

DISTRICT OF COLUMBIA OFFICE OF THE INSPECTOR GENERAL



February 2017

Fiscal Year 2016 Performance Oversight Hearing Pre-Hearing Responses

Before the Committee on Government Operations

February 23, 2017 at 10:00 a.m



Guiding Principles

*Workforce Engagement * Stakeholders Engagement * Process-oriented * Innovation
* Accountability * Professionalism * Objectivity and Independence * Communication * Collaboration
* Diversity * Measurement * Continuous Improvement*

Mission

Our mission is to independently audit, inspect, and investigate matters pertaining to the District of Columbia government in order to:

- prevent and detect corruption, mismanagement, waste, fraud, and abuse;
- promote economy, efficiency, effectiveness, and accountability;
- inform stakeholders about issues relating to District programs and operations; and
- recommend and track the implementation of corrective actions.

Vision

Our vision is to be a world class Office of the Inspector General that is customer-focused, and sets the standard for oversight excellence!

Core Values

Excellence * Integrity * Respect * Creativity * Ownership
* Transparency * Empowerment * Courage * Passion
* Leadership



GOVERNMENT OF THE DISTRICT OF COLUMBIA
Office of the Inspector General

Inspector General



VIA HARD COPY AND ELECTRONIC MAIL DELIVERY

February 21, 2017

The Honorable Brandon Todd
Chairperson
Committee on Government Operations
The John A. Wilson Building
1350 Pennsylvania Avenue, N.W., Suite 104
Washington, D.C. 20004

Dear Chairperson Todd:

Pursuant to your initial request dated January 27, 2017, and later request dated February 1, 2017, I am forwarding responses to the 27 questions, in advance of the public oversight hearing on the Office of Inspector General's FY 2016 and FY 2017 performance, to date.

If you have additional questions, please contact me at 202-727-2540.

Sincerely,

Daniel W. Lucas
Inspector General

DWL/mnw

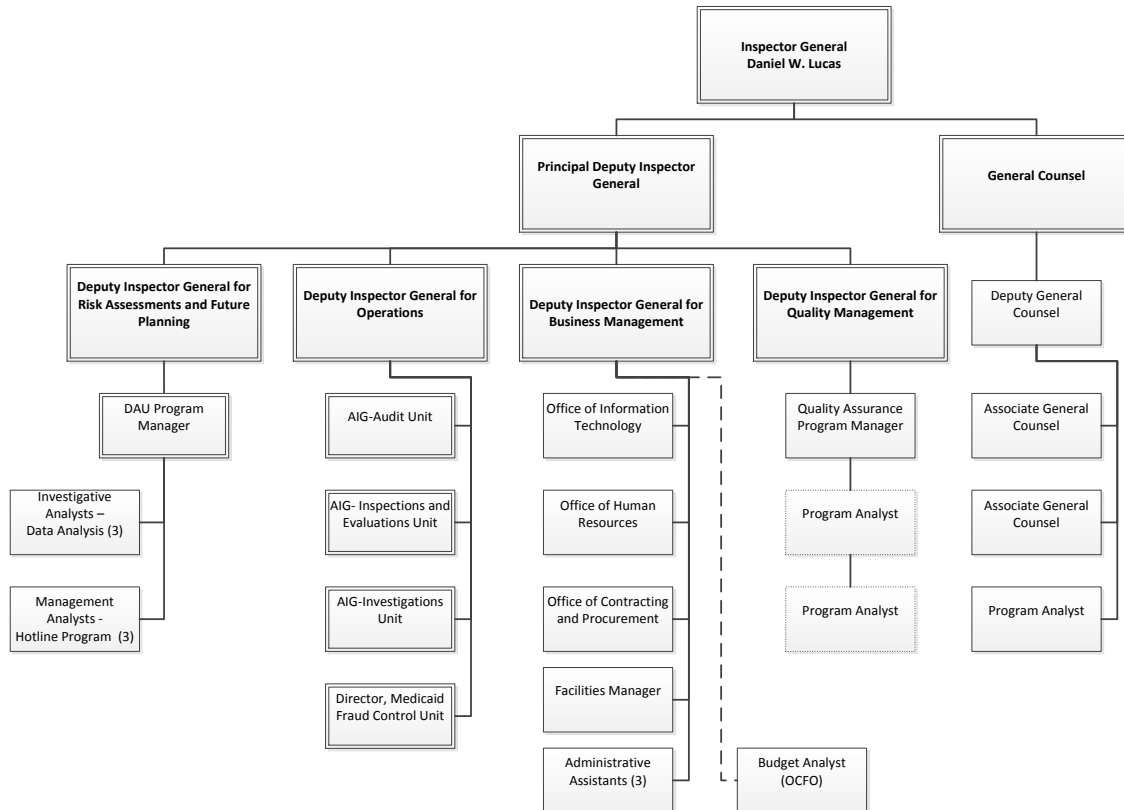
Enclosures

cc: Ms. Keiko Yoshino, Committee Director

1. Please provide the Committee with an updated organizational chart for each division within the office, including an explanation of the roles and responsibilities for each division and subdivision.

OIG Response: Please see Figure 1 below for the OIG’s organizational chart as of September 30, 2016.

Figure 1: OIG Organizational Chart (September 30, 2016)



The **Office of General Counsel (OGC)** provides in-house legal services by ensuring OIG operations, activities, and communications conform to applicable legal requirements; rendering frank and objective legal advice to protect the OIG against legal liability; and advocating the OIG’s legal position in disputes.

The **Risk Assessment and Future Planning Division (RAFP)** evaluates risk related to corruption, mismanagement, waste, fraud, and abuse within the District. RAFP also assists the OIG in building the right capabilities to mine data for insights that will allow the agency to make proactive, knowledge-driven decisions.

The **Quality Management Division (QM)** ensures all OIG audit, inspection, evaluation, and investigation products comply with OIG policies, professional standards, and best practices. QM oversees all OIG activities to maintain a desired level of excellence, while ensuring the OIG’s long-term success through customer satisfaction, innovation, and

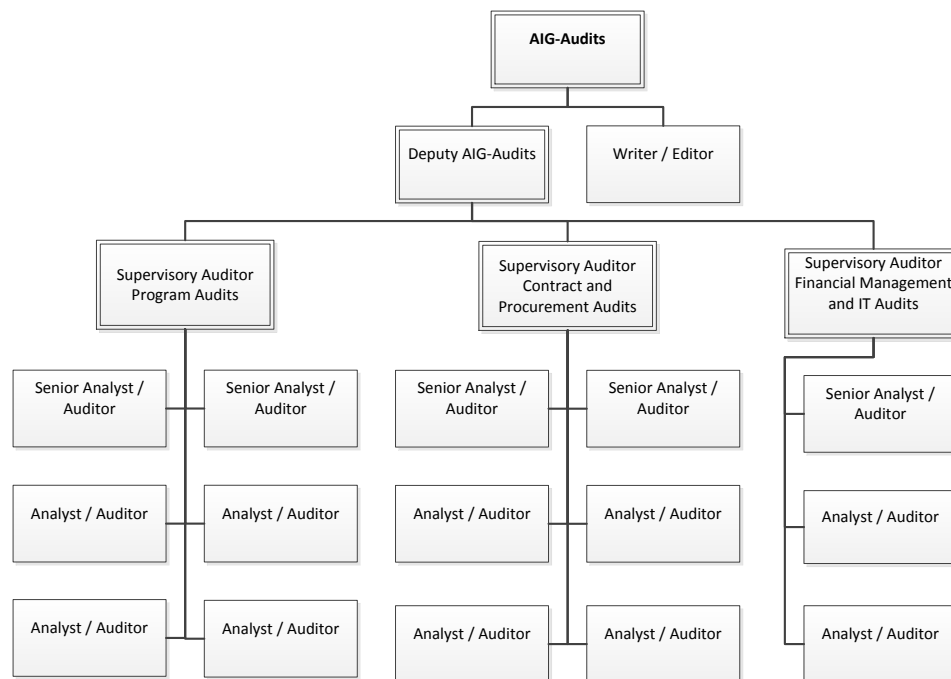
continuous quality improvement. QM tracks the implementation status of OIG recommendations made to District agencies.

The **Business Management Division (BM)** establishes policies and controls, and delivers services to support the other OIG divisions' goals and objectives. These internal functions exist within Business Management: (1) Facilities; (2) Contracts and Procurement; (3) Information Technology; (4) Human Resources; (5) Administrative Services; (6) Budget and Finance (in collaboration with the Office of the Chief Financial Officer); and (7) Communications and Public Relations.

The **Operations Division** consists of four externally-focused Units within the OIG. They include the Audit Unit, Inspections and Evaluations Unit, Investigations Unit, and the Medicaid Fraud Control Unit.

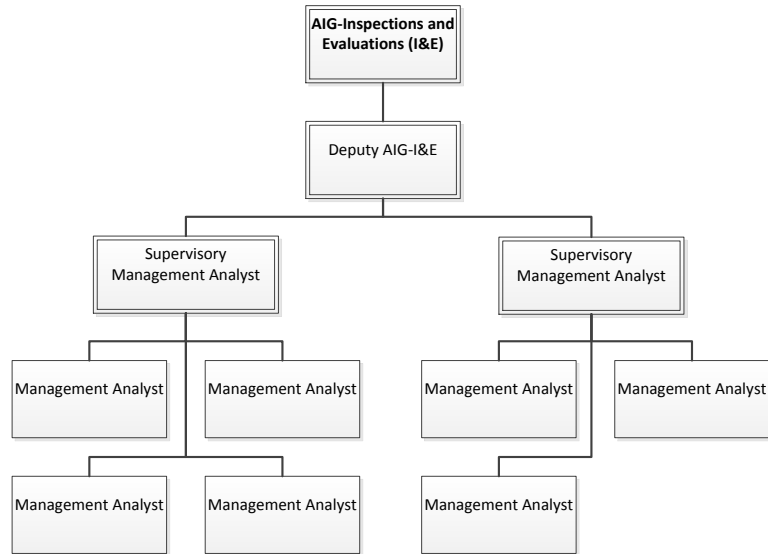
Audit Unit: The Audit Unit (AU) conducts audits of District agencies, programs, functions, and activities. AU provides agency management with an independent and objective assessment of whether desired results and objectives are achieved efficiently, economically, and in compliance with prescribed laws, regulations, policies, and procedures. AU performs financial and performance audits, and attestation engagements. In addition, AU monitors and oversees the District of Columbia Comprehensive Annual Financial Report (CAFR) audit process. Please see Figure 2 below for AU's organizational chart.

Figure 2: AU Organizational Chart (September 30, 2016)



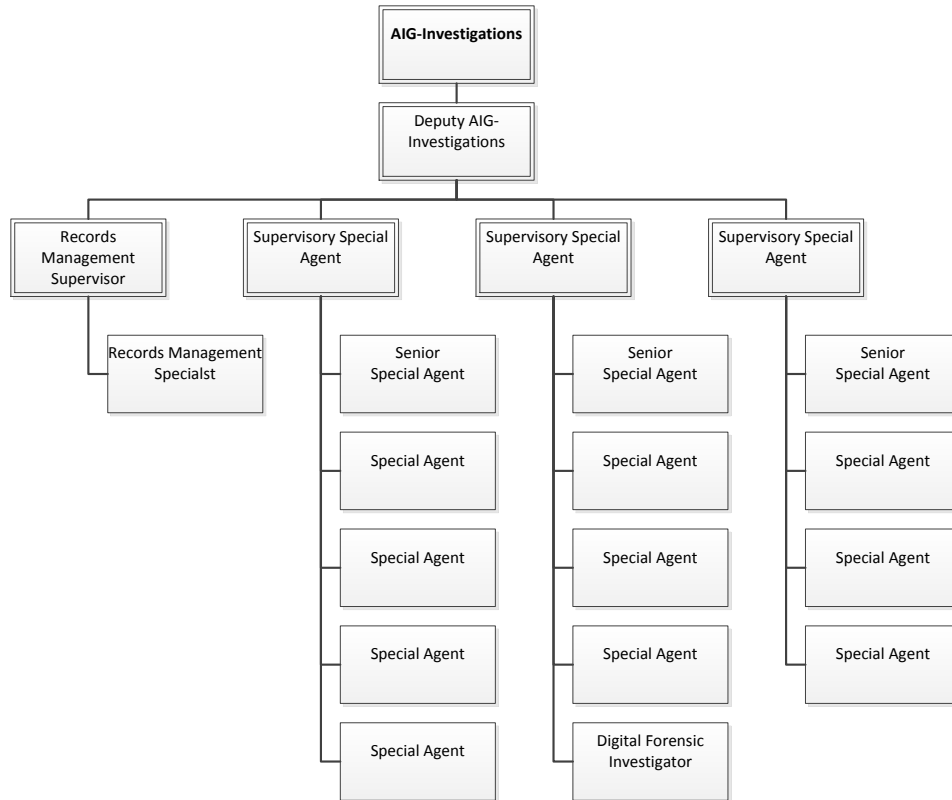
Inspections and Evaluations Unit: The Inspections and Evaluations Unit (I&E) conducts inspections and special evaluations that provide decision makers with objective, thorough, and timely assessments of District government agencies and programs. I&E reports contain findings and recommendations that help District officials achieve efficiency, effectiveness, economy, and safety in managing day-to-day operations and personnel. Please see Figure 3 below for I&E’s organizational chart.

Figure 3: I&E Organizational Chart (September 30, 2016)



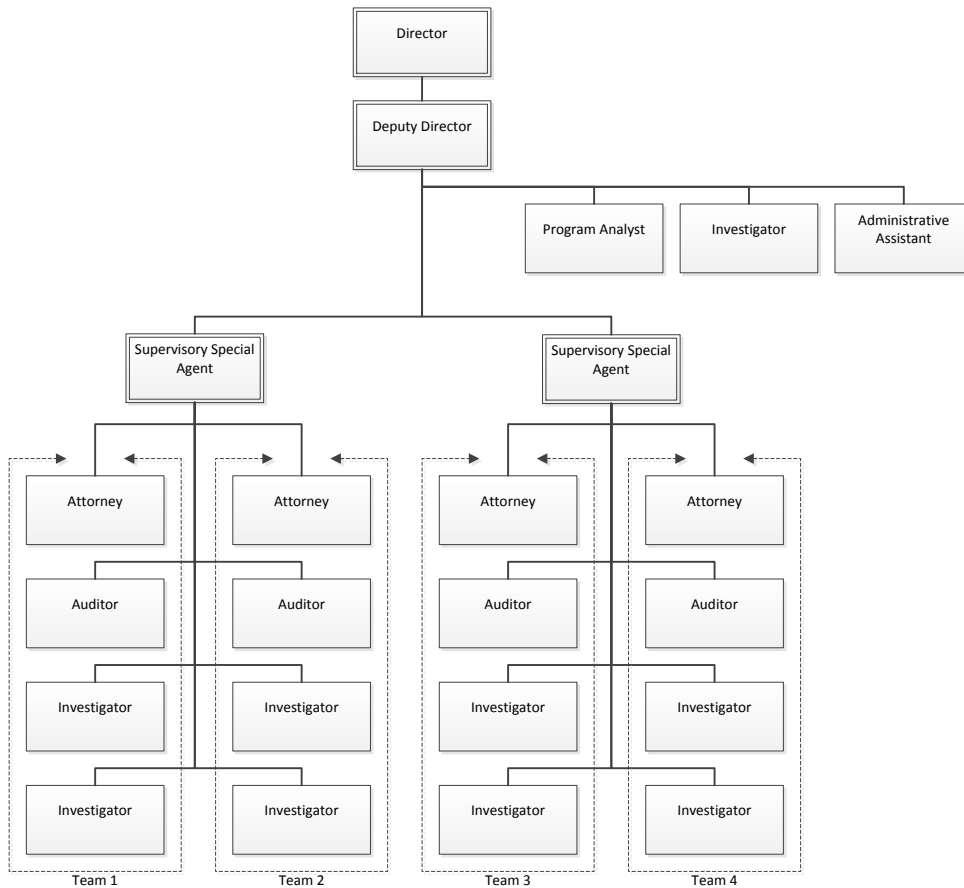
Investigations Unit: The Investigations Unit (IU) investigates allegations of misconduct involving violations of District or federal criminal law, civil statutes, regulations, and employee standards of conduct. IU reports may include findings and recommendations regarding program weaknesses, contracting irregularities, and other institutional problems discovered because of complaints to or investigations initiated by the OIG. Please see Figure 4 below for IU’s organizational chart.

Figure 4: IU Organizational Chart (September 30, 2016)



Medicaid Fraud Control Unit: The Medicaid Fraud Control Unit (MFCU), certified by the U.S. Department of Health and Human Services on March 1, 2000, investigates and prosecutes fraud and abuse in the administration of the Medicaid program. Primarily, the MFCU works to recover District Medicaid dollars lost due to fraud, but the unit also investigates allegations of abuse, neglect, and theft involving persons who reside in Medicaid-funded facilities or who receive Medicaid-covered services. Those who engage in fraud and abuse within the Medicaid program are subject to administrative action, civil penalties, and/or criminal prosecution. Please see Figure 5 below for MFCU’s organizational chart.

Figure 5: MFCU Organizational Chart (September 30, 2016)



2. Please provide the following:

- a. A list of all employees who receive cellphones, personal digital assistants, or similar communications devices at agency expense.

