

December 3, 2014

OCF Stats for November 2014

OFFICE OF THE DIRECTOR

Site Visits to Election Precincts during the November 4, 2014 General Election – 81

Notice published at OCF Website on November 17, 2014 of Significant Amendments to the Campaign Finance Act of 2011, pursuant to the “Campaign Finance Reform and Transparency Act of 2013”

PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION

The following is an accounting of PIRMs production stats:
November 2014:

New Committees

November 2014 Report of Receipts & Expenditures

DC Proud Inaugural Committee.....	11/10/2014
DC Character Development and Citizenship Education Initiative.....	11/19/2014
AJ Cooper (Ward-4 City Council 2016).....	11/24/2014

Entrance conference

11/20/2014

Ben Soto/DC Proud Inaugural Committee

REPORTS ANALYSIS AND AUDIT DIVISION

The following is an accounting of RAAD’s production stats:

Reviews Completed – 72

Political Action Committees (includes amended filings).....	8
Constituent Service Funds (includes amended filings).....	0
US Senator/Representative.....	0
Principal Campaign Committees (includes amended filings).....	64
Exploratory Committees.....	0
Independent Expenditures.....	0
Twenty-four Hour Reports.....	0

Initiative/Referendum Committees.....	0
Attorney General.....	0
Audit Letters (RFAI) Sent.....	21
Follow-up letters Sent.....	0
Case(s) Resolved.....	32
Cases Referred to the Office of General Counsel.....	2
Cases Referred for Contributions with Same Address and Similar Signatures.....	0
Cases Terminated	0
Hearings Attended	0
Site Visits.....	10
Outreach Education Seminars Attended – Institute of Internal Auditors.	0
Webinars Attended – Institute of Internal Auditors.....	0
Outside Seminars Attended	0
In House Training	1

Ongoing Audits:

Full Field Audits

- Gray for Mayor

Periodic Random Audits - Candidates Registered for the Upcoming Election (2014)

- Evans for Mayor
- Friends of Courtney R. Snowden
- Elect Cheeks for Chairman – issued November 24, 2014

Periodic Random Audits – Continuing Committees

- Biddle 2012
- Hubbard for Ward 5 -2012

Periodic Random Audits – Political Action Committees

- District of Columbia State Federation of Business and Professional Women’s Club Inc.
PAC

Periodic Random Audits – Constituent Service Programs

- Evans CSF
- Friends of Jim Graham

Audits Issued

- Cheeks for Chairman

2014 MONTHLY IN-TAKE/OUTPUT REPORT

OFFICE OF GENERAL COUNSEL

	July	August	September	October	November	December	
Opinion Request Received					0		
Opinion Request Completed					0		
Complaints Received					0		
Internal Queries					0		
Preliminary Investigations Initiated					0		
Preliminary Investigations Orders Issued					0		
Full Investigations Initiated					0		
Full Investigations Orders Issued					0		
Informal Hearings Referred					19		
Informal Hearings Completed					8		

Informal Hearings					14*		
Orders Issued							
Petitions for					7		
Enforcement							
Referrals to BOE							

*This figure represents 11 Failure to Timely File orders in which no fines were imposed and 3 orders regarding the failures to timely respond to Requests For Additional Information in which no fines were imposed.

Board Statistics for November, 2014

Board Meeting December 3, 2014

1. Actual Matters referred

PIRM

PCC	8
PAC's	6
ANC's	0
Constituent Services	0
Statehood Fund	0

RAAD

PCC	2
PAC's	3
Constituent Services	0
Statehood Fund	0

2. Notices of Hearings		
First Notices	25	
Second Notices	1	
3. Notices and Orders Vacated	1	
4. Hearings Conducted	8	
5. Orders which imposed fines	0	
6. Fines imposed		\$0.00
7. Fines collected	0	
8. Investigations completed	0	
Orders issued		

Board Statistics for November 2014

Board Meeting December 3, 2014

- | | |
|------------------------------|--|
| 9. Petitions for Enforcement | (1) 14R-023 Carson-Smith for DNC (\$2,000)
(2) 14R-047 Bruce Majors for Mayor (\$1,700)

(3) 14C-037 Carson-Smith for DNC (\$850)

(4) 14C-051 Carson-Smith for DNC (\$1,350)

(5) 14C-054 Carter at-Large (\$1,350)

