

Attachment I - Contracts & Grants

Contracts (CSG 41)

Contract / Procurement vs Grant	Vendor / Grantee Name	Contract Number / Grant Number	Contract / Grant Purpose - Description of Services	Competitive or Sole Source	Contract Type (N/A for Grants)	Original Contract / Grant Amount	Contract / Grant Term Begin Date	Contract / Grant Term End Date	Contract / Grant Period (FY20)	Contract / Grant Period Total Amount (FY20)	FY20 Funding Amount	Funding Source (local, federal, private, special revenue)	Notes
Contract/Procurement	TBD	TBD	Contracts & Consultants - Bank on DC	Competitive	Firm Fixed Price	\$65,000	10/1/2019	9/30/2020	Base Year	\$ 65,000.00	\$ 65,000.00	Special Purpose Revenue (O-Type)	The contact is for Bank on DC program
Contract/Procurement	TBD	TBD	Contracts & Consultants - Financially Fit	Competitive	Firm Fixed Price	\$265,000	10/1/2019	9/30/2020	Base Year	\$ 265,000.00	\$ 265,000.00	Special Purpose Revenue (O-Type)	The contact is for Financially Fit program
Contract/Procurement	Housing Counseling Services	CW53979	Contracts & Consultants - Foreclosure Mitigation	Non-Competitive/Sole Source	Definite Quantity	\$1,100,000	10/1/2019	9/30/2020	Option Year 3	\$ 1,100,000.00	\$ 1,100,000.00	Special Purpose Revenue (O-Type)	The contract is for Housing Counseling Services and Foreclosure mediation
Contract/Procurement	TBD	TBD	Financial Empowerment Center Project	TBD	Blanket Purchase Agreement	\$400,000	10/1/2019	9/30/2020	Base Year	\$ 400,000.00	\$ 400,000.00	Special Purpose Revenue (O-Type)	Banking Initiatives
Contract/Procurement	Capital Area Asset Builders Corp.	CW58424	Earned Income Tax Credit (EITC) Program	Competitive	Firm Fixed Price	\$250,000	10/1/2019	9/30/2020	Option Year 3	\$ 250,000.00	\$ 250,000.00	Special Purpose Revenue (O-Type)	Banking Initiatives
Contract/Procurement	TBD	TBD	Opportunity Accounts Program	TBD	Blanket Purchase Agreement	\$400,000	10/1/2019	9/30/2020	Base Year	\$ 400,000.00	\$ 400,000.00	Special Purpose Revenue (O-Type)	Banking Initiatives
Contract/Procurement	TBD	TBD	Contracts & Consultants - Other Banking Initiatives	Competitive	Blanket Purchase Agreement	\$350,000	10/1/2019	9/30/2020	Base Year	\$ 350,000.00	\$ 350,000.00	Special Purpose Revenue (O-Type)	The contact is for Banking Survey, Banking Code Modernization and DC BizCAP Improvement
Contract/Procurement	TBD	TBD	Contracts & Consultants - Communications	Competitive	Blanket Purchase Agreement	\$437,500	10/1/2019	9/30/2020	Not Applicable	\$ 437,500.00	\$ 437,500.00	Special Purpose Revenue (O-Type)	The contract is for outreach and marketing
Contract/Procurement	TBD	TBD	Contracts & Consultants - Enforcement	Non-Competitive/Sole Source	Firm Fixed Price	\$20,480	10/1/2019	9/30/2020	Not Applicable	\$ 20,480.00	\$ 20,480.00	Special Purpose Revenue (O-Type)	The contract is for Case Management System hosting
Contract/Procurement	TBD	TBD	Contracts & Consultants - Insurance, Actuarial Services	Competitive	Blanket Purchase Agreement	\$200,000	10/1/2019	9/30/2020	Base Year	\$ 200,000.00	\$ 200,000.00	Special Purpose Revenue (O-Type)	The contract is for Actuarial Services
Contract/Procurement	TBD	TBD	Contracts & Consultants - Insurance, Premium Tax Examination	Competitive	Blanket Purchase Agreement	\$300,000	10/1/2019	9/30/2020	Base Year	\$ 300,000.00	\$ 300,000.00	Special Purpose Revenue (O-Type)	The contract is for Premium Tax Examination
Contract/Procurement	TBD	TBD	Contracts & Consultants - Insurance Modernization	Competitive	Blanket Purchase Agreement	\$200,000	10/1/2019	9/30/2020	Base Year	\$ 200,000.00	\$ 200,000.00	Special Purpose Revenue (O-Type)	The contract is for Insurance Modernization
Contract/Procurement	TBD	TBD	Contracts & Consultants - Office of General Counsel	Non-Competitive/Sole Source	Blanket Purchase Agreement	\$15,000	10/1/2019	9/30/2020	Option Year 1	\$ 15,000.00	\$ 15,000.00	Special Purpose Revenue (O-Type)	This contract is for transcription services
Contract/Procurement	TBD	TBD	Contracts & Consultants - Risk Finance	Competitive	Firm Fixed Price	\$100,000	10/1/2019	9/30/2020	Not Applicable	\$ 100,000.00	\$ 100,000.00	Special Purpose Revenue (O-Type)	The contract is for Captive marketing
Contract/Procurement	TBD	TBD	Contracts & Consultants - Securities	Competitive	Blanket Purchase Agreement	\$113,120	10/1/2019	9/30/2020	Not Applicable	\$ 113,120.00	\$ 113,120.00	Special Purpose Revenue (O-Type)	The contract is to provide transcripts of hearings and Securities Modernization
Contract/Procurement	TBD	TBD	Contracts & Consultants - Insurance, State Flexibility Grant	Competitive	Blanket Purchase Agreement	\$139,000	10/1/2019	9/30/2020	Option Year 1	\$ 139,000.00	\$ 139,000.00	Federal	Contracts under the State Flexibility to Stabilize the Market grant award
Total										\$ 4,355,100.00	\$ 4,355,100.00		