OIG Response: Please see Table 1 below for a list of all IT devices provided to OIG employees.

Table 1: OIG Employees with IT Devices

Employee Name	Position	Cell Phone	MIFI
	Forensic Investigator	X	
	Deputy Asst. IG for Audit	X	
	Deputy Director for MFCU	X	
	Supervisory Special Agent	X	
	Acting, Asst. IG for Investigations	X	
	Data Analysis Program Mgr.	X	
	Inspector General	X	X
	Special Agent	X	
	Attorney Advisor	X	
	Supervisory Special Agent	X	
	Supervisory Special Agent	X	
	Special Agent	X	
	Asst. IG for Inspections and Evaluations	X	
	Special Agent	X	
	Special Agent	X	
	Attorney Advisor	X	
	Attorney Advisor	X	
	Deputy IG for Business Mgmt.	X	
	Deputy IG for Risk Assessments	X	
	Special Agent	X	
	Sr. Special Agent	X	
	Communications Specialist	X	
	General Counsel	X	
	Special Agent	X	
	Health Care Agent	X	
	Director, MFCU	X	
	Principal Deputy IG	X	X
	Deputy IG for Operations	X	
	Sr. Special Agent	X	
	Special Agent	X	
	Special Agent	X	
	Special Agent	X	
	IT Specialist	X	
	Special Agent	X	
	Staff Assistant		X
	Special Agent	X	
	Administrative Asst. for IT	X	
	Special Agent	X	
	Special Agent	X	
	Deputy Asst. IG for Inspections	X	
	Special Agent	X	
	Supervisory Special Agent	X	
	Supervisory Special Agent	X	
	Deputy IG for Quality Mgmt.	X	
	Special Agent	X	
	Supervisory Special Agent	X	
	HR Specialist	X	
	Chief of Contracts and Procurements	X	
	Asst. IG for Audit	X	
	Facilities Manager	X	X
	Contract Specialist	X	
	Acting, Lead IT Specialist	X	

Employee Name	Position	Cell Phone	MIFI
	Executive Intern for Investigations	X	
	Special Agent	X	
	for new hire	X	
	for new hire	X	
	for IT Staff		X

- b. A list of all vehicles (year, make, model) owned, leased, or otherwise used by the agency and to whom the vehicle is assigned. Please include lease amount (if applicable) and date lease expires.

OIG Response: Please see Table 2 below for a list of all OIG vehicles.

Table 2: OIG Vehicles

Make	Model	Year	Status	Unit
		2006	Owned	IU
		2002	Owned	IU
		2012	Owned	IU
		2014	Owned	AU
		2001	Owned	MFCU
		2014	Owned	MFCU
		2014	Owned	MFCU

- c. A list of employee bonuses or special award pay granted in FY 2016, and FY 2017, to date.

OIG Response: Please see Table 3 below for a list of all OIG employees that received bonuses or special award pay.

Table 3: OIG Employee Bonuses or Special Award Pay

Employee	Fiscal Year	Type	Amount
	FY 2016	Special Award	\$9,381.90
	FY 2016	Special Award	\$10,000

- d. A list of any travel expenses, arranged by employee.

OIG Response: Please see Table 4 for FY 2016 travel expenses and Table 5 for FY 2017 travel expenses on the following pages.

Table 4: OIG FY 2016 Travel Expenses

Employee	Vendor Name	P-Card Post Date	Expenditure Amount
	WILMINGTON FRA	5/10//16	\$895.00
	JETBLUE	5/6/2016	\$218.20
	DELTA AIRLINES	10/12/2015	\$540.20
	DETROIT MARRIOTT AT THE RENAISSANCE CENTER	10/21/2015	\$376.05
	SEA PALMS RESORT & CONFERENCE CENTER	3/4/2016	\$135.98
	AMERICAN AIRLINES	3/10/2016	\$328.46
	SEA PALMS RESORT & CONFERENCE CENTER	4/1/2016	\$236.00
	ARIA RESORT AND CASINO LAS VEGAS, NV	5/23/2016	\$211.68
	ARIA RESORT AND CASINO LAS VEGAS, NV	5/25/2016	\$456.96
	AMERICAN AIRLINES	5/30/2016	\$471.20

Employee	Vendor Name	P-Card Post Date	Expenditure Amount
	AMERICAN AIRLINES	7/28/2016	\$415.20
	HILTON CHICAGO	8/15/2016	\$1,226.85
	SEA PALMS RESORT & CONFERENCE CENTER	3/4/2016	\$135.98
	AMERICAN AIRLINES	3/10/2016	\$328.45
	SEA PALMS RESORT & CONFERENCE CENTER	3/30/2016	\$135.98
	SEA PALMS RESORT & CONFERENCE CENTER	4/1/2016	\$100.02
	AMERICAN AIRLINES	7/28/2016	\$415.20
	AMERICAN AIRLINES	7/29/2016	\$188.20
	EMBASSY SUITES BY HILTON BRUNSWICK	8/22/2016	\$407.94
	COUNTRY INN & SUITES, CHAMBERSBURG, PA	3/4/2016	\$178.00
	AMERICAN AIRLINES	8/15/2016	\$477.15
	AMERICAN AIRLINES	8/15/2016	\$159.05
	HILTON GARDEN INN	8/22/2016	\$658.98
	HILTON GARDEN INN	8/22/2016	\$219.66
	HILTON GARDEN INN	8/29/2016	\$542.39
	HILTON GARDEN INN	8/29/2016	\$180.80
	AMERICAN AIRLINES	9/1/2016	\$627.15
	AMERICAN AIRLINES	9/1/2016	\$209.05
	AMERICAN AIRLINES	9/1/2016	\$8.67
	AMERICAN AIRLINES	9/1/2016	\$2.89
	HILTON GARDEN INN	8/22/2016	\$658.98
	HILTON GARDEN INN	8/22/2016	\$219.66
	SOUTHWEST AIRLINES	8/31/2016	\$250.85
	SOUTHWEST AIRLINES	8/31/2016	\$83.62
	HILTON GARDEN INN	8/22/2016	\$658.98
	HILTON GARDEN INN	8/22/2016	\$219.66
	DELTA AIRLINES	8/31/2016	\$215.70
	DELTA AIRLINES	8/31/2016	\$71.90
	HILTON GARDEN INN	8/22/2016	\$658.98
	HILTON GARDEN INN	8/22/2016	\$219.66
	DELTA AIRLINES	8/31/2016	\$374.59
	DELTA AIRLINES	8/31/2016	\$124.86
	SEA PALMS RESORT & CONFERENCE CENTER	3/4/2016	\$135.98
	AMERICAN AIRLINES	3/10/2016	\$328.46
	SEA PALMS RESORT & CONFERENCE CENTER	4/1/2016	\$236.00
	WILMINGTON FRA	5/10/2016	\$895.00
	SPIRIT AIRLINES	5/30/2016	\$166.09
	TRAVELOCITY	5/30/2016	\$4.00
	AMERICAN AIRLINES	5/30/2016	\$190.60
	DETROIT MARRIOTT AT THE RENAISSANCE CENTER	10/16/2015	\$501.40
	DOUBLETREE BY HILTON	5/23/2016	\$178.00
	AMERICAN AIRLINES	6/6/2016	\$280.20
	THE BELVEDERE HOTEL	6/13/2016	\$780.80
	THE BELVEDERE HOTEL	8/24/2016	\$780.80

Table 5: OIG FY 2017 Travel Expenses

Employee	Vendor Name	P-Card Post Date	Expenditure Amount
	TRAVELOCITY.COM	TBD	\$507.45
	TRAVELOCITY.COM	TBD	\$169.15
	DOUBLETREE BY HILTON	TBD	\$362.43
	DOUBLETREE BY HILTON	TBD	\$120.81
	TRAVELOCITY.COM	TBD	\$580.95
	TRAVELOCITY.COM	TBD	\$193.65
	DOUBLETREE BY HILTON	TBD	\$362.43
	DOUBLETREE BY HILTON	TBD	\$120.81
	JETBLUE AIRLINES	10/17/2016	\$406.20
	JETBLUE AIRLINES	10/13/2016	\$231.20
	HYATT HOTELS	11/21/2016	\$453.24

- e. A list of the total overtime and workman's compensation payments paid in FY 2016, and FY 2017, to date.

OIG Response: The OIG has not provided overtime to its employees. Please see Table 6 below for a list of workers compensation payments.

Table 6: FY 2016 and 2017 Overtime and Workman's Compensation

Employee	Fiscal Year	Amount
	FY 2016	\$33,089.72
	FY 2016	\$57,645.75

3. Please describe and provide a status of any new initiatives for FY 2017.

OIG Response: The following 14 initiatives were included as part of the OIG's FY 2017 Performance Plan submitted to the Office of the City Administrator. These initiatives were identified in support of the OIG's Strategic Goals, which were developed in support of our mission and vision.

Goal 1: Proactively identify and reduce vulnerabilities that could lead to corruption, fraud, waste, abuse, and mismanagement.

Initiative 1.1: The OIG will work to develop a risk-based data model to identify agencies, programs, and procurements most at risk for corruption, fraud, waste, abuse or mismanagement. *Expected completion is September 30, 2017.*

Initiative 1.2: Upgrade the OIG hotline system to ensure an enhanced user experience and process. The improved OIG hotline system will incorporate a web-based reporting capability to facilitate greater public feedback and ensure hotline contacts are processed and evaluated more quickly, which will increase the amount of quality investigations, audits, and inspections. *Expected completion is September 30, 2017.*

Goal 2: Integrate plans, processes, and resources to support organizational accountability.

Initiative 2.1: Create an agency-wide FY 2018 spending plan to reflect OIG's strategic plan goals and objectives. *Completed November 1, 2016.*

Initiative 2.2: Develop a visual dashboard to track the OIG's expenditure of both its local and federal budget. *Expected completion is September 30, 2017.*

Initiative 2.3: Identify and update or develop policies and procedures to execute the OIG mission in compliance with internal and external requirements. *Expected completion is June 30, 2017.*

Initiative 2.4: Select and implement a performance assessment/excellence framework within the OIG to foster continuous improvement in the delivery of products and/or services, efficient and effective operations, and that provides a way of engaging and responding to customers and other stakeholders. *Expected completion is September 30, 2017.*

Goal 3: Deliver actionable, relevant, and timely products and services to customers and stakeholders that promote economic, efficient, and effective government operations, deter misconduct, and hold wrongdoers accountable.

Initiative 3.1: Implement a stakeholder satisfaction survey to ensure the OIG is delivering the highest quality oversight services in a timely manner. The OIG will develop methodologies to evaluate the overall quality of each OIG work product in order to ensure the needs of District stakeholders are met effectively and efficiently. *Expected completion date for development and piloting of the survey to selected District stakeholders is September 30, 2017.*

Initiative 3.2: Develop and execute a strategic engagement plan to facilitate proactive and recurring interactions with District stakeholders. This strategy will increase stakeholder awareness to corruption, fraud, waste, abuse, and mismanagement risks throughout the District, foster an understanding of the OIG mission, and aid the OIG in identifying emergent problems and challenges faced by the District government. *Expected completion date is September 30, 2017.*

Goal 4: Implement an information and knowledge management system that supports the OIG mission.

Initiative 4.1: Develop, implement, and test business continuity and disaster recovery (BC/DR) plan covering all mission-critical information systems. *Expected completion date is September 30, 2017.*

Initiative 4.2: Develop and implement OIG-wide laptop refresh strategy to support the OIG mission. This will ensure that all aged laptops are replaced and employees have computer equipment to efficiently and effectively discharge their responsibilities. *Expected completion date is September 30, 2017.*

Initiative 4.3: Develop and maintain comprehensive information protection policies for critical information assets residing in the cloud, on premise, and in transit. *Expected completion date is September 30, 2017.*

Goal 5: Recruit, develop, and retain a highly qualified and diverse workforce.

Initiative 5.1: Review and revise current staff position descriptions (PDs) to fit the needs of the OIG in collaboration with the DCHR classification and compensation reform initiative. *Expected completion date is September 30, 2017.*

Initiative 5.2: Develop a template for operational units to develop a staffing plan for FY 2018 forward. *Expected completion date is September 30, 2017.*

Initiative 5.3: Ensure all staff members have performance plans, midyear performance evaluations, and final assessments completed. *Expected completion date is September 30, 2017.*

4. Please provide a list of all training and continuing education classes attended by staff during FY 2016 and FY 2017 and dates attended (or scheduled).

OIG Response: Please see Table 7 for FY 2016 training expenses and Table 8 for FY 2017 training expenses.

Table 7: OIG FY 2016 Training Expenses

Vendor Name	P-Card Post Date	Expenditure Amount	OIG Component
WOLTER KLUWER FINANCIAL SERVICES	11/19/2015	\$4,000.00	AU
GRADUATE SCHOOL	4/7/2016	\$899.00	AU
GRADUATE SCHOOL	5/10/2016	\$999.00	AU
GRADUATE SCHOOL	5/23/2016	\$999.00	AU
GRADUATE SCHOOL	5/23/2016	\$1,099.00	AU
GRADUATE SCHOOL	5/23/2016	\$999.00	AU
GRADUATE SCHOOL	5/23/2016	\$699.00	AU
GRADUATE SCHOOL	5/23/2016	\$999.00	AU
GRADUATE SCHOOL	5/23/2016	\$699.00	AU
GRADUATE SCHOOL	5/23/2016	\$699.00	AU
GRADUATE SCHOOL	5/23/2016	\$1,249.00	AU
ISACA	5/24/2016	\$135.00	AU
ISACA	5/24/2016	\$90.00	AU
GRADUATE SCHOOL	06/02/16	\$899.00	AU
GRADUATE SCHOOL	6/7/2016	\$899.00	AU
CIGIE TRAINING INSTITUTE	6/10/2016	\$400.00	AU
GRADUATE SCHOOL	6/14/2016	\$1,099.00	AU
AMERICAN INSTITUTE OF CPAs	6/17/2016	\$1,070.00	AU
AMERICAN INSTITUTE OF CPAs	7/29/2016	\$770.00	AU
AMERICAN INSTITUTE OF CPAs	7/29/2016	\$1,070.00	AU
AMERICAN INSTITUTE OF CPAs	7/29/2016	\$1,070.00	AU
GRADUATE SCHOOL USA	2/26/2016	\$699.00	AU
GRADUATE SCHOOL	N/A	\$8,909.00	AU
CIGIE TRAINING INSTITUTE	5/6/2016	\$1,200.00	AU/OGC
ON THE RIGHT TRACK - TRAINING AND CONSULTING, INC.	12/11/2015	\$124.00	BM
FRED PRYOR SEMINARS / CAREERTRACK	1/29/2016	\$149.00	BM
VIRGINIA SPQA	4/15/2016	\$285.00	BM
PROJECT MANAGEMENT TRAINING CENTER	6/17/2016	\$1,709.10	BM
ASAP PACE MEMBERSHIP	9/12/2016	\$99.00	BM
PRESIDENT AND FELLOWS OF HARVARD COLLEGE	N/A	\$12,400.00	BM
ASSOCIATION OF LOCAL GOVERNMENT AUDITORS	11/19/2015	\$119.00	DepOPS
FRED PRYOR SEMINARS / CAREERTRACK	1/7/2016	\$199.00	DepOPS
VIRGINIA SENATE PRODUCTIVITY QUALITY AWARD	9/21/2016	\$3,250.00	DepOPS
AMERICAN UNIVERSITY	N/A	\$6,500.00	DepOPS
FRED PRYOR SEMINARS / CAREERTRACK	1/7/2016	\$199.00	EXEC
FRED PRYOR SEMINARS / CAREERTRACK	4/20/2016	\$224.14	EXEC
FRED PRYOR SEMINARS / CAREERTRACK	4/21/2016	\$2,700.00	EXEC
ADMINRENEGADE	5/10/2016	\$200.00	EXEC
GRADUATE SCHOOL	7/29/2016	\$749.00	EXEC
GEORGE MASON UNIVERSITY	5/26/2016	\$595.00	FM
GEORGE MASON UNIVERSITY	7/11/2016	\$1,190.00	FM
FRED PRYOR SEMINARS / CAREERTRACK	1/29/2016	\$149.00	HR
HUMAN CAPITAL INSTITUTE	1/28/2016	\$395.00	HR
GRADUATE SCHOOL	11/10/2015	\$699.00	I&E

