

DISTRICT OF COLUMBIA OFFICE OF THE INSPECTOR GENERAL

February 2018



FISCAL YEAR (FY) 2018-2019 PERFORMANCE OVERSIGHT HEARING RESPONSES

Before the Committee on Government Operations
February 28, 2019, at 10:00 a.m.



Guiding Principles

*Workforce Engagement * Stakeholders Engagement * Process-oriented * Innovation
* Accountability * Professionalism * Objectivity and Independence * Communication * Collaboration
* Diversity * Measurement * Continuous Improvement*

Mission

Our mission is to independently audit, inspect, and investigate matters pertaining to the District of Columbia government in order to:

- prevent and detect corruption, mismanagement, waste, fraud, and abuse;
- promote economy, efficiency, effectiveness, and accountability;
- inform stakeholders about issues relating to District programs and operations; and
- recommend and track the implementation of corrective actions.

Vision

Our vision is to be a world-class Office of the Inspector General that is customer-focused, and sets the standard for oversight excellence!

Core Values

Excellence * Integrity * Respect * Creativity * Ownership
* Transparency * Empowerment * Courage * Passion
* Leadership



GOVERNMENT OF THE DISTRICT OF COLUMBIA

Office of the Inspector General



Inspector General

VIA HARDCOPY AND ELECTRONIC MAIL

February 22, 2019

Brandon T. Todd
Ward 4 Councilmember
Chairperson, Committee on Government
Operations
1350 Pennsylvania Avenue, N.W., Suite 105
Washington, D.C. 20004

Dear Chairperson Todd:

Pursuant to your request dated January 24, 2019, I am providing responses to the 59 questions asked in preparation for the public oversight hearing on the Office of the Inspector General's FY 2018 and FY 2019 performance, to date.

In the event you have any additional questions, please feel free to call me at 202-727-2540.

Sincerely,

A handwritten signature in blue ink, appearing to read 'D. Lucas', is written over the printed name.

Daniel W. Lucas
Inspector General

DWL/mnw

Enclosure

cc: Manny Geraldo, Esq., Senior Legislative Counsel, Committee on Government Operations

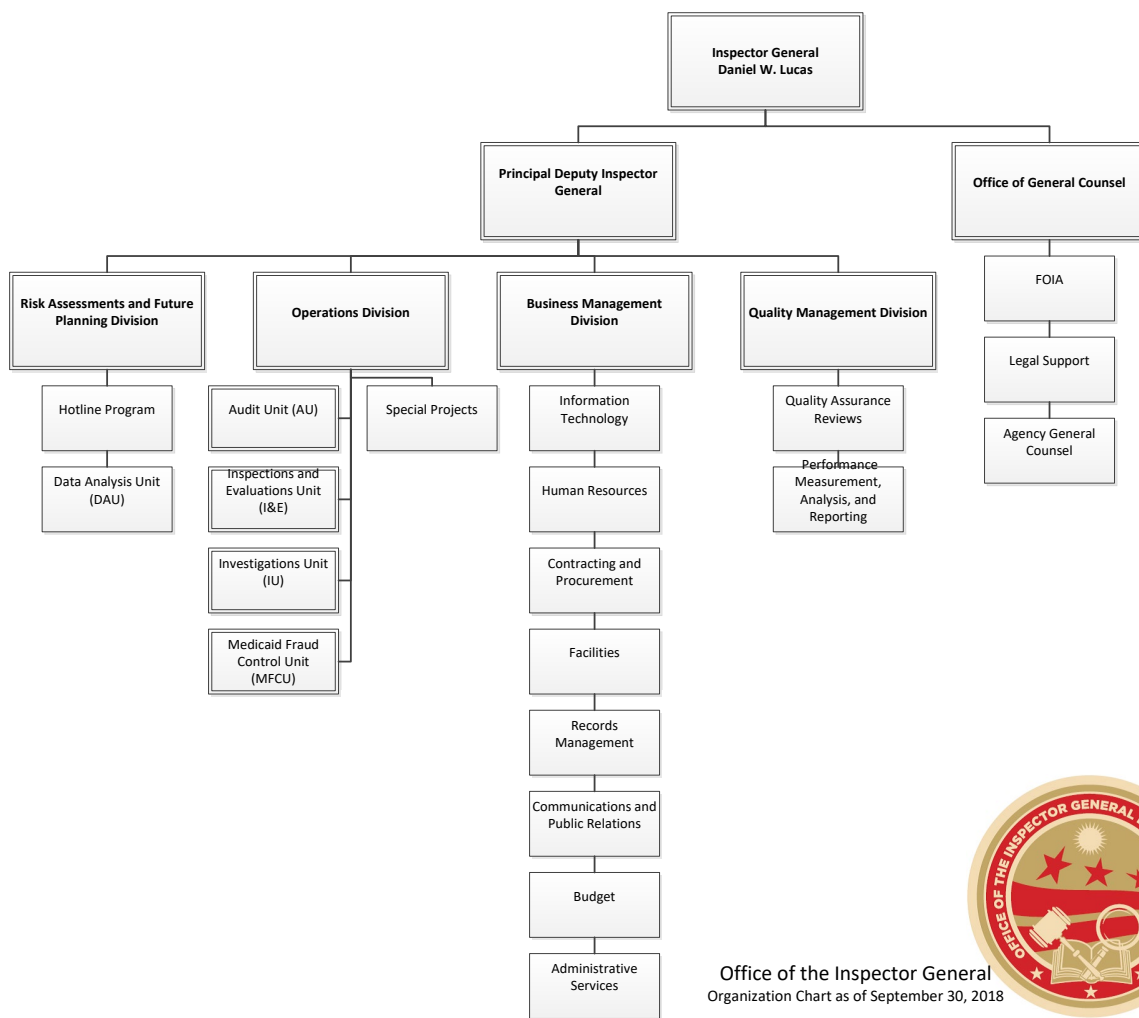
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I. AGENCY ORGANIZATION

1. Please provide a current organizational chart for the agency, including the number of vacant, frozen, and filled positions in each division or subdivision.
 - a. Include the names and titles of all senior personnel,
 - b. Please provide an explanation of the roles and responsibilities of each division and subdivision.
 - c. Please provide a narrative explanation of any changes to the organizational chart made during FY18 or FY19, to date.
 - d. Note on the chart the date that the information was collected.

OIG Response: Please see Figure 1 below for the OIG’s organizational chart as of February 2019. A listing of current vacancies is included in the Schedule A provided in the following response. In response to question 1.c., there have been no changes to the OIG’s organizational chart. Immediately following Figure 1 is a description of the OIG’s Divisions, Units, and Programs.

Figure 1: OIG Organization Chart



Office of the Inspector General
Organization Chart as of September 30, 2018



2. Please attach in Excel a current Schedule A for the agency, as of February 1, 2019, with the following information for each position:
 - a. Employee’s name, if the position is filled;
 - b. Program and activity name and code as appears in the budget;
 - c. Office name, if different from activity code;

- d. Title/position name;
- e. Position number;
- f. Grade, series, and step;
- g. Salary and fringe benefits (please separate salary and fringe and include the FY18 fringe benefit rate);
- h. Job status (e.g. continuing/term/temporary);
- i. Type of appointment (e.g. career, MSS);
- j. Full-time part-time, or WAE;
- k. Seasonal or year-round;
- l. Start date in the position (i.e. effective date);
- m. Start date with the agency;
- n. Previous office (program) and position (job title) with the agency, if relevant
- o. Position status (A-active, R-frozen, P-proposed, etc);
- p. Date of vacancy or freeze, if relevant; and
- q. Whether the position must be filled to comply with federal or local law (and if so, please specify what federal or local law applies).

OIG Response: Please see Table 1 on the following pages.

Table 1: OIG Schedule A

Position Number	Title	Name	Emplid	Hire Date	Vac Stat	Grade	Step	Salary	FY19 Fringe 21.6%	PCA	FTE x Dist %	F/P Time	Reg/Temp /Term	WAE	Sal Plan
00009442	Human Resources Specialist		00068551	1/7/2019	F	12	5	\$ 83,078.00	\$ 17,944.85	10100	1	F	Reg	N	DS0087
			PERSONNEL												
			1												
00003484	Supvy Contract Specialist		00067852	2/20/2018	F	14	0	\$126,932.44	\$ 27,417.41	10200	1	F	Reg	N	DS0086
00005553	Contract Compliance Spec.		00005618	8/16/1999	F	13	9	\$106,981.00	\$ 23,107.90	10200	1	F	Reg	N	DS0087
			TOTAL												
			2												
			AND PROCUREMENT												
			2												
00011273	Facilities Services Specialist		00018161	7/19/1999	F	13	8	\$104,252.00	\$ 22,518.43	10300	1	F	Reg	N	DS0087
			MANAGEMENT												
			1												
00000596	SUPV INFO TECH SPEC		00026712	4/26/1998	F	15	0	\$129,461.45	\$ 27,963.67	10400	1	F	Reg	N	DS0086
00005367	ADMINISTRATIVE ASSISTANT		00005431	7/2/2001	F	8	10	\$ 57,992.00	\$ 12,526.27	10400	1	F	Reg	N	DS0087
00026538	INFO TECH SPEC				V	13	1	\$ 85,149.00	\$ 18,392.18	10400	1	F	Reg	N	DS0087
00027870	IT Specialist				V	12	0	\$ 73,906.00	\$ 15,963.70	10400	1	F	Reg	N	DS0087
			TECHNOLOGY												
			4												
00008256	DEPUTY GEN COUNSEL		00014422	9/20/2004	F	9	0	\$138,644.72	\$ 29,947.26	10600	1	F	Reg	N	XS0001
00008991	Program Analyst		00009094	4/13/1998	F	13	7	\$101,523.00	\$ 21,928.97	10600	1	F	Reg	N	DS0087
00010756	Attorney-Advisor		00010857	12/3/2001	F	8	0	\$110,376.04	\$ 23,841.22	10600	1	F	Reg	N	XS0001
00017349	GEN COUNSEL		00017477	8/30/1999	F	10	0	\$176,386.43	\$ 38,099.47	10600	1	F	Reg	N	XS0001
00033249	Attorney-Advisor				V	8	0	\$121,793.00	\$ 26,307.29	10600	1	F	Reg	N	XS0001
			LEGAL												
			5												
00003996	RECORDS MGMT SUPV		00004036	8/12/2002	F	12	0	\$ 89,449.87	\$ 19,321.17	10850	1	F	Reg	N	DS0086
00014270	Communications Specialist				V	13	0	\$ 85,149.00	\$ 18,392.18	10850	1	F	Reg	N	DS0087
00023598	Executive Assistant		00104536	8/6/2018	F	12	6	\$ 85,371.00	\$ 18,440.14	10850	1	F	Reg	N	DS0087
00025956	RECORDS MGMT SPEC		00026116	10/15/1996	F	9	10	\$ 63,835.00	\$ 13,788.36	10850	1	F	Reg	N	DS0087
00083545	Human Resources Specialist				V	14	0	\$100,639.00	\$ 21,738.02	10850	1	F	Reg	N	DS0087
			OTHER SERVICE												
			5												
00005332	Supervisory Auditor		00064980	5/23/2011	F	14	0	\$126,932.44	\$ 27,417.41	20100	1	F	Reg	N	DS0086
00004195	AUDITOR		00073070	7/15/2013	F	13	7	\$101,523.00	\$ 21,928.97	20100	1	F	Reg	N	DS0087
00005485	Assistant Inspector General of		00093633	10/3/2016	F	16	0	\$157,933.74	\$ 34,113.69	20100	1	F	Reg	N	DS0086
00008478	Management and Program Analyst		00105239	9/4/2018	F	9	9	\$ 62,250.00	\$ 13,446.00	20100	1	F	Reg	N	DS0087
00009718	Management and Program Analyst		00095469	12/12/2016	F	11	1	\$ 59,727.00	\$ 12,901.03	20100	1	F	Reg	N	DS0087
00010500	Auditor		00096877	3/20/2017	F	12	5	\$ 83,078.00	\$ 17,944.85	20100	1	F	Reg	N	DS0087
00012930	AUDITOR		00028461	2/22/2005	F	14	7	\$119,977.00	\$ 25,915.03	20100	1	F	Reg	N	DS0087
00013141	AUDITOR		00030792	10/17/2005	F	13	8	\$104,252.00	\$ 22,518.43	20100	1	F	Reg	N	DS0087
00013417	Management and Program Analyst		00044101	3/2/2009	F	15	0	\$138,134.72	\$ 29,837.10	20100	1	F	Reg	N	DS0086
00013599	AUDITOR		00022773	4/22/2002	F	14	8	\$123,200.00	\$ 26,611.20	20100	1	F	Reg	N	DS0087
00015790	Management & Program Analyst		00063937	10/25/2010	F	13	3	\$ 90,607.00	\$ 19,571.11	20100	1	F	Reg	N	DS0087
00016866	Staff Assistant		00016989	7/9/1996	F	11	0	\$104,881.00	\$ 22,654.30	20100	1	F	Reg	N	DS0087
00019242	Management & Program Analyst		00043631	1/5/2009	F	13	4	\$ 93,336.00	\$ 20,160.58	20100	1	F	Reg	N	DS0087
00023036	AUDITOR		00029365	6/13/2005	F	14	6	\$116,754.00	\$ 25,218.86	20100	1	F	Reg	N	DS0087
00025841	SUPV AUDITOR		00023614	5/21/2011	F	14	0	\$126,932.44	\$ 27,417.41	20100	1	F	Reg	N	DS0086
00027370	AUDITOR		00068183	4/9/2012	F	9	6	\$ 57,495.00	\$ 12,418.92	20100	1	F	Reg	N	DS0087
00033921	Auditor		00093368	9/19/2016	F	12	1	\$ 73,906.00	\$ 15,963.70	20100	1	F	Reg	N	DS0087
00033926	Management and Program Analyst		00071477	1/28/2013	F	12	2	\$ 76,199.00	\$ 16,458.98	20100	1	F	Reg	N	DS0087
00034880	Writer-Editor		00092927	8/22/2016	F	12	3	\$ 78,492.00	\$ 16,954.27	20100	1	F	Reg	N	DS0087
00045252	SUPV AUDITOR		00064540	1/31/2011	F	14	0	\$126,932.44	\$ 27,417.41	20100	1	F	Reg	N	DS0086
00045270	Management and Program Analyst		00105429	9/17/2018	F	9	10	\$ 63,835.00	\$ 13,788.36	20100	1	F	Reg	N	DS0087
00045291	Auditor		00093638	10/3/2016	F	12	1	\$ 73,906.00	\$ 15,963.70	20100	1	F	Reg	N	DS0087
			Total for 2010 - AUDIT												
			22												

Office of the Inspector General ■ FY 18-19 Performance Hearing Responses

Position Number	Title	Name	Emplid	Hire Date	Vac Stat	Grade	Step	Salary	FY19 Fringe 21.6%	PCA	FTE x Dist %	F/P Time	Reg/Term /Term	WAE	Sal Plan	
00009314	MANAGEMENT ANALYST		00073064	7/15/2013	F	12	7	\$ 87,664.00	\$ 18,935.42	20300	1	F	Reg	N	DS0087	
00010648	MGMT ANALYST		00067264	11/21/2011	F	13	5	\$ 96,065.00	\$ 20,750.04	20300	1	F	Reg	N	DS0087	
00013074	DEPUTY ASST INSPECTOR		00095272	11/28/2016	F	15	0	\$138,134.72	\$ 29,837.10	20300	1	F	Reg	N	DS0086	
00019292	MGMT ANALYST		00062270	7/19/2010	F	13	6	\$ 74,095.50	\$ 16,004.63	20300	1	F	Reg	N	DS0087	
00019728	ASST IG INSPECTOR/EVALUATION		00001405	3/6/2006	F	10	0	\$165,564.05	\$ 35,761.83	20300	1	F	Reg	N	XS0001	
00021045	Management and Program Analysis				V	11	0	\$ 59,727.00	\$ 12,901.03	20300	1	F	Reg	N	DS0087	
00027934	Management and Program Analysis		00097315	5/1/2017	F	12	2	\$ 76,199.00	\$ 16,458.98	20300	1	F	Reg	N	DS0087	
00027935	Management and Program Analysis				V	11	0	\$ 59,727.00	\$ 12,901.03	20300	1	F	Reg	N	DS0087	
00027937	MANAGEMENT ANALYST		00096383	2/6/2017	F	12	2	\$ 76,199.00	\$ 16,458.98	20300	1	F	Reg	N	DS0087	
00027940	SUPVY MANAGEMENT ANALYST				V	14	0	\$125,642.00	\$ 27,138.67	20300	1	F	Reg	N	DS0086	
Total			ANDEVALUATIONS									10				
00002143	Deputy Inspector General		00084718	1/26/2015	F	10	0	\$178,819.12	\$ 38,624.93	30010	1	F	Reg	N	XS0001	
00015872	Deputy Inspector General for B		00085347	3/9/2015	F	16	0	\$166,667.82	\$ 36,000.25	30010	1	F	Reg	N	DS0086	
00016482	Special Projects Coordinator		00102731	4/17/2018	F	12	9	\$ 92,250.00	\$ 19,926.00	30010	1	F	Reg	N	DS0087	
00027927	INSPECTOR GEN		00084118	11/16/2014	F	E5	0	\$211,773.34	\$ 45,743.04	30010	1	F	Term	N	DX0000	
00027942	Deputy Inspector General for O		00084549	1/12/2015	F	16	0	\$170,303.61	\$ 36,785.58	30010	1	F	Reg	N	DS0086	
Total			EXECUTIVE									5				
00000381	CRIMINAL INVEST				V	13	0	\$ 85,149.00	\$ 18,392.18	30100	1	F	Reg	N	DS0087	
00001460	CRIMINAL INVEST		00105644	10/1/2018	F	13	8	\$104,252.00	\$ 22,518.43	30100	1	F	Reg	N	DS0087	
00001609	Criminal Investigator				V	12	1	\$ 73,906.00	\$ 15,963.70	30100	1	F	Reg	N	DS0087	
00002455	CRIMINAL INVEST		00073374	8/12/2013	F	14	3	\$107,085.00	\$ 23,130.36	30100	1	F	Reg	N	DS0087	
00003443	SUPV CRIMINAL INVEST		00012830	1/20/1998	F	14	0	\$126,932.44	\$ 27,417.41	30100	1	F	Reg	N	DS0086	
00004302	Financial Analyst				V	12	0	\$ 73,906.00	\$ 15,963.70	30100	1	F	Reg	N	DS0087	
00004380	CRIMINAL INVEST		00102161	3/5/2018	F	13	5	\$ 96,065.00	\$ 20,750.04	30100	1	F	Reg	N	DS0087	
00005046	Criminal Investigator				V	12	1	\$ 73,906.00	\$ 15,963.70	30100	1	F	Reg	N	DS0087	
00005077	Criminal Investigator		00102870	5/16/2018	F	12	9	\$ 92,250.00	\$ 19,926.00	30100	1	F	Reg	N	DS0087	
00007827	Assistant Inspector General of		00030049	7/25/2005	F	10	0	\$157,933.74	\$ 34,113.69	30100	1	F	Reg	N	XS0001	
00010422	Deputy Assistant Inspector Gen		00098477	7/24/2017	F	15	0	\$140,454.20	\$ 30,338.11	30100	1	F	Reg	N	DS0086	
00010526	Criminal Investigator		00068906	6/18/2012	F	14	6	\$116,754.00	\$ 25,218.86	30100	1	F	Reg	N	DS0087	
00011814	CRIMINAL INVEST		00083155	9/8/2014	F	13	5	\$ 96,065.00	\$ 20,750.04	30100	1	F	Reg	N	DS0087	
00014605	Legislative Counsel		00097487	5/22/2017	F	7	0	\$100,805.07	\$ 21,773.90	30100	1	F	Reg	N	XS0001	
00018022	CRIMINAL INVEST		00043304	11/24/2008	F	13	6	\$ 98,794.00	\$ 21,339.50	30100	1	F	Reg	N	DS0087	
00022025	Criminal Investigator				V	14	0	\$100,639.00	\$ 21,738.02	30100	1	F	Reg	N	DS0087	
00023785	CRIMINAL INVEST		00014688	11/24/2008	F	13	8	\$104,252.00	\$ 22,518.43	30100	1	F	Reg	N	DS0087	
00027928	SUPV CRIMINAL INVEST		00023950	6/21/1999	F	14	0	\$124,443.57	\$ 26,879.81	30100	1	F	Reg	N	DS0086	
00027941	Staff Assistant		00034132	12/11/2006	F	11	0	\$ 99,531.00	\$ 21,498.70	30100	1	F	Reg	N	DS0087	
00032366	CRIMINAL INVEST		00105647	10/1/2018	F	13	8	\$104,252.00	\$ 22,518.43	30100	1	F	Reg	N	DS0087	
00033556	SUPV CRIMINAL INVEST		00102474	4/2/2018	F	14	0	\$126,932.88	\$ 27,417.50	30100	1	F	Reg	N	DS0086	
00045197	Supv Mgmt and Program Analyst				V	14	0	\$125,642.00	\$ 27,138.67	30100	1	F	Reg	N	DS0086	
Total for 3010 - INVESTIGATIONS												22				

Office of the Inspector General ■ FY 18-19 Performance Hearing Responses

Position Number	Title	Name	Emplid	Hire Date	Vac Stat	Grade	Step	Salary	FY19 Fringe 21.6%	PCA	FTE x Dist %	F/P Time	Reg/Temp /Term	WAE	Sal Plan
00001653	SUPVY ATTORNEY ADVISOR		00079369	12/30/2013	F	10	0	\$165,564.05	\$ 35,761.83	30200	0.25	F	Reg	N	XS0001
00002076	Supvy. Criminal Investigator		00065201	8/26/2013	F	14	0	\$126,932.44	\$ 27,417.41	30200	0.25	F	Reg	N	DS0086
00002591	CRIMINAL INVEST		00064800	3/28/2011	F	13	7	\$101,523.00	\$ 21,928.97	30200	0.25	F	Reg	N	DS0087
00002802	CRIMINAL INVEST				V	13	0	\$ 85,149.00	\$ 18,392.18	30200	0.25	F	Reg	N	DS0087
00002952	Auditor		00096384	2/6/2017	F	12	5	\$ 83,078.00	\$ 17,944.85	30200	0.25	F	Reg	N	DS0087
00004169	Program Analyst		00009894	2/27/2000	F	12	9	\$ 92,250.00	\$ 19,926.00	30200	0.25	F	Reg	N	DS0087
00006006	Program Analyst		00026646	1/25/1993	F	13	7	\$101,523.00	\$ 21,928.97	30200	0.25	F	Reg	N	DS0087
00009919	Investigator (Patient Abuse)		00064799	3/28/2011	F	13	6	\$ 98,794.00	\$ 21,339.50	30200	0.25	F	Reg	N	DS0087
00013136	CRIMINAL INVEST		00072844	7/1/2013	F	13	7	\$101,523.00	\$ 21,928.97	30200	0.25	F	Reg	N	DS0087
00015230	Staff Assistant		00098479	7/24/2017	F	12	2	\$ 76,199.00	\$ 16,458.98	30200	0.25	F	Reg	N	DS0087
00016817	Investigator(Health Care)		00040002	9/15/2008	F	12	6	\$ 85,371.00	\$ 18,440.14	30200	0.25	F	Reg	N	DS0087
00024784	AUDITOR		00066581	9/12/2011	F	13	5	\$ 96,065.00	\$ 20,750.04	30200	0.25	F	Reg	N	DS0087
00027284	CRIMINAL INVEST		00079944	2/10/2014	F	13	7	\$101,523.00	\$ 21,928.97	30200	0.25	F	Reg	N	DS0087
00027688	ATTORNEY ADVISOR		00102043	2/20/2018	F	8	0	\$110,376.04	\$ 23,841.22	30200	0.25	F	Reg	N	XS0001
00027926	Auditor				V	14	0	\$100,639.00	\$ 21,738.02	30200	0.25	F	Reg	N	DS0087
00032314	Deputy Asst Inspect Gen for Me		00103902	7/16/2018	F	15	0	\$140,454.00	\$ 30,338.06	30200	0.25	F	Reg	N	DS0086
00032521	CRIMINAL INVEST		00036014	7/23/2007	F	13	6	\$ 98,794.00	\$ 21,339.50	30200	0.25	F	Reg	N	DS0087
00033086	Supvy. Criminal Investigator		00014615	9/29/2003	F	14	0	\$126,932.44	\$ 27,417.41	30200	0.25	F	Reg	N	DS0086
00033256	Criminal Investigator				V	12	1	\$ 73,906.00	\$ 15,963.70	30200	0.25	F	Reg	N	DS0087
00033923	Program Manager		00040511	9/29/2008	F	14	0	\$126,933.00	\$ 27,417.53	30200	0.25	F	Reg	N	DS0086
00033925	CRIMINAL INVEST				V	13	0	\$ 85,149.00	\$ 18,392.18	30200	0.25	F	Reg	N	DS0087
00073448	CRIMINAL INVEST				V	12	0	\$ 73,906.00	\$ 15,963.70	30200	0.25	F	Reg	N	DS0087
00073449	CRIMINAL INVEST				V	13	0	\$ 85,149.00	\$ 18,392.18	30200	0.25	F	Reg	N	DS0087
J 25% Match											5.75				
00001653	SUPVY ATTORNEY ADVISOR		00079369	12/30/2013	F	10	0	\$165,564.05	\$ 35,761.83	30300	0.75	F	Reg	N	XS0001
00002076	Supvy. Criminal Investigator		00065201	8/26/2013	F	14	0	\$126,932.44	\$ 27,417.41	30300	0.75	F	Reg	N	DS0086
00002591	CRIMINAL INVEST		00064800	3/28/2011	F	13	7	\$101,523.00	\$ 21,928.97	30300	0.75	F	Reg	N	DS0087
00002802	CRIMINAL INVEST				V	13	0	\$ 85,149.00	\$ 18,392.18	30300	0.75	F	Reg	N	DS0087
00002952	Auditor		00096384	2/6/2017	F	12	5	\$ 83,078.00	\$ 17,944.85	30300	0.75	F	Reg	N	DS0087
00004169	Program Analyst		00009894	2/27/2000	F	12	9	\$ 92,250.00	\$ 19,926.00	30300	0.75	F	Reg	N	DS0087
00006006	Program Analyst		00026646	1/25/1993	F	13	7	\$101,523.00	\$ 21,928.97	30300	0.75	F	Reg	N	DS0087
00009919	Investigator (Patient Abuse)		00064799	3/28/2011	F	13	6	\$ 98,794.00	\$ 21,339.50	30300	0.75	F	Reg	N	DS0087
00013136	CRIMINAL INVEST		00072844	7/1/2013	F	13	7	\$101,523.00	\$ 21,928.97	30300	0.75	F	Reg	N	DS0087
00015230	Staff Assistant		00098479	7/24/2017	F	12	2	\$ 76,199.00	\$ 16,458.98	30300	0.75	F	Reg	N	DS0087
00016817	Investigator(Health Care)		00040002	9/15/2008	F	12	6	\$ 85,371.00	\$ 18,440.14	30300	0.75	F	Reg	N	DS0087
00024784	AUDITOR		00066581	9/12/2011	F	13	5	\$ 96,065.00	\$ 20,750.04	30300	0.75	F	Reg	N	DS0087
00027284	CRIMINAL INVEST		00079944	2/10/2014	F	13	7	\$101,523.00	\$ 21,928.97	30300	0.75	F	Reg	N	DS0087
00027688	ATTORNEY ADVISOR		00102043	2/20/2018	F	8	0	\$110,376.04	\$ 23,841.22	30300	0.75	F	Reg	N	XS0001
00027926	Auditor				V	14	0	\$100,639.00	\$ 21,738.02	30300	0.75	F	Reg	N	DS0087
00032314	Deputy Asst Inspect Gen for Me		00103902	7/16/2018	F	15	0	\$140,454.00	\$ 30,338.06	30300	0.75	F	Reg	N	DS0086
00032521	CRIMINAL INVEST		00036014	7/23/2007	F	13	6	\$ 98,794.00	\$ 21,339.50	30300	0.75	F	Reg	N	DS0087
00033086	Supvy. Criminal Investigator		00014615	9/29/2003	F	14	0	\$126,932.44	\$ 27,417.41	30300	0.75	F	Reg	N	DS0086
00033256	Criminal Investigator				V	12	1	\$ 73,906.00	\$ 15,963.70	30300	0.75	F	Reg	N	DS0087
00033923	Program Manager		00040511	9/29/2008	F	14	0	\$126,933.00	\$ 27,417.53	30300	0.75	F	Reg	N	DS0086
00033925	CRIMINAL INVEST				V	13	0	\$ 85,149.00	\$ 18,392.18	30300	0.75	F	Reg	N	DS0087
00073448	CRIMINAL INVEST				V	12	0	\$ 73,906.00	\$ 15,963.70	30300	0.75	F	Reg	N	DS0087
00073449	CRIMINAL INVEST				V	13	0	\$ 85,149.00	\$ 18,392.18	30300	0.75	F	Reg	N	DS0087
Total for 3030 - MEDICAID FRAUD CONTROL UNIT											17.25				

Position Number	Title	Name	Emplid	Hire Date	Vac Stat	Grade	Step	Salary	FY19 Fringe 21.6%	PCA	FTE x Dist %	F/P Time	Reg/Temp /Term	WAE	Sal Plan
00000253	MANAGEMENT ANALYST		00072060	4/8/2013	F	11	5	\$ 67,407.00	\$ 14,559.91	40110	1	F	Reg	N	DS0087
00001169	Investigative Analyst		00095468	12/12/2016	F	12	3	\$ 78,492.00	\$ 16,954.27	40110	1	F	Reg	N	DS0087
00001379	Investigative Analyst				V	13	1	\$ 85,149.00	\$ 18,392.18	40110	1	F	Reg	N	DS0087
00003321	RAFP Program Manager		00031679	2/21/2006	F	14	0	\$129,139.97	\$ 27,894.23	40110	1	F	Reg	N	DS0086
00005071	Data Scientist				V	13	0	\$ 85,149.00	\$ 18,392.18	40110	1	F	Reg	N	DS0087
00012715	Investigative Analyst		00097033	4/3/2017	F	11	2	\$ 61,647.00	\$ 13,315.75	40110	1	F	Reg	N	DS0087
00023456	AIG Risk Assessments & Plans		00089725	12/21/2015	F	16	0	\$162,804.66	\$ 35,165.81	40110	1	F	Reg	N	DS0086
IND FUTURE PLANNING											7				
00001235	Deputy Inspector General for Q		00037631	1/7/2008	F	15	0	\$162,252.78	\$ 35,046.60	50001	1	F	Reg	N	DS0086
00002544	Program Analyst		00068297	4/23/2012	F	13	2	\$ 87,878.00	\$ 18,981.65	50001	1	F	Reg	N	DS0087
00002770	Program Analyst		00105668	10/1/2018	F	13	2	\$ 87,878.00	\$ 18,981.65	50001	1	F	Reg	N	DS0087
00006209	Investigative Analyst		00105848	10/15/2018	F	11	4	\$ 65,487.00	\$ 14,145.19	50001	1	F	Reg	N	DS0087
00022617	Program Analyst		00103991	7/23/2018	F	13	4	\$ 93,336.00	\$ 20,160.58	50001	1	F	Reg	N	DS0087
00027929	Program Analyst		00089705	12/14/2015	F	13	3	\$ 90,607.00	\$ 19,571.11	50001	1	F	Reg	N	DS0087
Total for 5000 - QUALITY MANAGEMENT											6				

- For any term or temp position included in the schedule A and filled in FY2018 or FY2019, please provide a brief narrative for why the hire was done on a term or temporary basis and not on a continuing basis.

