

GOVERNMENT OF THE DISTRICT OF COLUMBIA
BOARD OF ETHICS AND GOVERNMENT ACCOUNTABILITY



February 7, 2020

Honorable Charles Allen
Committee on the Judiciary and Public Safety
Council of the District of Columbia
1350 Pennsylvania Avenue, N.W., Suite 406
Washington, D.C. 20004

**RE: Board of Ethics and Government Accountability's
2019 Responses to the Performance Oversight Questions**

Dear Councilmember Allen:

On behalf of the Board of Ethics and Government Accountability, we thank you for this opportunity to provide responses to the Performance Oversight Questions contained in the Committee on the Judiciary's correspondence to BEGA Chairperson, Norma Hutcheson, dated January 8, 2020. The members of the Board and the Directors of Government Ethics and Open Government look forward to providing testimony and answering these and any other questions you might have at the Public Hearing scheduled on February 12, 2020. Please find the answers to the questions posed in the January 8, 2020 correspondence attached to this cover letter. If you have any additional questions or require more information, please let us know.

Sincerely,

Handwritten signature of Niquelle M. Allen in black ink.

Niquelle M. Allen
Director, Office of Open Government
Board of Ethics and Government Accountability

Handwritten signature of Rochelle Ford in black ink.

Rochelle Ford
Acting Director, Office of Government Ethics
Board of Ethics and Government Accountability

Enclosures

**BOARD OF ETHICS AND GOVERNMENT ACCOUNTABILITY
GOVERNMENT OF THE DISTRICT OF COLUMBIA**



**AGENCY PERFORMANCE OVERSIGHT
RESPONSES TO QUESTIONS
FROM THE DISTRICT OF COLUMBIA COUNCIL
COMMITTEE ON THE JUDICIARY AND PUBLIC SAFETY**

Questions

1. Please provide a current organizational chart for the agency, including the number of vacant, frozen, and filled positions in each division or subdivision. Include the names and titles of all senior personnel, and note the date that the information was collected on the chart.

See EXHIBIT 1- BEGA Organizational Chart

- a. Please provide an explanation of the roles and responsibilities of each division and subdivision.

The Board of Ethics and Government Accountability (BEGA) is overseen by a five-member Board that is responsible for overseeing the Office of Government Ethics (OGE) and the Office of Open Government (OOG).

OGE - The Office of Government Ethics administers and enforces the District of Columbia Code of Conduct. More specifically, OGE investigates and adjudicates alleged violations of the Code of Conduct; provides ethics advice and guidance to District employees; regularly provides mandatory ethics training; issues rules and regulations governing the ethical conduct of employees and public officials; oversees lobbyist registration and activity reporting; manages and oversees the financial disclosure filing requirements for District employees and officials; drafts an annual ethics Best Practices Report; and provides for the anonymous and confidential receipt of information related to violations of the Code of Conduct or other information with regard to the administration or enforcement of the Code.

There are no subdivisions under OGE.

OOG - The Office of Open Government (OOG) is a separate office under the Board of Ethics and Government Accountability. OOG's role is to advise and educate public bodies and the public regarding the Freedom of Information Act (FOIA) in an effort to ensure compliance with the requirements of FOIA. OOG's role regarding the Open Meetings Act is to enforce the provisions of the OMA and to advise and educate public bodies regarding the OMA to ensure compliance with the OMA. Specifically, OOG provides boards and commissions with formal and informal advice on compliance with the OMA. It investigates OMA complaints, and when necessary, issued to public bodies binding opinions on compliance. The OOG issues formal and informal advice to agencies on compliance with FOIA.

There are no subdivisions under OOG.

- b. Please provide a narrative explanation of any changes to the organizational chart made during the previous year.

BEGA Board: Changes made to the organizational chart during the previous year are as follows: Board member Shomari Wade resigned from his position effective February 8, 2019. Board Chairperson Tameka Collier resigned her position effective April 12, 2019. Board member Charles Nottingham was appointed to the Board effective May 9, 2019. Board member Melissa Tucker was appointed to the Board effective May 9, 2019. Board member Felice Smith was appointed to the Board effective July 24, 2019.

OGE: There have been no changes to the organizational chart.

OOG - OOG had several changes to the organizational chart. The legacy Attorney Advisor position was converted to a Supervisory Attorney position (General Counsel) and Johnnie Barton was promoted to fill that position on December 23, 2019. This position will coordinate all of the legal work in the office and serve as senior advisor to the Director of Open Government. The new Attorney Advisor position in OOG is currently vacant and OOG is currently screening candidates to fill the position. Tiffany Montgomery was hired to the position of Information Technology Specialist became vacant on May 30, 2019.

2. Please provide a current Schedule A for the agency which identifies each **position by program and activity, with the employee's title/position, salary, fringe benefits, and length of time with the agency.** Please note the date that the information was collected. The Schedule A should also indicate if the position is continuing/term/temporary/contract or if it is vacant or frozen. Please separate salary and fringe and indicate whether the position must be filled to comply with federal or local law.

See EXHIBIT 2 - BEGA Schedule A

3. Please list all employees detailed to or from your agency during FY19 and FY20, to date. For each employee identified, please provide the name of the agency the employee is detailed to or from, the reason for the detail, the date of the detail, and the employee's projected date of return.

There have been no employees detailed to or from the agency during FY19 or in FY20, to date.

4. Please provide the Committee with:

- a. A list of all vehicles owned, leased, or otherwise used by the agency and to whom the vehicle is assigned, as well as a description of all vehicle collisions involving the agency's vehicles in FY19 and FY20, to date; and

The Agency participates in the Fleet Share program under the Department of Public Works. Since FY19 to-date, Ronald Cook, Sr., Ileana Corrales, Ashley Cooks, Asia Stewart-Mitchell, and Sonya King are authorized drivers. There have been no vehicular accidents involving BEGA's use of government vehicles.

In September of FY19, BEGA purchased a Toyota Prius Prime Plus to aid out staff in carrying out the agency's mission. Attorneys and investigators often need to travel around the District and work on a spontaneous schedule since interviews and appointments can happen at any time. OGE uses this car almost daily. In addition, OGE's staff conducts bi-monthly New Employee Orientations, weekly field, and after-hours off-site trainings. Having a vehicle available to staff has immediately enhanced our ability to fulfill the Agency's statutory obligations.

- b. A list of travel expenses, arranged by employee for FY19 and FY20, to date, including the justification for travel.

See EXHIBIT 3 - BEGA FY19 and FY20 Travel Report

5. Please list all memoranda of understanding ("MOU") entered into by the agency during FY19 and FY20, to date, as well as any MOU currently in force. For each, indicate the date on which the MOU was entered and the termination date.

See EXHIBIT 4 - BEGA FY19 and FY20 MOUs

6. Please list the ways, other than MOU, in which the agency collaborated with analogous agencies in other jurisdictions, with federal agencies, or with non-governmental organizations in FY19 and FY20, to date.

OGE has not collaborated with analogous agencies.

FY19: OOG collaborated with the National Attorneys General Training & Research Institute, Center for Ethics and Public Integrity, to facilitate a

training course, “Effectively Managing the FOIA Process,” which was featured in its 2018 Ethics Summit at the Sandra Day O’Connor School of Law at Arizona State University, on December 12, 2018. The Director of Open Government facilitated the training course.

OOG collaborated with the Archive Social, a non-governmental provider of social media archiving, to provide an online training course, “Social Media and FOIA in 2019.” The Director of Open Government facilitated the training course on April 10, 2019.

OOG collaborated with the American Society of Access Professionals (ASAP) during its National Training Conference in Arlington, VA on July 22, 2019. The Director of Open Government served as a panelist in the course, “State and Local Freedom of Information.”

OOG collaborated with the D.C. Open Government Coalition by having the Director of Open Government serve as a speaker during the D.C. Open Government Coalition Sunshine Week Summit on March 12, 2019.

7. For FY19 and FY20, to date, please list all intra-District transfers to or from the agency, and include a narrative description of the purpose of each transfer.

See EXHIBIT 5 - BEGA FY19 and FY20 Intra-District Transfers

8. For FY19 and FY20, to date, please identify any special purpose revenue funds maintained by, used by, or available for use by the agency. For each fund identified, provide:
 - a. The revenue source name and code;
 - **Fine Fees: Fund 0601, D.C. Official Code § 1-1162.21**
 - **Lobbyist Registration Fees: Fund 0602 D.C. Official Code § 1-1162.27**
 - b. The source of funding;
 - **Fine Fees: Agency Fund, 0601**
 - **Lobbyist Registration Fees: Agency Fund, 0602**
 - c. A description of the program that generates the funds;
 - **Fine Fees: This Financial Disclosure Statements Program manages and oversees the financial disclosure filing requirements for District employees and officials. The Lobbyists Program oversees lobbyist registration and activity reporting. Revenue from this fund comes from fines imposed by the Board for non-compliance; see D.C. Official Code sec. 1-1162.10. Those funds in turn are spent on office operations including training and personnel services.**

- **Lobbyist Registration Fees:** This program oversees lobbyist registration and activity reporting. Revenue from this fund comes from lobbyist registration fees; see D.C. Official Code 1-1162.27. By statute, these funds in turn are spent on lobbyist oversight and enforcement.
- d. The amount of funds generated by each source or program;
 - **Fine Fees:** FY19 = \$13,258; FY20 = \$7,750
 - **Lobbyist Registration Fees:** FY19 = \$115,301; FY20 = \$5,890
 - e. Expenditures of funds, including the purpose of each expenditure;
 - **Fine Fees:** FY19 = \$51,031; FY20 = \$0
 - **Lobbyist Registration Fees:** FY19 = \$1,601; FY20 = \$33,956
 - f. Whether expenditures from the fund are regulated by statute or policy; and
 - **Yes, see the response to “c” above.**
 - g. The current fund balance.
 - **Fine Fees:** \$ 27,328.05
 - **Lobbyist Registration Fees:** \$ 272,465.05

9. For FY19 and FY20, to date, please list all purchase cards spending by the agency, the employee making each expenditure, and the general purpose of each expenditure.

[See EXHIBIT 6](#) - BEGA FY19 Purchase Card Report

[See EXHIBIT 7](#) - BEGA FY20 Purchase Card Report

10. Please list all capital projects in the financial plan for the agency or under the agency’s purview in FY19 and FY20, to date, and provide an update on each project, including the amount budgeted, actual dollars spent, and any remaining balances. In addition, please provide:
 - a. An update on all capital projects begun, in progress, or concluded in FY18, FY19, and FY20, to date, including the amount budgeted, actual dollars spent, and any remaining balances;
 - b. An update on all capital projects planned for the four-year financial plan;
 - c. A description of whether the capital projects begun, in progress, or concluded in FY18, FY19, and FY20, to date, had an impact on the operating budget of the agency. If so, please provide an accounting of such impact; and
 - d. A description and the fund balance for each existing allotment in each capital project under the agency’s purview.

There are no existing capital projects and no capital projects in the financial plan.

11. Please provide a list of all budget enhancement requests (including capital improvement needs) for FY19 and FY20, to date. For each, include a description of the need and the amount of funding requested.

AGENCY:

FY20 and FY21 – In both fiscal years there was a budget enhancement request for funding for office space in the amount of \$398,422.50. An independent consulting firm retained by DGS (Savills Studely) has determined BEGA needs approximately 7,589 square feet in office space to conduct its operations, but our current space contains only 3,100 square feet and is spread across two different floors (the 5th and 8th) at One Judiciary Square. This enhancement will allow the agency to consolidate both OOG and OGE in one location with adequate space to accommodate both office’s needs, while improving the public’s perception of BEGA as one unified agency dedicated to promoting and protecting the District government’s ethical integrity and transparency, rather than being two separate entities linked only tangentially through a shared Board.

OGE: FY20 – There was a budget enhancement request of \$33,000 to fully support staffing changes enacted during FY18. There was also a budget enhancement request of \$29,200 to purchase an additional software license. OGE is requesting this enhancement to cover the annual licensing fees it will incur in connection with the modern case management software system (CMTS) it purchased in FY18. There was also a request for an increase of \$90,000 to support a second Auditor position within the Office of Government Ethics.

OOG:

FY19 – There was a budget enhancement request of \$50,000 to support the replacement of audio-visual equipment in the agency’s board hearing room, which has reached the end of its useful life. In addition BEGA requested a one-time budget increase of \$30,000 in the Office of Open Government to support costs related to the production of an online training course to facilitate the statutory mandate to provide training on the Open Meetings Act and Freedom of Information Act. There was also a request for an increase of \$98,000 to support an Attorney-Advisor position within the Office of Open Government. The Office is understaffed and adding an additional attorney to the office will facilitate it ability to execute its mission,

FY20 – There is a budget enhancement request for a Trial Attorney position within the Office of Open Government and a Paralegal position within the same office to facilitate Open Meetings Act enforcement. The Office lacks sufficient resources to fulfil its statutory mission of initiating enforcement actions in Superior Court. The Office currently does not have staff that could bring an enforcement action, as the statute requires, for violation of the Open Meetings Act. The Trial Attorney enhancement request is for \$108,612 to support the position and the Paralegal enhancement request is for \$90,325 to support the position.

12. Please list, in chronological order, each reprogramming in FY19 and FY20, to date, that impacted the agency, including those that moved funds into the agency, out of the agency, or within the agency. Include known, anticipated reprogrammings, as well as the revised, final budget for your agency after the reprogrammings. For each reprogramming, list the date, amount, rationale, and reprogramming number.

District of Columbia Board of Ethics and Government Accountability (AGO)					
FY 2019 & 2020 Reprogrammings					
Fiscal Year	Date	FROM	TO	Reprogrammed use of Fund	Total
2019	Aug 22,19	PS Local	NPS Local	Agency Operation	\$130,000.00
	FY 2019 Revised final Local Budget				\$130,000.00
		No Reprogramming FY 2020			
2020	FY 2020 Revised final Local Budget				\$0.00

13. Please list each grant or sub-grant **received by** your agency in FY19 and FY20, to date. List the date, amount, source, purpose of the grant or sub-grant received, and amount expended.
- a. How many FTEs are dependent on grant funding? What are the terms of this funding? If it is set to expire, what plans, if any, are in place to continue funding the FTEs?

There has been no grant or sub-grant.

14. Please list each grant or sub-grant **granted by** your agency in FY19 and FY20, to date. List the date, amount, source, and purpose of the grant or sub-grant granted.

There has been no grant or sub-grant.

15. Please list each contract, procurement, and lease, entered into or extended and option years exercised by your agency during FY19 and FY20, to date. For each contract, procurement, or lease, please provide the following information, where applicable:

- a. The name of the party;
- b. The nature of the contract, procurement, or lease, including the end product or service;

- c. The dollar amount of the contract, procurement, or lease, including amount budgeted and amount actually spent;
- d. The term of the contract, procurement, or lease;
- e. Whether it was competitively bid;
- f. The name of the agency's contract monitor(s) and the results of any monitoring activity; and
- g. The funding source.

See EXHIBIT 8 - BEGA FY19 and FY20 Purchase Orders Report

16. Please list all pending lawsuits that name the agency as a party. Identify which cases on the list are lawsuits that potentially expose the District to significant financial liability or will result in a change in agency practices, and describe the current status of the litigation. Please provide the extent of each claim, regardless of its likelihood of success. For those identified, please include an explanation about the issues involved in each case.

OGE: Gerren Price v. D.C. BEGA, D.C. Court of Appeals -- CA No. 16-AA-1230 and D.C. Superior Court -- 2019 CA 005346 P(MPA). This case involves an appeal from a BEGA finding that the Petitioner violated the Nepotism provisions of the Code of Conduct. This case was submitted to the D.C. Court of Appeal's without argument on October 24, 2018. On July 25, 2019, the D.C. Court of Appeals ruled that the Petitioner had filed his appeal in the wrong court and granted him time to file his appeal in D.C. Superior Court. On January 10, 2020, a briefing schedule was set for the parties to submit argument on the Petitioner's claim that BEGA's decision should be overturned.

OOG: None.

17. Please list all settlements entered into by the agency or by the District on behalf of the agency in FY19 or FY20, to date, and provide the parties' names, the date the settlement was entered into, the amount of the settlement, and if related to litigation, the case name, docket number, and a brief description of the case. If unrelated to litigation, please describe the underlying issue or reason for the settlement (e.g. administrative complaint, excessive use of force, etc.).

OGE: Not including the Negotiated Dispositions resulting from OGE investigations that are published on our website, OGE entered into one settlement during the referenced time frame.

J. Dillion v. BEGA, OEA Matter No. J-0030-18. This was an employment matter involving a separated employee. This matter was settled on or about October 31, 2018.

OOG: None.

18. Did the agency use outside counsel in FY19 and FY20, to date? If so, for what matter(s) and in what amount(s)?

The agency did not use outside counsel in FY19 or FY20, to date.

19. Please list the administrative complaints or grievances that the agency received in FY19 and FY20, to date, broken down by source. Please describe the process utilized to respond to any complaints and grievances received and any changes to agency policies or procedures that have resulted from complaints or grievances received. For any complaints or grievances that were resolved in FY19 or FY20, to date, describe the resolution.

The agency did not receive any administrative complaints or grievances during FY19 and FY20, to date.

20. Please describe the agency's procedures for investigating allegations of sexual harassment, sexual misconduct, or discrimination committed by or against agency employees. List and describe any allegations relating to the agency or its employees in FY19 and FY20, to date, and whether and how those allegations were resolved (e.g. a specific disciplinary action, such as re-training, employee transfer, suspension, or termination).

The agency follows the procedures set forth in Mayor's Order 2017-313, "Sexual Harassment Policy, Guidance, and Procedures." Consistent with the Order, BEGA has a Sexual Harassment Officer (S.H.O.) that is charged with investigating allegations of sexual harassment.

The agency did not receive any allegations of sexual harassment, sexual misconduct, or discrimination committed by or against agency employees during FY19 or FY20, to date.

- a. Please also identify whether the agency became aware of any similar matters in FY19 or FY20, to date, through means other than an allegation, and if so, how the matter was resolved (e.g. sexual harassment was reported to the agency, but not by the victim).

The agency did not become aware of any similar matters through means other than an allegation in FY19 or FY20, to date.

21. Please provide the Committee with a list of the total workers' compensation payments paid by the agency or on the agency's behalf in FY19 and FY20, to date, including the number of employees who received workers' compensation payments, in what amounts, and for what reasons.

The agency did not pay any workers' compensation payments in FY19 or FY20, to date.

22. Please list and describe any ongoing investigations, audits, or reports on the agency or any employee of the agency, or any investigations, studies, audits, or reports on the agency or any employee of the agency that were completed during FY19 and FY20, to date.

See EXHIBIT 9 – BEGA – District Auditor's October 2, 2019 Report

23. Please describe any spending pressures the agency experienced in FY19 and any anticipated spending pressures for the remainder of FY20. Include a description of the pressure and the estimated amount. If the spending pressure was in FY19, describe how it was resolved, and if the spending pressure is in FY20, describe any proposed solutions.