Vendor Name	P-Card Post Date	Expenditure Amount	OIG Component
GRADUATE SCHOOL	11/10/2015	\$699.00	I&E
GRADUATE SCHOOL	12/4/2015	\$649.00	I&E
GRADUATE SCHOOL	12/18/2015	\$699.00	I&E
GRADUATE SCHOOL	12/18/2015	\$899.00	I&E
GRADUATE SCHOOL	12/18/2015	\$699.00	I&E
GRADUATE SCHOOL	12/18/2015	\$1,099.00	I&E
GRADUATE SCHOOL	12/18/2015	\$649.00	I&E
GSA	1/15/2016	\$450.00	I&E
GSA	1/15/2016	\$850.00	I&E
CIGIE TRAINING INSTITUTE	4/1/2016	\$350.00	I&E
GRADUATE SCHOOL USA	4/7/2016	\$699.00	I&E
CIGIE TRAINING INSTITUTE	5/6/2016	\$450.00	I&E
GRADUATE SCHOOL	7/15/2016	\$699.00	I&E
GRADUATE SCHOOL	7/15/2016	\$699.00	I&E
GRADUATE SCHOOL	7/15/2016	\$879.00	I&E
GRADUATE SCHOOL	7/20/2016	\$699.00	I&E
GRADUATE SCHOOL	1/28/2016	\$699.00	I&E
GRADUATE SCHOOL	1/28/2016	\$1,699.00	I&E
FRED PRYOR SEMINARS / CAREERTRACK	1/7/2016	\$199.00	IT
LEARNING TREE INTERNATIONAL	5/12/2016	\$2,120.00	IT
LEARNING TREE INTERNATIONAL	5/12/2016	\$2,120.00	IT
LEARNING TREE INTERNATIONAL	5/12/2016	\$2,355.00	IT
LEARNING TREE INTERNATIONAL	5/18/2016	\$2,393.00	IT
LEARNING TREE INTERNATIONAL	5/18/2016	\$2,393.00	IT
LEARNING TREE INTERNATIONAL	5/20/2016	\$2,120.00	IT
LEARNING TREE INTERNATIONAL	5/20/2016	\$2,120.00	IT
LEARNING TREE INTERNATIONAL	5/20/2016	\$2,120.00	IT
LEARNING TREE INTERNATIONAL	6/20/2016	\$490.00	IT
LEARNING TREE INTERNATIONAL	8/10/2016	\$2,120.00	IT
LEARNING TREE INTERNATIONAL	8/15/2016	\$2,393.00	IT
GLOCK PROFESSIONAL, INC.	2/9/2016	\$250.00	IU
GLOCK PROFESSIONAL, INC.	2/10/2016	\$250.00	IU
SAFARILAND TRAINING GROUP	2/25/2016	\$100.00	IU
MANAGEMENT CONCEPTS	5/23/2016	\$1,029.00	IU
GRADUATE SCHOOL	5/23/2016	\$1,699.00	IU
GRADUATE SCHOOL	5/23/2016	\$1,079.00	IU
AMERICAN UNIVERSITY	N/A	\$6,500.00	IU
FAAC, INCORPORATED	N/A	\$2,700.00	IU
FEDERAL LAW ENFORCEMENT TRAINING CENTER	N/A	\$4,500.00	IU
FEDERAL LAW ENFORCEMENT TRAINING CENTER	N/A	\$10,260.30	IU/MFCU
NATIONAL ASSOCIATION FOR MEDICAID PROGRAM INTEGRITY	8/22/2016	\$262.50	MFCU
NATIONAL ASSOCIATION FOR MEDICAID PROGRAM INTEGRITY	8/22/2016	\$87.50	MFCU
NATIONAL ASSOCIATION OF ATTORNEYS GENERAL	8/29/2016	\$450.00	MFCU
NATIONAL ASSOCIATION OF ATTORNEYS GENERAL	8/29/2016	\$150.00	MFCU
NATIONAL ASSOCIATION OF ATTORNEYS GENERAL	8/29/2016	\$450.00	MFCU
NATIONAL ASSOCIATION OF ATTORNEYS GENERAL	8/29/2016	\$150.00	MFCU
NATIONAL ASSOCIATION OF ATTORNEYS GENERAL	8/29/2016	\$450.00	MFCU
NATIONAL ASSOCIATION OF ATTORNEYS GENERAL	8/29/2016	\$150.00	MFCU
NATIONAL ASSOCIATION OF ATTORNEYS GENERAL	8/29/2016	\$450.00	MFCU
NATIONAL ASSOCIATION OF ATTORNEYS GENERAL	8/29/2016	\$150.00	MFCU
GSA	1/15/2016	\$1,500.00	OGC
D.C. BAR	1/20/2016	\$149.00	OGC
D.C. BAR	1/20/2016	\$99.00	OGC
GSA	1/22/2016	\$1,950.00	OGC
FRED PRYOR SEMINARS / CAREERTRACK	1/29/2016	\$149.00	OGC
CIGIE TRAINING INSTITUTE	3/11/2016	\$125.00	OGC
CIGIE TRAINING INSTITUTE	3/11/2016	\$125.00	OGC
D.C. BAR	4/12/2016	\$99.00	OGC
D.C. BAR	4/12/2016	\$99.00	OGC
FRED PRYOR SEMINARS / CAREERTRACK	7/20/2016	\$99.00	OGC
GSA	1/22/2016	\$2,737.92	OGC/IU
THE GEORGE WASHINGTON UNIVERSITY	N/A	\$33,345.00	OIG-Wide
CIGIE TRAINING INSTITUTE	3/11/2016	\$125.00	PDIG

Vendor Name	P-Card Post Date	Expenditure Amount	OIG Component
FRED PRYOR SEMINARS / CAREERTRACK	5/3/2016	\$199.00	PDIG
CIGIE TRAINING INSTITUTE	7/8/2016	\$425.00	PDIG
GRADUATE SCHOOL	11/16/2015	\$1,149.00	QM
MANAGEMENT CONCEPTS	12/7/2015	\$949.00	QM
ISACA	12/9/2015	\$650.00	QM
FRED PRYOR SEMINARS / CAREERTRACK	1/13/2016	\$299.00	QM
JFK SCHOOL OF GOVT EXE	8/23/2016	\$2,000.00	QM
VIRGINIA SPQA	4/26/2015	\$285.00	RAFP
FRED PRYOR SEMINARS / CAREERTRACK	1/7/2016	\$199.00	RAFP
TECHNICAL INSTITUTE OF AMERICA	3/25/2016	\$149.00	RAFP
TECHNICAL INSTITUTE OF AMERICA	3/25/2016	\$149.00	RAFP
TECHNICAL INSTITUTE OF AMERICA	3/25/2016	\$149.00	RAFP
TECHNICAL INSTITUTE OF AMERICA	5/12/2016	\$149.00	RAFP
CIGIE TRAINING INSTITUTE	6/10/2016	\$694.92	RAFP
ASSOCIATION OF CERTIFIED FRAUD EXAMINERS	7/7/2016	\$2,195.00	RAFP
FRED PRYOR SEMINARS / CAREERTRACK	1/29/2016	\$649.00	TIG
ASSOCIATION OF CERTIFIED FRAUD EXAMINERS	7/27/2016	\$2,295.00	IU
ASSOCIATION OF CERTIFIED FRAUD EXAMINERS	7/27/2016	\$1,795.00	DepOPS
ASSOCIATION OF CERTIFIED FRAUD EXAMINERS	5/23/2016	\$925.00	DepOPS
ASSOCIATION OF INSPECTORS GENERAL	10/7/2015	\$500.00	DepOPS
HUMAN CAPITAL INSTITUTE	3/24/2016	\$1,995.00	EXEC
HUMAN CAPITAL INSTITUTE	3/24/2016	\$1,995.00	EXEC
ASSOCIATION OF CERTIFIED FRAUD EXAMINERS	5/30/2016	\$925.00	RAFP
ASSOCIATION OF INSPECTORS GENERAL	6/7/2016	\$1,095.00	EXEC

Table 8: OIG FY 2017 Training Expenses

Vendor Name	P-Card Post Date	Expenditure Amount	OIG Component
BUSINESS MANAGEMENT DAILY	1/9/2017	\$197.00	ADMIN
BUSINESS MANAGEMENT DAILY	1/9/2017	\$100.00	ADMIN
GRADUATE SCHOOL	10/18/2016	\$899.00	AU
GRADUATE SCHOOL	10/18/2016	\$1,099.00	AU
ONLC TRAINING CENTER	11/21/2016	\$295.00	AU
ONLC TRAINING CENTER	11/21/2016	\$295.00	AU
ONLC TRAINING CENTER	11/21/2016	\$295.00	AU
GRADUATE SCHOOL	12/7/2016	\$699.00	AU
ONLC TRAINING CENTER	1/4/2017	\$295.00	AU
THE INSTITUTE OF INTERNAL AUDITORS	1/16/2017	\$85.00	AU
THE INSTITUTE OF INTERNAL AUDITORS	1/16/2017	\$85.00	AU
THE INSTITUTE OF INTERNAL AUDITORS	1/16/2017	\$85.00	AU
THE INSTITUTE OF INTERNAL AUDITORS	1/16/2017	\$85.00	AU
CIGIE TRAINING INSTITUTE	TBD	\$650.00	AU
CIGIE TRAINING INSTITUTE	TBD	\$650.00	AU
GEORGE MASON UNIVERSITY	10/21/2016	\$1,190.00	FM
GRADUATE SCHOOL	1/18/2017	\$699.00	I&E
FEDERAL LAW ENFORCEMENT TRAINING CENTER	11/3/2016	\$749.92	IU
LAW ENFORCEMENT INTELLIGENCE UNIT	1/5/2017	\$150.00	MFCU
LAW ENFORCEMENT INTELLIGENCE UNIT	1/5/2017	\$450.00	MFCU
NATIONAL ASSOCIATION OF MEDICAID FRAUD CONTROL UNITS	1/30/2017	\$562.50	MFCU
NATIONAL ASSOCIATION OF MEDICAID FRAUD CONTROL UNITS	1/30/2017	\$187.50	MFCU
NATIONAL ASSOCIATION OF MEDICAID FRAUD CONTROL UNITS	1/30/2017	\$562.50	MFCU
NATIONAL ASSOCIATION OF MEDICAID FRAUD CONTROL UNITS	1/30/2017	\$187.50	MFCU
NATIONAL ASSOCIATION OF ATTORNEYS GENERAL	TBD	\$225.00	MFCU
NATIONAL ASSOCIATION OF ATTORNEYS GENERAL	TBD	\$75.00	MFCU
D.C. BAR	1/9/2017	\$149.00	OGC
D.C. BAR	1/9/2017	\$149.00	OGC
AMERICAN MANAGEMENT ASSOCIATION	11/30/2016	\$2,195.00	PDIG
PRESIDENT AND FELLOWS OF HARVARD COLLEGE	N/A	\$7,900.00	QM
MANAGEMENT CONCEPTS	10/24/2016	\$1,609.00	RAFP
ASSOCIATION OF INSPECTORS GENERAL	10/20/2016	\$475.00	EXEC

5. Please list all RFPs that are out for solicitation, along with a brief description. What RFPs are being planned for solicitation (topic and description)?

OIG Response: Please see Table 9 below for all planned and issued RFPs.

Table 9: RFPs out for Solicitation

Contracting Party	Nature of Contract (Topic and Description)	Performance Period	Status
Wolters Kluwer Financial Services	Acquire Consulting & Training Services for New OIG TeamMate Users	03/1/2017 - 9/30/2017	Planned
TBD	Development Support for Contracts & Procurement Tableau Analytics & OIG Wide Dashboard for Monitoring, Predictive Analysis and Contract Milestone Tracking	04/16/2017 - 7/31/2017	Planned
TBD	GSA e-Buy Solicitation for Forensic Accounting Services	3/01/2017 - 5/31/2017	Solicited
Training Technologies, Inc.	Procure Additional Survey Tracker Licenses & Maintenance	3/1/2017 - 9/30/2017	Planned
District Department of Public Works	Leased Law Enforcement Equipped Vehicle for Investigations Unit	6/1/2017 - 9/30/2017	In Progress
TBD	IDIQ Contract for Professional Services Operational Support	4/1/2017 - 8/31/2017	Planned

6. Please provide a list of all MOUs in place currently, all MOUs entered into within the last year, and any MOUs planned for the coming year.

OIG Response: Please see Table 10 below for a list of all MOUs in place or planned:

Table 10: OIG MOUs

Agency	Scope of Agreement	Date Established (or Revised)
Metropolitan Police Department	Employee Investigations	2015
Department of Health Care Finance	Information sharing and de-confliction of responsibilities	2015
Criminal Justice Coordinating Council (CJCC)	Access to CJCC's Justice Information System	February 2016 (<i>Under review February 2017</i>)
Office of the Chief Financial Officer	Financial services for the OIG	April 2016
United States Treasury Department	Access to the Financial Crimes Enforcement Network	June 2016
Metropolitan Police Department	OIG access to the Washington Area Law Enforcement System (WALES) and National Crime Information Center (NCIC)	June 2016
U.S. Government Accountability Office	Training – Center for Audit Excellence	September 2016

Agency	Scope of Agreement	Date Established (or Revised)
Department of Human Resources	Executive Leadership Program	October 2016
Department of Forensic Sciences	Examination of digital forensic evidence for OIG investigations	<i>Planned February 2017</i>
Office of Unified Communications	Access to the District's Citywide Radio System and Radios for OIG investigators	<i>Planned June 2017</i>

7. What has the agency done in the past year to make the activities of the agency more transparent to the public? Please identify ways in which the activities of the agency and information retained by the agency could be made more transparent. Additionally, please provide an update on the following initiatives identified by your agency last year:

- a. Hiring a strategic communications professional to conduct OIG outreach activities.

OIG Response: The OIG recruited and hired a strategic communications professional to focus on the OIG's outreach activities on February 6, 2017. Responsibilities assigned to this position include assisting the OIG in: (1) communicating the results of various activities, to include the results of audits, inspections and evaluations, criminal indictments, trials, and sentencings and activities of the office's RAFP and QM programs; and (2) proactively communicating the OIG's mission to District stakeholders including, but not limited to, updating OIG's web presence, beginning social media pages, participating in ANC and town hall meetings, and developing the community outreach program for the office.

- b. Participating in various public engagement forums with other District oversight and accountability professionals.

OIG Response: In FY 2016, the OIG conducted several outreach efforts; in total, the IG held over 70 meetings with various leaders from both the executive and legislative branches within the federal and District of Columbia governments.

OIG also presented at several seminars and symposiums. Of note, in October 2016, the OIG's General Counsel presented at the BEGA's 2016 Ethics Day and Symposium. Throughout the year, the IG and leaders from the OIG participated in various oversight and accountability forums, including the Virginia Office of the State Inspector General's Annual Symposium, presenting on "Best Practices in IG Offices." Additionally, the IG was recognized and presented at the Naval Sea Systems Command's "Distinguished Inspector General Speaker Series."

- c. Publishing the OIG Strategic Plan (currently under development) and its performance metrics to provide transparency of ongoing OIG initiatives, objectives, and goals.

OIG Response: As discussed in the response to Question #3 above, the OIG developed a 5-year strategic plan that identifies five goals designed to help the OIG meet its vision of becoming "a world class Office of Inspector General that is customer-focused, and sets the standard for oversight excellence!"

For FY 2017, the OIG has identified 14 specific initiatives designed to help attain goals identified in the OIG’s strategic plan. With these initiatives are key performance indicators and workload measures that help the OIG to track the effects of these initiatives. The public can see the results in the OIG’s FY 2017 Performance Accountability Report, which will be published in December 2017.

8. Please provide a chart showing your agency’s approved budget and actual spending, by division, for FY 2016 and FY 2017, to date. In addition, please describe any variance between fiscal year appropriations and actual expenditures.

OIG Response: Please see Table 11 for the OIG’s FY 2016 budget and actual spending, and Table 12 for the OIG’s FY 2017 approved budget and actual spending, to date, on the following pages.

Tables continued on the next page.