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Subsidies and Transfers (CSG 50)

Contract / Procurement vs Grant	Vendor / Grantee Name	Contract Number / Grant Number	Contract / Grant Purpose - Description of Services	Competitive or Sole Source	Contract Type (N/A for Grants)	Original Contract / Grant Amount	Contract / Grant Term Begin Date	Contract / Grant Term End Date	Contract / Grant Period (FY20)	Contract / Grant Period Total Amount (FY20)	FY20 Funding Amount	Funding Source (local, federal, private, special revenue)	Notes
Grant	TBD	N/A	DCBizCAP - Collateral Support Program	TBD	TBD	N/A	N/A	N/A	Not Applicable	\$ 2,000,000.00	\$ 2,000,000.00	Special Purpose Revenue (O-Type)	
Total										\$ 2,000,000.00	\$ 2,000,000.00		

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Summary - All CSGs

CSG	FY 2020 Amount
0041	\$ 4,355,100.00
0050	\$ 2,000,000.00
All Other CSGs	\$ 4,633,418.00
Total	\$ 10,988,518.00

Attachment II-Intra-Districts					
Agency Name:		Department of Insurance, Securities and Banking (SR0)			
A	Amount	FTEs	Sending Agency (Buyer)	Service	
	\$52,000		Department of Employment Services	Summer Youth Employment Program	
	\$81,000		Department of Healthcare Finance	Insurers Assessment Services	
Total	\$133,000				
B	Amount	FTEs	Receiving Agency (Seller)	Service	Account Code Where This is Funded in Agency FY 2020 and FY 2019 Budget
	\$3,200		Department of Public Works	Fleet Management Services	CSG 40: Other Services and Charges
	\$100,727		Office of the Chief Technology Officer	OCTO IT Assessment	CSG 40: Other Services and Charges
	\$111,480		Office of Financial Resources Management	Telecommunications	CSG 31: Telephone, Telegraph, Telegram, Etc
	\$2,146,788		Department of General Services	Rent	CSG 32: Rentals
	\$2,317		Department of General Services	Security Services	CSG 34: Security Services
	\$53,779		Department of General Services	Occupancy Costs	CSG 35: Occupancy Fixed Costs
	\$20,000		Metropolitan Police Department	Fingerprinting / Background Checks	CSG 40: Other Services and Charges
Total	\$2,438,291				

Agency Name: Department of Insurance, Securities and Banking
 Agency Code: SR0

FY 2018 Projected Lapsed Grants Report
 Fourth Quarter (as of September 30, 2018)