(6) 14C-055 Gaston 2014 (\$900)

(7) 14C-058 Martin Sterbal US Senate (\$1,350) |
|------------------------------|--|

10. Outstanding Fines

\$6,100.00*

- (1) \$1,700.00 Frank Sewell for Mayor
- (2) \$1,100.00 Nestor for Mayor
- (3) \$150.00 Tim Clark (ANC)
- (4) \$350.00 Friends of Calvin Gurley
- (5) \$1,150.00 Nestor for Mayor
- (6) \$1,650.00 Nestor for Mayor

*OCF Petitions for Enforcement pending before the BOE

LIST OF OPEN OCF INVESTIGATIONS

December 3, 2014

OCF FI 2011-103

Complainant: Internally Generated March 15, 2011

Respondent: Sulaimon Brown for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: Due to current criminal investigations

the Sulaimon Brown for Mayor investigation remains ongoing

OCF FI 2011-104

Complainant: Internally Generated March 15, 2011

Respondent: Gray for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: Due to current criminal investigations

the Gray for Mayor 2010 investigation remains ongoing

OCF FI 2013-013

Complainant: Internally Generated June 24, 2013

Respondent: Lee Calhoun

Infraction: Campaign contribution violations

Status: Due to current criminal investigations
the Lee Calhoun investigation remains ongoing

DCF FI 2013-014

Complainant: Internally Generated June 24, 2013

Respondent: Stanley Straughter

Infraction: Campaign contribution violations

Status: Due to current criminal investigations
the Stanley Straughter investigation remains ongoing

DCF FI 2013-015

Complainant: Internally Generated March 28, 2014

Respondent: Jeffrey Thompson

Infraction: Campaign contribution violations

Status: Due to current criminal investigations
the Jeffrey Thompson investigation remains ongoing

OCF FI 2014-001

Complainant: Internally Generated July 24, 2014

Respondent: Kelvin Robinson

Infraction: Campaign contribution violations

Status: Pending

DCF FI 2014-002

Complainant: Internally Generated July 24, 2014

Respondent: Jeff Smith

Infraction: Campaign contribution violations

Status: Pending

INTERPRETATIVE OPINIONS

December 3, 2014

NONE

(All Interpretative Opinions are on-line@ www.ocf.dc.gov)

SHOW CAUSE PROCEEDINGS

December 3, 2014

OCF 2014-P-033	Complainant:	Smitty for AG
	Respondent:	Karl Racine for AG
	Infraction:	Failure to provide contributor information
	Originated:	October 16, 2014
	Status:	Order Issued November 26, 2014

OCF2014-P-021 Complainant: Adam Eidinger
Respondent: William V. Jones, III
Infraction: Failure to include disclaimer on campaign literature
and Failure to file a Statement of organization
Originated: October 17, 2014
Status: Order Pending

OCF 2014-P-026 Complainant: Adam Eidingen
Respondent: William V. Jones, III
Infraction: Failure to include disclaimer on campaign literature
and Failure to file a Statement of Organization
Originated: October 17, 2014
Status: Order Pending

OCF 2014-P-022 Complainant: Marie Drissel
Respondent: Elwood "Yango" Sawyer
Infraction: Failure to file financial reports and Failure to
Identify the source of expenditure
Originated: October 21, 2014
Status: Order Pending

Office of Campaign Finance



Services

- Financial Reports
- Images Search
- Contribution & Expenditure Search
- Downloadable Forms
- Geographic Contribution Locator
- Lobbyist Activity Search
- Online Filing
- Online Training
- Download Data
- Registration Disclosure Search
- FDS Registrant Activity Search

Information

- Administrative Dismissal and Vacated Orders
- Calendar of Events
- Campaign Finance Guide
- Complaints and Final Decisions
- Educational Brochures
- Employee Standards of Conduct
- FOIA Information
- Final Audit Analysis
- Informal Hearings on Delinquent Filers
- Interpretative Opinions
- Regulations
- Reports and Summaries
- Request an Interpretative Opinion
- Request an Investigation

OCF NEWS

Mandatory Requirements

Mandatory Requirements for Filing and Training [Full Text](#)

2015 Office of Campaign Finance Training Sessions

2015 Training Seminars [Full Text](#)

2015 Biennial Report

The Office of Campaign Finance hereby presents the January 31, 2015 Biennial Report, which covers the contributions and expenditures of candidates and political committees for the period from January 2013 through December 2014. [Full Text](#)

Office of Campaign Finance Statistics

Office of Campaign Finance Statistics for the month of January 2015 [Full Text](#)

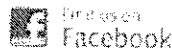
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Please select an option from the drop-down menu below to learn about the Online filing system, Forms that you can file online, Online training, and Online filing.

