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DISTRICT OF COLUMBIA OFFICE OF THE INSPECTOR GENERAL

February 2018



Fiscal Year (FY) 2017 – 2018 Performance Oversight Hearing Responses

Hearing Before the Committee on Government Operations
February 22, 2018, at 10:00 a.m.



Guiding Principles

*Workforce Engagement * Stakeholders Engagement * Process-oriented * Innovation
* Accountability * Professionalism * Objectivity and Independence * Communication * Collaboration
* Diversity * Measurement * Continuous Improvement*

Mission

Our mission is to independently audit, inspect, and investigate matters pertaining to the District of Columbia government in order to:

- prevent and detect corruption, mismanagement, waste, fraud, and abuse;
- promote economy, efficiency, effectiveness, and accountability;
- inform stakeholders about issues relating to District programs and operations; and
- recommend and track the implementation of corrective actions.

Vision

Our vision is to be a world class Office of the Inspector General that is customer-focused, and sets the standard for oversight excellence!

Core Values

Excellence * Integrity * Respect * Creativity * Ownership
* Transparency * Empowerment * Courage * Passion
* Leadership



GOVERNMENT OF THE DISTRICT OF COLUMBIA
Office of the Inspector General

Inspector General



VIA HARDCOPY AND ELECTRONIC MAIL DELIVERY

February 5, 2018

Brandon T. Todd
Ward 4 Councilmember
Chairperson, Committee on Government
Operations
1350 Pennsylvania Avenue, N.W., Suite 105
Washington, D.C. 20004

Dear Chairperson Todd:

Pursuant to your initial request dated January 17, 2018, I am providing responses to the 60 questions asked in preparation for the public oversight hearing on the Office of Inspector General's FY 2017 and FY 2018 performance, to date.

In the event you have any additional questions, please feel free to contact me at 202-727-2540.

Sincerely,



Daniel W. Lucas
Inspector General

DWL/mnw

Enclosure

cc: Manny Geraldo, Esq., Senior Legislative Counsel, Committee on Government Operations

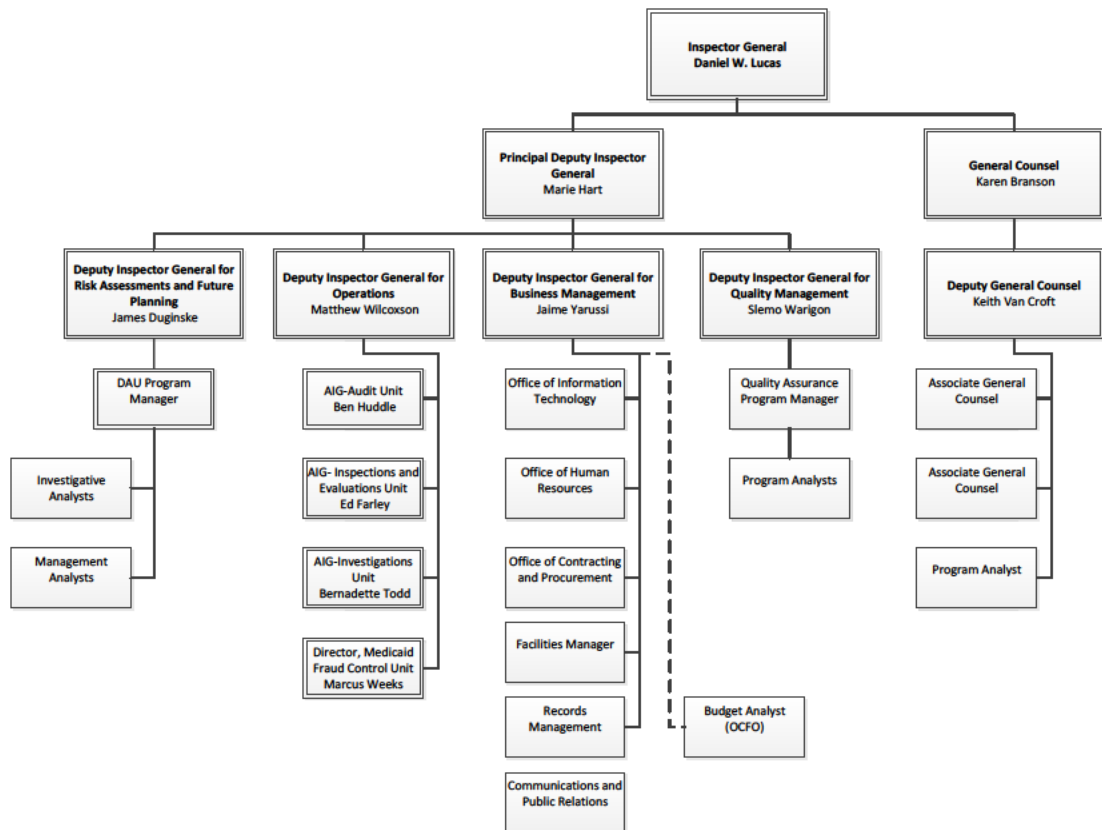
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I. Agency Organization

1. Please provide a current organizational chart for the agency, including the number of vacant, frozen, and filled positions in each division or subdivision.
 - a. Include the names and titles of all senior personnel,
 - b. Please provide an explanation of the roles and responsibilities of each division and subdivision.
 - c. Please provide a narrative explanation of any changes to the organizational chart made during FY 17 or FY 18, to date.
 - d. Note on the chart the date that the information was collected.

OIG RESPONSE: Please see Figure 1 below for the OIG’s organizational chart as of February 1, 2018. A listing of current vacancies is included in the Schedule A provided in the following response. In response to question 1.c., the only changes made during the FY 17 or FY 18, to date, was moving the OIG’s Records Management function under the Deputy Inspector General for Business Management. Immediately following Figure 1 is a description of the OIG’s Divisions and programs.

Figure 1: OIG Organization Chart as of October 1, 2017.



The **Office of General Counsel (OGC)** provides in-house legal services by ensuring OIG operations, activities, and communications conform to applicable legal requirements; rendering frank and objective legal advice to protect the OIG against legal liability; and advocating the OIG’s legal position in disputes.

The **Risk Assessment and Future Planning Division (RAFP)** is tasked with evaluating risk as it relates to corruption, mismanagement, waste, fraud, and abuse within the District. RAFP also

assists the OIG in building the right capabilities to mine data for insights that will allow the agency to make proactive, knowledge-driven decisions.

The **Quality Management Division (QM)** ensures all OIG audit, inspection, evaluation, and investigation products comply with OIG policies, professional standards, and best practices. QM oversees all OIG activities to maintain a desired level of excellence, while ensuring the OIG's long-term success through customer satisfaction, innovation, and continuous quality improvement. QM tracks the implementation status of OIG recommendations made to District agencies.

The **Business Management Division (BM)** establishes policies and controls, and delivers services to support the other OIG divisions' goals and objectives. These internal functions exist within Business Management: (1) Facilities; (2) Contracts and Procurement; (3) Information Technology; (4) Human Resources; (5) Records Management; (6) Budget and Finance (in collaboration with the Office of the Chief Financial Officer); and (6) Communications and Public Relations.

The **Operations Division** consists of four externally-focused Units within the OIG. They include the Audit Unit, Inspections and Evaluation Unit, Investigations Unit, and the Medicaid Fraud Control Unit.

2. Please attach in Excel a current Schedule A for the agency, as of February 1, 2018, with the following information for each position:
 - a. Employee's name, if the position is filled;
 - b. Program and activity name and code as appears in the budget;
 - c. Office name, if different from activity code;
 - d. Title/position name;
 - e. Position number;
 - f. Grade, series, and step;
 - g. Salary and fringe benefits (please separate salary and fringe and include the FY 17 fringe benefit rate);
 - h. Job status (e.g. continuing/term/temporary);
 - i. Type of appointment (e.g. career, MSS);
 - j. Full-time part-time, or WAE;
 - k. Seasonal or year-round;
 - l. Start date in the position (i.e. effective date);
 - m. Start date with the agency;
 - n. Previous office (program) and position (job title) with the agency, if relevant
 - o. Position status (A-active, R-frozen, P-proposed, etc);
 - p. Date of vacancy or freeze, if relevant; and
 - q. Whether the position must be filled to comply with federal or local law (and if so, please specify what federal or local law applies).

OIG RESPONSE: Please see Table 1 on the following pages.

Table 1: OIG FY 18 Schedule A

OFFICE OF THE INSPECTOR GENERAL (ADO)																
SCHEDULE A																
Posn Nbr	Title	Name	Emplid	Hire Date	Vac Stat	Grade Step	Full Salary	% of Salary	FTE x Dist %	Appr Year	Agency Index	PCA	Fund Code	Prgm Code	Reg/Term	Hourly Rate
1000 - AGENCY MANAGEMENT																
1010 - PERSONNEL																
Total for 1010 - PERSONNEL																
1020 - CONTRACTING AND PROCUREMENT																
0002484	Suppy Contract Specialist					Vacant			100%							
0005553	Contract Compliance Spec.			8/16/1999	F	13 9	\$101,826.00	\$101,826.00	100%	18	ADO	10200	10200	0100	1020 Reg	\$48.95
Total for 1020 - CONTRACTING AND PROCUREMENT																
1030 - PROPERTY MANAGEMENT																
0001272	Facilities Services Specialist			7/19/1999	F	13 8	\$99,229.00	\$99,229.00	100%	18	ADO	10300	10300	0100	1030 Reg	\$47.71
Total for 1030 - PROPERTY MANAGEMENT																
1040 - INFO TECH																
0002658	INFO TECH SPEC					Vacant			100%							
00027870	IT Specialist			4/4/2016	F	12 7	\$83,443.00	\$83,443.00	100%	18	ADO	10400	10400	0100	1040 Term	\$40.12
0000596	SUPV INFO TECH SPEC			4/26/1998	F	15 0	\$120,810.00	\$120,810.00	100%	18	ADO	10400	10400	0100	1040 Reg	\$58.66
Total for 1040 - INFO TECH																
1050 - FINANCIAL MGMT																
Total for 1050 - FINANCIAL MGMT																
1060 - LEGAL																
00008256	DEPUTY GEN COUNSEL			9/20/2004	F	9 1	\$127,000.00	\$127,000.00	100%	18	ADO	10600	10600	0100	1060 Reg	\$61.06
00008991	Program Analyst			4/13/1998	F	13 7	\$96,632.00	\$96,632.00	100%	18	ADO	10600	10600	0100	1060 Reg	\$46.46
00010756	Attorney-Advisor			12/3/2001	F	8 0	\$103,000.00	\$103,000.00	100%	18	ADO	10600	10600	0100	1060 Reg	\$49.52
00017349	GEN COUNSEL			8/30/1999	F	10 0	\$164,599.15	\$164,599.15	100%	18	ADO	10600	10600	0100	1060 Reg	\$79.13
00033249	Attorney-Advisor					Vacant			100%							
Total for 1060 - LEGAL																
1085 - CUSTOMER SERVICE																
00005367	ADMINISTRATIVE ASSISTANT			7/2/2001	F	8 10	\$55,200.00	\$55,200.00	100%	18	ADO	10850	10850	0100	1085 Reg	\$26.54
00014270	Communications Specialist			12/10/2007	F	13 1	\$81,050.00	\$81,050.00	100%	18	ADO	10850	10850	0100	1085 Reg	\$38.97
00016866	ADMINISTRATIVE ASSISTANT			7/9/1996	F	13 8	\$99,229.00	\$99,229.00	100%	18	ADO	10850	10850	0100	1085 Reg	\$47.71
00027941	ADMINISTRATIVE SUPPORT SPEC			12/11/2006	F	13 7	\$96,632.00	\$96,632.00	100%	18	ADO	10850	10850	0100	1085 Reg	\$46.46
00016482	Staff Assistant					Vacant			100%							
00009442	Human Resources Specialist					Vacant			100%							
00023598	Executive Assistant					Vacant			100%							
00083545	Human Resources Manager					Vacant			100%							
Total for 1085 - CUSTOMER SERVICE																
Total for 1000 - AGENCY MANAGEMENT																

OFFICE OF THE INSPECTOR GENERAL (ADO)																
SCHEDULE A																
Posn Nbr	Title	Name	Emplid	Hire Date	Vac Stat	Grade Step	Full Salary	% of Salary	FTE x Dist %	Appr Year	Agency Index	PCA	Fund Code	Prgm Code	Reg/Term	Hourly Rate
2000 - ACCOUNTABILITY, CONTROL/COMPLIANCE																
2010 - AUDIT																
00000532	Supervisory Auditor			5/23/2011	F	14 0	\$118,450.00	\$118,450.00	100%	18	ADO	20100	20100	0100	2010 Reg	\$65.95
00005485	Assistant Inspector General Audit			10/3/2016	F	16 0	\$145,000.00	\$145,000.00	100%	18	ADO	20100	20100	0100	2010 Reg	69.71
00004195	AUDITOR			7/15/2013	F	13 6	\$94,035.00	\$94,035.00	100%	18	ADO	20100	20100	0100	2010 Reg	45.21
00008478	Auditor			10/3/2016	F	11 5	\$64,160.00	\$64,160.00	100%	18	ADO	20100	20100	0100	2010 Reg	30.85
00009718	AUDITOR			12/12/2016	F	9 1	\$48,693.00	\$48,693.00	100%	18	ADO	20100	20100	0100	2010 Reg	22.69
00010500	Auditor			3/20/2017	F	12 4	\$76,894.00	\$76,894.00	100%	18	ADO	20100	20100	0100	2010 Reg	36.97
00012930	AUDITOR			2/22/2005	F	14 7	\$114,199.00	\$114,199.00	100%	18	ADO	20100	20100	0100	2010 Reg	54.90
00013141	AUDITOR			10/17/2005	F	13 7	\$96,632.00	\$96,632.00	100%	18	ADO	20100	20100	0100	2010 Reg	46.46
00013599	AUDITOR			4/22/2002	F	14 7	\$114,199.00	\$114,199.00	100%	18	ADO	20100	20100	0100	2010 Reg	54.90
00015790	Management & Program Analyst			10/25/2010	F	13 2	\$83,647.00	\$83,647.00	100%	18	ADO	20100	20100	0100	2010 Reg	40.21
00019242	Management & Program Analyst			1/5/2009	F	13 2	\$83,647.00	\$86,244.00	100%	18	ADO	20100	20100	0100	2010 Reg	40.21
00013417	Management & Program Analyst			3/2/2009	F	15 0	\$127,000.00	\$127,000.00	100%	18	ADO	20100	20100	0100	2010 Reg	61.06
00023036	AUDITOR			6/13/2005	F	14 5	\$108,063.00	\$108,063.00	100%	18	ADO	20100	20100	0100	2010 Reg	51.95
00025841	SUPV AUDITOR			5/21/2001	F	14 0	\$118,450.00	\$118,450.00	100%	18	ADO	20100	20100	0100	2010 Reg	56.95
00027370	AUDITOR			4/9/2012	F	9 6	\$54,725.00	\$54,725.00	100%	18	ADO	20100	20100	0100	2010 Reg	26.31
00034880	Writer-Editor			8/22/2016	F	12 2	\$72,528.00	\$72,528.00	100%	18	ADO	20100	20100	0100	2010 Reg	34.87
00045252	SUPV AUDITOR			1/31/2011	F	14 0	\$118,450.00	\$118,450.00	100%	18	ADO	20100	20100	0100	2010 Reg	56.95
00045270	Auditor			9/19/2016	F	11 2	\$58,679.00	\$58,679.00	100%	18	ADO	20100	20100	0100	2010 Reg	28.21
00022617	AUDITOR					Vacant			100%							
00045291	Auditor					Vacant			100%							
00033921	Auditor					Vacant			100%							
Total for 2010 - AUDIT																
2030 - INSPECTIONS AND EVALUATIONS																
00009314	MANAGEMENT ANALYST			7/15/2013	F	12 7	\$83,443.00	\$83,443.00	100%	18	ADO	20300	20300	0100	2030 Reg	\$40.12
00010648	MGMT ANALYST			11/21/2011	F	13 4	\$88,841.00	\$88,841.00	100%	18	ADO	20300	20300	0100	2030 Reg	\$42.71
00013074	DEPUTY ASST INSPECTOR			11/28/2016	F	15 0	\$127,000.00	\$127,000.00	100%	18	ADO	20300	20300	0100	2030 Reg	\$61.06
00019292	MGMT ANALYST			7/19/2010	F	13 5	\$68,578.50	\$68,578.50	100%	18	ADO	20300	20300	0100	2030 Reg	\$43.96
00019728	ASST IG INSPECTOR/EVALUATION			3/6/2006	F	10 0	\$154,500.00	\$154,500.00	100%	18	ADO	20300	20300	0100	2030 Reg	\$74.28
00021045	MANAGEMENT ANALYST			4/23/2012	F	12 5	\$79,077.00	\$79,077.00	100%	18	ADO	20300	20300	0100	2030 Reg	\$38.02
00027934	Management and Program Analyst			5/1/2017	F	12 1	\$70,345.00	\$70,345.00	100%	18	ADO	20300	20300	0100	2030 Reg	\$33.82
00027935	MANAGEMENT ANALYST			5/21/2012	F	12 6	\$81,260.00	\$81,260.00	100%	18	ADO	20300	20300	0100	2030 Reg	\$39.07
00027937	MANAGEMENT ANALYST			2/6/2017	F	12 1	\$70,345.00	\$70,345.00	100%	18	ADO	20300	20300	0100	2030 Reg	\$33.82
00027940	SUPVY MANAGEMENT ANALYST			3/6/2017	F	14 0	\$110,000.00	\$110,000.00	100%	18	ADO	20300	20300	0100	2030 Reg	\$52.88
00045197	Supv Mgmt and Program Analyst			6/29/2015	F	14 0	\$118,450.00	\$118,450.00	100%	18	ADO	20300	20300	0100	2030 Reg	\$56.95
Total for 2030 - INSPECTIONS AND EVALUATIONS																
Total for 2000 - ACCOUNTABILITY, CONTROL/COMPLIANCE																

Table 1: OIG FY 18 Schedule A, Continued

OFFICE OF THE INSPECTOR GENERAL (ADO)																
SCHEDULE A																
Posn Nbr	Title	Hire Date	Vac Stat	Grade	Step	Full Salary	% of Salary	FTE x Dist %	Appr Year	Agency	Index	PCA	Fund Code	Prgm Code	Reg/Term	Hourly Rate
3000 - EXECUTIVE																
3001 - EXECUTIVE																
00002143	Deputy Inspector General	1/26/2015	F	10	0	\$166 869.27	\$166 869.27	100%	18	ADD	30010	30010	0100	3001	Reg	\$80.23
00015872	Deputy Inspector General for B	3/9/2015	F	16	0	\$155 530.00	\$155 530.00	100%	18	ADD	30010	30010	0100	3001	Reg	\$74.77
00027927	INSPECTOR GEN	11/16/2014	F	E5	0	\$201 573.71	\$201 573.71	100%	18	ADD	30010	30010	0100	3001	Term	\$96.91
00027942	Deputy Inspector General for O	1/12/2015	F	16	0	\$158 922.82	\$158 922.82	100%	18	ADD	30010	30010	0100	3001	Reg	\$76.41
						\$682,895.80		4.0								
3010 - INVESTIGATIONS																
00001609	Criminal Investigator	8/26/2013	F	12	7	\$3 443.00	\$83 443.00	1	18	ADD	30100	30100	0100	3010	Reg	40.12
00003443	SUPV CRIMINAL INVEST	1/20/1998	F	14	0	\$118 450.00	\$118 450.00	100%	18	ADD	30100	30100	0100	3010	Reg	\$56.95
00003996	RECORDS MGMT SUPV	8/12/2000	F	12	0	\$83 472.24	\$83 472.24	100%	18	ADD	30100	30100	0100	3010	Reg	\$40.13
00005046	Criminal Investigator	7/15/2013	F	12	6	\$81 260.00	\$81 260.00	100%	18	ADD	30100	30100	0100	3010	Reg	\$39.07
00007827	Assistant Inspector General of	7/25/2005	F	10	0	\$145 000.00	\$145 000.00	100%	18	ADD	30100	30100	0100	3010	Reg	\$69.71
00010422	Deputy Assistant Inspector Gen	7/24/2017	F	15	0	\$127 000.00	\$127 000.00	100%	18	ADD	30100	30100	0100	3010	Reg	\$61.06
00010526	Criminal Investigator	6/18/2012	F	14	6	\$111 131.00	\$111 131.00	100%	18	ADD	30100	30100	0100	3010	Reg	\$53.43
00011814	CRIMINAL INVEST	9/8/2014	F	13	5	\$91 438.00	\$91 438.00	100%	18	ADD	30100	30100	0100	3010	Reg	\$43.96
00018022	CRIMINAL INVEST	11/24/2008	F	13	6	\$94 035.00	\$94 035.00	100%	18	ADD	30100	30100	0100	3010	Reg	\$45.21
00023785	CRIMINAL INVEST	11/24/2008	F	13	8	\$99 229.00	\$99 229.00	100%	18	ADD	30100	30100	0100	3010	Reg	\$47.71
00025956	RECORDS MGMT SPEC	10/15/1995	F	9	10	\$60 757.00	\$60 757.00	100%	18	ADD	30100	30100	0100	3010	Reg	\$29.21
00027928	SUPV CRIMINAL INVEST	6/21/1999	F	14	0	\$118 450.00	\$118 450.00	100%	18	ADD	30100	30100	0100	3010	Reg	\$56.95
00032366	CRIMINAL INVEST	8/12/2013	F	13	6	\$96 632.00	\$96 632.00	100%	18	ADD	30100	30100	0100	3010	Reg	\$45.21
0000381	Digital Forensic Investigator							100%								
0001460	CRIMINAL INVEST							100%								
0002455	CRIMINAL INVEST							100%								
0004302	CRIMINAL INVEST							100%								
0004380	CRIMINAL INVEST							100%								
0005077	Criminal Investigator							100%								
00022025	CRIMINAL INVEST							100%								
0003356	SUPV CRIMINAL INVEST							100%								
Total for 3010 - INVESTIGATIONS						\$1,310,297.24		21.0								
3020 - MFCU 25%MATCHS																
00001653	SUPVY ATTORNEY ADVISOR	12/30/2013	F	10	0	\$154 500.00	\$38 625.00	25%	18	ADD	30200	30200	0100	3020	Reg	\$74.28
00002076	Supvy. Criminal Investigator	8/26/2013	F	14	0	\$118 450.00	\$29 612.50	25%	18	ADD	30200	30200	0100	3020	Reg	\$56.95
00002591	CRIMINAL INVEST	3/28/2011	F	13	7	\$96 632.00	\$24 158.00	25%	18	ADD	30200	30200	0100	3020	Reg	\$46.46
00002802	ATTORNEY ADVISOR	8/22/2016	F	8	0	\$95 172.00	\$23 793.00	25%	18	ADD	30200	30200	0100	3020	Reg	\$45.76
00002952	Auditor	2/6/2017	F	12	4	\$76 894.00	\$19 223.50	25%	18	ADD	30200	30200	0100	3020	Reg	\$36.97
00004169	Program Analyst	2/27/2000	F	12	9	\$87 809.00	\$21 952.25	25%	18	ADD	30200	30200	0100	3020	Reg	\$42.22
00006606	Program Analyst	1/25/1993	F	13	6	\$94 035.00	\$23 508.75	25%	18	ADD	30200	30200	0100	3020	Reg	\$45.21
00009919	Investigator (Patient Abuse)	3/28/2011	F	13	5	\$91 438.00	\$22 859.50	25%	18	ADD	30200	30200	0100	3020	Reg	\$43.96
00013136	CRIMINAL INVEST	7/1/2013	F	13	6	\$94 035.00	\$23 508.75	25%	18	ADD	30200	30200	0100	3020	Reg	\$45.21
00015230	Staff Assistant	7/24/2017	F	12	1	\$70 345.00	\$17 586.25	25%	18	ADD	30200	30200	0100	3020	Reg	\$33.82
00016817	Investigator(Health Care)	9/15/2008	F	12	5	\$79 077.00	\$19 769.25	25%	18	ADD	30200	30200	0100	3020	Reg	\$38.02
00024784	AUDITOR	9/12/2011	F	13	4	\$88 841.00	\$22 210.25	25%	18	ADD	30200	30200	0100	3020	Reg	\$42.71
00027284	CRIMINAL INVEST	2/10/2014	F	13	7	\$96 632.00	\$24 158.00	25%	18	ADD	30200	30200	0100	3020	Reg	\$46.46
00027926	Auditor	9/29/2008	F	14	4	\$104 995.00	\$26 248.75	25%	18	ADD	30200	30200	0100	3020	Reg	\$50.48
00032521	CRIMINAL INVEST	7/23/2007	F	13	6	\$94 035.00	\$23 508.75	25%	18	ADD	30200	30200	0100	3020	Reg	\$45.21
00033086	Supvy. Criminal Investigator	9/29/2003	F	14	0	\$118 450.00	\$29 612.50	25%	18	ADD	30200	30200	0100	3020	Reg	\$56.95
00033923	Attorney-Advisor	7/10/2017	F	8	0	\$100 000.00	\$25 000.00	25%	18	ADD	30200	30200	0100	3020	Reg	\$48.08
00073449	ATTORNEY ADVISOR	9/26/2016	F	8	0	\$95 172.00	\$23 793.00	25%	18	ADD	30200	30200	0100	3020	Reg	\$45.76
00033925	CRIMINAL INVEST							25%								
00027688	ATTORNEY ADVISOR							25%								
00032556	CRIMINAL INVEST							25%								
00032314	Supv Attorney Advisor							25%								
00073448	CRIMINAL INVEST							25%								
Total for 3020 - MFCU 25%MATCHS						\$439,128.00		5.8								
Total Local						\$5,630,733.89		76.75								

Table 1: OIG FY 18 Schedule A, Continued

OFFICE OF THE INSPECTOR GENERAL (ADO)																		
SCHEDULE A																		
Posn Nbr	Title	Name	Emplid	Hire Date	Vac Stat	Grade	Step	Full Salary	% of Salary	FTE x Dist %	Appr Year	Agency	Index	PCA	Fund Code	Prgm Code	Reg/Term	Hourly Rate
3030 - MEDICAID FRAUD CONTROL UNIT																		
00001653	SUPVY ATTORNEY ADVISOR			12/30/2013	F	10	0	\$154,500.00	\$115,875.00	75%	18	ADD	30300	30300	8200	3030	Reg	\$74.28
00002076	Supvy, Criminal Investigator			8/26/2013	F	14	0	\$118,450.00	\$88,837.50	75%	18	ADD	30300	30300	8200	3030	Reg	\$56.95
00002591	CRIMINAL INVEST			3/28/2011	F	13	7	\$96,632.00	\$72,474.00	75%	18	ADD	30300	30300	8200	3030	Reg	\$46.46
00002802	ATTORNEY ADVISOR			8/22/2016	F	8	0	\$95,172.00	\$71,379.00	75%	18	ADD	30300	30300	8200	3030	Reg	\$45.76
00002952	Auditor			2/9/2017	F	12	4	\$76,894.00	\$57,670.50	75%	18	ADD	30300	30300	8200	3030	Reg	\$36.97
00004160	Program Analyst			1/27/2000	F	12	9	\$87,809.00	\$65,856.75	75%	18	ADD	30300	30300	8200	3030	Reg	\$42.22
00006606	Program Analyst			1/25/1990	F	13	6	\$94,035.00	\$70,526.25	75%	18	ADD	30300	30300	8200	3030	Reg	\$45.21
00009919	Investigator (Patient Abuse)			3/28/2011	F	13	5	\$91,438.00	\$68,578.50	75%	18	ADD	30300	30300	8200	3030	Reg	\$43.96
00013136	CRIMINAL INVEST			7/1/2013	F	13	6	\$94,035.00	\$70,526.25	75%	18	ADD	30300	30300	8200	3030	Reg	\$45.21
00015230	Staff Assistant			7/24/2017	F	12	1	\$70,345.00	\$52,758.75	75%	18	ADD	30300	30300	8200	3030	Reg	\$33.82
00016817	Investigator(Health Care)			9/15/2008	F	12	5	\$79,077.00	\$59,307.75	75%	18	ADD	30300	30300	8200	3030	Reg	\$38.02
00024784	AUDITOR			9/12/2011	F	13	4	\$91,438.00	\$68,578.50	75%	18	ADD	30300	30300	8200	3030	Reg	\$42.71
00027284	CRIMINAL INVEST			2/10/2014	F	13	7	\$96,632.00	\$72,474.00	75%	18	ADD	30300	30300	8200	3030	Reg	\$46.46
00027926	Auditor			9/29/2008	F	14	4	\$104,995.00	\$78,746.25	75%	18	ADD	30300	30300	8200	3030	Reg	\$50.48
00032521	CRIMINAL INVEST			7/23/2007	F	13	6	\$94,035.00	\$70,526.25	75%	18	ADD	30300	30300	8200	3030	Reg	\$45.21
00033086	Supvy, Criminal Investigator			9/29/2003	F	14	0	\$118,450.00	\$88,837.50	75%	18	ADD	30300	30300	8200	3030	Reg	\$56.95
00033923	Attorney-Advisor			7/10/2017	F	8	0	\$100,000.00	\$75,000.00	75%	18	ADD	30300	30300	8200	3030	Reg	\$48.08
00073449	ATTORNEY ADVISOR			9/26/2016	F	8	0	\$95,172.00	\$71,379.00	75%	18	ADD	30300	30300	8200	3030	Reg	\$45.76
00033925	CRIMINAL INVEST									75%								
00027688	ATTORNEY ADVISOR									75%								
00033256	CRIMINAL INVEST									75%								
00032314	Supv Attorney Advisor									75%								
00073448	CRIMINAL INVEST									75%								
Total for 3030 - MEDICAID FRAUD CONTROL UNIT								\$1,319,331.75	17.25									
Total for 3000 - LAW ENFORCEMENT AND C								\$3,068,756.99										
4000 - RISK ASSESSMENT AND FUTURE PL																		
4011 - RISK ASSESSMENT AND FUTURE PLANNING																		
00000253	MANAGEMENT ANALYST			4/8/2013	F	11	5	\$64,160.00	\$64,160.00	100%	18	ADD	40011	40110	0100	4011	Reg	\$30.85
00001169	Investigative Analyst			12/12/2016	F	12	2	\$72,528.00	\$72,528.00	100%	18	ADD	40011	40110	0100	4011	Reg	\$33.82
00001379	Investigative Analyst			6/27/2016	F	13	2	\$83,647.00	\$83,647.00	100%	18	ADD	40011	40110	0100	4011	Reg	\$40.21
00012715	Investigative Analyst			4/3/2017	F	11	1	\$56,852.00	\$56,852.00	100%	18	ADD	40011	40110	0100	4011	Reg	\$27.33
00023456	AIG Risk Assessments & Plans			12/21/2015	F	16	0	\$151,925.00	\$151,925.00	100%	18	ADD	40011	40110	0100	4011	Reg	\$73.04
00033321	RAFP Program Manager			2/21/2006	F	14	0	\$120,510.00	\$120,510.00	100%	18	ADD	10500	10500	0100	1050	Reg	\$57.94
00005071	Data Archetect									100%								
00006209	Investigative Analyst (HL)									100%								
Total for 4011 - RISK ASSESSMENT AND FUTURE PLANNING								\$549,622.00	8									
5000 - QUALITY MANAGEMENT																		
5001 - QUALITY MANAGEMENT																		
00001235	Deputy Inspector General for Q			1/7/2008	F	15	0	\$151,410.00	\$151,410.00	100%	18	ADD	50010	50001	0100	5001	Reg	\$72.79
00002770	Program Analyst			1/23/2017	F	11	5	\$64,160.00	\$64,160.00	100%	18	ADD	50010	50001	0100	5001	Reg	\$30.85
00033926	Management and Program Analyst			1/28/2013	F	12	1	\$70,345.00	\$70,345.00	100%	18	ADD	50010	50001	0100	5001	Reg	\$33.82
00027929	Quality Management Program Man			10/2/2017	F	13	0	\$100,000.00	\$100,000.00	100%	18	ADD	50010	50001	0100	5001	Reg	\$33.81
00002544	Investigative Analyst									100%								
Total for 5001 - QUALITY MANAGEMENT								\$385,915.00	5									
Total for All ADO - Office of the Inspector General								\$8,667,727.44	112.00									
Total Local ADO - Office of the Inspector General								\$5,630,733.89	94.75									
Total Federal ADO - Office of the Inspector General								\$1,319,331.75	17.25									

3. For any term or temp position included in the schedule A and filled in FY 17 or FY 18, please provide a brief narrative for why the hire was done on a term or temporary basis and not on a continuing basis.