BEGA enlisted the assistance of the Department of General Services (DGS) during FY18 to assess the current office space needs for both OGE and OOG. According to DGS, BEGA's two offices currently occupy a total of approximately 3,100 square feet. However, per the initial study completed for DGS by Savills Studley on February 1, 2018, given the size of BEGA's staff and the agency's functions, BEGA actually needs between 7,000 square feet and 8,000 square feet. Because DGS previously informed us that One Judiciary Square lacks excess space to accommodate BEGA's needs, it appears the agency may need to relocate to leased property elsewhere, at an estimated cost of \$398,422.50 in FY21.

Our initial and proposed solution is to continue to seek funding for adequate space. In the interim, we have made efforts improve our current space by soundproofing offices and the conference room and reconfiguring our work stations in order to maximize the available space.

24. Please provide a copy of the agency's FY19 performance plan. Please explain which performance plan objectives were completed in FY19, and whether they were completed on time and within budget. If they were not, please provide an explanation.

See EXHIBIT 10 – BEGA – OGE FY19 Performance Plan

OOG: OOG worked with the City Administrator to complete a performance plan for FY19, but due to the timing of the initiation of the project, it was not completed. The Office of Open Government created performance metrics through this process, but the metrics were not included in the BEGA performance plan for FY19.

25. Please provide a copy of your agency's FY20 performance plan as submitted to the Office of the City Administrator.

OGE: FY20 Performance Plan: OGE is working to submit its FY20 Performance plan.

OOG: FY20 Performance Plan – The Office of Open Government Director has concluded, after a year of experience in the office, that former Director Hughes' determination that the Executive Performance Measurement Program was not a good fit for the Office of Open Government was correct. The Office must rely on outside entities to schedule trainings and conduct evaluations for its statutorily mandated trainings. Further, although public body member OMA training is mandatory, OOG cannot compel attendance. Non-mandatory trainings are provided upon request, so it is difficult to create attainable metrics respecting training. OOG reports on its performance monthly to the BEGA Board and will revisit the performance plan process with the City Administrator in the future, once it has sufficient resources to execute its statutory mission.

26. Please describe any regulations promulgated by the agency in FY19 or FY20, to date, and the status of each.

OGE: Effective on August 16, 2019, OGE's Notice of Final Rulemaking made conforming and technical changes to Chapters 51 - 58 of Title 3 (Elections and Ethics); added criteria to be considered in deciding requests for waiver of fines and appeals of employee designations to file financial disclosure statements; and lobbyist fines.

OOG: OOG's regulations (3 DCMR § 10400) took effect on May 31, 2019. In part, the regulations: (1) authorize aggrieved party appeals to BEGA for reconsideration when OMA opinions are issued at the request of a public official, government employee or issued *sua sponte*; (2) provide that public bodies may conduct public meetings using the latest edition of Robert's Rules of Order; (3) state that the Director may provide advice and training on parliamentary procedure; (4) require this statement on draft and final meeting agenda: "This meeting is governed by the Open Meetings Act. Please address any questions or complaints arising under this meeting to the Office of Open Government at open.govoffice@dc.gov."; (5) permit the acceptance of anonymous OMA complaints; (6) set forth the procedures for conducting public meetings by electronic means; (7) state the components of detailed meeting minutes; and (8) require the retention of meeting records pursuant to District of Columbia law and the General Records Retention Schedules.

27. Please provide the number of FOIA requests for FY19 and FY20, to date, that were submitted to your agency. Include the number granted, partially granted, denied, and pending. In addition, please provide the average response time, the estimated number of FTEs required to process requests, the estimated number of hours spent responding to these requests, and the cost of compliance.

See EXHIBIT 11 - BEGA FY19 and FY20 FOIA Report

28. Please provide a list of all studies, research papers, reports, and analyses that the agency prepared or contracted for during FY19 and FY20, to date. Please state the status and purpose of each. Please submit a hard copy to the Committee if the study, research paper, report, or analysis is complete.

OGE: None.

OOG: In FY19 the Office of Open Government engaged in a comprehensive audit of public bodies' websites, which included the District of Columbia's website, DC.GOV, and the OOG's Central Meeting Calendar, to determine if District of Columbia public bodies are in compliance with the provisions of D.C. Official Code § 2-536 (information which agencies must make public without submission of a FOIA request) and the Opening Meeting Act's posting of public notice and meeting records (D.C. Official Code §§ 2-576 and 2-578) requirements. OOG published the raw data that resulted from the audit on its website.

See EXHIBIT 12 – FOIA & OMA Compliance

In FY20, the Office of Open Government is compiling a report that incorporates the data from the audit and provides recommendations respecting compliance with the provisions of D.C. Official Code § 2-536 (information which agencies must make public without submission of a FOIA request) and the Opening Meeting Act's posting of public notice and meeting records (D.C. Official Code §§ 2-576 and 2-578) requirements.

29. Please list in descending order the top 25 overtime earners in your agency in FY19 and FY20, to date, if applicable. For each, state the employee's name, position number, position title, program, activity, salary, fringe, and the aggregate amount of overtime pay earned. Please describe the process the agency uses to determine which employees are granted overtime.

AGO - DC BOARD OF ETHICS AND GOVT ACCOUNTABILITY - OVERTIME

	Name	Position No.	Title	Program	Activity	Salary	Fringe	Aggregate Overtime Amount
FY19	Waddah Kittab	83174	IT Specialist	1100	1100	\$ 88,831.00	\$ 18,299.19	\$ 6,663.14
FY20	Tiffany Montgomery	83174	IT Specialist	1100	1100	\$ 89,957.00	\$ 18,531.14	\$ 640.73

BEGA uses job codes (exempt/nonexempt status) to determine which employees are granted overtime.

30. For FY19 and FY20, to date, please provide a list of employee bonuses or special pay granted that identifies the employee receiving the bonus or special pay, the amount received, and the reason for the bonus or special pay.

The agency did not provide any bonuses or special pay in FY19 or FY20, to date.

31. For FY19 and FY20, to date, please list each employee separated from the agency with separation pay. State the amount and number of weeks of pay. Also, for each, state the reason for the separation.

For FY19 and FY20, to date, one agency employee separated from the agency with separation pay. Because this relates to a confidential personnel matter, the agency is unable to provide further detail. This information can be made available for Committee review.

32. Please provide the name of each employee who was or is on administrative leave in FY19 and FY20, to date. In addition, for each employee identified, please provide: (1) their position; (2) a brief description of the reason they were placed on leave; (3) the dates they were/are on administrative leave; (4) whether the leave was/is paid or unpaid; and (5) their current status.

For FY19 and FY20, to date, one agency employee was placed on administrative leave. Because this relates to a confidential personnel matter, the agency is unable to provide further detail. This information can be made available for Committee review.

33. Please provide each collective bargaining agreement that is currently in effect for agency employees. Please include the bargaining unit and the duration of each agreement. Please note if the agency is currently in bargaining and its anticipated completion.

There are no collective bargaining agreements that are currently in effect for any agency employees.

34. If there are any boards, commissions, or task forces associated with your agency, please provide a chart listing the names, number of years served, agency affiliation, and attendance of each member. Include any vacancies. Please also attach agendas and minutes of each board, commission, or task force meeting in FY19 or FY20, to date, if minutes were prepared. Please inform the Committee if the board, commission, or task force did not convene during any month.

<i>Board Member</i>	<i>Confirmation Date</i>	<i>Term</i>	<i>Ward</i>	<i>Member Attendance</i>
Norma Hutcheson, Chair	28-June-16	6 years	4	Attended all meetings
Darrin P. Sobin, Member	13-Nov-18	6 years	2	Attended all meetings
Melissa Tucker	9-May-19	6 years	3	Attended all meetings, except November 7, 2019
Charles Nottingham	9-May-19	6 years	3	Attended all meetings
Felice Smith	24-Jul-19	6 years	5	Attended all meetings
Tameka M. Collier, Former Chair	1-Nov-16	Ended July 1, 2018; Holdover	2	Attended all meetings' resigned effective April 12, 2019
Shomari Wade, Former Member	28-Jun-16	6 Years	1	Attended all meetings; resigned effective Feb 8, 2019

35. Please list all reports or reporting currently required of the agency in the District of Columbia Code or Municipal Regulations. Provide a description of whether the agency is in compliance with these requirements, and if not, why not (e.g. the purpose behind the requirement is moot, etc.).

OGE:

- **D.C. Official Code § 1-1162.19 – Advisory Opinions**
- **D.C. Official Code § 1-1162.20 – Quarterly Complaint Report**
- **D.C. Official Code § 1-1162.02(b) – Best Practices Report**
- **D.C. Official Code § 2-576 (3) – Notice of meetings, including agenda**
- **D.C. Official Code § 2-578(b)(1) – Copies of minutes**
- **D.C. Official Code § 1-1162.24 (c) – List of public officials who have filed a public financial disclosure statement, received an extension of the deadline for filing, or not filed**
- **D.C. Official Code § 1-1162.29(b)(2) – summary of registered lobbyist information by August 15th or within 10 days after registering**

OOG: None.

36. Please provide a list of any additional training or continuing education opportunities made available to agency employees. For each additional training or continuing education program, please provide

the subject of the training, the names of the trainers, and the number of agency employees that were trained.

See EXHIBIT 13 – BEGA FY19 & FY20 Staff Training-Education

37. Please describe any initiatives that the agency implemented in FY19 or FY20, to date, to improve the internal operations of the agency or the interaction of the agency with outside parties. Please describe the results, or expected results, of each initiative.

OGE: In FY20, OGE revised and updated its complaint intake procedures. OGE also automated improved efficiency by updating our system to allow complaints that are submitted online to be imported directly into our case management system. We also began providing complainants with a tracking number about their complaint once their complaint has been submitted.

OOG: – In FY20, to improve OOG’s interactions with the public and streamline internal operations, OOG began initiating updates to its website, open-dc.gov. OOG simplified its process for Administrative Points of Contact for Boards and Commissions to post content on the website. The office also updated outdated content on the website and corrected technical and stylistic errors.

38. What are the agency’s top five priorities? Please explain how the agency expects to address these priorities in FY20. How did the agency address its top priorities listed for this question last year?

OGE:

1. **Secure new office space that is adequate for the agency’s needs.** We are working to meet with the Department of Government Services to explore options for new office space. OGE also reconfigured its current space to accommodate more employees. We will continue to pursue funding or explore ways to maximize our current space.
2. **Increase outreach to the community and to District employees.** OGE plans to coordinate with the OOG to provide more roadshow trainings to ANCs and to other public bodies. The purpose of these programs is to expand the public’s awareness about BEGA’s mission. OGE also plans to implement a more robust ethics counselor training and support program in order to better train employees and to better address and remediate systemic ethics issues.
3. **Implement the Comprehensive Code of Conduct (CCC) legislation.** This remains the agency’s top legislative priority again this year. Once the CCC is passed by the Council and signed into law by the Mayor, BEGA will immediately begin implementation. The implementation plan will include training all government ethics counselors on the revisions to the ethics code, revising and republishing the Ethics Manual, and modifying BEGA’s Formal Advice Opinions to ensure consistency with the new code.

4. **Use technology to better educate and advise employees.** OGE intends to launch a mobile application (an App) so that the District's 34,000 plus employees can more easily access ethics information from their smartphones after hours or while away from their duty stations. We also are considering upgrading our training platform to allow OGE to provide more specialized trainings and to better track training attendance. We are also working with DCHR to provide more training offerings through PeopleSoft.
5. **Improve OGE operations** To improve accountability and efficiency, OGE's staff will continue to review, standardize, and revise the office's current policies, procedures, and practices. OGE also intends to create a supervisory attorney position in order to ensure that ethics advice is provided on a timely and consistently basis. The supervisory attorney would continue to oversee the agency's education and outreach efforts, which will include the expanded ethics counselor program, and would also oversee OGE's communications with the public and other District agencies.

OOG:

FY19

1. **Securing new office space that is adequate for the agency's needs.** OOG worked with OGE to meet with the Department of Government Services to explore options for new office space. It also reconfigured its current space to accommodate more employees.
2. **Revise Open Meetings Act Regulations.** OOG promulgated new regulations in FY19 as planned.
3. **Continued public outreach.** OOG visited ANC meetings along with OGE to discuss the office's joint mission in FY19. OOG also partnered with an outside entity to produce a webinar on social media and FOIA that was viewed by a wide, national audience.
4. **Develop and Launch online training offerings for FOIA and the OMA.** OOG has produced online content and published it on YouTube. In FY19, OOG partnered with the District of Columbia Bar to produce video shorts on FOIA. OOG also partnered with an outside entity to produce a webinar on social media and FOIA.
5. **Work with agencies to post information about OOG on their open government tabs.** OOG worked with the Office of the Chief Technology Officer (OCTO) to update all of the Executive Agencies' FOIA tabs.

FY20

1. **Produce a training video regarding the Open Meetings Act.** BEGA received funds to produce an online training video for OOG on the OMA or FOIA. OOG is working with producers at the Office of Cable Television, Film, Music, & Entertainment to produce this video in FY20.
2. **Work with analogous government and private entities on transparency issues.** OOG is seeking to partner with other state and local governments, community-based organizations and open government advocates to sponsor public forums and/or hold training sessions in FY20.
3. **Release a report with recommendations concerning the FY19 audit results.** OOG will release recommendations and analysis based on its comprehensive audit of the District's websites for compliance with the OMA and FOIA.
4. **Continued public outreach.** The OOG has partnered with the Office of Advisory Neighborhood Commissioners to create a training program to provide Advisory Neighborhood Commissioners with training on FOIA. OOG also intends to attend more public meetings in FY20.
5. **Update open-dc.gov and use bega.dc.gov.** OOG is evaluating the security of its website and working to upgrade it to ensure that it is not subject to cyber-attacks and the information it receives in the form of complaints and uploads is secure.

39. Please list each new program implemented by the agency during FY19 and FY20, to date. For each initiative, please provide:
- a. A description of the initiative;
 - b. The funding required to implement the initiative; and
 - c. Any documented results of the initiative.

OGE: OGE launched an Ethics Counselor Incentive program to recognize ethics counselors who timely and accurately complete their financial disclosure related obligations. There is no funding required to implement the program. OGE just launched the program, so we are unable to assess its effectiveness.

OOG: In FY19, the OOG launched an initiative that called for the review of District of Columbia government websites for compliance with FOIA and OMA. There was no funding required to complete the audit, as it was conducted by OOG's staff. The results of the audit are published on open-dc.gov and attached as an Exhibit to question number 28, above.

40. How does the agency measure programmatic success? Please discuss any changes to outcomes measurement in FY19 and FY20, to date.

OGE: Consistent with our performance measures, OGE measures programmatic success by assessing how expeditiously and consistently we provide ethics advice, by measuring how long it takes us to resolve investigations, by assessing our training evaluations and feedback, and by tracking how we handle supports requests that we receive in connection with our e-filing systems.

OOG – The Office was not able to include its performance measures in the BEGA performance plan in FY19 and FY20, but will revisit the option in FY21. With respect to measuring success, OOG is currently comprised on three staff members and the Director. We measure our success by (1) the ability conduct of trainings and training evaluations; (2) completion of advisory opinions; and (3) informal and formal advice rendered. We report on these aspects monthly to the BEGA Board.

41. What are the top metrics and KPIs regularly used by the agency to evaluate its operations? Please be specific about which data points are monitored by the agency.

OGE: We measure the number of complaints we receive; the number of investigations we initiate; the length of time it takes to resolve our investigations after initiation, the number of trainings we conduct; the number of both formal and informal requests for ethics advice we receive; and the number of required Financial Disclosure Statement filers – both Public and Confidential – who submit their FDS statements before the established deadlines of May 15 and June 1, respectively.

OOG – The Office was not able to include its performance measures in the BEGA performance plan in FY19 and FY20, but will revisit the option in FY21.

42. Please identify whether, and if so, in what way, the agency engaged The Lab @ DC in FY19 or FY20, to date.

The agency did not engage with The Lab@DC in FY19 orFY20, to date.

43. Please list the task forces and organizations of which the agency is a member.

OGE: BEGA is a member of the Council on Government Ethics Laws (COGEL), which is a private organization the membership of which is comprised of government entities similar to BEGA from all 50 States and the District of Columbia.

OOG: The Mayor’s Open Government Advisory Group; the American Society of Access Professionals; the Council on Government Ethics; the OCTO Chief Information Officer Council; and the Council on Government Ethics Laws.

44. Please explain the impact on your agency of any legislation passed at the federal level during FY19 and FY20, to date, which significantly affected agency operations.

Not applicable.

45. Please describe any steps the agency took in FY19 and FY20, to date, to improve the transparency of agency operations, including any website upgrades or major revisions.

OGE: In FY20, in an effort to improve efficiency and assure accountability, OGE reviewed and revised the office’s complaint intake and processing policies and procedures. OGE also worked with its case management system vendor to automate uploading incoming online complaints into OGE’s case management system. When a complaint is submitted online, a tracking number is provided to complainants. Other complainants receive follow up correspondence that provides similar complaint tracking information. In order to allow District employees and citizens to find information more easily, OGE has also developed a plan to upgrade its website and has already begun to make changes to the website.

OOG – In FY20, to streamline internal operations, OOG began initiating updates to its website, open-dc.gov. OOG simplified its process for Administrative Points of Contact for Boards and Commissions to post content on the website. The office also updated outdated content on the website and corrected technical and stylistic errors. OOG also simplified its online forms for seeking OMA and FOIA advice and for reporting violations of the OMA.

46. Please identify all electronic databases maintained by your agency, including the following:
- a. A detailed description of the information tracked within each system;
 - b. The age of the system and any discussion of substantial upgrades that have been made or are planned to the system; and
 - c. Whether the public can be granted access to all or part of each system.

OGE oversees four electronic databases – the BEGA website, the Lobbyist Registration and Activity Report filing system, the Public Official Financial Disclosure Statement filing system, and the CMTS case management system.