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Sign up with ocf.dc.gov to receive email updates on Complaints and Final Decisions, Filing Deadlines, Final Audit Analysis, Interpretative Opinions, and News.



Office of Campaign Finance

Frank D. Reeves Municipal Building

2000 14th Street, NW, Suite 433

Washington, DC 20009 (202) 671-0547

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February 4, 2015

OFFICE OF THE DIRECTOR

PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION

The following is an accounting of PIRMs production stats:
January 2015:

CONSTITUENT SERVICE PROGRAMS

January 1, 2015 Report of Receipts and Expenditures

Total number of required filers.....	12
Total number of timely filers.....	12*
Total number of late filers.....	0
Total number of extensions requested.....	0
Total number of extensions granted.....	0
Total number of FTF.....	0
Total number of OGC referrals.....	0
 Total number of Electronic Filings.....	 12
Total number of Certified E-Filings.....	12

**Three (3) filings were termination reports*

SENATOR/REP. STATEHOOD FUNDS

January 1, 2015 Report of Receipts and Expenditures

Total number of required filers.....	2
Total number of timely filers.....	2
Total number of extensions requested.....	0
Total number of extensions granted.....	0
Total number of late filers.....	0
Total number of FTF.....	0
Total number of OGC referrals.....	0

Total number of Electronic Filings.....	2
Total number of Certified E-Filings.....	1

PRINCIPAL CAMPAIGN COMMITTEES & POLITICAL ACTION COMMITTEES

January 31, 2015 Report of Receipts and Expenditures (Due February 2, 2015)

Total number of required filers.....	190(124PCCs – 66PACs)
Total number of timely filers.....	TBD
Total number of extensions requested.....	TBD
Total number of extensions granted.....	TBD
Total number of Late filers.....	TBD
Total number of FTF.....	TBD
Total number of OGC referrals.....	TBD
<i>Total number of Electronic Filings.....</i>	<i>TBD</i>
<i>Total number of Certified E-Filings.....</i>	<i>TBD</i>

REFERRALS TO OGC

There were no referrals in the month of January

Entrance Conference participants on January 14, 2015

Ronald Austin (Elect Ronald Austin)
June S. Daugherty (Elect Ronald Austin)
Bruce Morrison (Bruce Morrison For Ward 4)
Paul M. Browne (Bruce Morrison For Ward 4)
Judi Jones (Cmte. to Elect Sandra Seagars)
Ernest Williams (Friends of Leon Andrews)
Jerry K. (Friends of Leon Andrews)
Chandler Bellanca (Toliver for Ward 4)
Robert Cowbitt (Cmte. to Elect Judi Jones)
James Elie (Cmte. to Elect Judi Jones)
Acqunetta Anderson (Anderson for Ward 4 Council)
John Gloster (Elect Renee Bowser)
Darryl Wiggins (Brandon Todd Ward 4)
Julius Terrell (Edwin for Ward 4)
Doug Sloan (Doug Sloan 4 Ward 4)

ENTRANCE CONFERENCE PARTICIPANTS ON JANUARY 16, 2015

Nicole Spriggs (Abraham 4 Ward 8)
Eugene Kinlow (Kinlow Ward 8 Strong)
Vivian Whitaker (Keita 4 Ward 8)
Dr. Keita Vanterpool (Dr. Vanterpool 4 Ward 8)
Anthony Hevington (Muhammad for Ward 8)
Abimbala George (Muhammad for Ward 8)
Johnnie Ferguson (Kinlow Ward 8 Strong)
Charles M. Hudson
Greta Fuller (Greta 4 Ward 8)
Anthony Muhammad (Ward 8 for Muhammad)
Stuart Anderson (Cmte. to Elect Stuart Anderson)
Keisha Mims (Leonard Watson for Ward 8 Councilmember)
Edwina Montague (Edwina for Ward 8)
William Miller (Tengen for DC)
LaRuby May (LaRuby May 2015)
Leonard Watson (Leonard Watson for Ward 8 Councilmember)
Monica Ray (LaRuby May 2015)
Javhar Abraham (Abraham for Ward 8)