OIG RESPONSE: This is not applicable during the performance period. There were no term or temp positions filled in FY 17 or FY 18, to date.

4. Please provide the following information on any contract workers in your agency:
 - a. Position name
 - b. Organizational unit assigned to
 - c. Hourly rate
 - d. Type of work duties

OIG RESPONSE: This is not applicable during the performance period. There were no contract workers retained by the OIG during the performance period.

5. Please complete the following chart about the residency of new hires in FY 17 or FY 18, to date:
 - a. Number of Employees Hired in FY 2017 and FY 2018 to date
 - b. Position Type Total Number Number who are District Residents
 - i. Continuing
 - ii. Term
 - iii. Temporary
 - iv. WAE

OIG RESPONSE. Please see Table 2 below.

Table 2: Number of Employees Hired in FY 17 and FY 18 to date.

Position Type	Total Number	Number who are District Residents
Continuing	13	6
Term	0	0
Temporary	0	0
WAE	0	0

6. Please list all employees detailed to or from your agency. For each employee identified, please provide the name of the agency the employee is detailed to or from, the reason for the detail, the date of the detail, and the employee’s projected date of return.

OIG RESPONSE. This is not applicable during the performance period. There were no employees detailed to/from the OIG in FY 17 or FY 18, to date.

7. Please provide the Committee with a list of travel expenses, arranged by employee for FY 17 and FY 18, to date, including the dates of travel, amount of expenses, and reason for travel. Please specify whether employees may be reimbursed for out-of-pocket travel expenses; and, if so, please describe agency protocol and requirements for employees to apply for and receive reimbursements for such travel expenses, such as necessary documentation, timeframes, and other requirements.

OIG RESPONSE. For a list of FY 17 travel expenses, please see Table 3 on the following page. For a list of FY 18 travel expenses, to date, please see Table 4 immediately after. All employees are eligible for reimbursement for travel expenses in accordance with federal per diem rates and OCFO policies and procedures.

Table 3: OIG 2017 Travel Expenses

Employee	Leave Date	Return Date	Amount	Reason for Travel
	3/6/17	3/9/17	\$267.00	Training
	8/6/17	8/10/17	\$1,425.00	Training
	9/11/17	9/15/17	\$915.00	Training
	8/6/17	8/10/17	\$1,367.00	Training
	7/30/17	8/4/17	\$3,280.24	Training
	6/5/17	6/9/17	\$1,260.50	Training
	9/17/17	9/29/17	\$201.40	Training
	6/19/17	6/23/17	\$1,401.00	Training
	3/6/17	3/10/17	\$1,328.00	Training
	3/6/17	3/10/17	\$1,168.00	Training
	3/6/17	3/10/17	\$1,205.00	Training
	7/24/17	7/27/17	\$1,723.00	Training
	6/20/17	6/23/17	\$1,516.00	Training
	8/22/17	9/1/17	\$384.10	Training
	2/14/17	2/17/17	\$1,041.60	Training
	4/22/17	4/26/17	\$1,615.00	Training
	4/27/17	4/29/17	\$917.93	Investigation
	4/27/17	4/29/17	\$797.93	Investigation
	4/17/17	4/18/17	\$909.00	Training
	4/25/17	4/27/17	\$1,385.00	Training
	4/25/17	4/27/17	\$1,445.00	Training
	3/7/17	3/10/17	\$1,614.50	Training
	3/7/17	3/10/17	\$1,614.50	Training
	3/6/17	3/10/17	\$1,166.00	Training
	3/7/17	3/10/17	\$1,614.50	Training
	3/7/17	3/10/17	\$1,619.00	Training
	11/15/16	11/19/16	\$1,079.51	Training
	11/15/16	11/17/16	\$1,159.44	Training
	11/14/17	11/19/16	\$1,303.51	Training
	3/1/17	3/2/17	\$359.60	Training
	3/1/17	3/2/17	\$359.60	Training
	3/1/17	3/2/17	\$183.00	Training
	10/15/16	10/21/17	\$495.00	Training

Table 4: OIG FY 18 Travel Expenses, to Date.

Employee	Leave Date	Return Date	Amount	Reason for Travel
	10/15/2017	10/16/2017	\$768.59	Investigation
	10/15/2017	10/20/2017	\$562.00	Training
	10/15/2017	10/20/2017	\$562.00	Training
	10/15/2017	10/20/2017	\$562.00	Training
	10/15/2017	10/20/2017	\$813.00	Training
	10/15/2017	10/20/2017	\$813.00	Training
	11/13/2017	11/17/2017	\$798.24	Conference
	11/13/2017	11/17/2017	\$1,209.52	Training
	11/13/2017	11/17/2017	\$1,073.38	Training
	12/4/2017	12/8/2017	\$1,141.49	Training
	1/22/2018	1/24/2018	\$1,507.48	Training
	12/4/2017	12/8/2017	\$1,141.49	Training

8. Please provide the Committee with a list of the total workers’ compensation payments paid in FY 17 and FY 18, to date, including the number of employees who received workers’ compensation payments, in what amounts, and for what reasons.

OIG RESPONSE: No OIG employees received workers’ compensation payments in FY 17 or FY 18, to date.

9. For fiscal years 2017 and 2018, to date, please list each employee separated from the agency, other than due to retirement. Also include:
- Amount of separation pay, if relevant;
 - Number of weeks of pay, if relevant; and
 - The reason for the separation.

OIG RESPONSE. Please note that the OIG’s response to Question 9 is governed by personnel regulations and/or legal resolutions, and cannot be disclosed. Please see Table 5 for a list of OIG employees separated during FY 17 and FY 18, to date.

Table 5: Employees Separated During FY 17 and FY 18, to Date.

Effective Date.	Reason for Separation	Employee Type	Amount of Separation Pay	Number of Weeks
10/14/2016	Unsatisfactory Performance	Career Service		
10/28/2016	Resignation	Career Service		
10/28/2016	Resignation	Career Service		
11/7/2016	Termination With Pay	Excepted Service	██████████	1
11/18/2016	Resignation	Career Service		
12/16/2016	Resignation	Career Service		
12/23/2016	Resignation	Career Service		
12/30/2016	Violation of Rules	Career Service		
1/6/2017	Resignation	Career Service		
2/17/2017	Resignation	Excepted Service		
3/29/2017	Resignation	Career Service		
4/14/2017	Resignation	Excepted Service		
4/26/2017	Resignation	Career Service		
4/28/2017	Resignation	Career Service		
6/2/2017	Resignation	Career Service		
6/16/2017	Resignation	Management Supervisory Service		
7/28/2017	Resignation	Excepted Service		
8/17/2017	Resignation	Excepted Service		
8/18/2017	During Probation/Trial Period	Career Service		
9/25/2017	Resignation	Management Supervisory Service		
12/8/2017	Resignation	Career Service		
1/12/2018	During Probation/Trial Period	Career Service		

10. Please provide the Committee with a list of employees who received bonuses or special award pay granted in FY 2017 and FY 2018, to date, and identify:
 - a. The employee receiving the bonus or special pay,
 - b. The amount received, and
 - c. The reason for the bonus or special pay.

OIG RESPONSE: Please see Table 6 on the following page for a list of employees receiving bonuses or special award pay granted in FY 17 and FY 18, to date.

Table 6: Bonuses or Special Award Pay.

Employee Type	Amount Received (before taxes)	Reason for Pay
Career Service Employee	\$10,442.30	Special Service Award
Career Service Employee	\$8,526.20	Special Service Award
Career Service Employee	\$25,000.00	Retirement
Career Service Employee	\$25,000.00	Retirement
Career Service Employee	\$15,000.00	Retirement
Career Service Employee	\$15,000.00	Retirement
Career Service Employee	\$25,000.00	Retirement

11. Please provide the name of each employee who was or is on administrative leave in FY 17 and 18, to date. In addition, for each employee identified, please provide:
 - a. Their position;
 - b. A brief description of the reason they were placed on leave;
 - c. The dates they were/are on administrative leave;
 - d. Expected date of return;
 - e. Whether the leave was/is paid or unpaid; and
 - f. Their current status (as of February 1, 2018).

OIG RESPONSE: Responses to 11.a. through 11.c. are governed by personnel regulations and/or legal resolutions, and cannot be disclosed. The OIG provides the following: One MSS employee was placed on administrative leave in 2017 pending separation from District government employment. One probationary career service employee was placed on administrative leave in 2018, to date, pending separation from District government employment. Both individuals are no longer employed with the District government.

12. Please provide a list of each collective bargaining agreement that is currently in effect for agency employees.
 - a. Please include the bargaining unit (name and local number), the duration of each agreement, and the number of employees covered.
 - b. Please provide, for each union, the union leader’s name, title, and his or her contact information, including e-mail, phone, and address if available.
 - c. Please note if the agency is currently in bargaining and its anticipated completion date.

OIG RESPONSE: Not applicable. No OIG employees are part of a collective bargaining unit.

13. Please list in chronological order, any grievances filed by labor unions against the agency or agency management in FY 16, FY 17, or FY 18, to date, broken down by source.
 - a. For each grievance, give a brief description of the matter as well as the current status.
 - b. Include on the chronological list any earlier grievance that is still pending in any forum.

- c. Please describe the process utilized to respond to any complaints or grievances received and any changes to agency policies or procedures that have resulted from complaints or grievances received.
- d. For any complaints or grievances that were resolved in FY 17 or FY 18, to date, describe the resolution or outcome.

OIG RESPONSE: Not applicable. No OIG employees are part of a collective bargaining unit.

14. Please list in chronological order, any additional employee grievances or complaints that the agency received in FY 17 and FY 18, to date, broken down by source.
- a. For each, give a brief description of the matter as well as the current status.
 - b. Include on the chronological list any earlier grievance that is still pending in any forum.
 - c. Please describe the process utilized to respond to any complaints or grievances received and any changes to agency policies or procedures that have resulted from complaints or grievances received.
 - d. For any complaints or grievances that were resolved in FY 17 or FY 18, to date, describe the resolution or outcome.

OIG RESPONSE: Please see the OIG's response to Question 32.

15. Please describe the agency's procedures for investigating allegations of sexual harassment or misconduct committed by or against its employees. List and describe any allegations received by the agency in FY 17 and FY 18, to date, and whether or not those allegations were resolved. Please describe the nature of such resolution.

OIG RESPONSE: OIG until December 18, 2017, followed the Office of Human Rights Policy on Sexual Harassment.

Per the Mayor's Order issued on December 18, 2017, OIG will follow the updated policy and as such has named a Sexual Harassment Officer for OIG and engaged staff in training per the DCHR issuances.

The OIG did not receive any allegations of sexual harassment or misconduct in FY 17 and FY 18, to date.

16. For any boards or commissions associated with your agency, please provide a chart listing the following for each:
- a. For each member:
 - b. The member's name,
 - c. Confirmation date,
 - d. Term expiration date,
 - e. Whether the member is a District resident or not, and
 - f. Attendance at each meeting in FY 17 and FY 18, to date.
 - i. List any vacancies.
 - ii. Describe the board's or commission's responsibilities and activities in FY 17.
 - iii. Attach agendas and minutes of each board or commission meeting in FY 17 or FY 18, to date, if minutes were prepared.

OIG RESPONSE: Not applicable. There are no boards or commissions associated with the OIG.

17. Please list the task forces and organizations of which the agency is a member and any associated membership dues paid.

OIG RESPONSE: During FY 17 and FY 18, to date, the OIG has been a member of the National Association of Medicaid Fraud Control Units (NAMFCU), and the Association of Local

Government Auditors (ALGA). In FY 2017, yearly dues for these two organizations were \$13,853 and \$455, respectively.

II. Budget and Expenditures

18. Budget

- a. Please provide a table showing your agency's Council-approved original budget, revised budget (after re-programmings, etc.), and actual spending, by program and activity, for fiscal years 2016, 2017, and the first quarter of 2018. For each program and activity, please include total budget and break down the budget by funding source (federal, local, special purpose revenue, or intra-district funds).
- b. Include any over- or under-spending. Explain any variances between fiscal year appropriations and actual expenditures for fiscal years 2016 and 2017 for each program and activity code.
- c. Attach the cost allocation plans for FY 17 and FY 18.
- d. In FY 16 or FY 17, did the agency have any federal funds that lapsed? If so, please provide a full accounting, including amounts, fund sources (e.g. grant name), and reason the funds were not fully expended.

OIG RESPONSE: Please see Table 7 on the following pages.

Table 7: OIG FYs 16-18 Budget

Comp Source Group	FY 2016 APPROVED BUDGET	FY 2016 REVISED BUDGET	FY 2016 Expenditures	Variance	FY 2017 APPROVED BUDGET	FY 2017 REVISED BUDGET	FY 2017 Expenditures	Variance	FY 2018 Approved Budget	FY 2018 REVISED BUDGET	FY 2018 Expenditures THRU 12/31/2017	
1000 - AGENCY MANAGEMENT												
1010 - PERSONNEL												
LOCAL FUND												
0011 - REGULAR PAY - CONT FULL TIME	\$159,332	\$159,332	83,639.26	75,693.09	\$95,790	\$95,790	117,541.31	(21,751.31)	0.00	0.00	4,156.00	
0012 - REGULAR PAY - OTHER	0.00	0.00	19,813.02	(19,813.02)	0.00	0.00	\$4,872	(4,871.70)	0.00	0.00	0.00	
0013 - ADDITIONAL GROSS PAY	0.00	0.00	0.00	0.00	200,000.00	\$45,000	0.00	45,000.00	0.00	0.00	0.00	
0014 - FRINGE BENEFITS - CURR PERSONNEL	32,663.13	32,663.13	21,461.00	11,202.13	\$21,074	\$21,074	25,685.74	(4,611.94)	0.00	0.00	930.00	
PERSONNEL SERVICES	191,995.48	191,995.48	124,913.28	67,082.20	316,863.80	161,863.80	148,098.75	13,765.05	0.00	0.00	5,086.00	
0020 - SUPPLIES AND MATERIALS	776.10	776.10	759.12	16.98	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
0040 - OTHER SERVICES AND CHARGES	11,576.60	11,576.60	11,539.79	36.81	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
NON-PERSONNEL SERVICES	12,352.70	12,352.70	12,298.91	53.79	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
Total LOCAL FUND	204,348.18	204,348.18	137,212.19	67,135.99	316,863.80	161,863.80	148,098.75	13,765.05	0.00	0.00	5,086.00	
1010 - PERSONNEL	Total	204,348.18	204,348.18	137,212.19	67,135.99	316,863.80	161,863.80	148,098.75	13,765.05	0.00	5,086.00	
1020 - CONTRACTING AND PROCUREMENT												
LOCAL FUND												
0011 - REGULAR PAY - CONT FULL TIME	244,305.19	244,305.19	86,768.61	157,536.58	201,494.64	201,494.00	201,474.97	19.03	217,679.00	217,679.00	25,736.00	
0012 - REGULAR PAY - OTHER	0.00	0.00	19,812.34	(19,812.34)	0.00	0.00	4,871.00	(4,871.00)	0.00	0.00	0.00	
0013 - ADDITIONAL GROSS PAY	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	19,818.00	
0014 - FRINGE BENEFITS - CURR PERSONNEL	50,082.56	50,082.56	13,022.62	37,059.94	44,329.00	44,329.00	38,577.95	5,751.05	47,019.00	47,019.00	8,615.00	
0015 - OVERTIME	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	98.00	
PERSONNEL SERVICES	294,387.75	294,387.75	119,603.57	174,784.18	245,823.64	245,823.00	244,923.92	899.08	264,698.00	264,698.00	54,267.00	
0020 - SUPPLIES AND MATERIALS	1,285.16	1,285.16	908.24	376.92	1,270.00	793.00	773.00	20.00	480.00	480.00	0.00	
0040 - OTHER SERVICES AND CHARGES	71,680.75	421,680.75	390,835.42	30,845.33	5,400.00	900.00	750.00	150.00	1,860.00	1,860.00	0.00	
NON-PERSONNEL SERVICES	72,965.91	422,965.91	391,743.66	31,222.25	6,670.00	1,693.00	1,523.00	170.00	2,340.00	2,340.00	0.00	
Total LOCAL FUND	367,353.66	717,353.66	511,347.23	206,006.43	252,493.64	247,516.00	246,446.92	1,069.08	267,038.00	267,038.00	54,267.00	
1020 - CONTRACTING AND PROCUREMENT	To	367,353.66	717,353.66	511,347.23	252,493.64	247,516.00	246,446.92	1,069.08	267,038.00	267,038.00	54,267.00	
1030 - PROPERTY MANAGEMENT												
LOCAL FUND												
0011 - REGULAR PAY - CONT FULL TIME	0.00	0.00	0.00	0.00	97,310.73	77,311.00	76,093.46	1,217.54	96,632.00	96,632.00	25,080.00	
0014 - FRINGE BENEFITS - CURR PERSONNEL	0.00	0.00	0.00	0.00	21,408.36	16,408.00	15,027.98	1,380.02	20,872.51	20,872.51	4,933.00	
PERSONNEL SERVICES	0.00	0.00	0.00	0.00	118,719.09	93,719.00	91,121.44	2,597.56	117,504.51	117,504.51	30,013.00	
0020 - SUPPLIES AND MATERIALS	0.00	0.00	0.00	0.00	1,199.00	240.00	0.00	240.00	240.00	240.00	0.00	
0040 - OTHER SERVICES AND CHARGES	44,027.36	72,027.36	67,935.66	4,091.70	86,262.44	56,092.00	55,791.91	300.09	39,680.00	39,680.00	4,279.00	
NON-PERSONNEL SERVICES	44,027.36	72,027.36	67,935.66	4,091.70	87,461.44	56,332.00	55,791.91	540.09	39,920.00	39,920.00	4,279.00	
Total LOCAL FUND	44,027.36	72,027.36	67,935.66	4,091.70	206,180.53	150,051.00	146,913.35	3,137.65	157,424.51	157,424.51	34,292.00	
1030 - PROPERTY MANAGEMENT	Total	44,027.36	72,027.36	67,935.66	206,180.53	150,051.00	146,913.35	3,137.65	157,424.51	157,424.51	34,292.00	
1040 - INFORMATION TECHNOLOGY												
LOCAL FUND												
0011 - REGULAR PAY - CONT FULL TIME	384,233.07	384,233.07	184,007.19	200,225.88	333,795.26	243,795.00	117,196.23	126,598.77	222,873.00	222,873.00	26,392.00	
0012 - REGULAR PAY - OTHER	0.00	0.00	59,123.14	(59,123.14)	0.00	0.00	88,536.84	(88,536.84)	84,537.56	84,537.56	21,090.00	
0013 - ADDITIONAL GROSS PAY	0.00	0.00	46,342.57	(46,342.57)	0.00	0.00	22,074.93	(22,074.93)	0.00	0.00	0.00	
0014 - FRINGE BENEFITS - CURR PERSONNEL	78,767.78	78,767.78	54,328.19	24,439.59	73,434.96	73,435.00	50,991.83	22,443.17	66,400.68	66,400.68	13,050.00	
0015 - OVERTIME	0	0	\$1,071	(1,071.10)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
PERSONNEL SERVICES	463,000.85	463,000.85	344,872.19	118,128.66	407,230.22	317,230.00	278,799.83	38,430.17	373,811.24	373,811.24	60,532.00	
0020 - SUPPLIES AND MATERIALS	2,058.73	2,058.73	1,000.00	1,058.73	352,021.48	241,321.00	191,356.22	49,964.78	178,604.00	178,604.00	2,983.00	
0031-TELEPHONE							11,656.50					
0040 - OTHER SERVICES AND CHARGES	74,644.61	193,444.61	148,554.42	44,890.19	241,114.00	185,114.00	145,487.15	39,626.85	653,525.00	653,525.00	1,868.00	
0041 - CONTRACTUAL SERVICES	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
NON-PERSONNEL SERVICES	76,703.34	195,503.34	149,554.42	45,948.92	593,135.48	426,435.00	348,499.87	89,591.63	832,129.00	832,129.00	4,851.00	
Total LOCAL FUND	539,704.19	658,504.19	494,426.61	164,077.58	1,000,365.70	743,665.00	627,299.70	128,021.80	1,205,940.24	1,205,940.24	65,383.00	
1040 - INFORMATION TECHNOLOGY	Total	539,704.19	658,504.19	494,426.61	1,000,365.70	743,665.00	627,299.70	128,021.80	1,205,940.24	1,205,940.24	65,383.00	

Table 8: OIG FYs 16-18 Budget, Continued.

Comp Source Group	FY 2016 APPROVED BUDGET	FY 2016 REVISED BUDGET	FY 2016 Expenditures	Variance	FY 2017 APPROVED BUDGET	FY 2017 REVISED BUDGET	FY 2017 Expenditures	Variance	FY 2018 Approved Budget	FY 2018 REVISED BUDGET	FY 2018 Expenditures THRU 12/31/2017
1050 - FINANCIAL MANAGEMENT											
LOCAL FUND											
0011 - REGULAR PAY - CONT FULL TIME	264,677.17	143,927.17	97,687.96	46,239.21	123,405.33	123,405.00	80,069.48	43,335.52	0.00	0.00	30,458.00
0012 - REGULAR PAY - OTHER	0.00	0.00	19,812.34	(19,812.34)	0.00	0.00	4,871.00	(4,871.00)			
0013 - ADDITIONAL GROSS PAY	0.00	0.00	17,165.23	(17,165.23)	0.00	0.00	0.00	0.00			
0014 - FRINGE BENEFITS - CURR PERSONNEL	54,258.82	54,258.82	17,596.50	36,662.32	27,149.17	27,149.00	13,542.25	13,606.75	0.00	0.00	5,519.00
PERSONNEL SERVICES	318,935.99	198,185.99	152,262.03	45,923.96	150,554.50	150,554.00	98,482.73	52,071.27	0.00	0.00	35,977.00
0020 - SUPPLIES AND MATERIALS		1,492.27	1,000.00	492.27	1,199.00	240.00	0.00	240.00	0.00	0.00	0.00
0040 - OTHER SERVICES AND CHARGES	20,122.77	165,122.77	163,684.18	1,438.59	1,800.00	300.00	13.58	286.42	145,000.00	145,000.00	0.00
NON-PERSONNEL SERVICES	20,122.77	166,615.04	164,684.18	1,930.86	2,999.00	540.00	13.58	526.42	145,000.00	145,000.00	0.00
Total LOCAL FUND	339,058.76	364,801.03	316,946.21	47,854.82	153,553.50	151,094.00	98,496.31	52,597.69	145,000.00	145,000.00	35,977.00
1050 - FINANCIAL MANAGEMENT	Total	339,058.76	364,801.03	316,946.21	47,854.82	153,553.50	151,094.00	98,496.31	145,000.00	145,000.00	35,977.00
1060 - LEGAL											
LOCAL FUND											
0011 - REGULAR PAY - CONT FULL TIME	584,018.59	584,018.59	\$583,469	549.42	552,315.93	552,316.00	488,573.78	63,742.22	591,458.13	591,458.13	109,503.00
0012 - OTHER REGULAR PAY	0.00	0.00	\$19,812	(19,812.34)	0.00	0.00	29,102.00	(29,102.00)	0.00	0.00	16,154.00
0013 - ADDITIONAL GROSS PAY	0.00	0.00	\$0	0.00	0.00	0.00	21,228.00	(21,228.00)	0.00	0.00	0.00
0014 - FRINGE BENEFITS - CURR PERSONNEL	119,723.81	119,723.81	\$134,771	(15,046.72)	121,509.50	121,510.00	135,591.18	(14,081.18)	127,754.96	127,754.96	26,439.00
PERSONNEL SERVICES	703,742.40	703,742.40	738,052.04	(34,309.64)	673,825.43	673,826.00	674,494.96	(668.96)	719,213.09	719,213.09	152,096.00
0020 - SUPPLIES AND MATERIALS	1,763.04	1,763.04	900.00	863.04	7,194.00	4.00	0.00	4.00	10,240.00	10,240.00	0.00
0040 - OTHER SERVICES AND CHARGES	18,430.14	18,430.14	11,095.00	7,335.14	53,577.00	11,077.00	10,475.39	601.61	46,280.00	46,280.00	0.00
NON-PERSONNEL SERVICES	20,193.18	20,193.18	11,995.00	8,198.18	60,771.00	11,081.00	10,475.39	605.61	56,520.00	56,520.00	0.00
Total LOCAL FUND	723,935.58	723,935.58	750,047.04	(26,111.46)	734,596.43	684,907.00	684,970.35	(63.35)	775,733.09	775,733.09	152,096.00
1060 - LEGAL	Total	723,935.58	723,935.58	750,047.04	(26,111.46)	734,596.43	684,907.00	684,970.35	775,733.09	775,733.09	152,096.00
1070 - FLEET MANAGEMENT											
LOCAL FUND											
0040 - OTHER SERVICES AND CHARGES	11,249.65	11,249.65	8,478.30	2,771.35	40,720.00	24,820.00	14,966.82	9,853.18	11,020.00	11,020.00	714.00
NON-PERSONNEL SERVICES	11,249.65	11,249.65	8,478.30	2,771.35	40,720.00	24,820.00	14,966.82	9,853.18	11,020.00	11,020.00	714.00
Total LOCAL FUND	11,249.65	11,249.65	8,478.30	2,771.35	40,720.00	24,820.00	14,966.82	19,706.36	11,020.00	11,020.00	714.00
1070 - FLEET MANAGEMENT	Total	11,249.65	11,249.65	8,478.30	2,771.35	40,720.00	14,966.82	19,706.36	11,020.00	11,020.00	714.00
1085 - CUSTOMER SERVICE											
LOCAL FUND											
0011 - REGULAR PAY - CONT FULL TIME	101,384.75	101,384.75	32,808.87	68,575.88	497,021.97	340,022.00	330,809.40	9,212.60	673,691.16	673,691.16	103,942.00
0012 - OTHER REGULAR PAY	0.00	0.00	\$19,812	(19,812.34)	0.00	0.00	4,871.00	(4,871.00)	0.00	0.00	0.00
0013 - ADDITIONAL GROSS PAY	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	10,310.00
0014 - FRINGE BENEFITS - CURR PERSONNEL	20,783.88	20,783.88	17,648.27	3,135.61	109,344.83	99,345.00	96,534.88	2,810.12	145,517.28	145,517.28	24,080.00
0015 - OVERTIME	0.00	0.00	\$2,480	(2,479.90)	0.00	0.00	0.00	0.00	0.00	0.00	0.00
PERSONNEL SERVICES	122,168.63	122,168.63	72,749.38	49,419.25	606,366.80	439,367.00	432,215.28	7,151.72	819,208.44	819,208.44	138,332.00
0020 - SUPPLIES AND MATERIALS	1,159.13	1,159.13	900.00	259.13	13,291.00	1.00	0.00	1.00	17,589.76	17,589.76	0.00
0040 - OTHER SERVICES AND CHARGES	16,442.19	106,442.19	73,036.08	33,406.11	47,160.00	24,760.00	20,793.03	3,966.97	40,400.00	40,400.00	195.00
NON-PERSONNEL SERVICES	17,601.32	107,601.32	73,936.08	33,665.24	60,451.00	24,761.00	20,793.03	3,967.97	57,989.76	57,989.76	195.00
Total LOCAL FUND	139,769.95	229,769.95	146,685.46	83,084.49	666,817.80	464,128.00	453,008.31	11,119.69	877,198.20	877,198.20	138,527.00
1085 - CUSTOMER SERVICE	Total	139,769.95	229,769.95	146,685.46	83,084.49	666,817.80	464,128.00	453,008.31	11,119.69	877,198.20	138,527.00
1000 - AGENCY MANAGEMENT											
2000 - OPERATIONS											
2010 - AUDIT											
LOCAL FUND											
0011 - REGULAR PAY - CONT FULL TIME	2,951,936.65	2,076,936.65	2,377,445.93	(300,509.28)	1,933,591.52	1,933,592.00	2,073,254.00	(139,662.00)	2,041,915.68	2,041,915.68	393,567.00
0012 - OTHER REGULAR PAY	0.00	0.00	48,008.85	(48,008.85)	132,103.06	132,103.00	9,396.00	122,707.00			
0013 - ADDITIONAL GROSS PAY	0.00	0.00	61,695.71	(61,695.71)	0.00	0.00	29,937.00	(29,937.00)	100,000.00	100,000.00	25,267.00
0014 - FRINGE BENEFITS - CURR PERSONNEL	605,147.03	605,147.03	499,091.31	106,055.72	454,452.82	454,453.00	415,369.12	39,083.88	441,053.80	441,053.80	83,788.00
0015 OVERTIME PAY	0.00	0.00	0.00	0.00	0.00	0.00	872.00	(872.00)	0.00	0.00	2,528.00
PERSONNEL SERVICES	3,557,083.68	2,682,083.68	2,986,241.80	(304,158.12)	2,520,147.40	2,520,148.00	2,528,828.12	(8,680.12)	2,582,969.48	2,582,969.48	505,150.00
0020 - SUPPLIES AND MATERIALS	8,826.41	8,826.41	5,000.00	3,826.41	6,240.00	0.00	0.00	0.00	5,040.00	5,040.00	0.00
0040 - OTHER SERVICES AND CHARGES	3,153,320.86	2,282,520.86	2,041,043.01	241,477.85	2,600,055.00	2,535,055.00	2,519,603.96	15,451.04	1,946,278.00	1,946,278.00	264,361.00
NON-PERSONNEL SERVICES	3,162,147.27	2,291,347.27	2,046,043.01	245,304.26	2,606,295.00	2,535,055.00	2,519,603.96	15,451.04	1,951,318.00	1,951,318.00	264,361.00
Total LOCAL FUND	6,719,230.95	4,973,430.95	5,032,284.81	(58,853.86)	5,126,442.40	5,055,203.00	5,048,432.08	6,770.92	4,534,287.48	4,534,287.48	769,511.00
2010 - AUDIT	Total	6,719,230.95	4,973,430.95	5,032,284.81	(58,853.86)	5,126,442.40	5,055,203.00	6,770.92	4,534,287.48	4,534,287.48	769,511.00

Table 9: OIG FYs 16-18 Budget, Continued.