- **The BEGA website is the central location for public information concerning BEGA/OGE. It contains all Formal Advisory Opinions issued by the Office of Government Ethics, Board decisions with respect to enforcement actions, the quarterly complaint report and announcements such as news, trainings and other events. The BEGA website also provides instructions and forms for filing ethics complaints, and, importantly, links to the Lobbyist and FDS databases. The website is also where the Ethics Manual, the Code of Conduct and the several Best Practices Reports reside. The public has access to the BEGA website.**
- **OGE manages the Lobbyist Registration and Reporting Filing system, which allows Lobbyists to electronically register, pay their fees, and file their activity reports four times per year as required. The activity reports contain information concerning all contacts lobbyists have had with government officials and the nature of those communications. The activity reports also contain information concerning funds expended for lobbying activities and client information. BEGA launched a new LRR E-Filing system in January 2019, and although the system is operational, its full functionality has yet to be realized. The public can search for Lobbying Registration Reports and Lobbyist Activity Reports through this system.**
- **The Financial Disclosure Statement (FDS) E-Filing system is the repository for all Public Financial Disclosure filings by public officials (certain high- level employees as well as elected officials). BEGA launched a new FDS E-Filing system for the May 2018 filing season, and one of the agency’s biggest priorities is to improve the functionality of its LRR and FDS E-Filing Systems, as well as the quality of the customer service and technical support provided to those who use the systems. We are also continuing to maintain the old FDS E-Filing system database until the historical data currently stored in that system can be imported into the new system, so that the public’s ability to search those historical records is not interrupted. The public can access this system to search for employees’ public financial disclosures.**
- **Finally, BEGA also uses its web-based CMTS electronic case management system to manage and track complaints, investigations, regulatory matters and audits. The system provides file storage and sharing, configurable alerts and reminders, financial action tracking, robust reporting capabilities and an advanced audit trail of record changes. BEGA upgraded to this system in FY18. CMTS is not accessible to the public given that the database contains sensitive and confidential information related to OGE’s investigations. We have continued to maintain the old ProLaw database and will continue to do so until at least the end of FY20.**

OOG: OOG maintains a central meeting calendar for all District government boards and commissions on the OOG website at www.open-dc.gov. The calendar may be utilized by public body points of contact with administrative rights to upload meeting-related information and records, such as meeting dates, agendas, audio recordings, video links, slide decks, meeting minutes, etc. The system has been maintained internally by the OOG for four years, and all administrative profiles are managed by the OOG. The content of the calendar is accessible by any member of the public with internet access.

OOG maintains an internal advice management database which contains FOIA, OMA and all technical advice (pertaining to access to the central calendar and assistance with tracking requests through FOIAXpress) provided to agencies and the public. The OOG maintains an internal case management system to track all OMA complaints and OMA complaint transactions. The database, for internal access only, is designed to manage all cases processed by OOG as part of a comprehensive management system.

Agency Operations

47. Please provide the Committee with a brief summary of advisory opinions issued during FY19 and FY20, to date, along with a notation as to whether the opinion was requested or issued *sua sponte*.

OGE: In FY19 OGE responded to 749 informal advice requests and four formal advisory opinion requests. In FY20 OGE has responded to 188 informal advice requests and one formal advisory opinion request. OGE has issued four formal advisory opinions, all of which were requested:

1. **Post Employment Advisory Opinion - Same Particular Government Matter and Testifying as an Expert Witness - Former government employee must abide by the post-employment rules set forth by the District Personnel Regulations and cannot provide expert testimony regarding a specific matter in which they participated while employed by the District. February 19, 2019.**
2. **Safe Harbor Letter – Public Official sought advice on whether their conduct in enrolling their child into a D.C. Public School violated the ethics rules. July 2, 2019.**
3. **Post-Employment Advisory Opinion This opinion provides guidance on whether an employee who was detailed to an agency several weeks before their departure from District government is banned from contacting that agency under the one-year cooling off prohibition of the post-employment restrictions. We concluded that the employee had two former agencies for the purposes of**

the cooling off period, and that the employee is prohibited from appearing before both of them. September 4, 2019.

4. Lobbying requirements for a Non-profit Organization and its Executive Director - This opinion answers whether a particular 501(c)(3) qualifies for the District's lobbying exemption. November 8, 2019

OOG: See EXHIBIT 14 – Office of Open Government Advisory Opinions

48. Please detail BEGA's intake process and tracking system for complaints.

See EXHIBIT 15 – OGE FY19 Complaint Report

See EXHIBIT 16 – OGE FY20 Complaint Report

BECA follows the procedures outlined in the OGE's Complaint Intake and Processing standard operating procedures, which was recently revised in FY20. Complaints can be submitted online, via telephone, via email, or hand delivered. Once a complaint is received, it is entered into OGE's case management system. The complaint is then assessed to determine if the matters alleged fall within OGE's jurisdiction. This assessment is usually done when a complaint is initially reviewed, but research may be required in order to make a determination. OGE's policy is to dismiss non-ethics related complaints as soon as possible, and ideally, no later than within 30 days of receipt.

If a complaint does not allege ethics violations, then the matter will be dismissed. OGE will notify the complainant that the matter has been dismissed. If there are allegations in a complaint that would be better addressed by a different agency, OGE will refer the complaint to the appropriate agenc(ies). If the complaint does allege ethics violations, then a preliminary investigation is opened.

- a. How many ethics complaints did the agency receive in FY19 and FY20, to date?

In FY19: OGE received 115 complaints and opened 49 Preliminary Investigations and 8 Formal Investigations. The other 58 complaints were dismissed because they did not allege code of conduct violations.

In FY20: OGE received 47 complaints and opened 25 Preliminary Investigations and 3 Formal Investigations. The 19 other complaints were dismissed because they did not allege code of conduct violations.

- b. Please break down the complaints by subject matter, if possible, as well as by length to reach and outcome of any resolution.

Please see BEGA's FY19 and FY20 Quarterly Complaint Reports.

- c. Generally, how long does it take BEGA to resolve a complaint?

In accordance with OGE's key performance measures, OGE seeks to investigate and resolve investigations within 120 days.

- d. How does BEGA communicate to the filer the status of their complaint?

Upon submission of a complaint, OGE provides complainants with acknowledgement of receipt of their complaint and a tracking number associated with their complaint. If a complaint is submitted online, a tracking number is automatically generated upon submission. If a complaint is submitted through other means, a complainant will receive a letter acknowledging receipt of the complaint and providing a tracking number associated with the complaint. As a general matter, OGE does not provide status updates on investigations to complainants other than to confirm if a matter is still pending.

- e. How many complaints are currently pending?

FY19: 14 of the 49 Preliminary Investigations initiated in FY19 are still pending and have been open for an average of 145 days since the date the investigation was initiated. 4 Preliminary Investigations initiated in FY19 remain stayed. 1 of the 8 Formal Investigations initiated in FY19 remains stayed.

No FY19 complaints are pending.

FY20: 14 of the 25 Preliminary Investigations initiated in FY20 are still pending and have been open for an average of 60 days since the date the investigation was initiated. 2 Preliminary Investigations initiated in FY20 remain stayed.

3 of the 3 Formal Investigations initiated in FY20 are currently pending and have been open for an average of 113 days since the date the investigation was initiated.

1 FY20 complaint is pending as of February 7, 2020.

- f. How many complaints did OGE close out in FY19 and FY20, to date.

FY19: OGE closed out 31 Preliminary Investigations out of 49 initiated in FY19. They were closed out on average within 78 days of initiation. OGE closed out 7 Formal Investigations (out of 8 initiated in FY19), which were closed out on average within 79 days of initiation.

FY20: OGE closed out 9 Preliminary Investigations out of 25 initiated in FY20. They were closed out on average within 60 days of initiation.

- g. How does BEGA close out a complaint? How is notice sent to the complainant that the matter has been closed?

Once a preliminary investigation is conducted and a matter is resolved, OGE will notify the complainant via letter if the outcome of the investigation is non-public. If OGE's staff identifies additional non-ethics related issues outside of OGE's jurisdiction during the course of the investigation, the matter will be referred to the appropriate agency before the matter is closed.

- h. How many staff are responsible for investigating complaints?

OGE's investigative team consists of three attorneys and three investigators.

49. Did BEGA receive any complaints from the public alleging Open Meetings Act ("OMA") violations in FY19 and FY20, to date?

OOG received 8 (eight) complaints from the public alleging violations of the OMA in FY19 and FY20 to date.

50. Did BEGA initiate any suits in Superior Court regarding the Open Meetings Act?

OOG has not initiated any suits in Superior Court regarding the OMA in FY19 and FY20 to date.

51. Did BEGA issue any advisory opinions on the Open Meetings Act?

OOG has issued seven (7) advisory opinions on the Open Meetings Act.

52. What is BEGA's protocol for referring complaints to other District agencies, such as the Office of Human Rights?

OGE: If OGE identifies an issue that may be better addressed by another District agency, such as the Office of Human Rights, during complaint assessment or investigation, OGE will refer the matter to other District agenc(ies) as needed.

OOG referred one complaint to the Office of the Attorney General due to a conflict of interest in FY20. The protocol is as follows. The Director of Open Government sought advice from the Office of the Attorney General, Office of Legal Counsel (OAG) concerning conflicts of interests in Open Meetings Act matters. OAG advised that the Director of Open Government could refer matters to OAG. The Director of Open Government sent a letter to the Attorney General referring the matter to OAG for resolution, which OAG accepted.

53. Please detail the intake process and tracking system for requests for advice.

- a. How long does it generally take BEGA to respond to such requests?

OGE receives ethics advice inquiries in three ways – (1) in-person, (2) by telephone, (3) and by email. On average, BEGA responds to informal advice inquiries within 48 hours, which includes immediate responses to telephonic and in-person advice request; up to two-day responses for the majority of advice requests that are received via email; and three or four day responses in rare occasions for complex advice requests that require extensive research. As an OGE protocol, requests for Formal Advisory Opinions are responded to within 48 hours and the opinion is issued within 30 days of the request.

- b. How does BEGA communicate to the requester the status of their request?

Advice requests that are submitted to OGE via the BEGA general mailbox are immediately assigned and the requester is notified that a BEGA Attorney Advisor will respond to their inquiry.

- c. How many staff are responsible for drafting these opinions?

OGE has three Attorney Advisors who respond to informal and formal ethics advice requests.

- d. How many requests are currently pending?

As of February 7, 2020, OGE has no pending formal advice requests and one pending informal advice requests . The matter has been responded to, it is unresolved.

- e. How many requests did BEGA respond to in FY19 and FY20, to date?

In FY19 OGE responded to 749 informal advice requests and four formal advisory opinion requests. In FY20 OGE has responded to 188 informal advice requests and one formal advisory opinion request.

54. How many requests for formal and informal advice regarding compliance with the OMA did BEGA receive in FY19 and FY20, to date?

In FY19 and FY20, to date, OOG has provided responses to ninety (90) formal and informal requests for advice for compliance with the OMA. OOG has also responded to four-hundred thirty-nine (439) technical requests for advice for public body access to the Central Meeting Calendar.

55. How many requests for formal and informal advice regarding compliance with FOIA did BEGA receive in FY19 and FY20, to date?

In FY19 and FY20, to date, OOG has provided responses to one-hundred forty (140) formal and informal requests for advice for compliance with FOIA.

56. How many requests for formal and informal advice regarding compliance with the Local Hatch Act did BEGA receive in FY19 and FY20, to date?

OGE responded to twenty informal Local Hatch Act advice requests in FY19 and eight informal Local Hatch Act advice requests in FY20, to date.

57. How many ethics trainings did BEGA conduct in FY19 and FY20, to date? How many FOIA and OMA trainings?

Ethics: OGE conducted 89 trainings in FY19 and 429 employees completed the online training through PeopleSoft. In FY20, OGE has conducted 25 trainings and 330 employees have completed our online training.

FOIA/OMA: In FY19 and FY20, to date, OOG has provided responses to one-hundred forty-three (143) formal and informal requests for advice for compliance with FOIA.

58. Please describe any upgrades to the e-filing system that have occurred in FY19 and FY20, to date. What, if any, upgrades are outstanding?

OGE recently upgraded to a new e-filing system developed by OCTO to replace our old system. Unfortunately, compared to the LRR system, the FDS e-filing system has had technical issues that prevented filers from accessing the system. Since adopting the new FDS system, we have worked with OCTO to continue to improve functionality. And while there are no system “upgrades” that currently remain outstanding, we have just entered into an agreement with Abstract Evolutions to to fix issues that we have identified and to work with OCTO to implement desired system enhancements that our customers have identified. Our priorities for the FDS system are as follows

Address log-in issues. For example, approximately 3700 employees filed their annual financial disclosure statements last year, but not before 1200 of them sought assistance from BEGA and or OCTO. In fact, BEGA has compiled a list of those who still, nearly a year later, have not been able to file due to login or other system failings. Correcting this is priority number one as we approach the 2019 (2020) filing period. To help correct the issues, BEGA has contracted with Abstract Evolutions to assist and guide the corrective measures.

We hope that OCTO and Abstract Evolutions can address the FDS E-Filing system’s interaction with the District’s Lightweight Directory Access Protocol (LDAP), to remediate the problems that many users experienced synching with the LDAP during the April 2019 FDS filing season, which unfortunately interfered with the ability of current District government employees to use their dc.gov usernames and passwords to access the new FDS system. Once this issues is resolved, we should see a dramatic decline in support requests.

Allow for filing at any time of the year. We also plan to work with OCTO and Abstract Evolutions to enhance the system so that it can accommodate separate filings year-round. Currently, the system can only accommodate one annual filing. This is cumbersome for Councilmembers as they are required to file FDS twice per year. The Councilmembers must submit their November filing via hard copy. This is also an issue for filers who leave their District government work outside the FDS

filing season since they are required to file a FDS within 90 days of separating from the District.

- a. How can BEGA better communicate with filers about upcoming deadlines, requirements, and processes for filing in advance of deadlines?

BEGA hired a Program Specialist whose sole primary responsibility is managing the FDS and LRR programs on a year-round basis. The program specialist has been focusing on the details of these two programs and has already implemented improvements and has begun building efficiencies into BEGA's communications with FDS and LRR filers. We are also working to develop better documentation related to our notification processes and procedures and enhancing those procedures to ensure that we have sufficient bandwidth in place to ensure that our customers are properly notified well in advance of their respective filing deadlines.

We have updated our FDS Frequently Asked Questions and made the information more concise and clearer.

- b. What improvements can BEGA make to smooth the financial disclosure process for filers?

In anticipation of increased support requests, OGE is hiring a temporary support specialist who will provide additional technical and customer service support to filers. We expect this person to start by the end of the month so that they can be trained to properly assist FDS filers.

We have developed and distributed a new Financial Disclosure Manual for agency Ethics Counselors to help them better understand the process, and hopefully improve their ability to reinforce with FDS filers the importance of filing their reports on time, and to provide filers with yet another reminder of the applicable filing deadline. We have also revised the written notices that are sent to required FDS filers where the filing deadline is prominently displayed near the top of the first page in bold and underlined.

We have added an extra Ethics Counselor Training session. Ethics Counselors can now choose from four trainings, last year we offered three..

Lastly, we are putting a renewed emphasis on asking agency Ethics Counselors to ensure the accuracy of the PFDS and CFDS filer spreadsheets they send us in three key ways that should make the FDS process smoother: 1) Clearly identifying those required filers who are no longer employed with the agency; 2) Working with their agency's human resources staff to capture updated personal email information from required filers who are leaving the

agency during the separation process; and 3) Ensuring that the personal email addresses for required filers who have separated from the agency are included in the filer spreadsheets. OGE has launched an Ethics Counselor Incentive program to recognize ethics counselors who timely and accurately complete their financial disclosure related obligations with a certificate and other token items.

59. How many lobbyists are currently registered with BEGA?

	April 2019 ²	July 2019	October 2019	January 2020
Total Registrants¹	191	46	77	203
Registration reports (new/renew)	351	64	44	306
Activity Reports	359	398	438	426
Total Reports Received	710	462	482	735
Notes:				
1- The current LRR system does not differentiate between Lobbyist and Client in its reporting				
2-April 2019 signified the first complete registration and activity reporting with new LRR system				

- a. Out of the total number of registered lobbyists, how many filed their activity reports in January 2019, July 2019, and January 2020?
 - b. Out of those who did not file or filed untimely, what penalties did BEGA assess?
 - c. Of the total amount of penalties assessed, how much has been recovered? How does nonpayment affect a lobbyist's ability to lobby?
 - d. How much did BEGA receive in lobbyist registration fees in FY19 and FY20, to date?
- a. Out of the total number of registered lobbyists, how many filed their activity reports in April 2019, July 2019, October 2019, and January 2020?

January 2020 Reporting Period: To date, we have received a total of 306 Registration reports. This number is the total of new lobbyists and those renewing their registration. Additionally, BEGA has received 426 Activity Reports for the 2019-Qtr 4 reporting period (October 1, 2019 to December 31, 2019).

October 2019 Reporting Period (July 1-September 30, 2019): BEGA counted 77 registrants in the 2019- Qtr 3 reporting period. BEGA received 44 Registration Reports and 438 Activity Reports.

July 2019 Reporting Period (April 1- June 30, 2019): BEGA counted 46 Registrants in the 2019- Qtr 2 reporting period. BEGA received 64 Registration Reports and 398 Activity Reports.

April 2019 Reporting Period (January 1-March 31, 2019): BEGA counted 191 Registrants in the 2019-Qtr 1 reporting period. BEGA received 351 registration reports and 359 Activity reports.

- b. Out of those who did not file or filed untimely, what penalties did BEGA assess?

The current LRR system assesses a late fee automatically. BEGA has the authority to waive the late fee and uses it judiciously.

- c. Of the total amount of penalties assessed, how much has been recovered? How does nonpayment affect a lobbyist's ability to lobby?

Under current law, nonpayment of the registration fee does not affect a lobbyist's ability to perform lobbying services.

The statistics maintained by the Office of Finance and Resource Management (OFRM) does not differentiate between payments collected for FDS-related fines and payments collected for Lobbyist-related fines.

- d. How much did BEGA receive in lobbyist registration fees in FY19 and FY20, to date?

FY19: \$117,820.00 . FY20: \$72,350.00 to date.

60. Please describe any upgrades to the lobbyist filing system that have occurred in FY19 and FY20, to date. What, if any, upgrades are outstanding?

The LRR system is substantially more functional than the FDS system, although there are still technical issues to address. We plan to work with OCTO and our new vendor to streamline the filing process, thus making it easier for Lobbyists to complete the mandatory reporting on time. Additionally, to fulfill its mission, BEGA needs the ability to access the data and extract the information that is relevant to its charter. Since this is a priority, staff is working with OCTO to correct the imperfections and to improve the overall experience for OCTO, OGE, and the end users.

- a. How can BEGA better communicate with filers about new requirements, processes, and deadlines?

As noted above, BEGA hired a new Program Specialist whose sole primary responsibility is managing the FDS and Lobbyist programs on a year-round basis. As evidenced by the January 2020 numbers in the Table (at question 59, above), the Program Specialist hired has already made inroads toward improving the efficiency of BEGA's communications with FDS and LRR filers, having reached out and worked with lobbyists to renew registrations

and file their activity reports in January 2020. Additionally, BEGA is working to develop better documentation related to our notification processes and procedures and enhancing those procedures to ensure that we have sufficient bandwidth in place to ensure that our customers are properly notified well in advance of their respective filing deadlines.

