 13 – FY 15.