Comp Source Group	FY 2016 APPROVED BUDGET	FY 2016 REVISED BUDGET	FY 2016 Expenditures	Variance	FY 2017 APPROVED BUDGET	FY 2017 REVISED BUDGET	FY 2017 Expenditures	Variance	FY 2018 Approved Budget	FY 2018 REVISED BUDGET	FY 2018 Expenditures THRU 12/31/2017
2030 - INSPECTIONS AND EVALUATIONS											
LOCAL FUND											
0011 - REGULAR PAY - CONT FULL TIME	1,239,410.83	1,149,410.83	984,219.53	165,191.30	1,040,028.76	1,000,029.00	987,935.07	12,093.93	1,089,538.20	1,089,538.20	265,961.00
0012 - OTHER REGULAR PAY	0.00	0.00	21,613.25	(21,613.25)	0.00	0.00	5,314.00	(5,314.00)	0.00	0.00	0.00
0013 - ADDITIONAL GROSS PAY	0.00	0.00	3,555.32	(3,555.32)	0.00	0.00	204.49	(204.49)	0.00	0.00	0.00
0014 - FRINGE BENEFITS - CURR PERSONNEL	254,079.23	254,079.23	230,238.67	23,840.56	228,806.32	228,806.00	198,490.48	30,315.52	235,340.25	235,340.25	49,678.00
PERSONNEL SERVICES	1,493,490.06	1,403,490.06	1,239,626.77	163,863.29	1,268,835.08	1,228,835.00	1,191,944.04	36,890.96	1,324,878.45	1,324,878.45	315,639.00
0020 - SUPPLIES AND MATERIALS	2,917.00	2,917.00	1,000.00	1,917.00	9,125.00	6,525.00	6,400.00	125.00	2,860.00	2,860.00	0.00
0040 - OTHER SERVICES AND CHARGES	58,891.40	40,891.00	37,716.21	3,174.79	51,170.00	6,770.00	6,699.00	71.00	31,686.00	31,686.00	7,306.00
NON-PERSONNEL SERVICES	61,808.40	43,808.00	38,716.21	5,091.79	60,295.00	13,295.00	13,099.00	196.00	34,546.00	34,546.00	7,306.00
Total LOCAL FUND	1,555,298.46	1,447,298.06	1,278,342.98	168,955.08	1,329,130.08	1,242,130.00	1,205,043.04	37,086.96	1,359,424.45	1,359,424.45	322,945.00
2030 - INSPECTIONS AND EVALUATIONS	Total	1,555,298.46	1,447,298.06	1,278,342.98	1,329,130.08	1,242,130.00	1,205,043.04	37,086.96	1,359,424.45	1,359,424.45	322,945.00
2000 - OPERATIONS											
3000 - EXECUTIVE											
LOCAL FUND											
0011 - REGULAR PAY - CONT FULL TIME	0.00	0.00	0.00	0.00	429,510.00	70,510.00	224,854.29	(154,344.29)	481,322.09	481,322.09	121,652.00
0012 - REGULAR PAY - OTHER	0.00	0.00	0.00	0.00	195,702.63	73,703.00	157,826.56	(84,123.56)	201,573.71	201,573.71	50,947.00
0014 - FRINGE BENEFITS - CURR PERSONNEL	0.00	0.00	0.00	0.00	137,546.78	71,547.00	65,482.12	6,064.88	147,505.49	147,505.49	19,447.00
PERSONNEL SERVICES	0.00	0.00	0.00	0.00	762,759.41	215,760.00	448,161.97	(232,402.97)	830,401.29	830,401.29	192,046.00
0020 - SUPPLIES AND MATERIALS	0.00	0.00	0.00	0.00	3,160.00	360.00	267.00	93.00	960.00	960.00	0.00
0040 - OTHER SERVICES AND CHARGES	0.00	0.00	0.00	0.00	526,660.00	678,360.00	778,991.00	(100,631.00)	235,620.00	235,620.00	40,897.00
NON-PERSONNEL SERVICES	0.00	0.00	0.00	0.00	529,820.00	678,720.00	779,258.00	(100,538.00)	236,580.00	236,580.00	40,897.00
Total LOCAL FUND	0.00	0.00	0.00	0.00	1,292,579.41	894,480.00	1,227,419.97	(332,940.97)	1,066,981.29	1,066,981.29	232,943.00
3001 - EXECUTIVE	Total	0.00	0.00	0.00	1,292,579.41	894,480.00	1,227,419.97	(332,940.97)	1,066,981.29	1,066,981.29	232,943.00
3010 - INVESTIGATIONS											
LOCAL FUND											
0011 - REGULAR PAY - CONT FULL TIME	2,597,963.43	2,372,963.43	2,247,594.35	125,369.08	1,933,475.22	1,850,475.00	1,641,322.00	209,153.00	1,953,093.80	1,953,093.80	330,117.00
0012 - REGULAR PAY - OTHER	0.00	0.00	56,701.11	(56,701.11)	123,438.00	123,438.00	40,689.00	82,749.00	73,545.00	73,545.00	0.00
0013 - ADDITIONAL GROSS PAY	0.00	0.00	9,381.90	(9,381.90)	0.00	0.00	120,828.37	0.00	100,000.00	100,000.00	0.00
0014 - FRINGE BENEFITS - CURR PERSONNEL	532,582.49	532,582.49	444,129.28	88,453.21	452,520.94	452,521.00	339,182.07	113,338.93	437,753.95	437,753.95	64,669.00
0015 - OVERTIME	0.00	0.00	482.78	(482.78)	0.00	0.00	4,821.00	(4,821.00)	2,564,392.75	2,564,392.75	0.00
PERSONNEL SERVICES	3,130,545.92	2,905,545.92	2,758,289.42	147,256.50	2,509,434.24	2,426,434.00	2,146,842.44	400,419.93	2,564,392.75	2,490,847.75	394,786.00
0020 - SUPPLIES AND MATERIALS	4,596.62	4,596.62	4,162.79	433.83	6,411.99	112.00	0.00	112.00	5,040.00	5,040.00	0.00
0040 - OTHER SERVICES AND CHARGES	86,446.41	293,446.41	463,079.00	(169,632.59)	201,580.00	174,820.00	154,075.18	20,744.82	197,840.00	197,840.00	5,073.00
NON-PERSONNEL SERVICES	91,043.03	298,043.03	467,241.79	(169,198.76)	207,991.99	174,932.00	154,075.18	20,856.82	202,880.00	202,880.00	5,073.00
Total LOCAL FUND	3,221,588.95	3,203,588.95	3,225,531.21	(21,942.26)	2,717,426.23	2,601,366.00	2,300,917.62	421,276.75	2,767,272.75	2,693,727.75	399,859.00
3010 - INVESTIGATIONS	Total	3,221,588.95	3,203,588.95	3,225,531.21	2,717,426.23	2,601,366.00	2,300,917.62	421,276.75	2,767,272.75	2,693,727.75	399,859.00
3020 - MFCU 25% MATCH											
LOCAL FUND											
0011 - REGULAR PAY - CONT FULL TIME	525,247.83	525,247.83	472,647.93	52,599.90	546,357.86	490,358.00	485,436.63	4,921.37	564,121.64	564,121.64	116,505.00
0013 - ADDITIONAL GROSS PAY	0.00	0.00	4,781.54	(4,781.54)	0.00	0.00	5,261.88	(5,261.88)	0.00	0.00	(38.00)
0014 - FRINGE BENEFITS - CURR PERSONNEL	107,675.81	107,675.81	92,232.50	15,443.31	120,198.75	90,199.00	85,455.20	4,743.80	121,850.29	121,850.29	22,062.00
PERSONNEL SERVICES	632,923.64	632,923.64	569,661.97	63,261.67	666,556.61	580,557.00	576,153.71	4,403.29	685,971.93	685,971.93	138,529.00
0020 - SUPPLIES AND MATERIALS	3,402.54	3,402.54	823.90	2,578.64	67,285.75	67,286.00	6,388.08	60,897.92	8,943.50	8,943.50	0.00
0031-TELEPHONE	0.00	0.00	9,832.83	(9,832.83)	3,282.40	3,282.00	0.00	3,282.00	0.00	0.00	0.00
0032-Rent	0.00	0.00	0.00	0.00	56,944.50	16,945.00	0.00	16,945.00	0.00	0.00	0.00
0035-	0.00	0.00	0.00	0.00	371.88	372.00	0.00	372.00	0.00	0.00	0.00
0040 - OTHER SERVICES AND CHARGES	86,230.99	86,230.99	36,381.30	49,849.69	61,751.42	61,751.00	34,173.84	27,577.16	78,644.00	78,644.00	4,156.00
0041 - CONTRACTUAL SERVICES	0.00	0.00	0.00	0.00	0.00	0.00	508.00	(508.00)	0.00	0.00	0.00
0050 - SUBSIDIES AND TRANSFERS	0.00	0.00	0.00	0.00	0.00	0.00	82,344.00	(82,344.00)	0.00	0.00	0.00
070 EQUIPMENT & EQUIPMENT RENTAL	5,105.70	5,105.70	4,934.47	171.23	0.00	0.00	0.00	0.00	0.00	0.00	0.00
NON-PERSONNEL SERVICES	94,739.23	94,739.23	51,972.5	42,766.73	189,635.95	149,636.00	123,413.92	26,222.08	87,587.50	87,587.50	4,156.00
Total LOCAL FUND	727,662.87	727,662.87	621,634.47	106,028.40	856,192.56	730,193.00	699,567.63	30,625.37	773,559.43	773,559.43	142,685.00
3020 - MFCU 25% MATCH	Total	727,662.87	727,662.87	621,634.47	856,192.56	730,193.00	699,567.63	30,625.37	773,559.43	773,559.43	142,685.00

Table 10: OIG FYs 16-18 Budget, Continued.

Comp Source Group	FY 2016 APPROVED BUDGET	FY 2016 REVISED BUDGET	FY 2016 Expenditures	Variance	FY 2017 APPROVED BUDGET	FY 2017 REVISED BUDGET	FY 2017 Expenditures	Variance	FY 2018 Approved Budget	FY 2018 REVISED BUDGET	FY 2018 Expenditures THRU 12/31/2017	
3030 - MEDICAID FRAUD CONTROL UNIT												
FEDERAL GRANT FUND												
0011 - REGULAR PAY - CONT FULL TIME	1,575,743.62	1,605,090.00	1,418,193.83	186,896.17	1,639,073.67	1,639,074.00	1,456,309.89	182,764.11	1,692,364.92	1,668,394.18	349,513.00	
0013 - ADDITIONAL GROSS PAY		0.00	14,344.58	(14,344.58)	0.00	0.00	15,785.66	(15,785.66)			(113.00)	
0014 - FRINGE BENEFITS - CURR PERSONNEL	323,027.45	374,190.50	273,737.10	100,453.40	360,596.21	360,596.00	256,365.61	104,230.39	365,550.82	365,550.82	66,181.00	
PERSONNEL SERVICES	1,898,771.07	1,979,280.50	1,706,275.51	273,004.99	1,999,669.88	1,999,670.00	1,728,461.16	271,208.84	2,057,915.74	2,033,945.00	415,581.00	
0020 - SUPPLIES AND MATERIALS	10,260.96	10,260.96	5,471.69	4,789.27	201,857.25	201,857.00	19,163.68	182,693.32	26,830.50	26,830.50	0.00	
0031 - TELEPHONE	13,129.61	17,589.00	29,498.46	(11,909.46)	9,847.21	9,847.00	0.00	9,847.00	30,000.00	30,000.00		
0032 - RENTALS - LAND AND STRUCTURES	227,778.00	227,778.00	0.00	227,778.00	170,833.50	170,834.00	0.00	170,834.00	170,833.50	170,833.50	0.00	
0035 OCCUPANCY FIXED COSTS	1,487.52	2,857.00	0.00	2,857.00	1,115.64	1,116.00	0.00	1,116.00				
0040 - OTHER SERVICES AND CHARGES	217,907.43	224,582.44	118,344.28	106,238.16	185,254.26	213,758.00	102,521.53	111,236.47	235,932.00	235,932.00	12,675.00	
0041 - CONTRACTUAL SERVICES	0.00	0.00	0.00	0.00	0.00	0.00	1,523.00	(1,523.00)				
0050 - SUBSIDIES AND TRANSFERS	235,839.00	277,099.00	246,207.18	30,891.82	0.00	434,719.00	247,031.00	187,688.00	326,039.00	326,039.00	0.00	
0070 EQUIPMENT & EQUIPMENT RENTAL	15,317.10	15,317.10	2,602.08	12,715.02	0.00	0.00	0.00	0.00				
NON-PERSONNEL SERVICES	721,719.62	775,483.50	402,123.69	373,359.81	568,907.86	1,032,131.00	370,239.21	661,891.79	789,635.00	789,635.00	12,675.00	
Total FEDERAL GRANT FUND	2,620,490.69	2,754,764.00	2,108,399.20	646,364.80	2,568,577.74	3,031,801.00	2,098,700.37	933,100.63	2,847,550.74	2,823,580.00	428,256.00	
3030 - MEDICAID FRAUD CONTROL UNIT	Total	2,620,490.69	2,754,764.00	2,108,399.20	2,568,577.74	3,031,801.00	2,098,700.37	933,100.63	2,847,550.74	2,823,580.00	428,256.00	
3000 - EXECUTIVE												
4000 - RISK ASSESSMENT AND FUTURE PLANNING												
4011 - RISK ASSESSMENT AND FUTURE PLANNING												
LOCAL FUND												
0011 - REGULAR PAY - CONT FULL TIME			0.00	0.00	700,800.69	404,801.00	384,986.35	19,814.65	731,276.61	731,276.61	105,437.00	
0013 - ADDITIONAL GROSS PAY			0.00	0.00	0.00	0.00	18,626.00					
0014 - FRINGE BENEFITS - CURR PERSONNEL			0.00	0.00	154,176.16	77,176.00	72,191.00	4,985.00	157,955.75	157,955.75	17,952.00	
PERSONNEL SERVICES	0.00	0.00	0.00	0.00	854,976.85	481,977.00	475,803.35	24,799.65	889,232.36	889,232.36	123,389.00	
0020 - SUPPLIES AND MATERIALS			0.00	0.00	3,020.00	20.00	0.00	20.00	1,920.00	1,920.00	0.00	
0040 - OTHER SERVICES AND CHARGES			0.00	0.00	166,235.00	54,635.00	45,906.28	8,728.72	92,040.00	92,040.00	894.00	
NON-PERSONNEL SERVICES	0.00	0.00	0.00	0.00	169,255.00	54,655.00	45,906.28	8,748.72	93,960.00	93,960.00	894.00	
Total LOCAL FUND			0.00	0.00	1,024,231.85	536,632.00	521,709.63	33,548.37	983,192.36	983,192.36	124,283.00	
4011 - RISK ASSESSMENT AND FUTURE PLANNING	Total		0.00	0.00	1,024,231.85	536,632.00	521,709.63	33,548.37	983,192.36	983,192.36	124,283.00	
4000 - RISK ASSESSMENT AND FUTURE PLANNING			0.00						983,192.36	983,192.36	124,283.00	
5000 - QUALITY MANAGEMENT												
5001 - QUALITY MANAGEMENT												
LOCAL FUND												
0011 - REGULAR PAY - CONT FULL TIME			0.00	0.00	297,578.07	284,578.07	283,389.14	1,188.93	387,390.00	387,390.00	93,026.00	
0012 - OTHER REGULAR PAY			0.00	0.00	0.00	0.00	0.00	0.00	57,282.00	57,282.00	0.00	
0013 - ADDITIONAL GROSS PAY			0.00	0.00	0.00	0.00	1,490.00					
0014 - FRINGE BENEFITS - CURR PERSONNEL			0.00	0.00	65,467.18	62,467.18	62,129.49	337.69	96,049.25	96,049.25	18,144.00	
PERSONNEL SERVICES	0.00	0.00	0.00	0.00	363,045.25	347,045.25	347,008.63	1,526.62	540,721.25	540,721.25	111,170.00	
0020 - SUPPLIES AND MATERIALS			0.00	0.00	3,070.00	270.00	0.00	270.00	1,200.00	1,200.00	0.00	
0040 - OTHER SERVICES AND CHARGES			0.00	0.00	70,170.00	37,470.00	31,741.19	5,728.81	54,520.00	54,520.00	1,993.00	
NON-PERSONNEL SERVICES	0.00	0.00	0.00	0.00	73,240.00	37,740.00	31,741.19	5,998.81	55,720.00	55,720.00	1,993.00	
Total LOCAL FUND			0.00	0.00	436,285.25	384,785.25	378,749.82	7,525.43	596,441.25	596,441.25	113,163.00	
5001 - QUALITY MANAGEMENT	Total		0.00		436,285.25	384,785.25	378,749.82	7,525.43	596,441.25	596,441.25	113,163.00	
5000 - QUALITY MANAGEMENT			0.00									
Grand Total	17,213,719.25	16,088,734.43	14,699,271.37	1,389,463.06	18,722,456.92	17,104,635.05	15,900,740.67	1,366,410.78	18,368,063.79	18,270,548.05	3,019,987.00	

19. Please provide a table listing all intra-District transfers for FY 17 and FY 18 (YTD), as well as anticipated transfers for the remainder of FY 18.
- a. For each transfer, include the following details:
 - i. Buyer agency;
 - ii. Seller agency;
 - iii. The program and activity codes and names in the sending and receiving agencies' budgets;
 - iv. Funding source (i.e. local, federal, SPR);
 - v. Description of MOU services;
 - vi. Total MOU amount, including any modifications;
 - vii. Whether a letter of intent was executed for FY or FY 18 and if so, on what date;
 - viii. The date of the submitted request from or to the other agency for the transfer;
 - ix. The dates of signatures on the relevant MOU; and
 - x. The date funds were transferred to the receiving agency
 - b. Attach copies of all intra-district transfer MOUs or MOAs, other than those for overhead or logistical services, such as routine IT services or security.
 - c. Please list any additional intra-district transfers planned for FY 18, including the anticipated agency(ies), purposes, and dollar amounts.

OIG RESPONSE: Please see Table 11 below.

Table 11: Intra-District Transfers.

FY17 Intra-District Transfers											
From	To	FUNDING Source	Buyer Index	Buyer PCA	Buyer Object Class	Project	Phase	Intra-District Amount	Expended	Remaining Balance	Description
ADO	ASO	Local	10400	10400	0308			3,500.00	-	3,500.00	DC Net
ADO	ATO	Local	10400	10400	0308			3,500.00	-	3,500.00	DC Net
ADO	ATO	Local	10400	10400	0308			3,000.00	-	3,000.00	DC Net
ADO	ASO	Local	40011	40110	0410			3,000.00	(3,000.00)	6,000.00	Financial Services
ADO	ASO	Local	50010	50001	0410			3,000.00	(3,000.00)	-	Financial Services
ADO	ASO	Local	30010	30010	0410			35,000.00	(35,000.00)	-	Financial Services
ADO	ASO	Local	10850	10850	0410			7,500.00	(5,982.18)	1,517.82	Financial Services
ADO	ASO	Local	10400	10400	0410			15,000.00	(15,000.00)	-	Financial Services
ADO	ASO	Local	30100	30100	0410			20,236.63	(10,236.63)	10,000.00	Financial Services
ADO	ASO	Local	20100	20100	0410			20,236.63	(20,236.63)	-	Financial Services
ADO	ASO	Local	30200	30200	0410			5,059.16	(5,059.16)	-	Financial Services
ADO	ASO	Local	30300	30300	0410	DC0310	17	15,177.47	(9,398.88)	5,778.59	Financial Services
ADO	ASO	Local	20300	20300	0410			20,236.61	(3,132.96)	17,103.65	Financial Services
ADO	ABO	Local						560.00	-	560.00	LexisNexis
ADO	KTO	Local	1070	1070				19,799.89	2,346.94	22,146.83	Fleet
ADO	BE0	FEDERAL	3030	3030	0419			9,000.00	(9,000.00)	-	TO provide executive level training
ADO	BE0	LOCAL	3020	3020	0419			3,000.00	(3,000.00)	-	TO provide executive level training
FY18 Intra-District Transfers											
From	To	FUNDING Source	Buyer Index	Buyer PCA	Buyer Object Class	Project	Phase	Intra-District Amount	Expended	Remaining Balance	Description
ADO	TOO	Local	10400	10400	0308			5,200.00		5,200.00	DC Net
ADO	ASO	Local	10500	10500	0408			1,250,000.00		1,250,000.00	Financial Services
ADO	ASO	Local	10400	10400	0219			13,899.00		13,899.00	replacement of IT switch
ADO	KTO	Local	1070	1070	0404			9,991.42	(714.46)	9,276.96	Fleet

20. Please provide a table listing every reprogramming of funds (i.e. local, federal and SPR) into and out of the agency for FY 17 and FY 18, to date, as well as anticipated inter-agency reprogrammings for the remainder of FY 18. Please attach copies of the reprogramming documents, including the Agency Fiscal Officer's request memo and the attached reprogramming chart. For each reprogramming, include:
- a. The reprogramming number;
 - b. The sending or receiving agency name;
 - c. The date;
 - d. The dollar amount;
 - e. The funding source (i.e. local, federal, SPR);
 - f. The program, activity, and CSG codes for the originating funds;
 - g. The program, activity, and CSG codes for the received funds; and
 - h. A detailed rationale for the reprogramming.

OIG RESPONSE: Please see Table 12 on the following page.

Table 12: FY 17 and FY 18 Reprogrammings, to Date.