The User Manual was published on BEGA's website in April 2019. The manual incorporates screenshots to better educate our customers on the way the new LRR E-Filing system is designed, and the steps they must follow to create new User accounts, file their Registration Reports, pay their registration fees, file their quarterly Activity Reports, etc.

In addition to the User Manual, the Program Specialist has created several step by step movies for individual tasks in using the LRR E-filing system; BEGA expects this to be published over the Spring and Summer of 2020. OGE believes that targeted, brief, visual instructions will allow our users to learn about the e-filing system more quickly and will allow them bypass the lengthier User Manual for assistance with simple issues.

- b. **What improvements can BEGA make to smooth the lobbyist filing process?**

The functionality of the LRR e-filing system has improved dramatically since OGE first launched the system in January 2019. Last year, because so many filers encountered technical support issues, OGE had to extend the reporting period deadline and did not impose penalties because filers were prevented from timely filing due to technical issues.

The most recent deadline to file Lobbyist Registration Reports and Lobbyist Activity Reports was on January 15, 2020. For this reporting period, 261 Lobbying Registration Reports were filed, including 34 new registrations. 426 Lobbyist Activity Reports were filed for the same reporting period. Most importantly, OGE only received 42 support ticket requests and phone calls seeking assistance. This represents a 95% success rate at filing LRR reports without requiring help from this office.

We believe that OGE's work with OCTO to resolve technical issues last year, the creation of the User Manual, and the addition of a permanent Program specialist helped to smooth this year's lobbyist filing process. We hope to build on this success and soon plan to continue to seek user input for additional ideas to enhance the system.

61. **How does BEGA intend to make the content of lobbyist activity reports more publicly accessible, particularly for lobbyists' communications with public officials and their staff on contracts, procurements, grants, and legislation?**

The search functionality available on the new LRR E-Filing system is significantly better than the previous system. For instance, the new option to search by “communication” did not exist in the old system. Additionally, members of the public can export their search results to a .CSV file that is compatible with Excel for more in-depth data analysis. Future system enhancements under consideration in this regard include expanding the fields which are searchable by the public (e.g. the particular subject matter on which different Lobbyists performed services) and perhaps adding the ability to tailor searches more narrowly from the public-facing portal (e.g. limiting search results to only Lobbyists who received compensation in excess of a particular amount) rather than having to wait to do so when working with the exported spreadsheet.

62. Please provide an update on BEGA’s upgrade to its case management system. Has this project been completed?

OGE upgraded to CMTS in FY18 and completed migrating data from the legacy case management system, ProLaw. CMTS has proven to be effective, especially because it provides better reporting capability than the previous system. One benefit of CMTS is that it allows OGE to provide more detailed information about our investigative activity than we have been able to provide in previous years. Given our mission, CMTS’s value is that it allows us to be more transparent about agency operations. Some limitations associated with CMTS are that it does not appear to be able to store very large files (such as witness interviews, which we of course, conduct) and the costs associated with maintaining the database is more expensive than our previous system’s costs.

63. Please describe any symposia held by the agency in FY19 and FY20, to date. What symposia, if any, does the agency plan to hold in the remainder of FY20 and in FY21?

See EXHIBIT 17 – BEGA FY19 and FY20 Ethics Day Symposia

BEGA plans to host an Ethics Day Event in the October 2020.

64. How has BEGA enhanced its public outreach efforts?

OGE: In FY19 and 20, OGE has provided trainings at ANC meetings and at meetings of Boards and Commissions. BEGA also continues to update its website in an effort to make the site more user-friendly. In addition, OGE publishes a newsletter, “Ethically Speaking.” In FY20, BEGA intends to focus its efforts on engaging District employees and will continue to

65. Does BEGA have a specific staff member assigned to issues relating to the Council? When was the last time OGE trained Council staff or Councilmembers?

The Director of Government Ethics and the General Counsel serve as points of contact and oversee issues related to the Council. OGE's primary point of contact on ethics related issues is the Council's Office of General Counsel ("OGC"), more specifically the Council's Ethics Counselor. In addition, OGE staff attorneys routinely directly respond to ethics inquiries from Council Members and staff. Although some Councilmember's offices contact BEGA regularly, it appears that most offices handle their ethics matters in-house or with Council's OGC. In addition, the Attorney Advisor who administers the Financial Disclosure program is also responsible for maintaining a relationship with the Council's Ethics Counselor in order to administer the financial disclosure program.

The last time OGE trained a Councilmember or Council staff was on October 4, 2019.

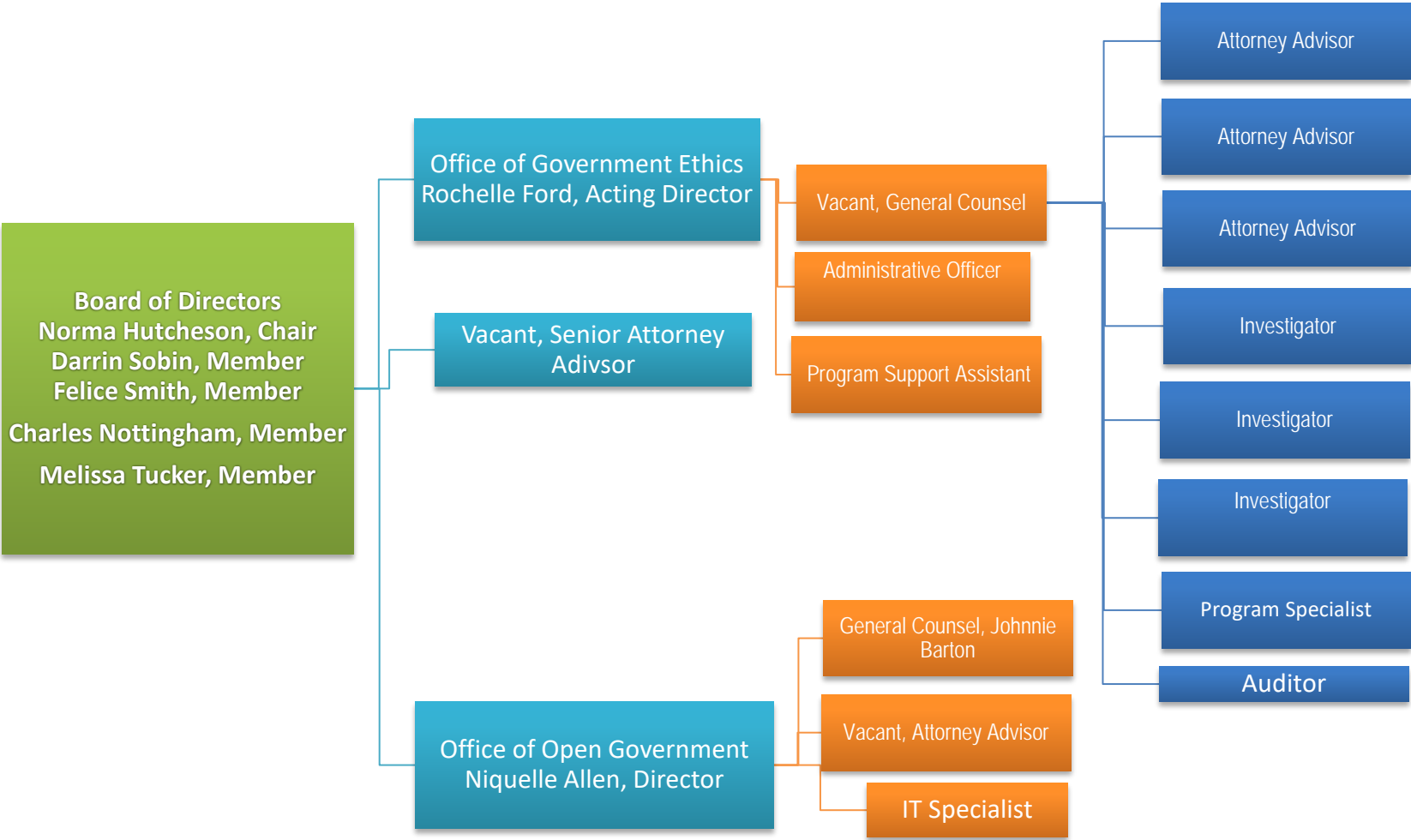
66. Please provide an update on BEGA's search for a new General Counsel and a new Director of the Office of Government Ethics.

BEGA has identified a candidate for the General Counsel position, and we expect that they will start by the end of the month. BEGA has posted a vacancy announcement for the Director of the Office of Government Ethics. The vacancy announcement was posted on February 3, 2020 and will remain open for 4 weeks.

67. Please provide the Committee with an update on BEGA's plans, if any, for a new office space.

BEGA has submitted a budget enhancement request in FY21 for new office space and moving expenses. The Department of General Services (DGS) will not secure a new location for BEGA until we are funded for the new space.

Committee on the Judiciary
BEGA Oversight Hearing
Question 1



Board of Ethics and Government Accountability (BEGA)
Organization Chart – As of 2.5.20

Board of Ethics and Government Accountability (AGO) SCHEDULE A

Org Code	PGM Code	Title	Fund Code	Hire Date	Vac Stat	Grade	Step	Reg/Temp/ Term	Salary	Fringe Benefit 21.4%	Index	PCA
1100	1100	Director of Open Government	0100	9/9/2002	F	10	0	Term	\$ 176,500.80	\$ 37,771.17	20001	20001
		IT Specialist (Data Mgmt)	0100	5/30/2019	F	12	8	Reg	\$ 92,653.00	\$ 19,827.74	20001	20001
		Attorney Advisor	0100		V	12	0	Reg	\$ 86,366.00	\$ 18,482.32	20001	20001
		General Counsel	0100	2/8/2016	F	1	0	Reg	\$ 117,386.00	\$ 25,120.60	20001	20001
2010	2010	Director of Government Ethics	0100		V	10	0	Reg	\$ 156,810.00	\$ 33,557.34	2010L	20100
		General Counsel	0100	10/29/2018	F	10	0	Reg	\$ 182,231.72	\$ 38,997.59	2010L	20100
		Attorney Advisor	0100	9/19/2016	F	12	10	Reg	\$ 112,290.23	\$ 24,030.11	2010L	20100
		Program Support Assistant	0100	10/20/2015	F	7	9	Reg	\$ 54,017.00	\$ 11,559.64	2010L	20100
		Senior Attorney Advisor	0100		V	15	0	Reg	\$ 142,769.00	\$ 30,552.57	2010L	20100
		Program Specialist (Lobbyist/FDS)	0100	9/30/2019	F	12	1	Reg	\$ 76,126.00	\$ 16,290.96	2010L	20100
		INVESTIGATOR	0100	2/4/2008	F	13	6	Reg	\$ 101,758.00	\$ 21,776.21	2010L	20100
		Attorney Advisor	0100	10/5/2015	F	12	6	Reg	\$ 100,766.00	\$ 21,563.92	2010L	20100
		Administrative Officer	0100	11/26/2007	F	13	5	Reg	\$ 98,947.00	\$ 21,174.66	2010L	20100
		Attorney Advisor	0100	12/27/2016	F	12	5	Reg	\$ 97,886.00	\$ 20,947.60	2010L	20100
		INVESTIGATOR	0100	2/11/2013	F	13	2	Reg	\$ 90,514.00	\$ 19,370.00	2010L	20100
		INVESTIGATOR	0100	1/7/2019	F	13	2	Reg	\$ 90,514.00	\$ 19,370.00	2010L	20100
AUDITOR	0602	6/10/2019	F	13	5	Reg	\$ 98,947.00	\$ 21,174.66	2011F	20100		

EXHIBIT 3**Travel Expenses FY 2019**

Employee	Justification for Travel	Travel Expenses
Niquelle Allen	Conference on Governmental Ethics Laws - Breakout Session in the fields of government ethics, FOIA, elections, lobbying, and campaign finance	\$645.22
Johnnie Barton	Conference on Governmental Ethics Laws - Breakout Session in the fields of government ethics, FOIA, elections, lobbying, and campaign finance	\$912.84
Rochelle Ford	Conference on Governmental Ethics Laws - Breakout Session in the fields of government ethics, FOIA, elections, lobbying, and campaign finance	\$827.51
Rochelle Ford	Public Corruption Investigations Training - Fundamentals, techniques, legal issues, and best practices of working several types of internal and public corruption investigations	\$895.79
Ashley Cooks	Conference on Governmental Ethics Laws - Breakout Session in the fields of government ethics, FOIA, elections, lobbying, and campaign finance	\$827.51
Ashley Cooks	Financial Investigations Triage - Provides overview of actions investigators can take at the outset	872.47
Ashley Cooks	Casting the Digital Footprint Training - Designed for investigators, detectives and patrol officers seeking general and more global resources to aide in all types of investigations	787.24
Asia Stewart Michelle	Conference on Governmental Ethics Laws - Breakout Session in the fields of government ethics, FOIA, elections, lobbying, and campaign finance	\$827.51
Asia Stewart Michelle	Casting the Digital Footprint Training - Designed for investigators, detectives and patrol officers seeking general and more global resources to aide in all types of investigations	\$809.79
Asia Stewart Michelle	Public Corruption Investigations Training - Fundamentals, techniques, legal issues, and best practices of working several types of internal and public corruption investigations	\$895.79

Sonya King	Cognitive Interviewing, Communications & Influence Training - Two-day class that teaches the art of cognitive interviewing using Neuro Linguistic Programming Techniques	202.4
Sonya King	Public Corruption Investigations Training - Fundamentals, techniques, legal issues, and best practices of working several types of internal and public corruption investigations	\$895.79
Sonya King	Financial Investigations Practical Skills - Provides hands-on investigative training	\$1,113.43
Ronald Cook	Cognitive Interviewing, Communications & Influence Training - Two-day class that teaches the art of cognitive interviewing using Neuro Linguistic Programming Techniques	589.91
Ronald Cook	Learn how to conduct Interviews that Lead to an admission from the guilty	662.28
Ileana Corrales	Cognitive Interviewing, Communications & Influence Training - Two-day class that teaches the art of cognitive interviewing using Neuro Linguistic Programming Techniques	589.91
Ralph Bradley	Cognitive Interviewing, Communications & Influence Training - Two-day class that teaches the art of cognitive interviewing using Neuro Linguistic Programming Techniques	601.4
Ralph Bradley	Casting the Digital Footprint Training - Designed for investigators, detectives and patrol officers seeking general and more global resources to aide in all types of investigations	935.56
Sandra Peterson	technology; effective leadership and influence; better communicators; business acumen; core competencies and new skills; and professional and personal growth	1,222
Tyrell Dow	technology; effective leadership and influence; better communicators; business acumen; core competencies and new skills; and professional and personal growth	1,550.17

Travel Expenses FY 2020

Employee	Justification for Travel	Travel Expenses
Niquelle Allen	Conference on Governmental Ethics Laws - Breakout Session in the fields of government ethics, FOIA, elections, lobbying, and campaign finance	1371.02
Melissa Tucker	Conference on Governmental Ethics Laws - Breakout Session in the fields of government ethics, FOIA, elections, lobbying, and campaign finance	\$906.60
Sonya King	Conference on Governmental Ethics Laws - Breakout Session in the fields of government ethics, FOIA, elections, lobbying, and campaign finance	\$1,221.17

EXHIBIT 4

BOARD OF ETHICS AND GOVT ACCOUNTABILITY - AGO
FY 2019 - FY 2020 MOU

Fiscal Year	Agency	Buyer Agency	Service Description	Fund	Service Period	Total Amount
FY 2019	OCTO	BEGA	Install (1) Cisco SX 80	0100	10/01/19 - 09/30/20	\$ 21,107.65
	OCTO	BEGA	MICROS OFFICE 365	0100	10/01/19 - 09/30/20	\$ 3,349.89
	OCTO	BEGA	Dcnet	0100	10/01/19 - 09/30/20	\$ 5,336.01
	OCTO	BEGA	Cloud Usage	0100	10/01/19 - 09/30/20	\$ 5,000.00
	OCTO	BEGA	IT Assessment	0100	10/01/19 - 09/30/20	\$ 36,342.18
	OCTO	BEGA	Citywide IT Opreation Mon	0100	10/01/19 - 09/30/20	\$ 2,971.02
	OCP	BEGA	Purchase Card	0100	10/01/19 - 09/30/20	\$ 113,771.56
	DPW	BEGA	Fleet Services	0100	10/01/19 - 09/30/20	\$ 1,909.45
	Total					\$ 189,787.76
FY 2020	OCTO	BEGA	WEB ITA Sweep	0100	10/01/20 - 09/30/20	\$ 6,341.00
	OCTO	BEGA	OCTO Helps ITA Sweep	0100	10/01/20 - 09/30/20	\$ 3,802.35
	OCTO	BEGA	WEB ITA Pass Sweep	0100	10/01/20 - 09/30/20	\$ 48.64
	OCTO	BEGA	Aool IMPL ITA Sweep	0100	10/01/20 - 09/30/20	\$ 2,246.00
	OCTO	BEGA	IT Assessment-Cloud	0100	10/01/18 - 09/30/19	\$ 37,207.32
	OCP	BEGA	Purchase Card	0100	10/01/17 - 09/30/18	\$ 22,112.91
	DPW	BEGA	Fleet Services	0100	10/01/18 - 09/30/19	\$ 3,000.00
	Total					\$ 74,758.22

EXHIBIT 5

BOARD OF ETHICS AND GOVT ACCOUNTABILITY - AGO
FY 2019 - FY 2020 Intra-District Transfers

Fiscal Year	Seller Agency	Buyer Agency	Service Description	Fund	Service Period	Total Amount
FY 2019	OCTO	BEGA	Install (1) Cisco SX 80	0100	10/01/19 - 09/30/20	\$ 21,107.65
	OCTO	BEGA	MICROS OFFICE 365	0100	10/01/19 - 09/30/20	\$ 3,349.89
	OCTO	BEGA	Dcnet	0100	10/01/19 - 09/30/20	\$ 5,336.01
	OCTO	BEGA	Cloud Usage	0100	10/01/19 - 09/30/20	\$ 5,000.00
	OCTO	BEGA	IT Assessment	0100	10/01/19 - 09/30/20	\$ 36,342.18
	OCTO	BEGA	Citywide IT Opreation Mon	0100	10/01/19 - 09/30/20	\$ 2,971.02
	OCP	BEGA	Purchase Card	0100	10/01/19 - 09/30/20	\$ 113,771.56
	DPW	BEGA	Fleet Services	0100	10/01/19 - 09/30/20	\$ 1,909.45
	Total					\$ 189,787.76
FY 2020	OCTO	BEGA	WEB ITA Sweep	0100	10/01/20 - 09/30/20	\$ 6,341.00
	OCTO	BEGA	OCTO Helps ITA Sweep	0100	10/01/20 - 09/30/20	\$ 3,802.35
	OCTO	BEGA	WEB ITA Pass Sweep	0100	10/01/20 - 09/30/20	\$ 48.64
	OCTO	BEGA	Aool IMPL ITA Sweep	0100	10/01/20 - 09/30/20	\$ 2,246.00
	OCTO	BEGA	IT Assessment-Cloud	0100	10/01/18 - 09/30/19	\$ 37,207.32
	OCP	BEGA	Purchase Card	0100	10/01/17 - 09/30/18	\$ 22,112.91
	DPW	BEGA	Fleet Services	0100	10/01/18 - 09/30/19	\$ 3,000.00
	Total					\$ 74,758.22