Grant Name	Program Manager	Grant Type F = Federal P = Private	CFDA # (Federal)	Grant Number	Grant Phase	Grant Begin Date	Grants with end dates between 10/1/17 and 09/30/18	A	B	C = A - B	D	E	F = C - E	G = D - E	H	I	J = E + H + I	K = B + J	L	M = A - K - L	Comments
								Total Grant Award Amount	Expenditures prior to FY 2018 (Including IDCR)	Year-to-date Grant Balance	Revised FY 2018 Budget	Cash/Accr/ID Expend's	Unexpended Grant Award	Unexpended Budget Authority	Encumbrance	Pre-encumbrance	Current Year Obligations	Total Cumulative Obligations	Forecasted Obligations	Grant Lapse (Grant Award less Total Obligations)	
Health Insurance Rate Review Grant	Philip Barlow	F	93.511	IBPRII	12	10/1/2011	9/30/2018	\$3,803,324.00	\$3,308,617.33	\$494,706.67	\$494,706.67	\$144,699.13	350,007.54	350,007.54	0.00	0.00	144,699.13	3,453,316.46	0.00	350,007.54	Funds weren't used in full because the grantor (US Department of Health and Human Services) put restrictions on how the funds can be used.
										\$0.00			0.00	0.00			0.00	0.00		0.00	
										\$0.00			0.00	0.00			0.00	0.00		0.00	
										\$0.00			0.00	0.00			0.00	0.00		0.00	
										\$0.00			0.00	0.00			0.00	0.00		0.00	
										\$0.00			0.00	0.00			0.00	0.00		0.00	
										\$0.00			0.00	0.00			0.00	0.00		0.00	
										\$0.00			0.00	0.00			0.00	0.00		0.00	
										\$0.00			0.00	0.00			0.00	0.00		0.00	
										\$0.00			0.00	0.00			0.00	0.00		0.00	
										\$0.00			0.00	0.00			0.00	0.00		0.00	
										\$0.00			0.00	0.00			0.00	0.00		0.00	
										\$0.00			0.00	0.00			0.00	0.00		0.00	
										\$0.00			0.00	0.00			0.00	0.00		0.00	
										\$0.00			0.00	0.00			0.00	0.00		0.00	
										\$0.00			0.00	0.00			0.00	0.00		0.00	
										\$0.00			0.00	0.00			0.00	0.00		0.00	
										\$0.00			0.00	0.00			0.00	0.00		0.00	
										\$0.00			0.00	0.00			0.00	0.00		0.00	
										\$0.00			0.00	0.00			0.00	0.00		0.00	
										\$0.00			0.00	0.00			0.00	0.00		0.00	
										\$0.00			0.00	0.00			0.00	0.00		0.00	
										\$0.00			0.00	0.00			0.00	0.00		0.00	
										\$0.00			0.00	0.00			0.00	0.00		0.00	
										\$0.00			0.00	0.00			0.00	0.00		0.00	
										\$0.00			0.00	0.00			0.00	0.00		0.00	
										\$0.00			0.00	0.00			0.00	0.00		0.00	
										\$0.00			0.00	0.00			0.00	0.00		0.00	
TOTAL								\$3,803,324.00	\$3,308,617.33	\$494,706.67	\$494,706.67	\$144,699.13	\$350,007.54	\$350,007.54	\$0.00	\$0.00	\$144,699.13	\$3,453,316.46	\$0.00	\$350,007.54	