OIG Response: Please see Table 2 on the following page.

Table 2: OIG Term or Temp Positions

Position Number	Name	Grade/ Step	Reason for appointment	Current Status
00016482	[REDACTED]	12/9	Skill set for the position needed quickly	Perm conversion effective December 7, 2018, in accordance with District Personnel Issuance No. 2018-16, dated October 23, 2018.
00005077	[REDACTED]	12/9	Hard to fill position; skill set needed	Perm conversion effective December 7, 2018, in accordance with District Personnel Issuance No. 2018-16, dated October 23, 2018.

4. Please provide the following information on any contract workers in your agency:
 - a. Position name
 - b. Organizational unit assigned to
 - c. Hourly rate
 - d. Type of work duties

OIG Response: During FY 2019, the OIG used a contracted copy editor. The copy editor was assigned to the Operations Division to assist in the review and editing of various written products. Hourly wage for this editor was \$80.00/hour.

5. Please complete the following chart about the residency of new hires in FY18 or FY19, to date:

OIG Response: Please see Table 3 below.

Table 3: Number of Employees Hired in FY 2018 and FY 2019, to Date.

Position Type	Total Number	Number who are District Residents
Continuing	15	4
Term	2	0
Temporary	0	0
WAE	0	0

6. Please list all employees detailed to or from your agency. For each employee identified, please provide the name of the agency the employee is detailed to or from, the reason for the detail, the date of the detail, and the employee’s projected date of return.

OIG Response: This is not applicable during the performance period. There were no employees detailed to/from the OIG in FY 2018 or FY 2019, to date.

7. Please provide the Committee with a list of travel expenses, arranged by employee for FY18 and FY19, to date, including the dates of travel, amount of expenses, and reason for travel. Please specify whether employees may be reimbursed for out-of-pocket travel expenses; and, if so, please describe agency protocol and requirements for employees to apply for and receive reimbursements for such travel expenses, such as necessary documentation, timeframes, and other requirements.

OIG Response: For a list of FY 18 travel expenses, please see Table 4 starting below. For a list of FY 19 travel expenses, to date, please see Table 5 immediately after. All OIG employees are eligible for reimbursement in accordance with federal per diem rules and OCFO policies and procedures.

Table 4: OIG FY 18 Travel

Employee Name	Date(s) of Travel	Amount of Expense	Reason for Travel	Reimbursement for Out-of-Pocket Expenses (Yes or No)
	9/30/18 - 10/5/18	\$676.33	Lodging for NAMFCU Annual Training Program (Wyndham Hotel & Resorts, San Diego, CA)	No
	9/30/18 - 10/5/18	\$225.44	Lodging for NAMFCU Annual Training Program (Wyndham Hotel & Resorts, San Diego, CA)	No
	5/6/18 - 5/9/18	\$230.40	Airfare for ALGA 2018 Annual Conference (Colorado Springs, CO)	No
	5/6/18 - 5/9/18	\$438.24	Lodging for ALGA 2018 Annual Conference (DoubleTree by Hilton, Colorado Springs, CO)	No
	8/19/18 - 8/23/18	\$317.40	Airfare for CFE Exam Review (Chicago, IL)	No
	8/19/18 - 8/23/18	\$906.36	Lodging for CFE Exam Review (Hilton Hotel & Resorts, Chicago, IL)	No
	11/13/17 - 11/17/17	\$479.96	Airfare for AIG 2017 Annual Training Conference (Austin, TX)	No
	11/15/17 - 11/16/17	\$318.28	Lodging for AIG 2017 Annual Training Conference (Hilton Hotel & Resorts, Austin, TX)	No
	9/4/18 - 9/7/18	\$334.41	Airfare for FLETC Cybercrime Conference (Brunswick, GA)	No
	9/4/18 - 9/7/18	\$399.30	Lodging for FLETC Cybercrime Conference (Courtyard Marriott Brunswick, GA)	No
	6/11/18 - 6/15/18	\$526.80	Airfare for NAMFCU Medicaid 103 Training (St. Louis, MO)	No
	6/11/18 - 6/15/18	\$175.60	Airfare for NAMFCU Medicaid 103 Training (St. Louis, MO)	No
	6/11/18 - 6/15/18	\$402.18	Lodging for NAMFCU Medicaid 103 Training (Drury Plaza Hotel, St. Louis, MO)	No
	6/11/18 - 6/15/18	\$134.06	Lodging for NAMFCU Medicaid 103 Training (Drury Plaza Hotel, St. Louis, MO)	No
	3/12/18 - 3/16/18	\$354.00	Lodging for FLETC Firearm Instructor Training (Country Inn & Suites, Camp Springs, MD)	No
	3/12/18 - 3/16/18	\$118.00	Lodging for FLETC Firearm Instructor Training (Country Inn & Suites, Camp Springs, MD)	No
	3/19/18 - 3/23/18	\$312.60	Lodging for FLETC Firearm Instructor Training (Country Inn & Suites, Camp Springs, MD)	No
	3/19/18 - 3/23/18	\$104.20	Lodging for FLETC Firearm Instructor Training (Country Inn & Suites, Camp Springs, MD)	No
	10/15/17 - 10/16/17	\$732.30	Airfare for DCOIG Investigative Field Interview (Dallas, TX)	No
	10/15/17 - 10/16/17	\$244.10	Airfare for DCOIG Investigative Field Interview (Dallas, TX)	No
	10/15/17 - 10/16/17	\$34.75	Lodging for DCOIG Investigative Field Interview (Hyatt Place, Dallas Ft. Worth, TX)	No
	10/15/17 - 10/16/17	\$104.25	Lodging for DCOIG Investigative Field Interview (Hyatt Place, Dallas Ft. Worth, TX)	No
	5/21/18 - 5/25/18	\$144.30	Airfare for NAMFCU Medicaid 102 Training (Columbus, OH)	No
	5/21/18 - 5/25/18	\$48.10	Airfare for NAMFCU Medicaid 102 Training (Columbus, OH)	No
	5/21/18 - 5/25/18	\$357.00	Lodging for NAMFCU Medicaid 102 Training (Residence Inn, Columbus, OH)	No
	5/21/18 - 5/25/18	\$119.00	Lodging for NAMFCU Medicaid 102 Training (Residence Inn, Columbus, OH)	No
	8/27/18 - 8/30/18	\$700.00	Lodging for Peer Review (Team Member) at the DCOIG (Hilton Garden Inn, Washington, DC-Downtown)	No
	8/26/18 - 8/30/18	\$419.40	Airfare for Peer Review (Team Member) at the DCOIG (Washington, DC)	No
	9/30/18 - 10/5/18	\$480.00	Lodging for NAMFCU Annual Training Program (Wyndham Hotel & Resorts, San Diego, CA)	No
	9/30/18 - 10/5/18	\$160.00	Lodging for NAMFCU Annual Training Program (Wyndham Hotel & Resorts, San Diego, CA)	No
	6/3/18 - 6/6/18	\$325.48	Airfare for 3rd Annual Medicaid Managed Care Leadership Summit (Chicago, IL)	No
	9/30/18 - 10/5/18	\$480.00	Lodging for NAMFCU Annual Training Program (Wyndham Hotel & Resorts, San Diego, CA)	No
	9/30/18 - 10/5/18	\$160.00	Lodging for NAMFCU Annual Training Program (Wyndham Hotel & Resorts, San Diego, CA)	No
	6/3/18 - 6/6/18	\$325.48	Airfare for 3rd Annual Medicaid Managed Care Leadership Summit (Chicago, IL)	No
	6/3/18 - 6/6/18	\$108.49	Airfare for 3rd Annual Medicaid Managed Care Leadership Summit (Chicago, IL)	No
	6/3/18 - 6/6/18	\$776.59	Lodging for 3rd Annual Medicaid Managed Care Leadership Summit (The Standard Club Hotel, Chicago, IL)	No
	6/3/18 - 6/6/18	\$258.86	Lodging for 3rd Annual Medicaid Managed Care Leadership Summit (The Standard Club Hotel, Chicago, IL)	No
	10/15/17 - 10/20/17	\$266.96	Airfare for NAMFCU Annual Training (Ft. Lauderdale, FL)	No
	10/15/17 - 10/20/17	\$88.99	Airfare for NAMFCU Annual Training (Ft. Lauderdale, FL)	No
	10/15/17 - 10/20/17	\$555.00	Lodging for NAMFCU Annual Training (Hilton Hotels & Resorts, Ft. Lauderdale, FL)	No
	10/15/17 - 10/20/17	\$185.00	Lodging for NAMFCU Annual Training (Hilton Hotels & Resorts, Ft. Lauderdale, FL)	No
	5/5/18 - 5/10/18	\$2.24	Airfare Booking Fee for ALGA 2018 Conference (Colorado Springs, CO)	No
	5/5/18 - 5/10/18	\$93.20	Airfare for ALGA 2018 Annual Conference (Colorado Springs, CO)	No
	5/5/18 - 5/10/18	\$184.80	Airfare for ALGA 2018 Annual Conference (Dulles, VA)	No
	5/5/18 - 5/10/18	\$730.40	Lodging for ALGA 2018 Annual Conference (DoubleTree by Hilton, Colorado Springs, CO)	No
	8/27/18 - 8/30/18	\$700.00	Lodging for Peer Review (Team Member) at the DCOIG (Hilton Garden Inn, Washington, DC-Downtown)	No
	8/26/18 - 8/30/18	\$236.39	Airfare for Peer Review (Team Member) at the DCOIG (Washington, DC)	No
	6/15/18 - 6/20/18	\$461.28	Airfare for ACFE Annual Fraud Conference (Las Vegas, NV)	No

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6/17/18 - 6/20/18	\$254.13	Lodging for ACFE Annual Fraud Conference (Mandalay Bay Resort & Casino, Las Vegas, NV)	No
8/27/18 - 8/30/18	\$700.00	Lodging for Peer Review (Team Member) at the DCOIG (Hilton Garden Inn, Washington, DC-Downtown)	No
9/30/18 - 10/5/18	\$594.75	Lodging for NAMFCU Annual Training Program (Wyndham Hotel & Resorts, San Diego, CA)	No
9/30/18 - 10/5/18	\$198.25	Lodging for NAMFCU Annual Training Program (Wyndham Hotel & Resorts, San Diego, CA)	No
11/13/17 - 11/17/17	\$398.21	Airfare for NHCAA Annual Training Conference (Orlando, FL)	No
11/13/17 - 11/17/17	\$132.74	Airfare for NHCAA Annual Training Conference (Orlando, FL)	No
11/13/17 - 11/17/17	\$435.13	Lodging for NHCAA Annual Training Conference (Hyatt Place, Orlando, FL)	No
11/13/17 - 11/17/17	\$145.13	Lodging for NHCAA Annual Training Conference (Hyatt Place, Orlando, FL)	No
1/26/18	\$473.70	Airfare for NAMFCU Medicaid Fraud 101 (Montgomery, AL)	No
1/26/18	\$157.90	Airfare for NAMFCU Medicaid Fraud 101 Training (Montgomery, AL)	No
1/22/18 - 1/26/18	\$327.60	Lodging for NAMFCU Medicaid Fraud 101 Training (Renaissance Montgomery Hotel & Spa at Convention Center, Montgomery, AL)	No
1/22/18 - 1/26/18	\$109.20	Lodging for NAMFCU Medicaid Fraud 101 Training (Renaissance Montgomery Hotel & Spa at Convention Center, Montgomery, AL)	No
10/15/17 - 10/20/17	\$353.70	Airfare for NAMFCU Annual Training (Ft. Lauderdale, FL)	No
10/15/17 - 10/20/17	\$117.90	Airfare for NAMFCU Annual Training (Ft. Lauderdale, FL)	No
10/15/17 - 10/20/17	\$64.35	Airfare Refund (partial) for NAMFCU Annual Training (Ft. Lauderdale, FL)	No
10/15/17 - 10/20/17	\$21.45	Airfare Refund (partial) for NAMFCU Annual Training (Ft. Lauderdale, FL)	No
10/15/17 - 10/20/17	\$555.00	Lodging for NAMFCU Annual Training (Hilton Hotels & Resorts, Ft. Lauderdale, FL)	No
10/15/17 - 10/20/17	\$185.00	Lodging for NAMFCU Annual Training (Hilton Hotels & Resorts, Ft. Lauderdale, FL)	No
3/18/18 - 3/22/18	\$341.49	Airfare for CIGIE Advanced Interviewing for IG Investigators (Orlando, FL)	No
3/18/18 - 3/22/18	\$516.00	Lodging for CIGIE Advanced Interviewing for IG Investigators (Embassy Suites by Hilton, Orlando, FL)	No
9/4/18 - 9/7/18	\$334.41	Airfare for FLETC Cybercrime Conference (Brunswick, GA)	No
9/4/18 - 9/7/18	\$399.30	Lodging for FLETC Cybercrime Conference (Courtyard Marriott Brunswick, GA)	No
8/27/18 - 8/30/18	\$700.00	Lodging for Peer Review (Team Member) at the DCOIG (Hilton Garden Inn, Washington, DC-Downtown)	No
6/3/18 - 6/6/18	\$419.55	Airfare for 3rd Annual Medicaid Managed Care Leadership Summit (Chicago, IL)	No
6/3/18 - 6/6/18	\$139.85	Airfare for 3rd Annual Medicaid Managed Care Leadership Summit (Chicago, IL)	No
6/3/18 - 6/6/18	\$776.59	Lodging for 3rd Annual Medicaid Managed Care Leadership Summit (The Standard Club Hotel, Chicago, IL)	No
6/3/18 - 6/6/18	\$258.86	Lodging for 3rd Annual Medicaid Managed Care Leadership Summit (The Standard Club Hotel, Chicago, IL)	No
9/30/18 - 10/5/18	\$594.75	Lodging for NAMFCU Annual Training Program (Wyndham Hotel & Resorts, San Diego, CA)	No
9/30/18 - 10/5/18	\$198.25	Lodging for NAMFCU Annual Training Program (Wyndham Hotel & Resorts, San Diego, CA)	No
1/21/18 - 1/24/18	\$304.80	Airfare for Qui Tam 4th Annual Conference (San Francisco, CA)	No
1/21/18 - 1/24/18	\$101.60	Airfare for Qui Tam 4th Annual Conference (San Francisco, CA)	No
1/21/18 - 1/24/18	\$783.59	Lodging for Qui Tam 4th Annual Conference (The San Francisco Marriott Marquis, San Francisco, CA)	No
1/21/18 - 1/24/18	\$261.19	Lodging for Qui Tam 4th Annual Conference (The San Francisco Marriott Marquis, San Francisco, CA)	No
1/21/18 - 1/24/18	-\$94.19	Lodging Tax Refund for Qui Tam 4th Annual Conference (The San Francisco Marriott Marquis, San Francisco, CA)	No
1/21/18 - 1/24/18	-\$31.39	Lodging Tax Refund for Qui Tam 4th Annual Conference (The San Francisco Marriott Marquis, San Francisco, CA)	No
10/15/17 - 10/20/17	\$155.22	Airfare for NAMFCU Annual Training (Ft. Lauderdale, FL)	No
10/15/17 - 10/20/17	\$51.74	Airfare for NAMFCU Annual Training (Ft. Lauderdale, FL)	No
10/15/17 - 10/20/17	\$555.00	Lodging for NAMFCU Annual Training (Hilton Hotels & Resorts, Ft. Lauderdale, FL)	No
10/15/17 - 10/20/17	\$185.00	Lodging for NAMFCU Annual Training (Hilton Hotels & Resorts, Ft. Lauderdale, FL)	No
5/17/18 - 5/18/18	\$258.00	Transportation (Amtrak) for CIGIE Inspector General Leadership Conference (Lancaster, PA)	No
5/17/18 - 5/18/18	\$235.32	Lodging for CIGIE Annual Inspector General Leadership Conference (Marriott, Lancaster, PA)	No
4/22/18 - 4/27/18	\$141.60	Airfare for ACFE Certified Fraud Examiner Exam Review Course (New York, NY)	No
4/22/18 - 4/27/18	\$1,248.03	Lodging for ACFE Certified Fraud Examiner Exam Review Course (The Manhattan at Times Square Hotel, New York, NY)	No
9/30/18 - 10/3/18	\$725.03	Lodging for DCOIG Investigative Case Work (The Charter Hotel, Seattle, WA)	No
9/30/18 - 10/3/18	\$562.40	Airfare for DCOIG Investigative Case Work (The Charter Hotel, Seattle, WA)	No
9/17/18 - 9/21/18	\$391.96	Airfare for CIGIE Inspector General Contract Grant Fraud Training Program (Kansas City, MO)	No
9/17/18 - 9/21/18	\$574.77	Lodging for CIGIE Inspector General Contract Grant Fraud Training Program (Best Western Plus Seville Plaza, Kansas City, MO)	No
11/13/17 - 11/17/17	\$435.39	Lodging for NHCAA Annual Training Conference (Hyatt Place, Orlando, FL)	No
11/13/17 - 11/17/17	\$145.13	Lodging for NHCAA Annual Training Conference (Hyatt Place, Orlando, FL)	No
11/13/17 - 11/17/17	\$48.00	Airfare for NHCAA Annual Training Conference (Orlando, FL)	No
11/13/17 - 11/17/17	\$16.00	Airfare for NHCAA Annual Training Conference (Orlando, FL)	No
9/30/18 - 10/5/18	\$670.58	Lodging for NAMFCU Annual Training Program (Wyndham Hotel & Resorts, San Diego, CA)	No
9/30/18 - 10/5/18	\$223.53	Lodging for NAMFCU Annual Training Program (Wyndham Hotel & Resorts, San Diego, CA)	No
8/27/18 - 8/30/18	\$700.00	Lodging for Peer Review (Team Member) at the DCOIG (Hilton Garden Inn, Washington, DC-Downtown)	No
2/26/18 - 3/9/18	\$1,309.00	Lodging for FLETC Firearms Instructor Training (Glynco, GA)	No
8/27/18 - 8/30/18	\$700.00	Lodging for Peer Review (Team Member) at the DCOIG (Hilton Garden Inn, Washington, DC-Downtown)	No

	8/26/18 - 8/30/18	\$328.40	Airfare for Peer Review (Team Member) at the DCOIG (Washington, DC)	No
	10/15/17 - 10/20/17	\$301.20	Airfare for NAMFCU Annual Training (Ft. Lauderdale, FL)	No
	10/15/17 - 10/20/17	\$100.40	Airfare for NAMFCU Annual Training (Ft. Lauderdale, FL)	No
	10/15/17 - 10/20/17	\$555.00	Lodging for NAMFCU Annual Training (Hilton Hotels & Resorts, Ft. Lauderdale, FL)	No
	10/15/17 - 10/20/17	\$185.00	Lodging for NAMFCU Annual Training (Hilton Hotels & Resorts, Ft. Lauderdale, FL)	No
	8/12/18 - 8/17/18	\$232.40	Airfare for AIG Institute Certification Program/Certified IG Auditor (New York, NY)	No
	8/12/18 - 8/17/18	\$1,159.26	Lodging for AIG Institute Certification Program/Certified IG Auditor (Fairfield Inn & Suites, New York, NY)	No
	9/30/18 - 10/5/18	\$594.75	Lodging for NAMFCU Annual Training Program (Wyndham Hotel & Resorts, San Diego, CA)	No
	9/30/18 - 10/5/18	\$198.25	Lodging for NAMFCU Annual Training Program (Wyndham Hotel & Resorts, San Diego, CA)	No
	10/15/17 - 10/20/17	\$196.05	Airfare for NAMFCU Annual Training (Ft. Lauderdale, FL)	No
	10/15/17 - 10/20/17	\$65.35	Airfare for NAMFCU Annual Training (Ft. Lauderdale, FL)	No
	10/15/17 - 10/20/17	\$555.00	Lodging for NAMFCU Annual Training (Hilton Hotels & Resorts, Ft. Lauderdale, FL)	No
	10/15/17 - 10/20/17	\$185.00	Lodging for NAMFCU Annual Training (Hilton Hotels & Resorts, Ft. Lauderdale, FL)	No

Table 5: OIG FY 2019 Travel Expenses, to Date.

Employee Name	Date(s) of Travel	Amount of Expense	Reason for Travel	Reimbursement for Out-of-Pocket Expenses (Yes or No)
	10/1/18 - 10/4/18	\$283.05	Airfare for NAMFCU Annual Training Program (San Diego, CA)	No
	10/1/18 - 10/4/18	\$94.35	Airfare for NAMFCU Annual Training Program (San Diego, CA)	No
	10/15/18 - 10/16/18	\$333.99	Lodging for Site Visit to NY Department of Investigations (World Center Hotel-Club Quarters, New York, NY)	No
	10/15/18 - 10/16/18	\$333.99	Lodging for Site Visit to NY Department of Investigations (World Center Hotel-Club Quarters, New York, NY)	No
	10/15/18 - 10/16/18	\$50.00	Lodging Cancellation Late Fee for Site Visit to NY Department of Investigations (World Center Hotel-Club Quarters, New York, NY)	No
	10/15/18 - 10/16/18	-\$169.50	Transportation (Amtrak) Refund for Site Visit to NY Department of Investigations (Penn Station, NY)	No
	11/12/18 - 11/16/18	\$459.38	Airfare for NAMFCU Resident Abuse Training (New Orleans, LA)	No
	11/12/18 - 11/16/18	\$153.13	Airfare for NAMFCU Resident Abuse Training (New Orleans, LA)	No
	11/12/18 - 11/16/18	\$527.40	Lodging for NAMFCU Resident Abuse Training (Sheraton, New Orleans, LA)	No
	11/12/18 - 11/16/18	\$175.80	Lodging for NAMFCU Resident Abuse Training (Sheraton, New Orleans, LA)	No
	10/1/18 - 10/4/18	\$338.97	Airfare for NAMFCU Annual Training Program (San Diego, CA)	No
	10/1/18 - 10/4/18	\$112.99	Airfare for NAMFCU Annual Training Program (San Diego, CA)	No
	12/3/18 - 12/7/18	\$100.20	Airfare for TeamMate University TeamMate Training (Tampa, FL)	No
	12/3/18 - 12/7/18	\$125.20	Airfare for TeamMate University TeamMate Training (Tampa, FL)	No
	12/3/18 - 12/7/18	\$1.83	Airfare Booking Fee for TeamMate Training (Tampa, FL)	No
	12/3/18 - 12/7/18	\$542.08	Lodging for TeamMate University TeamMate Training (Fairfield Inn & Suites, Tampa, FL)	No
	10/1/18 - 10/4/18	\$410.22	Airfare for NAMFCU Annual Training Program (San Diego, CA)	No
	10/1/18 - 10/4/18	\$136.74	Airfare for NAMFCU Annual Training Program (San Diego, CA)	No
	2/11/18 - 2/15/18	\$440.67	Lodging for Financial Record Examination & Analysis (Country Inn & Suites by Radisson, Columbia, SC)	No
	10/14/18 - 10/18/18	\$1,249.10	Airfare for Agile Transformation Training (Instructor) at the DCOIG (Washington, DC)	No
	10/14/18 - 10/18/18	\$1,452.20	Lodging for Agile Transformation Training (Instructor) at the DCOIG (The Madison Hotel, Washington, DC)	No
	10/14/18 - 10/18/18	\$1,677.36	Lodging for Agile Transformation Training (Instructor) at the DCOIG (Hilton Garden Inn, Washington, DC)	No
	10/14/18 - 10/18/18	-\$1,677.36	Lodging Refund for Agile Transformation Training (Instructor) at the DCOIG (Hilton Garden Inn, Washington, DC)	No
	10/23/18 - 10/26/18	\$462.41	Airfare for AIG Fall Training Conference 2018 (Chicago, IL)	No
	10/23/18 - 10/26/18	\$841.77	Lodging for AIG Fall Training Conference 2018 (Holiday Inn, Chicago, IL)	No
	11/6/18 - 11/11/18	\$615.10	Airfare for Financial Record Examination & Analysis(Boise, ID)	No
	11/12/18 - 11/16/18	\$312.72	Airfare for NAMFCU Resident Abuse Training (New Orleans, LA)	No
	11/12/18 - 11/16/18	\$104.24	Airfare for NAMFCU Resident Abuse Training (New Orleans, LA)	No
	11/12/18 - 11/16/18	\$527.40	Lodging for NAMFCU Resident Abuse Training (Sheraton, New Orleans, LA)	No
	11/12/18 - 11/16/18	\$175.80	Lodging for NAMFCU Resident Abuse Training (Sheraton, New Orleans, LA)	No
	10/15/18 - 10/16/18	-\$73.50	Transportation (Amtrak) Refund for Site Visit to NY Department of Investigations (New York, NY)	No
	10/15/18 - 10/16/18	\$196.00	Transportation (Amtrak) for Site Visit to NY Department of Investigations (Penn Station, NY)	No
	10/29/18 - 11/2/18	\$373.19	Airfare for NAMFCU Anti-Fraud Annual Training (Anaheim, CA)	No
	10/29/18 - 11/2/18	\$124.40	Airfare for NAMFCU Anti-Fraud Annual Training (Anaheim, CA)	No
	10/29/18 - 11/2/18	\$900.92	Lodging for NHCAA Annual Training Conference (Marriott, Anaheim, CA)	No

- Please provide the Committee with a list of the total workers' compensation payments paid in FY18 and FY 19, to date, including the number of employees who received workers' compensation payments, in what amounts, and for what reasons.

