

2nd Qtr FY 14 Capital Rpt

2nd Quarter FY 2014  
Capital Spending Plan (CSPIN) Report  
Actual versus Planned Spending

Agency	Project No	Project Title	2nd Quarter YTD Plan (CSPIN)	2nd Quarter Actual YTD Expenditure	Variance	% Variance
DB0	50303C	EASTGATE HOPE VI	1,464,000.00	1,666,394.00	(202,394.00)	(14%)
	<b>Total</b>		<b>1,464,000.00</b>	<b>1,666,394.00</b>	<b>(202,394.00)</b>	
ELC	FZ037C	DC IT/JUS INTEGRATION	40,277.00	174,673.91	(134,396.91)	(334%)
	<b>Total</b>		<b>40,277.00</b>	<b>174,673.91</b>	<b>(134,396.91)</b>	
G00	BU0B0C	VEHICLE REPLACEMENT	44,235.00	4,467,735.00	(4,423,500.00)	(10,000%)
	<b>Total</b>		<b>44,235.00</b>	<b>4,467,735.00</b>	<b>(4,423,500.00)</b>	
JZ0	SH632C	REPLACEMENT OF YESI TO FAMCARE	101,194.00	162,438.13	(61,244.13)	(61%)
	<b>Total</b>		<b>101,194.00</b>	<b>162,438.13</b>	<b>(61,244.13)</b>	
T00	EQ104C	IDENTITY AND ACCESS MANAGEMENT SYSTEM	266,940.00	426,051.20	(159,111.20)	(60%)
	<b>Total</b>		<b>266,940.00</b>	<b>426,051.20</b>	<b>(159,111.20)</b>	

Office of the Chief Financial Officer, Office of Budget & Planning  
**FY 2014 SECOND QUARTER ANTI-DEFICIENCY SUMMARY REPORT - Capital Improvements Program**

**Agency quarterly reporting information**

Agency Code	Agency Title	Project Number	Fund	5% or more Variance	\$1 million or more Variance	Number of months during current Quarter on Variance List?	Prior Quarter variance list?	Date of agency response (due date)	Person submitting agency response	Agency response	Is deficiency now corrected? (OBP Response)	#
1 DB0	Department of Housing and Community Development	50300C	0300	14.0%	No	2	No	5/5/2014	Douglas A. Kemp, Budget Officer (for Andree Channann, AFO)	The spending plan was developed to allow for progress payments to close out the project. The invoice submitted was for a one-time final payment, including release of retainage, that was accompanied by release of liens and Certificate of Occupancy. The timing of the submission and the final amount of the invoice was not anticipated by the agency's Project Manager nor conveyed to the OAF. The total expenditure (\$1,666,394) was \$773,606 LESS THAN the annual allotment available (\$2,440,000), which represented the open encumbrance (PO) for the project. The unexpended funds will be reprogrammed to another ongoing DFD Capital project in the 3rd quarter.	Yes	#1
2 ELC	Capital Equipment Lease	FZ037C	0302	334.0%	No	3	No	5/5/2014	Iwobi, A.	The spending projection was processed in CFOSolve but never submitted until second quarter of FY 2014. However, planned spending is already lower than the expenditures that have occurred. The DC IT project (IJS) is not over budget.	No	#1
3 G00	Special Education Transportation	BU0B0C	0300	10000.0%	Yes	3	No	5/5/2014	M. Munnetsi	The project is not over budget, some previous year's encumbrances converted into cash expenditures during this allotment period, causing it to appear over the allotment. The project is in balance.	No	#1
4 JZ0	Department of Youth Rehabilitation Services	SH632C	0300	61.0%	No	3	No	5/5/2014	Fletcher, J.	Expenditures occurred earlier than Planned. Cannot revise CSPIN spending plan, but project is not over budget.	Yes	#1
5 T00	Office of the Chief Technology Officer	EQ104C	0300 / 0301	60.0%	No	3	No	5/5/2014	P. Peng	Available budget at the beginning of October 2013 was \$533K; the funding was planned for the acquisition of Oracle Identity Management System. An estimated preliminary plan of \$133.5K per quarter was submitted into the SPIN tool Application; no expenditures were incurred in the First quarter. Program completed the acquisition process and paid the invoice in the amount of \$426K for the software system on 1/13/2014. The December General Ledger closed on this date. The SPIN Application is usually updated several days after the General Ledger close. The program attempted to update the spend plan at the beginning of the 2nd quarter; however, the SPIN tool Application did not allow any changes to the previously submitted spend plan; the program escalated this spend tool application issue to OBP. However, it was not possible to update the spend plan in the application to resolve the issue. The project is not currently in deficit nor is there a risk of an actual deficit. This spend plan variance issue is due to a lack of Application flexibility to allow 2nd quarter spend plan update. The program has very strong controls in place to prevent any actual deficit spending.	No	#1

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<b>Total Deficiency Counts</b>												
				5	0	5						

- Fund Description**
- 0300 G.O. / I.T bonds
  - 0301 PayGo
  - 0302 Equipment lease funds
  - 0310 GARVEE Bond
  - 0330 Local street Rights-of-way
  - 0332 Local sts Parking Tax
  - 0335 Local sts G.O Bond Funding
  - 3425 Housing Production Trust Fund (HPPTF) Revenue Bond

**Footnotes:**  
 # 1 At the beginning of the fiscal year, the total project allotment balance is available to include in the spending plan. As expenditures occur in the project, the amount available to put in a spending plan will be lessened. Therefore, in cases where an inadequate CSPIN plan is created and then not updated timely, it is impossible to modify the spending plan upward to cover the actual expenditures. The plan cannot be modified upward for the rest of the fiscal year and the project may be out of compliance for the rest of the plan year.