FY 2018 Reprogramming											
N/A											
FY 2017 Reprogramming											
CC/Program Code	RC/Org Code	Control Center / Responsibility Center/Program Name	Index	PCA	Fund detail	Comp Object	Agency Object	Increase	Decrease	Increase	Decrease
1010	1010	PERSONNEL	10100	10100	0100	0132	0132	\$155,000.00			\$155,000.00
1030	1030	PROPERTY MANAGEMENT	10300	10300	0100	0111	0111	\$20,000.00			\$20,000.00
1030	1030	PROPERTY MANAGEMENT	10300	10300	0100	0147	0147	\$5,000.00			\$5,000.00
1040	1040	INFORMATON TECHNOLOGY	10400	10400	0100	0111	0111	\$90,000.00			\$90,000.00
1085	1085	CUSTOMER SERVICE	10850	10850	0100	0111	0111	\$71,000.00			\$71,000.00
1085	1085	CUSTOMER SERVICE	10850	10850	0100	0147	0147	\$10,000.00			\$10,000.00
2030	2030	INSPECTONS AND EVALUATONS	20300	20300	0100	0111	0111	\$40,000.00			\$40,000.00
3001	3001	EXECUTIVE	30010	30010	0100	0111	0111	\$194,000.00			\$194,000.00
3001	3001	EXECUTIVE	30010	30010	0100	0125	0125	\$42,000.00			\$42,000.00
3001	3001	EXECUTIVE	30010	30010	0100	0147	0147	\$66,000.00			\$66,000.00
3010	3010	INVESTIGATIONS	30100	30100	0100	0111	0111	\$165,000.00			\$165,000.00
3010	3010	INVESTIGATIONS	30100	30100	0100	0125	0125	\$80,000.00			\$80,000.00
3020	3020	MFCU 25% MATCH	30200	30200	0100	0111	0111	\$58,000.00			\$58,000.00
3020	3020	MFCU 25% MATCH	30200	30200	0100	0147	0147	\$30,000.00			\$30,000.00
4011	4011	RISK ASSESSMENT AND FUTURE PLANNING	40011	40110	0100	0111	0111	\$15,000.00			\$15,000.00
4011	4011	RISK ASSESSMENT AND FUTURE PLANNING	40011	40110	0100	0147	0147	\$77,000.00			\$77,000.00
5001	5001	QUALITY MANAGEMENT	50010	50001	0100	0111	0111	\$13,000.00			\$13,000.00
5001	5001	QUALITY MANAGEMENT	50010	50001	0100	0147	0147	\$3,000.00			\$3,000.00
1020	1020	CONTRACTING AND PROCUREMENT	10200	10200	0100	0219	0219	\$477.00			\$477.00
1020	1020	CONTRACTING AND PROCUREMENT	10200	10200	0100	0419	0419	\$4,500.00			\$4,500.00
1030	1030	PROPERTY MANAGEMENT	10300	10300	0100	0219	0219	\$959.00			\$959.00
1030	1030	PROPERTY MANAGEMENT	10300	10300	0100	0419	0419	\$19,965.00			\$19,965.00
1030	1030	PROPERTY MANAGEMENT	10300	10300	0100	0419	0419	\$10,205.00			\$10,205.00
1040	1040	INFORMATON TECHNOLOGY	10400	10400	0100	0219	0219	\$110,700.00			\$110,700.00
1040	1040	INFORMATON TECHNOLOGY	10400	10400	0100	0441	0441	\$56,000.00			\$56,000.00
1050	1050	FNANCIAL MANAGEMENT	10500	10500	0100	0219	0219	\$959.00			\$959.00
1050	1050	FNANCIAL MANAGEMENT	10500	10500	0100	0419	0419	\$1,500.00			\$1,500.00
1060	1060	LEGAL	10600	10600	0100	0201	0201	\$1,440.00			\$1,440.00
1060	1060	LEGAL	10600	10600	0100	0219	0219	\$5,750.00			\$5,750.00
1060	1060	LEGAL	10600	10600	0100	0401	0401	\$1,600.00			\$1,600.00
1060	1060	LEGAL	10600	10600	0100	0402	0402	\$24,800.00			\$24,800.00
1060	1060	LEGAL	10600	10600	0100	0410	0410	\$8,000.00			\$8,000.00
1060	1060	LEGAL	10600	10600	0100	0419	0419	\$10,100.00			\$10,100.00
1070	1070	FLEET MANAGEMENT	10700	10700	0100	0404	0404	\$15,900.00			\$15,900.00
1085	1085	CUSTOMER SERV CE	10850	10850	0100	0201	0201	\$2,160.00			\$2,160.00
1085	1085	CUSTOMER SERVICE	10850	10850	0100	0219	0219	\$11,130.00			\$11,130.00
1085	1085	CUSTOMER SERVICE	10850	10850	0100	0401	0401	\$5,000.00			\$5,000.00
1085	1085	CUSTOMER SERVICE	10850	10850	0100	0402	0402	\$3,400.00			\$3,400.00
1085	1085	CUSTOMER SERVICE	10850	10850	0100	0410	0410	\$14,000.00			\$14,000.00
2010	2010	AUDIT	20100	20100	0100	0201	0201	\$6,240.00			\$6,240.00
2010	2010	AUDIT	20100	20100	0100	0401	0401	\$15,000.00			\$15,000.00
2010	2010	AUDIT	20100	20100	0100	0419	0419	\$50,000.00			\$50,000.00
2030	2030	INSPECTONS AND EVALUATONS	20300	20300	0100	0201	0201	\$2,600.00			\$2,600.00
2030	2030	INSPECTONS AND EVALUATONS	20300	20300	0100	0401	0401	\$6,600.00			\$6,600.00
2030	2030	INSPECTONS AND EVALUATONS	20300	20300	0100	0402	0402	\$8,300.00			\$8,300.00
2030	2030	INSPECTONS AND EVALUATONS	20300	20300	0100	0419	0419	\$29,500.00			\$29,500.00
3001	3001	EXECUTIVE	30010	30010	0100	0201	0201	\$600.00			\$600.00
3001	3001	EXECUTIVE	30010	30010	0100	0219	0219	\$2,200.00			\$2,200.00
3001	3001	EXECUTIVE	30010	30010	0100	0401	0401	\$2,300.00			\$2,300.00
3001	3001	EXECUTIVE	30010	30010	0100	0402	0402	\$18,300.00			\$18,300.00
3001	3001	EXECUTIVE	30010	30010	0100	0410	0410	\$227,700.00			\$227,700.00
3001	3001	EXECUTIVE	30010	30010	0100	0419	0419	\$40,000.00			\$40,000.00
3010	3010	INVESTIGATIONS	30100	30100	0100	0201	0201	\$4,500.00			\$4,500.00
3010	3010	INVESTIGATIONS	30100	30100	0100	0219	0219	\$1,800.00			\$1,800.00
3010	3010	INVESTIGATIONS	30100	30100	0100	0401	0401	\$22,760.00			\$22,760.00
3010	3010	INVESTIGATIONS	30100	30100	0100	0419	0419	\$4,000.00			\$4,000.00
3020	3020	MFCU 25% MATCH	30200	30200	0100	0201	0201	\$40,000.00			\$40,000.00
4011	4011	RISK ASSESSMENT AND FUTURE PLANNING	40011	40110	0100	0201	0201	\$1,900.00			\$1,900.00
4011	4011	RISK ASSESSMENT AND FUTURE PLANNING	40011	40110	0100	0219	0219	\$1,100.00			\$1,100.00
4011	4011	RISK ASSESSMENT AND FUTURE PLANNING	40011	40110	0100	0401	0401	\$4,000.00			\$4,000.00
4011	4011	RISK ASSESSMENT AND FUTURE PLANNING	40011	40110	0100	0402	0402	\$16,000.00			\$16,000.00
4011	4011	RISK ASSESSMENT AND FUTURE PLANNING	40011	40110	0100	0410	0410	\$2,000.00			\$2,000.00
4011	4011	RISK ASSESSMENT AND FUTURE PLANNING	40011	40110	0100	0419	0419	\$15,000.00			\$15,000.00
4011	4011	RISK ASSESSMENT AND FUTURE PLANNING	40011	40110	0100	0442	0442	\$74,600.00			\$74,600.00
5001	5001	QUALITY MANAGEMENT	50010	50001	0100	0201	0201	\$1,500.00			\$1,500.00
5001	5001	QUALITY MANAGEMENT	50010	50001	0100	0219	0219	\$1,300.00			\$1,300.00
5001	5001	QUALITY MANAGEMENT	50010	50001	0100	0401	0401	\$4,200.00			\$4,200.00
5001	5001	QUALITY MANAGEMENT	50010	50001	0100	0402	0402	\$12,500.00			\$12,500.00
5001	5001	QUALITY MANAGEMENT	50010	50001	0100	0410	0410	\$16,000.00			\$16,000.00
1100	1100	Paygo Capital	10000	11000	0100	0510	0510	\$2,081,045.00			\$2,081,045.00
TOTAL								\$2,081,045.00			\$2,081,045.00

Fund Data	Project Title	Appr Year	AG/ IAG Code	Project Number	Project Phase	Appr Number	Index	PCA	Object	Current Budget Amount	Amount of Increase (+)	Revised Budget Amount
0301	IT Upgrade	16	AD101C	06	16596	80100	80100	80100	0409	\$310,090.48	\$693,681.67	\$1,003,772.15
0301	IT Upgrade	16	AD101C	07	16597	80100	80100	80100	0409	\$0.00	\$693,681.67	\$693,681.67
0301	IT Upgrade	16	AD101C	08	16598	80100	80100	80100	0409	\$82,837.00	\$693,681.67	\$776,518.67
Rep og am local funds to Pay-go-Capital fund to pay fo info mat on technology inf ast actus e upg ades.										\$2,081,045.00		

CC/ Program Code	RC/ Activity Code	Control Center / Responsibility Center/Program Name	Agency Fund	PCA	INDEX	Object Class	Approved Budget Amount	Current Budget Amount	Amount of Decrease (-)	Amount of Increase (+)	Revised Budget Amount
1085	1085	Customer Service	0300	10850	10850	0111	\$497,021.97	\$497,021.97	\$86,000.00		\$411,021.97
3010	3010	Investigations	0300	30100	30100	0111	\$1,933,475.22	\$1,933,475.22	\$83,000.00		\$1,850,475.22
4000	4000	Risk Assessment and Future Planning	0300	40110	40110	0111	\$700,800.69	\$700,800.69	\$281,000.00		\$419,800.69
3000	3000	Executive Planning	0300	30010	30010	0410	\$526,660.00	\$526,660.00	\$450,000.00		\$976,660.00
TOTAL							\$3,657,957.88	\$3,657,957.88	\$450,000.00	\$450,000.00	\$3,657,957.88

21. Please list, in chronological order, every reprogramming within your agency during FY 17 and 18, to date, as well as any anticipated intra-agency reprogrammings. Please attach copies of any reprogramming documents. For each reprogramming, include:
- The date;
 - The dollar amount;
 - The funding source (i.e. local, federal, SPR);
 - The program, activity, and CSG codes for the originating funds;
 - The program, activity, and CSG codes for the received funds; and

- f. A detailed rationale for the reprogramming.

OIG Response: Please see Table 13 below. There have been no internal reprogrammings in FY 18, to date.

Table 13: FY 17 Internal Reprogrammings

FY 2017											
CC/ Program Code	RC/ Activity Code	Control Center / Responsibility Center/Program Name	Agency Fund	PCA	INDEX	Object Class	Approved Budget Amount	Current Budget Amount	Amount of Decrease (-)	Amount of Increase (+)	Revised Budget Amount
1085	1085	Customer Service	0100	10850	10850	0111	\$497,021.97	\$497,021.97	\$86,000.00		\$411,021.97
3010	3010	Investigations	0100	30100	30100	0111	\$1,933,475.22	\$1,933,475.22	\$83,000.00		\$1,850,475.22
4000	4000	Risk Assessment and Future Planning	0100	40110	40011	0111	\$700,800.69	\$700,800.69	\$281,000.00		\$419,800.69
3000	3000	Executive	0100	30010	30010	0410	\$526,660.00	\$526,660.00		\$450,000.00	\$976,660.00
TOTAL							\$3,657,957.88	\$3,657,957.88	\$450,000.00	\$450,000.00	\$3,657,957.88
Reprogramming within OIG to realign PS AND NPS to meet current needs operational needs.											

22. For FY 17 and FY 18, to date, please identify any special purpose revenue funds maintained by, used by, or available for use by the agency. For each fund identified, provide:
- The revenue source name and fund code;
 - A description of the program that generates the funds;
 - The revenue funds generated annually by each source or program;
 - Expenditures of funds, including the purpose of each expenditure; and
 - The current fund balance (i.e. budget versus revenue)

OIG RESPONSE: Not applicable. The OIG does not have any special purpose revenue funds.

23. Please list all memoranda of understanding (“MOU”) and memoranda of agreement (“MOA”) entered into by your agency during FY 17 and FY 18, to date, as well as any MOU or MOA currently in force. (You do not need to repeat any intra-district MOUs that were covered in the question above on intra-district transfers.)
- For each MOU, indicate:
 - The parties to the MOU or MOA
 - Whether a letter of intent was signed in the previous fiscal year and if so, on what date,
 - The date on which the MOU or MOA was entered,
 - The actual or anticipated termination date,
 - The purpose, and
 - The dollar amount.
 - Attach copies of all MOUs or MOAs, other than those for overhead or logistical services, such as routine IT services or security.
 - Please list any additional MOUs and MOAs planned for FY 18, including the anticipated agency(ies), purposes, and dollar amounts.

OIG RESPONSE: Please see Table 14 below. Note: several MOUs reflect a law enforcement technique or a law enforcement procedure and have therefore been omitted. All other MOUs are related to overhead or logistical services.

Table 14: OIG MOUs/MOAs.

Agency	Letter of Intent?	Scope of Agreement	Date Established (or Revised)	Anticipated Termination Date	Dollar Amount
Metropolitan Police Department	No	Employee Investigations and fingerprinting.	2015	n/a	Reimbursable per service.
Department of Health Care Finance	No	Information sharing and de-confliction of responsibilities	2015	n/a	n/a

Agency	Letter of Intent?	Scope of Agreement	Date Established (or Revised)	Anticipated Termination Date	Dollar Amount
Criminal Justice Coordinating Council (CJCC)	No	Access to CJCC’s Justice Information System	Original February 2016 (Under review February 2018)	n/a	n/a
Office of the Chief Financial Officer	No	Financial services for the OIG	April 2016	n/a	n/a
United States Treasury Department	No	Access to the Financial Crimes Enforcement Network	June 2016	n/a	n/a
Metropolitan Police Department	No	OIG access to the Washington Area Law Enforcement System (WALES) and National Crime Information Center (NCIC)	June 2016	n/a	n/a
The Council of the District of Columbia	No	Purchase of the D.C. Official Code for FY 2017	October 2016	n/a	n/a
U.S. Government Accountability Office	No	Training – Center for Audit Excellence	March 2017	n/a	n/a
Department of Human Resources	No	Executive Leadership Program	October 2016 December 2017	September 2017 September 2018	\$12,000 \$12,500
Department of Forensic Sciences	No	Examination of digital forensic evidence for OIG investigations	March 2017	n/a	Reimbursable per service.
Treasury Inspector General for Tax Administration	No	Investigative Technical Support	Planned (Under Review as of February 2018)	n/a	\$10,000.00
Office of the Chief Technology Officer	No	Office 365 Enterprise Licenses	Planned (Under Review as of February 2018)	n/a	\$23,857.35
Department of Human Resources	No	DCHR Chapter 4 Suitability (fingerprinting, routine drug testing, background checks of new employees)	Planned (FY 2018)	n/a	n/a

24. Please list all capital projects in the financial plan and provide an update on all capital projects under the agency’s purview in FY 17 and FY 18, to date, including projects that are managed or overseen by another agency or entity. Please provide:
- A brief description of each project begun, in progress, or concluded in FY 16, FY 17, and FY 18, to date;
 - A status report on all capital projects including:
 - The amount budgeted, actual dollars spent, and any remaining balances;
 - Start and completion dates; and
 - Current status of the project.
 - A list of which projects are experiencing delays and which require additional funding;
 - A status report on all capital projects planned for FY 18, FY 19, FY 20, FY 21, FY 22, and FY 23; and
 - A description of whether the capital projects begun, in progress, or concluded in FY 16, FY 17, or FY 18, to date, had an impact on the operating budget of the agency; if so, please provide an accounting of such impact.

OIG Response:

- In FY 17, the OIG initiated hardware, software, and infrastructure upgrades related to the OIG’s IT systems.
- Please see Table 15 below.

Table 15: OIG Capital Projects

Project #: AD101C - IT UPGRADE IA: AD0 - OFFICE OF THE INSPECTOR GENERAL																
Approp Fund	Agy Fund	Approp Year	Project Phase	Approp No	Index Code	PCA	Comp Object	Budget	Allotments	Expenditures	Encumbrances	Intra district Advances	Pre encumbrances	Allotment Balance	Lifetime Balance	
0300	0301	2016	06	16196	80100	80100	0409	1,093,681.67	1,093,681.67	89,909.52	42,761.68	0.00	504,462.00	961,010.47	456,548.47	
			07	16197	80102	80100	0409	893,681.66	893,681.66	199,999.48	0.00	0.00	0.00	693,682.18	693,682.18	
			08	16198	80103	80100	0409	893,681.67	893,681.67	117,162.68	0.00	0.00	0.00	776,518.99	776,518.99	
0300								2,881,045.00	2,881,045.00	407,071.68	42,761.68	0.00	504,462.00	2,431,211.64	1,926,749.64	
Total								2,881,045.00	2,881,045.00	407,071.68	42,761.68	0.00	504,462.00	2,431,211.64	1,926,749.64	

- c. None.
 - d. In FY 18, the OIG plans to continue to upgrade its IT infrastructure so that an Agency-wide Management Information System can be implemented in FY 19.
 - e. Capital projects did not impact the OIG's operational budget.
25. Part I. The committee would like to better understand the agency's programmatic needs and the associated budgetary costs. Please submit copies of your FY 19 budget submission to the Mayor's Office of Budget and Finance (OBF). In FY 19, this includes:
- a. The Operating Budget Submission Memo;
 - b. Attachment A, Vacancy List;
 - c. Form 1 (Impact of Agency's Marc);
 - d. Form 2 (Enhancement Requests); and
 - e. Attachment B, List of intra-districts.

Part II: In addition, please identify:

- a. Which of your agency's MARC reductions and hypothetical 2% cuts (Form 1) were accepted or rejected (i.e. if the cut was rejected, the funds were not swept and if the cuts were accepted, the funds were swept) ; and
- b. Which of your agency's enhancement requests (Form 2) were accepted (i.e. which enhancements were added to your agency's FY 19 budget).
- c. For FY 17 and FY 18, please include each fiscal year's information for #24 Part I and Part II. Please indicate if your agency is willingly omitting any information requests in Part I and Part II.

OIG Response: Part 1. For Part I and II, please see the attachments in the following pages. For Part II, the OIG has not received feedback from the Mayor's OBF regarding its FY 19 budget, to date. For FY 17 and FY 18 budget requests, the OIG is not included Program Enhancement Form 2, as they've been marked Confidential – Deliberative Process.

Attachment: OIG FY 2019 Budget Request

GOVERNMENT OF THE DISTRICT OF COLUMBIA
Office of the Inspector General

Inspector General



MEMORANDUM

TO: Jennifer Reed
Interim Director
Office of Budget and Finance

FROM: Daniel W. Lucas
Inspector General *DW Lucas*
Office of the Inspector General

DATE: November 21, 2017

SUBJECT: FY 2019 Operating Budget Submission for Office of Inspector General - ADO

This memorandum serves as the FY 2019 budget submission from the District of Columbia Office of the Inspector General (OIG).

The Agency's gross budget need for FY 2019 is \$20,861,210.50, which includes local funds of \$18,103,222 and 104.8 Full-Time Equivalent (FTE) positions, plus federal grant funds of \$2,757,988 and 17.2 Full-Time Equivalent (FTE) positions. This exceeds our baseline budget (MARC) by \$2,504,625. The OIG is submitting four (4) enhancement requests to allow the agency to move forward with full implementation of initiatives critical to the agency's mission.

Included are these discussion topics for consideration: (1) a list of the OIG's vacancies and status of recruitment; (2) the vacancy rate in the OIG's budget submission; (3) federal funding changes expected for FY 2019; (4) special purpose revenue changes expected for FY 2019; (5) a list of all intra-district amounts OIG is expecting in FY 2019; (6) anticipated FY 2018 spending pressures; (7) FY 2018 and FY 2019 special purpose revenue budget authority issues; and (8) legislative changes requested for OIG in the Budget Support Act (BSA).

Attachment: OIG FY 2019 Budget Request

I. OIG Vacancies and Status of Recruitment

The OIG has 23 vacancies. All are in various stages of recruitment as described below.

Position	Division/ Unit	Recruitment Status
Investigative Analyst, CS-11	Risk Assessment and Future Planning/ Hotline Program	
Criminal Investigator, CS-13	Risk Assessment and Future Planning/ Hotline Program	
Supervisory Contract Specialist, MSS-14	Business Management/ Contracts and Procurements	
Human Resources Specialist, CS-14	Business Management/ Human Resources	
Program Coordinator, CS-12	Business Management/ Human Resources	
Supervisory IT Specialist, MSS-14	Business Management/ Information Technology	
Administrative Support Specialist, CS-12	Business Management/ Executive	
Deputy Assistant Inspector General for Audit, MSS-15	Operations/ Audit	
Auditor, CS-12	Operations/ Audit	

Attachment: OIG FY 2019 Budget Request

Position	Division/ Unit	
Auditor, CS-12 Continued		
Auditor, CS 14	Operations/ Audit	
Supervisory Criminal Investigator, MSS-14	Operations/ Investigations	
Criminal Investigator, CS-14 (2)	Operations/ Investigations	
Criminal Investigator, CS-13 (2)	Operations/ Investigations	
Criminal Investigator, CS-12 (3)	Operations/ Investigations	

Attachment: OIG FY 2019 Budget Request

Position	Division/ Unit	Recruitment Status
Deputy Director, ES-9	Operations, Medicaid Fraud Control Unit	
Attorney Advisor, ES-8	Operations, Medicaid Fraud Control Unit	
Criminal Investigator, CS-12 (2)	Operations, Medicaid Fraud Control Unit	
Attorney Advisor, ES-8	Office of General Counsel	

II. Vacancy Savings Rate¹

The OIG received a vacancy savings waiver in 2017. The Office of Inspector General (OIG) is again requesting a full appropriation of the personnel costs for FY 2019.

While OIG has experienced position classification obstacles and recruitment delays in the past, the current efforts to recruit a highly-qualified workforce will produce full staffing this fiscal year. OIG vacancies are properly classified at the grades and levels to meet its legislative mandates. If a vacant position is not in the recruitment process, the OIG plans to do so by the end of Q2 of FY 2018 and does not plan to have any vacancies by late FY 2018.

The full budgetary requirements of the agency for FY 2019 include resources to add five (5) more positions. These resources are essential for meeting OIG’s legislative mandate. Not

¹ A "vacancy saving" is any monies saved or generated in personal services and employee-related expenditures by: (1) not filling a position which has become vacant by termination of an employee; (2) not filling a newly authorized position; (3) filling an authorized position at a grade or step lower than is authorized by the legislature; or (4) a downward reclassification of an authorized position.

Attachment: OIG FY 2019 Budget Request

filling positions is not an option for performance and operational reasons. OIG understands the policy objective of moving to a standardized approach to budgeting for vacancy savings across all agencies. However, OIG faces unique challenges as it moves to increase staffing levels to achieve results fully aligned with the mission established for the agency by law.

Finally, the independent nature of the OIG's work requires that it has independent control over its budget and use of local funding as reflected in Code and reinforced by the provision that calls for the Mayor to forward the agency's annual budget to the Council without revision.

As a reminder, according to D.C. Code § 1-301.115a, it is the purpose of the Office of the Inspector General to independently:

- (1) Conduct and supervise audits, inspections and investigations relating to the programs and operations of District government departments and agencies, including independent agencies;
- (2) Provide leadership and coordinate and recommend policies for activities designed to promote economy, efficiency, and effectiveness and to prevent and detect corruption, mismanagement, waste, fraud, and abuse in District government programs and operations; and
- (3) Provide a means for keeping the Mayor, Council, and District government department and agency heads fully and currently informed about problems and deficiencies relating to the administration of these programs and operations and the necessity for and progress of corrective actions.

Therefore, the OIG cannot allow for any vacancy savings for FY 2019 budget for two reasons. First, all OIG vacancies are properly classified at the grades and levels to meet our legislative mandates. If a vacant position is not in the recruitment process, the OIG plans to do so by the end of Q2 of FY 2018, and OIG does not plan to have any vacancies by late FY 2018. Second, the OIG is requesting additional FTEs for FY 2019 to meet its legislative mandate. Not filling positions is not an option for performance and operational reasons.

III. Federal Funding Changes Expected for FY 2019

The OIG expects a slight increase to its federal funding for FY 2019. The OIG has one federal grant for its Medicaid Fraud Control Unit (MFCU) that fluctuates about 3% from fiscal year to fiscal year. This fluctuation is attributable to staff salary increases from within-grade increases (WIGI) and District-offered cost of living increases (COLAs), and slight increases to allow for inflation for items on the NPS budget.

IV. Special Purpose Revenue Changes Expected for FY 2019

The OIG does not have Special Purpose Revenues and does not expect that to change for FY 2019.

Attachment: OIG FY 2019 Budget Request

V. Intra District Amounts OIG is Expecting for FY 2019

The OIG is expecting to be the “buyer agency” for two intra-District amounts:

1. Office of the Chief Financial Officer (OCFO) for financial services. There is a letter of intent in place for this purpose.
2. D.C. Office of Human Resources (DCHR) for services related to suitability (Chapter 4 of the DPM). There is not a letter of intent in place for this purpose.

The OIG will not be the “seller agency” for any intra District funds.

VI. Anticipated FY 2018 Spending Pressures

The OIG does not foresee spending pressures for FY 2018.

VII. FY 2018 and FY 2019 Special Purpose Revenue Budget Authority Issues

The OIG does not have Special Purpose Revenue Budget Authority and does not expect that to change for FY 2019.

VIII. Legislative Changes

OIG will not seek a legislative change to the FY 2019 Budget Support Act (BSA) of 2018 as outlined below.

IX. Conclusion

If you have questions, please contact Jaime Yarussi, Deputy Inspector General for Business Management, at (202) 727- 5064 or James Hurley, Agency Fiscal Officer, at (202) 727-3605.

Encl: FY 2019 Budget Formulation Application (BFA)
Enhancement Request Form 2: FTE Enhancement for the Office of the Inspector General for FY 2019 (PS, recurring)
Enhancement Request Form 2: Grant Risk Assessment for the District of Columbia for FY 2019 (NPS, one-time)
Enhancement Request Form 2: Overtime Compensation for Special Agents/ Criminal Investigators for the Office of the Inspector General for FY 2019 (PS, recurring)
Enhancement Request Form 2: Strategic Plan Implementation for the Office of the Inspector General for FY 2019 (NPS, one-time)
Form 1: Statement of Impact

Attachment: OIG FY 2019 Budget Request

2019 Proposed Budget (Multiple Items)			Values		
Fund & Title	Program & Title	Comp Obj & Title	Sum of 2019 Proposed Budget	Sum of 2019 Proposed	
0100 - LOCAL FUNDS	1010 - PERSONNEL	0111 - CONTINUING FULL TIME	109,408.32	1	
		0147 - MISC FRINGE BENEFITS	23,632.20	0	
	1020 - CONTRACTING AND PROCUREMENT	0111 - CONTINUING FULL TIME	103,234.87	2	
		0147 - MISC FRINGE BENEFITS	22,298.73	0	
		0201 - OFFICE SUPPLIES	480.00	0	
		0401 - TRAVEL - LOCAL	360.00	0	
		0419 - TUITION FOR EMPLOYEE TRAINING	2,000.00	0	
	1030 - PROPERTY MANAGEMENT	0111 - CONTINUING FULL TIME	99,940.58	1	
		0147 - MISC FRINGE BENEFITS	21,587.17	0	
		0201 - OFFICE SUPPLIES	240.00	0	
		0401 - TRAVEL - LOCAL	180.00	0	
		0408 - PROF SERVICE FEES AND CONTR	10,500.00	0	
	1040 - INFORMATION TECHNOLOGY	0419 - TUITION FOR EMPLOYEE TRAINING	1,500.00	0	
		0111 - CONTINUING FULL TIME	237,168.00	2	
		0125 - TERM FULL-TIME	85,626.00	1	
		0147 - MISC FRINGE BENEFITS	69,723.51	0	
		0201 - OFFICE SUPPLIES	720.00	0	
		0219 - IT SUPPLIES	180,412.00	0	
		0401 - TRAVEL - LOCAL	540.00	0	
		0419 - TUITION FOR EMPLOYEE TRAINING	6,000.00	0	
		0441 - IT HARDWARE MAINTENANCE	523,833.00	0	
		0442 - IT SOFTWARE MAINTENANCE	191,238.00	0	
	1050 - FINANCIAL MANAGEMENT	0111 - CONTINUING FULL TIME	120,510.00	1	
		0147 - MISC FRINGE BENEFITS	26,030.16	0	
		0201 - OFFICE SUPPLIES	240.00	0	
		0401 - TRAVEL - LOCAL	180.00	0	
		0408 - PROF SERVICE FEES AND CONTR	125,000.00	0	
	1060 - LEGAL	0419 - TUITION FOR EMPLOYEE TRAINING	1,500.00	0	
		0111 - CONTINUING FULL TIME	595,341.11	5	
		0147 - MISC FRINGE BENEFITS	128,593.68	0	
		0201 - OFFICE SUPPLIES	1,200.00	0	
		0401 - TRAVEL - LOCAL	900.00	0	
	1070 - FLEET MANAGEMENT	0402 - TRAVEL - OUT OF CITY	17,600.00	0	
		0408 - PROF SERVICE FEES AND CONTR	7,000.00	0	
		0419 - TUITION FOR EMPLOYEE TRAINING	7,500.00	0	
	1085 - CUSTOMER SERVICE	0404 - MAINTENANCE AND REPAIRS - AUTO	45,720.00	0	
		0111 - CONTINUING FULL TIME	429,417.19	5	
		0147 - MISC FRINGE BENEFITS	92,754.12	0	
		0201 - OFFICE SUPPLIES	3,940.00	0	
		0401 - TRAVEL - LOCAL	1,080.00	0	
		0408 - PROF SERVICE FEES AND CONTR	68,700.00	0	
		0419 - TUITION FOR EMPLOYEE TRAINING	9,000.00	0	
		2010 - AUDIT	0111 - CONTINUING FULL TIME	2,044,770.98	21
			0132 - ADMINISTRATIVE PREMIUM	100,000.00	0
			0147 - MISC FRINGE BENEFITS	441,670.51	0
	0201 - OFFICE SUPPLIES		5,280.00	0	
	0401 - TRAVEL - LOCAL		5,280.00	0	
	0402 - TRAVEL - OUT OF CITY		3,520.00	0	
	0408 - PROF SERVICE FEES AND CONTR		4,000.00	0	
	0419 - TUITION FOR EMPLOYEE TRAINING		33,000.00	0	
	0426 - AUDIT COSTS		1,850,000.00	0	
	0442 - IT SOFTWARE MAINTENANCE		13,965.00	0	
	2030 - INSPECTIONS AND EVALUATION	0111 - CONTINUING FULL TIME	1,089,866.28	11	
		0147 - MISC FRINGE BENEFITS	235,411.12	0	
		0201 - OFFICE SUPPLIES	2,880.00	0	
		0401 - TRAVEL - LOCAL	7,880.00	0	
		0402 - TRAVEL - OUT OF CITY	3,520.00	0	
		0419 - TUITION FOR EMPLOYEE TRAINING	18,000.00	0	
	3001 - EXECUTIVE	0442 - IT SOFTWARE MAINTENANCE	7,315.00	0	
		0111 - CONTINUING FULL TIME	481,322.09	3	
		0125 - TERM FULL-TIME	201,573.71	1	
		0147 - MISC FRINGE BENEFITS	147,505.49	0	
		0201 - OFFICE SUPPLIES	960.00	0	
		0401 - TRAVEL - LOCAL	1,280.00	0	
		0402 - TRAVEL - OUT OF CITY	7,040.00	0	
	3010 - INVESTIGATIONS	0408 - PROF SERVICE FEES AND CONTR	457,508.85	0	
		0419 - TUITION FOR EMPLOYEE TRAINING	6,000.00	0	
		0111 - CONTINUING FULL TIME	1,994,880.41	21	
		0132 - ADMINISTRATIVE PREMIUM	100,000.00	0	
		0147 - MISC FRINGE BENEFITS	430,894.16	0	

Attachment: OIG FY 2019 Budget Request

Fund & Title	Program & Title	Comp Obj & Title	Sum of 2019 Proposed Budget	Sum of 2019 Proposed
	3010 - INVESTIGATIONS	0201 - OFFICE SUPPLIES	4,800.00	0
		0401 - TRAVEL - LOCAL	4,800.00	0
		0402 - TRAVEL - OUT OF CITY	3,520.00	0
		0408 - PROF SERVICE FEES AND CONTR	5,000.00	0
		0419 - TUITION FOR EMPLOYEE TRAINING	96,800.00	0
	3020 - MFCU 25% MATCH	0111 - CONTINUING FULL TIME	568,333.35	5.75
		0147 - MISC FRINGE BENEFITS	122,760.02	0
		0201 - OFFICE SUPPLIES	1,380.00	0
		0219 - IT SUPPLIES	5,960.50	0
		0401 - TRAVEL - LOCAL	8,407.50	0
		0402 - TRAVEL - OUT OF CITY	5,028.00	0
		0408 - PROF SERVICE FEES AND CONTR	9,620.00	0
		0419 - TUITION FOR EMPLOYEE TRAINING	8,625.00	0
		0442 - IT SOFTWARE MAINTENANCE	23,591.00	0
	4011 - RISK ASSESSMENT AND FUTURE	0111 - CONTINUING FULL TIME	721,473.00	9
		0147 - MISC FRINGE BENEFITS	155,838.17	0
		0201 - OFFICE SUPPLIES	1,920.00	0
		0401 - TRAVEL - LOCAL	1,920.00	0
		0402 - TRAVEL - OUT OF CITY	7,040.00	0
		0408 - PROF SERVICE FEES AND CONTR	3,000.00	0
		0419 - TUITION FOR EMPLOYEE TRAINING	12,000.00	0
		0442 - IT SOFTWARE MAINTENANCE	117,360.00	0
	5001 - QUALITY MANAGEMENT	0111 - CONTINUING FULL TIME	443,686.86	5
		0147 - MISC FRINGE BENEFITS	95,836.36	0
		0201 - OFFICE SUPPLIES	1,200.00	0
		0401 - TRAVEL - LOCAL	1,200.00	0
		0402 - TRAVEL - OUT OF CITY	3,520.00	0
		0408 - PROF SERVICE FEES AND CONTR	80,000.00	0
		0419 - TUITION FOR EMPLOYEE TRAINING	7,500.00	0
		0442 - IT SOFTWARE MAINTENANCE	13,325.00	0
0100 - LOCAL FUNDS Total			15,598,597.00	94.75
8200 - FEDERAL GRANTS	3030 - MEDICAID FRAUD CONTROL UNI	0111 - CONTINUING FULL TIME	1,705,000.00	17.25
		0147 - MISC FRINGE BENEFITS	368,280.00	0
		0201 - OFFICE SUPPLIES	4,140.00	0
		0219 - IT SUPPLIES	17,881.50	0
		0309 - RENTALS - LAND AND STRUCTURES	170,833.50	0
		0401 - TRAVEL - LOCAL	25,222.50	0
		0402 - TRAVEL - OUT OF CITY	15,084.00	0
		0408 - PROF SERVICE FEES AND CONTR	28,860.00	0
		0419 - TUITION FOR EMPLOYEE TRAINING	25,875.00	0
		0442 - IT SOFTWARE MAINTENANCE	70,773.00	0
		0523 - AGENCY INDIRECT COST	326,039.00	0
8200 - FEDERAL GRANTS Total			2,757,988.50	17.25
Grand Total			18,356,585.50	112

Attachment: OIG FY 2019 Budget Request

GOVERNMENT OF THE DISTRICT OF COLUMBIA
Office of the City Administrator



Office of Budget and Finance

FY 2019 PROGRAM ENHANCEMENT - FORM 2

Agency Program Enhancement Request Details

This form enables agencies to submit a budget enhancement request with supporting details, as part of the budget formulation process led by the Office of Budget and Finance.