OIG Response: No OIG employees received workers compensation payments during FY 18 or FY 19, to date.

9. For fiscal years 2018 and 2019, to date, please list each employee separated from the agency, other than due to retirement. Also include:
 - a. Amount of separation pay, if relevant;
 - b. Number of weeks of pay, if relevant; and
 - c. The reason for the separation.

OIG Response: Please see Table 6, below.

Table 6: Employees Separated During FY 18 and FY 19, to Date.

Effective Date	Reason for Separation	Employee Type	Amount of Separation	Number of Weeks
3/1/2018	Resignation	MSS - Reg Appt		
5/11/2018	Resignation	MSS - Reg Appt		
5/11/2018	Resignation	MSS - Reg Appt		
7/6/2018	Resignation	Career Service - Reg Appt		
8/6/2018	Licensure	Excepted Service - Reg Appt		
8/6/2018	Licensure	Excepted Service - Reg Appt		
8/6/2018	Resignation	Career Service - Reg Appt		
9/27/2018	Resignation	Career Service - Reg Appt		
9/28/2018	Resignation	Career Service - Reg Appt		
11/7/2018	Resignation	Career Service - Reg Appt		

10. Please provide the Committee with a list of employees who received bonuses or special award pay granted in FY 2018 and FY 2019, to date, and identify:
 - a. The employee receiving the bonus or special pay,
 - b. The amount received, and
 - c. The reason for the bonus or special pay.

OIG Response: Please see Table 7, below.

Table 7: Bonuses or Special Award Pay.

Name	Description	Amount
[REDACTED]	Mayor 2008-31 Retirement Inc	\$25,000.00
[REDACTED]	Performance Allowance	\$8,883.10
[REDACTED]	Mayor 2008-31 Retirement Inc	\$15,000.00

11. Please provide the name of each employee who was or is on administrative leave in FY 2018 and 2019, to date. In addition, for each employee identified, please provide:
 - a. Their position;
 - b. A brief description of the reason they were placed on leave;
 - c. The dates they were/are on administrative leave;
 - d. Expected date of return;
 - e. Whether the leave was/is paid or unpaid; and
 - f. Their current status (as of February 1, 2019).

OIG Response: Responses 11.a. through 11.c. are governed by personnel regulations and/or legal resolutions, and cannot be disclosed. The OIG provides the following: one MSS employee was granted leave due to military injury per DPM § 1266.18; one MSS employee was placed on leave pending an outcome of an internal investigation, the employee resigned in lieu of termination; one MSS employee has been granted leave in order to participate in the District’s Certified Public Manager course; two ES employees were placed on leave pending an outcome of an internal investigation, these employees were subsequently terminated; and one CS employee was placed on leave pending an outcome of an internal investigation, employee resigned in lieu of termination.

12. Please provide a list of each collective bargaining agreement that is currently in effect for agency employees.

- a. Please include the bargaining unit (name and local number), the duration of each agreement, and the number of employees covered.
- b. Please provide, for each union, the union leader’s name, title, and his or her contact information, including e-mail, phone, and address if available.
- c. Please note if the agency is currently in bargaining and its anticipated completion date.

OIG Response: Not applicable. No OIG employees are part of a collective bargaining unit.

13. Please list in chronological order, any grievances filed by labor unions against the agency or agency management in FY 17, FY 18, or FY 19, to date, broken down by source.
- a. For each grievance, give a brief description of the matter as well as the current status.
 - b. Include on the chronological list any earlier grievance that is still pending in any forum.
 - c. Please describe the process utilized to respond to any complaints or grievances received and any changes to agency policies or procedures that have resulted from complaints or grievances received.
 - d. For any complaints or grievances that were resolved in FY18 or FY19, to date, describe the resolution or outcome.

OIG Response: Not applicable. No OIG employees are part of a collective bargaining unit.

14. Please list in chronological order, any additional employee grievances or complaints that the agency received in FY18 and FY19, to date, broken down by source.
- a. For each, give a brief description of the matter as well as the current status.
 - b. Include on the chronological list any earlier grievance that is still pending in any forum.
 - c. Please describe the process utilized to respond to any complaints or grievances received and any changes to agency policies or procedures that have resulted from complaints or grievances received.
 - d. For any complaints or grievances that were resolved in FY 18 or FY 19, to date, describe the resolution or outcome.

OIG Response: Please see Table 8, below.

Table 8: Employee Grievances

Matter	Pending on this Matter	Process for Response	Resolution
Sexual Harassment	None	Mayor’s Order 2017-313 and DCHR policies and procedures	Unfounded
Treatment by Manager (general)	None	OIG Policy 2017-04	Ongoing Investigation
Unfair Treatment (EEO)	None	OIG Policy 2017-04	Referral to EEO

15. Please describe the agency’s procedures for investigating allegations of sexual harassment or misconduct committed by or against its employees. List and describe any allegations received by the agency in FY18 and FY19, to date, and whether or not those allegations were resolved. Please describe the nature of such resolution.

OIG Response: The OIG follows Mayors Order 2017-313 and DCHR policy and procedures for all sexual harassment or misconduct allegations involving our staff. The OIG has two agency trainers who helped DCHR roll out the training on this issue District wide in 2018. Those trainers completed MSS training for all managers and also trained all line staff on reporting and what to expect during an investigation. In addition, the OIG has three (3) Sexual Harassment Officers (SHOs) who have all been trained by DCHR on the investigative process and procedures for sexual harassment and misconduct.

The OIG had only one complaint in 2018. The complaint came through the OIG’s hotline and was immediately turned over to the Agency SHO. The investigation was completed within a week as unfounded.

16. For any boards or commissions associated with your agency, please provide a chart listing the following for each:
- a. For each member:
 1. The member's name,
 2. Confirmation date,
 3. Term expiration date,
 4. Whether the member is a District resident or not, and
 5. Attendance at each meeting in FY18 and FY19, to date.
 - b. List any vacancies.
 - c. Describe the board's or commission's responsibilities and activities in FY18.
 - d. Attach agendas and minutes of each board or commission meeting in FY18 or FY19, to date, if minutes were prepared.

OIG Response: Not applicable. There are no boards/commissions associated with the OIG.

17. Please list the task forces and organizations of which the agency is a member and any associated membership dues paid.

OIG Response: During FY 18 and FY 19, to date, the OIG has been a member of the National Association of Medicaid Fraud Control Units (NAMFCU) and the Association of Local Government Auditors (ALGA). NAMFCU dues are \$16,000 and ALGA dues are \$1,055.

In FY 19, the OIG became a member of the Association of Certified Fraud Examiner's (ACFE) Law Enforcement and Government Alliance. There are no dues associated with this membership.

The OIG's MFCU also is a standing member of the Medicaid Fraud Strike Force, which combines federal, state, and local law enforcement entities to prevent and combat healthcare fraud, waste, and abuse. There are no dues associated with this task force membership.

II. BUDGET AND EXPENDITURES

18. Budget
- a. Please provide a table showing your agency's Council-approved original budget, revised budget (after reprogrammings, etc.), and actual spending, by program and activity, for fiscal years 2017, 2018, and the first quarter of 2019. For each program and activity, please include total budget and break down the budget by funding source (federal, local, special purpose revenue, or intra-district funds).
 - b. Include any over- or under-spending. Explain any variances between fiscal year appropriations and actual expenditures for fiscal years 2017 and 2018 for each program and activity code.
 - c. Attach the cost allocation plans for FY18 and FY19.
 - d. In FY17 or FY18, did the agency have any federal funds that lapsed? If so, please provide a full accounting, including amounts, fund sources (e.g. grant name), and reason the funds were not fully expended.

OIG Response: Please see Table 9 on the following pages for FY 19 spending, to date. Please see Table 10 for FY 18 spending. Please see Table 11 for FY 17 spending. In regards to 18.c., per the OIG's Agency Fiscal Officer within the OCFO, there is no cost allocation plan for each year. In regards to 18.d., for the OIG's MFCU federal grant, there was a difference in the grant award amount and actual grant dollars collected each year. Specifically:

- FY 17: grant award was \$ 3,031,800 and OIG collected \$ 2,098,700; a difference of \$ 933,100
- FY 18: grant award was 2,823,580 and OIG collected \$ 2,077,601; difference of \$ 745,979.

The federal MFCU grant reimburses the OIG based on actual costs. In FY 17 and FY 18, the OIG's actual costs were less than the amount budgeted, therefore we collected less than the full amount of the grant.

Table 9: FY 19 Spending, to Date.

FY 2019 First Quarter Spending				AD0 - OFFICE OF THE INSPECTOR GENERAL Report Run Date: Feb 5, 2019			Report Prompts Fiscal Year: 2019 Fiscal Month: 3 Program Level: Activity % of Year Elapsed: 25%	
Object Category	CSG	Comptroller Source Group Title	Appropriation	Current AY Expenditure (less I-D Adv)	Intra-District Advances	Encumbrance	Pre Encumbrance	Available Balance
1010 - PERSONNEL								
0100 LOCAL FUND								
PERSONNEL SERVICES	0011-PERSONNEL SERVICES	REGULAR PAY - CONT FULL TIME	\$112,691	\$0	\$0	\$0	\$0	\$112,691
	0014-PERSONNEL SERVICES	FRINGE BENEFITS - CURR PERSONNEL	\$24,341	\$0	\$0	\$0	\$0	\$24,341
PERSONNEL SERVICES			\$137,032	\$0	\$0	\$0	\$0	\$137,032
0100 LOCAL FUND			\$137,032	\$0	\$0	\$0	\$0	\$137,032
1010 - PERSONNEL			\$137,032	\$0	\$0	\$0	\$0	\$137,032
1020 - CONTRACTING AND PROCUREMENT								
0100 LOCAL FUND								
PERSONNEL SERVICES	0011-PERSONNEL SERVICES	REGULAR PAY - CONT FULL TIME	\$228,335	\$58,964	\$0	\$0	\$0	\$169,371
	0014-PERSONNEL SERVICES	FRINGE BENEFITS - CURR PERSONNEL	\$49,320	\$14,143	\$0	\$0	\$0	\$35,178
PERSONNEL SERVICES			\$277,656	\$73,107	\$0	\$0	\$0	\$204,549
NON-PERSONNEL SERVICES	0020-NON-PERSONNEL SERVICES	SUPPLIES AND MATERIALS	\$480	\$0	\$0	\$0	\$0	\$480
	0040-NON-PERSONNEL SERVICES	OTHER SERVICES AND CHARGES	\$2,360	\$502	\$0	\$0	\$0	\$1,858
NON-PERSONNEL SERVICES			\$2,840	\$502	\$0	\$0	\$0	\$2,338
0100 LOCAL FUND			\$280,496	\$73,609	\$0	\$0	\$0	\$206,887
1020 - CONTRACTING AND PROCUREMENT			\$280,496	\$73,609	\$0	\$0	\$0	\$206,887
1030 - PROPERTY MANAGEMENT								
0100 LOCAL FUND								
PERSONNEL SERVICES	0011-PERSONNEL SERVICES	REGULAR PAY - CONT FULL TIME	\$102,939	\$26,277	\$0	\$0	\$0	\$76,662
	0014-PERSONNEL SERVICES	FRINGE BENEFITS - CURR PERSONNEL	\$22,239	\$4,713	\$0	\$0	\$0	\$17,526
PERSONNEL SERVICES			\$125,174	\$30,990	\$0	\$0	\$0	\$94,184
NON-PERSONNEL SERVICES	0020-NON-PERSONNEL SERVICES	SUPPLIES AND MATERIALS	\$240	\$0	\$0	\$0	\$0	\$240
	0040-NON-PERSONNEL SERVICES	OTHER SERVICES AND CHARGES	\$12,180	\$1,816	\$6,000	\$1,000	\$0	\$3,364
NON-PERSONNEL SERVICES			\$12,420	\$1,816	\$6,000	\$1,000	\$0	\$3,604
0100 LOCAL FUND			\$137,594	\$32,806	\$6,000	\$1,000	\$0	\$97,788
1030 - PROPERTY MANAGEMENT			\$137,594	\$32,806	\$6,000	\$1,000	\$0	\$97,788
1040 - INFORMATION TECHNOLOGY								
0100 LOCAL FUND								
PERSONNEL SERVICES	0011-PERSONNEL SERVICES	REGULAR PAY - CONT FULL TIME	\$244,293	\$47,082	\$0	\$0	\$0	\$197,211
	0012-PERSONNEL SERVICES	REGULAR PAY - OTHER	\$88,199	\$0	\$0	\$0	\$0	\$88,199
	0013-PERSONNEL SERVICES	ADDITIONAL GROSS PAY	\$0	\$5,214	\$0	\$0	\$0	(\$5,214)
	0014-PERSONNEL SERVICES	FRINGE BENEFITS - CURR PERSONNEL	\$71,815	\$8,299	\$0	\$0	\$0	\$63,517
PERSONNEL SERVICES			\$404,293	\$60,595	\$0	\$0	\$0	\$343,698
NON-PERSONNEL SERVICES	0020-NON-PERSONNEL SERVICES	SUPPLIES AND MATERIALS	\$181,132	\$0	\$10,000	\$0	\$0	\$171,132
	0040-NON-PERSONNEL SERVICES	OTHER SERVICES AND CHARGES	\$721,611	\$40,381	(\$95,873)	\$246,616	\$12,583	\$477,305
NON-PERSONNEL SERVICES			\$902,743	\$40,381	(\$45,873)	\$246,616	\$12,583	\$649,037
0100 LOCAL FUND			\$1,307,036	\$100,976	(\$45,873)	\$246,616	\$12,583	\$992,735
1040 - INFORMATION TECHNOLOGY			\$1,307,036	\$100,976	(\$45,873)	\$246,616	\$12,583	\$992,735
1040 - INFO TECH								
0100 LOCAL FUND								
1050 - FINANCIAL MANAGEMENT								
0100 LOCAL FUND								
PERSONNEL SERVICES	0011-PERSONNEL SERVICES	REGULAR PAY - CONT FULL TIME	\$124,125	\$0	\$0	\$0	\$0	\$124,125
	0014-PERSONNEL SERVICES	FRINGE BENEFITS - CURR PERSONNEL	\$26,811	\$0	\$0	\$0	\$0	\$26,811
PERSONNEL SERVICES			\$150,936	\$0	\$0	\$0	\$0	\$150,936
NON-PERSONNEL SERVICES	0020-NON-PERSONNEL SERVICES	SUPPLIES AND MATERIALS	\$240	\$0	\$0	\$0	\$0	\$240
	0040-NON-PERSONNEL SERVICES	OTHER SERVICES AND CHARGES	\$126,680	\$24,950	\$99,138	\$0	\$0	\$2,553
NON-PERSONNEL SERVICES			\$126,920	\$24,950	\$99,138	\$0	\$0	\$2,833
0100 LOCAL FUND			\$277,856	\$24,950	\$99,138	\$0	\$0	\$153,769
1050 - FINANCIAL MANAGEMENT			\$277,856	\$24,950	\$99,138	\$0	\$0	\$153,769
1050 - FINANCIAL MGMT								
0100 LOCAL FUND								

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1060 - LEGAL									
0100 LOCAL FUND									
PERSONNEL SERVICES	0011-PERSONNEL SERVICES	REGULAR PAY - CONT FULL TIME	\$613,201	\$132,842	\$0	\$0	\$0	\$0	\$480,360
	0014-PERSONNEL SERVICES	FRINGE BENEFITS - CURR PERSONNEL	\$132,451	\$24,268	\$0	\$0	\$0	\$0	\$108,184
PERSONNEL SERVICES			\$745,653	\$157,110	\$0	\$0	\$0	\$0	\$588,543
NON-PERSONNEL SERVICES	0020-NON-PERSONNEL SERVICES	SUPPLIES AND MATERIALS	\$1,200	\$0	\$0	\$0	\$0	\$0	\$1,200
	0040-NON-PERSONNEL SERVICES	OTHER SERVICES AND CHARGES	\$33,000	\$2,620	\$6,000	\$418	\$0	\$0	\$23,963
NON-PERSONNEL SERVICES			\$34,200	\$2,620	\$6,000	\$418	\$0	\$0	\$25,163
0100 LOCAL FUND			\$779,853	\$159,729	\$6,000	\$418	\$0	\$0	\$613,706
1060 - LEGAL									
			\$779,853	\$159,729	\$6,000	\$418	\$0	\$0	\$613,706
1070 - FLEET MANAGEMENT									
0100 LOCAL FUND									
NON-PERSONNEL SERVICES	0040-NON-PERSONNEL SERVICES	OTHER SERVICES AND CHARGES	\$45,720	\$200	\$11,179	\$0	\$0	\$0	\$34,340
NON-PERSONNEL SERVICES			\$45,720	\$200	\$11,179	\$0	\$0	\$0	\$34,340
0100 LOCAL FUND			\$45,720	\$200	\$11,179	\$0	\$0	\$0	\$34,340
1070 - FLEET MANAGEMENT									
			\$45,720	\$200	\$11,179	\$0	\$0	\$0	\$34,340
1070 - FLEET MGMT.									
0100 LOCAL FUND									
1080 - COMMUNICATION									
0100 LOCAL FUND									
1085 - CUSTOMER SERVICE									
0100 LOCAL FUND									
PERSONNEL SERVICES	0011-PERSONNEL SERVICES	REGULAR PAY - CONT FULL TIME	\$442,300	\$63,316	\$0	\$0	\$0	\$0	\$378,984
	0014-PERSONNEL SERVICES	FRINGE BENEFITS - CURR PERSONNEL	\$95,537	\$11,565	\$0	\$0	\$0	\$0	\$83,972
PERSONNEL SERVICES			\$537,836	\$74,880	\$0	\$0	\$0	\$0	\$462,956
NON-PERSONNEL SERVICES	0020-NON-PERSONNEL SERVICES	SUPPLIES AND MATERIALS	\$3,940	\$0	\$0	\$0	\$0	\$0	\$3,940
	0040-NON-PERSONNEL SERVICES	OTHER SERVICES AND CHARGES	\$78,780	\$3,365	\$10,000	\$38,970	\$0	\$0	\$26,445
NON-PERSONNEL SERVICES			\$82,720	\$3,365	\$10,000	\$38,970	\$0	\$0	\$30,385
0100 LOCAL FUND			\$620,556	\$78,245	\$10,000	\$38,970	\$0	\$0	\$493,341
1085 - CUSTOMER SERVICE									
			\$620,556	\$78,245	\$10,000	\$38,970	\$0	\$0	\$493,341
1100 - OFFICE OF THE INSPECTOR GENERAL									
0100 LOCAL FUND									
1310 - MEDICAID FRAUD CONTROL UNIT									
0200 FEDERAL GRANT FUND									
2010 - AUDIT									
0100 LOCAL FUND									
PERSONNEL SERVICES	0011-PERSONNEL SERVICES	REGULAR PAY - CONT FULL TIME	\$2,106,114	\$543,422	\$0	\$0	\$0	\$0	\$1,562,692
	0013-PERSONNEL SERVICES	ADDITIONAL GROSS PAY	\$52,982	\$8,883	\$0	\$0	\$0	\$0	\$44,099
	0014-PERSONNEL SERVICES	FRINGE BENEFITS - CURR PERSONNEL	\$454,321	\$97,691	\$0	\$0	\$0	\$0	\$357,230
PERSONNEL SERVICES			\$2,614,017	\$649,996	\$0	\$0	\$0	\$0	\$1,964,021
NON-PERSONNEL SERVICES	0020-NON-PERSONNEL SERVICES	SUPPLIES AND MATERIALS	\$5,280	\$0	\$0	\$0	\$0	\$0	\$5,280
	0040-NON-PERSONNEL SERVICES	OTHER SERVICES AND CHARGES	\$1,909,765	\$476,795	\$0	\$708,618	\$0	\$0	\$724,352
NON-PERSONNEL SERVICES			\$1,915,045	\$476,795	\$0	\$708,618	\$0	\$0	\$729,632
0100 LOCAL FUND			\$4,529,062	\$1,126,791	\$0	\$708,618	\$0	\$0	\$2,693,654
2010 - AUDIT									
			\$4,529,062	\$1,126,791	\$0	\$708,618	\$0	\$0	\$2,693,654
2011 - AUDIT									

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2020 - INVESTIGATIONS									
0100 LOCAL FUND									
2030 - INSPECTIONS AND EVALUATIONS									
0100 LOCAL FUND									
PERSONNEL SERVICES	0011-PERSONNEL SERVICES	REGULAR PAY - CONT FULL TIME	\$1,122,562	\$179,368	\$0	\$0	\$0	\$0	\$943,194
	0013-PERSONNEL SERVICES	ADDITIONAL GROSS PAY	\$0	\$18,954	\$0	\$0	\$0	\$0	(\$18,954)
	0014-PERSONNEL SERVICES	FRINGE BENEFITS - CURR PERSONNEL	\$242,473	\$37,890	\$0	\$0	\$0	\$0	\$204,583
PERSONNEL SERVICES			\$1,365,036	\$236,212	\$0	\$0	\$0	\$0	\$1,129,224
NON-PERSONNEL SERVICES	0020-NON-PERSONNEL SERVICES	SUPPLIES AND MATERIALS	\$2,880	\$368	\$2,000	\$0	\$0	\$0	\$512
	0040-NON-PERSONNEL SERVICES	OTHER SERVICES AND CHARGES	\$31,716	\$5,575	\$0	\$3,375	\$0	\$0	\$16,766
NON-PERSONNEL SERVICES			\$34,596	\$5,943	\$2,000	\$3,375	\$0	\$0	\$17,278
0100 LOCAL FUND			\$1,399,631	\$241,754	\$2,000	\$3,375	\$0	\$0	\$1,146,502
2030 - INSPECTIONS AND EVALUATIONS									
			\$1,399,631	\$241,754	\$2,000	\$3,375	\$0	\$0	\$1,146,502
2040 - MFCUU-25%MATCH									
0100 LOCAL FUND									
2050 - MEDICAID FRAUD CONTROL UNIT									
0200 FEDERAL GRANT FUND									
3001 - EXECUTIVE									
0100 LOCAL FUND									
PERSONNEL SERVICES	0011-PERSONNEL SERVICES	REGULAR PAY - CONT FULL TIME	\$495,762	\$163,285	\$0	\$0	\$0	\$0	\$332,477
	0012-PERSONNEL SERVICES	REGULAR PAY - OTHER	\$207,621	\$70,293	\$0	\$0	\$0	\$0	\$137,328
	0014-PERSONNEL SERVICES	FRINGE BENEFITS - CURR PERSONNEL	\$151,831	\$23,066	\$0	\$0	\$0	\$0	\$128,765
PERSONNEL SERVICES			\$855,213	\$256,644	\$0	\$0	\$0	\$0	\$598,567
NON-PERSONNEL SERVICES	0020-NON-PERSONNEL SERVICES	SUPPLIES AND MATERIALS	\$960	\$370	\$0	\$0	\$0	\$0	\$590
	0040-NON-PERSONNEL SERVICES	OTHER SERVICES AND CHARGES	\$421,829	\$91,338	\$5,000	\$65,607	\$46,950	\$0	\$212,934
NON-PERSONNEL SERVICES			\$422,789	\$91,707	\$5,000	\$65,607	\$46,950	\$0	\$213,524
0100 LOCAL FUND			\$1,278,102	\$348,351	\$5,000	\$65,607	\$46,950	\$0	\$812,194
3001 - EXECUTIVE									
			\$1,278,102	\$348,351	\$5,000	\$65,607	\$46,950	\$0	\$812,194
3010 - INVESTIGATIONS									
0100 LOCAL FUND									
PERSONNEL SERVICES	0011-PERSONNEL SERVICES	REGULAR PAY - CONT FULL TIME	\$2,054,727	\$389,064	\$0	\$0	\$0	\$0	\$1,665,663
	0012-PERSONNEL SERVICES	REGULAR PAY - OTHER	\$0	\$17,422	\$0	\$0	\$0	\$0	(\$17,422)
	0013-PERSONNEL SERVICES	ADDITIONAL GROSS PAY	\$52,982	\$11,442	\$0	\$0	\$0	\$0	\$41,541
	0014-PERSONNEL SERVICES	FRINGE BENEFITS - CURR PERSONNEL	\$443,821	\$54,894	\$0	\$0	\$0	\$0	\$388,927
	0015-PERSONNEL SERVICES	OVERTIME PAY	\$0	\$301	\$0	\$0	\$0	\$0	(\$301)
PERSONNEL SERVICES			\$2,551,530	\$473,123	\$0	\$0	\$0	\$0	\$2,078,407
NON-PERSONNEL SERVICES	0020-NON-PERSONNEL SERVICES	SUPPLIES AND MATERIALS	\$4,800	\$652	\$0	\$0	\$0	\$0	\$4,148
	0040-NON-PERSONNEL SERVICES	OTHER SERVICES AND CHARGES	\$110,120	\$14,333	\$10,000	\$17,159	\$0	\$0	\$68,628
NON-PERSONNEL SERVICES			\$114,920	\$14,985	\$10,000	\$17,159	\$0	\$0	\$72,775
0100 LOCAL FUND			\$2,666,450	\$488,108	\$10,000	\$17,159	\$0	\$0	\$2,151,182
3010 - INVESTIGATIONS									
			\$2,666,450	\$488,108	\$10,000	\$17,159	\$0	\$0	\$2,151,182

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3020 - MFCU 25% MATCH									
0100 LOCAL FUND									
PERSONNEL SERVICES	0011-PERSONNEL SERVICES	REGULAR PAY - CONT FULL TIME	\$585,383	\$114,437	\$0	\$0	\$0	\$0	\$470,946
	0013-PERSONNEL SERVICES	ADDITIONAL GROSS PAY	\$0	\$95	\$0	\$0	\$0	\$0	(\$95)
	0014-PERSONNEL SERVICES	FRINGE BENEFITS - CURR PERSONNEL	\$126,443	\$18,724	\$0	\$0	\$0	\$0	\$107,719
PERSONNEL SERVICES			\$711,826	\$133,256	\$0	\$0	\$0	\$0	\$578,570
NON-PERSONNEL SERVICES	0020-NON-PERSONNEL SERVICES	SUPPLIES AND MATERIALS	\$7,341	\$84	\$0	\$0	\$0	\$0	\$7,256
	0040-NON-PERSONNEL SERVICES	OTHER SERVICES AND CHARGES	\$55,272	\$10,076	\$2,500	\$24,843	\$0	\$0	\$17,853
NON-PERSONNEL SERVICES			\$62,612	\$10,160	\$2,500	\$24,843	\$0	\$0	\$25,109
0100 LOCAL FUND			\$774,438	\$143,417	\$2,500	\$24,843	\$0	\$0	\$603,679
3020 - MFCU 25% MATCH									
3020 - MFCU 25% MATCHES									
0100 LOCAL FUND									
3030 - MEDICAID FRAUD CONTROL UNIT									
0200 FEDERAL GRANT FUND									
PERSONNEL SERVICES	0011-PERSONNEL SERVICES	REGULAR PAY - CONT FULL TIME	\$1,756,150	\$343,310	\$0	\$0	\$0	\$0	\$1,412,840
	0013-PERSONNEL SERVICES	ADDITIONAL GROSS PAY	\$0	\$285	\$0	\$0	\$0	\$0	(\$285)
	0014-PERSONNEL SERVICES	FRINGE BENEFITS - CURR PERSONNEL	\$379,328	\$56,170	\$0	\$0	\$0	\$0	\$323,158
PERSONNEL SERVICES			\$2,135,478	\$399,764	\$0	\$0	\$0	\$0	\$1,735,714
NON-PERSONNEL SERVICES	0020-NON-PERSONNEL SERVICES	SUPPLIES AND MATERIALS	\$22,022	\$253	\$0	\$0	\$0	\$0	\$21,769
	0032-NON-PERSONNEL SERVICES	RENTALS - LAND AND STRUCTURES	\$170,834	\$0	\$0	\$0	\$0	\$0	\$170,834
	0040-NON-PERSONNEL SERVICES	OTHER SERVICES AND CHARGES	\$165,816	\$30,228	\$7,500	\$74,528	\$0	\$0	\$53,558
	0050-NON-PERSONNEL SERVICES	SUBSIDIES AND TRANSFERS	\$326,039	\$0	\$0	\$0	\$0	\$0	\$326,039
NON-PERSONNEL SERVICES			\$684,709	\$30,481	\$7,500	\$74,528	\$0	\$0	\$572,199
0200 FEDERAL GRANT FUND			\$2,820,187	\$430,245	\$7,500	\$74,528	\$0	\$0	\$2,307,913
3030 - MEDICAID FRAUD CONTROL UNIT									
4011 - RISK ASSESSMENT AND FUTURE PLANNING									
0100 LOCAL FUND									
PERSONNEL SERVICES	0011-PERSONNEL SERVICES	REGULAR PAY - CONT FULL TIME	\$743,117	\$124,718	\$0	\$0	\$0	\$0	\$618,399
	0014-PERSONNEL SERVICES	FRINGE BENEFITS - CURR PERSONNEL	\$180,513	\$19,879	\$0	\$0	\$0	\$0	\$160,634
PERSONNEL SERVICES			\$903,631	\$144,598	\$0	\$0	\$0	\$0	\$759,033
NON-PERSONNEL SERVICES	0020-NON-PERSONNEL SERVICES	SUPPLIES AND MATERIALS	\$1,920	\$0	\$0	\$0	\$0	\$0	\$1,920
	0040-NON-PERSONNEL SERVICES	OTHER SERVICES AND CHARGES	\$141,320	\$4,781	\$5,000	\$4,568	\$0	\$0	\$126,871
NON-PERSONNEL SERVICES			\$143,240	\$4,781	\$5,000	\$4,568	\$0	\$0	\$128,891
0100 LOCAL FUND			\$1,046,871	\$149,379	\$5,000	\$4,568	\$0	\$0	\$887,924
4011 - RISK ASSESSMENT AND FUTURE PLANNING									
5001 - QUALITY MANAGEMENT									
0100 LOCAL FUND									
PERSONNEL SERVICES	0011-PERSONNEL SERVICES	REGULAR PAY - CONT FULL TIME	\$456,997	\$123,416	\$0	\$0	\$0	\$0	\$333,582
	0014-PERSONNEL SERVICES	FRINGE BENEFITS - CURR PERSONNEL	\$38,711	\$17,467	\$0	\$0	\$0	\$0	\$21,244
PERSONNEL SERVICES			\$555,709	\$140,883	\$0	\$0	\$0	\$0	\$414,826
NON-PERSONNEL SERVICES	0020-NON-PERSONNEL SERVICES	SUPPLIES AND MATERIALS	\$1,200	\$0	\$0	\$0	\$0	\$0	\$1,200
	0040-NON-PERSONNEL SERVICES	OTHER SERVICES AND CHARGES	\$105,545	\$11,068	\$5,000	\$48,915	\$0	\$0	\$40,562
NON-PERSONNEL SERVICES			\$106,745	\$11,068	\$5,000	\$48,915	\$0	\$0	\$41,762
0100 LOCAL FUND			\$662,454	\$151,951	\$5,000	\$48,915	\$0	\$0	\$456,588
5001 - QUALITY MANAGEMENT									
OFFICE OF THE INSPECTOR GENERAL									
			\$18,763,338	\$3,550,517	\$123,444	\$1,240,617	\$59,533	\$0	\$13,789,228
<i>The information contained in this report is unaudited and unadjusted</i>									
<i>Source: SOAREIS</i>									
<i>Page 1</i>									

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Table 10: FY 18 Spending, Final.