BEGA Purchase Card Report - FY 2019

Transaction Date	Post Date	Transaction Amount	Cardholder Last Name	Transaction Notes
09/27/2018	10/01/2018	9,500.00	DOW	Purchase of a new copy/printing machine for the BEGA office. (I did not place the order for the copy machine so I do not know why a CBE was not used.) BWW: Per discussion with Waddah, this transaction was for OOG's new copier/scanner, and they chose to go with CDS as
09/29/2018	10/01/2018	105.74	DOW	Purchase of software for our Director, Brentton Wolfingbarger. BWW: Purchased newer version of Dragon Naturally Speaking software because the vendor (Nuance) announced it will no longer support my current version of the software after 1/1/2019.
09/28/2018	10/01/2018	183.30	DOW	Purchase of ActivTrak Advanced software for Director Wolfingbarger.
10/10/2018	10/10/2018	472.04	KITTAB	This credit has been reclaimed by JPMorgan for previously disputed Hotel booking transaction with tax then I has been instructed by OCP that tax for booking is ok as long as it is out of state
10/10/2018	10/10/2018	559.24	KITTAB	This credit has been reclaimed by JPMorgan for previously disputed Hotel booking transaction with tax then I has been instructed by OCP that tax for booking is ok as long as it is out of state
10/10/2018	10/10/2018	1,369.95	KITTAB	This credit has been reclaimed by JPMorgan for previously disputed Hotel booking transaction with tax then I has been instructed by OCP that tax for booking is ok as long as it is out of state
10/18/2018	10/19/2018	795.00	DOW	Purchase of 500 brochures for BEGA that describes our agency.
10/18/2018	10/22/2018	333.14	DOW	Purchase of office supplies(batteries, tape, staplers, cables).
10/29/2018	10/30/2018	400.00	KITTAB	.gov domain registration services renewal
10/29/2018	10/30/2018	32.52	DOW	Purchase of exhibit stickers for attorneys. There are no CBE's that offer these stickers.
10/29/2018	10/30/2018	152.79	DOW	Purchase of HDMI cables that were not offered through a CBE.
11/06/2018	11/06/2018	125.00	DOW	Purchase of laptop bag for Sonya King.
11/08/2018	11/09/2018	2,600.00	KITTAB	Conference fees for Niquelle Allen and Johnnie Barton to attend 2018 COGEL Conference.
11/09/2018	11/12/2018	636.00	KITTAB	OOG webserver renewal
11/14/2018	11/16/2018	271.00	KITTAB	Amtrak: eTicket and Receipt for Your 12/09/2018 Trip - NIQUELLE ALLEN
11/15/2018	11/16/2018	448.00	DOW	Purchase of Adobe Acrobat for employees.
11/16/2018	11/16/2018	78.01	DOW	Provides cable television services.
11/16/2018	11/16/2018	78.01	DOW	Provides cable television services.
11/16/2018	11/16/2018	169.00	DOW	Purchase of docking station for laptop.
11/16/2018	11/16/2018	55.99	DOW	Purchase of laptop bag for Ishmael Okai.
11/20/2018	11/21/2018	1,648.66	DOW	Purchase of Dell laptop for new Investigator.
11/19/2018	11/21/2018	117.00	DOW	Purchase of business cards for Sonya King.
11/20/2018	11/23/2018	1,400.00	DOW	Conference fees for Asia Stewart-Mitchell to attend 2018 COGEL Conference.
11/20/2018	11/23/2018	1,400.00	DOW	Conference fee for Rochelle Ford to attend 2018 COGEL Conference.
11/20/2018	11/23/2018	1,400.00	DOW	Conference registration for Ashley Cooks to attend 2018 COGEL Conference.
11/20/2018	11/23/2018	334.00	DOW	Purchase of Amtrak tickets for employees can attend COGEL Conference.
11/26/2018	11/27/2018	404.00	DOW	Provides updates to the D.C. Code.
11/26/2018	11/27/2018	513.00	DOW	Provides legal search services.
11/26/2018	11/27/2018	257.43	DOW	Provides legal search services.
11/26/2018	11/28/2018	77.90	DOW	Payment for copy machine maintenance.
11/28/2018	11/29/2018	207.98	KITTAB	Keyboards
11/29/2018	12/03/2018	308.12	DOW	Purchase of office supplies.
12/06/2018	12/07/2018	550.92	DOW	Provided delivery of old furniture to surplus.
12/07/2018	12/07/2018	78.04	DOW	Provides cable television services to OGE.
12/07/2018	12/07/2018	78.04	DOW	Provides cable television services to OOG.
12/07/2018	12/10/2018	2,208.00	DOW	Purchase of three scanners and four monitors. BWW: Receipt indicates we purchased 6 monitors and 3 scanners, and via email on 1/10/2018, Tyrell confirmed that we received this equipment.
12/10/2018	12/11/2018	509.68	KITTAB	Hotel stay for Johnnie Barton at COGEL

12/12/2018	12/13/2018	374.22	KITTAB	Hotel stay for Niquelle Allen at COGEL
12/13/2018	12/14/2018	520.20	DOW	Hotel reservations for Asia Stewart-Mitchell to attend COGEL Conference in Philadelphia, PA. NOTE: Marriott denied our tax exempt certificate. Therefor we had to pay taxes.
12/13/2018	12/14/2018	447.00	DOW	Hotel reservations for Ashley Cooks to attend COGEL Conference in Philadelphia, PA. NOTE: Although tax exempt certificate was provided and hotel initially took out taxes as reflected in the invoice. Hotel went back and added taxes to reason unknown. Hotel has been contacted and will be refunding our money.
12/13/2018	12/14/2018	73.20	DOW	\$73.20 reflects the taxes that Marriott charged for Ashley Cooks' room. Marriott denied our tax exempt certificate and required us to pay taxes assessed in connection with this out-of-state travel reservation.
12/20/2018	12/24/2018	31.61	DOW	Purchase of a new mouse for Director Wolfingbarger.
01/03/2019	01/10/2019	24.60	DOW	Purchase of board room name plate for Senior Attorney Advisor. Tyrell confirmed that Rochelle's name was spelled correctly on the nameplate despite the misspelling ("Fort" instead of "Ford") on the invoice.
01/11/2019	01/11/2019	80.08	DOW	Provides cable television services to OGE.
01/11/2019	01/11/2019	80.08	DOW	Provides cable television services to OOG.
01/10/2019	01/11/2019	1,140.00	DOW	Provides legal search services. (Nov & Dec Invoices). LexisNexis subscription fees.
01/11/2019	01/14/2019	731.88	DOW	Provides legal search services (Nov & Dec Invoices). Westlaw subscription fees.
01/10/2019	01/14/2019	81.86	DOW	Printer maintenance fees. \$42.91 bill for OOG's copier/printer and \$38.95 for OGE's
01/16/2019	01/21/2019	89.97	DOW	Purchases of 3 keyboards to replace old broken ones.
01/18/2019	01/21/2019	57.54	DOW	Purchase of two power adapters for laptops that were missing an adapter.
01/24/2019	01/25/2019	55.99	DOW	Purchase of a Ipad keyboard for Board Member Darrin Sobin, plus iPad keyboard case and
01/24/2019	01/28/2019	184.13	DOW	Purchase of printing paper and 3 mice to replace old and broken ones.
01/30/2019	02/01/2019	77.90	DOW	Printer maintenance fees. Half of bill appears to be attributable to OOG's printer/copier.
02/04/2019	02/05/2019	1,565.36	DOW	Purchase of toner for two printers in 830s.
02/08/2019	02/14/2019	79.66	DOW	Purchase of binders and dividers for council meeting.
02/14/2019	02/15/2019	445.00	DOW	Renewal of BEGA's COGEL (Council on Government Ethics Laws) membership.
02/13/2019	02/18/2019	652.09	DOW	Purchase of executive chair and mouse pad for new employee. Heater and calendar for
02/14/2019	02/18/2019	(49.98)	DOW	Refund for two broken mice that were returned.
02/19/2019	02/21/2019	79.52	DOW	Purchase of four calendars for staff.
02/28/2019	03/01/2019	80.08	DOW	Provides cable television services for Suite 540 South (OOG).
02/28/2019	03/01/2019	15.10	DOW	Provides access to court electronic records.
03/01/2019	03/01/2019	80.08	DOW	Provides cable television services for Suite 830 South (OGE).
03/01/2019	03/04/2019	617.45	DOW	Provides legal search services.
03/01/2019	03/04/2019	77.90	DOW	Provides copier maintenance.
03/04/2019	03/05/2019	15.92	DOW	Purchase of Cisco Handset Cord. No tax reflected on receipt in PaymentNet.
03/07/2019	03/07/2019	21.98	DOW	Purchase of Cisco cords for Agencies Cisco phones.
03/11/2019	03/12/2019	399.00	DOW	Payment for Sonya King to attend Cognitive Interviewing, Communication & Influence
03/11/2019	03/12/2019	399.00	DOW	Payment for Ileana Corrales to attend Cognitive Interviewing, Communication & Influence
03/11/2019	03/12/2019	399.00	DOW	Payment for Ronald Cook to attend Cognitive Interviewing, Communication & Influence
03/11/2019	03/12/2019	399.00	DOW	Payment for Ralph Bradley to attend Cognitive Interviewing, Communication & Influence
03/19/2019	03/19/2019	80.08	DOW	Provides cable television services.
03/19/2019	03/19/2019	80.08	DOW	Provides cable television services.
03/18/2019	03/20/2019	117.00	DOW	Business cards purchased for Investigator Ralph Bradley.
03/20/2019	03/21/2019	644.40	DOW	Provides legal search services.
03/21/2019	03/22/2019	295.00	DOW	Tuition fees for Ralph Bradley to attend Fox Valley training conference in Las Vegas.
03/21/2019	03/22/2019	295.00	DOW	Tuition payment for Asia Stewart-Mitchell to attend Fox Valley training conference in Las Vegas.
03/21/2019	03/22/2019	8.41	DOW	Service Fee charged by Fox Valley to process payment for Ralph Bradley to attend training conference.
03/21/2019	03/22/2019	8.41	DOW	Service Fee charged by Fox Valley to process payment for Asia Stewart-Mitchell to attend conference.
03/20/2019	03/25/2019	40.14	DOW	Purchase of legal pads for the office.
03/21/2019	03/25/2019	401.96	DOW	Flight reservations for Asia Stewart-Mitchell to attend training conference in Las Vegas.

03/21/2019	03/25/2019	189.01	DOW	Copier maintenance fees for Office of Open Government. No taxes were assessed on the transaction, as reflected in the invoice uploaded into PaymentNet.
03/21/2019	03/25/2019	80.61	DOW	Deposit for hotel reservation for Asia Stewart-Mitchell to attend conference in Las Vegas.
03/23/2019	03/25/2019	223.41	DOW	Purchase of earphones and monitor for temp employee, Caleb Smith, who is legally blind. This equipment is a reasonable accommodation for his disability.
03/27/2019	03/28/2019	99.50	DOW	Purchase of NaturalReader Text to Speech Software for Temp employee, Caleb Smith, who is legally blind. This software is a reasonable accommodation for his disability.
03/27/2019	03/28/2019	295.00	DOW	Payment for Ashley Cooks to attend Fox Valley Conference.
03/27/2019	03/28/2019	8.41	DOW	Service Fee charged for payment for Ashley Cooks to attend Fox Valley training in Las Vegas.
03/27/2019	03/29/2019	176.98	DOW	Departure flight for Ashley Cooks to attend training program in Las Vegas.
03/27/2019	03/29/2019	189.98	DOW	Flight return reservation for Ashley Cooks to attend training program in Las Vegas.
03/27/2019	03/29/2019	76.53	DOW	Deposit paid for Ashley Cooks to attend training program in Las Vegas.
03/28/2019	04/01/2019	1,500.00	DOW	Purchase of JAWS Professional License for temp Caleb Smith, who is legally blind and requires this software as a reasonable accommodation for his disability
03/28/2019	04/01/2019	20.89	DOW	Purchase of extension cord and highlighters.
04/02/2019	04/02/2019	146.00	DOW	Purchase of three keyboard and mouse sets for Temp employees. No CBE could deliver the equipment before our temporary Tech Support Specialists were scheduled to onboard with us.
04/01/2019	04/02/2019	139.00	DOW	Payment for Ileana Corrales to attend Webinar: Tackling Telephone Interviewing. We have requested refund of the tax assessed and provided the vendor with our tax exemption documentation, and the vendor is expected to refund the tax.
04/10/2019	04/10/2019	80.08	DOW	Provides cable television services to Office of Government Ethics.
04/10/2019	04/10/2019	80.08	DOW	Provides cable television services to Office of Open Government.
04/11/2019	04/12/2019	644.40	DOW	CLEAR provides legal search services.
04/11/2019	04/15/2019	196.75	DOW	Provides copier maintenance. Per Tyrell, the PaymentNet system automatically projected a tax amount for this transaction, even though the invoice did not include any assessment for taxes.
04/11/2019	04/15/2019	236.32	DOW	Purchase of printing paper, folders, paper towels, Disinfecting wipes.
04/12/2019	04/15/2019	1,042.25	DOW	Payment for temporary staffer, Caleb Smith.
04/12/2019	04/15/2019	38.95	DOW	Provides copier maintenance. Per Tyrell, the PaymentNet system automatically projected a tax amount for this transaction, even though the invoice did not include any assessment for taxes.
04/15/2019	04/16/2019	213.16	DOW	Hotel Reservations for Ralph Bradley to attend conference in Las Vegas.
04/15/2019	04/16/2019	627.00	DOW	LexisNexis provides legal search services. Although we have created a purchase order for the vendor. The vendor was not able to upload invoice in PASS so I paid with p-card until PO is resolved.
04/15/2019	04/16/2019	470.94	DOW	LexisNexis provides legal search services. This a past due invoice. Although we have created a purchase order for the vendor. The vendor was not able to upload invoice in PASS so I paid with p-card until PO is resolved.
04/15/2019	04/16/2019	627.00	DOW	LexisNexis provides legal search services. This a past due invoice. Although we have created a purchase order for the vendor. The vendor was not able to upload invoice in PASS so I paid with p-card until PO is resolved.
04/15/2019	04/17/2019	486.00	DOW	Travel reservations for Ralph Bradley to attend conference in Las Vegas.
04/15/2019	04/17/2019	117.00	DOW	Purchase of business cards for Ralph Bradley.
05/01/2019	05/02/2019	4,355.00	DOW	Total Office Products provided mail merge services to assist with Financial Disclosure project.
05/01/2019	05/02/2019	99.00	DOW	Payment to access more space on the BEGA dropbox account.
05/01/2019	05/02/2019	17.40	DOW	Provides public access to court electronic records.
05/02/2019	05/02/2019	4,400.00	DOW	Registration payment for Niquelle Allen to attend Center for Creative Leadership "Maximizing Your Leadership Potential".
05/01/2019	05/03/2019	1,334.08	DOW	Payment for temporary staffer, Caleb Smith.
05/02/2019	05/06/2019	174.72	DOW	Completion of payment for hotel reservations for Asia Stewart-Mitchell.
05/02/2019	05/06/2019	726.97	DOW	Purchase of office chairs for the Office of Open Government. Also dividers for the office.
05/08/2019	05/08/2019	80.09	DOW	Provides cable television services to Office of Government Ethics. BWW Update: I changed the tax amount to match the figure reflected on the receipt. I presume we will contact the vendor and request a refund.

05/08/2019	05/08/2019	80.09	DOW	Provides cable television services to Office of Open Government. BWW Update: I changed the tax amount to match the figure reflected on the receipt. I presume we will contact the vendor and request a refund.
05/21/2019	05/22/2019	644.40	DOW	Provides legal search services.
05/21/2019	05/22/2019	2,400.00	DOW	Payment for Director Wolfingbarger to receive coaching services.
05/20/2019	05/22/2019	466.60	DOW	Flight reservations for Sonya King to attend training in Memphis, TN. BWW Update: I changed the tax amount to match the figure reflected on the receipt. I presume we will contact the vendor and attempt to obtain a refund.
05/21/2019	05/22/2019	1,419.00	DOW	Payment for Rochelle Ford to attend Annual Compliance and Ethics Conference.
05/21/2019	05/23/2019	1,667.60	DOW	Payment for temporary employee working on a special project.
05/21/2019	05/23/2019	86.12	DOW	Capitol Document Solutions provides copier maintenance. BWW Update: I changed the tax amount to match the figure reflected on the receipt.
05/22/2019	05/23/2019	332.82	DOW	Tuition for Ashley Cooks to attend "Social Media Marketing" Training. BWW Update: I changed the tax amount to \$1.92 to match the figure reflected on the receipt.
05/23/2019	05/24/2019	699.99	DOW	Purchase of Dell computer for Office of Open Government. BWW Update: I changed the tax amount to \$0.00 which is the figure reflected on the receipt.
05/29/2019	05/30/2019	253.94	DOW	Tuition for Director Wolfingbarger to attend "The Essentials of Employee Onboarding. BWW Update: I changed the tax amount to the figure that was reflected on the invoice and presume we will contact the vendor and attempt to obtain a refund.
05/29/2019	05/30/2019	419.32	DOW	Payment for Director Wolfingbarger to attend "Advanced Microsoft Excel Techniques". BWW: I updated the tax amount to reflect what was printed on the invoice and presume we will contact the vendor to request a refund if possible.
05/31/2019	06/03/2019	73.80	DOW	Purchase of nameplates for new Board Members.
06/04/2019	06/04/2019	(912.00)	DOW	Looks as though we were refunded money from Center for Creative Leadership. I have to contact them to get the reason as to why we were refunded.
06/03/2019	06/06/2019	70.05	DOW	Purchase of flash drives and batteries.
06/05/2019	06/06/2019	535.00	DOW	Payment for Ileana Corrales to attend Interview & Interrogation Techniques in California.
06/05/2019	06/06/2019	535.00	DOW	Payment for Ronald Cook to attend Interview & Interrogation Techniques in California.
06/06/2019	06/10/2019	433.44	DOW	Travel reservations for Sonya King to attend training in Memphis, TN. Note: The vendor charged a penny more than the invoice. I will look to see why. BWW: Receipt includes \$70.43 in taxes. Have we tried to get the hotel to give us a refund? Per Tyrell's email response, hotel refused to do so because certificate only provides exemption from DC taxes.
06/14/2019	06/14/2019	80.09	DOW	Provides cable television services to OGE.
06/14/2019	06/14/2019	80.09	DOW	Provides cable television services to OOG.
06/13/2019	06/17/2019	1,123.20	DOW	ActivTrak Software for Director Wolfingbarger.
06/19/2019	06/21/2019	1,500.84	DOW	Payment for temporary employee, Caleb Smith.
06/19/2019	06/21/2019	1,667.60	DOW	Payment for temporary employee, Caleb Smith.
06/24/2019	06/25/2019	177.90	DOW	Payment for domain name open-dc.gov
06/24/2019	06/26/2019	258.61	DOW	Travel reservations for Asia Stewart-Mitchell to attend training in Pittsburgh, PA. Receipt for airline ticket included \$44.65 for "taxes and fees" but no detailed breakdown.
06/24/2019	06/26/2019	258.61	DOW	Travel reservations for Rochelle Ford to attend training in Pittsburgh, PA. Receipt for airline ticket included \$44.65 for "taxes and fees" but no detailed breakdown.
06/24/2019	06/26/2019	258.61	DOW	Travel reservations for Sonya King to attend training in Pittsburgh, PA. Receipt included \$44.65 in "taxes and fees" but no breakdown.
06/26/2019	07/01/2019	255.76	DOW	Purchase of office supplies.
06/30/2019	07/01/2019	(177.90)	DOW	Refund for domain that was no longer needed.
07/12/2019	07/12/2019	80.09	DOW	Provides cable television services. BWW: Receipt included \$12.49 charge for taxes.
07/12/2019	07/12/2019	80.09	DOW	Provides cable television services. BWW: Receipt included \$12.49 assessed for taxes.
07/11/2019	07/12/2019	75.00	DOW	Registration fees for the open-dc.gov domain name.
07/12/2019	07/15/2019	1,610.00	DOW	Payment for Sandra Peterson to attend Administrative Professionals Conference in New Orleans, LA.
07/16/2019	07/17/2019	99.00	DOW	Compliance Staff Development webinar for Rochelle Ford.
07/17/2019	07/18/2019	1,610.00	DOW	Payment for Tyrell Dow to attend Administrative Professionals Conference in New Orleans,
07/18/2019	07/19/2019	253.94	DOW	Payment for Tyrell Dow to attend The Essentials of Front Desk Safety and Security webinar.