Enhancement requests should be for innovative, evidence-based ideas around new spending that will improve the quality and efficiency of city services provided to District residents, or for additional resources needed to support substantial volume increases in services provided.

IMPORTANT NOTES ABOUT SUBMITTING AN ENHANCEMENT REQUEST:

- Sections I, II and III are required for all enhancement requests. If you are requesting resources to launch a new program or service, or a significant expansion of your current services, you must also complete sections IV, and V. Incomplete submissions will be returned.
- When submitting your enhancement request(s), submit only to Jenny Reed, Interim Budget Director, and your OCA budget analyst. Please submit each unique enhancement request on a fresh instance of this document. Take as much space as you need to answer each question well.

John A. Wilson Building | 1350 Pennsylvania Ave., NW, Suite 513 | Washington, DC 20004

Attachment: OIG FY 2019 Budget Request

I. Request Snapshot

<p>Agency Name: The Office of the Inspector General</p> <p>Agency Code: AD0</p> <p>Agency Point of Contact: Jaime M. Yarussi</p> <p>Date: 11/17/2017</p> <p>Enhancement title: FTE Enhancement for the Office of the Inspector General for FY 2019</p> <p>This request is priority # <u>1</u> out of 4 enhancement requests submitted for this agency.</p> <p>What is the total amount of Local funds requested?</p> <p>Personal Services (PS) Funds: \$678,536</p> <p>Non-Personal Services (NPS) Funds: \$0.00</p> <p>FTEs: 5</p> <p>What type of cost will this enhancement be? (select one)</p> <p>One-time / Partially recurring / Recurring <input checked="" type="checkbox"/></p> <p>If it is a recurring, or partially recurring cost, what do you estimate to be the costs in FY 2020, 2021, and 2022?</p> <p>FY 2020: \$684,723</p> <p>FY 2021: \$688,566</p> <p>FY 2022: \$702,569</p>
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Attachment: OIG FY 2019 Budget Request

II. Rationale

What problem for the District are you aiming to address?

The District's operating budget and commensurate programs requiring oversight continues to grow, however, the OIG's number of FTEs required to respond, remain stagnant. Given the increased work of the OIG, in line with the District's growth, additional staffing is necessary to meet the legislative mandates of the OIG.

OIG is requesting five (5) additional FTEs. Two (2) requested FTEs will support the OIG's operational units (1 FTE is proposed for the Audit Unit and 2 FTEs are proposed for the Investigations Unit), one (1) FTE will support the Business Management Division, and one (1) FTE will support the Office of General Counsel.

Enhancing the District's Office of the Inspector General's authorized FTE count will benefit the District. Additional FTEs will allow the OIG to provide greater oversight coverage and improve engagement timelines.

What are the reasons this problem exists?

During eight fiscal years (FY), FY 2010 to FY 2018, OIG lost 8 FTEs. While the growth of the District steadily increases, the OIG number of FTEs either declined or remained the same. Given the increased work of the OIG, in line with the District's growth, additional staffing is necessary to meet the legislative mandates of the OIG.

How does this enhancement address this problem and its underlying reasons?

Additional FTEs will allow the OIG to better address the oversight needs of the District. Increased FTEs will ensure that as the District grows and needs of District agencies and citizens increases, the OIG can and will meet those needs in a more timely and efficient manner. It will also allow the OIG to deliver the right information in the right timeframe. With an increase in FTEs, the OIG will be nimble enough to continue following the annual plan it sets out while addressing an increase in requests for special projects from Council and the Executive Office of the Mayor while also tackling requests from agencies. OIG's goal is to identify risk areas and take a proactive approach to helping agencies remediate problem areas before they occur. Additional FTEs will help OIG meet these needs.

OIG is requesting one FTE for its Audit Unit (AU). Given the OIG's future reliance on contracting out for technical experts, full-service independent auditors, and taking over responsibility for single audit oversight, the Audit Unit will require one additional auditor to assist with conducting audits. Increasing the OIG AU by one staff will increase the OIG capacity to perform these additional functions.

OIG is requesting two FTEs for its Investigations Unit (IU). FTEs were repurposed from IU to staff a new OIG Division. It is now essential for us to replace those FTEs in IU so OIG Investigations are more timely, efficient and effective. By gaining two FTEs in IU, the unit can acquire additional expertise to address waste, fraud and mismanagement of funds in District programs. As the budget for the District grows, so does the work for OIG's IU. IU is operating under capacity for the work they must do and an FTE increase is necessary so they can meet its legislative mandate.

Attachment: OIG FY 2019 Budget Request

In FY 2016, the OIG entered an MOU with the Office of the Chief Financial Officer for financial services when our long-time Budget Officer Retired. We repurposed that position within the Business Management Division. While the OIG believes the work we have done while under MOU with the OCFO's Office has been very beneficial, we are seeking to bring the position back to OIG and requesting the additional FTE to do so.

FY 2015, OIG's Office of General Counsel reorganized themselves with specific attorneys focusing on specific operational units. Each attorney is split between two operational units and the FOIA program. OIG is requesting an additional attorney with a procurement and litigation focus. This FTEs focus will be on assisting with both review of agency-wide contracts and assisting with the criminal investigation development.

Will legislative support be required? (If relevant, briefly describe any enabling legislation required in the Budget Support Act)

No.

Attachment: OIG FY 2019 Budget Request

III. FY 2019 Mayoral Priorities

In FY 2019, OBF will be prioritizing enhancement requests that help advance key Mayoral priorities (listed below). Please note, you may submit requests that do not fit with one of the key Mayoral priorities.

Does your enhancement request advance on the FY 2019 key Mayoral priorities? If yes, please note which one(s) and how your request enhances the priority.

FY 2019 Mayoral Priorities

Improve Outcomes for Children and Youth

- Expand the availability and affordability of high-quality childcare*
- Address the needs of communities and individuals most impacted by violence*
- Expand opportunities that will further close the K-12 achievement gap*

Increase Prosperity across all 8 Wards

- Expand efforts to produce, preserve, and protect affordable housing*
- Reduce health disparities with a focus on health equity*
- Continue efforts to make homelessness rare, brief and non-recurring*
- Put more DC residents on a pathway to the middle class*

Back to Basics: Enhance DC government services

- Strengthen the DC transportation and mobility infrastructure and experience*
- Take the DC government customer service experience to the next level*

Explanation:

Enhancing the District's Office of the Inspector General' FTE count will benefit the District, with additional FTEs OIG can and will take on and complete additional work within the District, for its citizens, for its elected officials and for agencies.

Attachment: OIG FY 2019 Budget Request

GOVERNMENT OF THE DISTRICT OF COLUMBIA
Office of the City Administrator



Office of Budget and Finance

FY 2019 PROGRAM ENHANCEMENT - FORM 2

Agency Program Enhancement Request Details

This form enables agencies to submit a budget enhancement request with supporting details, as part of the budget formulation process led by the Office of Budget and Finance.

Enhancement requests should be for innovative, evidence-based ideas around new spending that will improve the quality and efficiency of city services provided to District residents, or for additional resources needed to support substantial volume increases in services provided.

IMPORTANT NOTES ABOUT SUBMITTING AN ENHANCEMENT REQUEST:

- Sections I, II and III are required for all enhancement requests. If you are requesting resources to launch a new program or service, or a significant expansion of your current services, you must also complete sections IV, and V. Incomplete submissions will be returned.
- When submitting you enhancement request(s), submit only to Jenny Reed, Interim Budget Director, and your OCA budget analyst. Please submit each unique enhancement request on a fresh instance of this document. Take as much space as you need to answer each question well.

John A. Wilson Building | 1350 Pennsylvania Ave., NW, Suite 513 | Washington, DC 20004



Attachment: OIG FY 2019 Budget Request

I. Request Snapshot

<p>Agency Name: The Office of the Inspector General Agency Code: AD0 Agency Point of Contact: Jaime M. Yarussi Date: 11/17/2017 Enhancement title: Grant Risk Assessment for the District of Columbia This request is priority # 2 out of 4 enhancement requests submitted for this agency. What is the total amount of Local funds requested? Personal Services (PS) Funds: \$0 Non-Personal Services (NPS) Funds: \$500,000 FTEs: N/A What type of cost will this enhancement be? (select one) One-time X / Partially recurring / Recurring If it is a recurring, or partially recurring cost, what do you estimate to be the costs in FY 2020, 2021, and 2022? N/A</p>

Attachment: OIG FY 2019 Budget Request

II. Rationale

What problem for the District are you aiming to address?

For FY 2019, the OIG seeks to leverage the successes of the OIG's Procurement Risk Assessment and utilize the same process to evaluate the District's Grant system. Specifically, the OIG will seek to contract with an independent auditor to conduct a risk assessment on the awarding and administration of grant funds obtained by the District of Columbia, through any Agency, office or instrumentality of the District of Columbia, for funding a public purpose, which funds are obtained under a grant agreement duly executed by any private entity or person and the District of Columbia.

What are the reasons this problem exists?

D.C. Offices, Departments, Agencies, Boards, and Commissions are encouraged to utilize the District's Citywide Grants Manual and Sourcebook. The potential for the lack of uniform use and application of the District's Citywide Grants Manual and Sourcebook, creates a substantial risk to the District to ensure District grant dollars are being appropriately used in furtherance of the specific public benefit.

How does this enhancement address this problem and its underlying reasons?

This enhancement will specifically allow the OIG to identify specific risk areas within the District's grant continuum and develop follow-on projects designed to identify root causes and provide actionable recommendations to enhance the internal control environment.

Will legislative support be required? (If relevant, briefly describe any enabling legislation required in the Budget Support Act)

No.

Attachment: OIG FY 2019 Budget Request

III. FY 2019 Mayoral Priorities

In FY 2019, OBF will be prioritizing enhancement requests that help advance key Mayoral priorities (listed below). Please note, you may submit requests that do not fit with one of the key Mayoral priorities.

Does your enhancement request advance on the FY 2019 key Mayoral priorities? If yes, please note which one(s) and how your request enhances the priority.

FY 2019 Mayoral Priorities

Improve Outcomes for Children and Youth

- Expand the availability and affordability of high-quality childcare*
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Increase Prosperity across all 8 Wards

- Expand efforts to produce, preserve, and protect affordable housing*
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- Put more DC residents on a pathway to the middle class*

Back to Basics: Enhance DC government services

- Strengthen the DC transportation and mobility infrastructure and experience*
- Take the DC government customer service experience to the next level*

Explanation:

This enhancement will allow the OIG to identify specific risk areas within the District's grant continuum and develop follow-on projects that will be designed to identify root causes and provide actionable recommendations to enhance the internal control environment. This will improve the District's ability to ensure money provided through grants are appropriately managed and spent, thus supporting the Mayor's 2019 priorities.

Attachment: OIG FY 2019 Budget Request

GOVERNMENT OF THE DISTRICT OF COLUMBIA
Office of the City Administrator



Office of Budget and Finance

FY 2019 PROGRAM ENHANCEMENT - FORM 2

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Attachment: OIG FY 2019 Budget Request

I. Request Snapshot

<p>Agency Name: The Office of the Inspector General Agency Code: AD0 Agency Point of Contact: Jaime M. Yarussi Date: 11/17/2017 Enhancement title: Overtime Pay for Special Agents/ Criminal Investigators This request is priority # 3 out of 4 enhancement requests submitted for this agency. What is the total amount of Local funds requested? Personal Services (PS) Funds: \$516,089 Non-Personal Services (NPS) Funds: \$0.00 FTEs: This request impacts 21 FTEs at OIG What type of cost will this enhancement be? (select one) One-time / Partially recurring / Recurring X If it is a recurring, or partially recurring cost, what do you estimate to be the costs in FY 2020, 2021, and 2022? FY 2020: \$522,793 FY 2021: \$541,918 FY 2022: \$548,624</p>
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Attachment: OIG FY 2019 Budget Request

II. Rationale

What problem for the District are you aiming to address?

OIG's investigative work has increased over the years due in majority to the increased budget and authority given to District Agencies. Due to the nature of their work, OIG criminal investigators are often required to work outside of their regularly scheduled tours of duty or in addition to their regular tours of duty. The work they must do, and the timeframe in which they do it, impacts the overall efficiency of concluding cases when limited to a 40-hour traditional work schedule. Tasks such as serving subpoenas, executing search warrants, conducting surveillance, and affecting arrests can often fall outside a standard 40-hour work week.

What are the reasons this problem exists?

Historically, OIG criminal investigators were only authorized overtime, subject to availability of funding. The OIG never abudgeted for overtime of its criminal investigators as a policy. If overtime funding was unavailable, the OIG criminal investigator would work a 40-hour work week delaying the progress of criminal investigations; or worse, work uncompensated for additional hours worked.

How does this enhancement address this problem and its underlying reasons?

This enhancement will ensure the OIG budgets appropriately for criminal investigators to be appropriately compensated for hours worked over a standard 40-hour work week, without affecting or delaying a case due to funding unavailability.

Will legislative support be required? (If relevant, briefly describe any enabling legislation required in the Budget Support Act)

No.

Attachment: OIG FY 2019 Budget Request

III. FY 2019 Mayoral Priorities

In FY 2019, OBF will be prioritizing enhancement requests that help advance key Mayoral priorities (listed below). Please note, you may submit requests that do not fit with one of the key Mayoral priorities.

Does your enhancement request advance on the FY 2019 key Mayoral priorities? If yes, please note which one(s) and how your request enhances the priority.

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Increase Prosperity across all 8 Wards

- Expand efforts to produce, preserve, and protect affordable housing*
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- Continue efforts to make homelessness rare, brief and non-recurring*
- Put more DC residents on a pathway to the middle class*

Back to Basics: Enhance DC government services

- Strengthen the DC transportation and mobility infrastructure and experience*
- Take the DC government customer service experience to the next level*

Explanation:

This enhancement will ensure the OIG's criminal investigators are appropriately compensated for the hours worked over a standard 40-hour work week and outside of the normal bounds of their tours of duty. This will allow OIG to initiate, conduct and conclude investigations in a more efficient and time-sensitive manner.

Attachment: OIG FY 2019 Budget Request

GOVERNMENT OF THE DISTRICT OF COLUMBIA
Office of the City Administrator



Office of Budget and Finance

FY 2019 PROGRAM ENHANCEMENT - FORM 2

Agency Program Enhancement Request Details

This form enables agencies to submit a budget enhancement request with supporting details, as part of the budget formulation process led by the Office of Budget and Finance.

Enhancement requests should be for innovative, evidence-based ideas around new spending that will improve the quality and efficiency of city services provided to District residents, or for additional resources needed to support substantial volume increases in services provided.

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- When submitting you enhancement request(s), submit only to Jenny Reed, Interim Budget Director, and your OCA budget analyst. Please submit each unique enhancement request on a fresh instance of this document. Take as much space as you need to answer each question well.

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Attachment: OIG FY 2019 Budget Request

I. Request Snapshot

Agency Name: The Office of the Inspector General
Agency Code: AD0
Agency Point of Contact: Jaime M. Yarussi
Date: 11/17/2017
Enhancement title: Strategic Plan Implementation for the Office of the Inspector General
This request is priority # 4 out of 4 enhancement requests submitted for this agency.
What is the total amount of Local funds requested?
Personal Services (PS) Funds: \$0
Non-Personal Services (NPS) Funds: \$810,000
FTEs: N/A
What type of cost will this enhancement be? (select one)
One-time / Partially recurring / Recurring
If it is a recurring, or partially recurring cost, what do you estimate to be the costs in
FY 2020, 2021, and 2022?
N/A

Attachment: OIG FY 2019 Budget Request

II. Rationale

What problem for the District are you aiming to address?

The Office of the Inspector General has a five-year strategic plan to improve overall functioning and service to the District and its residents. OIG strives to do the following in its strategic plan:

Goal 1: Proactively identify and reduce vulnerabilities that could lead to corruption, fraud, waste, abuse and mismanagement.

Goal 2: Integrate plans, processes, and resources to support organizational accountability.

Goal 3: Deliver relevant and timely products and services to customers and stakeholders that promote economic, efficient, and effective government operations

Goal 4: Implement an information and knowledge management system that supports the OIG mission

Goal 5: Recruit, develop and retain a highly qualified and diverse workforce.

What are the reasons this problem exists?

Initiatives under this strategic plan which require additional funding have not been funded as priorities prior to the current IG's tenure. The OIG does not have the funding to implement some of the critical initiatives within the strategic plan.

How does this enhancement address this problem and its underlying reasons?

With additional resources, the OIG will be better situated to execute its strategic plan, execute its mission and become more effective and efficient for the District.

Will legislative support be required? (If relevant, briefly describe any enabling legislation that would be required in the Budget Support Act)

No.

Attachment: OIG FY 2019 Budget Request

III. FY 2019 Mayoral Priorities

In FY 2019, OBF will be prioritizing enhancement requests that help advance key Mayoral priorities (listed below). Please note, you may submit requests that do not fit with one of the key Mayoral priorities.

Does your enhancement request advance on the FY 2019 key Mayoral priorities? If yes, please note which one(s) and how your request enhances the priority.

FY 2019 Mayoral Priorities

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- Continue efforts to make homelessness rare, brief and non-recurring*
- Put more DC residents on a pathway to the middle class*

Back to Basics: Enhance DC government services

- Strengthen the DC transportation and mobility infrastructure and experience*
- Take the DC government customer service experience to the next level*

Explanation:


Any improvements made to the OIG's overall functioning is a benefit to the District's citizens. The more efficient and effective OIG is, the more impactful we can be for the District as a whole.

Attachment: OIG FY 2019 Budget Request

FY2019 Budget Request											
<p>Form 1A: Policy Reductions to Meet the MARC and Contingency 2% Reductions The purpose of this form is to help the CA's Office of Budget and Finance to review: - Reductions taken by agencies in their budget submissions for the purpose of meeting the MARC (Section One) - Further contingency cuts that are not part of the agency's submission, but could be taken if needed (Section Two) Please sort each table from largest to smallest dollar amount.</p>											
Agency Code	AD0										
Agency Name	Office of the Inspector General										
Agency Point of Contact	Jaime Yanez										
<p>Section One: Impact of Budget Submission Reductions The agency should assume that cuts listed in this section WILL likely be accepted by OBF, although all cuts will be reviewed for possible restoration.</p>											
Program	Activity	CSG	Amount	% this activity's budget is reduced compared to FY18	FTE	Description of Budget Reduction	What is the expected operational impact of this cut, including any notable impact on District residents?	If relevant, how would this cut be expected to affect a formal element of your agency's performance plan (e.g. a strategic initiative, KPI, workload measure)? How much do you estimate the measure will increase/decrease as a result?			
						OIG will not be submitting any cuts to its FY 2019 MARC budget submission	Any reduction in budget would mean that OIG would not meet its operational targets. We would need to reduce numbers as well as reduce work across all operational units. Additionally, it would damage two division's operational budgets.				
Total			\$0		0.0	Note: The total dollar amount listed to the left should equal the agency's FY19 MARC minus its FY18 approved recurring local budget.					
<p>Section Two: Impact of Additional 2% Contingency Budget Reductions These cuts will RARELY but occasionally be used – typically in case of unforeseen budget challenges, beyond reductions included in submission, or in lieu of undesirable cuts listed above.</p>											
Program	Activity	CSG	Amount	% this activity's budget is reduced compared to FY18	FTE	Description of Budget Reduction	What is the expected operational impact of this cut, including any notable impact on District residents?	If relevant, how would this cut be expected to affect a formal element of your agency's performance plan (e.g. a strategic initiative, KPI, workload measure)? How much do you estimate the measure will increase/decrease as a result?			
						OIG will not be submitting any additional cuts to its FY 2019 MARC budget submission	2% of OIG's local budget is \$310,410. With only 112 FTEs this would mean OIG would need to reduce FTE numbers as well as reduce work across all operational units. Additionally it would damage two division's operational budgets.				
Total			\$0		0.0	Note: The total dollar amount listed to the left should equal 2% of the agency's FY18 approved recurring local budget.					
Page 1											

Attachment: OIG FY 2018 Budget Request


GOVERNMENT OF THE DISTRICT OF COLUMBIA
Office of the Inspector General



Inspector General

MEMORANDUM

TO: Matt Brown
Director
Office of Budget and Finance

FROM: Daniel W. Lucas 
Inspector General
Office of the Inspector General

DATE: December 1, 2016

SUBJECT: FY 2018 Operating Budget Submission for Office of Inspector General - AD0

This memorandum serves as the FY 2018 budget submission from the District of Columbia Office of the Inspector General (OIG).

The Agency's gross budget need for FY 2018 is \$19,278,813, which includes local funds of \$16,247,013 and 99.8 Full-Time Equivalent (FTE) positions, plus federal grant funds of \$3,031,800 and 17.2 Full-Time Equivalent (FTE) positions. This exceeds our baseline budget (MARC) by \$1,026,500. The OIG is submitting three (3) enhancement requests to allow the agency to move forward with full implementation of new initiatives critical to the agency's mission.

Included are the following discussion topics for consideration: (1) a list of the OIG's vacancies and status of recruitment; (2) the vacancy rate in the OIG's budget submission; (3) the impact of MARC reductions and additional reductions to the OIG budget; (4) enhancement requests to the OIG's FY 2018 budget and FY 17 enhancement reductions; and (5) legislative changes requested for OIG in the Budget Support Act (BSA).

I. OIG Vacancies and Status of Recruitment

The OIG has 19 vacancies. All but 5 are in various stages of recruitment as described below.

717 14th Street, N.W., Washington, D.C. 20005 (202) 727-2540

Attachment: OIG FY 18 Budget Request

Position	Division/ Unit	
Communications and Public Relations Specialist (CS 13)	Business Management	
Supervisory IT Specialist (MSS 14)	Business Management: IT Unit	
Program Coordinator (CS 13)	Business Management	
Auditor (CS 13)	Operations: Audit Unit	
Auditor (CS 9)	Operations: Audit Unit	
Criminal Investigator (CS 12)	Operations: Investigations Unit	
Criminal Investigator (CS 13)	Operations: Investigations Unit	
Assistant Inspector General (ES 10)	Operations: Investigations Unit	
Deputy Assistant Inspector General (MSS 15)	Operations: Inspections and Evaluations Unit	
Management Analyst (CS 12)	Operations: Inspections and Evaluations Unit	
Supervisory Management Analyst (MSS 14)	Operations: Inspections and Evaluations Unit	

Attachment: OIG FY 17 Budget Request

Position	Division/ Unit	Recruitment Status
Attorney (ES 8)	Operations: Medicaid Fraud Control Unit	
Auditor (CS 12)	Operations: Medicaid Fraud Control Unit	
Criminal Investigator (CS 12)	Operations: Medicaid Fraud Control Unit	
Paralegal (CS 11)	Operations: Medicaid Fraud Control Unit	
Investigative Analyst (CS 12)	Risk Assessment and Future Planning	
Investigative Analyst (CS 9)	Quality Management	
Program Analyst (CS 11)	Quality Management	
Management Analyst (CS 12)	Quality Management	

II. Vacancy Savings Rate¹

OIG cannot allow for any vacancy savings for FY 2018 budget for two reasons. First, all OIG vacancies are properly classified at the grades and levels to meet our legislative mandates. If a vacant position is not currently in the recruitment process, the OIG plans to do so by the end of Q2 of FY 2017 and OIG does not plan to have any vacancies by late FY 2017.

¹ A "vacancy saving" is any monies saved or generated in personal services and employee related expenditures by: (1) not filling a position which has become vacant by termination of an employee; (2) not filling a newly authorized position; (3) filling an authorized position at a grade or step lower than is authorized by the legislature; or (4) a downward reclassification of an authorized position.

Attachment: OIG FY 18 Budget Request

Second, the OIG is requesting additional FTEs for FY 2018 to meet its legislative mandate. Not filling positions is not an option for performance and operational reasons.

III. Impact of MARC Reductions and Removal of FY 17 Enhancements

While the OIG did not receive overall MARC reductions, there was a reduction of \$200,000 to the enhancement request granted by the Mayor and Council in FY 2017.

In 2017, the Mayor proposed and Council approved an \$800,000 PS funding enhancement that OIG elected to take in \$200,000 increments over four (4) years to correct salary imbalance and pay compression issues. Assisted by DCHR and OCFO, OIG made salary adjustments for 22 FTEs. However, the \$200,000 for FY 2018 was removed from the OIG's FY 2018 MARC which affected each of the OIG's divisions.

The OIG needed to use NPS fund to cover the \$200,000 PS shortfall created by the MARC reduction. This negatively affects the OIG operational budget. This also forced OIG to request an NPS enhancement of \$340,000 for FY 2018. Reinstating this approved FY 17 enhancement would reduce the need for an NPS enhancement and make good on an already allocated FY 17 approved enhancement.

The OIG will not be submitting additional cuts to its FY 2018 MARC budget submission. Two (2) percent of the OIG's local budget is \$304,410. With only 112 FTEs, a 2% reduction would require OIG to reduce FTE numbers, reduce work across all operational units, and to dismantle the operational budgets of two divisions integral to OIG's work and mission.

IV. Enhancements for FY 2018

The Office of the Inspector General is requesting \$1,026,500 in enhancements for FY 2018, which are detailed below.

1. NPS Enhancement for the Office of the Inspector General for FY 2018 (\$340,000)

OIG is requesting this enhancement to augment its FTEs with consultants to support our operational units and objectives (Audit, Investigations, Inspections and Evaluations and the Medicaid Fraud Control Unit). These consultants will enhance the OIG's capabilities in the areas of: forensic auditing, information technology forensics, as well as increase the OIG's capacity to conduct audits, investigations, and evaluations.

2. FTE Enhancement for the Office of the Inspector General for FY 2018 (\$486,500)

OIG is requesting five (5) additional FTEs. Four (4) of the requested FTEs will support the OIG's operational units (2 FTEs are proposed for the Audit Unit and 2 FTEs are proposed for the Investigations Unit) and one (1) FTE will support the Office of General Counsel.

Increased FTEs will ensure that as the District grows and needs of District agencies and citizens increase, the OIG can and will meet those needs in a more timely and efficient manner. It will also allow the OIG to deliver the right information in the right timeframe.

4

Attachment: OIG FY 18 Budget Request

With an increase in FTEs, the OIG will be nimble enough to continue following the annual plan it sets out and to address an increase in requests for special projects from Council and the Executive Office of the Mayor, while also tackling requests from agencies. OIG's goal is to identify risk areas and take a proactive approach to helping agencies remediate problem areas before they devolve. Additional FTEs will help OIG meet these needs.