FY 2018 Budget Spending - Final						Report Prompts	
						AD0 - OFFICE OF THE INSPECTOR GENERAL	Fiscal Year: 2018
						Report Run Date: Feb 5, 2019	Fiscal Month: 13
							Activity
							% of Year Elapsed
Object Category	CSG	Comptroller Source Group Title	Original Appropriation	Revised Appropriation	Expenditure (less I-D Adv)	Available Balance	Variance Note
1010 - PERSONNEL							
0100 LOCAL FUND							
1020 - CONTRACTING AND PROCUREMENT							
0100 LOCAL FUND							
PERSONNEL SERVICES	0011-PERSONNEL SERVICES	REGULAR PAY - CONT FULL TIME	\$ 217,679.00	\$ 217,679.00	\$ 122,036.73	\$ 95,642.27	
	0013-PERSONNEL SERVICES	ADDITIONAL GROSS PAY	\$ -	\$ -	\$ 19,817.60	\$ (19,817.60)	
	0014-PERSONNEL SERVICES	FRINGE BENEFITS - CURR PERSONNEL	\$ 47,019.00	\$ 47,019.65	\$ 36,418.16	\$ 10,600.50	
	0015-PERSONNEL SERVICES	OVERTIME PAY	\$ -	\$ -	\$ 100.85	\$ (100.85)	
PERSONNEL SERVICES			\$ 264,698.00	\$ 264,697.65	\$ 178,373.34	\$ 86,324.32	
NON-PERSONNEL SERVICES	0020-NON-PERSONNEL SERVICES	SUPPLIES AND MATERIALS	\$ 480.00	\$ 480.00	\$ -	\$ 480.00	
	0040-NON-PERSONNEL SERVICES	OTHER SERVICES AND CHARGES	\$ 1,860.00	\$ 1,860.00	\$ 112,331.47	\$ (110,471.47)	
NON-PERSONNEL SERVICES			\$ 2,340.00	\$ 2,340.00	\$ 112,331.47	\$ (109,991.47)	
0100 LOCAL FUND			\$ 267,038.00	\$ 267,037.65	\$ 290,704.81	\$ (23,667.15)	
1020 - CONTRACTING AND PROCUREMENT			\$ 267,038.00	\$ 267,037.65	\$ 290,704.81	\$ (23,667.15)	Minor variance / realign resources with need
1030 - PROPERTY MANAGEMENT							
0100 LOCAL FUND							
PERSONNEL SERVICES	0011-PERSONNEL SERVICES	REGULAR PAY - CONT FULL TIME	\$ 96,632.00	\$ 96,632.00	\$ 102,486.86	\$ (5,854.86)	
	0014-PERSONNEL SERVICES	FRINGE BENEFITS - CURR PERSONNEL	\$ 20,873.00	\$ 20,872.51	\$ 20,215.66	\$ 658.85	
PERSONNEL SERVICES			\$ 117,505.00	\$ 117,504.51	\$ 122,702.52	\$ (5,198.01)	
NON-PERSONNEL SERVICES	0020-NON-PERSONNEL SERVICES	SUPPLIES AND MATERIALS	\$ 240.00	\$ 240.00	\$ -	\$ 240.00	
	0040-NON-PERSONNEL SERVICES	OTHER SERVICES AND CHARGES	\$ 39,680.00	\$ 39,680.00	\$ 37,339.80	\$ 2,340.20	
NON-PERSONNEL SERVICES			\$ 39,920.00	\$ 39,920.00	\$ 37,339.80	\$ 2,580.20	
0100 LOCAL FUND			\$ 157,425.00	\$ 157,424.51	\$ 160,040.32	\$ (2,615.81)	
1030 - PROPERTY MANAGEMENT			\$ 157,425.00	\$ 157,424.51	\$ 160,040.32	\$ (2,615.81)	Minor variance / realign resources with need
1040 - INFORMATION TECHNOLOGY							
0100 LOCAL FUND							
PERSONNEL SERVICES	0011-PERSONNEL SERVICES	REGULAR PAY - CONT FULL TIME	\$ 222,873.00	\$ 222,873.00	\$ 112,144.00	\$ 110,729.00	
	0012-PERSONNEL SERVICES	REGULAR PAY - OTHER	\$ 84,538.00	\$ 84,537.56	\$ 42,972.52	\$ 41,565.04	
	0014-PERSONNEL SERVICES	FRINGE BENEFITS - CURR PERSONNEL	\$ 66,401.00	\$ 66,400.69	\$ 40,971.26	\$ 25,429.42	
PERSONNEL SERVICES			\$ 373,812.00	\$ 373,811.24	\$ 196,087.78	\$ 177,724.46	
NON-PERSONNEL SERVICES	0020-NON-PERSONNEL SERVICES	SUPPLIES AND MATERIALS	\$ 178,604.00	\$ 178,604.00	\$ 7,101.77	\$ 171,502.23	
	0031-NON-PERSONNEL SERVICES	TELEPHONE, TELEGRAPH, TELEGRAM, ETC	\$ -	\$ -	\$ 5,928.69	\$ (5,928.69)	
	0040-NON-PERSONNEL SERVICES	OTHER SERVICES AND CHARGES	\$ 635,525.00	\$ 635,525.00	\$ 203,126.05	\$ 432,398.95	
NON-PERSONNEL SERVICES			\$ 832,129.00	\$ 832,129.00	\$ 216,156.51	\$ 615,972.49	
0100 LOCAL FUND			\$ 1,205,940.00	\$ 1,205,940.24	\$ 412,244.29	\$ 793,695.95	
1040 - INFORMATION TECHNOLOGY			\$ 1,205,940.00	\$ 1,205,940.24	\$ 412,244.29	\$ 793,695.95	Actual resources were less than anticipated
1040 - INFRO TECH							
0100 LOCAL FUND							
1050 - FINANCIAL MANAGEMENT							
0100 LOCAL FUND							
	0040-NON-PERSONNEL SERVICES	OTHER SERVICES AND CHARGES	\$ 145,000.00	\$ 145,000.00	\$ 56,436.72	\$ 88,563.28	
NON-PERSONNEL SERVICES			\$ 145,000.00	\$ 145,000.00	\$ 56,436.72	\$ 88,563.28	
0100 LOCAL FUND			\$ 145,000.00	\$ 145,000.00	\$ 56,436.72	\$ 88,563.28	
1050 - FINANCIAL MANAGEMENT			\$ 145,000.00	\$ 145,000.00	\$ 56,436.72	\$ 88,563.28	Minor variance / realign resources with need
1050 - FINANCIAL MGMT							
0100 LOCAL FUND							

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1060 - LEGAL										
0100 LOCAL FUND										
PERSONNEL SERVICES	0011-PERSONNEL SERVICES	REGULAR PAY - CONT FULL TIME	\$	591,458.00	\$	591,458.13	\$	487,224.66	\$	104,233.47
	0012-PERSONNEL SERVICES	REGULAR PAY - OTHER	\$	-	\$	-	\$	16,605.76	\$	(16,605.76)
	0014-PERSONNEL SERVICES	FRINGE BENEFITS - CURR PERSONNEL	\$	127,765.00	\$	127,754.96	\$	115,494.96	\$	12,260.00
PERSONNEL SERVICES			\$	719,223.00	\$	719,213.09	\$	619,325.38	\$	99,897.71
NON-PERSONNEL SERVICES	0020-NON-PERSONNEL SERVICES	SUPPLIES AND MATERIALS	\$	10,240.00	\$	10,240.00	\$	-	\$	10,240.00
	0040-NON-PERSONNEL SERVICES	OTHER SERVICES AND CHARGES	\$	46,280.00	\$	46,280.00	\$	1,674.38	\$	44,605.62
NON-PERSONNEL SERVICES			\$	56,520.00	\$	56,520.00	\$	1,674.38	\$	54,845.62
0100 LOCAL FUND			\$	775,733.00	\$	775,733.09	\$	620,999.76	\$	154,733.33
1060 - LEGAL			\$	775,733.00	\$	775,733.09	\$	620,999.76	\$	154,733.33
1070 - FLEET MANAGEMENT										
0100 LOCAL FUND										
NON-PERSONNEL SERVICES	0040-NON-PERSONNEL SERVICES	OTHER SERVICES AND CHARGES	\$	11,020.00	\$	11,020.00	\$	8,127.09	\$	2,892.91
NON-PERSONNEL SERVICES			\$	11,020.00	\$	11,020.00	\$	8,127.09	\$	2,892.91
0100 LOCAL FUND			\$	11,020.00	\$	11,020.00	\$	8,127.09	\$	2,892.91
1070 - FLEET MANAGEMENT			\$	11,020.00	\$	11,020.00	\$	8,127.09	\$	2,892.91
1070 - FLEET MGMT.										
0100 LOCAL FUND										
1080 - COMMUNICATION										
0100 LOCAL FUND										
1085 - CUSTOMER SERVICE										
0100 LOCAL FUND										
PERSONNEL SERVICES	0011-PERSONNEL SERVICES	REGULAR PAY - CONT FULL TIME	\$	673,691.00	\$	673,691.16	\$	383,078.25	\$	290,612.91
	0012-PERSONNEL SERVICES	REGULAR PAY - OTHER	\$	-	\$	-	\$	23,355.64	\$	(23,355.64)
	0013-PERSONNEL SERVICES	ADDITIONAL GROSS PAY	\$	-	\$	-	\$	69,371.72	\$	(69,371.72)
	0014-PERSONNEL SERVICES	FRINGE BENEFITS - CURR PERSONNEL	\$	145,517.00	\$	145,517.28	\$	16,777.45	\$	128,739.83
PERSONNEL SERVICES			\$	819,208.00	\$	819,208.44	\$	492,584.06	\$	326,624.38
NON-PERSONNEL SERVICES	0020-NON-PERSONNEL SERVICES	SUPPLIES AND MATERIALS	\$	17,590.00	\$	17,589.76	\$	-	\$	17,589.76
	0040-NON-PERSONNEL SERVICES	OTHER SERVICES AND CHARGES	\$	40,400.00	\$	40,400.00	\$	28,891.15	\$	11,508.85
NON-PERSONNEL SERVICES			\$	57,990.00	\$	57,989.76	\$	28,891.15	\$	29,098.61
0100 LOCAL FUND			\$	877,198.00	\$	877,198.20	\$	521,475.21	\$	355,722.99
1085 - CUSTOMER SERVICE			\$	877,198.00	\$	877,198.20	\$	521,475.21	\$	355,722.99
2010 - AUDIT										
0100 LOCAL FUND										
PERSONNEL SERVICES	0011-PERSONNEL SERVICES	REGULAR PAY - CONT FULL TIME	\$	2,041,916.00	\$	2,041,915.68	\$	1,788,754.84	\$	253,160.84
	0013-PERSONNEL SERVICES	ADDITIONAL GROSS PAY	\$	100,000.00	\$	100,000.00	\$	25,853.49	\$	74,146.51
	0014-PERSONNEL SERVICES	FRINGE BENEFITS - CURR PERSONNEL	\$	441,054.00	\$	441,053.80	\$	369,339.87	\$	71,713.93
	0015-PERSONNEL SERVICES	OVERTIME PAY	\$	-	\$	-	\$	2,229.10	\$	(2,229.10)
PERSONNEL SERVICES			\$	2,582,969.00	\$	2,582,969.48	\$	2,186,177.30	\$	396,792.18
NON-PERSONNEL SERVICES	0020-NON-PERSONNEL SERVICES	SUPPLIES AND MATERIALS	\$	5,040.00	\$	5,040.00	\$	2,346.35	\$	2,693.65
	0040-NON-PERSONNEL SERVICES	OTHER SERVICES AND CHARGES	\$	1,946,278.00	\$	1,946,278.00	\$	1,946,255.26	\$	22.74
NON-PERSONNEL SERVICES			\$	1,951,318.00	\$	1,951,318.00	\$	1,948,601.61	\$	2,716.39
0100 LOCAL FUND			\$	4,534,287.00	\$	4,534,287.48	\$	4,134,778.91	\$	399,508.57
2010 - AUDIT			\$	4,534,287.00	\$	4,534,287.48	\$	4,134,778.91	\$	399,508.57
2011 - AUDIT										
0700 OPERATING INTRA-DISTRICT FUNDS										

Minor variance / realign resources with need

Minor variance

Variance reflects actual PS less than anticipated

Variance reflects actual PS less than anticipated

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2020 - INVESTIGATIONS									
0100 LOCAL FUND									
PERSONNEL SERVICES	0011-PERSONNEL SERVICES	REGULAR PAY - CONT FULL TIME		\$0	\$	1,636.67	\$(1,636.67)		
PERSONNEL SERVICES				\$0	\$	1,636.67	\$(1,636.67)		
0100 LOCAL FUND				\$0	\$	1,636.67	\$(1,636.67)		
2020 - INVESTIGATIONS				\$0	\$	1,636.67	\$(1,636.67)		
2030 - INSPECTIONS AND EVALUATIONS									
0100 LOCAL FUND									
PERSONNEL SERVICES	0011-PERSONNEL SERVICES	REGULAR PAY - CONT FULL TIME	\$	1,089,538.00	\$	1,089,538.20	\$	970,718.87	\$(18,819.33)
	0013-PERSONNEL SERVICES	ADDITIONAL GROSS PAY	\$	-	\$	-	\$	9,338.10	\$(9,338.10)
	0014-PERSONNEL SERVICES	FRINGE BENEFITS - CURR PERSONNEL	\$	235,340.00	\$	235,340.25	\$	212,723.96	\$(22,616.39)
	0015-PERSONNEL SERVICES	OVERTIME PAY	\$	-	\$	-	\$	1,509.36	\$(1,509.36)
PERSONNEL SERVICES			\$	1,324,878.00	\$	1,324,878.45	\$	1,194,291.19	\$(130,587.26)
NON-PERSONNEL SERVICES	0020-NON-PERSONNEL SERVICES	SUPPLIES AND MATERIALS	\$	2,860.00	\$	2,860.00	\$	330.93	\$(2,529.07)
	0040-NON-PERSONNEL SERVICES	OTHER SERVICES AND CHARGES	\$	31,686.00	\$	31,686.00	\$	30,880.80	\$(805.20)
NON-PERSONNEL SERVICES			\$	34,546.00	\$	34,546.00	\$	31,211.73	\$(3,334.27)
0100 LOCAL FUND			\$	1,359,424.00	\$	1,359,424.45	\$	1,225,502.92	\$(133,921.53)
2030 - INSPECTIONS AND EVALUATIONS			\$	1,359,424.00	\$	1,359,424.45	\$	1,225,502.92	\$(133,921.53)
									Minor variance / realign resources with need
3001 - EXECUTIVE									
0100 LOCAL FUND									
PERSONNEL SERVICES	0011-PERSONNEL SERVICES	REGULAR PAY - CONT FULL TIME	\$	491,322.00	\$	491,322.09	\$	556,016.81	\$(74,693.72)
	0012-PERSONNEL SERVICES	REGULAR PAY - OTHER	\$	281,574.00	\$	281,573.71	\$	222,300.28	\$(20,726.57)
	0014-PERSONNEL SERVICES	FRINGE BENEFITS - CURR PERSONNEL	\$	147,505.00	\$	147,505.49	\$	114,355.09	\$(33,150.40)
PERSONNEL SERVICES			\$	920,401.00	\$	920,401.29	\$	892,671.18	\$(27,729.89)
NON-PERSONNEL SERVICES	0020-NON-PERSONNEL SERVICES	SUPPLIES AND MATERIALS	\$	960.00	\$	960.00	\$	24.96	\$(935.04)
	0040-NON-PERSONNEL SERVICES	OTHER SERVICES AND CHARGES	\$	236,620.00	\$	685,620.00	\$	304,387.94	\$(381,232.06)
NON-PERSONNEL SERVICES			\$	236,580.00	\$	686,580.00	\$	304,412.90	\$(382,167.10)
0100 LOCAL FUND			\$	1,066,981.00	\$	1,516,981.29	\$	1,197,084.08	\$(319,897.21)
3001 - EXECUTIVE			\$	1,066,981.00	\$	1,516,981.29	\$	1,197,084.08	\$(319,897.21)
									Variance reflects NPS needs less than anticipated

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3010 - INVESTIGATIONS										
0100 LOCAL FUND										
PERSONNEL SERVICES	0011-PERSONNEL SERVICES	REGULAR PAY - CONT FULL TIME	\$	1,953,094.00	\$	1,670,293.80	\$	1,401,544.91	\$	268,748.89
	0012-PERSONNEL SERVICES	REGULAR PAY - OTHER	\$	73,545.00	\$	3,544.84	\$	34,586.13	\$	(31,041.29)
	0013-PERSONNEL SERVICES	ADDITIONAL GROSS PAY	\$	100,000.00	\$	100,000.00	\$	3,500.79	\$	96,499.21
	0014-PERSONNEL SERVICES	FRINGE BENEFITS - CURR PERSONNEL	\$	437,764.00	\$	340,553.95	\$	258,898.26	\$	81,655.69
PERSONNEL SERVICES			\$	2,564,393.00	\$	2,114,392.59	\$	1,698,530.09	\$	415,862.50
NON-PERSONNEL SERVICES	0020-NON-PERSONNEL SERVICES	SUPPLIES AND MATERIALS	\$	5,040.00	\$	5,040.00	\$	4,114.99	\$	925.01
	0040-NON-PERSONNEL SERVICES	OTHER SERVICES AND CHARGES	\$	197,840.00	\$	197,840.00	\$	186,833.95	\$	11,006.45
NON-PERSONNEL SERVICES			\$	202,880.00	\$	202,880.00	\$	190,748.94	\$	12,131.46
0100 LOCAL FUND			\$	2,767,273.00	\$	2,317,272.59	\$	1,889,278.63	\$	427,993.96
3010 - INVESTIGATIONS			\$	2,767,273.00	\$	2,317,272.59	\$	1,889,278.63	\$	427,993.96
3020 - MFCU 25% MATCH										
0100 LOCAL FUND										
PERSONNEL SERVICES	0011-PERSONNEL SERVICES	REGULAR PAY - CONT FULL TIME	\$	564,122.00	\$	564,121.64	\$	467,787.24	\$	96,334.40
	0013-PERSONNEL SERVICES	ADDITIONAL GROSS PAY	\$	-	\$	-	\$	2,778.61	\$	(2,778.61)
	0014-PERSONNEL SERVICES	FRINGE BENEFITS - CURR PERSONNEL	\$	121,850.00	\$	121,850.29	\$	85,215.09	\$	36,635.20
	0015-PERSONNEL SERVICES	OVERTIME PAY	\$	-	\$	-	\$	19.04	\$	(19.04)
PERSONNEL SERVICES			\$	685,972.00	\$	685,971.93	\$	555,799.98	\$	130,171.95
NON-PERSONNEL SERVICES	0020-NON-PERSONNEL SERVICES	SUPPLIES AND MATERIALS	\$	8,944.00	\$	8,943.50	\$	1,260.18	\$	7,683.32
	0040-NON-PERSONNEL SERVICES	OTHER SERVICES AND CHARGES	\$	78,644.00	\$	78,644.00	\$	31,591.48	\$	47,052.52
	0050-NON-PERSONNEL SERVICES	SUBSIDIES AND TRANSFERS	\$	-	\$	-	\$	83,187.00	\$	(83,187.00)
NON-PERSONNEL SERVICES			\$	87,588.00	\$	87,587.50	\$	116,038.66	\$	(28,451.16)
0100 LOCAL FUND			\$	773,560.00	\$	773,559.43	\$	671,838.64	\$	101,720.79
3020 - MFCU 25% MATCH			\$	773,560.00	\$	773,559.43	\$	671,838.64	\$	101,720.79
3020 - MFCU 25% MATCHS										
0100 LOCAL FUND										
3030 - MEDICAID FRAUD CONTROL UNIT										
0200 FEDERAL GRANT FUND										
PERSONNEL SERVICES	0011-PERSONNEL SERVICES	REGULAR PAY - CONT FULL TIME	\$	1,692,365.00	\$	1,463,822.76	\$	1,455,488.96	\$	8,335.80
	0013-PERSONNEL SERVICES	ADDITIONAL GROSS PAY	\$	-	\$	-	\$	8,335.80	\$	(8,335.80)
	0014-PERSONNEL SERVICES	FRINGE BENEFITS - CURR PERSONNEL	\$	365,551.00	\$	285,651.88	\$	285,594.77	\$	57.11
	0015-PERSONNEL SERVICES	OVERTIME PAY	\$	-	\$	-	\$	57.11	\$	(57.11)
PERSONNEL SERVICES			\$	2,057,916.00	\$	1,729,474.64	\$	1,729,474.64	\$	-
NON-PERSONNEL SERVICES	0020-NON-PERSONNEL SERVICES	SUPPLIES AND MATERIALS	\$	26,830.00	\$	3,780.53	\$	3,780.53	\$	-
	0040-NON-PERSONNEL SERVICES	OTHER SERVICES AND CHARGES	\$	235,832.00	\$	94,774.71	\$	94,774.71	\$	-
	0050-NON-PERSONNEL SERVICES	SUBSIDIES AND TRANSFERS	\$	326,839.00	\$	249,572.00	\$	249,572.00	\$	-
NON-PERSONNEL SERVICES			\$	789,635.00	\$	348,127.24	\$	348,127.24	\$	-
0200 FEDERAL GRANT FUND			\$	2,847,551.00	\$	2,077,601.88	\$	2,077,601.88	\$	-
3030 - MEDICAID FRAUD CONTROL UNIT			\$	2,847,551.00	\$	2,077,601.88	\$	2,077,601.88	\$	-

Variance reflects PS needs less than anticipated.