Table 11: FY 2016 Budget and Expenditures

FY	Program Code 3 Title	CSG & Title	Values		Sum of Available Balance
			Sum of Approp Act	Sum of Expend Act	
FY16	AUDIT	0011 - REGULAR PAY - CONT FULL TIME	2,076,936.65	2,377,445.93	(300,509.28)
		0012 - REGULAR PAY - OTHER	-	48,008.85	(48,008.85)
		0013 - ADDITIONAL GROSS PAY	-	61,695.71	(61,695.71)
		0014 - FRINGE BENEFITS - CURR PERSONNEL	605,147.03	499,091.31	106,055.72
		0020 - SUPPLIES AND MATERIALS	8,826.41	5,000.00	3,826.41
		0040 - OTHER SERVICES AND CHARGES	2,282,520.86	2,041,028.01	241,492.85
		Total	4,973,430.95	5,032,269.81	(58,838.86)
		0011 - REGULAR PAY - CONT FULL TIME	244,305.19	86,768.61	157,536.58
		0012 - REGULAR PAY - OTHER	-	19,812.34	(19,812.34)
		0014 - FRINGE BENEFITS - CURR PERSONNEL	50,082.56	13,022.62	37,059.94
	CONTRACTING AND PROCUREMENT	0020 - SUPPLIES AND MATERIALS	1,285.16	908.24	376.92
		0040 - OTHER SERVICES AND CHARGES	421,680.75	394,547.00	27,133.75
		Total	717,353.66	515,058.81	202,294.85
		0011 - REGULAR PAY - CONT FULL TIME	101,384.75	32,808.87	68,575.88
		0012 - REGULAR PAY - OTHER	-	19,812.34	(19,812.34)
		0014 - FRINGE BENEFITS - CURR PERSONNEL	20,783.88	17,648.27	3,135.61
		0015 - OVERTIME PAY	-	2,479.90	(2,479.90)
		0020 - SUPPLIES AND MATERIALS	1,159.13	900.00	259.13
		0040 - OTHER SERVICES AND CHARGES	106,442.19	73,036.08	33,406.11
		Total	229,769.95	146,685.46	83,084.49
	CUSTOMER SERVICE	0011 - REGULAR PAY - CONT FULL TIME	143,927.17	97,687.96	46,239.21
		0012 - REGULAR PAY - OTHER	-	19,812.34	(19,812.34)
		0013 - ADDITIONAL GROSS PAY	-	17,165.23	(17,165.23)
		0014 - FRINGE BENEFITS - CURR PERSONNEL	54,258.82	17,596.50	36,662.32
		0020 - SUPPLIES AND MATERIALS	1,492.27	1,000.00	492.27
		0040 - OTHER SERVICES AND CHARGES	165,122.77	163,684.18	1,438.59
		Total	364,801.03	316,946.21	47,854.82
		0040 - OTHER SERVICES AND CHARGES	11,249.65	8,478.30	2,771.35
		Total	11,249.65	8,478.30	2,771.35
		0011 - REGULAR PAY - CONT FULL TIME	384,233.07	184,007.19	200,225.88
	INFRO TECH	0012 - REGULAR PAY - OTHER	-	59,123.14	(59,123.14)
		0013 - ADDITIONAL GROSS PAY	-	46,342.57	(46,342.57)
		0014 - FRINGE BENEFITS - CURR PERSONNEL	78,767.78	54,328.19	24,439.59
		0015 - OVERTIME PAY	-	1,071.10	(1,071.10)
		0020 - SUPPLIES AND MATERIALS	2,058.73	1,000.00	1,058.73
		0040 - OTHER SERVICES AND CHARGES	193,444.61	148,554.42	44,890.19
		0041 - CONTRACTUAL SERVICES - OTHER	800,000.00	-	800,000.00
		Total	1,458,504.19	494,426.61	964,077.58
		0011 - REGULAR PAY - CONT FULL TIME	1,149,410.83	984,219.53	165,191.30
		0012 - REGULAR PAY - OTHER	-	21,613.25	(21,613.25)
	INSPECTIONS AND EVALUATIONS	0013 - ADDITIONAL GROSS PAY	-	3,555.32	(3,555.32)
		0014 - FRINGE BENEFITS - CURR PERSONNEL	254,079.23	230,238.67	23,840.56
		0020 - SUPPLIES AND MATERIALS	2,917.17	1,000.00	1,917.17
		0040 - OTHER SERVICES AND CHARGES	40,891.40	37,716.21	3,175.19
		Total	1,447,298.63	1,278,342.96	168,955.65
		0011 - REGULAR PAY - CONT FULL TIME	2,372,963.43	2,247,594.35	125,369.08
		0012 - REGULAR PAY - OTHER	-	56,701.11	(56,701.11)
		0013 - ADDITIONAL GROSS PAY	-	9,381.90	(9,381.90)
		0014 - FRINGE BENEFITS - CURR PERSONNEL	532,582.49	444,129.28	88,453.21
		0015 - OVERTIME PAY	-	482.78	(482.78)
	INVESTIGATIONS	0020 - SUPPLIES AND MATERIALS	4,596.62	4,162.79	433.83
		0040 - OTHER SERVICES AND CHARGES	293,446.41	463,078.85	(169,632.44)
		Total	3,203,588.95	3,225,531.06	(21,942.11)
		0011 - REGULAR PAY - CONT FULL TIME	584,018.59	583,469.17	549.42
		0012 - REGULAR PAY - OTHER	-	19,812.34	(19,812.34)
		0014 - FRINGE BENEFITS - CURR PERSONNEL	119,723.81	134,770.53	(15,046.72)
		0020 - SUPPLIES AND MATERIALS	1,763.04	900.00	863.04
		0040 - OTHER SERVICES AND CHARGES	18,430.14	11,095.00	7,335.14
		Total	723,935.58	750,047.04	(26,111.46)
		0011 - REGULAR PAY - CONT FULL TIME	1,605,090.00	1,418,193.83	186,896.17
	LEGAL	0013 - ADDITIONAL GROSS PAY	-	14,344.58	(14,344.58)
		0014 - FRINGE BENEFITS - CURR PERSONNEL	374,190.50	273,737.10	100,453.40
		0020 - SUPPLIES AND MATERIALS	10,260.96	5,471.69	4,789.27
		0031 - TELEPHONE, TELEGRAPH, TELEGRAM, ETC	17,589.00	29,498.46	(11,909.46)
		0032 - RENTALS - LAND AND STRUCTURES	227,778.00	-	227,778.00
		0035 - OCCUPANCY FIXED COSTS	2,857.00	-	2,857.00
		0040 - OTHER SERVICES AND CHARGES	224,582.44	122,644.16	101,938.28
		0050 - SUBSIDIES AND TRANSFERS	277,099.00	246,207.18	30,891.82
		0070 - EQUIPMENT & EQUIPMENT RENTAL	15,317.10	2,602.08	12,715.02
		Total	2,754,764.00	2,112,699.08	642,064.92
	MEDICAID FRAUD CONTROL UNIT	0011 - REGULAR PAY - CONT FULL TIME	525,247.83	472,734.24	52,513.59
		0013 - ADDITIONAL GROSS PAY	-	4,781.54	(4,781.54)
		0014 - FRINGE BENEFITS - CURR PERSONNEL	107,675.81	92,111.48	15,564.33
		0020 - SUPPLIES AND MATERIALS	3,402.54	823.90	2,578.64
		0031 - TELEPHONE, TELEGRAPH, TELEGRAM, ETC	-	9,832.83	(9,832.83)
		0040 - OTHER SERVICES AND CHARGES	86,230.99	32,669.72	53,561.27
		0070 - EQUIPMENT & EQUIPMENT RENTAL	5,105.70	4,934.47	171.23
		Total	727,662.87	617,888.18	109,774.69
		0011 - REGULAR PAY - CONT FULL TIME	159,332.35	83,639.26	75,693.09
		0012 - REGULAR PAY - OTHER	-	19,813.02	(19,813.02)
	PERSONNEL	0014 - FRINGE BENEFITS - CURR PERSONNEL	32,663.13	21,461.00	11,202.13
		0020 - SUPPLIES AND MATERIALS	776.10	759.12	16.98
		0040 - OTHER SERVICES AND CHARGES	11,576.60	11,539.79	36.81
		Total	204,348.18	137,212.19	67,135.99
		0040 - OTHER SERVICES AND CHARGES	72,027.36	67,935.66	4,091.70
		Total	72,027.36	67,935.66	4,091.70
		PROPERTY MANAGEMENT	72,027.36	67,935.66	4,091.70
		FY16 Total	16,888,735.00	14,703,521.39	2,185,213.61

Table 12: FY 2017 Approved Budget and Actual Spending, to Date

ADO Five Year Approved and Expended Budget						
			Values		Sum of Available	
FY	Program Code 3 Title	CSG & Title	Sum of Approp Act	Sum of Expend Act	Balance	
FY17	AUDIT	0011 - REGULAR PAY - CONT FULL TIME	1,933,591.52	762,043.21	1,171,548.31	
		0012 - REGULAR PAY - OTHER	132,103.06	9,396.22	122,706.84	
		0013 - ADDITIONAL GROSS PAY	-	10,165.22	(10,165.22)	
		0014 - FRINGE BENEFITS - CURR PERSONNEL	454,452.82	146,853.52	307,599.30	
		0015 - OVERTIME PAY	-	366.35	(366.35)	
		0020 - SUPPLIES AND MATERIALS	6,240.00	-	6,240.00	
		0040 - OTHER SERVICES AND CHARGES	2,600,055.00	382,726.13	1,688,515.37	
	AUDIT	Total	5,126,442.40	1,311,550.65	3,286,078.25	
	CONTRACTING AND PROCUREMENT	0011 - REGULAR PAY - CONT FULL TIME	201,494.64	55,037.84	146,456.80	
		0012 - REGULAR PAY - OTHER	-	4,871.41	(4,871.41)	
		0014 - FRINGE BENEFITS - CURR PERSONNEL	44,328.82	8,755.48	35,573.34	
		0020 - SUPPLIES AND MATERIALS	1,270.00	-	1,270.00	
		0040 - OTHER SERVICES AND CHARGES	5,400.00	-	5,400.00	
	CONTRACTING AND PROCUREMENT	Total	252,493.46	68,664.73	183,828.73	
	CUSTOMER SERVICE	0011 - REGULAR PAY - CONT FULL TIME	497,021.97	43,509.43	453,512.54	
		0012 - REGULAR PAY - OTHER	-	4,871.41	(4,871.41)	
		0014 - FRINGE BENEFITS - CURR PERSONNEL	109,344.83	10,879.76	98,465.07	
		0020 - SUPPLIES AND MATERIALS	13,291.00	-	13,291.00	
		0040 - OTHER SERVICES AND CHARGES	47,160.00	7,500.00	39,660.00	
	CUSTOMER SERVICE	Total	666,817.80	66,760.60	600,057.20	
	EXECUTIVE	0011 - REGULAR PAY - CONT FULL TIME	429,510.00	43,636.32	385,873.68	
		0012 - REGULAR PAY - OTHER	195,702.63	18,274.52	177,428.11	
		0014 - FRINGE BENEFITS - CURR PERSONNEL	137,546.78	10,488.47	127,058.31	
		0020 - SUPPLIES AND MATERIALS	3,160.00	83.75	3,076.25	
		0040 - OTHER SERVICES AND CHARGES	526,660.00	85,794.10	440,865.90	
	EXECUTIVE	Total	1,292,579.41	158,277.16	1,134,302.25	
	FINANCIAL MANAGEMENT	0011 - REGULAR PAY - CONT FULL TIME	123,405.33	29,084.39	94,320.94	
		0012 - REGULAR PAY - OTHER	-	4,871.41	(4,871.41)	
		0014 - FRINGE BENEFITS - CURR PERSONNEL	27,149.17	4,313.38	22,835.79	
		0020 - SUPPLIES AND MATERIALS	1,199.00	-	1,199.00	
		0040 - OTHER SERVICES AND CHARGES	1,800.00	-	1,800.00	
	FINANCIAL MANAGEMENT	Total	153,553.50	38,269.18	115,284.32	
	FLEET MANAGEMENT	0040 - OTHER SERVICES AND CHARGES	40,720.00	19,799.89	20,920.11	
	FLEET MANAGEMENT	Total	40,720.00	19,799.89	20,920.11	
	INFORMATION TECHNOLOGY	0011 - REGULAR PAY - CONT FULL TIME	333,795.26	44,903.41	288,891.85	
		0012 - REGULAR PAY - OTHER	-	30,768.60	(30,768.60)	
		0013 - ADDITIONAL GROSS PAY	-	11,632.63	(11,632.63)	
		0014 - FRINGE BENEFITS - CURR PERSONNEL	73,434.96	16,227.93	57,207.03	
		0020 - SUPPLIES AND MATERIALS	352,021.48	41,266.28	310,755.20	
		0031 - TELEPHONE, TELEGRAPH, TELEGRAM, ETC	-	10,000.00	(10,000.00)	
		0040 - OTHER SERVICES AND CHARGES	241,114.00	23,192.00	217,922.00	
	INFORMATION TECHNOLOGY	Total	1,000,365.70	177,990.85	822,374.85	
	INSPECTIONS AND EVALUATIONS	0011 - REGULAR PAY - CONT FULL TIME	1,040,028.76	314,439.76	725,589.00	
		0012 - REGULAR PAY - OTHER	-	5,314.24	(5,314.24)	
		0013 - ADDITIONAL GROSS PAY	-	625.08	(625.08)	
		0014 - FRINGE BENEFITS - CURR PERSONNEL	228,806.32	64,279.53	164,526.79	
		0020 - SUPPLIES AND MATERIALS	9,125.00	6,400.00	2,725.00	
		0040 - OTHER SERVICES AND CHARGES	51,170.00	-	51,170.00	
	INSPECTIONS AND EVALUATIONS	Total	1,329,130.08	391,058.61	938,071.47	
	INVESTIGATIONS	0011 - REGULAR PAY - CONT FULL TIME	1,933,475.22	659,929.37	1,273,545.85	
		0012 - REGULAR PAY - OTHER	123,438.08	27,089.78	96,348.30	
		0013 - ADDITIONAL GROSS PAY	-	11,884.62	(11,884.62)	
		0014 - FRINGE BENEFITS - CURR PERSONNEL	452,520.94	128,763.23	323,757.71	
		0015 - OVERTIME PAY	-	436.57	(436.57)	
		0020 - SUPPLIES AND MATERIALS	6,411.99	-	6,411.99	
		0040 - OTHER SERVICES AND CHARGES	201,580.00	25,902.34	175,677.66	
	INVESTIGATIONS	Total	2,717,426.23	854,005.91	1,863,420.32	
	LEGAL	0011 - REGULAR PAY - CONT FULL TIME	552,315.93	196,455.94	355,859.99	
		0012 - REGULAR PAY - OTHER	-	4,871.41	(4,871.41)	
		0014 - FRINGE BENEFITS - CURR PERSONNEL	121,509.50	41,572.40	79,937.10	
		0020 - SUPPLIES AND MATERIALS	7,194.00	-	7,194.00	
		0040 - OTHER SERVICES AND CHARGES	53,577.00	560.00	53,017.00	
	LEGAL	Total	734,596.43	243,459.75	491,136.68	
	MEDICAID FRAUD CONTROL UNIT	0011 - REGULAR PAY - CONT FULL TIME	1,639,073.67	382,289.85	1,256,783.82	
		0013 - ADDITIONAL GROSS PAY	-	1,963.13	(1,963.13)	
		0014 - FRINGE BENEFITS - CURR PERSONNEL	360,596.21	66,286.08	294,310.13	
		0020 - SUPPLIES AND MATERIALS	201,857.25	-	201,857.25	
		0031 - TELEPHONE, TELEGRAPH, TELEGRAM, ETC	9,847.21	-	9,847.21	
		0032 - RENTALS - LAND AND STRUCTURES	170,833.50	-	170,833.50	
		0035 - OCCUPANCY FIXED COSTS	1,115.64	-	1,115.64	
		0040 - OTHER SERVICES AND CHARGES	185,254.26	26,399.70	158,854.56	
	MEDICAID FRAUD CONTROL UNIT	Total	2,568,577.74	476,938.76	2,091,638.98	
	MFCU 25% MATCH	0011 - REGULAR PAY - CONT FULL TIME	546,357.86	164,847.87	381,509.99	
		0013 - ADDITIONAL GROSS PAY	-	654.38	(654.38)	
		0014 - FRINGE BENEFITS - CURR PERSONNEL	120,198.75	28,518.34	91,680.41	
		0020 - SUPPLIES AND MATERIALS	67,285.75	-	67,285.75	
		0031 - TELEPHONE, TELEGRAPH, TELEGRAM, ETC	3,282.40	-	3,282.40	
		0032 - RENTALS - LAND AND STRUCTURES	56,944.50	-	56,944.50	
		0035 - OCCUPANCY FIXED COSTS	371.88	-	371.88	
		0040 - OTHER SERVICES AND CHARGES	61,751.42	8,800.90	52,950.52	
	MFCU 25% MATCH	Total	856,192.56	202,821.49	653,371.07	
	PERSONNEL	0011 - REGULAR PAY - CONT FULL TIME	95,790.00	42,728.57	53,061.43	
		0012 - REGULAR PAY - OTHER	-	4,871.70	(4,871.70)	
		0013 - ADDITIONAL GROSS PAY	200,000.00	-	200,000.00	
		0014 - FRINGE BENEFITS - CURR PERSONNEL	21,073.80	8,978.08	12,095.72	
	PERSONNEL	Total	316,863.80	56,578.35	260,285.45	
	PROPERTY MANAGEMENT	0011 - REGULAR PAY - CONT FULL TIME	97,310.73	8,495.13	88,815.60	
		0014 - FRINGE BENEFITS - CURR PERSONNEL	21,408.36	1,672.31	19,736.05	
		0020 - SUPPLIES AND MATERIALS	1,199.00	-	1,199.00	
		0040 - OTHER SERVICES AND CHARGES	86,262.44	-	86,262.44	
	PROPERTY MANAGEMENT	Total	206,180.53	10,167.44	196,013.09	
	QUALITY MANAGEMENT	0011 - REGULAR PAY - CONT FULL TIME	297,578.07	22,792.70	274,785.37	
		0014 - FRINGE BENEFITS - CURR PERSONNEL	65,467.18	5,069.21	60,397.97	
		0020 - SUPPLIES AND MATERIALS	3,070.00	-	3,070.00	
		0040 - OTHER SERVICES AND CHARGES	70,170.00	3,000.00	67,170.00	
	QUALITY MANAGEMENT	Total	436,285.25	30,861.91	405,423.34	
	RISK ASSESSMENT AND FUTURE PLANNING	0011 - REGULAR PAY - CONT FULL TIME	700,800.69	52,196.93	648,603.76	
		0013 - ADDITIONAL GROSS PAY	-	18,625.63	(18,625.63)	
		0014 - FRINGE BENEFITS - CURR PERSONNEL	154,176.16	10,823.33	143,352.83	
		0020 - SUPPLIES AND MATERIALS	3,020.00	-	3,020.00	
		0040 - OTHER SERVICES AND CHARGES	166,235.00	10,401.63	155,833.37	
	RISK ASSESSMENT AND FUTURE PLANNING	Total	1,024,231.85	92,047.52	932,184.33	
FY17 Total			18,722,456.74	4,199,252.80	14,523,203.94	

9. Please list any reprogramming, in or out, which occurred in FY 2016 or FY 2017, to date. For each reprogramming, please list the total amount of the reprogramming, the original purposes for which the funds were dedicated, and the reprogrammed use of the funds.