Program	Program Title	Activity	Activity Title	Position No	Position Title	Grade	Step	Fund	FTEs	Salary	Benefits	Salary and Benefits						
8000	MARKET EXAMINATIONS	8020	SECURITIES EXAMS	00021395	Securities Financial Examiner	12	4	Special Purpose Revenue Fund	1	90,303.31	19,054.00	109,357.31						
				00035980	SECURITIES FINANCIAL EXAM	13	8	Special Purpose Revenue Fund	1	117,983.39	24,894.50	142,877.89						
				00046077	Securities Financial Examiner	12	3	Special Purpose Revenue Fund	1	87,440.82	18,450.01	105,890.83						
				00085203	Securities Financial Examiner	12	4	Special Purpose Revenue Fund	1	91,540.84	19,315.12	110,855.96						
				8020 Total							4	387,268.36	81,713.63	468,981.99				
		8030	BANKING EXAMS			00005191	BANK EXAMINER	12	1	Special Purpose Revenue Fund	1	82,327.90	17,371.19	99,699.09				
						00034865	Banking Examiner	12	6	Special Purpose Revenue Fund	1	95,701.91	20,193.10	115,895.01				
						00046080	Banking Examiner	12	1	Special Purpose Revenue Fund	1	82,327.90	17,371.19	99,699.09				
						00046081	Banking Examiner	12	5	Special Purpose Revenue Fund	1	93,716.56	19,774.19	113,490.75				
						00075373	BANK EXAMINER	12	7	Special Purpose Revenue Fund	1	98,924.54	20,873.08	119,797.62				
						00075374	BANK EXAMINER	12	6	Special Purpose Revenue Fund	1	96,273.02	20,313.61	116,586.63				
						00075386	Senior Bank Examiner	13	6	Special Purpose Revenue Fund	1	110,063.74	23,223.45	133,287.19				
						00075388	Lead Bank Examiner	14	6	Special Purpose Revenue Fund	1	131,435.24	27,732.84	159,168.08				
						00075389	BANK EXAMINER	12	3	Special Purpose Revenue Fund	1	88,222.90	18,615.03	106,837.93				
						00075551	Supervisory Bank Examiner	15	1	Special Purpose Revenue Fund	1	116,218.42	24,522.09	140,740.51				
						00085204	BANK EXAMINER	12	5	Special Purpose Revenue Fund	1	94,002.24	19,834.47	113,836.71				
						00085205	BANK EXAMINER	12	2	Special Purpose Revenue Fund	1	86,237.55	18,196.12	104,433.67				
								8030 Total							12	1,175,451.92	248,020.36	1,423,472.28
						8040	RISK FINANCE EXAMS			00035765	Supervisory Financial Examiner	15	1	Special Purpose Revenue Fund	1	162,705.24	34,330.81	197,036.05
										00038470	FINANCIAL EXAMINER (CAPTIVE)	13	5	Special Purpose Revenue Fund	1	107,022.15	22,581.67	129,603.82
00038485	FINANCIAL EXAMINER INS									13	6	Special Purpose Revenue Fund	1	111,220.73	23,467.57	134,688.30		
00046076	Insurance Operations Examiner	13	5	Special Purpose Revenue Fund	1					108,405.63	22,873.59	131,279.22						
00075076	Financial Examiner & Analyst	14	9	Special Purpose Revenue Fund	1					141,408.79	29,837.25	171,246.04						
		8040 Total							5	630,762.54	133,090.89	763,853.43						
		8000 Total							24	2,499,801.03	527,458.02	3,027,259.05						
9000	COMPLIANCE ANALYSIS	9010	CONSUMER SERVICES	00010013	INSURANCE OPERATIONS SPEC	12	10	Special Purpose Revenue Fund	1	105,336.04	22,225.90	127,561.94						
				00013044	INSURANCE OPERATIONS SPEC	12	6	Special Purpose Revenue Fund	1	97,225.11	20,514.50	117,739.61						
				00015980	INS OPERATIONS SPEC	12	10	Special Purpose Revenue Fund	1	105,336.04	22,225.90	127,561.94						
				00022086	INSURANCE OPERATIONS SPEC	12	6	Special Purpose Revenue Fund	1	97,129.80	20,494.39	117,624.19						
				00075087	Consumer Services Specialist	12	10	Special Purpose Revenue Fund	1	105,336.04	22,225.90	127,561.94						
				00075393	Director, Compliance Analysis	15	1	Special Purpose Revenue Fund	1	117,300.00	24,750.30	142,050.30						
				00075435	Consumer Services Specialist	12	1	Special Purpose Revenue Fund	1	82,327.90	17,371.19	99,699.09						
						9010 Total							7	709,990.93	149,808.08	859,799.01		
		9020	MARKET RESEARCH ANALYSIS			00038798	Manager, Consumer Services	14	1	Special Purpose Revenue Fund	1	104,701.98	22,092.12	126,794.10				
						00075077	Compliance Analyst	12	8	Special Purpose Revenue Fund	1	100,338.78	21,171.48	121,510.26				
						00075086	Student Intern	07	3	Special Purpose Revenue Fund	1	44,941.96	9,482.75	54,424.71				
						00075431	Manager, Market Research and A	14	1	Special Purpose Revenue Fund	1	125,642.00	26,510.46	152,152.46				
				9020 Total							5	478,060.27	100,870.71	578,930.98				
		9080	DC MARKET COMPLIANCE ANALYSIS			00046078	Dep Commiss. for Market Compl.	16	1	Special Purpose Revenue Fund	1	154,753.00	32,652.88	187,405.88				
								9080 Total					1	154,753.00	32,652.88	187,405.88		
				9000 Total							13	1,342,804.20	283,331.67	1,626,135.87				
		Grand Total							150	15,825,437.36	3,339,167.26	19,164,604.62						