Minor variance / realign resources with need

Appropriation reduced to match final expenditures

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4011 - RISK ASSESSMENT AND FUTURE PLANNING												
0100 - LOCAL FUND												
PERSONNEL SERVICES	0011-PERSONNEL SERVICES	REGULAR PAY - CONT FULL TIME	\$	731,277.00	\$	731,276.81	\$	454,443.96	\$	276,832.65		
	0013-PERSONNEL SERVICES	ADDITIONAL GROSS PAY	\$	-	\$	-	\$	469.78	\$	(469.78)		
	0014-PERSONNEL SERVICES	FRINGE BENEFITS - CURR PERSONNEL	\$	157,966.00	\$	157,995.75	\$	91,416.61	\$	66,540.14		
	0015-PERSONNEL SERVICES	OVERTIME PAY	\$	-	\$	-	\$	112.61	\$	(112.61)		
PERSONNEL SERVICES			\$	889,243.00	\$	889,232.36	\$	546,441.96	\$	342,790.40		
NON-PERSONNEL SERVICES	0020-NON-PERSONNEL SERVICES	SUPPLIES AND MATERIALS	\$	1,920.00	\$	1,920.00	\$	1,612.33	\$	307.67		
	0040-NON-PERSONNEL SERVICES	OTHER SERVICES AND CHARGES	\$	92,040.00	\$	92,040.00	\$	78,111.51	\$	13,928.49		
NON-PERSONNEL SERVICES			\$	93,960.00	\$	93,960.00	\$	79,723.84	\$	14,236.16		
0100 - LOCAL FUND			\$	983,193.00	\$	983,192.36	\$	626,165.80	\$	357,026.56		
4011 - RISK ASSESSMENT AND FUTURE PLANNING												
5001 - QUALITY MANAGEMENT												
0100 - LOCAL FUND												
PERSONNEL SERVICES	0011-PERSONNEL SERVICES	REGULAR PAY - CONT FULL TIME	\$	387,390.00	\$	387,390.00	\$	343,304.51	\$	44,085.49		
	0012-PERSONNEL SERVICES	REGULAR PAY - OTHER	\$	57,282.00	\$	57,282.44	\$	-	\$	57,282.44		
	0013-PERSONNEL SERVICES	ADDITIONAL GROSS PAY	\$	-	\$	-	\$	2,872.12	\$	(2,872.12)		
	0014-PERSONNEL SERVICES	FRINGE BENEFITS - CURR PERSONNEL	\$	96,049.00	\$	96,049.25	\$	70,499.44	\$	25,549.81		
	0015-PERSONNEL SERVICES	OVERTIME PAY	\$	-	\$	-	\$	544.72	\$	(544.72)		
PERSONNEL SERVICES			\$	540,721.00	\$	540,721.69	\$	417,220.79	\$	123,500.90		
NON-PERSONNEL SERVICES	0020-NON-PERSONNEL SERVICES	SUPPLIES AND MATERIALS	\$	1,200.00	\$	1,200.00	\$	-	\$	1,200.00		
	0040-NON-PERSONNEL SERVICES	OTHER SERVICES AND CHARGES	\$	54,520.00	\$	54,520.00	\$	54,493.15	\$	26.85		
NON-PERSONNEL SERVICES			\$	55,720.00	\$	55,720.00	\$	54,493.15	\$	1,226.85		
0100 - LOCAL FUND			\$	596,441.00	\$	596,441.69	\$	471,713.94	\$	124,727.75		
5001 - QUALITY MANAGEMENT												
			\$	596,442.00	\$	596,441.69	\$	471,713.94	\$	124,721.75	Variance reflects actual PS needs less than anticipated	
OFFICE OF THE INSPECTOR GENERAL												
			\$	18,368,064.00	\$	17,598,114.87	\$	14,365,635.67	\$	3,232,479.20	Mostly, variance reflects actual PS less than anticipated	

Table 11: FY 17 Spending Final

FY 2017 Budget Spending - Final					AD0 - OFFICE OF THE INSPECTOR Report Run Date: Feb 5, 2019		Fiscal Year: 2017 Fiscal Month: 13 Activity % of Year Elapsed		Variance Note
Object Category	CSG	Comptroller Source Group Title	Original Appropriation	Revised Appropriation	Expenditure (less I-D Adv)	Available Balance			
1010 - PERSONNEL									
0100 LOCAL FUND									
PERSONNEL SERVICES	0001-PERSONNEL SERVICES	REGULAR PAY - CONT FULL TIME	\$ 95,790.00	\$ 95,790.00	\$ 107,541.31	\$ (21,751.31)			
	0002-PERSONNEL SERVICES	REGULAR PAY - OTHER	\$ -	\$ -	\$ 4,871.70	\$ (4,871.70)			
	0003-PERSONNEL SERVICES	ADDITIONAL GROSS PAY	\$ 200,000.00	\$ 45,000.00	\$ -	\$ 45,000.00			
	0004-PERSONNEL SERVICES	FRINGE BENEFITS - CURR PERSONNEL	\$ 21,074.80	\$ 21,073.80	\$ 25,698.74	\$ (4,613.94)			
PERSONNEL SERVICES			\$ 316,864.00	\$ 161,863.80	\$ 148,098.75	\$ 13,765.05			
0100 LOCAL FUND			\$ 316,864.00	\$ 161,863.80	\$ 148,098.75	\$ 13,765.05			
1010 - PERSONNEL			\$ 316,864.00	\$ 161,863.80	\$ 148,098.75	\$ 13,765.05		Minor variance / realign resources with need	
1020 - CONTRACTING AND PROCUREMENT									
0100 LOCAL FUND									
PERSONNEL SERVICES	0001-PERSONNEL SERVICES	REGULAR PAY - CONT FULL TIME	\$ 201,495.00	\$ 201,494.64	\$ 201,473.97	\$ 20.67			
	0002-PERSONNEL SERVICES	REGULAR PAY - OTHER	\$ -	\$ -	\$ 4,871.41	\$ (4,871.41)			
	0004-PERSONNEL SERVICES	FRINGE BENEFITS - CURR PERSONNEL	\$ 44,329.00	\$ 44,328.82	\$ 38,577.95	\$ 5,750.87			
PERSONNEL SERVICES			\$ 245,823.00	\$ 245,823.46	\$ 244,923.33	\$ 900.13			
NON-PERSONNEL SERVICES	0020-NON-PERSONNEL SERVICES	SUPPLIES AND MATERIALS	\$ 1,270.00	\$ 793.00	\$ 772.90	\$ 20.10			
	0040-NON-PERSONNEL SERVICES	OTHER SERVICES AND CHARGES	\$ 5,400.00	\$ 900.00	\$ 749.92	\$ 450.08			
NON-PERSONNEL SERVICES			\$ 6,670.00	\$ 1,693.00	\$ 1,522.82	\$ 170.18			
0100 LOCAL FUND			\$ 252,493.00	\$ 247,516.46	\$ 246,446.15	\$ 1,070.31			
1020 - CONTRACTING AND PROCUREMENT			\$ 252,493.00	\$ 247,516.46	\$ 246,446.15	\$ 1,070.31		Minor variance / realign resources with need	
1030 - PROPERTY MANAGEMENT									
0100 LOCAL FUND									
PERSONNEL SERVICES	0001-PERSONNEL SERVICES	REGULAR PAY - CONT FULL TIME	\$ 97,311.00	\$ 77,310.73	\$ 76,093.48	\$ 1,217.27			
	0004-PERSONNEL SERVICES	FRINGE BENEFITS - CURR PERSONNEL	\$ 21,408.00	\$ 16,408.36	\$ 15,027.99	\$ 1,380.38			
PERSONNEL SERVICES			\$ 118,719.00	\$ 93,719.09	\$ 91,121.44	\$ 2,597.65			
NON-PERSONNEL SERVICES	0020-NON-PERSONNEL SERVICES	SUPPLIES AND MATERIALS	\$ 1,199.00	\$ 240.00	\$ -	\$ 240.00			
	0040-NON-PERSONNEL SERVICES	OTHER SERVICES AND CHARGES	\$ 86,262.00	\$ 56,092.44	\$ 55,791.91	\$ 300.53			
NON-PERSONNEL SERVICES			\$ 87,461.00	\$ 56,332.44	\$ 55,791.91	\$ 540.53			
0100 LOCAL FUND			\$ 206,181.00	\$ 150,051.53	\$ 146,913.35	\$ 3,138.18			
1030 - PROPERTY MANAGEMENT			\$ 206,181.00	\$ 150,051.53	\$ 146,913.35	\$ 3,138.18		Minor variance / realign resources with need	
1040 - INFORMATION TECHNOLOGY									
0100 LOCAL FUND									
PERSONNEL SERVICES	0001-PERSONNEL SERVICES	REGULAR PAY - CONT FULL TIME	\$ 333,795.00	\$ 243,795.26	\$ 107,196.23	\$ 126,598.03			
	0002-PERSONNEL SERVICES	REGULAR PAY - OTHER	\$ -	\$ -	\$ 88,536.84	\$ (88,536.84)			
	0003-PERSONNEL SERVICES	ADDITIONAL GROSS PAY	\$ -	\$ -	\$ 22,074.93	\$ (22,074.93)			
	0004-PERSONNEL SERVICES	FRINGE BENEFITS - CURR PERSONNEL	\$ 73,435.00	\$ 73,434.96	\$ 50,991.83	\$ 22,443.13			
PERSONNEL SERVICES			\$ 407,230.00	\$ 317,230.22	\$ 278,799.83	\$ 38,430.39			
NON-PERSONNEL SERVICES	0020-NON-PERSONNEL SERVICES	SUPPLIES AND MATERIALS	\$ 352,021.00	\$ 241,321.40	\$ 191,358.22	\$ 160,662.38			
	0031-NON-PERSONNEL SERVICES	TELEPHONE, TELEGRAPH, TELEGRAM, ETC	\$ -	\$ -	\$ 11,656.50	\$ (11,656.50)			
	0040-NON-PERSONNEL SERVICES	OTHER SERVICES AND CHARGES	\$ 241,114.00	\$ 185,114.00	\$ 165,467.15	\$ 75,646.85			
NON-PERSONNEL SERVICES			\$ 593,135.00	\$ 426,435.48	\$ 348,499.87	\$ 77,935.61			
0100 LOCAL FUND			\$ 1,000,366.00	\$ 743,665.70	\$ 627,299.70	\$ 116,366.00			
1040 - INFORMATION TECHNOLOGY			\$ 1,000,366.00	\$ 743,665.70	\$ 627,299.70	\$ 116,366.00		Variance reflects actual NPS less than anticipated.	

Office of the Inspector General ■ FY 18-19 Performance Hearing Responses

1040 - INFRO TECH										
0100 LOCAL FUND										
1050 - FINANCIAL MANAGEMENT										
0100 LOCAL FUND										
PERSONNEL SERVICES	0001-PERSONNEL SERVICES	REGULAR PAY - CONT FULL TIME	\$	123,405.00	\$	123,405.33	\$	80,069.48	\$	43,335.85
	0002-PERSONNEL SERVICES	REGULAR PAY - OTHER	\$	-	\$	-	\$	4,871.41	\$	(4,871.41)
	0004-PERSONNEL SERVICES	FRINGE BENEFITS - CURR PERSONNEL	\$	27,149.00	\$	27,148.17	\$	13,542.25	\$	13,606.92
PERSONNEL SERVICES			\$	150,554.00	\$	150,554.50	\$	98,483.14	\$	52,071.36
NON-PERSONNEL SERVICES	0020-NON-PERSONNEL SERVICES	SUPPLIES AND MATERIALS	\$	1,199.00	\$	240.00	\$	-	\$	240.00
	0040-NON-PERSONNEL SERVICES	OTHER SERVICES AND CHARGES	\$	1,800.00	\$	300.00	\$	13.58	\$	286.42
NON-PERSONNEL SERVICES			\$	2,999.00	\$	540.00	\$	13.58	\$	526.42
0100 LOCAL FUND			\$	153,554.00	\$	151,094.50	\$	98,496.72	\$	52,597.78
1050 - FINANCIAL MANAGEMENT			\$	153,554.00	\$	151,094.50	\$	98,496.72	\$	52,597.78
1050 - FINANCIAL MGMT										
0100 LOCAL FUND										
1060 - LEGAL										
0100 LOCAL FUND										
PERSONNEL SERVICES	0001-PERSONNEL SERVICES	REGULAR PAY - CONT FULL TIME	\$	552,316.00	\$	552,315.93	\$	488,572.78	\$	63,743.15
	0002-PERSONNEL SERVICES	REGULAR PAY - OTHER	\$	-	\$	-	\$	28,102.18	\$	(28,102.18)
	0003-PERSONNEL SERVICES	ADDITIONAL GROSS PAY	\$	-	\$	-	\$	21,228.17	\$	(21,228.17)
	0004-PERSONNEL SERVICES	FRINGE BENEFITS - CURR PERSONNEL	\$	121,510.00	\$	121,509.50	\$	135,591.18	\$	(14,081.68)
PERSONNEL SERVICES			\$	673,826.00	\$	673,825.43	\$	674,494.31	\$	(668.88)
NON-PERSONNEL SERVICES	0020-NON-PERSONNEL SERVICES	SUPPLIES AND MATERIALS	\$	7,194.00	\$	4.00	\$	-	\$	4.00
	0040-NON-PERSONNEL SERVICES	OTHER SERVICES AND CHARGES	\$	53,577.00	\$	11,077.00	\$	10,475.39	\$	801.61
NON-PERSONNEL SERVICES			\$	60,771.00	\$	11,081.00	\$	10,475.39	\$	605.61
0100 LOCAL FUND			\$	734,596.00	\$	684,906.43	\$	684,969.70	\$	(63.27)
1060 - LEGAL			\$	734,596.00	\$	684,906.43	\$	684,969.70	\$	(63.27)
1070 - FLEET MANAGEMENT										
0100 LOCAL FUND										
NON-PERSONNEL SERVICES	0040-NON-PERSONNEL SERVICES	OTHER SERVICES AND CHARGES	\$	40,720.00	\$	24,820.00	\$	14,966.82	\$	9,853.18
NON-PERSONNEL SERVICES			\$	40,720.00	\$	24,820.00	\$	14,966.82	\$	9,853.18
0100 LOCAL FUND			\$	40,720.00	\$	24,820.00	\$	14,966.82	\$	9,853.18
1070 - FLEET MGMT.										
0100 LOCAL FUND										
1080 - COMMUNICATION										
0100 LOCAL FUND										
1085 - CUSTOMER SERVICE										
0100 LOCAL FUND										
PERSONNEL SERVICES	0001-PERSONNEL SERVICES	REGULAR PAY - CONT FULL TIME	\$	497,022.00	\$	340,021.97	\$	330,893.40	\$	8,212.57
	0002-PERSONNEL SERVICES	REGULAR PAY - OTHER	\$	-	\$	-	\$	4,871.41	\$	(4,871.41)
	0004-PERSONNEL SERVICES	FRINGE BENEFITS - CURR PERSONNEL	\$	109,345.00	\$	98,344.83	\$	98,725.65	\$	2,619.19
PERSONNEL SERVICES			\$	606,367.00	\$	439,366.80	\$	432,406.46	\$	6,960.34
NON-PERSONNEL SERVICES	0020-NON-PERSONNEL SERVICES	SUPPLIES AND MATERIALS	\$	13,291.00	\$	1.00	\$	-	\$	1.00
	0040-NON-PERSONNEL SERVICES	OTHER SERVICES AND CHARGES	\$	47,160.00	\$	24,760.00	\$	20,793.03	\$	3,966.97
NON-PERSONNEL SERVICES			\$	60,451.00	\$	24,761.00	\$	20,793.03	\$	3,967.97
0100 LOCAL FUND			\$	666,818.00	\$	464,127.80	\$	453,199.49	\$	10,928.31
1085 - CUSTOMER SERVICE			\$	666,818.00	\$	464,127.80	\$	453,199.49	\$	10,928.31

Variance reflects actual PS less than anticipated

Minor variance / realign resources with need

Variance reflects actual fleet expenses less than anticipated.

Minor variance / realign resources with need

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Audit										
0100 LOCAL FUND										
PERSONNEL SERVICES	0011-PERSONNEL SERVICES	REGULAR PAY - CONT FULL TIME	\$	1,933,592.00	\$	1,933,591.62	\$	2,073,254.41	\$	(139,662.89)
	0012-PERSONNEL SERVICES	REGULAR PAY - OTHER	\$	132,103.00	\$	132,103.06	\$	9,386.22	\$	122,706.84
	0013-PERSONNEL SERVICES	ADDITIONAL GROSS PAY	\$	-	\$	-	\$	29,936.97	\$	(29,936.97)
	0014-PERSONNEL SERVICES	FRINGE BENEFITS - CURR PERSONNEL	\$	454,453.00	\$	454,452.82	\$	416,178.35	\$	38,274.47
	0015-PERSONNEL SERVICES	OVERTIME PAY	\$	-	\$	-	\$	871.63	\$	(871.63)
PERSONNEL SERVICES			\$	2,520,147.00	\$	2,520,147.40	\$	2,528,637.58	\$	(8,490.18)
	0040-NON-PERSONNEL SERVICES	OTHER SERVICES AND CHARGES	\$	2,600,095.00	\$	2,595,095.00	\$	2,519,602.96	\$	15,452.04
NON-PERSONNEL SERVICES			\$	2,600,095.00	\$	2,595,095.00	\$	2,519,602.96	\$	15,452.04
0100 LOCAL FUND			\$	5,126,442.00	\$	5,095,202.40	\$	5,048,240.54	\$	6,361.86
2010 - AUDIT			\$	5,126,442.00	\$	5,095,202.40	\$	5,048,240.54	\$	6,361.86
2011 - AUDIT										
0700 OPERATING INTRA-DISTRICT FUNDS										
2020 - INVESTIGATIONS										
0100 LOCAL FUND										
2030 - INSPECTIONS AND EVALUATIONS										
0100 LOCAL FUND										
PERSONNEL SERVICES	0011-PERSONNEL SERVICES	REGULAR PAY - CONT FULL TIME	\$	1,040,029.00	\$	1,000,028.76	\$	987,935.07	\$	12,093.69
	0012-PERSONNEL SERVICES	REGULAR PAY - OTHER	\$	-	\$	-	\$	5,314.24	\$	(5,314.24)
	0013-PERSONNEL SERVICES	ADDITIONAL GROSS PAY	\$	-	\$	-	\$	1,080.68	\$	(1,080.68)
	0014-PERSONNEL SERVICES	FRINGE BENEFITS - CURR PERSONNEL	\$	228,806.00	\$	228,806.32	\$	198,490.48	\$	30,315.94
PERSONNEL SERVICES			\$	1,268,835.00	\$	1,228,835.08	\$	1,192,820.45	\$	36,014.63
	0020-NON-PERSONNEL SERVICES	SUPPLIES AND MATERIALS	\$	3,125.00	\$	6,825.00	\$	6,400.00	\$	125.00
	0040-NON-PERSONNEL SERVICES	OTHER SERVICES AND CHARGES	\$	51,170.00	\$	6,770.00	\$	6,893.00	\$	710.00
NON-PERSONNEL SERVICES			\$	60,295.00	\$	13,295.00	\$	13,893.00	\$	196.00
0100 LOCAL FUND			\$	1,329,130.00	\$	1,242,130.08	\$	1,205,919.45	\$	36,210.63
2030 - INSPECTIONS AND EVALUATIONS			\$	1,329,130.00	\$	1,242,130.08	\$	1,205,919.45	\$	36,210.63
3001 - EXECUTIVE										
0100 LOCAL FUND										
PERSONNEL SERVICES	0011-PERSONNEL SERVICES	REGULAR PAY - CONT FULL TIME	\$	427,510.00	\$	70,510.00	\$	224,854.29	\$	(184,344.29)
	0012-PERSONNEL SERVICES	REGULAR PAY - OTHER	\$	195,703.00	\$	73,702.63	\$	157,825.56	\$	(84,122.93)
	0014-PERSONNEL SERVICES	FRINGE BENEFITS - CURR PERSONNEL	\$	137,547.00	\$	71,546.78	\$	65,452.12	\$	6,064.66
PERSONNEL SERVICES			\$	762,759.00	\$	215,759.41	\$	448,161.97	\$	(232,402.56)
	0020-NON-PERSONNEL SERVICES	SUPPLIES AND MATERIALS	\$	3,160.00	\$	360.00	\$	267.43	\$	92.57
	0040-NON-PERSONNEL SERVICES	OTHER SERVICES AND CHARGES	\$	526,660.00	\$	678,360.00	\$	778,391.28	\$	(100,631.28)
NON-PERSONNEL SERVICES			\$	529,820.00	\$	678,720.00	\$	779,258.71	\$	(100,538.71)
0100 LOCAL FUND			\$	1,292,579.00	\$	894,479.41	\$	1,227,420.68	\$	(332,941.27)
3001 - EXECUTIVE			\$	1,292,579.00	\$	894,479.41	\$	1,227,420.68	\$	(332,941.27)
3010 - INVESTIGATIONS										
0100 LOCAL FUND										
PERSONNEL SERVICES	0011-PERSONNEL SERVICES	REGULAR PAY - CONT FULL TIME	\$	1,933,475.00	\$	1,890,476.22	\$	1,641,321.64	\$	209,153.86
	0012-PERSONNEL SERVICES	REGULAR PAY - OTHER	\$	123,438.00	\$	123,438.00	\$	40,689.79	\$	92,749.29
	0013-PERSONNEL SERVICES	ADDITIONAL GROSS PAY	\$	-	\$	-	\$	120,828.37	\$	(120,828.37)
	0014-PERSONNEL SERVICES	FRINGE BENEFITS - CURR PERSONNEL	\$	452,521.00	\$	452,520.94	\$	339,162.07	\$	113,338.87
	0015-PERSONNEL SERVICES	OVERTIME PAY	\$	-	\$	-	\$	4,821.44	\$	(4,821.44)
PERSONNEL SERVICES			\$	2,509,434.00	\$	2,426,434.24	\$	2,146,842.31	\$	279,591.93
	0020-NON-PERSONNEL SERVICES	SUPPLIES AND MATERIALS	\$	6,412.00	\$	111.99	\$	-	\$	111.99
	0040-NON-PERSONNEL SERVICES	OTHER SERVICES AND CHARGES	\$	201,580.00	\$	174,820.00	\$	154,075.18	\$	20,744.82
NON-PERSONNEL SERVICES			\$	207,992.00	\$	174,931.99	\$	154,075.18	\$	20,856.81
0100 LOCAL FUND			\$	2,717,426.00	\$	2,601,366.23	\$	2,300,917.49	\$	300,448.74
3010 - INVESTIGATIONS			\$	2,717,426.00	\$	2,601,366.23	\$	2,300,917.49	\$	300,448.74
3020 - MFCU 25% MATCH										
0100 LOCAL FUND										
PERSONNEL SERVICES	0011-PERSONNEL SERVICES	REGULAR PAY - CONT FULL TIME	\$	546,358.00	\$	490,397.86	\$	492,236.43	\$	(1,078.57)
	0013-PERSONNEL SERVICES	ADDITIONAL GROSS PAY	\$	-	\$	-	\$	4,407.72	\$	(4,407.72)
	0014-PERSONNEL SERVICES	FRINGE BENEFITS - CURR PERSONNEL	\$	120,193.00	\$	90,198.75	\$	86,796.24	\$	3,402.51
PERSONNEL SERVICES			\$	666,551.00	\$	580,596.61	\$	583,440.39	\$	(2,883.78)
	0020-NON-PERSONNEL SERVICES	SUPPLIES AND MATERIALS	\$	67,296.00	\$	67,295.75	\$	6,782.41	\$	24,345.09
	0031-NON-PERSONNEL SERVICES	TELEPHONE, TELEGRAPH, TELEGRAM, ETC	\$	3,282.00	\$	3,282.40	\$	-	\$	3,282.40
	0032-NON-PERSONNEL SERVICES	RENTALS - LAND AND STRUCTURES	\$	56,344.00	\$	16,344.50	\$	-	\$	16,344.50
	0035-NON-PERSONNEL SERVICES	OCCUPANCY FIXED COSTS	\$	372.00	\$	371.88	\$	-	\$	371.88
	0040-NON-PERSONNEL SERVICES	OTHER SERVICES AND CHARGES	\$	61,751.00	\$	61,751.42	\$	34,344.51	\$	19,906.31
	0041-NON-PERSONNEL SERVICES	CONTRACTUAL SERVICES - OTHER	\$	-	\$	-	\$	507.55	\$	(507.55)
	0050-NON-PERSONNEL SERVICES	SUBSIDIES AND TRANSFERS	\$	-	\$	-	\$	82,343.67	\$	(82,343.67)
NON-PERSONNEL SERVICES			\$	189,636.00	\$	149,635.35	\$	123,978.14	\$	(17,400.44)
0100 LOCAL FUND			\$	856,193.00	\$	730,192.56	\$	707,418.53	\$	(20,284.22)
3020 - MFCU 25% MATCH			\$	856,193.00	\$	730,192.56	\$	707,418.53	\$	(20,284.22)

Minor variance / realign resources with need

Minor variance / realign resources with need

Actual PS and NPS expenses more than planned.

Variance reflects actual PS expenses less than anticipated

Minor variance

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3030 - MEDICAID FRAUD CONTROL UNIT										
0200 FEDERAL GRANT FUND										
PERSONNEL SERVICES	0011-PERSONNEL SERVICES	REGULAR PAY - CONT FULL TIME	\$	1,639,074.00	\$	1,639,073.67	\$	1,443,510.09	\$	189,563.58
	0013-PERSONNEL SERVICES	ADDITIONAL GROSS PAY	\$	-	\$	-	\$	13,223.12	\$	(13,223.12)
	0014-PERSONNEL SERVICES	FRINGE BENEFITS - CURR PERSONNEL	\$	360,596.00	\$	360,596.21	\$	255,024.57	\$	105,571.64
PERSONNEL SERVICES			\$	1,999,670.00	\$	1,999,669.88	\$	1,717,757.78	\$	281,912.10
NON-PERSONNEL SERVICES	0020-NON-PERSONNEL SERVICES	SUPPLIES AND MATERIALS	\$	201,857.00	\$	201,857.25	\$	18,763.35	\$	78,413.15
	0031-NON-PERSONNEL SERVICES	TELEPHONE, TELEGRAPH, TELEGRAM, ETC	\$	9,847.00	\$	9,847.21	\$	-	\$	9,847.21
	0032-NON-PERSONNEL SERVICES	RENTALS - LAND AND STRUCTURES	\$	178,834.00	\$	170,833.50	\$	-	\$	170,833.50
	0035-NON-PERSONNEL SERVICES	OCCUPANCY FIXED COSTS	\$	1,116.00	\$	1,116.54	\$	-	\$	1,116.54
	0040-NON-PERSONNEL SERVICES	OTHER SERVICES AND CHARGES	\$	185,254.00	\$	210,757.52	\$	102,350.66	\$	88,306.88
	0041-NON-PERSONNEL SERVICES	CONTRACTUAL SERVICES - OTHER	\$	-	\$	-	\$	(152.65)	\$	(152.65)
	0050-NON-PERSONNEL SERVICES	SUBSIDIES AND TRANSFERS	\$	-	\$	434,719.00	\$	247,030.98	\$	187,688.02
NON-PERSONNEL SERVICES			\$	568,908.00	\$	1,032,130.12	\$	369,673.84	\$	533,281.53
0200 FEDERAL GRANT FUND			\$	2,568,578.00	\$	3,031,800.00	\$	2,987,431.62	\$	815,193.63
3030 - MEDICAID FRAUD CONTROL UNIT										
4011 - RISK ASSESSMENT AND FUTURE PLANNING										
0100 LOCAL FUND										
PERSONNEL SERVICES	0011-PERSONNEL SERVICES	REGULAR PAY - CONT FULL TIME	\$	700,801.00	\$	404,800.69	\$	384,886.35	\$	19,914.34
	0013-PERSONNEL SERVICES	ADDITIONAL GROSS PAY	\$	-	\$	-	\$	18,625.63	\$	(18,625.63)
	0014-PERSONNEL SERVICES	FRINGE BENEFITS - CURR PERSONNEL	\$	154,176.00	\$	77,176.16	\$	72,190.76	\$	4,985.40
PERSONNEL SERVICES			\$	854,977.00	\$	481,976.85	\$	475,802.74	\$	6,174.11
NON-PERSONNEL SERVICES	0020-NON-PERSONNEL SERVICES	SUPPLIES AND MATERIALS	\$	3,020.00	\$	20.00	\$	-	\$	20.00
	0040-NON-PERSONNEL SERVICES	OTHER SERVICES AND CHARGES	\$	166,235.00	\$	54,635.00	\$	45,906.28	\$	8,728.72
NON-PERSONNEL SERVICES			\$	169,255.00	\$	54,655.00	\$	45,906.28	\$	8,748.72
0100 LOCAL FUND			\$	1,024,232.00	\$	536,631.85	\$	521,709.02	\$	14,922.83
4011 - RISK ASSESSMENT AND FUTURE PLANNING										
5001 - QUALITY MANAGEMENT										
0100 LOCAL FUND										
PERSONNEL SERVICES	0011-PERSONNEL SERVICES	REGULAR PAY - CONT FULL TIME	\$	297,578.00	\$	284,578.07	\$	283,389.14	\$	1,888.93
	0013-PERSONNEL SERVICES	ADDITIONAL GROSS PAY	\$	-	\$	-	\$	1,490.38	\$	(1,490.38)
	0014-PERSONNEL SERVICES	FRINGE BENEFITS - CURR PERSONNEL	\$	65,467.00	\$	62,467.18	\$	62,129.49	\$	337.69
PERSONNEL SERVICES			\$	363,045.00	\$	347,045.25	\$	347,009.01	\$	36.24
NON-PERSONNEL SERVICES	0020-NON-PERSONNEL SERVICES	SUPPLIES AND MATERIALS	\$	3,070.00	\$	270.00	\$	-	\$	270.00
	0040-NON-PERSONNEL SERVICES	OTHER SERVICES AND CHARGES	\$	70,170.00	\$	37,470.00	\$	31,741.19	\$	5,738.81
NON-PERSONNEL SERVICES			\$	73,240.00	\$	37,740.00	\$	31,741.19	\$	5,998.81
0100 LOCAL FUND			\$	436,285.00	\$	384,785.25	\$	378,750.20	\$	6,035.05
5001 - QUALITY MANAGEMENT										
OFFICE OF THE INSPECTOR GENERAL										
			\$	18,722,457.00	\$	17,104,634.00	\$	15,994,195.21	\$	1,038,205.79

Variance reflects actual PS and NPS expenses less than anticipated.

Minor variance

Minor variance

Mostly, variance reflects actual PS expenses less than anticipated.

The information contained in this report is unaudited and unaudited

Source: SCAR EIS

19. Please provide a table listing all intra-District transfers for FY 18 and FY 19 (YTD), as well as anticipated transfers for the remainder of FY 19.
- a. For each transfer, include the following details:
 - i. Buyer agency;
 - ii. Seller agency;
 - iii. The program and activity codes and names in the sending and receiving agencies' budgets;
 - iv. Funding source (i.e. local, federal, SPR);
 - v. Description of MOU services;
 - vi. Total MOU amount, including any modifications;
 - vii. Whether a letter of intent was executed for FY or FY19 and if so, on what date;
 - viii. The date of the submitted request from or to the other agency for the transfer;
 - ix. The dates of signatures on the relevant MOU; and
 - x. The date funds were transferred to the receiving agency
 - b. Attach copies of all intra-district transfer MOUs or MOAs, other than those for overhead or logistical services, such as routine IT services or security.
 - c. Please list any additional intra-district transfers planned for FY19, including the anticipated agency(ies), purposes, and dollar amounts.