07/18/2019	07/19/2019	36.98	DOW	Purchase of a Iphone charger for Tiffany Montgomery. BWW: No sales taxes were reflected on the receipt.
07/19/2019	07/22/2019	250.00	DOW	Washington Post ad for General Counsel position at BEGA.
07/24/2019	07/25/2019	1,469.00	DOW	Payment for Ashley Cooks to attend Annual Compliance & Ethics Institute.
07/24/2019	07/26/2019	110.91	DOW	Hotel reservations for Sonya King to attend training in Sykesville, MD. The receipt included 6% Maryland sales taxes (\$5.76) and 9.5% Occupancy taxes (\$9.12) assessed on top of the \$96.03 hotel room charge.
07/25/2019	07/26/2019	1,469.00	DOW	Payment for Sonya King to attend Annual Compliance & Ethics Institute.
07/25/2019	07/29/2019	110.91	DOW	Hotel reservation for Ralph Bradley to attend training in Sykesville, MD. BWW: Per explanation from Tyrell, the rooms for Ralph Bradley and Sonya King were both reserved under Sonya's name. The receipt included 6% Maryland sales taxes (\$5.76) and 9.5% Occupancy taxes (\$9.12) assessed on top of the \$96.03 hotel room charge.
07/25/2019	07/29/2019	103.71	DOW	Hotel reservations for Ronald Cook to attend training in Sykesville, MD. Mr. Cook had a bottle of water charged to his room for \$3 and it will be deducted from his reimbursement check. BWW: Receipt included 6% Maryland state taxes (\$5.23) and 9.5%
07/25/2019	07/29/2019	100.71	DOW	Hotel reservation for Ileana Corrales to attend training in Sykesville, MD. BWW: Receipt included 6% Maryland state taxes (\$5.23) and 9.5% Occupancy taxes (\$8.28) assessed on top of the \$87.20 room charge.
07/27/2019	07/29/2019	1,587.10	DOW	Purchase of receptionist desk. Although National Business Furniture is not a CBE, It works with CBE, Standard Office Supply, to supply furniture.
07/26/2019	07/29/2019	117.00	DOW	Purchase of business cards for Tiffany Montgomery.
07/30/2019	07/31/2019	644.40	DOW	Provides legal search services.
07/30/2019	07/31/2019	627.00	DOW	Provides legal search services. April Invoice.
07/30/2019	07/31/2019	627.00	DOW	Provides legal search services. May invoice.
07/30/2019	07/31/2019	627.00	DOW	Provides legal search services. June invoice.
07/30/2019	07/31/2019	1,469.00	DOW	Payment for Asia Stewart-Mitchell to attend Annual Compliance & Ethics Institute.
07/31/2019	08/02/2019	287.80	DOW	Payment to Capitol Document Solutions for copier maintenance. BWW: No charge for taxes was included on the receipt.
08/01/2019	08/02/2019	1,411.74	DOW	Purchase of BEGA logo merchandise for ethics training, road shows, and other BEGA events. BWW: Tyrell submitted the tax exempt certificate to obtain a refund of the taxes from the vendor.
08/02/2019	08/05/2019	293.29	DOW	Flight reservations for Sonya King to attend training in Pittsburgh, PA. BWW: I added the taxes reflected on the receipt.
08/02/2019	08/05/2019	293.29	DOW	Flight reservations for Rochelle Ford to attend training in Pittsburgh, PA. BWW: I added the taxes reflected on the receipt.
08/02/2019	08/05/2019	293.29	DOW	Flight reservations for Asia Stewart-Mitchell to attend training in Pittsburgh, PA. BWW: I added the taxes reflected on the receipt.
08/02/2019	08/05/2019	580.59	DOW	Travel reservations for Ashley Cooks to attend training in Nashville, TN. BWW: I added the tax reflected on the receipt.
08/08/2019	08/08/2019	80.15	DOW	Provides cable television services. BWW note: This bill includes \$12.55 in cable-related taxes and fees that we could not use the DC tax exemption to avoid paying.
08/08/2019	08/08/2019	80.15	DOW	Provides cable television services. BWW note: This bill includes \$12.55 in cable-related taxes and fees that we could not use the DC tax exemption to avoid paying.
08/08/2019	08/09/2019	283.59	DOW	Purchase of merchandise for Ethics Day and other BEGA events.
08/08/2019	08/09/2019	92.61	DOW	Payment to post GC position on Indeed.
08/09/2019	08/12/2019	1.21	DOW	International transaction fee for software brought from out of the Country..
08/09/2019	08/12/2019	80.85	DOW	Purchased for IT support. Product was ordered from Austraila. We paid \$80.85 in USD.
08/09/2019	08/12/2019	441.95	DOW	Hotel reservations for Rochelle Ford to training in Pittsburgh, PA. Ms. Ford will reimburse the District Government for charges made to the room and she was issued a advance. BWW note: Bill included \$52.50 in hotel-related taxes and fees that we could not use the DC tax exemption to avoid paying.
08/09/2019	08/12/2019	427.50	DOW	Hotel reservations for Asia Stewart-Mitchell to training in Pittsburgh, PA. BWW note: Bill included \$52.50 in hotel-related taxes and fees that we could not use the DC tax exemption to avoid paying.
08/09/2019	08/12/2019	427.50	DOW	Hotel reservations for Sonya King to training in Pittsburgh, PA. BWW note: Bill included \$52.50 in hotel-related taxes and fees that we could not use the DC tax exemption to avoid
08/14/2019	08/14/2019	507.00	DOW	Payment to post GC position to LinkedIn. BWW note: This bill was to post the position from 7/19/2019 to 8/13/2019. The other bill was to post the position another 3 days, from

08/17/2019	08/19/2019	58.50	DOW	Payment to post GC position on LinkedIn.
08/16/2019	08/19/2019	184.50	DOW	Hotel reservation for Ashley Cooks to attend training in Nashville, TN. BWW note: Receipt included \$12.80 in hotel-related taxes and fees that we could not use the DC tax exemption to
08/21/2019	08/26/2019	90.21	DOW	Purchase of general office supplies.
08/23/2019	08/26/2019	627.00	DOW	Provides legal search services.
08/23/2019	08/26/2019	127.82	DOW	Payment for Copier machine maintenance, including \$79.33 for OOG's copier and \$48.49 for
08/23/2019	08/26/2019	381.28	DOW	Flight reservations for Ronald Cook to attend training in Los Angeles, CA. Receipt included \$53.21 in airline-related taxes and fees that we cannot use the DC tax exemption to avoid.
08/23/2019	08/26/2019	252.96	DOW	Flight reservation for Tyrell Dow to attend Administrative Conference in New Orleans. BWW note: Receipt included \$44.25 in airline-related taxes and fees that we cannot use the DC tax exemption to avoid.
08/23/2019	08/26/2019	25.00	DOW	Payment for early flight check in for Ronald Cook.
08/23/2019	08/26/2019	25.00	DOW	Payment for early flight check in for Ronald Cook.
08/25/2019	08/26/2019	469.10	DOW	Purchase of merchandise for Ethics Day and other BEGA training events. BWW note: Tyrell will contact vendor to request refund of taxes.
08/26/2019	08/27/2019	1,288.80	DOW	Provides legal search services. May and July invoices.
08/31/2019	09/02/2019	500.00	DOW	Payment for receptionist desk to be delivered and installed.
09/01/2019	09/02/2019	22.13	DOW	Purchase of job posting on Indeed for GC position.
09/04/2019	09/06/2019	224.95	DOW	Flight reservations for Chris Bauer to speak at BEGA's Ethics Day.
09/12/2019	09/13/2019	566.19	DOW	Purchase of BEGA logo merchandise. Vendor refused to honor the District's sales tax
09/13/2019	09/16/2019	528.95	DOW	Purchase of software for Director Wolfingbarger.
09/16/2019	09/19/2019	38.15	DOW	Moving boxes purchased to assisted with BEGA renovations. BWW note: no taxes reflected on
09/19/2019	09/20/2019	1,718.00	DOW	Payment for updated DC Code books. BWW note: No taxes reflected in invoice.
09/19/2019	09/20/2019	644.40	DOW	Provides legal search services. Sept invoice paid for fiscal year closing. BWW note: Tyrell explained why the Sept. receipt has not been uploaded into PaymentNet.
09/19/2019	09/20/2019	644.40	DOW	Provides legal search services. BWW note: no taxes reflected in invoice.
09/20/2019	09/20/2019	160.30	DOW	Provides cable television services. Aug and Sept invoice for fiscal year closing. BWW note: Tyrell explained why the Sept. receipt has not been uploaded into PaymentNet. Also, the receipt for this transaction that was uploaded into PaymentNet actually relates to the cable
09/20/2019	09/20/2019	160.30	DOW	Provides cable television services. Aug and Sept invoice for fiscal year closing. BWW note: Tyrell explained why the Sept. receipt has not been uploaded into PaymentNet. Also, the receipt for this transaction that was uploaded into PaymentNet actually relates to the cable
09/19/2019	09/20/2019	627.00	DOW	Provides legal search services. Sept invoice for fiscal year closing. BWW note: Tyrell explained why the Sept. receipt has not been uploaded into PaymentNet.
09/19/2019	09/20/2019	627.00	DOW	Provides legal search services. BWW note: No taxes reflected on invoice.
09/19/2019	09/20/2019	2,649.56	DOW	Provides legal search services. BWW note: As I noted when I originally rejected this transaction, this invoice is for annual software maintenance fees for 12 seats to the old ProLaw case management system (or a successor to it) that OGE no longer uses. We now use CMTS for case management. However, after discussion this transaction with our Administrative Officer, Sandra Peterson, it is my understanding that I need to approve this transaction and then she will follow-up with the vendor to obtain a full or partial refund of these fees.
09/19/2019	09/20/2019	193.76	DOW	Provides copier maintenance. BWW note: Two separate invoices, one for \$45.25 related to OGE's copier and a second for \$148.51 related to OOG's copier. See contact info on both
09/19/2019	09/20/2019	390.00	DOW	Payment for Sonya King to attend Compliance and Ethics Institute. BWW note: No taxes were
09/19/2019	09/20/2019	390.00	DOW	Payment for Asia Stewart Mitchell to attend Compliance and Ethics Institute. BWW note: No taxes were reflected on invoice.
09/19/2019	09/20/2019	390.00	DOW	Payment for Ashley Cooks to attend Compliance and Ethics Institute. BWW note: No taxes
09/20/2019	09/23/2019	368.00	DOW	Payment for two webinars. BWW note: No taxes were reflected on the invoice for these two webinar training programs for OGE's Auditor, Amanueil Tujuba.
09/19/2019	09/23/2019	196.00	DOW	Purchase of business cards for Tiffany Montgomery.
09/20/2019	09/23/2019	309.98	DOW	Purchase of monitors for BEGA employees. BWW note: No taxes were reflected on the
09/20/2019	09/23/2019	467.74	DOW	Purchase of a scanner for BEGA employees.
09/21/2019	09/23/2019	398.97	DOW	Purchase of new monitors for employees. BWW note: 2nd of two transactions. No taxes were reflected on the invoice.

09/21/2019	09/23/2019	398.97	DOW	Purchase of monitors for BEGA employees.
09/21/2019	09/23/2019	906.72	DOW	Hotel stay for Tyrell Dow to attend Administrative conference in New Orleans. Tax exempt status was not accepted. BWW note: Receipt reflects that hotel charged \$28.03 per day for
09/21/2019	09/23/2019	436.60	DOW	Purchase of BEGA logo merchandise for Ethics Day. Vendor refused to honor the District's
09/20/2019	09/23/2019	1,835.00	DOW	Payments to remove old receptionist desk and transport to the dump. BWW note: Receipt reflects that vendor's name was Stevenson Transportation Development Group LLC
09/23/2019	09/24/2019	160.00	DOW	Purchase of a Dell docking station for laptop.
09/24/2019	09/25/2019	1,947.00	DOW	Purchase of a new shredder.
09/25/2019	09/26/2019	2,493.00	DOW	Provides e-filing support and maintenance. BWW note: DataNet has continued to provide maintenance and service on our legacy FDS and Lobbying e-filing systems, and I expect they
09/25/2019	09/27/2019	650.08	DOW	Hotel stay for Sandra Peterson to attend Administrative conference in New Orleans. BWW note: The receipt indicates that taxes constituted \$90.08 of this charge; however, by virtue of a
09/25/2019	09/27/2019	(90.08)	DOW	Taxes refunded for Sandra Peterson's stay while attending conference in New Orleans.
09/27/2019	09/30/2019	(1.00)	DOW	Refunded a dollar for over charge.
09/27/2019	09/30/2019	4,725.00	DOW	Purchase of two new printers.
09/27/2019	09/30/2019	104.50	DOW	Purchase of Compliance and Ethics books for office.
01/29/2020	01:11:48 PM			

BEGA Purchase Card Report - FY 2020

Transaction Date	Post Date	Transaction Amount	Cardholder Last Name	Transaction Notes
09/30/2019	10/01/2019	252.89	DOW	Hotel payment for guest speaker for Ethics Day.
09/30/2019	10/01/2019	220.13	DOW	Purchase of a projector screen. Per Tyrell, Sandra approved this purchase.
10/02/2019	10/03/2019	627.98	DOW	Purchase of projector and keyboard/mouse combo. BWW note: Niquelle is out of the office and won't return until 10/28. Tyrell indicated he would ask her for information regarding why OOG did not use a SBE for this
10/02/2019	10/03/2019	309.97	DOW	Purchase of external hard drive and related items for OOG. BWW Note: Tyrell will contact the vendor to attempt to secure a refund of the sales
10/02/2019	10/03/2019	2,388.00	DOW	Payment to have documents electronically archived.
10/02/2019	10/04/2019	36.00	DOW	Purchase of drinks for Ethics Day.
10/03/2019	10/04/2019	596.75	DOW	Purchase of food for BEGA's Ethics Day. OCP granted BEGA a waiver to use the pCard to purchase food for this event.
10/02/2019	10/07/2019	163.74	DOW	Purchase of paper for printer.
10/04/2019	10/07/2019	92.13	DOW	Payment to have subpoena served. BWW Note: The amount on receipt (\$180.00) does not match amount entered into PaymentNet (\$92.13). However, Tyrell explained that the invoice was related to two different
10/04/2019	10/07/2019	92.13	DOW	Payment to have subpoena served. BWW note: Amount on receipt (\$180.00) does not match amount entered into PaymentNet (\$92.13). However, Tyrell explained that the invoice was related to two different
10/04/2019	10/07/2019	52.20	DOW	Mr. Bauer charged room service to the p-card, per our agency's agreement to reimburse him for his meals during his trip to DC to participate as a
10/09/2019	10/10/2019	(1,835.00)	DOW	Vendor was used to pick up, dismantle, and remove old receptionist desk. Vendor was initially was paid for services however their bank would not pay them because they had the wrong merchant code. Payment had to be
10/17/2019	10/21/2019	(32.89)	DOW	Refund of taxes charged for our guest speaker's stay at Marriott.
10/18/2019	10/21/2019	1,666.44	DOW	Payment for guest speaker, Chris Bauer, to present at ethics day. A \$47.26 service fee was charged. BWW note: Per follow-up from Tyrell and
10/18/2019	10/21/2019	253.94	DOW	Payment for Director Wolfingbarger to take Managing Millennials webinar. BWW note: Per follow-up with Tyrell, he submitted the District's tax exemption to Skillpath but they apparently will not honor it. If we
10/18/2019	10/21/2019	253.94	DOW	Payment for Tyrell Dow to take Telephone skills for training webinar. BWW note: Per follow-up with Tyrell, he submitted the District's tax exemption to Skillpath but they apparently will not honor it. If we
10/22/2019	10/23/2019	18.10	DOW	Provides search of court records.
10/25/2019	10/25/2019	157.93	DOW	Purchase of laptop bags for employees. Previous ones were stolen. Ebags does not honor out DC tax exemption status. BWW note: Tyrell clarified that although Ileana's bag was stolen, Ron's bag --- which is the
10/24/2019	10/28/2019	215.36	DOW	Purchase of voice recorders for investigators and a safe for sensitive items. BWW note: Per follow-up with Tyrell, the voice recorders were for each of the 3 investigators and the safe was requested by Ron and approved by me.
11/08/2019	11/08/2019	80.15	DOW	Provides cable television services.
11/08/2019	11/08/2019	80.15	DOW	Provides cable television services.
11/08/2019	11/11/2019	390.60	DOW	Flight reservation for Melissa Tucker to attend 2019 COGEL Conference in
11/08/2019	11/11/2019	321.96	DOW	Flight reservations for Niquelle Allen to attend COGEL conference in
11/13/2019	11/14/2019	419.99	DOW	Purchase of a scansnap scanner.
11/13/2019	11/14/2019	564.00	DOW	Purchase of thunderbolt dock adapters for computers.
11/13/2019	11/14/2019	99.00	DOW	Purchase of DC Bar webinar for Director Wolfingbarger.
11/14/2019	11/14/2019	167.88	DOW	Purchase of laptop bags for Ralph Bradley and Amanueil Tujuba. DC State
11/14/2019	11/15/2019	445.00	DOW	Membership renewal for COGEL.
11/15/2019	11/18/2019	92.13	DOW	Service used to serve subpoenas. Credit cards payments incur a 1.99% + \$.30 cent processing fee which equals \$2.13. BWW note: There are two different transactions and invoices from One Source Process: Invoice #