OIG Response: Please see Table 13 below for FY 2016 reprogramming. The OIG has not reprogrammed in FY 2017, to date.

Table 13: FY 2016 Reprogramming

From: Obj Class	Amount	To: Obj Class	Amount	Program Code and Activity
0426 - Audit Costs	(\$185,000)			2010 - Audit
0401 - Travel Local	(\$13,764)			1030 - Property Mgt
		0410 - Office Support	\$145,000	1050 - Financial Mgt
		0441 - IT Hardware Main	\$40,000	1040 - Information Tech
		0410 - Office Support	\$13,764	1030 - Property Mgt
Total	(\$198,764)		\$198,764	
Facilities maintenance for offices and computers for new staff.				
From: Obj Class	Amount	To: Obj Class	Amount	Program Code and Activity
0111 -Continuing Full Time	(\$235,000)			2010 - Audit
0111 -Continuing Full Time	(\$90,000)			2030 - Inspections and Evaluations
0111 -Continuing Full Time	(\$125,000)			3010 - Investigations
		0410 - Office Support	\$350,000	1020 - Contracting and Procurement
		0419 -Tuition/ Training	\$90,000	1085 - Customer Service
		0441 - IT Hardware Main	\$10,000	1040 - Info Tech
Total	(\$450,000)		\$450,000	
Agency Objectives and consultancy needs				
From: Obj Class	Amount	To: Obj Class	Amount	Program Code and Activity
0426 - Audit Costs	(\$657,000)			2010 - Audit
0401 - Travel Local	(\$30,000)			1030 - Property Mgt
0419 -Tuition/ Training	(\$28,800)			2010 - Audit
0419 -Tuition/ Training	(\$18,800)			2030 - Inspections and Evaluations
		0442 - IT Software Main	\$207,000	3010 - Investigations
		0410 - Office Support	\$30,000	1030 - Property Mgt
		0410 - Office Support	\$28,800	1030 - Property Mgt
		0442 - IT Software Main	\$450,000	1040 - Info Tech
		0410 - Office Support	\$18,800	1040 - Info Tech
Total	(\$734,600)		\$734,600	
Cover software and facility maintenance needs				
From: Obj Class	Amount	To: Obj Class	Amount	Program Code and Activity
0442 - IT Software Main	(\$400,000)			1040 - Info Tech
0111 -Continuing Full Time	(\$300,000)			2010 - Audit
0111 -Continuing Full Time	(\$100,000)			3010 - Investigations
		0409 - Contractual Services	\$800,000	Paygo Capital - IT Upgrade
Total	(\$800,000)		\$800,000	
Will allow OIG to update information technology infrastructure and security to address current requirements				

10. Please provide a complete accounting for all intra-District transfers received or transferred from the agency during FY 2016 or FY 2017, to date.

OIG Response: Please see Table 14 below for FYs 2016 and 2017 reprogrammings, to date.

Table 14: FYs 2016 and 2017 Reprogrammings.

FY16 Inter-District Transfers							
From	To	Project	Phase	Inter-District Amount	Expended	Remaining Balance	Description
AD0	AM0	TI16AD	1	150,595.00	147,595.00	3,000.00	Facility Maint
AD0	AS0			20,000.00	20,000.00	-	Verizon
AD0	AT0			3,000.00	3,000.00	-	DC Net
AD0	AS0			19,000.00	19,000.00	-	REA Corp
AD0	KT0			8,478.30	8,478.30	-	Fleet
FY17 Inter-District Transfers							
From	To	Project	Phase	Inter-District Amount	Expended	Remaining Balance	Description
AD0	AS0			3,500.00	-	3,500.00	DC Net
AD0	AT0			3,500.00	-	3,500.00	DC Net
AD0	AT0			3,000.00	-	3,000.00	DC Net
AD0	AS0			144,446.50	33,030.36	111,416.14	Financial Services
AD0	AB0			560.00	-	560.00	LexisNexis
AD0	KT0			19,799.89	2,346.94	17,452.95	Fleet
					-		

11. Please provide a complete accounting for all federal grants received for FY 2016 or FY 2017, to date.

OIG Response: Please see Table 15 below for FYs 2016 and 2017 grants received.

Table 15: FYs 2016 and 2017 Grants Received

				Values		Sum of Available
FY	Program Code 3 Title	CSG & Title		Sum of Approp Act	Sum of Expend Act	Balance
FY16	MEDICAID FRAUD CONTROL UNIT	0011 - REGULAR PAY - CONT FULL TIME		1,605,090.00	1,418,193.83	186,896.17
		0013 - ADDITIONAL GROSS PAY		-	14,344.58	(14,344.58)
		0014 - FRINGE BENEFITS - CURR PERSONNEL		374,190.50	273,737.10	100,453.40
		0020 - SUPPLIES AND MATERIALS		10,260.96	5,471.69	4,789.27
		0031 - TELEPHONE, TELEGRAPH, TELEGRAM, ETC		17,589.00	29,498.46	(11,909.46)
		0032 - RENTALS - LAND AND STRUCTURES		227,778.00	-	227,778.00
		0035 - OCCUPANCY FIXED COSTS		2,857.00	-	2,857.00
		0040 - OTHER SERVICES AND CHARGES		224,582.44	122,644.16	101,938.28
		0050 - SUBSIDIES AND TRANSFERS		277,099.00	246,207.18	30,891.82
		0070 - EQUIPMENT & EQUIPMENT RENTAL		15,317.10	2,602.08	12,715.02
FY16 Total				2,754,764.00	2,112,699.08	642,064.92
FY17	MEDICAID FRAUD CONTROL UNIT	0011 - REGULAR PAY - CONT FULL TIME		1,639,073.67	382,289.85	1,256,783.82
		0013 - ADDITIONAL GROSS PAY		-	1,963.13	(1,963.13)
		0014 - FRINGE BENEFITS - CURR PERSONNEL		360,596.21	66,286.08	294,310.13
		0020 - SUPPLIES AND MATERIALS		201,857.25	-	194,237.25
		0031 - TELEPHONE, TELEGRAPH, TELEGRAM, ETC		9,847.21	-	9,847.21
		0032 - RENTALS - LAND AND STRUCTURES		170,833.50	-	170,833.50
		0035 - OCCUPANCY FIXED COSTS		1,115.64	-	1,115.64
		0040 - OTHER SERVICES AND CHARGES		185,254.26	26,399.70	158,854.56
FY17 Total				2,568,577.74	476,938.76	2,084,018.98
Grand Total				5,323,341.74	2,589,637.84	2,726,083.90

12. What steps were taken by the OIG in FY 2016 and FY 2017, to date, to manage apportioning resources appropriately to complete: (1) audits required by law; (2) inquiries/requests made for audits; and (3) other audits that the Office believes in its discretion might further the District's goals of fiscal integrity and financial strength?

OIG Response: For FY 2016, the OIG first addressed all audits required by law and then addressed planned projects contained in the *FY 2016 Audit and Inspection Plan*. Statutorily required audits scheduled for FY 2017 have or will commence this FY.

Questions continued on the next page.

13. With respect to Audit and Inspection Plan for FY 2017, please provide an update and any comments on revisions to that plan.

OIG Response: Table 16, below, provides an update to all projects contained within the OIG’s FY 2017 Audit and Inspection Plan.

Table 16: Status of FY 2017 Audit and Inspection Plan Projects

Project	Unit	Requirement	Status	Notes
FY 2016 Comprehensive Annual Financial Report (CAFR)	AU	Statutory: D.C. Code § 1-301.115a (a)(3)(H) (Repl. 2016)	In Progress	<p>The OIG published the <i>Comprehensive Annual Financial Report for Fiscal Year Ended September 30, 2016</i> (OIG Project No. 17-1-01MA) on January 31, 2017.</p> <p>The OIG is currently finalizing the following CAFR component reports and expects them to be posted by February 24, 2017. These reports are as follows:</p> <ul style="list-style-type: none"> • <i>District of Columbia Lottery and Charitable Games Control Board (Lottery) Financial Statements for the Fiscal Years Ended September 30, 2016, and 2015</i> (OIG No. 17-1-02DC) • <i>University of the District of Columbia Financial Statements and Management’s Discussion and Analysis (With Report of Independent Public Accountants) for Fiscal Years ended September 30, 2016 and 2015</i> (OIG No. 17-1-03GG) • <i>Washington Convention and Sports Authority Financial Statements for the Fiscal Years Ended September 30, 2016, and 2015</i> (OIG No. 17-1-04ES) • <i>Unemployment Compensation Fund Financial Statements for the Fiscal Years Ended September 30, 2016, and 2015</i> (OIG No. 17-1-05BH). • <i>Unemployment Compensation Fund Financial Statements for the Fiscal Years Ended September 30, 2016, and 2015</i> (OIG No. 17-1-05BH). • <i>Unemployment Compensation Fund Financial Statements for the Fiscal Years Ended September 30, 2016, and 2015</i> (OIG No. 17-1-05BH). • <i>Not-For-Profit Hospital Corporation – United Medical Center Financial Statements for the Fiscal Years Ended September 30, 2016, and 2015</i> (OIG No. 17-1-08HW) • <i>Government of the District of Columbia E911/E311 Fund Financial Statements for the Fiscal Years ended September 30,</i>

Project	Unit	Requirement	Status	Notes
				<p>2016, and 2015 (OIG No. 17-1-09UC)</p> <ul style="list-style-type: none"> • District of Columbia Housing Finance Agency Financial Statements for the Fiscal Years Ended September 30, 2016, and 2015 (OIG No. 17-1-10HF) • District of Columbia Housing Finance Agency Financial Statements for the Fiscal Years Ended September 30, 2016, and 2015 (OIG No. 17-1-10HF) • District of Columbia Teachers' and Police Officers and Firefighters Retirement Fund Financial Statements for the Fiscal Years Ended September 30, 2016, and 2015 (OIG No. 17-1-12MA). • District of Columbia 529 College Savings Program Trust Participant and Administrative Funds Financial Statements for the Fiscal Years Ended September 30, 2016, and 2015 (OIG No. 17-1-13AT) • District of Columbia 529 College Savings Program Trust Participant and Administrative Funds Financial Statements for the Fiscal Years Ended September 30, 2016, and 2015 (OIG No. 17-1-13AT)
Audit of the District of Columbia Highway Trust Fund and 5-Year Forecast	AU	Statutory: D.C. Code § 9-109.02(e) (2013)	Completed and In Progress	<p>The OIG published the <i>Audit of the Financial Statements of the District of Columbia Highway Trust Fund as of September 30, 2016</i> (OIG Project No. 16-1-18KA) on February 1, 2017.</p> <p>The OIG's <i>Examination of the District of Columbia's Highway Trust Fund 5-Year Forecast of Expenditure Conditions and Operations</i> is ongoing.</p>
Risk Assessment of the District of Columbia Procurement System	AU	Statutory: D.C. Code § 1-301.115a(a)(3)(E) (Repl. 2016)	In Progress	The OIG contracted with KPMG, LLC to conduct this risk assessment on September 2, 2016. This project is ongoing.
Audit of Special Education Attorney Certifications	AU	Statutory: D.C. Code § 1-301.115a(a)(3)(J) (Repl. 2015)	In Progress	This project was initiated on May 26, 2016. This project is ongoing.
Audit of the District of Columbia's Subsidy to the Washington Metropolitan Area Transit Authority (WMATA) Capital Funding Agreement	AU	OIG Identified	Not Started	This project is planned to start in June 2017.

Project	Unit	Requirement	Status	Notes
Audit of the District of Columbia Public Schools (DCPS) Student Residency Verification	AU	OIG Identified	Not Started	This project is planned to start in April 2017.
Audit of Agency Fund Reprogramming	AU	OIG Identified	Not Started	This project is planned to start in June 2017.
Audit of the District of Columbia Fire and Emergency Medical Service Department (FEMS) Contract With Third-Party Basic Life Support (BLS) Ambulance Service Provider	AU	OIG Identified	Not Started	This project is planned to start in June 2017.
Follow-up Audit of OIG Recommendations	AU	OIG Identified	Not Started	This project is planned to start in June 2017.
Inspection of the Department of Consumer and Regulatory Affairs (DCRA) Illegal Construction Enforcement Program	I&E	OIG Identified	In Progress	This project was initiated on November 15, 2016. This project is ongoing.
Inspection of the District's Non-Emergency Transportation (NET) Services Program	I&E	OIG Identified	In Progress	This project was initiated on November 15, 2016. This project is ongoing.
Evaluation of Selected Contracts	I&E	OIG Identified	Not Started	Projects are planned to start in March 2017. This project will look at a selected contracts identified using an OIG risk-based methodology.
Evaluation of Selected Grants	I&E	OIG Identified	In Progress	<p>A grant review was initiated on January 12, 2017. This project will look at the Department of Health's (DOH) use and administration of Centers for Medicare and Medicaid Services (CMS) grant funds awarded through the National Background Check Program (NBCP) for long-term-care employees.</p> <p>Additional grants will be selected for review during FY 2017. These reviews will identify grants using an OIG risk-based methodology.</p>

14. Of the audits, inspections, and investigations conducted by the OIG in FY 2016, how many were:

- i. Statutorily initiated?
- ii. Council initiated?
- iii. Executive initiated?
- iv. Independently initiated?

OIG Response: Table 17, below, identifies source of engagements completed during FY 2016.

Table 17: OIG FY 2016 Completed Engagements by Source

Engagement Type	Statutory	Council	Executive	Independent	Total
Audit	3	1	0	18 ¹	22
Inspection or Evaluation	0	0	0	8	8
Investigations	0	0	0	62	62
MFCU Investigations	0	0	0	41	41

Note: The OIG considers:

- Statutorily initiated to mean activities required outside of the OIG’s current enabling legislation, D.C. Code § 1-301.115a (Repl. 2016).
- Council initiated to mean audits, inspections, investigations requested by the Council of the District of Columbia.
- Executive initiated to mean audits, inspections, investigations requested by the Executive Branch.
- Independently initiated to mean activities conducted under the OIG’s enabling legislation, D.C. Code § 1-301.115a (Repl. 2016).
 - The OIG receives investigative requests from District agencies, the Executive Office of the Mayor, and Council of the District of Columbia. These requests, regardless of the originator, are routed through the OIG’s RAFP Division, which reviews the requests and determines the best course of action. Independently, the OIG determines whether an investigation shall be initiated. The OIG is statutorily required to protect the confidentiality of all complainants, and therefore does not report the source of the complaints.
 - 58 percent of the 62 investigations completed in FY 2016 were due to referrals from the Executive Office of the Mayor, the Council of the District of Columbia, or District government agencies.

15. Please describe the Office’s efforts to minimize internal waste, fraud, and abuse.

OIG Response: The OIG has several ongoing initiatives to minimize the risk to fraud, waste, and abuse. These efforts include:

- In FY 2016, the OIG revamped its performance-based budget to provide OIG leadership with greater visibility on the allocation of its resources. Previously,

¹ Note: This figure includes the reports produced by contractors and issued by the OIG as a result of the Comprehensive Annual Financial Statement audit required by D.C. Code § 1-301.115a(a)(4) (Repl. 2016).

activities within the OIG had not accurately captured the budget breakdown in each OIG program and unit. The revised budget provides for greater oversight and granularity of how the budget is apportioned across the organization. For FY 2017, OIG Divisions and Units will execute to a budget, using acquisition planning and spending plan reports for their respective areas within the OIG.

- In FY 2016, the OIG has asked outside experts to review some of its internal operations. For example, during FY 2016, staff from the Office of the Chief Financial Officer assisted the OIG by providing a review of all accounting transactions for FYs 2014 through 2016. This review included a review of the Medicaid Fraud Control Unit federal grant, purchase card expenditures, and an accounting of the OIG's local funds. And during FY 2016, the OIG obtained an outside consultant to provide an assessment of the OIG's IT systems to provide recommendations to address outdated technology, identify solutions for increased data security, and identify solutions to enhance the overall efficiency of the OIG.
- In FY 2016, the OIG established its IT Governance Committee. This committee, comprised of senior OIG leaders, ensures that the OIG implements short- and long-term IT plans, investments, and implementations. The results of this committee ensure that the OIG's procurement and use of IT assets yields the maximum benefits and utilities within the limited resources available.

16. Please provide an update on the annual certification by the U.S. Department of Health and Human Services Office of Inspector General (DHHS OIG) of the Medicaid Fraud Control Unit.

OIG Response: Under 42 CFR § 1007.15 (c), the MFCU submitted its annual recertification package to the Secretary of Health and Human Services on January 1, 2016, and received its recertification notification on February 28, 2016. The MFCU recertification period was from March 1, 2016, to February 28, 2017.

On December 30, 2016, the MFCU resubmitted its annual recertification package to the Secretary of Health and Human Services. To date, the OIG is awaiting HHS' response.

17. In September 2015, the DHHS OIG released a report of your office that included six recommendations. What were these six recommendations and what is the status of implementing these recommendations?

OIG Response: Please see Table 18 on the following page for a list of recommendations made by HHS OIG, OIG actions taken, and the status of the recommendations.