OIG Response: Please see Table 12, below.

Table 12: Intra-District Transfers

OIG FY18 Intra-District Transfers											
Buyer agency name	Seller agency name	Seller Program name	Seller Program code	Buyer Activity name	Buyer Activity code	Original funding source (i.e. local, federal, SPR)	Service period (dates)	Description of MOU services, including name of project or initiative	Total MOU amount (\$), including any modifications	(Final) Date of signature on letter of intent	Date that funds were transferred to the buyer agency
Office of the Inspector General	Office of Finance and Resource Management	Budget Formulation and Planning	22000	Financial Management	1050	Local	10/1/2017-9/30/2018	Provide Financial Services	\$ 125,000.00		2/28/2018
Office of the Inspector General	Fire and Emergency Medical Services	Puble Outreach (CPR/AED)	56020	Investigations	3010	Local	10/1/2017-9/30/2018	CPR Certification	\$ 1,800.00	5/23/2018	5/29/2018
Office of the Inspector General	Office of the Chief Technology Officer		40036	Info Tech	8010	Capital	10/1/2017-9/30/2018	Network Upgrade	\$ 165,547.30	7/20/2018	7/25/2018
OIG FY19 Intra-District Transfers											
Buyer agency name	Seller agency name	Seller Program name	Seller Program code	Buyer Activity name	Buyer Activity code	Original funding source (i.e. local, federal, SPR)	Service period (dates)	Description of MOU services, including name of project or initiative	Total MOU amount (\$), including any modifications	(Final) Date of signature on letter of intent	Date that funds were transferred to the buyer agency
Office of the Inspector General	Office of Finance and Resource Management		45100	Financial Management	1050	Local	10/1/2018-9/30/2019	Provide Financial Services	\$ 124,087.42		11/1/2018

20. Please provide a table listing every reprogramming of funds (i.e. local, federal and SPR) into and out of the agency for FY 18 and FY 19, to date, as well as anticipated inter-agency reprogrammings for the remainder of FY19. Please attach copies of the reprogramming documents, including the Agency Fiscal Officer's request memo and the attached reprogramming chart. For each reprogramming, include:
- a. The reprogramming number;
 - b. The sending or receiving agency name;
 - c. The date;
 - d. The dollar amount;
 - e. The funding source (i.e. local, federal, SPR);
 - f. The program, activity, and CSG codes for the originating funds;
 - g. The program, activity, and CSG codes for the received funds; and
 - h. A detailed rationale for the reprogramming.

OIG Response: For FY 18 and FY 19, to date, there have not been any reprogrammings

21. Please list, in chronological order, every reprogramming *within* your agency during fiscal year 2018 and 2019, to date, as well as any anticipated intra-agency reprogrammings. Please attach copies of any reprogramming documents. For each reprogramming, include:
- The date;
 - The dollar amount;
 - The funding source (i.e. local, federal, SPR);
 - The program, activity, and CSG codes for the originating funds;
 - The program, activity, and CSG codes for the received funds; and
 - A detailed rationale for the reprogramming.

OIG Response: Please see Table 13 below.

Table 13: In-Agency Reprogrammings

OIG REPROGRAMMINGS WITHIN AGENCY, FY18 (Q21)									
Date of execution (actual or expected)	Dollar amount (actual or expected)	Originating funding source (i.e. local, federal, SPR)	Originating funds			Received funds			Detailed rationale for the reprogramming
			Program code	Activity code	CSG code	Program code	Activity code	CSG code	
6/6/2018	\$450,000.00	Local	Various	Various	Various	30100	3010	0040-0408	To support grant risk assessment initiative
OIG REPROGRAMMINGS WITHIN AGENCY, FY19 (Q21)									
Date of execution (actual or expected)	Dollar amount (actual or expected)	Originating funding source (i.e. local, federal, SPR)	Originating funds			Received funds			Detailed rationale for the reprogramming
			Program code	Activity code	CSG code	Program code	Activity code	CSG code	
N/A									

22. For FY 18 and FY 19, to date, please identify any special purpose revenue funds maintained by, used by, or available for use by the agency. For each fund identified, provide:
- The revenue source name and fund code;
 - A description of the program that generates the funds;
 - The revenue funds generated annually by each source or program;
 - Expenditures of funds, including the purpose of each expenditure; and
 - The current fund balance (i.e. budget versus revenue)

OIG Response: Not applicable. The OIG does not have any special purpose revenue funds.

23. Please list all all memoranda of understanding (“MOU”) and memoranda of agreement (“MOA”) entered into by your agency during FY 18 and FY 19, to date, as well as any MOU or MOA currently in force. (You do not need to repeat any intra-district MOUs that were covered in the question above on intra-district transfers.)
- For each MOU, indicate:
 - The parties to the MOU or MOA
 - Whether a letter of intent was signed in the previous fiscal year and if so, on what date,
 - The date on which the MOU or MOA was entered,
 - The actual or anticipated termination date,
 - The purpose, and
 - The dollar amount.
 - Attach copies of all MOUs or MOAs, other than those for overhead or logistical services, such as routine IT services or security.
 - Please list any additional MOUs and MOAs planned for FY19, including the anticipated agency(ies), purposes, and dollar amounts.

OIG Response: Please see Table 14, on the following page.

Table 14: OIG MOUs/MOAs

Agency	Letter of Intent?	Scope of Agreement	Date Established (or Revised)	Anticipated Termination Date	Dollar Amount
Metropolitan Police Department (MPD)	No	Employee investigations and fingerprinting.	2015	n/a	Reimbursable per service
Department of Healthcare Finance (DHCF)	No	Information sharing and deconfliction of responsibilities.	2015	n/a	n/a
Criminal Justice Coordinating Council (CJCC)	No	Access to CJCC's Justice Information System.	2016 (Revised July 2018)	n/a	n/a
Office of the Chief Financial Officer (OCFO)	No	Financial services for the OIG.	April 2016	n/a	n/a
United States Treasury Department	No	Access to the Financial Crimes Enforcement Network.	June 2016	n/a	n/a
MPD	No	Access to the Washington Area Law Enforcement System (WALEs) and National Crime Information Center (NCIC).	June 2016	n/a	n/a
The Council of the District of Columbia	No	Purchase of the D.C. Official Code for FY 18.	2017	n/a	n/a
U.S. Government Accountability Office	No	Training – Center for Audit Excellence.	2018	n/a	\$5,700
District Department of Human Resources (DCHR)	No	Executive Leadership Program (2 candidates)	2018	n/a	\$12,000 each
Department of Forensic Sciences	No	Digital forensic investigative services.	2017	n/a	Reimbursable per service
Office of the Chief Technology Officer (OCTO)	No	Microsoft Office 365	2018	n/a	\$23,875
OCTO	No	Granicus Software	2018	n/a	\$37,731.95
OCTO	No	Hardware Upgrades (Network Switch)	2019	n/a	Reimbursable per service
Office of the State Superintendent for Education (OSSE)	No	Data sharing agreement	2019	n/a	n/a
OSSE	No	Investigative Support	2019	n/a	n/a
Treasury Inspector General for Tax Administration (TIGTA)	No	Investigative technical support	Planned for 2019	n/a	Reimbursable per service
Health and Human Services Office of Inspector General (HHS-OIG)	No	Investigative training support.	Planned for 2019.	n/a	Reimbursable per service

24. Please list all capital projects in the financial plan and provide an update on all capital projects under the agency's purview in FY 18 and FY 19, to date, including projects that are managed or overseen by another agency or entity. Please provide:

- a. A brief description of each project begun, in progress, or concluded in FY 17, FY 18, and FY 19, to date;
- b. A status report on all capital projects including:
 - a. The amount budgeted, actual dollars spent, and any remaining balances;
 - b. Start and completion dates; and
 - c. Current status of the project.
- c. A list of which projects are experiencing delays and which require additional funding;
- d. A status report on all capital projects planned for FY 19, FY 20, FY 21, FY 22, and FY 23; and
- e. A description of whether the capital projects begun, in progress, or concluded in FY 17, FY 18, or FY 19, to date, had an impact on the operating budget of the agency; if so, please provide an accounting of such impact.

OIG Response:

- a. In FY 17, the OIG initiated hardware, software, and infrastructure upgrades related to the OIG's IT systems.
- b. Please see Table 15, below.

Table 15: OIG Capital Projects

Government Operations Cluster												
NPS Life-to-Date Capital Spending By Project and Phase												
(Project phases with Life-to-Date)												
As of Feb 6, 2019												
Project No	Project Ph	Project Title	Fund Detail	Lifetime Budget	LTD Allotments	Cash Expend Act	Accrued Expend Act	Encumbrances	Intra-District Advances	Pre-encumbrances	One Year Allotment Balance	Six Year Lifetime Balance
AD101C	06	IT UPGRADE	0300	783,510.47	783,510.47	180,515.60	0.00	276,480.66	0.00	0.00	326,514.21	326,514.21
	07			693,682.18	693,682.18	246,595.01	0.00	0.00	0.00	0.00	447,087.17	447,087.17
	08			610,000.00	610,000.00	0.00	0.00	0.00	0.00	0.00	610,000.00	610,000.00
	06		0301	310,171.20	310,171.20	310,171.20	0.00	0.00	0.00	0.00	0.00	0.00
	07			199,999.48	199,999.48	199,999.48	0.00	0.00	0.00	0.00	0.00	0.00
	08			283,681.67	283,681.67	190,014.65	0.00	0.00	59,588.41	0.00	34,938.61	34,938.61
AD101C				2,881,045.00	2,881,045.00	1,127,295.94	0.00	276,480.66	59,588.41	0.00	1,417,679.99	1,417,679.99
				2,881,045.00	2,881,045.00	1,127,295.94	0.00	276,480.66	59,588.41	0.00	1,417,679.99	1,417,679.99


- c. None.
- d. In FY 19, the OIG continues to incrementally upgrade its IT systems.
- e. Capital projects did not impact the OIG's operational budget.

25. Part I: The committee would like to better understand the agency's programmatic needs and the associated budgetary costs. Please submit copies of your FY 20 budget submission to the Mayor's Office of Budget and Finance (OBF). In FY 20, this includes:
- a. The Operating Budget Submission Memo;
 - a. Attachment A, Vacancy List;
 - b. Form 1 (Impact of Agency's Marc);
 - c. Form 2 (Enhancement Requests); and
 - d. Attachment B, List of intra-districts.

OIG Response: The OIG is providing its FY 20 budget request, see Figure 2 on the following pages. For budget requests, the OIG has not included the Program Enhancement Form 2, as they contain sensitive source selection information pertaining to a future solicitation.

Figure 2: OIG FY 2020 Budget Request Memorandum


GOVERNMENT OF THE DISTRICT OF COLUMBIA
Office of the Inspector General



Inspector General

MEMORANDUM

TO: Jennifer Reed
Director
Office of Budget and Performance Management

FROM: Daniel W. Lucas 
Inspector General
Office of the Inspector General

DATE: November 7, 2018

SUBJECT: FY 2020 Operating Budget Submission for Office of Inspector General - AD0

This memorandum serves as the FY 2020 budget submission from the District of Columbia Office of the Inspector General (OIG).

The Agency's gross budget need for FY 2020 is \$20,965,563.40, which includes: (1) local funds of \$16,120,362.00 and 94.75 Full-Time Equivalent (FTE) positions; (2) federal grant funds of \$3,073,333.62 and 17.25 Full-Time Equivalent (FTE) positions; and (3) \$1,771,867.79 in enhancement requests for both the PS and NPS portions of the OIG's budget.

The OIG is submitting two (2) enhancement requests to allow the agency to move forward with full implementation of initiatives critical to the agency's mission.

Included are these discussion topics for consideration: (1) a list of the OIG's vacancies and status of recruitment; (2) the vacancy rate in the OIG's budget submission; (3) federal funding changes expected for FY 2020; (4) special purpose revenue changes expected for FY 2020; (5) a list of all intra-district amounts OIG is expecting in FY 2020; (6) anticipated FY 2019 spending pressures; (7) FY 2019 and FY 2020 special purpose revenue budget authority issues; and (8) legislative changes requested for the OIG in the Budget Support Act (BSA).

717 14th Street, N.W., Washington, D.C. 20005 (202) 727-2540

I. OIG Vacancies and Status of Recruitment

The OIG has 23 vacancies. All are in various stages of recruitment as described below.

Business Management Division	
Communication Specialist	This position was vacated on September 7, 2018. The OIG plans to recruit for this position in January 2019.
Human Resources Manager	The OIG is redefining the HR lifecycle for all OIG staff. Once that program is in place, the OIG will hire and HR manager.
IT Specialist: Network Security	The OIG recruited for this position twice. During the first recruitment the selected candidate declined the position, and the second recruitment yielded no qualified candidates. The OIG will re-recruit this in January of 2019.
HR Specialist	Interviews for this position were held on November 6, 2018; a selection decision is pending.
IT Specialist	Reviewing resumes for this vacancy; interviews planned for the week of November 12, 2018.
Office of General Counsel	
Associate General Counsel	Interviews for this position began on September 27, 2018; a selection decision is pending.
Operations Division/ Investigations Unit	
Criminal Investigator (CS-14)	The OIG recruited for this position in September of 2018. The selected candidate declined the position. The OIG will re-recruit this by January of 2019.
Criminal Investigator (CS-13)	This position was transferred into the Investigations Unit from the Inspections and Evaluations Unit in October of 2018. The OIG will recruit for this position by the end of 2018.
Criminal Investigator (CS-12) (3 vacancies)	The OIG is preparing two TERM appointment packages to fill two vacancies. The third vacancy is recent (as of September 27, 2018) and the OIG plans to recruit for this position by January 2019.
Operations Division/ Inspections and Evaluations Unit	
Management Analyst (3 vacancies)	Two vacancies are very recent. One resulted from a staff member retiring on September 30, 2018, and a second resulted from a staff being promoted into another unit on October 1, 2018. The OIG's recruitment for two vacancies closed on October 22, 2018, and the OIG is reviewing applications.
Risk Assessment and Future Planning Division	
Data Scientist	The OIG recruited for this position in mid-2018, but yielded no qualified candidates. The OIG will re-recruit this by January of 2019.
Investigative Analyst	The recruitment request for this position is with DCHR for posting.
Quality Management Division	
Program Analyst	The OIG recruited for this position in August of 2018. The selected candidate declined the position. The OIG re-recruited for this vacancy and it closed on October 14, 2018, and the OIG is reviewing applications.

Operations Division/ Medicaid Fraud Control Unit (MFCU)	
Program Manager	The MFCU recently restructured and converted one of its Attorney-Advisor positions to a Program Manager position. The PD for this position was recently certified by DCHR and the OIG plans to recruit for this position by December 2018.
Criminal Investigator (CS-13) (5 vacancies)	The MFCU recently restructured and converted two of its Attorney-Advisor positions to two Criminal Investigators. The OIG also worked to re-describe positions for criminal investigators within the MFCU so the PD was more aligned with criminal investigators from the OIG's Investigations Unit. The MFCU is drafting ranking factor questions for these positions and will begin recruitment by December 2018.

II. Vacancy Savings Rate¹

The OIG received a vacancy savings waiver in 2017. The OIG is again requesting a full appropriation of the personnel costs for FY 2020.

While the OIG has experienced position classification obstacles and recruitment delays, the current efforts to recruit a highly-qualified workforce will produce full staffing this fiscal year. The OIG continues to work with DCHR to ensure that vacancies are properly classified at the grades and levels to meet its legislative mandates. If a vacant position is not in the recruitment process, the OIG plans to do so by the end of Q2 of FY 2019 and does not plan to have any vacancies by mid-FY 2019.

Finally, the independent nature of the OIG's work requires that it has independent control over its budget and use of local funding as reflected in D.C. Code §§ 1-301.115a(a)(2)(A)-(B), which state:

(A) The Inspector General shall prepare and submit to the Mayor, for inclusion in the annual budget of the District of Columbia under part D of title IV of the District of Columbia Home Rule Act [§ 1-204.41 et seq.], for the year, annual estimates of the expenditures and appropriations necessary for the operation of the Office for the year. All such estimates shall be forwarded by the Mayor to the Council of the District of Columbia for its action pursuant to §§ 1-204.46 and 1-206.03(c), without revision but subject to recommendations, including recommendations on reallocating any funds from the Inspector General's estimates to other items in the District budget.

(B) Amounts appropriated for the Inspector General shall be available solely for operation of the Office, and shall be paid to the Inspector General by the Mayor (acting through the Chief Financial Officer of the District of Columbia) in such installments and at such times as the Inspector General requires.

¹ A "vacancy saving" is any monies saved or generated in personal services and employee-related expenditures by: (1) not filling a position which has become vacant by termination of an employee; (2) not filling a newly authorized position; (3) filling an authorized position at a grade or step lower than is authorized by the legislature; or (4) a downward reclassification of an authorized position.

As a reminder, according to D.C. Code § 1-301.115a, it is the purpose of the Office of the Inspector General to independently:

- (1) Conduct and supervise audits, inspections and investigations relating to the programs and operations of District government departments and agencies, including independent agencies;
- (2) Provide leadership and coordinate and recommend policies for activities designed to promote economy, efficiency, and effectiveness and to prevent and detect corruption, mismanagement, waste, fraud, and abuse in District government programs and operations; and
- (3) Provide a means for keeping the Mayor, Council, and District government department and agency heads fully and currently informed about problems and deficiencies relating to the administration of these programs and operations and the necessity for and progress of corrective actions.

III. Federal Funding Changes Expected for FY 2020

The OIG expects a slight increase to its federal funding for FY 2020. The OIG has one federal grant for its Medicaid Fraud Control Unit (MFCU) that fluctuates about 3% from fiscal year to fiscal year. This fluctuation is attributable to staff salary increases from within-grade increases (WIGI) and District-offered cost of living increases (COLAs), and slight increases to allow for inflation for items on the NPS budget.

IV. Special Purpose Revenue Changes Expected for FY 2020

The OIG does not have Special Purpose Revenues and does not expect that to change for FY 2020.

V. Intra-District Amounts OIG is Expecting for FY 2020

The OIG is expecting to be the “buyer agency” for four intra-District amounts:

1. Office of the Chief Financial Officer (OCFO) for financial services. There is a letter of intent in place for this purpose.
2. Office of the Chief Technology Officer (OCTO) for certain software applications administered by OCTO (e.g., Microsoft 365). There is not a letter of intent in place for this purpose.
3. The Metropolitan Police Department (MPD) for fingerprinting services. There is not a letter of intent in place for this.
4. The Department of General Services (DGS) for additional rent required, per DGS calculations, in a new building space.

The OIG will not be the “seller agency” for any intra-District funds.

VI. Anticipated FY 2019 Spending Pressures

The OIG does not foresee spending pressures for FY 2019.

VII. FY 2019 and FY 2020 Special Purpose Revenue Budget Authority Issues

The OIG does not have Special Purpose Revenue Budget Authority and does not expect that to change for FY 2020.

VIII. Legislative Changes

The OIG will not seek a legislative change to the FY 2020 Budget Support Act (BSA) of 2019.

IX. Conclusion

If you have questions, please contact [REDACTED] Deputy Inspector General for Business Management, at [REDACTED] or [REDACTED] Agency Fiscal Officer, at [REDACTED]

Encl: FY 2019 Budget Formulation Application (BFA)
Enhancement Request Form 2: Comprehensive Annual Financial Report (CAFR)
Enhancement Request Form 2: Overtime Compensation for Special Agents/ Criminal
Investigators
Form 1: Statement of Impact

5

Part II: In addition, please identify:

- a. Which of your agency's MARC reductions and hypothetical 2% cuts (Form 1) were accepted or rejected (i.e. if the cut was rejected, the funds were not swept and if the cuts were accepted, the funds were swept) ; and

- b. Which of your agency's enhancement requests (Form 2) were accepted (i.e. which enhancements were added to your agency's FY 19 budget).
- c. For FY 17 and FY 18, please include each fiscal year's information for #24 Part I and Part II. Please indicate if your agency is willingly omitting any information requests in Part I and Part II.

OIG Response:

- a. OIG did not submit budget reductions in any FYs 17-19. Any reduction in budget would mean that OIG could not meet its legislative mandate. We would need to reduce FTEs, as well as reduce work outputs across.

D.C. Code § 1-301.115a (2) (A-B) states the following in regards to the OIG's budget:

- The Inspector General shall prepare and submit to the Mayor, for inclusion in the annual budget of the District of Columbia under part D of title IV of the District of Columbia Home Rule Act [§ 1-204.41 et seq.], for the year, annual estimates of the expenditures and appropriations necessary for the operation of the Office for the year. All such estimates shall be forwarded by the Mayor to the Council of the District of Columbia for its action pursuant to §§ 1-204.46 and 1-206.03(c), without revision but subject to recommendations. Notwithstanding any other provision of such Act, the Council may comment or make recommendations concerning such estimates, but shall have no authority to revise such estimates.
 - Amounts appropriated for the Inspector General shall be available solely for the operation of the Office, and shall be paid to the Inspector General by the Mayor (acting through the Chief Financial Officer of the District of Columbia) in such installments and at such times as the Inspector General requires.
- b. FY 17: Two enhancements were supported in FY 17: (1) PS to support addressing pay compression issues with our MSS and ES staff; and (2) NPS to support operational activities and IT infrastructure.

FY 18: One enhancement to PS was supported to continue the pay compression salary adjustment needed in FY 17.

FY 19: No enhancements were made to OIG's budget
 - c. The OIG has not included its Program Enhancement Form 2, as it contains source selection information related to a future contract.

26. Please list each grant or sub-grant, including multi-year grants, received by your agency in FY 18 and FY 19, to date. List the following:
 - a. Source,
 - b. Purpose,
 - c. Timeframe,
 - d. Dollar amount received,
 - e. Amount expended,
 - f. How the grant is allocated if it is a multi-year grant, and
 - g. How many FTEs are dependent on each grant's funding, and if the grant is set to expire, what plans, if any, are in place to continue funding the FTEs.

OIG Response: Please see Table 16 on the following page.

Table 16: OIG Grants – FY 18 and FY 19, to Date.

FY2018 Grant							
Grant Name	Source	Purpose	Timeframe	Dollar Amount Received	Amount Expended	Grant Allocation	FTE's Supported
State Medicaid Fraud Control Unit	US Department of Health & Human Services Office of Inspector General	To investigate and prosecute Medicaid fraud as well as patient abuse and neglect in health care facilities	10/1/2017 - 9/30/2018	\$2,823,580.00	\$2,077,601.88	75% Federal Match	23
FY2019 Grant							
Grant Name	Source	Purpose	Timeframe	Dollar Amount Received	Amount Expended	Grant Allocation	FTE's Supported
State Medicaid Fraud Control Unit	US Department of Health & Human Services Office of Inspector General	To investigate and prosecute Medicaid fraud as well as patient abuse and neglect in health care facilities	10/1/2018 - 9/30/2019	\$2,982,864.00	\$552,602.92	75% Federal Match	23

27. Please describe every grant your agency is, or is considering, applying for in FY19.

OIG Response: In FY 19, the OIG will be applying for the Medicaid Fraud Control Unit State Medicaid Fraud Control Unit Grant Program (93.775) through Health and Human Services Office of Inspector General, to fund the MFCU’s FY 20 operations.

28. Please list each contract, procurement, and lease leveraged in FY18 and FY19 (year-to-date) with a value amount of \$10,000.00 or more. “Leveraged” includes any contract, procurement, or lease used by DOES as a new procurement establishment (i.e. HCA, BPA, etc.), contract extension, and contract option year execution. This also include direct payments (if applicable). For each contract, procurement, or lease leveraged, please attach a table with the following information, where applicable:

Part I

- a. Contractor/Vendor Name;
- b. Contract Number;
- c. Contract type (e.g. HCA, BPA, Sole Source, single/exempt from competition award, etc.);
- d. Description of contractual goods and/or services;
- e. Contract’s outputs and deliverables;
- f. Status of deliverables (e.g. whether each was met or not met, in-progress, etc.);
- g. Copies of deliverables (e.g. reports, presentations);
- h. Contract Administrator name and title assigned to each contract and/or procurement;
- i. Oversight/monitoring plan for each contract and associated reports, performance evaluations, cure notices, and/or corrective action plans;
- j. Target population for each contract (e.g. unemployed adults, homeless youth, DOES staff, etc.);
- k. Subcontracting status (i.e. Did the Contractor sub any provision of goods and/or services with another vendor);
- l. Solicitation method (e.g. competitive bid via GSA or DCSS, sole source, task order against other agency’s contract);
- m. CBE status;
- n. Division and activity within DOES utilizing the goods and/or services;
- o. Requisitions and purchase order numbers established under each contract;
- p. Corresponding, obligated amounts for each purchase order;
- q. Corresponding, expended amounts (actuals) for each purchase order;
- r. Funding source for each requisition and purchase order;
- s. Index and PCA codes used each requisition and purchase order;
- t. Activity code and name for each index and PCA used under requisitions and purchase orders;
- u. Total contract or procurement value in FY18;

- v. Total contract or procurement value in FY19 (YTD);
- w. Period of performance (e.g. May 31 to April 30);
- x. Current year of contract (e.g. Base Year, Option Year 1, etc.);

OIG Response: See Table 17 on the following page.

Part II

Please attach monitoring documentation, including any monitoring reports or performance evaluations developed for use. If any contract is performance-based, specify the basis of performance (i.e. the metrics) and describe the payment formula.

OIG Response: A majority of contracts let in FY 18 and FY 19 were firm-fixed price contracts for goods and products. Contract performance was assessed against defined delivery milestones included in each contract. For service contracts let in FY 18 and FY 19, the OIG has omitted the performance monitoring documentation, as it reveals information that may be detrimental to the conduct of ongoing contract administration work.

29. Please list each grant awarded by your agency during FY 18 and FY 19 (year-to-date) for good and/or services provided by your agency. Please attach any documentation of monitoring, including any reports developed. At a minimum, please include the following grants in your response: [LIST KNOWN GRANTS]. For each grant, please include the following information, where applicable:

Part I

- a. Grant/Program Title;
- b. Grant/Program Number;
- c. Grantee Name;
- d. Description of goods and/or services;
- e. Grant's outputs and deliverables;
- f. Status of deliverables (e.g. whether each was met or not met, in-progress, etc.);
- g. Copies of deliverables (e.g. reports, presentations);
- h. Program Manager name and title assigned to each grant;
- i. Grant Administrator name and title assigned to each grant;
- j. Oversight/monitoring plan for each grant and associated reports, performance evaluations, cure notices, and/or corrective action plans;
- k. Target population for each grant (e.g. unemployed adults, homeless youth, DOES staff, etc.);
- l. Sub-granting status (i.e. Did the Grantee sub any provision of goods and/or services with another vendor);
- m. Solicitation method (e.g. competitive RFA or sole source);
- n. CBE status;
- o. Division and activity within DOES utilizing the goods and/or services;
- p. Requisitions and purchase order numbers established under each grant;
- q. Corresponding, obligated amounts for each purchase order;
- r. Corresponding, expended amounts (actuals) for each purchase order;
- s. Funding source for each requisition and purchase order;
- t. Index and PCA codes used each requisition and purchase order;
- u. Activity code and name for each index and PCA used under requisitions and purchase orders;
- v. Total grant award value in FY18;
- w. Total grant award value in FY19 (YTD);
- x. Period of performance (e.g. May 31 to April 30);
- y. Current year of grant award (e.g. Base Year, Option Year 1, etc.);

Part II

Please attach monitoring documentation, including any monitoring reports or performance evaluations developed for use. If any contract is performance-based, specify the basis of performance (i.e. the metrics) and describe the payment formula.

OIG Response: Not applicable. The OIG does not award grants.

III. AGENCY PERFORMANCE, EVALUATION, AND DISPUTES

30. Please list all pending lawsuits that name the agency as a party.
- Provide the case name, court, where claim was filed, case docket number, and a brief description of the case.
 - Identify which cases on the list are lawsuits that potentially expose the District to significant financial liability or will result in a change in agency practices, and describe the current status of the litigation.
 - Please provide the extent of each claim, regardless of its likelihood of success.
 - For those identified, please include an explanation about the issues involved in each case.