3. PS Enhancement to Support FY 2017 Enhancement for the Office of the Inspector General (\$200,000)

In OIG's FY 2017 Budget enhancement request, we presented this problem:

OIG has not given salary increases to FTEs in managerial positions; this includes MSS employees and ES employees. Because of this, OIG is facing a workforce whereby CS employees make as much as the managerial staff. If the salary for positions is not competitive with the job market, OIG cannot keep its management staff and cannot attract talented and experienced managerial staff.

OIG has 11 legal staff, 5 staff attorneys in the Office of General Counsel and 6 in the Medicaid Fraud Control Unit. Those attorneys are paid well under national averages and even below some other attorneys within District Government. Because of this, OIG will also have trouble attracting and keeping both new and seasoned attorneys because of the low pay and requirement to live in the District.

The District has calculated a 3% Cost of Living Adjustment (COLA) for all CS staff in the District, however, all employees will get the COLA. OIG's PS allocation for FY 2017 would not cover the COLA for our MS or ES staff nor would our non-personal services (NPS) allocation.

The OIG's enhancement request was supported, in part, by City Administrator Young and the Executive Office of the Mayor, and approved in the District's overall budget for FY 2017. OIG was given an \$800,000 enhancement to support its PS budget in FY 17 and elected to receive this money in \$200,000 increments over the course of four (4) FYs.

The issue for OIG is that in late 2016, it adjusted salaries for 22 staff as defined above because it was granted the \$800,000 enhancement (\$200,000/year over 4 FYs from 2017 to 2020). However, that was not calculated in and granted to OIG in the FY 2018 MARC given by the Mayor's budget team.

This removal impacted all OIG divisions and units because NPS funding had to be moved into PS for the purposes of submitting a BFA that was within the MARC given to OIG for FY 2018, leaving OIG needing additional NPS funding for FY 2018.

Attachment: OIG FY 18 Budget Request

V. Legislative Changes

OIG will seek a legislative change to the FY 2018 Budget Support Act (BSA) of 2017 as outlined below.

*Title 1: Government Organization
Chapter 3: Specified Government Authority
Subchapter XI: Special Funds
Part JJ: Office of the Inspector General Support Fund*

(a) There is established a non-lapsing Office of the Inspector General Operational Projects Fund (OIG Support Fund), which shall be administered by the Office of the Inspector General. All funds deposited into the OIG Support Fund, shall not revert to the unrestricted fund balance of the General Fund of the District of Columbia at the end of the fiscal year or at any other time, but shall be continually available for the uses and purposes identified without regard to fiscal year limitation and authorized by Congress.

(b) The OIG Support Fund shall be used for capital or operating expenses related to supporting legislative mandates including, but not limited to: facilities upgrades; technology maintenance and upgrades; and training.

(c) The following shall be deposited into the OIG Support Fund:

(1) All excess monies remaining in the operating budget for the Office of the Inspector General at the end of each fiscal year;

(2) Any interest earned from the monies deposited into the OIG Support Fund; and

(3) Fifty percent (50%) of all restitution and recoupments awarded the District under investigative efforts of the Office of the Inspector General.

VI. Conclusion

If you have questions, please contact Jaime Yarussi, Deputy Inspector General for Business Management, at (202) 727- 5064 or James Hurley, Agency Fiscal Officer, at (202) 727-3605.

Encl: FY 2018 Budget Formulation Application (BFA)
Enhancement Request Form 2: NPS Enhancement for the Office of the Inspector General for FY 2018
Enhancement Request Form 2: FTE Enhancement for the Office of the Inspector General for FY 2018
Enhancement Request Form 2: PS Enhancement to Support FY 2017 Enhancement for the Office of the Inspector General
Form 1: Statement of Impact

Attachment: OIG FY 18 Budget Request

Sum of Budget	Program Code and Title	Comp Obj and Title	Total
0100	1020 - CONTRACTING AND PROCUREMENT	0111 - CONTINUING FULL TIME	217,679
		0125 - TERM FULL-TIME	-
		0147 - MISC FRINGE BENEFITS	47,019
		0201 - OFFICE SUPPLIES	480
		0219 - IT SUPPLIES	-
		0401 - TRAVEL - LOCAL	360
		0419 - TUITION FOR EMPLOYEE TRAINING	1,500
	Total		267,038
	1030 - PROPERTY MANAGEMENT	0111 - CONTINUING FULL TIME	96,632
		0147 - MISC FRINGE BENEFITS	20,873
		0201 - OFFICE SUPPLIES	240
		0219 - IT SUPPLIES	-
		0401 - TRAVEL - LOCAL	180
		0408 - PROF SERVICE FEES AND CONTR	38,500
		0410 - OFFICE SUPPORT	-
		0419 - TUITION FOR EMPLOYEE TRAINING	1,000
	Total		157,425
	1040 - INFRO TECH	0111 - CONTINUING FULL TIME	222,873
		0125 - TERM FULL-TIME	84,538
		0147 - MISC FRINGE BENEFITS	66,401
		0201 - OFFICE SUPPLIES	720
		0219 - IT SUPPLIES	177,884
		0401 - TRAVEL - LOCAL	540
		0402 - TRAVEL - OUT OF CITY	-
		0408 - PROF SERVICE FEES AND CONTR	80,000
		0410 - OFFICE SUPPORT	-
		0419 - TUITION FOR EMPLOYEE TRAINING	6,500
		0441 - IT HARDWARE MAINTENANCE	135,877
		0442 - IT SOFTWARE MAINTENANCE	430,208
	Total		1,205,940
	1050 - FINANCIAL MGMT	0111 - CONTINUING FULL TIME	-
		0125 - TERM FULL-TIME	-
		0147 - MISC FRINGE BENEFITS	-
		0201 - OFFICE SUPPLIES	-
		0219 - IT SUPPLIES	-
		0401 - TRAVEL - LOCAL	-
		0408 - PROF SERVICE FEES AND CONTR	145,000
		0419 - TUITION FOR EMPLOYEE TRAINING	-
	Total		145,000
	1060 - LEGAL	0111 - CONTINUING FULL TIME	591,458
		0125 - TERM FULL-TIME	-
		0147 - MISC FRINGE BENEFITS	127,755
		0201 - OFFICE SUPPLIES	10,240
		0219 - IT SUPPLIES	-
		0401 - TRAVEL - LOCAL	7,680
		0402 - TRAVEL - OUT OF CITY	25,600
		0408 - PROF SERVICE FEES AND CONTR	8,000
		0410 - OFFICE SUPPORT	-
		0419 - TUITION FOR EMPLOYEE TRAINING	5,000
	Total		775,733
	1070 - FLEET MANAGEMENT	0404 - MAINTENANCE AND REPAIRS - AUTO	11,020
	Total		11,020
	1085 - CUSTOMER SERVICE	0111 - CONTINUING FULL TIME	673,691
		0125 - TERM FULL-TIME	-
		0147 - MISC FRINGE BENEFITS	145,517
		0201 - OFFICE SUPPLIES	17,590
		0219 - IT SUPPLIES	-
		0401 - TRAVEL - LOCAL	1,760
		0402 - TRAVEL - OUT OF CITY	-
		0408 - PROF SERVICE FEES AND CONTR	25,140

Attachment: OIG FY 18 Budget Request

Fund Detail	Program Code and Title	Comp Obj and Title	Total
	1085 - CUSTOMER SERVICE	0410 - OFFICE SUPPORT	-
		0419 - TUITION FOR EMPLOYEE TRAINING	13,500
	1085 - CUSTOMER SERVICE	Total	877,198
	2010 - AUDIT	0111 - CONTINUING FULL TIME	2,041,916
		0125 - TERM FULL-TIME	-
		0147 - MISC FRINGE BENEFITS	441,054
		0201 - OFFICE SUPPLIES	5,040
		0219 - IT SUPPLIES	-
		0401 - TRAVEL - LOCAL	12,600
		0402 - TRAVEL - OUT OF CITY	4,160
		0408 - PROF SERVICE FEES AND CONTR	4,000
		0419 - TUITION FOR EMPLOYEE TRAINING	21,000
		0426 - AUDIT COSTS	1,890,700
		0442 - IT SOFTWARE MAINTENANCE	13,818
	2010 - AUDIT	Total	4,434,287
	2030 - INSPECTIONS AND EVALUATIONS	0111 - CONTINUING FULL TIME	1,089,538
		0125 - TERM FULL-TIME	-
		0147 - MISC FRINGE BENEFITS	235,340
		0201 - OFFICE SUPPLIES	2,860
		0219 - IT SUPPLIES	-
		0401 - TRAVEL - LOCAL	6,600
		0402 - TRAVEL - OUT OF CITY	6,848
		0419 - TUITION FOR EMPLOYEE TRAINING	11,000
		0442 - IT SOFTWARE MAINTENANCE	7,238
	2030 - INSPECTIONS AND EVALUATIONS	Total	1,359,424
	3001 - EXECUTIVE	0111 - CONTINUING FULL TIME	481,322
		0125 - TERM FULL-TIME	201,574
		0147 - MISC FRINGE BENEFITS	147,505
		0201 - OFFICE SUPPLIES	960
		0219 - IT SUPPLIES	-
		0401 - TRAVEL - LOCAL	1,280
		0402 - TRAVEL - OUT OF CITY	7,640
		0408 - PROF SERVICE FEES AND CONTR	221,700
		0410 - OFFICE SUPPORT	-
		0419 - TUITION FOR EMPLOYEE TRAINING	4,000
	3001 - EXECUTIVE	Total	1,066,981
	3010 - INVESTIGATIONS	0111 - CONTINUING FULL TIME	1,953,094
		0125 - TERM FULL-TIME	73,545
		0147 - MISC FRINGE BENEFITS	437,754
		0201 - OFFICE SUPPLIES	5,040
		0219 - IT SUPPLIES	-
		0401 - TRAVEL - LOCAL	11,600
		0402 - TRAVEL - OUT OF CITY	4,160
		0408 - PROF SERVICE FEES AND CONTR	19,000
		0410 - OFFICE SUPPORT	-
		0419 - TUITION FOR EMPLOYEE TRAINING	21,000
		0442 - IT SOFTWARE MAINTENANCE	41,080
	3010 - INVESTIGATIONS	Total	2,567,273
	3020 - MFCU 25%MATCHS	0111 - CONTINUING FULL TIME	564,122
		0147 - MISC FRINGE BENEFITS	121,850
		0201 - OFFICE SUPPLIES	1,380
		0219 - IT SUPPLIES	7,564
		0308 - TELEPHONE, TELETYPE, TELEGRAM, ETC	-
		0309 - RENTALS - LAND AND STRUCTURES	-
		0310 - OCCUPANCY FIXED COSTS	-
		0401 - TRAVEL - LOCAL	10,850
		0402 - TRAVEL - OUT OF CITY	5,858
		0404 - MAINTENANCE AND REPAIRS - AUTO	-
		0408 - PROF SERVICE FEES AND CONTR	11,495
		0410 - OFFICE SUPPORT	-
		0419 - TUITION FOR EMPLOYEE TRAINING	5,750
		0442 - IT SOFTWARE MAINTENANCE	46,691
	3020 - MFCU 25%MATCHS	Total	773,559

Attachment: OIG FY 18 Budget Request

Fund Detail	Program Code and Title	Comp Obj and Title	Total
	3030 - MEDICAID FRAUD CONTROL UNIT	0201 - OFFICE SUPPLIES	-
	3030 - MEDICAID FRAUD CONTROL UNIT	Total	-
	4011 - RISK ASSESSMENT AND FUTURE PLANNING	0111 - CONTINUING FULL TIME	731,277
		0147 - MISC FRINGE BENEFITS	157,956
		0201 - OFFICE SUPPLIES	1,920
		0401 - TRAVEL - LOCAL	1,920
		0402 - TRAVEL - OUT OF CITY	4,160
		0419 - TUITION FOR EMPLOYEE TRAINING	8,000
		0442 - IT SOFTWARE MAINTENANCE	77,960
	4011 - RISK ASSESSMENT AND FUTURE PLANNING	Total	983,192
	5001 - QUALITY MANAGEMENT	0111 - CONTINUING FULL TIME	387,390
		0125 - TERM FULL-TIME	57,282
		0147 - MISC FRINGE BENEFITS	96,049
		0201 - OFFICE SUPPLIES	1,200
		0401 - TRAVEL - LOCAL	1,200
		0402 - TRAVEL - OUT OF CITY	8,320
		0408 - PROF SERVICE FEES AND CONTR	25,000
		0419 - TUITION FOR EMPLOYEE TRAINING	5,000
		0442 - IT SOFTWARE MAINTENANCE	15,000
	5001 - QUALITY MANAGEMENT	Total	596,442
0100 Total			15,220,513
8200	3030 - MEDICAID FRAUD CONTROL UNIT	0111 - CONTINUING FULL TIME	1,692,365
		0147 - MISC FRINGE BENEFITS	365,551
		0201 - OFFICE SUPPLIES	4,140
		0219 - IT SUPPLIES	22,691
		0308 - TELEPHONE, TELETYPE, TELEGRAM, ETC	30,000
		0309 - RENTALS - LAND AND STRUCTURES	170,834
		0310 - OCCUPANCY FIXED COSTS	-
		0401 - TRAVEL - LOCAL	32,550
		0402 - TRAVEL - OUT OF CITY	17,574
		0404 - MAINTENANCE AND REPAIRS - AUTO	-
		0408 - PROF SERVICE FEES AND CONTR	34,485
		0410 - OFFICE SUPPORT	-
		0419 - TUITION FOR EMPLOYEE TRAINING	17,250
		0442 - IT SOFTWARE MAINTENANCE	134,073
		0523 - AGENCY INDIRECT COST	326,039
	3030 - MEDICAID FRAUD CONTROL UNIT	Total	2,847,551
8200 Total			2,847,551
Grand Total			18,068,064

Attachment: OIG FY 17 Budget Request

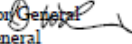
GOVERNMENT OF THE DISTRICT OF COLUMBIA
Office of the Inspector General

Inspector General



MEMORANDUM

TO: Gordon McDonald
Deputy Chief Financial Officer
Office of Budget and Planning

FROM: Daniel W. Lucas, Inspector General 
Office of the Inspector General

Mohamed Mohamed
Associate Chief Financial Officer
Government Operation Cluster

DATE: January 11, 2016

SUBJECT: FY 2017 Budget Submission for Office of Inspector General - AD0

This memorandum approves the electronic transmission of the proposed FY 2017 budget for the Office of Inspector General. The revised budget chapter narrative and program enhancement requests have been uploaded to the OBP's SharePoint site.

The agency's gross budget submission of \$17,522,457 includes Local funds of \$14,953,879 and 94.8 Full-Time Equivalent (FTE) positions plus Federal Grant funds of \$ 2,568,577.74 and 17.2 Full-Time Equivalent (FTE) positions. The submission is consistent with the baseline budget (MARC) set for the Office of Inspector General.

The changes from FY 2016 to FY 2017 include the restructuring of the agency budget to include two new programs: Quality Management and Risk Assessment and Future Planning. These programs will better align resources with agency goals and priorities.

In addition, the Office of Inspector General is submitting three (3) enhancement requests to allow the agency to move forward with full implementation of new initiatives critical to the agency's mission.

If you have any questions, please contact Jaime Yarussi, Deputy Inspector General for Business Management, at (202) 727- 5064 or James Hurley, Agency Fiscal Officer, at (202) 727-3605.

cc: Jaime Yarussi, Deputy Inspector General for Business Management
James Hurley, Agency Fiscal Officer
Elisa Farr, Budget Analyst, GOC
William Powell, Budget Administration Analyst, Office of Budget and Planning

717 14th Street, N.W., Washington, D.C. 20005 (202) 727-2540

27. Please list each grant or sub-grant, including multi-year grants, received by your agency in FY 17 and FY 18, to date. List the following:
- Source,
 - Purpose,
 - Timeframe,
 - Dollar amount received,
 - Amount expended,
 - How the grant is allocated if it is a multi-year grant, and
 - How many FTEs are dependent on each grant's funding, and if the grant is set to expire, what plans, if any, are in place to continue funding the FTEs.

OIG Response:

- Medicaid Fraud Control Unit - State Medicaid Fraud Control Unit Grant Program (93.775) through Health and Human Services Office of the Inspector General.
- This grant funds the D.C. OIG's Medicaid Fraud Control Units (MFCU), which investigates and prosecutes Medicaid provider fraud as well as patient abuse or neglect in health care facilities and board and care facilities. The federal grant funds 75% of the MFCU's operating costs, while the OIG local dollars fund the remaining 25%.
- This is an annual grant, covering the District's fiscal year (October – September).
- In FY 2018, to date, the OIG has expended \$858,830.34 of its \$3,859,939 grant.
- This is not a multi-year grant.
- This grant funds 23 FTE positions. Without this grant, the OIG cannot support these FTEs.

28. Please describe every grant your agency is, or is considering, applying for in FY 18.

OIG Response: In FY 2018, the OIG will be applying to the Medicaid Fraud Control Unit State Medicaid Fraud Control Unit Grant Program (93.775) through Health and Human Services Office of the Inspector General, to fund the MFCU's FY 2019 operations.

29. Please list each contract, procurement, and lease leveraged in FY 17 and FY 18 (year-to-date) with a value amount of \$10,000.00 or more. "Leveraged" includes any contract, procurement, or lease used by OIG as a new procurement establishment (i.e. HCA, BPA, etc.), contract extension, and contract option year execution. This also include direct payments (if applicable). For each contract, procurement, or lease leveraged, please attach a table with the following information, where applicable:

Part I

- Contractor/Vendor Name;
- Contract Number;
- Contract type (e.g. HCA, BPA, Sole Source, single/exempt from competition award, etc.);
- Description of contractual goods and/or services;
- Contract's outputs and deliverables;
- Status of deliverables (e.g. whether each was met or not met, in-progress, etc.);
- Copies of deliverables (e.g. reports, presentations);
- Contract Administrator name and title assigned to each contract and/or procurement;
- Oversight/monitoring plan for each contract and associated reports, performance evaluations, cure notices, and/or corrective action plans;
- Target population for each contract (e.g. unemployed adults, homeless youth, DOES staff, etc.);
- Subcontracting status (i.e. Did the Contractor sub any provision of goods and/or services with another vendor);
- Solicitation method (e.g. competitive bid via GSA or DCSS, sole source, task order against other agency's contract);
- CBE status;
- Division and activity within DOES utilizing the goods and/or services;
- Requisitions and purchase order numbers established under each contract;
- Corresponding, obligated amounts for each purchase order;

- q. Corresponding, expended amounts (actuals) for each purchase order;
- r. Funding source for each requisition and purchase order;
- s. Index and PCA codes used each requisition and purchase order;
- t. Activity code and name for each index and PCA used under requisitions and purchase orders;
- u. Total contract or procurement value in FY 17;
- v. Total contract or procurement value in FY 18 (YTD);
- w. Period of performance (e.g. May 31 to April 30);
- x. Current year of contract (e.g. Base Year, Option Year 1, etc.);

OIG RESPONSE: Please see Table 16, below, for a list of all FY 17 contracts. Please see Table 17 on the subsequent page for a list of all FY 18 contracts.

Table 16: OIG FY 17 Contracts

PO Number	Vendor Name	Description	FY	AY	Fund Detail	Comp Obj	Index	PCA	Grant	Last Activity	Total Amt	Expenditures	PO Balance
PO549761	SEMANTIC RESEARCH INC.		2017		100	442	40110	40110		10/16/2017	25 400.00	(25 400 00)	-
PO550131	KPMG LLP		2017	2017	100	426	20100	20100		8/4/2017	376 558.00	(376 558.00)	-
PO550326	SB & COMPANY LLC		2017	2017	100	426	20100	20100		2/1/2017	450 000.00	(450 000.00)	-
PO551646	WOLTERS KLUWER FINANCIAL SERV		2017	2017	100	426	20100	20100		10/21/2016	15 745.00	(15 745 00)	-
PO553222	DATAPIPE INC.		2017	2017	100	219	10400	10400		10/16/2017	198 633.42	(198 633.42)	-
PO553222	DATAPIPE INC.		2017	2017	100	410	30100	30100		10/4/2017	22 111.77	(22 111.77)	-
PO553222	DATAPIPE INC.		2017	2017	100	442	40110	40110		10/4/2017	16 825.27	(16 825 27)	-
PO557172	SB & COMPANY LLC		2017	2017	100	426	20100	20100		2/10/2017	49 000.00	(49 000 00)	-
PO558301	SB AND COMPANY LLC		2017	2017	100	426	20100	20100		10/26/2017	779 479.00	(779 479.00)	-
PO560629	EMERGENT LLC		2017	2017	100	442	10400	10400		7/6/2017	12 886.92	(12 886.92)	-
PO561031	DATAPIPE INC.		2017	2017	100	410	30100	30100		7/6/2017	65 110.64	(65 110 64)	-
PO561923	COTTON & COMPANY LLP		2017	2017	100	410	30010	30010		10/16/2017	39 672.21	(39 672 21)	-
PO562183	SB AND COMPANY LLC		2017	2017	100	426	20100	20100		10/7/2017	750 000.00	(750 000.00)	-
PO562479	GENERAL SERVICE ADMINISTRATION		2017	2017	100	410	30100	30100		8/29/2017	26 743.79	(26 743.79)	-
PO563018	WEST PUBLISHING CORP		2017	2017	100	410	30010	30010		9/8/2017	27 952.00	(27 952 00)	-
PO564754	CRIME POINT INC.		2017	2017	100	219	30200	30200		12/21/2017	35 558.25	-	35 558.25
PO564815	DIGI DOCS INC/DOCUMENT MGERS		2017	2017	100	410	30010	30010		10/6/2017	224 320.00	(224 320.00)	-
PO565259	KPMG LLP		2017	2017	100	426	20100	20100		7/18/2017	59 305.00	(59 305 00)	-
PO566924	DATAWATCH SYSTEMS		2017	2017	100	441	10400	10400		10/17/2017	62 796.00	(62 796 00)	-
PO567125	DIGI DOCS INC/DOCUMENT MGERS		2017	2017	100	410	30010	30010		10/6/2017	22 744.00	(22 744 00)	-
PO568245	MVS INC.		2017	2017	100	410	10400	10400		10/6/2017	11 657.45	(11 657 45)	-
PO568551	SUPRETECH INC.		2017	2017	100	219	10400	10400		10/6/2017	33 705.98	(33 705.98)	-
PO568669	EMERGENCY 911 SECURITY		2017	2017	100	410	10300	10300		10/3/2017	10 000.00	(10 000 00)	-
PO569005	CRADLE SYSTEMS LLC		2017	2017	100	201	10400	10400		10/6/2017	24 100.00	(24 100 00)	-
PO557183	NAT'L ASSOC. OF ATTORNEYS GENE		2017	2017	8200	410	30300	30300		1/17/2017	10 389.00	(10 389 00)	-
PO564754	CRIME POINT INC.		2017	2017	8200	219	30300	30300		12/21/2017	106 674.75	-	106 674.75
PO564754	CRIME POINT INC.		2017	2017	8200	410	30300	30300		12/21/2017	22 500.00	-	22 500.00
PO566415	PREMIER OFFICE & MEDICAL SUPPL		2017	2017	8200	410	30300	30300	DC0310	10/3/2017	19 894.71	(19 894.71)	-

Table 17: OIG FY 18 Contracts

PO Number	Vendor Name	FY	AY	Fund Detail	Comp Obj	Index	PCA	Last Activity Date	Aging (Days)	Aging (Months)	Total Amt	Expenditures	PO Balance	
PO574778		2018	2018	100	408	30100	30100	1/3/2018	23.00	1.00	\$ 10,000.00	\$ (5,842.94)	\$ 4,157.06	
PO575018		2018	2018	100	408	10400	10400	11/20/2017	67.00	2.00	\$ 24,538.15	\$ -	\$ 24,538.15	
PO576516		2018	2018	100	408	50010	50001	12/8/2017	49.00	2.00	\$ 20,000.00	\$ -	\$ 20,000.00	
PO577231		2018	2018	100	408	10400	10400	12/19/2017	38.00	1.00	\$ 14,823.60	\$ -	\$ 14,823.60	
PO577231		2018	2018	100	408	30200	30200	12/19/2017	38.00	1.00	\$ 1,675.11	\$ -	\$ 1,675.11	
PO577231		2018	2018	8200	408	30300	30300	12/19/2017	38.00	1.00	\$ 5,025.33	\$ -	\$ 5,025.33	
PO577927		2018	2016	301	409	80100	80100	1/5/2018	21.00	1.00	\$ 42,761.68	\$ -	\$ -	
PO569366		2018	2017	100	410	10300	10300	9/30/2017	118.00	4.00	\$ 15,382.46	\$ -	\$ 15,382.46	
PO574778		2018	2018	100	410	30100	30100	1/3/2018	23.00	1.00	\$ 15,672.00	\$ (9,157.06)	\$ 6,514.94	
PO578696		2018	2018	100	410	40100	40100	1/23/2018	3.00	0.00	\$ 6,384.50	\$ -	\$ 6,384.50	
PO577283		2018	2018	100	426	20100	20100	12/26/2017	31.00	1.00	\$ 298,248.00	\$ (250,000.00)	\$ 48,248.00	
PO575852		2018	2018	100	442	40110	40110	1/11/2018	15.00	0.00	\$ 43,260.00	\$ (22,500.00)	\$ 20,760.00	
PO577838		2018	2018	100	442	30100	30100	1/18/2018	8.00	0.00	\$ 20,843.19	\$ (5,143.32)	\$ 15,699.87	
											\$ 362,351.19	\$ (277,643.32)	\$ 84,707.87	
		0 - FY18 Open PO Report - As of 1/26/18										\$ 880,965.21	\$ (570,286.64)	\$ 310,678.57

Part II

- a. Please attach monitoring documentation, including any monitoring reports or performance evaluations developed for use. If any contract is performance-based, specify the basis of performance (i.e. the metrics) and describe the payment formula.

OIG RESPONSE: A majority of contracts let in FY 17 and FY 18 were firm-fixed price contracts for goods and products. Contract performance is assessed against defined delivery milestones included in each contract. For service contracts let in FY 17 and FY 18, the OIG has omitted the performance monitoring documentation, as it reveals information that may be detrimental to the conduct of ongoing oversight work.

- 30. Please list each grant awarded by your agency during FY 17 and FY 18 (year-to-date) for good and/or services provided by your agency. Please attach any documentation of monitoring, including any reports developed. At a minimum, please include the following grants in your response: [LIST KNOWN GRANTS]. For each grant, please include the following information, where applicable [.]

OIG Response: Not applicable. The OIG does not award grants.

III. Agency Performance, Evaluation, and Disputes

- 31. Please list all pending lawsuits that name the agency as a party.
 - a. Provide the case name, court, where claim was filed, case docket number, and a brief description of the case.
 - b. Identify which cases on the list are lawsuits that potentially expose the District to significant financial liability or will result in a change in agency practices, and describe the current status of the litigation.
 - c. Please provide the extent of each claim, regardless of its likelihood of success.
 - d. For those identified, please include an explanation about the issues involved in each case.

OIG Response:

- a. Case name, court, where claim was filed, case docket number, and a brief description of the case:
 - i. [REDACTED]
 - ii. [REDACTED]
- b. [REDACTED]
- c. See above.

d. [REDACTED]

32. Please list all settlements entered into by the agency or by the District on behalf of the agency in FY 17 or FY 18, to date, including any covered by D.C. Code § 2-402(a)(3), which requires the Mayor to pay certain settlements from agency operating budgets if the settlement is less than \$10,000 or results from an incident within the last two years. For each, provide
- a. The parties' names,
 - b. The amount of the settlement, and
 - c. If related to litigation, the case name, court where claim was filed, case docket number, and a brief description of the case, or
 - d. If unrelated to litigation, please describe the underlying issue or reason for the settlement (e.g. administrative complaint, etc.).

OIG Response:

a. [REDACTED]
b. [REDACTED]
c. [REDACTED]
d. [REDACTED]

33. Please list in chronological order, all administrative grievances or complaints filed by parties outside the agency against the agency in FY 17 or FY 18, to date, broken down by source. Include on the chronological list any earlier grievance that is still pending in any judicial forum.
- a. For each grievance or complaint, give a brief description of the matter as well as the current status.
 - b. Please describe the process utilized to respond to any complaints and grievances received and any changes to agency policies or procedures that have resulted from complaints or grievances received.
 - c. For any complaints or grievances that were resolved in FY 17 or FY 18, to date, describe the resolution.

OIG Response: [REDACTED]

[REDACTED]

34. Please list and describe any ongoing investigations, audits, or reports on the agency or any employee of the agency, or any that were completed during FY 17 and FY 18, to date. Please attach copies of any such document.

OIG Response: The OIG has not been subject to ongoing or completed investigations, audits, or reports on the agency or any employee of the agency.

35. Please provide a copy of the agency's FY 17 performance accountability report.
 - a. Please explain which performance plan strategic objectives and key performance indicators (KPIs) were met or completed in FY 17 and which were not.
 - b. For any met or completed objective, also note whether they were completed by the project completion date of the objective and/or KPI and within budget. If they were not on time or within budget, please provide an explanation.
 - c. For any objective not met or completed, please provide an explanation.

OIG RESPONSE: Please see the attachment the following pages.

Attachment: OIG FY 17 Performance Accountability Report

Office of the Inspector General FY2017

FY2017 Performance Accountability Report

The Performance Accountability Report (PAR) measures each agency's performance for the fiscal year against the agency's performance plan and includes major accomplishments, updates on initiatives, and key performance indicators (KPIs).