Table 18: HHS-OIG Recommendations to the OIG's MFCU

HHS OIG Recommendation	OIG Actions Accomplished or In Progress	Recommendation Status
Recommendation #1: Ensure that periodic supervisory reviews are documented in Unit case files.	The MFCU has been divided into four separate teams whose members work with one another almost exclusively on their cases. This reorganization has streamlined the supervisory review process, significantly reducing the number of case reviews that supervisors need to complete from 18 per quarter to 4, thereby making it easier to ensure that case reviews are completed in a timely fashion.	Open. Response to close the recommendation provided to HHS OIG on March 24, 2016 and February 10, 2017. The OIG is awaiting a decision by HHS OIG to close this recommendation. Expected this response to be received by March 1, 2017.
Recommendation #2: Ensure that delays in case progress are limited to situations imposed by resource constraints or other exigencies, and documents are cleared timely.	The extended delays noted in the HHS OIG report arose due to resource constraints unintentionally created by prior OIG management practices that resulted in staff members maintaining caseloads which significantly exceeded the national average for other MFCUs. Beginning in June 2015, the MFCU systematically re-evaluated its caseload and began closing marginal cases in an effort to focus its efforts on truly meritorious cases. As a result of those efforts, the MFCU has reduced its caseloads to about 14 cases per line investigator, which is slightly lower than the national average of 18 cases per investigator.	Open. Response to close the recommendation provided to HHS OIG on March 24, 2016 and February 10, 2017. Awaiting the decision by HHS OIG to close the recommendation on/around March 1, 2017.
Recommendation #3: Ensure that use of our case management system allows for efficient access to case information.	First, the MFCU has worked to improve the quality of case-related statistics and standardized reports generated by JustWare. Second, the MFCU management has made timely preparation of interview summaries a point of emphasis. Third, MFCU management is working to develop additional training and guidance to improve the staff's ability to input case information into JustWare and to extract case information from the system in a timely fashion.	Open. Response to close the recommendation provided to HHS OIG on March 24, 2016. Awaiting the decision by HHS OIG to close the recommendation on/around March 1, 2017.
Recommendation #4: Repay federal matching funds spent on the cases that were not eligible for federal funding and implement procedures to ensure that cases are within grant authority.	The OIG has already repaid federal matching funds in the amount of \$8,025.59 for salaries and fringe benefits related to the time its employees worked on the seven cases identified as being outside our grant authority. Additionally, the MFCU amended its complaint review process to specifically prevent cases involving abuse, neglect, or theft of patient funds occurring outside Medicaid-funded facilities from being opened as investigations.	Open. Response to close the recommendation provided to HHS OIG on March 24, 2016. Awaiting the decision by HHS OIG to close the recommendation on/around March 1, 2017.
Recommendation #5: Communicate regularly with the D.C. Medicaid agency.	The Unit has worked to improve the quality and frequency of its communications with the Department of Health Care Finance (DHCF). The OIG and DHCF entered into a revised Memorandum of Understanding on July 1, 2015, to	Open. Response to close the recommendation provided to HHS OIG on March 24, 2016.

HHS OIG Recommendation	OIG Actions Accomplished or In Progress	Recommendation Status
	facilitate these communications.	Awaiting the decision by HHS OIG to close the recommendation on/around March 1, 2017.
Recommendation #6: Ensure that all relevant information is reported to OIG and National Practitioner Data Bank (NPDB) within required timeframes.	The Unit has worked to improve the quality and frequency of its communications with the Department of Health Care Finance (DHCF). The OIG and DHCF entered into a revised Memorandum of Understanding on July 1, 2015, to facilitate these communications.	Open. Response to close the recommendation provided to HHS OIG on March 24, 2016. Awaiting the decision by HHS OIG to close the recommendation on/around March 1, 2017.

18. Please identify all electronic databases maintained by your agency, including the following:
- A detailed description of the information tracked within each system;
 - The age of the system and any discussion of substantial upgrades that have been made or planned to the system; and
 - Whether the public can be granted access to all or part of each system.

OIG Response: The following databases are maintained by the OIG.

Audit Unit:

The Audit Unit utilizes TeamMate to manage audit projects. TeamMate also stores audit work papers for all audit projects. The TeamMate system has been in use since 2008. The most recent upgrade to release, R11.1.3, occurred in April 2016. Due to the pre-decisional information contained within TeamMate, the public will not be granted access to this system.

Inspections and Evaluations Unit:

The Inspections and Evaluation Unit maintains its files on a centralized file server located within the OIG. In FY 2017, the Inspections and Evaluations Unit will migrate its files to the TeamMate system. Due to the pre-decisional information stored in this server database, the public will not be granted access to this system.

Investigations Unit and Risk Assessment and Future Planning's Hotline Program:

The Investigations Unit utilizes an internal database to manage all complaints and ongoing cases. The Investigations Unit has used this database since FY 1996. This database includes information on ongoing cases to include control numbers, status of investigations, and case documentation. The database allows supervisors to manage staff caseloads, conduct performance evaluations, and review case files.

During FY 2017, the Investigations Unit will move to i-Sight, a cloud-based case management system. Due to law-enforcement sensitivity, the public will not have access to this system.

Medicaid Fraud Control Unit (MFCU):

The MFCU utilizes JustWare to manage cases and all case-related data. JustWare captures all non-financial statistical data necessary to comply with grant-related reporting requirements. JustWare has been in use since FY 2012. After full implementation, the OIG will make a determination as to whether MFCU will migrate to the i-Sight system. Due to law-enforcement sensitivity, the public will not have access to this system.

Questions continued on the next page.

19. Please list each contract, procurement, lease, and grant (“contract”) awarded, entered into, extended and option years exercised, by your agency during FY 2015, FY 2016, and FY 2017, to date. For each contract, please provide the following information, where applicable:

- The name of the contracting party;
- The nature of the contract, including the end product or service;
- The dollar amount of the contract, including budgeted and actually spent amounts;
- The term of the contract;
- Whether the contract was competitively bid or not;
- The name of the agency’s contract monitor and the results of any monitoring activity; and
- Funding source.

OIG Response: Please see Table 19 for FY 2015, Table 20 for FY 2016, and Table 21 for FY 2017 below.

Table 19: FY 2015 Contracts/Procurements/Leases/Grants

Contracting Party	Nature of Contract	Dollar Amount	Performance Period	Competitively Bid?	Contract Administrator	Funding Source	Status
THE LANGUAGE DOCTORS, INC	AD0-OIG- FY2015- Procure An American Sign Language (ASL) Interpreter for the D.C. Office of Inspector General's Audit Division	\$14,000.00	10/7/2014 - 9/30/2015	Yes	[REDACTED]	Local	Received
Journal Technologies	AD0-OIG-FY15 Exercise Option Yr 3 Maintenance & Support for D.C. Office of the Inspector General MFCU Case Mgmt System	\$16,062.00	10/1/2014 - 9/30/2015	No	[REDACTED]	Federal(75%)/Local(25)	Received
LASER ART INC	AD0-OIG-FY 2015 Photocopier Maintenance and Support for 7 Ricoh and 3 Gestetner Machines	\$46,344.00	10/1/2014 - 9/30/2015	Yes	[REDACTED]	Local	Received
ALLIANCE HEALTH & SAFETY SERV.	FY 2015 Funding of 40 Drug Screening Tests for the DC Office of Inspector General	\$1,600.00	11/17/2014 - 9/30/2015	No	[REDACTED]	Local	Received
KPMG LLP	AD0-OIG-FY 2015 CAFR - Fund FY 2014 District Financial Statement Audit (CAFR) w/FY 2015 Funds	\$2,122,779.00	10/1/2014 - 4/29/2015	No	[REDACTED]	Local	Received
DJ NIEMAN INC	PMC 40 S&W 180 Frain full Metal Jacket Ammunition for the OIG Investigations Division	\$6,358.00	11/28/14	No	[REDACTED]	Local	Received
KPMG LLP	AD0-OIG-FY 2014 CAFR - Fund Mod #20, Health Benefit Exchange Authority: 10/01/2014 - 4/29/2015	\$107,447.00	10/1/2014 - 4/29/2015	No	[REDACTED]	Local	Received
NAT'L ASSOC. OF ATTORNEYS GENE	AD0-OIG-FY2015 National Association of Medicaid Fraud Control Units Registration	\$11,771.00	10/1/2014 - 9/30/2015	No	[REDACTED]	Federal(75%)/Local(25)	Received

OIG FY 2016 Performance Hearing Responses – Committee on Government Operations

Contracting Party	Nature of Contract	Dollar Amount	Performance Period	Competitively Bid?	Contract Administrator	Funding Source	Status
MICON CONSTRUCTIONS INC	AD0-OIG-FY2015 Procure Contractor to Provide Electrical Services for Various OIG Offices	\$13,700.00	01/29/15	Yes		Local	Received
LASER ART INC	AD0-OIG-FY2015 Procure Televisions to Replace Old Televisions in OIG Offices	\$8,855.55	02/09/15	Yes		Local	Received
KPMG LLP	AD0-FY15: Fund Mod #23 to DC OIG 10-01, which Authorizes Additional Procedures for UDC Financial Audit	\$108,974.00	2/25/2015 - 4/29/2015	No		Local	Received
KPMG LLP	AD0-FY15: Fund Mod #24 to DC OIG 10-01, which Authorizes Additional Procedures for UDC Audit Related to Alternative Investments	\$21,446.00	2/25/2015 - 4/29/2015	No		Local	Received
KPMG LLP	AD0-FY15: Fund Mod #27 to DC OIG 10-01, which Authorizes Additional Procedures to Implement New GASB Standards in FY 2014	\$30,972.00	2/25/2015 - 4/29/2015	No		Local	Received
KPMG LLP	AD0-FY15: Fund Mod #25 to DC OIG 10-01, which Authorizes Additional Procedures for UMC Financial Statement Audit	\$120,892.00	2/25/2015 - 4/29/2015	No		Local	Received
KPMG LLP	AD0-FY15: Fund Mod #26 to DC OIG 10-01, which Authorizes Additional Procedures for OTR Commercial Real Property Tax Audit	\$100,623.00	2/25/2015 - 4/29/2015	No		Local	Received
WEST PUBLISHING CORP	AD0-OIG-FY2015 Acquisition of 7-Month Deliver Order Contract for Thomson Reuters CLEAR	\$15,198.12	03/03/15	No		Local	Received
PUBLIC PERFORMANCE MANAG.	AD0-OIG-FY2015 - Procure Dell Notebooks and Accessories for New Employees	\$13,872.00	03/11/15	Yes		Local	Received
PREMIER OFFICE & MEDICAL SUPPL	AD0-OIG-FY15-Procure Toners Supplies and Accessories for the DCOIG	\$7,257.37	03/25/15	No		Local	Received
KPMG LLP	Fund Mod #28 (UMC) to DC OIG 10-01 for FY 2014 CAFR	\$30,944.83	04/27/15	No		Local	Received
KPMG LLP	AD0-OIG-Fund Mod #29 (UDC) to FY 2014 CAFR Audit	\$39,623.70	04/27/15	No		Local	Received
VISION TECHNOLOGIES INC.	OAD-OIG-FY15-Procurement for the Renewal of Meru Wireless Services	\$2,242.91	04/27/15	No		Local	Received
THE LANGUAGE DOCTORS, INC	AD0-OIG-FY 2015 Procure ASL Services	\$5,460.00	4/29/2015 - 9/30/2015	Yes		Local	Received

OIG FY 2016 Performance Hearing Responses – Committee on Government Operations

Contracting Party	Nature of Contract	Dollar Amount	Performance Period	Competitively Bid?	Contract Administrator	Funding Source	Status
SB & Company, LLC	AD0-OIG For FY 2014 appropriations, fund audit services for the FY 2015 CAFR during the Interim Period	\$450,000.00	5/1/2015 - 9/30/2015	No	[REDACTED]	Local	Received
B&R Construction Services LLC	AD0-OIG-FY2015 Procure of Plans for Permits and Installation of Electrical Work	\$1,750.00	05/07/15	Yes	[REDACTED]	Local	Received
CAPITAL SERVICES AND SUPPLIES	AD0-OIG-FY 2015 Procure Office Furniture for Inspector General	\$10,090.37	05/27/15	Yes	[REDACTED]	Local	Received
CAPITAL SERVICES AND SUPPLIES	AD0-OIG-FY2015 Procure Office Furniture for the DC Office of Inspector General	\$3,319.07	06/11/15	Yes	[REDACTED]	Local	Received
HI-TECH SOLUTION, INC.	AD0-OIG- FY2015 Procure Toners for the DC Office of Inspector General	\$4,655.56	06/11/15	Yes	[REDACTED]	Local	Received
MDM OFFICE SYSTEMS DBA	AD0-OIG-FY2015 Procure Office Furniture for the DC Office of Inspector General	\$4,372.79	06/16/15	Yes	[REDACTED]	Local	Received
LASER ART INC	AD0-OIG-FY15 - Procure 7 Samsung Smart TVs, 40", 1080p with Wall Mounts	\$4,262.65	07/31/15	Yes	[REDACTED]	Local	Received
MVS INC	AD0-OIG-FY15 - Procure Smart Board 880 and Accessories	\$17,409.44	08/03/15	Yes	[REDACTED]	Local	Received
LiquidPlanner, Inc.	AD0-OIG-FY15 - Procure 26 LiquidPlanner Professional Software Licenses and Accessories	\$9,048.00	08/03/15	Yes	[REDACTED]	Federal(75%)/Local(25)	Received
MICON CONSTRUCTIONS INC	Installation of Bullet Resistant Panels for DC Office of Inspector General's Weapons Room	\$9,600.00	8/19/2015 - 9/30/2015	Yes	[REDACTED]	Local	Received
ANALYTICA, LLC	AD0-OIG FY 2015 - Procure 22 Editor & 17 Contributor IBM Blueworks Live Business Process Management Licenses for D.C. OIG	\$15,240.00	08/19/15	No	[REDACTED]	Local	Received
LASER ART INC	0AD-OIG-FY 2015 Procure Services of Vendor to Install/Relocate Electrical Receptacles	\$4,225.00	08/20/15	Yes	[REDACTED]	Local	Received
MIGUEL D. TARVER DBA/MDT RUSH	0AD-OIG-FY2015 Procure Services to Relocate Office Furniture and Disassemble and Reassemble when Required	\$4,200.00	08/24/15	Yes	[REDACTED]	Local	Received
SB & Company, LLC	AD0-OIG-FY15 Supplemental Funds for FY 2015 CAFR during the Interim Period	\$50,000.00	8/26/2015-9/30/2015	No	[REDACTED]	Local	Received

OIG FY 2016 Performance Hearing Responses – Committee on Government Operations

Contracting Party	Nature of Contract	Dollar Amount	Performance Period	Competitively Bid?	Contract Administrator	Funding Source	Status
DATAWATCH SYSTEMS	AD0-OIG-FY15 - Expand D.C. Office of the Inspector General (OIG) Access Control System	\$55,950.00	08/26/15	No		Local	Received
Police Technical	AD0-FY15 - Acquisition of Three Police Technical Cell Phone Investigation Courses	\$19,500.00	08/27/15	No		Local	Received
Wolters Kluwer Financial Services	FY 2015 Annual Renewal of TeamMate Maintenance and Support for Office of Inspector General Audit Division	\$20,090.00	8/29/2015 - 9/30/2016	No		Local	Received
MICON CONSTRUCTIONS INC	AD0-OIG-FY 2015 Procure Doors and Installation for OIG 5th Floor Suite	\$19,077.00	09/02/15	Yes		Local	Received
EMERGENCY 911 SECURITY	AD0-OIG-FY2015 Procure Security Monitoring System and Installation for DC OIG	\$50,018.11	09/02/15	Yes		Local	Ordered
METROPOLITAN OFFICE PRODUCTS	AD0-OIG-FY15 Acquisition of High Technology Conference Room & Training Furniture for D.C. Office of the Inspector General	\$14,249.54	09/08/15	Yes		Local	Received
CORPORATE SYSTEMS RESOURCES	AD0-FY 15 High Technology Buys to Stand Up OIG Risk Assessment & Future Plans, Investigations Div., & Business Management Ops	\$54,913.00	09/08/15	Yes		Local	Received
LASER ART INC	ADO-OIG-FY 2015 Procure Services for the Installation of Televisions	\$4,875.00	09/15/15	Yes		Local	Received
Trillian Technologies, LLC	AD0-FY15 Acquisition of MarkLogic Quickstart Program for D.C. Office of the Inspector General Big Data Analytics & Visualization	\$100,000.00	9/16/2015 - 9/30/2015	Yes		Local	Received
DATAWATCH SYSTEMS	AD0-OIG-FY2015 Supplemental Access Control functions	\$7,592.00	09/16/15	No		Local	Received
HI-TECH SOLUTION, INC.	AD0-FY15 Acquisition of 45 Printer-Copier Toner Cartridges for D.C. Office of the Inspector General	\$18,203.00	09/21/15	Yes		Local	Received
ANALYTICA, LLC	AD0-FY15 Acquire an i-Sight Case Management System (CMS) and Complaint Handling System for D.C. Office of the Inspector General	\$99,997.00	9/21/2015 - 9/30/2015	Yes		Local	Received
MVS INC	AD0-FY15 Acquisition of Crime Point PeripherEye® Covert Network Video Surveillance System Pole Cameras for D.C. OIG	\$49,624.32	09/22/15	Yes		Federal(75%)/Local(25)	Received