OIG Response: None.

31. Please list all settlements entered into by the agency or by the District on behalf of the agency in FY 18 or FY 19, to date, including any covered by D.C. Code § 2-402(a)(3), which requires the Mayor to pay certain settlements from agency operating budgets if the settlement is less than \$10,000 or results from an incident within the last two years. For each, provide
- The parties' names,
 - The amount of the settlement, and
 - If related to litigation, the case name, court where claim was filed, case docket number, and a brief description of the case, or
 - If unrelated to litigation, please describe the underlying issue or reason for the settlement (e.g. Administrative complaint, etc.).

OIG Response:

- Paul Hicks (Plaintiff); Daniel W. Lucas, D.C. Office of the Inspector General (Defendant).
- \$100,000.
- Paul Hicks v. Daniel W. Lucas, District of Columbia Office of the Inspector General; United States District Court for the District of Columbia, Case Docket Number 15-1828cck; Employee claimed discrimination (age and race) and retaliation.
- Not applicable.

32. Please list in chronological order, all administrative grievances or complaints filed by parties outside the agency against the agency in FY 18 or FY 19, to date, broken down by source. Include on the chronological list any earlier grievance that is still pending in any judicial forum.
- For each grievance or complaint, give a brief description of the matter as well as the current status.
 - Please describe the process utilized to respond to any complaints and grievances received and any changes to agency policies or procedures that have resulted from complaints or grievances received.
 - For any complaints or grievances that were resolved in FY 18 or FY 19, to date, describe the resolution.

OIG Response: None.

33. Please list and describe any ongoing investigations, audits, or reports on the agency or any employee of the agency, or any that were completed during FY 18 and FY 19, to date. Please attach copies of any such document.

OIG Response: None.

34. Please provide a copy of the agency's FY 18 performance accountability report.
- Please explain which performance plan strategic objectives and key performance indicators (KPIs) were met or completed in FY 18 and which were not.

- b. For any met or completed objective, also note whether they were completed by the project completion date of the objective and/or KPI and within budget. If they were not on time or within budget, please provide an explanation.
- c. For any objective not met or completed, please provide an explanation.

OIG Response: Please see Figure 3 on the following Pages.

Figure 3: OIG FY 18 Performance Accountability Report.

Office of the Inspector General FY2018

- ▼ **FY2018 Performance Accountability Report**

The Performance Accountability Report (PAR) measures each agency’s performance for the fiscal year against the agency’s performance plan and includes major accomplishments, updates on initiatives, and key performance indicators (KPIs).
- ▼ **Mission**

The mission of the Inspector General (OIG) is to conduct independent audits, investigations, and inspections to detect and prevent fraud, waste, and mismanagement, to help the District of Columbia government improve its programs and operations by promoting economy, efficiency, and effectiveness.
- ▼ **Summary of Services**

Initiate and conduct independent financial and performance audits, inspections, and investigations of District government operations serve as the principal liaison between the District government and the US Government Accountability Office. Conduct other special audits, assignments, and investigations. Audit procurement and contract administration on a continual basis. Forward to the appropriated authorities evidence of criminal wrongdoing that is discovered as the result of audits, inspections, or investigations conducted by the Office. Enter into a contract with an outside audit firm to perform the annual Comprehensive Annual Financial Report (CAFR) as well as chairing the CAFR oversight committee.
- ▼ **FY18 Top Accomplishments**

What is the accomplishment that your agency wants to highlight?	How did this accomplishment impact residents of DC?	How did this accomplishment impact your agency?
Implementation of a centralized system to collect, store, and analyze information obtained during OIG engagements for inclusion in proactive projects.	As the centralized system becomes more robust, it will give the OIG greater insights into additional areas of risk in the District government.	Information collected by the centralized system led to the development of planned OIG engagements for FY 19,
Implementation of mobile workforce solutions to attract and retain knowledge workers, share knowledge and expertise, eliminate redundant manual work processes, and facilitate convenient learning.	Improved mobile workforce solutions ensure business continuity in the event of an emergency or disaster.	OIG staff are able to work remotely due to new computer equipment, VPN access, and a reformed teleworking policy.
Creation of an agency-wide FY18 spending plan to reflect OIG’s strategic goals and objectives in support of fiscal and organizational accountability	The FY18 spending plan enabled the OIG to more prudently acquire and manage its financial resources, helping to minimize waste of District taxpayer money.	The FY18 annual spending plan allowed the OIG to spend funds more effectively.
- ▼ **2018 Strategic Objectives**

Objective Number	Strategic Objective
1	Proactively identify and reduce vulnerabilities that could lead to corruption, fraud, waste, abuse, and mismanagement
2	Integrate plans, processes, and resources to support organizational accountability
3	

Page 1 of 6

Objective Number	Strategic Objective
	Deliver actionable, relevant and timely products and services to customers and stakeholders that promote economic, efficient, and effective government
4	Implement an information and knowledge management system that supports the OIG mission
5	Recruit, develop, and retain a highly qualified and diverse workforce.

2018 Key Performance Indicators

Measure	Freq	Target	Q1	Q2	Q3	Q4	FY2018	KPI Status	Explanation
1 - Proactively identify and reduce vulnerabilities that could lead to corruption, fraud, waste, abuse, and mismanagement (2 Measures)									
Percent of contacts evaluated and appropriate course or action determined within 10 business days of receipt by RAFF hotline program	Annually	95%	Annual Measure	Annual Measure	Annual Measure	Annual Measure	91%	Nearly Met	The RAFF Hotline Program has seen a 9% increase in the number of contacts from FY2017 to FY2018 and a 40% increase from FY2016 to FY2018. Despite the additional contacts, the RAFF Hotline Program evaluated and determined the appropriate course of action within 10 days on an additional 158 contacts from FY2017 to FY2018. With the continued increase in contacts to the hotline, additional resources may need to be allocated to the program in FY2019 to meet this KPI.
Percent of proactive analytical activities initiated by RAFF's data analysis unit that resulted in an investigation, audit, or inspection	Annually	25%	Annual Measure	Annual Measure	Annual Measure	Annual Measure	40%	Met	
2 - Integrate plans, processes, and resources to support organizational accountability (1 Measure)									
Percentage of core processes with defined key performance indicators (KPIs)	Annually	90%	Annual Measure	Annual Measure	Annual Measure	Annual Measure	0%	Unmet	KPIs for OIG core processes are still in development.
3 - Deliver actionable, relevant and timely products and services to customers and stakeholders that promote economic, efficient, and effective government (1 Measure)									
Percentage of planned outreach activities that were completed as	Annually	50%	Annual Measure	Annual Measure	Annual Measure	Annual Measure	71%	Met	

Measure	Freq	Target	Q1	Q2	Q3	Q4	FY2018	KPI Status	Explanation
outlined in the strategic public relations plan									
5 - Recruit, develop, and retain a highly qualified and diverse workforce. (2 Measures)									
Percentage of career development plan completed for OIG employees	Annually	50%	Annual Measure	Annual Measure	Annual Measure	Annual Measure	0%	Unmet	The OIG is currently redefining the human resources function. Career development plans will be implemented for OIG staff when the human resource role is better defined.
Percentage of employees with Individual Training Plans (ITP)	Annually	100%	Annual Measure	Annual Measure	Annual Measure	Annual Measure	0%	Unmet	The OIG is currently redefining the human resources function. Individual training plans will be implemented for OIG staff when the human resource role is better defined.

**We've revisited a project to standardize District wide measures for the Objective "Create and maintain a highly efficient, transparent and responsive District government." New measures will be tracked in FY18 and FY19 and published starting in the FY19 Performance Plan.

2018 Workload Measures

Measure	Freq	Q1	Q2	Q3	Q4	FY 2018
1 - Hotline Program (1 Measure)						
Number of contacts analyzed by the RAFF Hotline Program and Medicaid Fraud Control Unit (MFCU)	Annually	Annual Measure	Annual Measure	Annual Measure	Annual Measure	4511
1 - Oversight Work (3 Measures)						
Number of proactive analytical products used to initiate an investigation, audit, or inspection	Annually	Annual Measure	Annual Measure	Annual Measure	Annual Measure	4
Number of recommendations made to District agencies	Annually	Annual Measure	Annual Measure	Annual Measure	Annual Measure	54
Number of referrals made to District agencies resulting from hotline contacts analyzed by RAFF	Annually	Annual Measure	Annual Measure	Annual Measure	Annual Measure	100
2 - OIG Policies and Procedures (2 Measures)						
Number of core processes documented	Annually	Annual Measure	Annual Measure	Annual Measure	Annual Measure	0
Number of defined KPIs for documented core processes	Annually					0

Measure	Freq	Q1	Q2	Q3	Q4	FY 2018
		Annual Measure	Annual Measure	Annual Measure	Annual Measure	
3 - Independent Oversight Work (1 Measure)						
Number of planned outreach activities completed as outlined in the strategic public relations plan	Annually	Annual Measure	Annual Measure	Annual Measure	Annual Measure	17
5 - Staffing Assessments (1 Measure)						
Number of employees with individual training plans (ITP)	Annually	Annual Measure	Annual Measure	Annual Measure	Annual Measure	0

2018 Strategic Initiatives

Title	Description	Complete to Date	Status Update	Explanation
AGENCY OPERATIONS (1 Strategic Initiative)				
CORE PROCESSES	Document each of the agency's core processes and define its contribution to the OIG mission.	Complete	This was accomplished during Organizational Performance Excellence Framework tasks 1 and 3 in FY 2018.	During FY 2018, the OIG reviewed and revised all procedures applicable to its mission. The results of these procedures are currently in the process of being codified through policies and procedures – both creating and updating.
CONTRACTING AND PROCUREMENT (1 Strategic Initiative)				
ANNUAL SPENDING PLAN	Create an agency-wide FY 2018 spending plan to reflect OIG's strategic goals and objectives in support of fiscal and organizational accountability.	Complete	We instituted a standard operating procedure that ensured the annual spending plan would be completed each fiscal year.	
INFO TECH (5 Strategic initiatives)				
CLOUD MIGRATION STRATEGY	Determine and document OIG's cloud migration strategy. This initiative will help ensure secure and reliable migration of OIG's information technology (IT) systems and services to selected cloud environments.	25-49%	The OIG will begin a full migration to the cloud in F Y 2019	The OIG was delayed in this effort because the IAAS vendor was purchased by another company, which impacted the OIG's plans to issue the contract.
IT SECURITY FRAMEWORK	Develop an information security framework to ensure the confidentiality, integrity,	0-24%		The OIG included this initiative in the FY 2018

Title	Description	Complete to Date	Status Update	Explanation
			multiple formats. While the OIG has made progress in its ability to analyze specific data formats and types, the need for further technical and analytical development remains a work in progress.	multiple underlying systems and processes. This OIG continues to develop the underlying systems necessary to support this initiative.
ONLINE TRAINING PROGRAM	Develop and deploy a District-wide online corruption, fraud, waste, abuse and mismanagement training program. Training program will be used similar to other online training programs, and will be used to heighten the awareness of corruption, fraud, waste, abuse and mismanagement within the District government. Training will also provide a refresher on how to make complaints to the OIG, what protections are afforded to complainants, and next steps following a complaint.	0-24%	The OIG is in the early stage of procuring online training services.	In FY 2018, the OIG's efforts to procure an online training module was arduous and did not result in a contract for services that could be implemented in FY 2018. The OIG determined that it would more efficient to continue providing in-person training and outreach.
PERSONNEL (2 Strategic initiatives)				
ASSESSMENT OF STAFFING LEVELS	Develop a template for operational units to assess staffing levels and plan for additional staffing requests.	0-24%	We expect to complete this initiative in FY 2019,	The OIG is currently redefining the human resources function. An assessment of staffing levels will be performed when the human resources role is better defined.
CAREER DEVELOPMENT TRAINING	Develop and implement career and training for staff based on OIG competencies.	0-24%	We expect to complete this initiative in FY 2019.	The OIG is currently redefining the human resources function. Career development plans will be implemented for OIG staff when the human resources role is better defined.

35. Please provide a copy of your agency's FY 19 performance plan as submitted to the Office of the City Administrator. Please discuss any changes to outcomes measurements in FY 18 or FY 19, including the outcomes to be measured, or changes to the targets or goals of outcomes; list each specifically and explain why it was dropped, added, or changed.

OIG Response: Please see Figure 4 on the following pages.

Figure 4: OIG FY 19 Performance Accountability Report

Office of the Inspector General FY2019					
Agency Office of the Inspector General		Agency Code ADO		Fiscal Year 2019	
Mission The mission of the Inspector General (OIG) is to conduct independent audits, investigations, and inspections to detect and prevent fraud, waste, and mismanagement, to help the District of Columbia government improve its programs and operations by promoting economy, efficiency, and effectiveness.					
2019 Strategic Objectives					
Objective Number	Strategic Objective				
1	Proactively identify and reduce vulnerabilities that could lead to corruption, fraud, waste, abuse, and mismanagement.				
2	Integrate plans, processes, and resources to support organizational accountability.				
3	Deliver actionable, relevant and timely products and services to customers and stakeholders that promote economic, efficient, and effective government.				
4	Implement an information and knowledge management system that supports the OIG mission.				
2019 Key Performance Indicators					
Measure	Directionality	FY 2016 Actual	FY 2017 Actual	FY 2018 Actual	FY 2019 Target
1 - Proactively identify and reduce vulnerabilities that could lead to corruption, fraud, waste, abuse, and mismanagement. (2 Measures)					
Percent of proactive analytical activities initiated by RAFF's data analysis unit that resulted in an investigation, audit, or inspection	Up is Better	No applicable incidents	20%	40%	25%
Percent of contacts evaluated and appropriate course or action determined within 10 business days of receipt by RAFF hotline program	Up is Better	87%	93%	91%	95%
2019 Operations					
Operations Header	Operations Title	Operations Description			Type of Operations
1 - Proactively identify and reduce vulnerabilities that could lead to corruption, fraud, waste, abuse, and mismanagement. (3 Activities)					
OVERSIGHT WORK	Oversight Work	Conduct audits, investigations, and inspections based on proactively identified leads and indicators.			Daily Service
CUSTOMER SERVICE	Hotline and Data Analysis Programs	Operate hotline and data analysis programs to aid in identifying and evaluating allegations of corruption, fraud, waste, abuse, and mismanagement.			Daily Service

Operations Header	Operations Title	Operations Description	Type of Operations
AUDIT	Reduce Misconduct	Forward to the appropriate authority any report, as a result of any audit, inspection or investigation conducted by the office, in order to reduce misconduct or unethical behavior.	Daily Service
2 - Integrate plans, processes, and resources to support organizational accountability. (3 Activities)			
CONTRACTING AND PROCUREMENT	Spending Plans	Develop spending plans to ensure appropriated resources are used efficiently and effectively to support organizational accountability and are in compliance with District regulations.	Daily Service
AGENCY OPERATIONS	OIG Policies and Procedures	Integrate internal OIG policies and procedures to ensure the OIG executes its mission in compliance with applicable standards to support organizational accountability.	Key Project
AGENCY OPERATIONS	Performance Excellence	Implement a performance assessment/excellence framework within the OIG to ensure continuous improvement.	Key Project
3 - Deliver actionable, relevant and timely products and services to customers and stakeholders that promote economic, efficient, and effective government. (4 Activities)			
OVERSIGHT WORK	Independent Oversight Work	Initiate and conduct independent financial and performance audits, inspections, and investigations of District government operations.	Daily Service
CONTRACTING AND PROCUREMENT	Annual District Audit	Contract with an outside audit firm to perform the annual audit of the District government's financial operations with the results published in the Comprehensive Annual Financial Report (CAFR) and chair the CAFR oversight committee.	Key Project
AGENCY OPERATIONS	GAO Liaison	Serve as the principal liaison between the District government and the US Government Accountability Office.	Key Project
INVESTIGATIONS	Reporting Evidence of Wrongdoing	Forward to the Mayor, within a reasonable time of reporting evidence of criminal wrongdoing to the Office of the U.S. Attorney's Office for the District of Columbia, or other law enforcement office, any report regarding the evidence, if appropriate.	Daily Service
4 - Implement an information and knowledge management system that supports the OIG mission. (3 Activities)			
INFO TECH	Information Management System	Collect, process, and communicate information to enable the agency's leadership team to make more effective and efficient decisions.	Key Project
INFO TECH	Knowledge Management System	Manage agency knowledge to improve performance and achieve the OIG mission.	Key Project
INFO TECH	Information Security	Establish and maintain digital and physical security controls to protect critical information and knowledge assets from unauthorized access.	Key Project

2019 Workload Measures

Measure	FY 2016	FY 2017	FY 2018
1 - Hotline and Data Analysis Programs (1 Measure)			

Measure	FY 2016	FY 2017	FY 2018
Number of contacts analyzed by the RAFF Hotline Program and Medicaid Fraud Control Unit (MFCU)	3593	4561	4511
1 - Oversight Work (3 Measures)			
Number of proactive analytical products RAFF-DAU that initiated an investigation, audit, or inspection	Not Available	15	4
Number of recommendations made to District agencies	Not Available	122	54
Number of referrals made to District agencies resulting from hotline contacts	Not Available	92	100

2019 Strategic Initiatives

Strategic Initiative Title	Strategic Initiative Description	Proposed Completion Date
Hotline and Data Analysis Programs (1 Strategic Initiative)		
Initiative 1.1	Develop the technology infrastructure to access, store, and query District data sources necessary to proactively identify corruption, fraud, waste, abuse, and mismanagement.	09-30-2019
Independent Oversight Work (2 Strategic initiatives)		
Initiative 3.1	Develop strategic public relations plan to further disseminate the OIG's mission and reporting conduits to District employees and residents. While this initiative focuses on developing the plan, the OIG will start executing the plan during FY 2019 as reflected in the related metric below.	09-30-2019
Initiative 3.2	Develop and deploy a District-wide corruption, fraud, waste, abuse and mismanagement training program. Training program will be used similar to other training programs, and will be used to heighten the awareness of corruption, fraud, waste, abuse and mismanagement within the District government. Training will also provide a refresher on how to make complaints to the OIG, what protections are afforded to complainants, and next steps following a complaint.	06-30-2019
Information Management System (1 Strategic Initiative)		
Initiative 4.3	Implement agency-wide visual dashboards to continuously track, monitor, analyze, report, and manage the OIG's performance.	09-30-2019
Information Security (2 Strategic initiatives)		
Initiative 4.1	Implement OIG's cloud migration strategy to ensure secure and reliable migration of OIG's mission critical information technology (IT) systems and services to selected cloud environments.	06-30-2019

Strategic Initiative Title	Strategic Initiative Description	Proposed Completion Date
Initiative 4.2	Update the OIG's internal information security framework.	06-30-2019
Performance Excellence (2 Strategic initiatives)		
Initiative 2.1	Develop a project management plan for the design and creation of the OIG's Decision Support System (DSS).	06-30-2019
Initiative 2.2	Coordinate with DGS to provide a Comprehensive Space Needs Analysis to facilitate the relocation of the Agency by the expiration of OIG's current lease in 2021.	09-30-2019

36. Please provide the number of FOIA requests for FY 18 and FY 19, to date, that were submitted to your agency.
- a. Include the number granted, partially granted, denied, and pending.
 - b. Provide the average response time, the estimated number of FTEs required to process requests, the estimated number of hours spent responding to these requests, and the cost of compliance.
 - c. Did the agency file a report of FOIA disclosure activities with the Secretary of the District of Columbia? Please provide a copy of that report as an attachment.

OIG Response.

- a. In FY 18, the OIG received 41 FOIA requests, of which:
 - i. 0 were granted in whole;
 - ii. 13 were granted in part, denied in part;
 - iii. 23 were denied, in whole; and
 - iv. 1 was pending.

In FY 19 to date, the OIG has received 10 FOIA requests, of which:

- i. 0 were granted in whole;
- ii. 1 was granted in part, denied in part;
- iii. 9 were denied, in whole; and
- iv. 0 was pending.

- b. In FY 18, the average response time, number of FTEs, hours spent, and cost of compliance were:
 - i. Response time: 12 days
 - ii. FTEs: 3
 - iii. Hours: 1,472
 - iv. Cost: \$75,834

In FY 19, the average response time, number of FTEs, hours spent, and cost of compliance were:

- i. Response time: 15 days
- ii. FTEs: 3
- iii. Hours: 368
- iv. Cost: \$18,958

- c. Please see Figure 5 on the following pages for the OIG's FY 18 FOIA Report.

Figure 5: OIG FY 18 FOIA Report

Agency Name	
D.C. Office of the Inspector General	
Annual Freedom of Information Act Report for Fiscal Year 2018 October 1, 2017 through September 30, 2018	
FOIA Officer Reporting [REDACTED]	
PROCESSING OF FOIA REQUESTS	
1. Number of FOIA requests received during reporting period	41
2. Number of FOIA requests pending on October 1, 2017.....	1
3. Number of FOIA requests pending on September 30, 2018.....	0
4. The average number of days unfilled requests have been pending before each public body as of September 30, 2018	0
DISPOSITION OF FOIA REQUESTS	
5. Number of requests granted, in whole.....	0
6. Number of requests granted, in part, denied, in part.....	13
7. Number of requests denied, in whole.....	23
8. Number of requests withdrawn.....	2
9. Number of requests referred or forwarded to other public bodies.....	2
10. Other disposition	1
NUMBER OF REQUESTS THAT RELIED UPON EACH FOIA EXEMPTION	
11. Exemption 1 - D.C. Official Code § 2-534(a)(1).....	0
12. Exemption 2 - D.C. Official Code § 2-534(a)(2).....	2
13. Exemption 3 - D.C. Official Code § 2-534(a)(3)	
Subcategory (A).....	10
Subcategory (B).....	0
Subcategory (C)	11
Subcategory (D)	0
Subcategory (E)	0
Subcategory (F)	0
14. Exemption 4 - D.C. Official Code § 2-534(a)(4)	1
15. Exemption 5 - D.C. Official Code § 2-534(a)(5).....	0

16. Exemption 6 - D.C. Official Code § 2-534(a)(6)	
Subcategory (A).....	1
Subcategory (B).....	3
17. Exemption 7 - D.C. Official Code § 2-534(a)(7).....	0
18. Exemption 8 - D.C. Official Code § 2-534(a)(8).....	0
19. Exemption 9 - D.C. Official Code § 2-534(a)(9).....	0
20. Exemption 10 - D.C. Official Code § 2-534(a)(10).....	0
21. Exemption 11 - D.C. Official Code § 2-534(a)(11).....	0
22. Exemption 12 - D.C. Official Code § 2-534(a)(12).....	0

TIME-FRAMES FOR PROCESSING FOIA REQUESTS

23. Number of FOIA requests processed within 15 days.....	37
24. Number of FOIA requests processed between 16 and 25 days.....	4
25. Number of FOIA requests processed in 26 days or more.....	0
26. Median number of days to process FOIA Requests.....	12

RESOURCES ALLOCATED TO PROCESSING FOIA REQUESTS

27. Number of staff hours devoted to processing FOIA requests.....	1472
28. Total dollar amount expended by public body for processing FOIA requests.....	75,834

FEES FOR PROCESSING FOIA REQUESTS

29. Total amount of fees collected by public body.....	0
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PROSECUTIONS PURSUANT TO SECTION 207(d) OF THE D.C. FOIA

30. Number of employees found guilty of a misdemeanor for arbitrarily or capriciously violating any provision of the District of Columbia Freedom of Information Act	0
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QUALITATIVE DESCRIPTION OR SUMMARY STATEMENT

Pursuant to section 208(a)(9) of the D.C. FOIA, provide in the space below or as an attachment, “[a] qualitative description or summary statement, and conclusions drawn from the data regarding compliance [with the provisions of the Act].”

For fiscal year (FY) 2018, the OIG's median response time was 12 days and we were able to process 90% of those requests within the statutory time frame. Our goal for FY 2019, is to process all FOIOA requests within 15 business days in accordance with the D.C. FOIA statute.

37. Please provide a list of all studies, research papers, reports, and analyses that the agency prepared or contracted for during FY 18 and FY 19, to date. Please attach a copy if the study, research paper, report, or analysis is complete. For each study, paper, report, or analysis, please include:
- The name,
 - Status, including actual or expected completion date,
 - Purpose,
 - Author, whether the agency or an outside party,
 - Reference to the relevant grant or contract (name or number) in your responses above, and
 - Source of funding (program and activity codes) if not included in responses above.

OIG Response: During FY 18, the hosted a team from the Association of Inspectors General (AIG) to conduct a peer review of our Audit Unit, Inspections and Evaluation Unit, and Investigations Unit in August 2018. The AIG opined that all three Units complied with applicable CIGIE and GAO standards.

The OIG must adhere to quality standards promulgated by the Council of the Inspectors General on Integrity and Efficiency (CIGIE) and the Government Accountability Office (GAO). In order to ensure adherence to these standards, by law the OIG must undergo a rigorous peer-review every 3 years of its “audit, inspection[,] and investigation sections’ standards, policies, procedures, operations, and quality controls.”¹

A copy of AIG’s Peer Review Opinion Letter can be found in Appendix K or the *OIG’s Fiscal Year 2018 Report on Activities*.

38. Please list all reports or reporting currently required of the agency in federal law, the District of Columbia Code, or Municipal Regulations. For each, include
- The statutory code or regulatory citation;
 - Brief description of the requirement;
 - Any report deadlines;
 - Most recent submission date; and
 - A description of whether the agency is in compliance with these requirements, and if not, why not.

OIG Response: See Table 18 below.

Table 18: OIG Statutory Reporting Requirements

Citation	Description	Deadline	Most Recent Submittal	Compliance
D.C. Code § 1-301.115a (a)(3)(H) (Repl. 2016)	Audit of the Comprehensive Annual Financial Report (CAFR)	February 1 st of each year.	January 31, 2019	Yes
D.C. Code § 9-109.02(e) (2013)	Audit of the Financial Statements of the District of Columbia Highway Trust Fund as of September 30, 2016 (OIG Project No. 16-1-18KA) on February 1, 2017.	February 1 st of each year.	February 1, 2019	Yes
	The OIG’s Examination of the District of Columbia’s	May 31 st of each year.	In progress for FY 19.	Yes

¹ D.C. Code § 1-301.115a(f-5) (Repl. 2016).

Citation	Description	Deadline	Most Recent Submittal	Compliance
	Highway Trust Fund 5-Year Forecast of Expenditure Conditions and Operations is ongoing.			
D.C. Code § 1-301.115a(a)(3)(E) (Repl. 2016)	Audit of the District's Procurement System.	Annually	July 11, 2017	Yes - The OIG issued the overall risk assessment on July 11, 2017. The OIG has identified several projects in its <i>FY 2019 Audit and Inspection Plan</i> based on the result of the assessment.
D.C. Code § 1-301.115a(a)(3)(J) (Repl. 2015)	Audit of Special Education Attorney Certifications	Annually	August 30, 2018	Yes
D.C. Code § 47-821(e) (Supp. 2011)	Evaluation of the Commercial Real Property Assessment Process	Triennially	April 4, 2017	Yes
D.C. Code § 1-325.181(e) (Supp. 2011)	Audit of the West End Maintenance and Fire Station Maintenance Fund	December 31 of each year.	November 16, 2018	Yes
D.C. Code § 1-301.86c(h)	Audit of the Attorney General Restitution Fund	Annually	October 23, 2018	Yes
D.C. Code § 47-288 1(b) (Supp. 2015)	Congressional Report on Calls Placed to the OIG Hotline	Quarterly	January 4, 2019	Yes
D.C. Code § 1-301.115a (f-5) (Repl. 2016)	Peer Review of the OIG's Audit, Inspection, and Investigation Units	Triennially	September 4, 2018	Yes

39. Please provide a list of any additional training or continuing education opportunities made available to agency employees. For each additional training or continuing education program, please provide the subject of the training, the names of the trainers, and the number of agency employees that were trained. What training deficiencies, if any, did the agency identify during FY 18 and FY 19, to date?

OIG Response: See Table 19 on the following for a list of Training Opportunities made available to employees in FY 19. See Table 20 immediately following for a list of Training Opportunities made available to employees in FY 18.