Mission

The mission of the Inspector General (OIG) is to conduct independent audits, investigations, and inspections to detect and prevent fraud, waste, and mismanagement, to help the District of Columbia government improve its programs and operations by promoting economy, efficiency, and effectiveness.

Summary of Services

Initiate an conduct independent financial and performance audits, inspections, and investigations of District government operations serve as the principal liaison between the District government and the US Government Accountability Office. Conduct other special audits, assignments, and investigations. Audit procurement and contract administration on a continual basis. Forward to the appropriated authorities evidence of criminal wrongdoing that is discovered as the result of audits, inspections, or investigations conducted by the Office. Enter into a contract with an outside audit firm to perform the annual Comprehensive Annual Financial Report (CAFR) as well as chairing the CAFR oversight committee.

FY17 Top Accomplishments

Accomplishment	Impact on Agency	Impact on Residents
Development of Risk Based Data Model - In building on efforts of the Risk Assessment and Evaluation Committee established in FY 2016, the OIG developed a risk based data model to identify agencies, programs, and procurements most at risk for corruption, fraud, waste, abuse or mismanagement in order to proactively initiate audits, investigations, and inspections. The model uses measurable and repeatable processes to identify at-risk agencies and programs in the District.	Examples of the initial successes of the model were utilized in the OIG's comprehensive FY 2018 Audit and Inspection Plan, and in proactive engagements undertaken by the OIG.	Helps prevent and detect corruption, mismanagement, waste, fraud and abuse.
Implementation of Performance Assessment/Excellence Framework- During FY 2017, the OIG developed and rolled out its Organizational Performance Excellence (OPEX) framework. OPEX leverages the Baldrige Excellence Framework, and the OIG couples it with an organizational culture assessment to shepherd the agency through its transformational journey. Specifically, during FY 2017, the OIG provided its employees with an overview of the OPEX framework. Through a series of taskers, various OIG functions were asked to assess their key processes, conduct an organizational profile, and evaluate their individual performance using seven specific categories (leadership; strategy; customer; measurement, analysis, and knowledge management; workforce; operations; and results).	While the improvements are ongoing, the OIG has managed to increase understanding of its operating environment and enhanced decision-making capabilities.	Promotes economy, effectiveness and accountability within the OIG
Strengthened Partnerships with District Agencies- Throughout FY 2017, the OIG worked to strengthen synergies with other District agencies. The OIG conducted Agency meet-and-greets, provided public corruption lectures to agencies, and participated in various panels (e.g. the Board of Ethics and Government Accountability's Annual Ethics Symposium and the Mayor's Office of Legal Counsel monthly training events.	The cumulative result of this effort is a proactive engagement that results in increased OIG awareness and imparts knowledge of potential corruption, fraud, waste, abuse, and mismanagement scenarios that District employees and other stakeholders should be aware of and ultimately report to	Informs stakeholders about issues related to district programs and operations.

the OIG.

2017 Strategic Objectives

Objective Number	Strategic Objective
1	Proactively identify and reduce vulnerabilities that could lead to corruption, fraud, waste, abuse, and mismanagement
2	Integrate plans, processes, and resources to support organizational accountability.
3	Deliver actionable, relevant and timely products and services to customers and stakeholder that promote economic, efficient and effective government operations, deter misconduct and hold wrongdoers accountable.
4	Implement an information and knowledge management system that supports the OIG mission.
5	Create and maintain a highly efficient, transparent and responsive District government.**

2017 Key Performance Indicators

Measure	Freq	Target	Q1	Q2	Q3	Q4	FY 2017	KPI Status	Explanation
1 - Proactively identify and reduce vulnerabilities that could lead to corruption, fraud, waste, abuse, and mismanagement (3 Measures)									
Percentage of proactive analytical activities initiated by RAFF's Data Analysis Unit that resulted in an investigation, audit, or inspection	Quarterly	20	10	0	0	10	20	Met	
Percentage of contacts evaluated and appropriate course of action determined within 10 business days of receipt by RAFF Hotline Program	Quarterly	95	91	86	6	93	93	Nearly Met	Despite a 31% increase in the number of contacts to the OIG hotline from FY 2016 to FY 2017, the RAFF hotline program increased the percentage of contacts evaluated and appropriate course of action determined within 10 days by 6% over FY 2016.
Percentage of OIG recommendations selected and reviewed for follow-up	Quarterly	40	0	0	0	54	54	Met	
2 - Integrate plans, processes, and resources to support organizational accountability. (2 Measures)									

2 of 8

Percentage of local and federal funds expended and encumbered	Annually	80%	Annual Measure	Annual Measure	Annual Measure	Annual Measure	95%	Met	
Percentage of FTEs familiar with the performance excellence framework	Quarterly	90	19	19	6	56	100	Met	
3 - Deliver actionable, relevant and timely products and services to customers and stakeholder that promote economic, efficient and effective government operations, deter misconduct and hold wrongdoers accountable. (7 Measures)									
Percentage of strategic engagements accomplished	Quarterly	75	75	75	0	100	100	Met	
Potential monetary benefits resulting from audits and inspections (in millions)	Quarterly	\$5000000	\$19000	\$1410000	\$0	\$10071000	\$11500000	Met	
Percentage of draft audit reports issued for comment within OIG-defined timeframes	Quarterly	50	0	0	0	15	15	Unmet	The OIG strives to issue draft reports to Agencies 210 business days after announcing the engagement. During the performance period, several engagements completed in FY 2017 were started in previous fiscal years. The OIG focused its efforts in FY 2017 to complete these legacy engagements. Looking to the FY 2018 performance period, the OIG will be able to complete ongoing and new engagements in quicker timeframes.
Percentage of draft inspection and evaluation reports issued for comment within OIG-defined timeframes	Quarterly	50	0	0	0	57	57	Met	
Number of criminal/civil	Quarterly	17	3	1	3	6	13	Unmet	This KPI is

resolutions obtained in MFCU cases									dependent on outside factors not directly within the control of the MFCU. While cases are presented and accepted, the USAO has finite resources and must prioritize the acceptance and eventual prosecution MFCU cases.
Percentage of administrative investigations completed by IU within 6 months of assignment	Quarterly	10	0	17	0	15	15	Met	
Percentage of criminal investigations by both MFCU and IU presented to and accepted by the U.S. Attorney's Office	Quarterly	30	25	40	0	44	44	Met	
4 - Implement an information and knowledge management system that supports the OIG mission. (2 Measures)									
Percentage of IT services/systems covered in the BC/DR plan	Quarterly	50	0	0	30	0	30	Unmet	the planned completion of this initiative was inhibited by lack of resources and time.
Percentage of aged laptops replaced based on 3-year lifecycle replacement plan	Quarterly	100	0	0	0	100	100	Met	
5 - Create and maintain a highly efficient, transparent and responsive District government.** (4 Measures)									
Percentage of PDs reviewed and revised	Quarterly	100	0	0	0	25	25	Unmet	This initiative was delayed due to a moratorium on certification of new PDs during most of FY2017
Percentage of vacancies in the agency	Quarterly	2	17	12	5	17	17	Unmet	The OIG does not have independent personnel authority and must rely in DCHR. As identified on

									previous KPIs, the review and revision of staff position descriptions were subject to a moratorium by DCHR during a majority of FY 2017. As a result, the OIG was only able to fill vacancies that had a revised Position Description.	
Percentage of job and career fair participants that subsequently interviewed for an OIG position	Quarterly	10	0	0	0	0	0	0	Unmet	The OIG did not complete this initiative because job and career fairs during FY2017 did not match the necessary skill sets of OIGs open vacancies and recruitment.
Percentage of performance plans, evaluations, and final assessments completed	Quarterly	100	No data available	0	65	35	100		Met	

We've revisited a project to standardize District wide measures for the Objective "Create and maintain a highly efficient, transparent and responsive District government." New measures will be tracked in FY18 and FY19 and published starting in the FY19 Performance Plan.

2017 Workload Measures

Measure	Freq	Q1	Q2	Q3	Q4	FY 2017
1 - Hotline Program (2 Measures)						
Number of contacts analyzed by the RAFF Hotline Program and Medicaid Fraud Control Unit (MFCU)	Annually	Annual Measure	Annual Measure	Annual Measure	Annual Measure	4567
Number of contacts received and analyzed by the RAFF Hotline Program	Annually	Annual Measure	Annual Measure	Annual Measure	Annual Measure	2413
1 - Oversight Work (3 Measures)						
Number of proactive analytical products RAFF-DAU that initiated an investigation, audit, or inspection	Annually	Annual Measure	Annual Measure	Annual Measure	Annual Measure	15
Number of recommendations made to District agencies	Annually	Annual Measure	Annual Measure	Annual Measure	Annual Measure	122

INFRO TECH (1 Strategic Initiative)				
Laptop Refresh	Implement an OIG-wide laptop refresh strategy to increase efficiency in support of the OIG mission.	Complete	A total of 112 laptops for OIG employees were replaced during FY 2017.	
OPERATIONS (4 Strategic Initiatives)				
Risk-based data model	The OIG will work to develop a risk-based data model to identify agencies, programs, and procurements most at risk for corruption, fraud, waste, abuse or mismanagement in order to proactively initiate audits, investigations, and inspections.	Complete	Building on the Risk Assessment and Evaluation Committee established in FY 2016, the OIG developed a model using measurable and repeatable processes to identify at-risk agencies and programs in the District.	
Resolution and Tracking	Establish a uniform recommendation resolution and tracking process for all OIG-issued products in order to reduce vulnerabilities in the District. This process will begin after the OIG issues the draft report to a District agency and ends when the follow-up process shows that responsible agencies have addressed and fully implemented the OIG's recommendations to correct management control weaknesses.	75-99%	The OIG completed and verified inventory of its recommendations for accuracy and completeness. This inventory provides useful historical data of OIG recommendations for tracking, monitoring, following up, reporting, and risk assessment purposes. The OIG will codify agency-wide process for following up on the implementation of its recommendations to complete this initiative. This formal follow-up process will include periodic reviews of OIG recommendations for relevance.	This initiative was not completed due to competing priorities in the OIG.
Oversight system	Implement a system to assess whether the OIG is delivering actionable, relevant, and timely oversight products to District stakeholders.	75-99%	The OIG developed a stakeholder satisfaction survey. However, the survey was not disseminated to stakeholders due to competing priorities within the OIG during the performance period. In FY 2018, the survey will be sent to stakeholders in order to ascertain the value provided to the District	This initiative was not completed during FY 2017 due to competing priorities within the OIG.
Strategic engagement	Develop and execute a strategic engagement plan to promote economic, efficient, and effective government operations with District stakeholders.	Complete	The OIG conducted Agency meet-and-greets, provided public corruption lectures to agencies, and participated in various panels (e.g. BEGA's Annual Ethics Symposium and the EOM's Legal Counsel monthly training events). The OIG will continue to add to the universe of potential engagement activities and determine which events will result in the greatest return on the investment of OIG's finite resources. Further, the OIG will determine what other avenues, such as on-line training, may be leveraged in lieu of face-to-face interactions.	
RISK ASSESSMENT AND FUTURE PLANNING (1 Strategic Initiative)				
OIG Hotline	Upgrade the OIG hotline system to ensure an enhanced user experience and process. The improved OIG hotline	Complete	The OIG developed and implemented a web-based reporting capability to capture information reported to the OIG hotline	

system will incorporate a web-based reporting capability to facilitate greater public feedback, ensure hotline contacts are processed and evaluated more quickly, which will increase the amount of quality investigations, audits, and inspections.

system.

36. Please provide a copy of your agency's FY 18 performance plan as submitted to the Office of the City Administrator. Please discuss any changes to outcomes measurements in FY 17 or FY 18, including the outcomes to be measured, or changes to the targets or goals of outcomes; list each specifically and explain why it was dropped, added, or changed.

OIG RESPONSE: Please see the following pages. For FY 18, the OIG amended its measures based on discussions with and feedback provided by performance analyst staff within the Office of City Administrator.

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Government of the District of Columbia
Office of the Inspector General
FY 2018 Performance Plan Q1 Update

STRATEGIC OBJECTIVES

Beginning in FY 2016, the OIG developed a *strategic plan* that includes five strategic objectives. The OIG refers to these objectives as *Strategic Goals*.

Strategic Goal 1: Proactively identify and reduce vulnerabilities that could lead to corruption, fraud, waste, abuse, and mismanagement.

Operations

- Conduct audits, investigations, and inspections based on proactively identified leads and indicators.
- Operate a hotline program to aid in identifying and evaluating allegations of corruption, fraud, waste, abuse, and mismanagement.
- Forward to the appropriate authority any report, as a result of any audit, inspection or investigation conducted by the office, in order to reduce misconduct or unethical behavior.

FY 2018 Initiatives

Initiative 1.1: Develop and implement a centralized system to collect, store, and analyze information obtained during OIG engagements for inclusion in proactive projects. *Expected completion is June 30, 2018.*

Key Performance Indicators

- Percentage of proactive analytical activities initiated by RAFF's Data Analysis Unit that resulted in the initiation of an investigation, audit, or inspection
- Percentage of contacts evaluated and appropriate course of action determined within 10 business days of receipt by RAFF Hotline Program

Workload Measures

- Number of contacts analyzed by the RAFF Hotline Program and Medicaid Fraud Control Unit (MFCU)¹
- Number of proactive analytical products used to initiate an investigation, audit, or inspection
- Number of recommendations made to District agencies

¹ This measure includes contacts received and analyzed by the RAFF Hotline Program, and referrals reviewed by MFCU.

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- Number of referrals made to District agencies resulting from hotline contacts

Strategic Goal 2: Integrate plans, processes, and resources to support organizational accountability.

Operations

- Develop spending plans to ensure appropriated resources are used efficiently and effectively to support organizational accountability and are in compliance with District regulations.
- Integrate internal OIG policies and procedures to ensure the OIG executes its mission in compliance with applicable standards to support organizational accountability.
- Implement a performance assessment/excellence framework within the OIG to ensure continuous improvement.

FY 2018 Initiatives

Initiative 2.1: Develop a project management plan for the design and creation of the OIG's Decision Support System (DSS). *Expected completion date is September 30, 2018.*

Initiative 2.2: Document each of the agency's core processes and define its contribution to the OIG mission. *Expected completion date is September 30, 2018.*

Key Performance Indicators

- Percentage of core processes with defined key performance indicators (KPIs)

Workload Measures

- Number of core process documented
- Number of defined KPIs

Strategic Goal 3: Deliver actionable, relevant, and timely products and services to customers and stakeholders that promote economic, efficient, and effective government operations, deter misconduct and hold wrongdoers accountable.

Operations

- Initiate and conduct independent financial and performance audits, inspections, and investigations of District government operations.
- Contract with an outside audit firm to perform the annual audit of the District government's financial operations with the results published in the Comprehensive Annual Financial Report (CAFR) and chair the CAFR oversight committee.
- Serve as the principal liaison between the District government and the US Government Accountability Office.

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- Forward to the Mayor, within a reasonable time of reporting evidence of criminal wrongdoing to the Office of the U.S. Attorney’s Office for the District of Columbia, or other law enforcement office, any report regarding the evidence, if appropriate.

FY 2018 Initiatives

Initiative 3.1: Develop strategic public relations plan to further disseminate the OIG’s mission and reporting conduits to District employees and residents. While this initiative focuses on developing the plan, the OIG will start executing the plan during FY 2018 as reflected in the related metric below. *Expected completion date is September 30, 2018.*

Initiative 3.2: Develop and deploy a District-wide corruption, fraud, waste, abuse and mismanagement training program. Training program will be used similar to other training programs, and will be used to heighten the awareness of corruption, fraud, waste, abuse and mismanagement within the District government. Training will also provide a refresher on how to make complaints to the OIG, what protections are afforded to complainants, and next steps following a complaint. *Expected completion date is September 30, 2018.*

Key Performance Indicators

- Percentage of planned outreach activities that were completed as outlined in the strategic public relations plan

Workload Measures

- Number of planned outreach activities completed as outlined in the strategic public relations plan

Strategic Goal 4: Implement an information and knowledge management system that supports the OIG mission.

Operations

- Collect, process, and communicate information to enable the agency’s leadership team to make more effective and efficient decisions.
- Manage agency knowledge to improve performance and achieve the OIG mission.
- Maintain and increase, as necessary, data security to protect critical information and knowledge assets.

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FY 2018 Initiatives

Initiative 4.1: Implement OIG's cloud migration strategy to ensure secure and reliable migration of OIG's mission critical information technology (IT) systems and services to selected cloud environments. *Expected completion date is September 30, 2018.*

Initiative 4.2: Update the OIG's internal information security framework. *Expected completion date is September 30, 2018.*

Initiative 4.3: Implement agency-wide visual dashboards to continuously track, monitor, analyze, report, and manage the OIG's performance. *Expected completion date is September 30, 2018.*

Strategic Goal 5: Recruit, develop, and retain a highly qualified and diverse workforce.

Operations

- Assess current staffing to ensure it meets the OIG's mission and vision.

FY 2018 Initiatives

Initiative 5.1: Develop and implement career training for staff based on OIG competencies. *Expected completion date is September 30, 2018.*

Initiative 5.2: Develop and implement career paths for staff based on OIG competencies. *Expected completion date is September 30, 2018.*



Key Performance Indicators

- Percentage of career development plan completed for OIG employees
- Percentage of employees with Individual Training Plans (ITP)





Workload Measures

- Number of employees with Individual Training Plans (ITP)

FY 2018 OIG Performance Plan Q1 Update, January 25, 2018

KEY PERFORMANCE INDICATORS											
Measure	FY 2015 Actual	FY 2016 Actual	FY 2017 Actual	1 st Quarter	2 nd Quarter	3 rd Quarter	4 th Quarter	FY 2018 Total	FY 2018 Target	FY 2019 Target	Source
Percentage of proactive analytical activities initiated by RAFF's Data Analysis Unit that resulted in the initiation of an investigation, audit, or inspection	Not Available	0%	20%	0%					25%	25%	 RAFF Performance Report Q1 2018.pdf
Percentage of contacts evaluated and appropriate course of action determined within 10 business days of receipt by RAFF Hotline Program	Not Available	87%	93%	91%					95%	95%	 RAFF Performance Report Q1 2018.pdf
Percentage of core processes with defined KPIs	Not Available	Not Available	Not Available	14%					90%	90%	4 out of 29
Percentage of planned outreach activities that were completed as outlined in the strategic public relations plan	Not Available	Not Available	Not Available	0%					50%	50%	According to DIG-BM, no planned outreach activities occurred during this time.
Percentage of career development plan completed for OIG employees	Not Available	Not Available	Not Available	0%					50%	50%	According to DIG-BM, No career development plans completed
Percentage of employees with Individual Training Plans (ITPs)	Not Available	Not Available	Not Available	100%					100%	100%	According to DIG-BM, ITPs are required for all active FTEs. 100% compliance

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WORKLOAD MEASURES											
Measure	FY 2015 Actual	FY 2016 Actual	FY 2017 Actual	1 st Quarter	2 nd Quarter	3 rd Quarter	4 th Quarter	FY 2018 Total	FY 2018 Target	FY 2019 Target	Source
Number of contacts analyzed by the RAFF Hotline Program and MFCU ²	3484	3593	4567	1048					4500	4500	 RAFF Performance Report Q1 2018.pdf  MFCU Quarterly Statistical Report.JPG
Number of proactive analytical products used to initiate an investigation, audit, or inspection	Not Available	0	15	0					12	12	No proactive analytical products were reported by DIG-OFS
Number of referrals made to District agencies resulting from hotline contacts analyzed by RAFF	Not Available	Not Available	92	26					100	100	 RAFF Performance Report Q1 2018.pdf
Number of recommendations made to District agencies	70	63	122	4					70	70	 Department of Human Services OIG Only one report submitted. Four recommendations included in document.
Number of core processes documented	Not Available	Not Available	Not Available	4					29	29	3-RAFF, 1 OOC

² This is an updated workload measure that indicates the total count of both contacts and referrals received and analyzed by the RAFF Hotline Program and MFCU. The RAFF Hotline Program and MFCU serve as the OIG's main points for intake and analysis of allegations of fraud, waste, abuse, and mismanagement in District government operations and programs. Contact sources include, but are not limited to, the following: Hotline email, website forms (Ask The Director - ATD), walk-ins, telephone calls, postal mail, and faxes. In addition, the MFCU receives both fraud and patient abuse and neglect referrals from various sources within the District of Columbia. As stakeholders become familiar with the Office's new leadership and programs, we expect a modest increase in future years.

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Number of defined KPIs for documented core processes	Not Available	Not Available	Not Available	4					29	29	3-RAFP, 1 OGC
Number of planned outreach activities completed as outlined in the strategic public relations plan	Not Available	Not Available	Not Available	0					3	3	No outreach activities were reported by DIG-BM and communications specialist
Number of employees with Individual Training Plans (ITPs)	Not Available	Not Available	Not Available	86 ³					112	112	ITPs are based on number of active FTEs. FTE number as of 12/31/2017

³ For Q1, the OIG only had 86 FTE positions filled, the remaining were vacant.

37. Please provide the number of FOIA requests for FY 17 and FY 18, to date, that were submitted to your agency.
- a. Include the number granted, partially granted, denied, and pending.
 - b. Provide the average response time, the estimated number of FTEs required to process requests, the estimated number of hours spent responding to these requests, and the cost of compliance.
 - c. Did the agency file a report of FOIA disclosure activities with the Secretary of the District of Columbia? Please provide a copy of that report as an attachment.

OIG RESPONSE:

- a. In FY 17, the OIG received 64 FOIA requests, of which:
- i. 5 were granted;
 - ii. 30 were partially granted;
 - iii. 5 were denied; and,
 - iv. 0 are pending.

In FY 18, to date, the OIG has received 17 FOIA requests, of which:

- i. 0 were granted;
- ii. 6 were partially granted;
- iii. 9 were denied;
- iv. 1 is pending;
- v. 1 has been withdrawn; and
- vi. 1 is undergoing an appeal.

- b. In FY 17, the average response time, number of FTEs, hours spent, and cost of compliance, were:
- i. Response time: 12 Days
 - ii. FTEs: 3
 - iii. Hours: 1472
 - iv. Costs: \$75,834

In FY 18, to date, the average response time, number of FTEs, hours spent, and cost of compliance, were:

- v. Response time: 10 Days
- vi. FTEs: 2
- vii. Hours: 491
- viii. Costs: \$18,959

- c. Please see the FOIA Report attached on the following pages.

Attachment: OIG FY 17 FOIA Report

Agency Name	
D.C. Office of the Inspector General	
Annual Freedom of Information Act Report for Fiscal Year 2017 October 1, 2016 through September 30, 2017	
FOIA Officer Reporting <u>Keith Van Croft, Esq./Yolanda Jones</u>	
PROCESSING OF FOIA REQUESTS	
1. Number of FOIA requests received during reporting period	64
2. Number of FOIA requests pending on October 1, 2016.....	2
3. Number of FOIA requests pending on September 30, 2017.....	5
4. The average number of days unfilled requests have been pending before each public body as of September 30, 2017	8
DISPOSITION OF FOIA REQUESTS	
5. Number of requests granted, in whole.....	5
6. Number of requests granted, in part, denied, in part.....	30
7. Number of requests denied, in whole.....	5
8. Number of requests withdrawn.....	0
9. Number of requests referred or forwarded to other public bodies.....	0
10. Other disposition	24
NUMBER OF REQUESTS THAT RELIED UPON EACH FOIA EXEMPTION	
11. Exemption 1 - D.C. Official Code § 2-534(a)(1).....	1
12. Exemption 2 - D.C. Official Code § 2-534(a)(2).....	7
13. Exemption 3 - D.C. Official Code § 2-534(a)(3)	
Subcategory (A).....	6
Subcategory (B).....	0
Subcategory (C)	21
Subcategory (D)	0
Subcategory (E)	0
Subcategory (F)	0
14. Exemption 4 - D.C. Official Code § 2-534(a)(4)	1
15. Exemption 5 - D.C. Official Code § 2-534(a)(5).....	0

Attachment: OIG FY 17 FOIA Report, Continued

16. Exemption 6 - D.C. Official Code § 2-534(a)(6)	
Subcategory (A).....	0
Subcategory (B).....	16
17. Exemption 7 - D.C. Official Code § 2-534(a)(7).....	0
18. Exemption 8 - D.C. Official Code § 2-534(a)(8).....	0
19. Exemption 9 - D.C. Official Code § 2-534(a)(9).....	0
20. Exemption 10 - D.C. Official Code § 2-534(a)(10).....	0
21. Exemption 11 - D.C. Official Code § 2-534(a)(11).....	0
22. Exemption 12 - D.C. Official Code § 2-534(a)(12).....	0

TIME-FRAMES FOR PROCESSING FOIA REQUESTS

23. Number of FOIA requests processed within 15 days.....	59
24. Number of FOIA requests processed between 16 and 25 days.....	5
25. Number of FOIA requests processed in 26 days or more.....	0
26. Median number of days to process FOIA Requests.....	12.5

RESOURCES ALLOCATED TO PROCESSING FOIA REQUESTS

27. Number of staff hours devoted to processing FOIA requests.....	1472
28. Total dollar amount expended by public body for processing FOIA requests.....	75,834

FEES FOR PROCESSING FOIA REQUESTS

29. Total amount of fees collected by public body.....	0
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PROSECUTIONS PURSUANT TO SECTION 207(d) OF THE D.C. FOIA

30. Number of employees found guilty of a misdemeanor for arbitrarily or capriciously violating any provision of the District of Columbia Freedom of Information Act	0
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QUALITATIVE DESCRIPTION OR SUMMARY STATEMENT

Pursuant to section 208(a)(9) of the D.C. FOIA, provide in the space below or as an attachment, “[a] qualitative description or summary statement, and conclusions drawn from the data regarding compliance [with the provisions of the Act].”

For fiscal year (FY) 2017, the OIG's median response time was 12.5 days and we were able to process 92% of those requests (59/64) within the statutory time frame. Our goal for FY 2018, is to process all FOIA requests within 15 business days in accordance with the D.C. FOIA statute.

38. Please provide a list of all studies, research papers, reports, and analyses that the agency prepared or contracted for during FY 17 and FY 18, to date. Please attach a copy if the study, research paper, report, or analysis is complete. For each study, paper, report, or analysis, please include:
- The name,
 - Status, including actual or expected completion date,
 - Purpose,
 - Author, whether the agency or an outside party,
 - Reference to the relevant grant or contract (name or number) in your responses above, and
 - Source of funding (program and activity codes) if not included in responses above.

OIG RESPONSE: In FY 17, the OIG contracted with KPMG, LLC to conduct a procurement risk assessment for the District of Columbia’s procurement continuum. The results of this risk assessment, entitled *Government of the District of Columbia Fiscal Year 2017 Procurement Practices Risk Assessment*, were released by the OIG on July 11, 2017. The purpose of this risk assessment was to assist the OIG in identifying future engagements based on the risk areas identified in the risk assessment. The task order, issued of the GSA schedule is [REDACTED], in the amount of [REDACTED]

The report is available from the OIG’s website at:
<http://app.oig.dc.gov/news/view2.asp?url=release10%2FDistrict%5FProcurement%5FPractices%5FRisk%5FAssessment%2Epdf&mode=release&archived=0&month=20176&agency=0>

39. Please list all reports or reporting currently required of the agency in federal law, the District of Columbia Code, or Municipal Regulations. For each, include
- The statutory code or regulatory citation;
 - Brief description of the requirement;
 - Any report deadlines;
 - Most recent submission date; and
 - A description of whether the agency is in compliance with these requirements, and if not, why not.

OIG RESPONSE: Please see Table 18 below.

Table 18: OIG Statutory Requirements

Citation	Description	Deadline	Most Recent Submittal	Compliance
D.C. Code § 1-301.115a (a)(3)(H) (Repl. 2016)	Audit of the Comprehensive Annual Financial Report (CAFR)	February 1 st of each year.	January 31, 2018	Yes
D.C. Code § 9-109.02(e) (2013)	Audit of the Financial Statements of the District of Columbia Highway Trust Fund as of September 30, 2016 (OIG Project No. 16-1-18KA) on February 1, 2017.	February 1 st of each year.	February 1, 2018	Yes
	The OIG’s Examination of the District of Columbia’s Highway Trust Fund 5-Year Forecast of Expenditure	May 31 st of each year.	In progress for FY 18.	Yes

Citation	Description	Deadline	Most Recent Submittal	Compliance
	Conditions and Operations is ongoing.			
D.C. Code § 1-301.115a(a)(3)(E) (Repl. 2016)	Audit of the District's Procurement System.	Annually	July 11, 2017	Yes - The OIG issued the overall risk assessment on July 11, 2017. The OIG has identified several projects in its <i>FY 2018 Audit and Inspection Plan</i> based on the result of the assessment.
D.C. Code § 1-301.115a(a)(3)(J) (Repl. 2015)	Audit of Special Education Attorney Certifications	Annually	July 27, 2017	Yes.
D.C. Code § 47-821(e) (Supp. 2011)	Evaluation of the Commercial Real Property Assessment Process	Triennially	April 4, 2017	Yes.
D.C. Code § 1-325.181(e) (Supp. 2011)	Audit of the West End Maintenance and Fire Station Maintenance Fund	December 31 of each year.	N/A	The OIG will address this requirement in its <i>FY 2019 Audit and Inspection Plan</i> .
D.C. Code § 1-301.86c(h)	Audit of the Attorney General Restitution Fund	Annually	N/A	The OIG will address this requirement in its <i>FY 2019 Audit and Inspection Plan</i> .
D.C. Code § 47-288 1(b) (Supp. 2015)	Congressional Report on Calls Placed to the OIG Hotline	Quarterly	January 19, 2018	Yes

40. Please provide a list of any additional training or continuing education opportunities made available to agency employees. For each additional training or continuing education program, please provide the subject of the training, the names of the trainers, and the number of agency employees that were trained. What training deficiencies, if any, did the agency identify during FY 17 and FY 18, to date?