Contracting Party	Nature of Contract	Dollar Amount	Performance Period	Competitively Bid?	Contract Administrator	Funding Source	Status
MVS INC	AD0-FY15 Acquisition of Covert Video Recording System for D.C. Office of the Inspector General (OIG) Medicaid Fraud Control Unit	\$25,751.30	09/22/15	Yes		Federal(75%)/Local(25)	Received
CORPORATE SYSTEMS RESOURCES	AD0-FY15 Acquisition of IBM i2 Analyst's Notebook Premium for D.C. Office of the Inspector General, Medicaid Fraud Control Unit	\$55,425.00	09/22/15	Yes		Federal(75%)/Local(25)	Received
CORPORATE SYSTEMS RESOURCES	AD0-FY15 Acquisition High Technology Buys for D.C. Office of the Inspector General on behalf of its Medicaid Fraud Control Unit	\$12,379.00	09/23/15	Yes		Federal(75%)/Local(25)	Received
MICON CONSTRUCTIONS INC	AD0-OIG-FY 2015 Procure Services to Install Blocking to Mount Televisions	\$2,400.00	09/24/15	Yes		Local	Received
CORPORATE SYSTEMS RESOURCES	AD0-FY15 Procure 2 Fujitsu ix500 ScanSnap units for D.C. Office of the Inspector General, Medicaid Fraud Control Unit	\$1,300.00	09/28/15	No		Federal(75%)/Local(25)	Received
FAITH MANAGEMENT CONSULTING	AD0-OIG*-FY2015 Procure Supplies and Equipment for DC Office of Inspector General	\$7,134.18	09/28/15	Yes		Local	Received
Total FY 2015		\$4,053,073.81					

Table 20: FY 2016 Contracts/Procurements/Leases/Grants

Contracting Party	Nature of Contract	Dollar Amount	Performance Period	Competitively Bid?	Contract Administrator	Funding Source	Status
CUSTOMER EXPRESSIONS CORP	FY16 AD0 - D.C. Office of the Inspector General (OIG) i-Sight CMS Cloud Install and 12 Mo-Maintenance & Support	\$71,240.00	9/28/2016 - 9/27/2017	Yes		Local	Received
ACCESS INTERPRETING, INC.	FY16 AD0 - Access Interpreting Service for D.C. Office of the Inspector General Employee	\$3,550.00	8/3/2016 - 9/01/2016	No		Local	Received
REED ELSEVIER INCORPORATED	FY16 AD0 - Lexis Advance® Subscription Amendment for State/Local Government (D.C. Office of the Inspector General)	\$26,622.00	9/27/2016 - 9/30/2017	No		Local	Received
FEDERAL LAW ENFORCEMENT	FY16 AD0 - Fund D.C. Inspector General Training Program (Class No. : DCIGTP 1601)	\$10,260.30	7/18/2016 - 7/29/2016	No		Local	Received
Cradle Systems, LLC	FY16 AD0 - Acquire Tableau Online - Cloud-based Digital Dashboard Solution for D.C. Office of the Inspector General	\$62,476.00	9/13/2016 - 9/14/2017	Yes		Local	Received

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Contracting Party	Nature of Contract	Dollar Amount	Performance Period	Competitively Bid?	Contract Administrator	Funding Source	Status
MVS INC	FY16 AD0 - FY17 Subscription to Adobe Acrobat Pro DC for D.C. Office of the Inspector General	\$4,592.88	9/26/2016 - 9/25/2017	No		Local	Received
Journal Technologies	FY16 AD0 - FY 2017 JustWare Maintenance & Support	\$17,708.00	9/23/2016 - 9/22/2017	No		Federal (75% & Local 25%)	Received
Semantic Research, Inc.	FY16 AD0 - Acquisition of Five (5) of Semantica Pro for D.C. Office of the Inspector General (RAFP)	\$22,500.00	9/23/2016 - 9/22/2017	No		Local	Received
ESVA INC	FY16 AD0 - Renew IBM Analyst2 Notebook for D.C. Office of the Inspector General (MFCU)	\$8,091.66	9/23/2016 - 9/22/2017	No		Federal (75% & Local 25%)	Received
CAPITAL SERVICES AND SUPPLIES	FY16 AD0 - Acquisition of PAPER,20#,97BR,MULTI,BRW	\$3,063.60	9/19/2016 - 9/19/2016	No		Local	Received
METROPOLITAN OFFICE PRODUCTS	AD0-FY2016 Procure Office Supplies & White Boards for the D.C. Office of Inspector General	\$11,487.04	9/16/2016 - 9/16/2016	Yes		Local	Received
LASER ART INC	AD0-FY2016 Procure TVs and Installation Service for the DC office of Inspector General	\$8,904.00	9/15/2016 - 9/15/2016	Yes		Local	Received
FAAC INCORPORATED	FY165 AD0 - MILO Range Advanced Mobile Laser Firearms and Force Options Simulator	\$32,322.00	9/12/2016 - 9/12/2016	No		Local	Received
LAWMEN SUPPLY COMPANY	AD0 FY16 Procure Law Enforcement Firearms and Magazines for D.C. Office of the Inspector General	\$6,302.00	9/12/2016 - 9/12/2016	No		Local	Received
FEDERAL LAW ENFORCEMENT	FY16 AD0 - Fund Federal Law Enforcement Training Center (FLETC) Use of Force Instructor Training Program (G_DCIS_UOFITP-601)	\$4,500.00	8/15/2016 - 8/26/2016	No		Local	Received
DATAWATCH SYSTEMS	AD0-FY2016 Installation of Security Cardkey Readers and Relocation of Control Panels	\$24,822.01	9/9/2016 - 9/30/2016	Yes		Local	Received
SEABERRY DESIGN AND COMMUNICATION	FY16 AD0 Procure Lobby Wall Signs for 2nd and 4th Floors (D.C. Office of the Inspector General)	\$9,500.00	9/9/2016 - 9/30/2016	Yes		Local	Received
NAT'L ASSOC. OF ATTORNEYS GENERALS	FY16 AD0 - National Association of Medicaid Fraud Control Units (NAMFCU) FY 2016 Dues	\$12,594.00	7/1/2015 - 6/30/2016	No		Federal (75% & Local 25%)	Received
SWANN CONSTRUCTION INC.	AD0-FY2016 Procure Evidence Lockers for the DC Office of Inspector General (OIG) Investigations Unit	\$44,720.00	8/31/2016 - 10/31/2016	Yes		Local	Received

OIG FY 2016 Performance Hearing Responses – Committee on Government Operations

Contracting Party	Nature of Contract	Dollar Amount	Performance Period	Competitively Bid?	Contract Administrator	Funding Source	Status
DATAWATCH SYSTEMS	AD0-FY2016 Procure Additional Card Readers and System Equipment for Doors for the Office of Inspector General	\$13,830.00	9/7/2016 - 9/30/2016	No	██████y	Local	Received
EMERGENCY 911 SECURITY	AD0-FY2016 Procure Additional Security Cameras to Augment the DC Office of Inspector General's (OIG) Current Security System	\$17,314.35	9/6/2016 - 9/30/2016	No	██████	Local	Received
GEORGE WASHINGTON UNIVERSITY	FY16 AD0 - Fund 19 Seats for GWU Center for Excellence in Public Leadership Emerging Leaders Workshop ; Fall 2016	\$33,345.00	9/20/2016 - 9/23/2016	No	██████	Local	Received
SWANN CONSTRUCTION INC.	AD0-FY2016 Procure and Install Tempered Glass Doors for the Office of Inspector General's 2nd & 4th Floors	\$51,890.00	9/6/2016 - 9/30/2016	No	██████	Local	Received
KPMG LLP	FY16 AD0 - Fund KPMG LLP Task Order Agreement #CW46439 for District Procurement Activities Risk Assessment. This PO was originally \$100,000; however, KPMG invoiced on \$40,695 for FY 2016	\$40,695.00	9/2/2016 - 5/31/2017	Yes	██████	Local	Received
Cradle Systems, LLC	FY16 AD0-IBM Blueworks Live Business Process Mapping Tool for D.C. Office of the Inspector General (Renewal)	\$15,894.00	9/2/2016 - 9/1/2017	No	██████	Local	Received
Wolters Kluwer Financial Services	FY16 AD0 - Payment for Wolters Kluwer TeamMate - 35 User Licenses FY 2016	\$21,095.00	9/1/2016 - 8/30/2017	No	██████	Local	Received
COMCAST CABLE COMMUNICATIONS	FY16 AD0 - Fund Cable Wiring in D.C. Office of the Inspector General	\$1,702.00	8/30/2016 - 9/30/2016	No	██████	Local	Received
EMERGENCY 911 SECURITY	AD0-FY2016 Cable Relocation for DC OIG Security Cameras	\$11,466.75	8/29/2016 - 9/30/2016	Yes	██████	Local	Received
PUBLIC PERFORMANCE MANAGEMENT	FY16 AD0 - IFB Doc267543 - Acquisition of Technology Productivity Tools for DC OIG MFCU	\$51,479.58	8/19/2016 - 9/29/2016	Yes	██████	Federal (75% & Local 25%)	Received
SWANN CONSTRUCTION INC.	AD0-FY2016 Installation of Bullet Resistant Panels in the DC Office of Inspector General's Weapons Room and Interview Area	\$72,850.00	8/3/2016 - 9/30/2016	Yes	██████	Local	Received
PREMIER OFFICE & MEDICAL SUPPLY	AD0-FY2016 Procure Cubicles for RAFF and Open Area of 5th Floor for DC Office of Inspector General	\$11,052.40	8/3/2016 - 9/30/2016	Yes	██████	Local	Received
David S. Bishop	FY16 AD0 - Develop Strategic Plan for D.C. Office of the Inspector General IT Unit	\$10,000.00	7/25/2016 - 9/30/2016	No	██████	Local	Received
SWANN CONSTRUCTION INC.	AD0-FY16 Installation of Glass Doors for the DC Office of Inspector General	\$22,882.00	7/13/2016 - 9/30/2016	Yes	██████	Local	Received

OIG FY 2016 Performance Hearing Responses – Committee on Government Operations

Contracting Party	Nature of Contract	Dollar Amount	Performance Period	Competitively Bid?	Contract Administrator	Funding Source	Status
NORTH CAPITOL PARTNERS	AD0-FY16 Procurement of Moving Services for the District of Columbia Office of Inspector General	\$13,366.11	6/9/2016 - 9/30/2016	Yes		Local	Received
AMERICAN UNIVERSITY	FY16 AD0 - Fund CIGIE AU Executive Leaders Program #23 for D.C. Office of the Inspector General Dep Assistant IG Investigations	\$6,500.00	6/3/2016 - 7/22/2016	No		Local	Received
CORPORATE SYSTEMS RESOURCES	FY16 AD0 - Fund Acquisition of 4 Lots of High Technology Systems for D.C. Office of the Inspector General	\$47,208.98	5/19/2016 - 9/30/2016	Yes		Local	Received
GARDINER KAMYA & ASSOCIATES	FY16 AD0-Fund Increase in Scope of Task Order Contract CW43390 - OTR Commercial Real Property Assessment Audit	\$49,550.00	5/18/2016 - 9/30/2016	Yes		Local	Received
LASER ART INC	FY16 AD0 - Acquisition of 20 Line Items of Toner Cartridges and Misc Items	\$5,668.65	5/18/2016 - 9/30/2016	Yes		Local	Received
Journal Technologies	FY16 AD0-Fund 4th Option Year and FY16 Report for D.C. Office of the Inspector General, Medicaid Fraud Control Unit	\$18,365.00	5/12/2016 - 9/30/2016	No		Federal (75% & Local 25%)	Received
SB & Company, LLC	FY16-AD0 Fund Interim Period for District of Columbia FY 2016 Financial Statement Audit (CAFR)	\$500,000.00	5/1/2016 - 9/30/2016	Yes		Local	Received
The Bucksell Group, LLC	FY16-AD0 - Fund Private Investigative Services	\$5,000.00	5/10/2016 - 9/30/2016	No		Local	Received
PRESIDENT AND FELLOWS OF HARVARD UNIVERSITY	FY16-AD0 Fund Acceptance to Harvard Kennedy School Executive Education-Senior Executives in State-Local Govt for Jaime Yarussi	\$12,400.00	6/6/2016 - 6/24/2016	No		Local	Received
Training Technologies, Inc.	FY16-AD0 Acquisition of 5 Server Tracker Server Licenses for D.C. Office of the Inspector General	\$12,830.75	6/1/2017 - 5/31/17	No		Local	Received
GARDINER KAMYA & ASSOCIATES	AD0-FY16 Audit of OTR Commercial Real Property Assessments	\$95,610.00	5/18/2016 - 8/31/2016	Yes		Local	Received
AMERICAN UNIVERSITY	FY16-AD0 CIGIE Experienced Leaders Program by American University, Class #24	\$6,500.00	8/5/2016 - 9/23/2016	No		Local	Received
SKY,LLC DBA/US OFFICE SOLUTION	FY16-AD0 Acquisition of 10 Line Items of Furniture for D.C. Office of the Inspector General MFCU	\$6,309.00	4/13/2016 - 5/4/2016	Yes		Federal (75% & Local 25%)	Received
VISION TECHNOLOGIES INC.	AD0-FY16 Meru Wireless Upgrade in accordance with attached Vision Technologies Inc. Quote of November 24, 2015	\$3,492.08	4/13/2016 - 5/5/2016	No		Local	Received

OIG FY 2016 Performance Hearing Responses – Committee on Government Operations

Contracting Party	Nature of Contract	Dollar Amount	Performance Period	Competitively Bid?	Contract Administrator	Funding Source	Status
MVS INC	AD0 FY16 - Fund Acquisition of two 55 in NEC Displays for D.C. Office of the Inspector General	\$9,994.98	2/17/2016 - 6/6/2016	Yes	██████	Local	Received
MVS INC	AD0 FY16 - Fund Acquisition of two 55 in NEC Displays and one Samsung 19 Inch Class LED 720P-HDTV	\$10,237.76	2/17/2016 - 2/29/2016	Yes	██████	Local	Received
LASER ART INC	AD0-OIG-FY 2016 Procure Services to Install Outlets, Blocking, Brackets and Monitors for the DC Office of Inspector General	\$13,764.00	2/12/2016 - 2/29/2016	No	██████	Local	Received
GRADUATE SCHOOL USA	AD0-FY16 Training for 20 D.C. Office of the Inspector General Employees in Using Metrics to Assess Performance	\$10,942.00	12/16/2016 - 12/18/2016	No	██████	Local	Received
ASSOCIATION OF LOCAL GOVERNMENT AUDITORS	AD0-FY16 ALGA Travel-Lodging Expenses for FY 15 DC Office of the Inspector General Audit Division Peer Review	\$5,500.91	10/13/2016 - 10/16/2016	No	██████	Local	Received
SB & Company, LLC	AD0-FY16 Funding of FY 2015 CAFR financial audit from October 1, 2015 through April 30, 2016	\$1,238,679.00	10/1/2015 - 4/30/2016	Yes	██████	Local	Received
ANALYTICA, LLC	AD0-FY16 Acquisition of 134 GOV ENDPT PROTEC 12.1 P U V/U LIC A ESS (Symantec Endpoint Protection) Licenses	\$13,142.00	11/12/2015 - 11/11/2016	No	██████	Local	Received
SENODA, INC.	AD0-FY16 Printing & Production of D.C. Office of the Inspector General FY15 Annual Activities Report	\$8,013.00	11/9/2015 - 2/24/2016	No	██████	Local	Received
Total FY 2016		\$2,853,825.79					

Table 21: FY 2017 Contracts/Procurements/Leases/Grants

Contracting Party	Nature of Contract	Dollar Amount	Performance Period	Competitively Bid?	Contract Administrator	Funding Source	Status
SB & Company, LLC	FY17 AD0 - Exercise FY 2016 District Financial Statement Audit (CAFR) Mod M005 of CW36461	\$779,479.00	2/1/2017 - 4/30/2017	Yes		Local	Ordered
LAWMEN SUPPLY COMPANY	FY17 AD0 -SPEER GOLD DOT HIGH-PERFORMANCE AMMUNITION 40 Cal S & W for D.C. Office of the Inspector General	\$6,700.00	1/4/2017 - 2/8/2017	No		Local	Ordered
NAT'L ASSOC. OF ATTORNEYS GENERAL	FY 17 AD0 - Pay National Assoc. of Medicaid Fraud Control Unit (NAMFCU) FY 17 Annual Dues for D.C. OIG MFCU	\$13,853.00	6/3/2016 - 7/3/2017	No		Federal (75% & Local 25%)	Received
SB & Company, LLC	FY17 AD0 - Fund FY 2016 CAFR 2 Month Fraction of 1st Option Year 12/31/2016 - 01/31/2017	\$49,000.00	12/1/2016 - 1/31/2017	Yes		Local	Receiving
THE COLES GROUP, LLC	FY16 AD0 - Acquisition of Toner Cartridges and Printers for D.C Office of the Inspector General (MFCU)	\$10,160.00	12/15/2016 - 1/31/2017	Yes		Local	Ordered
ANALYTICA, LLC	FY 17 AD0 - Renewal DHHS-Symantec and Veritas (Symantec Gov EndPt Protect)	\$8,192.00	11/28/2016 - 11/27/2017	No		Local	Received
Semantic Research, Inc.	FY17 AD0 - Semantic Pro Deployment Professional Services for D.C. Office of the Inspector General	\$25,500.00	11/10/2016 - 9/30/2017	No		Local	Receiving
The Bucksell Group, LLC	FY16-AD0 - Fund Private Investigative Services	\$5,000.00	11/9/2016 - 2/1/2017	No		Local	Received
Datapipe, Inc.	FY17 AD0 - Cloud Service Hosting for D.C. Office of the Inspector General i-Sight CWS Maint & Support	\$246,284.00	10/31/2016	Yes		Local	Receiving
Wolters Kluwer Financial Services	FY17 AD0 - Wolters Kluwer TeamMate - 35 User Licenses FY 2017-Annual Renewal	\$22,145.00	10/21/2016 - 9/30/2017	No		Local	Received
PRESIDENT AND FELLOWS OF	FY17 AD0-Fund Harvard Kennedy School Creative Collaborative Solutions Program for Slemo Warigon, D.C. Office of the Inspector Ge	\$7,900.00	10/15/2016 - 10/21/2016	No		Local	Received
SB & Company, LLC	FY17 AD0 - Task Order Contract CW36461, Mod No. M003 Exercise 2 Mo Fraction of 1st Option Year from 10-01-16 thru 11-30-16	\$450,000.00	10/1/2016 - 11/30/2016	Yes		Local	Received
KPMG LLP	FY17 AD0 - Fund KPMG LLP Task Order Agreement #CW46439 for District Procurement Activities Risk Assessment	\$376,558.00	10/1/2016 - 5/31/2017			Local	Receiving

Contracting Party	Nature of Contract	Dollar Amount	Performance Period	Competitively Bid?	Contract Administrator	Funding Source	Status
PURPLE COMMUNICATIONS INC	FY17 AD0 - Twelve Month Video Recording Interpreting (VRI) Services for D.C. Office of the Inspector General	\$10,000.00	10/1/2016 - 9/30/2017			Local	Receiving
<i>Total FY 2016</i>		<i>\$2,010,771.00</i>					

Questions continued on the next page.