Table 19: OIG FY 19 Training Opportunities.

Training or Continuing Education Programs/Subject of Training	Name of Trainer	Number of Agency Employees Trained
ACFE Law Enforcement and Government Anti-Fraud Summit	Association of Certified Fraud Examiners	4
Agile/Servant Leadership Training Workshops for OIG Line Staff	Agile Transformation, Inc.	84
All the Queens Horses (Video - Recognizing Fraud, Waste, Abuse and Mismanagement)	Helios Digital Learning, Inc.	90
Analysis Techniques for Auditors	Graduate School USA	1
Association of Inspectors General Fall Training Conference 2018	Association of Inspectors General	2
Continuous Improvement Techniques (On-Line)	American Standards of Quality	1
Contract & Grant Fraud Training	Association of Certified Fraud Examiners	1
Firearms Training	Elite Shooting & Sports	2
IAPE Management (Video)	International Association of Property & Evidence, Inc.	1
Introductory Auditor Training	Council of the Inspectors General on Integrity and Efficiency Training Institute	2
NAMFCU Medicaid 101 Training	National Association of Medicaid Fraud Control Units	1
Planning, Budgeting & Performance Measurement	Graduate School USA	1
Planning, Organizing and Writing Effective Reports	Council of the Inspectors General on Integrity and Efficiency Training Institute	2
Records Liaison Training in Preparation for DCOIG Electronic Records Management	DIGIDOCs Inc./Document Managers	20
Strategy Facilitation Annual Workshop for the DCOIG Executive Leadership Program Staff	The Clearing, Inc.	32
TeamMate University (TeamMate Training)	Wolters Kluwer	1
NHCAA Annual Training Conference	National Health Care Anti-Fraud Association	2
Medicaid Eligibility Process for Nursing Home Care Webinar	ProLawCLE	1
NAMFCU Directors Symposium	National Association of Medicaid Fraud Control Units	1
DC Bar Mandatory Course	DC Bar Association	1

Table 20: OIG FY 18 Training Opportunities

Training or Continuing Education Programs/Subject of Training	Name of Trainer	Number of Agency Employees Trained
2018 Cybersecurity Law Institute	Georgetown University Law Center	1
3rd Annual Medicaid Managed Care Leadership Summit	Health Care Education Associates	2
ACFE Annual Fraud Conference	Association of Certified Fraud Examiners	2

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ACFE Anti-Fraud Summit	Association of Certified Fraud Examiners	2
ACFE Certified Fraud Exam Prep Course	Association of Certified Fraud Examiners	2
ACFE Exam Review	Association of Certified Fraud Examiners	3
ACFE On-Line Fighting Fraud	Association of Certified Fraud Examiners	1
Agile/Servant Leadership, Becoming/Leading High Performing Teams, and From Tactical to Strategic Leadership Training Workshops for DCOIG Executive Leadership Program Staff	Agile Transformation, Inc.	35
AICPA Governmental Acctg and Auditing Update Conference	American Institute of Certified Public Accountants	2
AICPA Not-for-Profit Fraud Training	American Institute of Certified Public Accountants	2
AIG Inspector General Institute Certification Program	Association of Inspectors General	1
ALGA 2018 Conference	Association of Local Government Auditors	2
An Inquirer's Guide to the Baldrige Excellence Framework	U.S. Senate Productivity & Quality Award for Virginia and D.C.	1
An Opioid Litigation How-To Training	ProLawCLE	4
ASQ Process Auditing Techniques (Web-Based)	American Standards of Quality	2
Assessing Controls in Performance Audits	Graduate School USA	1
Assessing the Reliability of Computer-Processed Data	Graduate School USA	1
Association of Inspectors General Fall Training Conference 2017	Association of Inspectors General	1
Audit Evidence and Documentation	Graduate School USA	1
Auditing Grants	Graduate School USA	1
Basic Governmental Auditing	Graduate School USA	1
Blue Ridge Arsenal Training	Blue Ridge Arsenal	2
CIGIE Advanced Interviewing	Council of the Inspectors General on Integrity and Efficiency Training Institute	1
CIGIE Annual Leadership Conference	Council of the Inspectors General on Integrity and Efficiency Training Institute	1
CIGIE Contract and Grant Fraud Training	Council of the Inspectors General on Integrity and Efficiency Training Institute	2
CIGIE Hotline Operator Training Program	Council of the Inspectors General on Integrity and Efficiency Training Institute	1
CIGIE Intermediate Auditor	Council of the Inspectors General on Integrity and Efficiency Training Institute	5
CIGIE Introductory Auditor	Council of the Inspectors General on Integrity and Efficiency Training Institute	1
CIGIE Planning, Organizing, and Writing Effective Reports	Council of the Inspectors General on Integrity and Efficiency Training Institute	2

CIGIE Writing Fundamentals	Council of the Inspectors General on Integrity and Efficiency Training Institute	3
Content, SEO, and Editing Webinar	Copyediting (now part of ACES: The Society for Editing - formerly Pilcrow Group, Inc.)	1
Continuity of Operations (COOP) in Government Training Workshop XXV	Potomac Forum	1
Contract and Procurement Fraud	Graduate School USA	2
Developing and Presenting Audit Findings	Graduate School USA	1
Editing Visual Content Webinar	Copyediting (now part of ACES: The Society for Editing - formerly Pilcrow Group, Inc.)	1
Edward Tufte Presenting Data and Information	Graphics Press, LLC	1
Effective Audit Supervision	Graduate School USA	2
Evaluating & Presenting Analysis Results	Management Concepts	1
Finance and Business Training	George Mason University	1
Firearms Instructor Training	Continental Police Training & Consultation, LLC	1
FLETC Cybercrime Conference	Federal Law Enforcement Training Center	2
FLETC Firearms Training	Federal Law Enforcement Training Center	1
Go Lean Six Sigma Green Belt Certification, Self-Paced	Go Lean	1
Government Auditing Standards Review and Update	Graduate School USA	1
HKS of Executive Education, Recovering the Public in Public Value: Strategic Management in Government	Harvard Kennedy School	2
Inspector General Public Corruption Investigations Training Program	Council of the Inspectors General on Integrity and Efficiency Training Institute	1
Interviewing for Fraud	Graduate School USA	1
Introduction to Program Evaluation	Graduate School USA	1
Introduction to R for Data Analytics (On-Line)	The Learning Tree International	1
ISACA National Capital Area Chapter 2018 Annual Meeting	Information Systems Audit and Control Association	2
Leadership and Management Skills for Non-Managers	Management Concepts	1
Leadership and Strategy Essentials Training (On-Site)	George Mason University	1
Leadership Skills and Techniques	Management Concepts	1
Lorman Educational Services Live Webinar: From TV to Twitter: Working With the Media During a Crisis	Lorman Educational Services	1
Managing the Audit Engagement	Graduate School USA	1
Mastering QuiTam & The False Claims Act Training	Rossdale CLE Center	1
Maximizing Employee Performance and Conduct in the Modern Federal Workplace	Potomac Forum	1
NAMFCU Medicaid Fraud 102A Training	National Association of Medicaid Fraud Control Units	2
NAMFCU Annual Training Conference	National Association of Medicaid Fraud Control Units	11
NAMFCU Anti-Fraud Annual Training	National Association of Medicaid Fraud Control Units	2
NAMFCU Medicaid Fraud 102 Training	National Association of Medicaid Fraud Control Units	1
NAMFCU Medicaid Fraud 103 Training	National Association of Medicaid Fraud Control Units	1

NAMFCU Medicaid Fraud 101 Training	National Association of Medicaid Fraud Control Units	1
NAMFCU Resident Abuse Training	National Association of Medicaid Fraud Control Units	3
NARA Certificate of Federal Records Management Training	National Archives & Records Administration	2
NASAUD National Home & Community-Based Services Conference	National Association of States United for Aging and Disabilities	1
Operations & Maintenance Management Training (On-Line)	George Mason University	1
Opioid and Fentanyl Crisis Webinar Training	National White Collar Crime Center	18
OSHA 30-Hour Compliance Course	CareerTrack	1
Program Management Master Class (Strategic Planning) Training	PMI Seminar's World	1
Project Management Training (On-Site)	George Mason University	1
Qui Tam 4th Annual Conference	CLE International	1
Quick Response Auditing	Graduate School USA	1
Skills for Leading and Managing Audit Projects	Graduate School USA	2
SPQA Forum of Excellence 2018	U.S. Senate Productivity & Quality Award for Virginia and D.C.	1
Tableau Desktop II (Intermediate)	MicroTek	1
The 7 Habits of Highly Effective People Signature Edition 4.0	American Management Association	1
The New False Claims Act Fundamentals Webinar Training	myLawCLE	3
Time Management	Graduate School USA	1
Using Metrics to Assess Performance	Graduate School USA	1
Webinar in Emergencies & Evacuations: Creating an OSHA-Compliant Plan to Ensure Business Continuity & Employee Safety	Business and Legal Resources	1

40. Please discuss performance evaluations.

- a. Does the agency conduct annual performance evaluations of all its employees?
- b. Who conducts such evaluations?
- c. What steps are taken to ensure that all agency employees are meeting individual job requirements?

OIG Response: Annual performance evaluation of all OIG employees is completed in accordance with DCHR guidance. All employees are required to have a performance plan in place by November 1 of any given FY. Employees who join after that are required to have one within 30 days of onboarding. All employees must receive a mid-year review no later than June 30 of the FY, per OIG policy, although not required by DCHR. All employees must receive and end of year review no later than October 31 of each year.

All performance evaluations are conducted in person and within the performance system by the supervisor of each staff.

The OIG follows the DPM for performance. Each employee has an individual training plan as part of their performance plan each year and feedback on performance is given throughout the FY. OIG managers also utilize performance improvements plans for staff when and where necessary to improve job performance.

41. Please list all recommendations identified by the Office of the Inspector General, D.C. Auditor, or other federal or local oversight entities during FY 17, FY 18, or FY 19, to date. Please provide an update on what actions have been taken to address each recommendation. If the recommendation has not been implemented, please explain why.

OIG Response: Not applicable. There have been no recommendations from the D.C. Auditor or other federal or local oversight entities during FY 17, FY 18, or FY 19, to date.

IV. AGENCY OPERATIONS

42. How did the agency address its top five priorities in FY 18? What are the agency's top five priorities in FY 19? Please explain how the agency expects to address these priorities in FY 19.

OIG Response: See OIG Response to Question 34 for a discussion on the OIG's FY 18 initiatives, and Question 35 for a discussion on the OIG's FY 19 Initiatives.

43. Please describe any initiatives that the agency implemented in FY 18 or FY 19, to date, to improve the internal operations of the agency or the interaction of the agency with outside parties. Please describe the results, or expected results, of each initiative.

OIG Response: See OIG Response to Question 34 for a discussion on the OIG's FY 18 initiatives, and Question 35 for a discussion on the OIG's FY 19 Initiatives.

44. Please list each new program implemented by the agency during FY 18 and FY 19, to date. For each program, please provide:

- a. A description of the program;
- b. The funding required to implement the program;
- c. The program and activity codes in the budget; and
- d. Any documented results of the program.

OIG Response: For FY 18 and FY 19 to date, the OIG has not implemented any new programs.

45. Please explain the impact on your agency of any legislation passed or regulations adopted at the federal level during FY 18 and FY 19, to date, which significantly affect agency operations.

OIG Response: Currently, a change in federal policy is being considered to expand the ability for MFCUs to investigate and prosecute abuse and neglect of Medicaid beneficiaries in non-institutional settings, for example abuse or neglect of a beneficiary alleged to have occurred in a home health care or other non-institutional setting.

The OIG continues to evaluate how this policy change may impact the MFCU's operations and case mix.

46. Please identify any legislative requirements that your agency lacks sufficient resources to properly implement. Please explain.

OIG Response: The OIG will discuss a proposed FY 20 enhancement request that will allow the OIG to meet the requirements defined in D.C. Code § 1-301.115a (a)(3)(H) (Repl. 2016), commonly referred to as the Comprehensive Annual Financial Report (CAFR) audit. The OIG will have to enter into a contract with a new independent auditor in early FY 20. Due to proposed initiatives at OCFO, to include a new financial system, the OIG envisions that the cost to conduct the CAFR will exceed that in prior years.

47. Please discuss any legislation your agency plans to submit to the Council in FY 19 or FY 20.

OIG Response: The OIG is currently working with the Executive Office of the Mayor and Office of the City Administrator to address its limited law enforcement authorities that impede the OIG's ability

to independently and effectively conduct investigations and creates unsafe conditions for OIG special agents and the public.

The OIG hopes to get proposed legislative changes to its enabling legislation incorporated through the FY 20 Budget Support Act process.

48. Please identify any statutory or regulatory impediments to your agency's operations.

OIG Response: The OIG currently has two statutory impediments: (1) lack of appropriate law enforcement authorities to effectuate its independent investigative mission; and (2) lack of organizational independence.

Issue (1) is being addressed by the process described in Question 47. Issue (2) is currently under consideration by the OIG to determine what future efforts are required to ensure its operating independently, both in appearance and fact.

49. Please list all regulations for which the agency is responsible for oversight or implementation.

- a. For each regulation, please list the chapter and subject heading, and the date of the most recent revision.
- b. Please list any pending or planned regulatory action, including the chapter and subject, status, and actual or anticipated completion date.

OIG Response: Under 43 CFR Part 1007, the OIG implements the Medicaid Fraud Control Unit for the District of Columbia. Within the DCMR, there are several provisions related to the OIG's access and ability to inspect various records.

50. Beginning in FY 2015, the OIG merged the administration of its hotline program. Has this resulted in a change in the number of calls received by the Office? In the number of responses made by the Office?

OIG RESPONSE: The OIG is continuing to merge all administrative aspects into a single hotline program. All complaints made to the OIG are routed through the OIG's Hotline managed by RAFF. The MFCU maintains a separate conduit where it receives contacts from various stakeholders, which requires MFCU staff to conduct limited investigative, legal review, or action.

The ongoing assimilation of the two hotlines has not resulted in an increase of the number of calls received by, or responses made by, the OIG. As discussed, the assimilation results in the consolidation of various data points into one system and a more efficient application of the OIG's resources.

51. Please provide an update on the annual certification by the U.S. Department of Health and Human Services Office of Inspector General (HHS OIG) of the Medicaid Fraud Control Unit.

OIG RESPONSE: Under 42 CFR § 1007.15 (c), the MFCU submitted its annual recertification package to the Secretary of Health and Human Services on December 29, 2017, and received its recertification notification on February 26, 2018. The MFCU recertification period is from March 1, 2018, to February 28, 2019.

On December 31, 2018, the MFCU resubmitted its annual recertification package to the Secretary of Health and Human Services. To date, the OIG is awaiting HHS' response.

52. According to the OIG's FY 2015 Report on Activities, the OIG developed a five-year Strategic Plan to guide its efforts toward attaining its vision and mission. What actions were taken in FY 2018 and FY 2019, to date in accordance with the Strategic Plan? What does the OIG intend to accomplish throughout FY 2019 in accordance with the Strategic Plan?

OIG RESPONSE: Within the OIG's FY 18 Performance Accountability Report (Question 34), the OIG identified 10 strategic initiatives designed to aid in the implementation of the OIG's strategic plan. Detailed discussions of these initiatives can be found in Question 34.

For FY 19, the OIG has identified 8 strategic initiatives, which outlines actions planned/underway to aid in the implementation of the OIG's strategic plan. Detailed discussion of those initiatives can be found in Question 35.

53. In FY 2018, how many Investigative Unit cases did the U.S. Attorneys' Office (USAO) refer to the D.C. Office of the Attorney General for civil enforcement? How many of these cases resulted in litigation? What steps in FY 18 and FY 19, to date has the OIG taken to improve the acceptance rate by the USAO of cases referred by your office?

OIG RESPONSE: In FY 18, the OIG's IU presented 19 cases to the USAO, of which 12 were accepted. Further, IU referred 2 civil matters to the Office of the Attorney General and 14 matters to the District's Board of Ethics and Governmental Accountability. During FY 19, IU has referred 9 cases to the USAO, of which 7 have been accepted.

As a matter of procedure, the OIG is statutorily required to present all cases where there is evidence of criminal wrongdoing to the USAO. In some cases, the misconduct does not meet the USAO's threshold and in order to pursue either civil or administrative remedies, the OIG must first have a declination from the USAO. As a matter of practice, OIG leadership meets on a bi-weekly basis with the Section Chief of the Fraud and Public Corruption section of the USAO to discuss ongoing investigations.

54. Please comment on the realignment of the Audit Division into three branches. What has been the workload of each branch? Were any further adjustments made, or are currently in the process of being made, in FY 2019? Please explain your answer. Additionally, what, if anything needs additional adjustment? Please explain.

OIG Response: The OIG's Audit Unit has three supervisory units, each led by an MSS-14 and staffs comprised of CS-11 through -14 auditors and program analysts. Depending on workload demands, team members can be reassigned between the supervisory units. This reassignment also aids in staff development by exposing them to various types of audit work. The OIG anticipates no adjustments during FY 19.

55. With respect to the FY 2019 Audit and Inspection Plan, please comment on the emerging requirements, and whether the amount of excess capacity for requests as the fiscal year has proceeded was accurate. Thus far, have emerging requests exceeded available capacity? If so, what adjustments were made? What adjustments are being contemplated for FY 2019?

OIG RESPONSE: Currently, there is one ongoing project, which may affect the OIG's ability to execute all projects identified in its FY 19 *Audit and Inspection Plan*.

In December 2019, the OIG has initiated a project using a multi-disciplined, task-force approach to address emergent issues. This approach is comprised of OIG staff from several disciplines (i.e. criminal investigators, auditors, analysts). With staff assigned to these taskforces, the OIG may have to delay ongoing or planned projects.

56. In FY 2018, how did the IG strengthen the OIG's relationship with the Council of Inspector Generals for Integrity and Efficiency (CIGIE)?

OIG RESPONSE: During FY 18, the OIG has continued to strengthen its relationship with the Council of the Inspectors General on Integrity and Efficiency (CIGIE).

During FY 18, the Inspector General attended monthly meetings with other inspectors general during monthly CIGIE meetings. In May 2018, a representative of the OIG attended the 2018 CIGIE Annual Conference with Inspectors General of other agencies. Members of the OIG's Operational Units attend various trainings and meetings with other CIGIE members, such as the quarterly Assistant Inspectors General for Investigation meetings.

The OIG is required to refer all evidence of criminal wrongdoing to the USAO, and must comply with Quality Standards promulgated by CIGIE, the OIG must ensure that staff training in accordance with CIGIE's training. The OIG leverages CIGIE's Audit, Inspection and Evaluation Academy, Criminal Investigator Academy, and Leadership and Mission Support Academy to provide this training to OIG staff. Due to the fact that the OIG is not a Federal Office of Inspector General, the OIG's access to these training is impacted. To remedy this, the OIG is currently in talks with CIGIE, the Federal Law Enforcement Training Center, and other Federal Office of Inspectors General to better secure training for its staff.

Additionally, OIG provides staff to instruct at several CIGIE training Academy courses, such as Inspection and Evaluation Fundamentals and Undercover Operations.

During FY 19, the OIG will continue to seek additional opportunities to enhance its relationship with CIGIE.

57. Please attach copies of the required annual small business enterprise (SBE) expenditure reports for your agency for FY 17 and FY 18.
- a. D.C. Official Code § 2-219.53(b) requires each District agency to submit supplemental information with their annual SBE expenditure report, including: a description of the activities the agency engaged in to achieve their fiscal year SBE expenditure goal; and a description of any changes the agency intends to make during the next fiscal year to achieve their SBE expenditure goal. Has your agency submitted the required information for fiscal year 2018? Please provide a copy as an attachment.

OIG Response: For FY 18, please see Figure 6 on the following page. For FY 17, please see Figure 7 immediately following.

Figure 6: OIG FY 18 DSLBD Compliance Report

FY18 DSLBD Agency Compliance - Agency #8
Page 1 of 1

We have updated our [Privacy Policy](#) and [Acceptable Use Policy](#).
 See our [blog post](#) highlighting the changes.

Hi, Jaime (OIG) Yanasi

My Apps
FY18 DSLBD Agency Compliance
FY16 DSLBD Agency Compliance
FY17 DSLBD Agency Compliance

Home

Agencies Agency #8 Email More

Agency Information

Agency Name Office of the Inspector General

Budget Code ADD Agency Acronym OIG

Agency Users Information

Full Report | Grid Edit | Email | More 5 Agency users

Type	Full Name	Title	User	Email	Phone	Status
Agency Director	Daniel Lucas	Inspector General	lucas, Daniel	daniel.lucas@dc.gov	(202) 727-9501	Active
Compliance Officer					(202) 724-8700	Active
Compliance Officer					(202) 727-5064	Active
Compliance Officer					(202) 727-9826	Active
Compliance Officer					(202) 727-1041	Active

Budgets

Please click the Budget to see all budget line items

Full Report | Grid Edit | Email | More 1 Budget

Fiscal Year	Date Authorized	Authorized by	DSLBD POC	Appropriated Operating Budget	Appropriated Capital Budget	Total Appropriated Monitored Budget (Gross funds)	Baseline SBE Goal	Total Exclusions	Total Exceptions	Adjusted Approved Expendable Budget	Am
2018	02-13-2018	jaime m. yanasi	Freganet Denise, Compliance Specialist	\$18,344,092.99		\$18,044,978.10	\$9,022,489.05	\$13,793,121.23	\$2,451,260.00	\$1,800,596.87	\$1,800,596.87
TOT				\$18,344,092.99	\$0.00	\$18,044,978.10	\$9,022,489.05	\$13,793,121.23	\$2,451,260.00	\$1,800,596.87	\$1,800,596.87

Agency Requests

Changes in agency detail or reporting contact can be requested here. Click on 'Add Change Request' button to update your agency detail's reporting contact. It is the agency detail's responsibility to update DSLBD regarding reporting contacts.

Date Created	Name	Title	Email	Role	Request Type	Related Budget	Last Modified By	Date Modified	Record Owner
No requests found									

Created on July 30, 2015 at 9:03 AM (EDT). Last updated by [Lawrence John \[DCGA\]](#) on Oct. 4, 2016 at 10:06 PM (EDT). Owned by [Ginny Anis](#).

District of Columbia Government

<https://octo.quickbase.com/db/bmw28uskc?a=dr&rid=8>
2/19/2019

66

Figure 7: OIG FY 17 DSLBD Compliance Report

FY17 DSLBD Agency Complianc... - Agency #8
Page 1 of 1

We have updated our Privacy Policy and Acceptable Use Policy. See our blog post highlighting the changes to our Global and Local Business Development.
Hi, Jaime (OIG) Yaruzi

My Apps: [FY18 DSLBD Agency Compl...](#) [FY16 DSLBD Agency Compl...](#) [FY17 DSLBD Agency Compl...](#)

Home Shared Documents

Agencies **Agency #8** Email More

Agency Information

Agency Name: Office of the Inspector General
 Budget Code: ADO Agency Acronym: OIG

Agency Users Information

Full Report | Grid Edit | Email | More 4 Agency users

Type	Full Name	Title	User	Email	Phone	Status
Agency Director	Daniel Lucas	[REDACTED]	[REDACTED]	[REDACTED]	(202) 727-9501	Active
Compliance Officer	Jaime Yaruzi	[REDACTED]	[REDACTED]	[REDACTED]	(202) 727-5064	Active
Compliance Officer	Tonya Paulsen	[REDACTED]	[REDACTED]	[REDACTED]	(202) 727-2540	Active
Compliance Officer	Stephanie Morgan	[REDACTED]	[REDACTED]	[REDACTED]	(202) 727-9826	Active

Budgets

Please click the Budget to see all budget line items

Full Report | Grid Edit | Email | More 1 Budget

Fiscal Year	Date Authorized	Authorized by	DSLBD POC	Appropriated Operating Budget	Appropriated Capital Budget	Total Appropriated Monitored Budget (Gross Funds)	Baseline SEC Goal	Total Exclusions	Total Exceptions	Adjusted Approved Expendable Budget	Ar Ex
2017	09-28-2016	Daniel Lucas	Pragueet Demise, Compliance Specialist	\$19,185,679.00	\$0.00	\$19,185,679.00	\$9,319,865.81	\$13,891,403.15	\$2,212,500.00	\$2,535,828.46	\$2,6
TOT				\$19,185,679.00	\$0.00	\$19,185,679.00	\$9,319,865.81	\$13,891,403.15	\$2,212,500.00	\$2,535,828.46	\$2,6

Agency Requests

Changes in agency detail or reporting contact can be requested here. Click on 'Add Change Request' button to update your agency detail's reporting contact. It is the agency detail's responsibility to update DSLBD regarding reporting contacts.

[Add Change Request](#)

Date Created	Name	Title	Email	Role	Request Type	Related Budget	Last Modified By	Date Modified	Record Owner
No requests found									

Created on July 30, 2015 at 9:03 AM (EDT). Last updated by [Lawrence John \(DCRA\)](#) on Oct. 4, 2016 at 10:06 PM (EDT). Owned by [Gombe Anju](#).

District of Columbia Government

<https://octo.quickbase.com/db/bmirjxg7n?a=dr&rid=8>
2/19/2019

58. Please identify all electronic databases maintained by your agency, including the following:
- a. A detailed description of the information tracked or maintained within each system;

- b. The age of the system and any discussion of substantial upgrades that have been made or are planned to the system; and
- c. Whether the public can be granted access to all or part of each system.

OIG RESPONSE: The following databases are maintained by the OIG:

Audit Unit and the Inspections and Evaluations Unit:

The Audit Unit and Inspections and Evaluations Unit utilizes Wolters Kluwer TeamMate EWP to manage and maintain audit projects and all working papers. TeamMate EWP has been in use here in the OIG since 2008, starting with release R8. The most recent upgrade to release R12.1 occurred April 2018. Upgrade to release 12.3 is scheduled to occur by the 4th quarter of FY 2019.

This database is located within the OIG infrastructure and is protected behind the OIG firewall. Additionally, permissions are granted to OIG Audit and Quality Management personnel only. Due to the pre-decisional information contained within TeamMate, the public should not be granted access to this system.

Investigations Unit and Risk Assessment and Future Planning's Hotline Program:

The Investigations Unit utilizes an in-house Microsoft Access database to manage all complaints and ongoing cases. This database contains information to include control numbers, status of investigations, and documentation for cases; additionally, it allows investigative supervisors the ability to manage caseloads, conduct performance evaluations, and review case files. The database is located within the OIG infrastructure and is protected behind the OIG firewall. Additionally, permissions are granted to the OIG: Investigations Unit, General Counsel, and the Risk Assessment and Future Planning Unit personnel only.

This database has been in since FY 1996, and is scheduled for decommission at the end of FY 2019 and will be replaced with i-Sight Investigative Case Management System. We are currently in the testing phase of the new system with plans to soft launch during the 2nd quarter of FY 19. Due to law-enforcement sensitivity, the public should not have access to either system.

Medicaid Fraud Control Unit (MFCU):

The Medicaid Fraud Control Unit utilizes Journal Technologies (JustWare) to manage cases and all case-related data; in addition, it captures all statistical data necessary to comply with grant-related reporting requirements. JustWare has been in use since FY 2012. The most recent upgrade to release 5.11.4.17 occurred July 2017. This database is located within the OIG infrastructure and is protected behind the OIG firewall. Additionally, permissions are granted to the Medicaid Fraud Control Unit personnel only. Due to law-enforcement sensitivity, the public should not have access to this system.

59. Please provide a detailed description of any new technology acquired or any upgrades to existing technology in FY 18 and FY 19, to date, or anticipated for the remainder of FY 19.
- a. Include the cost, what it does, and the budget program and activity codes that fund it.
 - b. Cross reference to any relevant contracts (name or number) in the responses above.
 - c. Please explain if there have there been any issues with implementation.

OIG RESPONSE: In FY 2018, the OIG acquired the NUIX data analysis tool. NUIX allows the OIG to process and maintain digital records as evidence in existing and active criminal, civil and administrative investigations and/or litigations with local and federal agencies, in particular the US Department of Justice. (see RQ985499/PO583022 in Question Number 28.) Cost for this system was \$97 thousand. There are no issues to implementation.

In FY 2019, the OIG is planning to upgrade its cellular devices and acquire a server for the OIG's Records Program. There are not anticipated costs to these procurements at this time.