11/13/2019	11/18/2019	85.66	DOW	Purchase of two mouse/keyboard sets.
11/15/2019	11/18/2019	253.94	DOW	Payment for Amanueil Tujuba to attend "How to collect more money" webinar. Tax exempt has been submitted and will be refunded.
11/15/2019	11/18/2019	636.00	DOW	Media Temple provides domain name bega-dc.gov. Media Temple does not honor our state tax exempt status. BWW note: Learned that Tiffany is apparently using the bega-dc.gov domain name for testing purposes, so I
11/14/2019	11/18/2019	301.29	DOW	Purchase of external hard drives for investigators for more drive space for
11/18/2019	11/19/2019	27.78	DOW	Purchase of iphone screen protectors and cases for employee iphones.
11/19/2019	11/20/2019	92.13	DOW	Service used to serve subpoenas. Credit cards payments incur a 1.99% +
11/20/2019	11/21/2019	627.00	DOW	Provides legal search services.
11/20/2019	11/21/2019	451.94	DOW	Provides maintenance to copy/printer machines.
11/20/2019	11/21/2019	200.00	DOW	Provides CMTS case management to Attorneys and Investigators. Payment
11/20/2019	11/21/2019	705.83	DOW	Provides CMTS case management to Attorneys and Investigators.
11/21/2019	11/22/2019	676.20	DOW	Provides legal search services.
11/25/2019	11/26/2019	451.98	DOW	Travel reservations for Director Wolfingbarger to attend 2019 COGEL
11/25/2019	11/26/2019	50.00	DOW	Loaded \$50 to metro card ending in 1921.
11/25/2019	11/26/2019	50.00	DOW	Loaded \$50 to metro card ending in 1446.
11/25/2019	11/26/2019	50.00	DOW	Loaded \$50 to metro card ending in 9617.
11/25/2019	11/26/2019	50.00	DOW	Loaded \$50 to metro card ending in 5202.
11/25/2019	11/27/2019	130.51	DOW	Travel reservations for Director Wolfingbarger to attend 2019 COGEL Conference in Chicago. payment can be found on Travelocity invoice.
11/25/2019	11/27/2019	255.30	DOW	Travel reservations for Director Wolfingbarger to attend 2019 COGEL Conference in Chicago. payment can be found on Travelocity invoice.
11/25/2019	11/27/2019	700.00	DOW	Conference fee for Director Wolfingbarger to attend 2019 COGEL
11/25/2019	11/27/2019	2,100.00	DOW	Conference fees for Sonya King, Melissa Tucker, and Niquelle Allen to
11/25/2019	11/27/2019	384.61	DOW	Flight Reservation for Sonya King to attend 2019 COGEL Conference in
11/25/2019	11/27/2019	42.91	DOW	Travel reservation for Sonya King to attend COGEL Conference in Chicago. Ms. King selected preferred seating.
11/27/2019	11/29/2019	704.20	DOW	Media Temple provides domain name. BWW note: The vendor refused to accept the District's sales tax exemption certificate.
12/03/2019	12/06/2019	1,581.92	DOW	Purchase of toner for BEGA's printers.
12/04/2019	12/09/2019	24.99	DOW	Purchase of desk mouse for Tiffany Montgomery.
12/13/2019	12/16/2019	400.00	DOW	Purchase of domain name and support. open-dc.gov
12/16/2019	12/17/2019	570.58	DOW	Hotel reservation for Sonya King to attend conference in Chicago.
12/17/2019	12/18/2019	31.98	DOW	Purchase of 128gb flash drive. A SBE could not provide a flash drive with
12/17/2019	12/18/2019	92.13	DOW	Provides subpoena serving services. Payments incur a 1.99% + .30 cent
12/17/2019	12/18/2019	92.13	DOW	Provides subpoena serving services. Payments incur a 1.99% + .30 cent
12/18/2019	12/18/2019	80.22	DOW	Provides cable television services.
12/18/2019	12/18/2019	80.22	DOW	Provides cable television services.
12/18/2019	12/19/2019	293.52	DOW	Hotel reservation for Melissa Tucker to attend conference in Chicago.
12/18/2019	12/19/2019	(21.20)	DOW	Media Temple provides domain name hosting. A refund was issued due to
12/18/2019	12/19/2019	(511.22)	DOW	Media Temple provides domain name hosting. refund was issued for
12/19/2019	12/20/2019	783.08	DOW	Hotel reservation for Niquelle Allen to attend conference in Chicago. \$31.85 was charged to Ms. Allen's personal card for room service.
12/27/2019	12/30/2019	183.96	DOW	Provides subpoena serving services. Payments incur a 1.99% + .30 cent
12/27/2019	12/30/2019	627.00	DOW	Provides legal search services.
12/27/2019	12/30/2019	382.54	DOW	Provides copy machine maintenance.
12/30/2019	12/31/2019	676.20	DOW	Provides legal search services.
01/08/2020	01/13/2020	271.90	DOW	Purchase of offices supplies
01/11/2020	01/13/2020	89.04	DOW	Provides cable television services
01/11/2020	01/13/2020	89.04	DOW	Provides cable television services
01/28/2020	01/29/2020	1,419.62	MONTGOMERY	Media Temple provides domain name hosting. This purchase was to upgrade the server

Purchase Order Report_FY 2019-2020

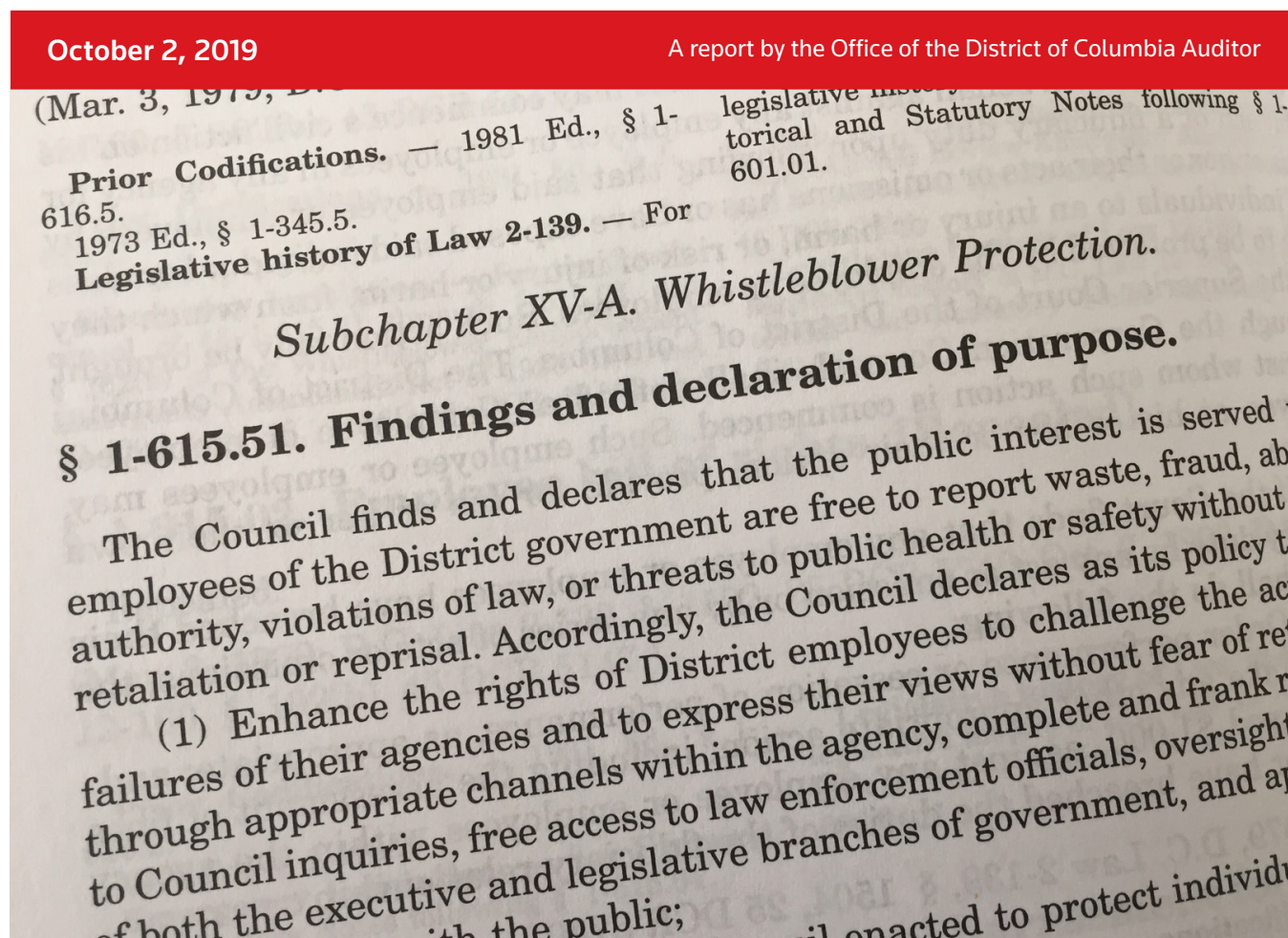
BOARD OF ETHICS AND GOVT ACCOUNTABILITY - AGO

Agy	Agy Fund	P.O. Number	Ref Sfx	Voucher DOc (VP)	Vendor Name	P.O. Adjustmen t	P.O. AMT	P.O. ADJ AMT	VOUCHER AMT	PO BAL	Approp Year	Comp Object	Index Code	PCA
AGO	0100	PO597338	001		REED ELSEVIER INC.	0	0	(6,270)	0	(6,270)	2019	0410	20001	20001
AGO	0100		001		REED ELSEVIER INC.	001	6,270	0	0	6,270	2019	0410	20001	20001
PO597338 - Summary						0	6,270	(6,270)	0	0				
AGO	0100	PO597339	001		DATA NET SYSTEMS CORP	0	0	(11,620.8)	0	(11,620.8)	2019	0410	20001	20001
AGO	0100		001	VOH64882 - 001	DATA NET SYSTEMS CORP	001	0	0	1,500	(1,500)	2019	0410	20001	20001
AGO	0100		001		DATA NET SYSTEMS CORP	001	13,120.8	0	0	13,120.8	2019	0410	20001	20001
PO597339 - Summary						0	13,120.8	(11,620.8)	1,500	0				
AGO	0100	PO603519	001	VOH06072 - 001	SOUL SOURCE INC.	001	0	0	1,804.4	(1,804.4)	2019	0408	2010L	20100
AGO	0100		001	VOH08931 - 001	SOUL SOURCE INC.	001	0	0	1,804.4	(1,804.4)	2019	0408	2010L	20100
AGO	0100		001	VOH11300 - 001	SOUL SOURCE INC.	001	0	0	1,804.4	(1,804.4)	2019	0408	2010L	20100
AGO	0100		001	VOH13371 - 001	SOUL SOURCE INC.	001	0	0	1,804.4	(1,804.4)	2019	0408	2010L	20100
AGO	0100		001	VOH16511 - 001	SOUL SOURCE INC.	001	0	0	1,804.4	(1,804.4)	2019	0408	2010L	20100
AGO	0100		001	VOH18980 - 001	SOUL SOURCE INC.	001	0	0	1,804.4	(1,804.4)	2019	0408	2010L	20100
AGO	0100		001	VOH22073 - 001	SOUL SOURCE INC.	001	0	0	721.76	(721.76)	2019	0408	2010L	20100
AGO	0100		001		SOUL SOURCE INC.	0	0	(6,135.03)	0	(6,135.03)	2019	0408	2010L	20100
AGO	0100		001		SOUL SOURCE INC.	001	17,683.19	0	0	17,683.19	2019	0408	2010L	20100
PO603519 - Summary						0	17,683.19	(6,135.03)	11,548.16	0				
AGO	0100	PO604070	001		RIZEUP TECH TRAINING	0	0	(14,209.2)	0	(14,209.2)	2019	0408	2010L	20100
AGO	0100		003		RIZEUP TECHN TRAINING	001	14,209.2	0	0	14,209.2	2019	0408	2010L	20100
PO604070 - Summary						0	14,209.2	(14,209.2)	0	0				
AGO	0100	PO605509	001		ABSTRACT EVOLUTIONS	001	0	0	0	(20,680)	2019	0408	2010L	20100
AGO	0100		002		ABSTRACT EVOLUTIONS	002	0	28,200	0	28,200	2019	0410	2010L	20100
AGO	0100		002	VOH66088 - 001	ABSTRACT EVOLUTIONS	002	0	0	12,000	(12,000)	2019	0410	2010L	20100
AGO	0100		002	VOH66100 - 001	ABSTRACT EVOLUTIONS	002	0	0	8,320	(8,320)	2019	0410	2010L	20100
AGO	0100		002		ABSTRACT EVOLUTIONS	0	0	(7,880)	0	(7,880)	2019	0410	2010L	20100
AGO	0100		002		ABSTRACT EVOLUTIONS	001	20,680	0	0	20,680	2019	0408	2010L	20100
PO605509 - Summary						0	20,680	(360)	20,320	0				
AGO	0100	PO608017	001	VOH40092 - 001	WINGSWEPT LLC	001	0	0	3,676.78	(3,676.78)	2019	0410	2010L	20100
AGO	0100		001		WINGSWEPT LLC	001	3,676.78	0	0	3,676.78	2019	0410	2010L	20100
PO608017 - Summary						0	3,676.78	0	3,676.78	0				
AGO	0100	PO608514	001	VOH50116 - 001	LYNNDOUGLAS LLC	001	0	0	9,963.55	(9,963.55)	2019	0410	2010L	20100
AGO	0100		001		LYNNDOUGLAS LLC	001	9,963.55	0	0	9,963.55	2019	0410	2010L	20100
PO608514 - Summary						0	9,963.55	0	9,963.55	0				
AGO	0100	PO609163	001	VOH49605 - 001	ABSTRACT EVOLUTIONS	001	0	0	7,800	(7,800)	2019	0410	20001	20001
AGO	0100		001		ABSTRACT EVOLUTIONS	0	0	(520)	0	(520)	2019	0410	20001	20001
AGO	0100		001		ABSTRACT EVOLUTIONS	001	8,320	0	0	8,320	2019	0410	20001	20001
PO609163 - Summary						0	8,320	(520)	7,800	0				
AGO	0100	PO609783	001		DELL MARKETING L.P.	0	0	(136.8)	0	(136.8)	2019	0410	2010L	20100
AGO	0100		001		DELL MARKETING L.P.	001	136.8	0	0	136.8	2019	0410	2010L	20100
PO609783 - Summary						0	136.8	(136.8)	0	0				
AGO	0100	PO609785	001	VOH63603 - 001	HI-TECH SOLUTION INC.	001	0	0	9,095	(9,095)	2019	0702	2010L	20100
AGO	0100		001		HI-TECH SOLUTION INC.	001	9,095	0	0	9,095	2019	0702	2010L	20100
PO609785 - Summary						0	9,095	0	9,095	0				
AGO	0100	PO610163	002	VOH67841 - 002	THIHA, INC	002	0	0	8,000	(8,000)	2019	0410	20001	20001
AGO	0100		003	VOH67841 - 003	THIHA, INC	003	0	0	20,000	(20,000)	2019	0410	2010L	20100
AGO	0100		004	VOH67841 - 004	THIHA, INC	004	0	0	32,677.97	(32,677.97)	2019	0201	2010L	20100
AGO	0100		005		THIHA, INC	002	8,000	0	0	8,000	2019	0410	20001	20001
AGO	0100		006		THIHA, INC	003	20,000	0	0	20,000	2019	0410	2010L	20100
AGO	0100		007		THIHA, INC	004	32,677.97	0	0	32,677.97	2019	0201	2010L	20100
PO610163 - Summary						0	60,677.97	0	60,677.97	0				
AGO	0100	PO610169	001		WEST PUBLISHING CORP	0	0	(2,649.56)	0	(2,649.56)	2019	0410	2010L	20100
AGO	0100		001		WEST PUBLISHING CORP	001	2,649.56	0	0	2,649.56	2019	0410	2010L	20100
PO610169 - Summary						0	2,649.56	(2,649.56)	0	0				
AGO	0100	PO610479	001	VOH64735 - 001	KLINE IMPORTS ARLINGTON	001	0	0	29,250	(29,250)	2019	0410	2010L	20100
AGO	0100		002		KLINE IMPORTS ARLINGTON	001	29,250	0	0	29,250	2019	0410	2010L	20100
PO610479 - Summary						0	29,250	0	29,250	0				
Overall - Summary FY 2019							205,833	(42,038)	163,795	0				
AGO	0100	PO613428	001	VOH97417 - 001	WINGSWEPT LLC	001	0	0	28,468.03	(28,468.03)	2020	0408	2010L	20100
AGO	0100		001		WINGSWEPT LLC	001	29,173.86	0	0	29,173.86	2020	0408	2010L	20100
PO613428 - Summary						0	29,173.86	0	28,468.03	705.83				
AGO	0100	PO613925	001	VOH86514 - 001	COMPUTER AID, INC	001	0	0	1,354.6	(1,354.6)	2020	0410	2010L	20100
AGO	0100		002		COMPUTER AID, INC	001	27,613	0	0	27,613	2020	0410	2010L	20100
PO613925 - Summary						0	27,613	0	1,354.6	26,258.4				
AGO	0100	PO617153	001	VOH97437 - 001	WINGSWEPT LLC	001	0	0	200	(200)	2020	0410	2010L	20100
AGO	0100		001		WINGSWEPT LLC	001	2,000	0	0	2,000	2020	0410	2010L	20100
PO617153 - Summary						0	2,000	0	200	1,800				
Overall - Summary FY 2020							58,786.86	0.	30,022.63	28,764.23				

BEGA Mishandled Whistleblower Complaint on Housing Procurement

October 2, 2019

A report by the Office of the District of Columbia Auditor



Audit Team

Stacie Pittell, Audit Supervisor
Ingrid Drake, Auditor-in-Charge



Office of the District of Columbia Auditor

Kathleen Patterson, District of Columbia Auditor
www.dcauditor.org

Executive Summary



Why ODCA Did This Audit

The mission of the Board of Ethics and Government Accountability (BEGA) is to investigate ethics violations by, and provide ethics advice and training to, D.C. government employees and elected officials. The Director of Government Ethics serves as the District's ethics prosecutor and is authorized to bring civil enforcement proceedings against D.C. employees and elected officials who have engaged in violations of the District's Code of Conduct. BEGA's mission and authority are meant to give the public confidence in its government and inspire the trust of District government employees.