NEW COMMITEES

January 2015

Acqunetta Anderson/Anderson Ward 4 Council (Ward 4, City Council).....12/22/2014
Edwina Montague/Edwina for Ward 8 (Ward 8, City Council).....12/22/2014
Sandra Seegars/Seegars for Ward 8 2015 (Ward 8, City Council).....12/22/2014
Eugene Kinlow/Kinlow Ward 8 Strong (Ward 8, City Council).....12/23/2014
Nathan Fleming/Fleming 2015 (Ward 8, City Council).....12/23/2014
Natalie Williams/Cmte. to Elect Natalie Williams (Ward 8, City Council).....12/29/2014
Ronald Williams/Ron Williams for Ward 8 City Council (Ward 8, City Council)...12/30/2014
Vannie Taylor (Ward 4, City Council).....12/30/2014
Jauhar Abraham/Abraham for Ward 8 (Ward 8, City Council).....12/31/2014
Dionne Y. Brown/Cmte. to Elect Dionne Brown (Ward 4, City Council).....12/31/2014
Trayon White/Trayon White for Ward 8 2015 (Ward 8, City Council).....01/02/2015
Keita Vanterpool/Dr. Vanterpool 4 Ward 8 (Ward 8, City Council).....01/05/2015
Sheila Bunn/Sheila Bunn for Ward 8 (Ward 8, City Council).....01/07/2015
Leon Andrews/Friends of Leon T. Andrews, Jr. (Ward 4, City Council).....01/07/2015
Marion Barry/Barry for Council (Ward 8, City Council).....01/07/2015
Dwayne Toliver/Toliver for Ward 4 (Ward 4, City Council).....01/09/2015
Gwenellen Corley/Corley for Ward 4 (Ward 4, City Council).....01/09/2015
Nicole Jones (Ward 8, City Council).....01/12/2015
Lucuis Gallion/Help Lucius Gallion Help You (Ward 8, City Council).....01/21/2015

Miscellaneous

All candidates, PACs, Initiative Committees and Inaugural Committees were advised in the January 31st reminder letter (mailed January 12, 2015) of the electronic filing requirement as outlined in D.C. Law 20-79, the "Campaign Finance Reform & Transparency Act of 2013". Further, they were advised of other significant amendments in the law in letters mailed on January 30, 2015 in emails.

REPORTS ANALYSIS AND AUDIT DIVISION

The following is an accounting of RAAD's production stats:

Reviews Completed -54

- 4 PAC** (includes amended filings)
- 12 CSF** (includes amended filings)
- 2 US S/R**
- 32 PCC** (includes Amended filings)
- 0 Inaugural Committee**
- 0 Exploratory Committee**
- 0 Twenty-four Hour Reports**
- 0 Independent Expenditures**
- 0 Initiative/Referendum**
- 4 Attorney General**
- 13 Audit Letters (RFAI) Sent**
- 0 Follow-up letters sent**
- 19 Case(s) Resolved**
- 3 Cases Referred to Office of General Counsel**
- 1 Case Referred for Contributions with Same Address and Similar Signatures**
- 7 Cases Terminated**
- 0 Hearings Attended**
- 0 Outreach Education Seminars Attended**
- 0 Webinars Attended**
- 0 Outside Seminars Attended**
- 1 In House Training**
- 2 Entrance Conferences**

Ongoing Audits:

Full Field Audits

- Gray for Mayor**

Periodic Random Audits – Candidates Registered for the 2014 Election

- Evans for Mayor
- Friends of Courtney R. Snowden
- Ruth for Schools
- Brian Hart for DC

Periodic Random Audits – Continuing Committees

- Biddle 2012

Periodic Random Audits – Attorney General

- Lori Masters for Attorney General
- Smitty for AG

Audits Issued

- District of Columbia State Federation Business & Professional Women's Club PAC
– 01/23/15
- Evans Constituent Service Funds -01/23/15
- Friends of Jim Graham – 01/23/15

OFFICE OF THE GENERAL COUNSEL

2015 MONTHLY IN-TAKE/OUTPUT REPORT

OFFICE OF GENERAL COUNSEL

	January	February	March	April	May	June	
Opinion Request Received	0						
Opinion Request Completed	0						
Complaints Received	0						
Internal Queries	0						
Preliminary Investigations Initiated	0						
Preliminary Investigations Orders Issued	0						
Full Investigations Initiated	0						
Full Investigations Orders Issued	0						
Informal Hearings Referred	5						