20. Please provide a copy of the Risk and Controls Survey, including a brief explanation of this survey and a timeline of the process conducted. What is the plan (including a timeline) for the 2017 Risk and Controls Survey? Is any legislation necessary to continue to implement this survey? Did any agencies not respond by the 2016 deadline?

OIG Response: The OIG did not conduct its Risk and Controls Survey in FY 2016 since the OIG planned to conduct the procurement risk assessment project in accordance with D.C. Code § 1-301.115a(a)(3)(E) (Repl. 2016). The OIG recommends no legislative changes to aid in the execution of this survey.

In FY 2015, the OIG sent the Risk and Controls Survey to District Agency Directors and Risk Managers to assist in identifying risk areas. Survey questions assessed an individual agency's acquisition planning, contract administration, oversight, and enterprise systems. Individual responses were then aggregated to assist the OIG in identifying systemic issues. These issues were then considered by OIG leadership in developing the *Fiscal Year 2016 Audit and Inspection Plan*. While the OIG did not get a 100-percent response rate for the FY 2015 Risk and Control Survey, the response rate was statistically significant enough to warrant the OIG to include this data as a variable in its overall risk assessment methodology.

During FY 2017, the OIG will conduct two surveys.

The first survey is part of the OIG's Procurement Risk Assessment discussed above. In accordance with D.C. Code § 1-301.115a(a)(3)(E) (Repl. 2016), the OIG is required to annually conduct an operational audit of all procurement activities of the District of Columbia. This engagement will identify high-risk systemic issues, practices, or incongruent rules and regulations with the District's procurement system. On February 1, 2017, District officials were notified that the OIG will conduct this survey to help identify procurement risk areas across the District. The OIG will begin this survey in March 2017. There is no need for legislation to implement this survey.

The second survey is part of the development of the OIG's annual audit and inspection plan. In accordance with D.C. Code § 1-301.115a(a)(3)(I) (Repl. 2016), the OIG, in consultation with both the Mayor and the Council, is required to prepare an annual plan. Recipients are required to answer three open-ended questions that require respondents to identify agencies, programs, and capital projects that may benefit from OIG inspection and evaluation and/or audit oversight efforts. There is no need for legislation to implement this survey.

21. Beginning in FY 2015, the OIG merged the administration of its hotline program. Has this resulted in a change in the number of calls received by the Office? In the number of responses made by the Office?

OIG Response: The OIG is continuing to merge all administrative aspects into a single hotline program. All complaints made to the OIG are routed through the OIG's Hotline

managed by RAFP. The MFCU maintains a separate conduit where it receives contacts from various stakeholders, which requires MFCU staff to conduct limited investigative, legal review, or action.

The ongoing assimilation of the two hotlines has not resulted in an increase of the number of calls received by, or responses made by, the OIG. As discussed, the assimilation results in the consolidation of various data points into one system and a more efficient application of the OIG's resources.

As the OIG improves its outreach within the District, the OIG anticipates the hotline program will experience an increase in the number of contacts received.

22. According to the OIG's FY 2015 Report on Activities, the OIG developed a five-year Strategic Plan to guide its efforts toward attaining its vision and mission. What actions were taken in FY 2016 and FY 2017, to date in accordance with the Strategic Plan? What does the OIG intend to accomplish throughout FY 2017 in accordance with the Strategic Plan?

OIG Response: As discussed in the response to Questions #3 and #7c above, the OIG developed a 5-year strategic plan that identifies five goals designed to help the OIG meet its vision of becoming "a world class Office of Inspector General that is customer-focused, and sets the standard for oversight excellence!"

During FY 2016, the leadership from the OIG conducted an off-site to review the strategic plan developed in FY 2015. During this off-site, leadership revised and refined the strategic plan to include the following strategic goals and objectives:

Goal 1: Proactively identify and reduce vulnerabilities that could lead to corruption, fraud, waste, abuse, and mismanagement.

Goal 1 Objectives:

1. Target at risk agencies and programs; and
2. Conduct Investigations, audits, and inspections related to proactively identified leads.

Goal 2: Integrate plans, processes, and resources to support organizational accountability.

Goal 2 Objectives:

1. Establish quality improvement of Agency Operations;
2. Ensure OIG's budget and resources are used efficiently and effectively to support the agency's mission; and
3. Establish process improvements with the agency.

Goal 3: Deliver actionable, relevant and timely products and services to customers and stakeholder that promote economic, efficient and effective government operations, deter misconduct and hold wrongdoers accountable.

Goal 3 Objectives:

1. Improve OIG Awareness and Accessibility;
2. Partner with Agencies to affect change; and
3. Improve the effectiveness of OIG Products.

Goal 4: Implement an information and knowledge management system that supports the OIG mission.

Goal 4 Objectives:

1. Establish IT Governance Committee to provide guidance and set IT priorities in alignment with OIG's strategic goals and mission;
2. Develop information strategy to achieve the efficient, cost-effective, and secure delivery of information resources for decision making;
3. Develop business continuity plan; and
4. Implement information management systems and analytical tools to support a more data-based decision-making process.

Goal 5: Recruit, develop and retain a highly qualified and diverse workforce.

Goal 5 Objectives:

1. Assess current staffing to ensure it meets the OIG Mission and Vision;
2. Develop recruiting strategy to attract the best talent;
3. Develop and implement a framework for career development; and
4. Foster an internal OIG culture that drives high performance.

Actions taken in support of these above strategic goals, objectives, and the corresponding initiatives are tracked by OIG leadership and discussed during monthly meetings.

In addition to the above strategic goals and objectives, OIG leadership identified core values and guiding principles.

Core values help the OIG to: support our vision, shape our culture, and reflect what our agency values; support our enduring beliefs or ideals about what is and what is not good or appropriate in our actions; support our behavior, serve as broad guidelines, and build the frameworks of our professional lives; and influence how we make choices, what choices we make, and how we are to be judged on our actions by the stakeholders.

The following 10 core values embody the OIG:

- Excellence – We are committed to achieving the highest quality in everything we do.
- Integrity – We act ethically and strive to maintain the highest level of trust, honesty, impartiality, and credibility.

- Respect – We treat each other with consideration, professionalism, and dignity to foster fair, open, honest, and collaborative working relationships.
- Creativity – We encourage new ideas and thinking outside of the box.
- Ownership – We are owners, treating the OIG’s assets as our own and behaving with the OIG’s long-term success in mind.
- Transparency – We promote open communication through information sharing, accountability, accurate reporting, and easily accessible policies and processes.
- Empowerment – We are empowered to take initiative, do what is right, and acquire the knowledge and information needed to achieve our mission.
- Courage – We act responsibly by telling our stakeholders what they need to know and not just what they wish to hear.
- Passion – We are passionate about delivering superior value to our stakeholders.
- Leadership – We provide inspiration, guidance, and direction to promote a culture that values, respects, and engages every member of our team.

Guiding principles are more explicit than core values, and are meant to govern our actions, and help the OIG to establish our norms, rules, or ethics that present what is desirable (values) and provide guidelines that drive our behavior or mindset when executing the strategic and operational plans that lead to organizational success.

The following 12 guiding principles govern the OIG’s actions:

- Workforce Engagement – We seek to fully engage our employees at all levels so that we can make the best use of their abilities for the benefit of our agency and the District of Columbia government.
- Stakeholder Engagement – We seek to understand current and future needs of our stakeholders. We strive to meet or exceed stakeholder expectations.
- Process-oriented – We achieve desired results more efficiently when we manage activities and related resources as a process.
- Innovation – We innovate in everything from processes to products. We continually seek new ways to accomplish our work, achieve excellence, communicate with stakeholders, and meet changes with enthusiasm.
- Accountability – We operate as independent, objective, transparent, and trusted brokers serving our stakeholders with high-quality products and services.
- Professionalism – We adhere to the professional standards of our disciplines, demonstrate high standards of professional conduct, and strive to produce objective, relevant, and high-quality work products.
- Objectivity and Independence – We conduct our work based on supportable facts without biases or undue influences.
- Communication – We value honest and transparent communications among our people. We promote effective, accurate, and timely communications with

OIG leadership, staff, and other stakeholders. We use clearly-stated and standardized language that minimizes misunderstanding.

- Collaboration – We are committed to fostering a friendly, respectful, and diverse work environment that affords each team member the opportunity to contribute, learn, develop, and grow. We believe in constructive relationships and effective communications, working together to achieve our mission and goals.
- Diversity – We respect individual views and backgrounds to maintain a collaborative, productive work environment and enhance the quality of our work.
- Measurement – We track and measure the OIG’s critical activities, evaluate results, and determine what is working and what is not working. We think critically to continually improve our project management strategies by using data and analysis, sharing findings with colleagues, and openly communicating about results.
- Continuous Improvement – We are a learning organization. We measure, monitor, analyze, and improve productivity, processes, tasks, and ourselves to satisfy our customers and stakeholders. We work with enthusiasm and intellect, and are driven to surpass what has already been achieved.

During FY 2017, the OIG has identified 14 specific initiatives designed to help attain goals identified in the OIG’s strategic plan. With these initiatives are key performance indicators and workload measures that help the OIG to track the effects of these initiatives. The public can see the results in the OIG’s FY 2017 Performance Accountability Report, which will be published in December 2017. These initiatives are also identified in the OIG’s response to Question #3.

23. In FY 2016, how many Investigative Unit cases did the U.S. Attorneys’ Office (USAO) refer to the D.C. Office of the Attorney General for civil enforcement? How many of these cases resulted in litigation? What steps in FY 2016 and FY 2017, to date has the OIG taken to improve the acceptance rate by the USAO of cases referred by your office?

OIG Response: In FY 2016, the Investigations Unit referred 16 cases to the USAO for prosecution, of which 5 were accepted for prosecution. Of the 11 cases declined by the USAO, IU referred 4 cases to the Office of the Attorney General for the District of Columbia (OAG) for civil enforcement.

In FY 2016, the MFCU referred one case to the USAO for prosecution, which was accepted for prosecution.

As a matter of procedure, in certain instances, cases presented by the OIG to the USAO are not intended to be accepted for prosecution. In some cases, the misconduct does not meet the USAO’s threshold and in order to pursue either civil or administrative remedies, the OIG must first have a declination from the USAO.

24. Please comment on the realignment of the Audit Division into three branches. What has been the workload of each branch? Were any further adjustments made, or are currently in the process of being made, in FY 2017? Please explain your answer. Additionally, what, if anything needs additional adjustment? Please explain.

OIG Response: In FY 2016, the Audit Unit's three branches oversaw a portfolio of agencies encompassing the District's primary operational functions: Contracts and Procurements; Programs; Financial Management; and Information Technology. Each branch oversees about four concurrent audit projects. While conducting the audits and reporting on audit results, team members are also working to develop audit plans for future engagements. Depending on workload demands, team members can be reassigned among the branches. The OIG anticipates no adjustments during FY 2017.

25. With respect to the FY 2017 Audit and Inspection Plan, please comment on the emerging requirements, and whether the amount of excess capacity for requests as the fiscal year has proceeded was accurate. Thus far, have emerging requests exceeded available capacity? If so, what adjustments were made? What adjustments are being contemplated for FY 2017?

OIG Response: During FY 2017, to date, the OIG has identified no emerging requirements. The capacity of OIG Audit and Inspection and Evaluation team members have been allocated to projects identified in the *FY 2017 Audit and Inspection Plan*.

26. In FY 2016, how did the IG strengthen the OIG's relationship with the Council of Inspector Generals for Integrity and Efficiency (CIGIE)?

OIG Response: During FY 2016, the OIG has continued to strengthen its relationship with the Council of the Inspectors General on Integrity and Efficiency (CIGIE). The OIG must adhere to quality standards promulgated by CIGIE.² Because of the IG's initiative, in November 2015, the CIGIE Chairman offered the IG a standing invitation to CIGIE meetings and activities, including regular monthly meetings; the Chairman also offered enhanced access to CIGIE training opportunities for OIG staff.

During FY 2016, the Inspector General attended monthly meetings with other inspectors general during CIGIE's monthly meetings. From March 29-30, 2016, leaders from the OIG attended the CIGIE Investigations Committee and Assistant Inspector General for Investigations (AIGI) Annual Training Conference at the Federal Law Enforcement Training Center (FLETC) in Glynco, GA. The conference discussed issues related to 21st Century Policing and new initiatives underway regarding criminal and administrative investigation practices within offices of inspectors general.

The OIG has provided staff to instruct at several CIGIE training courses, such as Inspection and Evaluation Fundamentals and Undercover Operations. In return, during June 2016, CIGIE provided training to OIG Special Agents during a 2-week criminal investigator-training course.

² D.C. Code § 1-301.115a(b)(1) (Repl. 2016).

During FY 2017, the OIG will continue to seek additional opportunities to enhance its relationship with CIGIE.

27. Please comment on any updates or status changes to the list of Ongoing Projects as noted in the FY 2017 Audit and Inspection Plan.

OIG Response: The following projects were identified as ongoing in the OIG's *FY 2017 Audit and Inspection Plan*.³

Audit Projects:

- *Systems Review of the Child Welfare Information System (13-1-22MA)*. **Status: OIG is finalizing report for issuance.**
- *Re-audit of Department of Public Works (DPW) Inventory, Usage, and Maintenance of Vehicles (14-1-25KT)*. **Status: OIG is finalizing report for issuance.**
- *Re-audit of the Office of Risk Management's (ORM) Disability Compensation Program (14-1-27RK)*. **Status: Report issued on October 17, 2016.**
- *Personal Care Aide Services (14-2-21HT)*. **Status: Ongoing.**
- *D.C. Taxicab Commission (15-1-01TC)*. **Status: Report issued on November 21, 2016.**
- *Department of Human Services Permanent Supportive Housing Program (15-1-02JA)*. **Status: Report issued on November 21, 2016.**
- *D.C. Public Schools (DCPS) Food Service Management Contracts (15-2-20GA)*. **Status: Report issued on October 4, 2016.**
- *The Commercial Real Property Assessment Process (16-1-14AT)*. **Status: Draft report issued to agency on December 11, 2016. OIG is finalizing report for issuance.**
- *Special Education Attorney Certifications (16-1-10AT)*. **Status: Ongoing.**
- *District Information Technology Systems – Cyber Security (16-1-16TO)*. **Status: Ongoing.**
- *Continuity of Operations Planning (16-1-10BN)*. **Status: Ongoing.**
- *District Leased Space Portfolio (16-1-12AM)*. **Status: Ongoing.**

Inspection and Evaluation Projects:

- *Inspection of Facility Conditions at Metropolitan Police Department's (MPD) District Stations and Substations*. **Status: Report issued on August 30, 2016.**
- *Special Evaluation of the University of the District of Columbia's (UDC) Contracting and Procurement Processes*. **Status: Draft report issued to agency on December 22, 2016. OIG is finalizing report for issuance.**
- *Special Evaluation of DCPS Emergency Response Planning and Procedures*. **Status: Report issued on August 29, 2016.**

³ The OIG's FY 2017 Audit and Inspection Plan is available from <http://www.oig.dc.gov>.