OIG RESPONSE: Please see Table 19 on the following pages.

Table 19: OIG Training

Employee	Training Subject	Provider
	Team Building	Alexander Consulting and Training
	Interviewing Techniques	Graduate School
	Message Development Training	GAO
	Auditing Grants	Graduate School
	Auditing Outsources Govt Services	Graduate School
	Conducting a Contract and Grant Fraud Abuse Examination	Institute of Internal Auditors
	ALGA Conference	ALGA
	CIGIE Investigations Committee and AIGI Training	CIGIE
	CFE Exam Review Course	
	Making the Case to Prosecute Fraud	Grad School
	Statute and Regulation Drafting	DC Bar
	ADA	Graduate School
	Federal Aprop Law	Graduate School
	Ethics in Gov Lawer 2017	DC Bar
	Public Corruption Investigations Training Program	CIGIE
	27 Annual Training Conference	IACA
	IG Interviewing for Fraud	CIGIE
	Medicaid 101	NAMFCU
	Boot Campfor HC Fraud Inv	NHCAA
	IE Fundamentals	CIGIE
	Tableau Fundamentals	Tableau
	Tableau Intermediate	Tableau
	Auditing Outsources Govt Services	Graduate School
	Auditing Grants	Graduate School
	Reviewing Other People's Report Writing	Graduate School
	Introductory Auditor	CIGIE
	IE Fundamentals	CIGIE
	Audit Peer Review	CIGIE
	Essentials of IE Investigations	FLETC
	Conducting Performance Audits	Grad School USA
	Management Responsibility for Internal Control	Grad School USA
	Assessing Performance Audits	Grad School USA
	Introductory Auditor	CIGIE
	Suite Admin for Champions	Teammate university
	Introductory Auditor	CIGIE
	Medicaid 101	NAMFCU
	Medicaid 101	NAMFCU
	New Leader Program	CIGIE/ American Univ.
	Auditing Outsourced Gov Services	Graduate School
	Analysis Techniques for Auditors	Graduate School
	IE Fundamentals	CIGIE
	Tableau Fundamentals	Tableau
	Tableau Intermediate	Tableau
	Public Corruption Investigations Training Program	CIGIE
	AIG Training	AIG
	NHCAA Annual Training	NHCCA
	Conducting a Contract and Grant Fraud Abuse Examination	Institute of Internal Auditors
	Practical Statistical Sampling	Graduate School
	Cyber Security Forum	Intergovernmental Audit Forum
	Creative Thinking for Auditors	Graduate School
	Interviewing Tech for Auditors	Graduate School
	Communicating Up, Down and Across the Organization	American Management Association
	ACFE Global Fraud Conference	ACFE
	Strategy to Tactics Journey to Leadership	PMI
	Auditing Grants	Graduate School
	Auditing Outsources Govt Services	Graduate School
	Auditing Grants	Graduate School
	Auditing Outsources Govt Services	Graduate School
	Interviewing Techniques for Auditors	Graduate School
	Analytics Boot Camp	Management Concepts
	Communications	AMA
	New Leader Program	CIGIE/ American Univ.
	Senior Leader Program	GW Center for Excellence
	Auditing Grants	Graduate School
	Statute and Regulation Drafting	DC Bar
	ADA	Graduate School
	Federal Aprop Law	Graduate School
	Public Corruption Investigations Training Program	CIGIE
	Inspectiona and Eval Fundamentals	CIGIE
	Public Corruption Investigations Training Program	CIGIE
	AIG Training	AIG
	CFE Exam Review Course	
	Introductory Auditor	CIGIE
	Gov Accounting and Audit Update	AICPA

Employee	Training Subject	Provider
	Assessing the Reliability of Computer Processed Data	Graduate School
	Data Analyst Training	NAMFCU
	Auditing Grants	Graduate School
	Communication Skills for Auditors	CPE/QAS
	CIGIE Investigations Committee and AIGI Training	CIGIE
	Creating and Sustaining Organizational Excellence	Federal Executive Institute
	InDesign Level 1	ONLC
	InDesign Level 2	ONLC
	Analytics Boot Camp	Management Concepts
	CIGIE Investigations Committee and AIGI Training	CIGIE
	CFE Exam Review Course	
	Writing for Fraud Examiners	
	Public Corruption Investigations Training Program	CIGIE
	AIG Training	AIG
	Deploy and Manage Windows	Learning Tree
	Sharepoint	Learning Tree
	Annual Meeting ISACA	ISACA
	Changes in IT Security	ISACA
	Gov Accounting and Audit Update	AICPA
	Cyber Security Forum	Intergovernmental Audit Forum
	Managed Care Leadership Summit	NAMFCU
	Boot Camp for HC Fraud Inv	NHCAA
	Suite Admin for Champions	Teammate university
	A++ Certification Trainign Part 1	New Horizons
	A++ Certification Trainign Part 2	New Horizons
	Conducting a Contract and Grant Fraud Abuse Examination	Institute of Internal Auditors
	Annual Meeting ISACA	ISACA
	Changes in IT Security	ISACA
	Gov Accounting and Audit Update	AICPA
	Cyber Security Forum	Intergovernmental Audit Forum
	Practical Statistical Sampling	Graduate School
	Change Management for Leaders	Graduate School
	CFE Exam Review Course	ACFE
	Auditing Grants	Graduate School
	Auditing Outsources Govt Services	Graduate School
	Writing Effective Reports	CIGIE
	Audit Evidence and Documnetation	Graduate School
	Auditing Outsources Govt Services	Graduate School
	Interviewing Techniques for Auditors	Graduate School
	Auditing Grants	Graduate School
	Coaching Effective Writing	CIGIE
	Reviewing Other People's Report Writing	Graduate School
	Auditing Grants	Graduate School
	Auditing Outsources Govt Services	Graduate School
	Gov Accounting and Audit Update	AICPA
	Introductory Auditor	CIGIE
	Executive Education	Harvard
	Quest for Excellence Conference	
	Creative Thinking for Auditors	Graduate School
	Quick Response Auditing	Graduate School
	Talent Management Conference	SHERM
	Webinar: Hiring for Attitude	Business Mgmt Daily
	HR Summit	GW
	Principles of Classification	Graduate School
	Auditing Outsources Govt Services	Graduate School
	Conducting a Contract and Grant Fraud Abuse Examination	Institute of Internal Auditors
	ALGA Conference	ALGA
	Facilities Certificate Program	George Mason Online
	Facilities Certificate Program	George Mason Online
	Facilities Certificate Program: Program Management	George Mason Online
	Facilities Certificate Program: Facilities Financial Management	George Mason Online
	IE Fundamentals	CIGIE
	Auditing Performance Outcomes	
	ADA	Graduate School
	Federal Aprop Law	Graduate School
	Microsoft Excel 2010	ONLC Training Center
	Microsoft Word 2010	ONLC Training Center
	Microsoft Excel 2010 Advanced	ONLC Training Center
	Microsoft Word 2010 Intermediate	ONLC Training Center
	Microsoft Word 2010 Advanced	ONLC Training Center
	Editing	ACES Certificate Poynter
	Accurate, Audience focused Editing	ACES Certificate Poynter
	ELP	DC Gov
	NHCAA Annual Training	NHCCA
	Organiza Your Work New Tech for Admin Prof	AMA
	How to Communicate with Diplomacy and Tact	AMA

During FY 17, the OIG provided the following training opportunities:

- March 2017 – Performance Excellence Training/Building a High Performance Team, Commonwealth Center for High-Performance Organizations, 25 OIG employees.

During FY 18, the OIG provided the following training opportunities:

- October 2018 - Contract Administrator Training, Office of Contracting and Procurement, 15 OIG employees participated.
- January 2018 – Health Care Data Analytics Training, Department of Justice, 10 OIG employees participated.

During the remainder of FY 18, the OIG will seek to build management and supervisor leadership skills and abilities through a series of training events.

41. Please discuss performance evaluations.
- a. Does the agency conduct annual performance evaluations of all its employees?
 - b. Who conducts such evaluations?
 - c. What steps are taken to ensure that all agency employees are meeting individual job requirements?

OIG RESPONSE:

- a. Yes.
- b. Each manager is required to conduct Performance Plan Implementation Meetings, Mid-years and End of year performance evaluations of each of their assigned subordinate staff.
- c. Managers must evaluate staff according to the DCHR proscribed performance plan policy and procedures to ensure that staff are meeting job requirements. Managers are also required to develop training plans for all staff as well as utilize the performance improvement plan structure as described by DCHR.

42. Please list all recommendations identified by the Office of the Inspector General, D.C. Auditor, or other federal or local oversight entities during FY 16, FY 17, or FY 18, to date. Please provide an update on what actions have been taken to address each recommendation. If the recommendation has not been implemented, please explain why.

OIG RESPONSE: The OIG did not have any recommendations identified by the D.C. Auditor, or other federal or local oversight entities during FY 16, FY 17, or FY 18, to date.

IV. Agency Operations

43. How did the agency address its top five priorities in FY 17? What are the agency's top five priorities in FY 18? Please explain how the agency expects to address these priorities in FY 18.

OIG RESPONSE: See Response to Question 34 for a discussion on the OIG's FY 17 initiatives and Response to Question 35 for a discussion on the OIG's and FY 18 initiatives.

44. Please describe any initiatives that the agency implemented in FY 17 or FY 18, to date, to improve the internal operations of the agency or the interaction of the agency with outside parties. Please describe the results, or expected results, of each initiative.

OIG RESPONSE: See Response to Question 34 for a discussion on the OIG's FY 17 initiatives and Response to Question 35 for a discussion on the OIG's and FY 18 initiatives.

45. Please list each new program implemented by the agency during FY 17 and FY 18, to date. For each program, please provide:
- a. A description of the program;
 - b. The funding required to implement the program;
 - c. The program and activity codes in the budget; and

- d. Any documented results of the program.

OIG RESPONSE: In FY 17, the OIG finalized its internal reorganization, by adding discrete programs to its FY 18 budget. These additions included:

(4000) Risk Assessment and Future Planning (RAFP)
(5000) Quality Management (QM)

Resources, both PS and NPS, were repurposed from other areas of the OIG. These new programs did not reflect additional budget growth.

A description of these programs are included in the OIG's response to Question 1. Results of these two programs are included in the OIG's FY 17 Performance Accountability Report included in the OIG's response to Question 34.

Additional results for both RAFP and QM can be found in the OIG's *FY 2017 Report on Activities*, available at:

<http://app.oig.dc.gov/news/view2.asp?url=release10%2FDC+Office+of+the+Inspector+General+Report+on+Activities+FY+2017%2Epdf&mode=release&archived=0&month=00000&agency=0>

46. Please explain the impact on your agency of any legislation passed or regulations adopted at the federal level during FY 17 and FY 18, to date, which significantly affect agency operations.

OIG RESPONSE: Currently, a change in federal policy is being sought to expand the MFCU's ability to investigate and prosecute abuse and neglect of Medicaid beneficiaries in non-institutional settings, for example abuse or neglect of a beneficiary alleged to have occurred in a home health care or other non-institutional setting.

The OIG is currently evaluating how this policy change may impact the MFCU's operations and its investigative case mix.

47. Please identify any legislative requirements that your agency lacks sufficient resources to properly implement. Please explain.

OIG RESPONSE: The OIG is currently required by statute to perform audits of various District funds, as identified in the OIG's response to Q38. These statutory mandates reduce the OIG's ability to quickly respond to emergent oversight issues discovered during the fiscal year. As such, the OIG must prioritize specific issues. To address legislative requirements, the OIG will present suggested changes as part of the legislative package discussed in the OIG's response to question 47. Further, the OIG has requested additional PS and NPS resources in its FY 19 budget request provided in the OIG's response to Question 25.

48. Please discuss any legislation your agency plans to submit to the Council in FY 18 or FY 19.

OIG RESPONSE: During FY 18, the OIG plans to introduce an updated version of *B21-0813 - Comprehensive Inspector General Independence and Empowerment Amendment Act of 2016*. This bill makes changes to the authority of the OIG. It includes provisions authorizing the OIG to hire, retain, and terminate personnel within the Office as well as independently exercise procurement authority; permits criminal investigators within the OIG to arrest without a warrant in certain circumstances; reclassifies the OIG as an independent agency within the District government; and requires District governmental agencies to provide notice and coordinate with the OIG during investigations of District government employees and contractors.

The OIG is currently working with the Office of the Attorney General to review and revise the Bill. Once updated, the OIG plans to submit this revised legislation to the Committee on Government Operations.

49. Please identify any statutory or regulatory impediments to your agency's operations.

OIG RESPONSE: Currently, the OIG has two statutory impediments: (1) lack of organizational independence; and (2) lack of appropriate law enforcement authority.

The OIG, previously attempted to address these issues in *B21-0813 - Comprehensive Inspector General Independence and Empowerment Amendment Act of 2016*. Currently, the OIG, in coordination with the OAG, is reviewing this legislation. Once completed, the OIG will present this revised legislation to the Committee on Government Operations.

50. Please list all regulations for which the agency is responsible for oversight or implementation.

- a. For each regulation, please list the chapter and subject heading, and the date of the most recent revision.
- b. Please list any pending or planned regulatory action, including the chapter and subject, status, and actual or anticipated completion date.

OIG RESPONSE: Under 43 CFR Part 1007, the OIG implements the Medicaid Fraud Control Unit for the District of Columbia.

Within the DCMR, there are several provisions related to the OIG's access and ability to inspect various types of records.

51. Beginning in FY 15, the OIG merged the administration of its hotline program. Has this resulted in a change in the number of calls received by the Office? In the number of responses made by the Office?

OIG RESPONSE: The OIG is continuing to merge all administrative aspects into a single hotline program. All complaints made to the OIG are routed through the OIG's Hotline managed by RAFP. The MFCU maintains a separate conduit where it receives contacts from various stakeholders, which requires MFCU staff to conduct limited investigative, legal review, or action.

The ongoing assimilation of the two hotlines has not resulted in an increase of the number of calls received by, or responses made by, the OIG. As discussed, the assimilation results in the consolidation of various data points into one system and a more efficient application of the OIG's resources.

We are currently in the preliminary stages of evaluating the OIG's Hotline model to determine if efficiencies could be gained through the use of a different model.

52. Please provide an update on the annual certification by the U.S. Department of Health and Human Services Office of Inspector General (DHHS OIG) of the Medicaid Fraud Control Unit.

OIG RESPONSE: Under 42 CFR § 1007.15 (c), the MFCU submitted its annual recertification package to the Secretary of Health and Human Services on January 1, 2017, and received its recertification notification on February 28, 2017. The MFCU recertification period is from March 1, 2017, to February 28, 2018.

On December 30, 2017, the MFCU resubmitted its annual recertification package to the Secretary of Health and Human Services. To date, the OIG is awaiting HHS' response.

53. According to the OIG's FY 15 Report on Activities, the OIG developed a five-year Strategic Plan to guide its efforts toward attaining its vision and mission. What actions were taken in FY 17 and FY 18, to date in accordance with the Strategic Plan? What does the OIG intend to accomplish throughout FY 18 in accordance with the Strategic Plan?

OIG RESPONSE: Within the OIG’s FY 17 Performance Accountability Report (see Question 34), the OIG identified 13 Strategic Initiatives to aid in the implementation of the Strategic Plan. Detailed discussion of those can be found in the OIG Response to Question 34.

For FY 18, the OIG has identified 10 strategic initiatives in its FY 18 Performance Plan, which outlines actions planned/underway to aid in the implementation of the Strategic Plan. Detailed discussion of those initiatives can be found in the OIG’s response to Question 35.

54. Preliminary audit fieldwork for the OIG’s audit of the District of Columbia Streetcar Program was scheduled to begin on November 15, 2017. What were the results of the preliminary audit fieldwork? What is the timeline for the completion of the District of Columbia Streetcar Program audit?

OIG RESPONSE: The OIG announced this project on November 13, 2017. The OIG held an entrance conference on December 7, 2017. Since the entrance conference, OIG audit staff have been reviewing documentation and scheduling interviews with relevant personnel. Results are pre-decisional at this point in time. In working with DDOT to gain access to documentation and personnel, as well as securing DDOT’s response to draft report, the OIG anticipates publishing this report within FY 18.

55. In FY 17, how many Investigative Unit cases did the U.S. Attorneys’ Office (USAO) refer to the D.C. Office of the Attorney General for civil enforcement? How many of these cases resulted in litigation? What steps in FY 17 and FY 18, to date has the OIG taken to improve the acceptance rate by the USAO of cases referred by your office?

OIG RESPONSE: In FY 17, the Investigations Unit referred 9 cases to the USAO for prosecution, of which 4 were accepted for prosecution. Further, the Investigations Unit referred 6 investigations to the D.C. Office of the Attorney General for civil recoupment.

In FY 17, the MFCU did not refer any new cases to USAO for prosecution.

As a matter of procedure, the OIG is statutorily required to present all cases where there is evidence of criminal wrongdoing to the USAO. In some cases, the misconduct does not meet the USAO’s threshold and in order to pursue either civil or administrative remedies, the OIG must first have a declination from the USAO. As a matter of practice, OIG leadership meets on a bi-weekly basis with the Section Chief of the Fraud and Public Corruption section of the USAO to discuss ongoing investigations.

56. Please comment on the realignment of the Audit Division into three branches. What has been the workload of each branch? Were any further adjustments made, or are currently in the process of being made, in FY 18? Please explain your answer. Additionally, what, if anything needs additional adjustment? Please explain.

OIG RESPONSE: The OIG’s Audit Unit has three supervisory units, led by an MSS-14 and staffs comprised of CS-11 through -14 auditors and program analysts. Depending on workload demands, team members can be reassigned between the supervisory units. This reassignment also aids in staff development by exposing them to various types of audit work. The OIG anticipates no adjustments during FY 17.

57. With respect to the FY 18 Audit and Inspection Plan, please comment on the emerging requirements, and whether the amount of excess capacity for requests as the fiscal year has proceeded was accurate. Thus far, have emerging requests exceeded available capacity? If so, what adjustments were made? What adjustments are being contemplated for FY 18?

OIG RESPONSE: There are two ongoing efforts, which may affect the OIG’s ability to execute all projects identified in its FY 18 Audit and Inspection Plan.

First, in January 2018, the OIG was requested by Mayor Bowser to conduct a systems assessment in response to allegations regarding graduation issues within DCPS schools. During FY 18, the OIG plans to request additional funding for outside experts to complete this request from the Mayor.

Second, during FY 17 and FY 18, the OIG has initiated several projects using a multi-disciplined, task-force approach to address emergent issues. This approach is comprised of OIG staff from several disciplines (i.e. criminal investigators, auditors, analysts). In assigning staff to these task forces, the OIG may have to delay ongoing or planned projects.

58. In FY 17, how did the IG strengthened the OIG's relationship with the Council of Inspector Generals for Integrity and Efficiency (CIGIE)?

OIG RESPONSE: During FY 17, the OIG has continued to strengthen its relationship with the Council of the Inspectors General on Integrity and Efficiency (CIGIE). The OIG must adhere to quality standards promulgated by CIGIE.

During FY 17, the Inspector General attended monthly meetings with other inspectors general during monthly CIGIE meetings. In March 2017, leaders from the OIG attended the CIGIE Investigations Committee and Assistant Inspector General for Investigations (AIGI) Annual Training Conferences.

Since the OIG is required to refer all evidence of criminal wrongdoing to the USAO, and must comply with Quality Standards promulgated by CIGIE, the OIG must ensure that staff training in accordance with CIGIE's training. The OIG leverages CIGIE's Audit, Inspection and Evaluation Academy, Criminal Investigator Academy, and Leadership and Mission Support Academy to provide this training to OIG staff. Due to the fact that the OIG is not a Federal Office of Inspector General, the OIG's access to these training is impacted. To remedy this, the OIG is currently in talks with CIGIE, the Federal Law Enforcement Training Center, and other Federal Office of Inspectors General to better secure training for its staff.

Additionally, OIG provides staff to instruct at several CIGIE training Academy courses, such as Inspection and Evaluation Fundamentals and Undercover Operations.

During FY 18, the OIG will continue to seek additional opportunities to enhance its relationship with CIGIE.

59. Please attach copies of the required annual small business enterprise (SBE) expenditure reports for your agency for FY 16 and FY 17.

- a. D.C. Official Code § 2-218.53(b) requires each District agency to submit supplemental information with their annual SBE expenditure report, including: a description of the activities the agency engaged in to achieve their fiscal year SBE expenditure goal; and a description of any changes the agency intends to make during the next fiscal year to achieve their SBE expenditure goal. Has your agency submitted the required information for fiscal year 2017? Please provide a copy as an attachment.

OIG RESPONSE: Please see the attachments on the following pages for FY 16 and FY 17 SBE spending.

Attachment: OIG FY 16 SBE Report

FY16 DSLBD Agency Complianc... -
Page 1 of 1

Agency #8

Agency Information

Agency Name: Office of the Inspector General
 Budget Code: ADO Agency Acronym: OIG

Agency Users Information

Type	Title	User	Email	Phone	Status
Compliance Officer					
Compliance Officer					
Agency Director					
Compliance Officer					
Compliance Officer					

Budgets

Please click the Budget to see all budget line items

Fiscal Year	Date Authorized	Authorized by	DSLBD FOC	Appropriated Operating Budget	Appropriated Capital Budget	Total Appropriated Monitored Budget (Gross Funds)	Baseline SBE Goal	Total Exclusions	Total Exceptions	Adjusted Approved Expendable Budget	Anticipated Expendable Budget
2016	09-30-2015			\$17,349,485.00		\$16,893,701.13	\$8,446,850.57	\$13,040,455.15	\$2,982,954.58	\$862,291.40	\$943,971.0
TOT				\$17,349,485.00	\$0.00	\$16,893,701.13	\$8,446,850.57	\$13,040,455.15	\$2,982,954.58	\$862,291.40	\$943,971.0

Agency Requests

Changes in agency detail or reporting contact can be requested here. Click on 'Add Change Request' button to update your agency detail's reporting contact. It is the agency detail's responsibility to update DSLBD regarding reporting contacts.

Add Change Request

Date Created	Name	Title	Email	Role	Request Type	Related Budget	Last Modified By	Date Modified	Record Owner
No requests found									

Created on July 30, 2015 at 9:03 AM (EDT) Last updated by [Loretta Jobe-DC/SA](#) on Oct. 4, 2016 10:06 PM at 10:06 PM (EDT) Owned by [Loretta Jobe](#)

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2/1/2018

Attachment: OIG FY 17 SBE Report

FY17 DSLBD Agency Compliance...
Page 1 of 60

2017 ADO

Agency

Agency Name: Office of the Inspector General Budget Code: ADO
 This is your agency's DSLBD Compliance & Enforcement point of contact.
 Program Director, Compliance Specialist

Annual Allocation (for Directors)

Annual Allocation Letter and Director Authorization
 In accordance with 27 DCMR 830.1, each Agency Director must sign and acknowledge the agency's Expendable Budget and Small Business Enterprises (SBEs) minimum expenditures for acknowledge the requirement to allocate and expend 50% of its expendable budget with SBEs.

I accept
 I do not accept

Authorized by: [Redacted]

Please enter the Date Authorized:
 Date: 09-28-2016

Allocated Budget Information

Appropriated Operating Budget	\$18,722,456.74	Appropriated Capital Budget		Total Appropriated Monitored Budget	\$18,722,456.74	Baseline SBE Goal	\$9,361,228.37
Total Initial Exclusions	\$14,341,403.15	Initial Approved Expendable Budget	\$4,381,053.59	Initial Approved SBE Goal	\$2,190,526.79		
Total Exclusions	\$14,341,403.15	Total Exceptions	\$0.00	Adjusted Approved Expendable Budget	\$4,381,053.59	Adjusted Approved SBE Goal	\$2,190,526.79

Based on Anticipated Transfers

Budget Summary Information

This section contains the Preliminary Expendable Budget of each agency based on budget information provided by the Office of the Chief Financial Officer, reduced by funding sources, object classes, or excluded by the Director of the Department of Small and Local Business Development (27 DCMR 830.1). The information contained here will be adjusted following review and approval of Special Exception FY 17 Revised Budget Data loaded as of end of Q3.

Agencies may use the Operating Budget Worksheet below to request Special Exceptions, (i.e., to exclude additional budget line items not excluded by DSLBD).

Fiscal Year	Procurement Plan Total			
2017	\$4,470,679.72			
APPROPRIATED BUDGET				
Allocation Appropriated Operating Budget	Operating Budget Adj	Current Appropriated Operating Budget	Operating Transfer Amt	**Adj Appropriated Operating Budget**
\$18,722,456.74	\$463,222.26	\$19,185,679.00	\$545,947.39	\$18,639,731.61
Allocation Appropriated Capital Budget	Capital Budget Adjustment	Current Appropriated Capital Budget	Capital Transfer Amt	**Adj Appropriated Capital Budget**
\$0.00	\$0.00			\$0.00
Total Appropriated Monitored Budget (Gross Funds)	Baseline SBE Goal			
\$18,639,731.61	\$9,319,865.81			
INITIAL EXCLUSIONS				
Total Initial Exclusions	Initial Approved Expendable Budget	Initial Approved SBE Goal		
\$13,891,403.15	\$4,748,328.46	\$2,374,164.23		
ADJUSTMENTS				
Total Exclusions	Allocation Exceptions	Exception Changes	Total Exceptions	Adjusted Approved Expendable Budget
\$13,891,403.15	\$0.00	\$2,212,500.00	\$2,212,500.00	\$2,535,828.46

Anticipated Calculations

Anticipated Op Transfers In	Anticipated Op Transfers Out	Anticipated Cap Transfers In	Anticipated Cap Transfers Out	Anticipated Expendable Budget	** Adjusted Approved SBE Goal **
\$88,881.10	\$0.00			\$2,624,709.56	\$1,312,354.78

Submission Status

Tracks all reports submitted by the agency detail for the fiscal year reporting, per D.C. Official Code 2-218-41, 2-218.53 and Chapter 8 of Title 27 DCMR.

Expendable Budget SBE Goal Indicator	Expendable Budget SBE Goal Status	Goal Met	Good Faith Effort Indicator	Good Faith Effort Status
Procurement Line Plan Status	Budget Operating budget line Items Status	Not Submitted	1st Quarter Report Status	2nd Quarter Report Status
Procurement Line Plan Indicator	Budget Operating budget line Items Indicator		1st Quarter Report Indicator	2nd Quarter Report Indicator
			3rd Quarter Report Indicator	4th Quarter Report Indicator

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60. Please identify all electronic databases maintained by your agency, including the following:
 - a. A detailed description of the information tracked or maintained within each system;
 - b. The age of the system and any discussion of substantial upgrades that have been made or are planned to the system; and
 - c. Whether the public can be granted access to all or part of each system.

OIG RESPONSE: The following databases are maintained by the OIG:

Audit Unit and the Inspections and Evaluations Unit:

The Audit Unit utilizes TeamMate to manage audit projects. TeamMate also stores audit work papers for all audit projects. The TeamMate system has been in use since 2008. The most recent upgrade to release, R11.1.3, occurred in April 2016. Due to the pre-decisional information contained within TeamMate, the public will not be granted access to this system.

In FY 18, the Inspections and Evaluations Unit will migrate to the TeamMate system. Due to the pre-decisional information stored in this server database, the public will not be granted access to this system.

Investigations Unit and Risk Assessment and Future Planning’s Hotline Program:

The Investigations Unit utilizes an internal database to manage all complaints and ongoing cases. The Investigations Unit has used this database since FY 1996. This database includes information on ongoing cases to include control numbers, status of investigations, and case documentation. The database allows supervisors to manage staff caseloads, conduct performance evaluations, and review case files.

During FY 18, the Investigations Unit continues to work to migrate its case management system to i-Sight, a cloud-based case management system. Due to law-enforcement sensitivity, the public will not have access to this system.

Medicaid Fraud Control Unit (MFCU):

The MFCU utilizes JustWare to manage cases and all case-related data. JustWare captures all non-financial statistical data necessary to comply with grant-related reporting requirements. JustWare has been in use since FY 12. Due to law-enforcement sensitivity, the public will not have access to this system.

61. Please provide a detailed description of any new technology acquired or any upgrades to existing technology in FY 17 and FY 18, to date, or anticipated for the remainder of FY 18.
 - a. Include the cost, what it does, and the budget program and activity codes that fund it.
 - b. Cross reference to any relevant contracts (name or number) in the responses above.
 - c. Please explain if there have been any issues with implementation.

OIG RESPONSE: Please see Table 20 below.

Table 20: New Technology

FY 2017				
Program	Purpose	Cost	PO/ Contract	Issues
Dell Laptop Computers	Hardware Refresh	[REDACTED]	[REDACTED]	None
IAAS Cloud Storage	Secure Data Storage	[REDACTED]	[REDACTED]	None
Microsoft 365	Email Upgrade	[REDACTED]	OCTO/ Dell	None
Xerox Copy Machines	Upgrade for old machines	[REDACTED]	OCTO/ Xerox	None
FY 2018				
Program	Purpose	Cost	PO/ Contract	Issues
Cisco Switch	Hardware Repair	[REDACTED]	OCTO	None