Informal Hearings Completed	4						
Informal Hearings Orders Issued	9*						
Petitions for Enforcement Referrals to BOE	0						

*This figure represents 3 Failure to Timely File orders in which no fines were imposed, 1 Failure to Timely Respond to a Request For Additional Information (RFAI) in which no fine was imposed, 4 Failure to Timely File orders in which a total of \$4,650.00 in fines were imposed and 1 Failure to Timely Respond to a RFAI in which a fine of \$2,000.00 was imposed.

Board Statistics for January, 2015

Board Meeting February 4, 2015

1. Actual Matters referred

PIRM

PCC	0
PAC's	0
ANC's	0
Constituent Services	0
Statehood Fund	0

RAAD

PCC	5
PAC's	0

Constituent Services	0
Statehood Fund	0
2. Notices of Hearings	
First Notices	25
Second Notices	1
3. Notices and Orders Vacated	4
4. Hearings Conducted	4
5. Orders which imposed fines	5
6. Fines imposed	(1) Christian Carter At-Large \$2,000.00 (2) Beverly Wheeler for Ward 1 \$2,000.00 (3) Bruce Majors Libertarian \$800.00 (4) Citizens for Empowerment \$1050.00 (5) Mark Jones DC Board of Education \$800.00
7. Fines collected	\$350.00
8. Investigations completed	0
Orders issued	
9. Petitions for Enforcement	0
10. Outstanding Fines	\$5,950.00* (1) \$1,700.00 Frank Sewell for Mayor (2) \$1,100.00 Nestor for Mayor (3) \$350.00 Friends of Calvin Gurley (4) \$1,150.00 Nestor for Mayor (5) \$1,650.00 Nestor for Mayor

*OCF Petitions for Enforcement pending before the BOE

LIST OF OPEN OCF INVESTIGATIONS

February 4, 2015

OCF FI 2011-103

Complainant: Internally Generated March 15, 2011

Respondent: Sulaimon Brown for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: Due to current criminal investigations

the Sulaimon Brown for Mayor investigation remains ongoing

OCF FI 2011-104

Complainant: Internally Generated March 15, 2011

Respondent: Gray for Mayor

Principal Campaign Committee

Infraction: Reporting Violations

Status: Due to current criminal investigations

the Gray for Mayor 2010 investigation remains ongoing

OCF FI 2013-013

Complainant: Internally Generated June 24, 2013

Respondent: Lee Calhoun

Infraction: Campaign contribution violations

Status: Due to current criminal investigations

the Lee Calhoun investigation remains ongoing

OCF FI 2013-014 Complainant: Internally Generated June 24, 2013
Respondent: Stanley Straughter
Infraction: Campaign contribution violations
Status: Due to current criminal investigations
the Stanley Straughter investigation remains ongoing

OCF FI 2013-015 Complainant: Internally Generated March 28, 2014
Respondent: Jeffrey Thompson
Infraction: Campaign contribution violations
Status: Due to current criminal investigations
the Jeffrey Thompson investigation remains ongoing

OCF FI 2014-001 Complainant: Internally Generated July 24, 2014
Respondent: Kelvin Robinson
Infraction: Campaign contribution violations
Status: Pending

OCF FI 2014-002 Complainant: Internally Generated July 24, 2014
Respondent: Jeff Smith
Infraction: Campaign contribution violations
Status: Pending

INTERPRETATIVE OPINIONS

February 4, 2015

NONE

(All Interpretative Opinions are on-line@ www.ocf.dc.gov)

SHOW CAUSE PROCEEDINGS

February 4, 2015

OCF2014-P-021	Complainant: Adam Eidinge
	Respondent: William V. Jones, III / No On 71
	Infraction: Failure to include disclaimer on campaign literature and Failure to file a Statement of organization
	Originated: October 17, 2014
	Status: Order Issued January 5, 2015
OCF 2014-P-022	Complainant: Marie Drissel
	Respondent: Elwood "Yango" Sawyer
	Infraction: Failure to file financial reports and Failure to Identify the source of expenditure
	Originated: October 21, 2014
	Status: Order Issued January 15, 2015