This report is the result of a request by Councilmember Charles Allen, Chair of the Committee on the Judiciary and Public Safety, that the Office of the D.C. Auditor (ODCA) review BEGA's handling of a June 2018 whistleblower complaint related to the Department of Housing and Community Development.

What ODCA Found

A limited review conducted by ODCA revealed that in the last year, BEGA has failed to live up to its mission. Starting in June 2018, a then-District government employee submitted to BEGA, twice, a serious ethics allegation, which BEGA not only failed to investigate, but failed to assign a number for tracking purposes. No action was taken for an entire year despite a referral by the Office of the Inspector General (OIG) and an inquiry by a legislative staff member. In addition, the Director of Government Ethics misrepresented to the BEGA Board, at two regular Board meetings, the status of the complaint and the fact that the complaint had been referred to BEGA for investigation by the OIG shortly after it came in to both agencies.

ODCA's review reveals that this was not an isolated instance. ODCA found other examples of requests for investigations and advice requests that went unanswered by BEGA in 2018 and 2019.

What ODCA Recommends

ODCA recommends that the Council of the District of Columbia Committee on the Judiciary and Public Safety take sworn testimony from any BEGA personnel as it sees fit and recommend appropriate action.

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October 2, 2019

The Hon. Charles Allen
Chairperson, Committee on the Judiciary and Public Safety
Council of the District of Columbia
1350 Pennsylvania Avenue, N.W.
Washington, D.C. 20004

Letter Report: BEGA Mishandled Whistleblower Complaint on Housing Procurement

Dear Councilmember Allen:

This responds to your letter dated July 5, 2019, in which you requested that the Office of the District of Columbia Auditor (ODCA) conduct a very focused review of the Board of Ethics and Government Accountability's (BEGA) "handling of the June 2018 complaint related to the Housing Production Trust Fund and the Department of Housing and Community Development" (DHCD) (hereinafter referred to as the DHCD complaint), included as Appendix A. We hope that this letter report summarizing ODCA's focused review is helpful to the Committee on the Judiciary and Public Safety and the BEGA Board.

Objectives, Scope, and Methodology

ODCA's focused review involved obtaining and reading emails from and to BEGA Director of Government Ethics Brentton Wolfingbarger, then-BEGA General Counsel Brian Flowers, and the BEGA general mailbox for pertinent periods during 2018 and 2019. This period includes when the complainant—then a District government employee—submitted his complaint to BEGA in 2018, and when I contacted BEGA in 2019 to find out what BEGA did with the complainant's complaint.

Summary of Our Review

Our review revealed that the DHCD complaint did not just slip through one crack at BEGA, but a series of cracks, involving more than one staff member. BEGA received the DHCD complaint not only twice from the whistleblower through its email and hotline, but also from the Office of the Inspector General (OIG), and later was reminded about it by a Council staffer. Nevertheless, the complaint did not prompt any action until a year later after our office contacted BEGA regarding the complaint. We saw signs that this case was not unique and identified other instances in which BEGA's failure to act appeared to interfere with it meeting its mission. In addition, we saw indications that Mr. Wolfingbarger's communications to the BEGA

Board were neither forthcoming nor accurate.

After receiving the complaint in June 2018, Mr. Wolfingbarger wrote an email to Mr. Flowers directing that they keep it as an “undocketed matter” for now. Less than two weeks later, the OIG formally referred the same matter to BEGA. From June 2018 until shortly after I contacted BEGA about this matter in June 2019, BEGA did not assign the matter an undocketed number, open an investigation, or discuss the matter with the OIG at the BEGA-OIG monthly meetings.

In June 2019, approximately one year after the District employee submitted his initial complaint to BEGA, Mr. Wolfingbarger briefed the BEGA Board in a closed session of its monthly Board meeting, stating that he could not find the DHCD complaint in BEGA’s case management system, but that he found emails he had exchanged with Mr. Flowers indicating that they were to discuss the matter with the OIG at a meeting on June 25, 2018. Mr. Wolfingbarger informed the Board that the matter was not discussed with the OIG, stating that BEGA likely was waiting for the OIG to complete its review of the matter before opening its own investigation. This clearly was a misrepresentation to the BEGA Board in that the OIG had referred the matter to BEGA on June 25, 2018. Clearly, the OIG was not reviewing or investigating the matter and had made that decision shortly after the complaint was submitted.

Mr. Wolfingbarger then compounded his misrepresentation to the BEGA Board when he reported at a closed session of its next Board meeting in July 2019 that he had discussed the matter with the OIG on June 20, 2019, and stated that the OIG was “no longer” investigating the matter. This second report to the BEGA Board not only failed to set the record straight by informing the Board that the OIG had, in fact, referred the matter to BEGA a year earlier, but gave the false impression that the OIG may have been considering or investigating the matter until approximately June 2019 by stating that he confirmed at that time that the OIG was no longer investigating the matter.

Our conclusion, therefore, with respect to the DHCD complaint is that BEGA mishandled it by: (1) setting it aside as an undocketed matter; (2) failing to assign it a number for tracking purposes; (3) not opening an investigation; and (4) by failing to locate it and remedy these errors despite repeated contacts by the complainant and others, as detailed below.

In addition, our review of BEGA emails also reveals that this is not an isolated instance of mishandling and losing track of matters submitted to BEGA, both for investigation and for ethics advice. Although our email review was limited, we saw evidence of people contacting BEGA to ask about matters they previously had submitted to BEGA and for which they had received no response. Information on those matters is provided below as well.

Details of the DHCD Complaint

June 14, 2018

The complainant, a DHCD employee, first submitted his complaint to BEGA by email to the BEGA General Mailbox, mentioning that he also was referring the matter to the OIG.

June 15, 2018

Mr. Flowers retrieved the complaint from the BEGA General Mailbox and forwarded it to Mr. Wolfingbarger.

June 20, 2018

Mr. Wolfingbarger responded to Mr. Flowers' email directing that the matter should be undocketed for now, should be added as an agenda item for their meeting with the OIG on Monday [June 25], and that they will decide how to proceed after that discussion.

In an email unrelated to this complaint, Mr. Wolfingbarger explains to another BEGA employee what the term "undocketed matter" means. He writes, "[w]e typically classify incoming complaints as "undocketed matters" if, at first glance, we suspect they may not warrant opening an investigation for some reason." In addition, in an email to another BEGA employee on August 7, 2018, Mr. Wolfingbarger attached a flow chart entitled "New Complaint Intake Process." That flow chart, included as Appendix B, shows that even new complaints that are to be closed without conducting an investigation should be docketed in BEGA's case management system.

June 25, 2018

The OIG formally referred the matter to BEGA by email stating in its letter, "Our analysis determined that BEGA is in the best position to address the issues raised."

August 8, 2018

The complainant contacted BEGA to request confirmation that his complaint was received and was under review, noting that he did not see his complaint summarized on the BEGA Quarterly Report. He also resubmitted his complaint using the BEGA Complaint Form submission process.

August 10, 2018

Mr. Flowers confirmed both receipts of the complaint by email to the complainant.

June—August 2018

Our review of BEGA emails from this time period reveals no evidence that this matter was assigned an undocketed number or initiated as an investigation and given an investigation number. In addition, although it appears that BEGA and the OIG had monthly meetings for which agendas usually were provided, our review reveals no evidence that this matter was on an agenda for an OIG-BEGA meeting in that time period.

February 20, 2019

Sonia Weil from the D.C. Council Committee on the Judiciary and Public Safety contacted Mr.

Wolfigbarger by email to ask that BEGA reach out to the complainant about the status of this complaint. Mr. Wolfigbarger responded on the same day writing, "Will do." We saw no evidence that anyone from BEGA ever reached out to the complainant at that time.

February 22, 2019

The complainant first contacted ODCA by email to discuss "ODCA's ongoing oversight of DHCD and HPTF [Housing Production Trust Fund]."

February 25, 2019

In a telephone conversation with two ODCA auditors, the complainant stated that he filed both an ethics complaint to BEGA and a complaint to the OIG and had not heard anything from either of those bodies in seven months.

May 30, 2019

I reached out to Mr. Wolfigbarger by telephone, leaving a voicemail message that I was calling to give him a heads up about a report my office was about to release concerning the HPTF and informing him that it referenced a complaint made to BEGA approximately one year earlier.

May 30, 2019

ODCA issued an audit report entitled [Low-Ranked Projects Secure Affordable Housing Funds](#). The report references the receipt of "confidential information regarding the discrepancies between DFD's [DHCD's Development Finance Division] and the proposals that were selected by the DHCD Director."

June 2, 2019

As I had not heard back from Mr. Wolfigbarger, I emailed him, sending him the report I had mentioned previously, which I attached. I also wrote that I assumed BEGA did not initiate a review because the complainant had not heard back from BEGA.

June 4-5, 2019

Not having received any acknowledgment from BEGA, on June 4 I emailed and asked to speak with BEGA Board member and former Director of Government Ethics Darrin Sobin. We spoke June 5 and I alerted him to the report that had referenced the BEGA complaint. He indicated he would follow up.

June 5, 2019

I received a voicemail message from Mr. Wolfigbarger asking for information about the complaint. I emailed Mr. Wolfigbarger the requested information. Mr. Wolfigbarger responded that BEGA would review the information.

June 6, 2019

A BEGA Board meeting was held and ODCA's review of the notes summarizing the meeting reveals that the DHCD complaint was discussed in closed session. According to that summary, Mr. Wolfigbarger told the BEGA Board that ODCA had released an audit report, which I had indicated was related to a complaint filed with BEGA and the OIG by a whistleblower last summer, but that Mr. Wolfigbarger could not find it in BEGA's case management system. Mr. Wolfigbarger reported to the BEGA Board that he did find emails he had exchanged with Mr. Flowers indicating that they were to discuss the matter with the OIG at a meeting on June 25, 2018, but that the matter was not discussed. The draft notes state that

Mr. Wolfingbarger said, “I think it is likely we decided to wait until the OIG completed its review of the allegations before opening an ethics investigation, but I will obtain a status report from the OIG at our next meeting on June 20 [2019]...”.

July 11, 2019

A BEGA Board meeting was held and ODCA’s review of the notes summarizing the meeting refer to the briefing on the DHCD complaint at the previous month’s [June] Board meeting. According to the draft notes, Mr. Wolfingbarger told the BEGA Board, again in closed session, “I discussed this matter with the OIG at our meeting on June 20 and confirm the OIG is no longer investigating the matter, so I created a new preliminary investigation (Case #19-0010-P) related to this Complaint in CMTS and assigned it to [investigators].” The BEGA Complaint Report for the period April 1, 2019–June 30, 2019, includes BEGA Case #19-0010P, with the description, “Allegations that senior staff in a District agency directed District employees to manipulate a procurement process for improper purposes.” The date initiated is listed as June 26, 2019.

Details on Unrelated Matters Submitted to BEGA

In September 2018, the Child and Family Services Agency contacted BEGA to follow up on an investigative referral it had made to BEGA in May 2017, because the agency had not heard back from BEGA.

Mr. Flowers, who was the BEGA General Counsel at the time of the May 2017 referral, wrote in a September 12, 2018, email to Mr. Wolfingbarger that the referral “seemed to have fallen through the cracks” and that he doesn’t see anything indicating what BEGA did with it. Mr. Flowers also included a comment that “it is somewhat stale now.”

It also appears that there was an unrelated matter for which BEGA was working with a Respondent’s attorneys to negotiate a disposition that needed some prodding from the attorneys. Email exchanges show that a BEGA attorney emailed the Respondent’s attorneys on June 7, 2019, writing that he would review the document they had provided and would get back to them. On June 20, 2019, one of Respondent’s attorneys contacted the BEGA attorney to follow up on the matter. Mr. Wolfingbarger was copied on these email exchanges.

In addition, our email review revealed five instances where District government employees contacted BEGA for ethics advice and did not hear back from BEGA.

1. Email exchange between Mr. Flowers and Mr. Wolfingbarger on March 5, 2019, regarding a February 22, 2019, advice request made by an employee of the Deputy Mayor for Planning and Economic Development (DMPED). No one from BEGA had responded to the requestor. In a subsequent email on March 5, 2019, Mr. Flowers wrote to Mr. Wolfingbarger that the request is now moot.
2. Email chain showing that a DHCD employee made an advice request to BEGA on March 6, 2019, and followed up on March 14, 2019, in an email to Mr. Flowers because the employee hadn’t heard anything.
3. Email chain from a DMPED employee asking for advice about working on a specific project. The DMPED employee first made the advice request on April 24, 2019, and followed up asking for a response on May 6, 2019, and May 13, 2019.

4. Email chain showing that another DHCD employee made an advice request on May 30, 2019. The requestor followed up by email on June 5, 2019, stating that the requestor had not received an acknowledgement or response and so is assuming there is no cause for concern or need for advice on the matter.
5. Email chain showing that the Department of Forensic Sciences (DFS) emailed BEGA on June 12, 2019, for advice relating to the agency's conflict of interest policy. DFS followed up on June 19, 2019, asking if there is any resolution on the matter.

Conclusions and Recommendations

As I stated in my initial memorandum to you on June 14, 2019, BEGA was created by the D.C. Council to assure the public that District officials are performing to the highest ethical standards and that "legislative oversight is the critical control framework that can address the risk of unethical behavior and provide that assurance." The agency was created also to serve as a safe harbor for District employees troubled by what they believe to be unethical practices. Clearly BEGA's handling of the DHCD complaint, as well as the other matters noted above, shows that BEGA itself is not performing to the highest ethical standards nor fulfilling its safe harbor role. Most troubling are Mr. Wolfingbarger's misrepresentations to the BEGA Board in June and July 2019, but its repeated mishandling of investigatory and advice requests also is of deep concern.

Accordingly, ODCA recommends that the Committee take sworn testimony from Mr. Wolfingbarger, Mr. Flowers, Mr. Sobin, and any other BEGA personnel as it sees fit, and recommend appropriate action. My staff and I are available to assist the Committee.

Sincerely yours,



Kathy Patterson
District of Columbia Auditor

cc: Councilmembers
Betsy Cavendish, EOM
Norma B. Hutcheson, BEGA Chairperson
Brentton Wolfingbarger, Director of Government Ethics, BEGA
Brian Flowers, OAG

Agency Comments

On September 24, 2019, we sent a draft copy of this report to BEGA Director of Government Ethics Brentton Wolfingbarger for review and written comment. ODCA is publishing Mr. Wolfingbarger's October 1, 2019, response letter with identifying information about the whistleblower redacted and without Mr. Wolfingbarger's exhibits.

October 1, 2019

Kathy Patterson
District of Columbia Auditor
717 14th Street NW, Suite 900
Washington, DC 20005

RE: Draft BEGA Mishandled Whistleblower Complaint on Housing Procurement report

Dear Ms. Patterson,

Thank you for providing me and Chairperson Hutcheson with the draft letter report entitled **Draft BEGA Mishandled Whistleblower Complaint on Housing Procurement**. I am writing to provide you with additional information to demonstrate that the representations I made to the Board on June 6, 2019 and July 11, 2019 regarding the status of the Complaint in question were accurate to the best of my knowledge at the time and did not constitute any “misrepresentations” whatsoever. I am also providing you with additional information regarding BEGA’s handling of the Complaint at issue.

June 6, 2019 BEGA Meeting

On June 6, 2019, I provided the Board with all the information I had been able to uncover during the 21 hours and 35 minutes between the time you sent me your June 5, 2019 email with the whistleblower’s Complaint and the start of the Board’s meeting at 10:00 a.m. on June 6. As I explained to the Board at that time, I had searched both our old case management system (ProLaw) and the case management system we acquired at the end of FY18 that we currently use (CMTS) but was unable to find any cases related to the whistleblower’s Complaint or [REDACTED] name. Additionally, I informed the Board that I searched my email inbox for the whistleblower’s name and successfully identified emails I exchanged with Mr. Flowers between June 15, 2018 and June 20, 2018 in which we indicated we planned to classify the whistleblower’s Complaint as an “undocketed matter” that we would discuss with the OIG during our next monthly meeting, which was scheduled for June 25, 2018.

As I further explained to the Board, I then reviewed my notes from the June 25, 2018 OIG meeting and found no reference to the whistleblower’s Complaint. A copy of my notes written on a printout of the agenda from that meeting are enclosed herewith for your review. Please note that the meeting agenda itself did not include the whistleblower’s Complaint as an item for us to discuss with the OIG, nor do my handwritten notes include any reference to a discussion on that subject.

After reviewing your draft report, I again searched my email inbox for the Complainant’s name and confirmed that the email referral sent to me by the Inspector General’s Hotline inbox on June 25, 2018 at 1:51 pm does not appear in the search results for the whistleblower’s name, although it does appear when I search for the phrase “Inspector General.” Screen snips reflecting the search results for the terms, [REDACTED] and “Inspector General” related to messages I received between April 2018 and August 2018 are attached for your review.

Please note that the June 25, 2018 email from the Inspector General's Hotline inbox was sent at 1:51 pm, only nine minutes before my 2:00 pm meeting, as reflected by the attached PDF printout of my Outlook calendar for Monday, June 25, 2018. Given that the email likely arrived while I was reviewing our caseload and preparing for the OIG meeting, I do not believe that I saw that email until sometime after my meeting with the OIG ended, and as indicated by the meeting agenda itself and my handwritten notes from that meeting, I do not believe that we discussed the whistleblower's Complaint during the meeting. Most importantly, when I briefed the Board on this subject at its June 6, 2019 meeting, I had no recollection whatsoever of receiving that email referral almost a year previously and, as described above, that message was not included in the search results when I searched my email inbox for the whistleblower's name in preparation for the Board meeting.

As your draft report noted, there is "no evidence that (the whistleblower's Complaint) was on the agenda for an OIG-BEGA meeting" between June 2018 and August 2018. In fact, I do not believe we discussed the whistleblower's Complaint during any of our subsequent OIG/BEGA meetings between September 2018 and May 2019.

On June 20, 2019, now that I had learned of our oversight regarding the whistleblower's Complaint, I met with staff from the OIG and asked for information regarding the status of their investigation into the whistleblower's Complaint and learned that they had decided to close it out shortly after receiving it. I subsequently created a new matter in CMTS for the whistleblower's Complaint on June 26, 2019 (Case No. 19-0075-C), converted it into a preliminary investigation (Case No. 19-0010-P) and assigned it to one of our investigative teams to work up. I also assigned our Auditor to assist the investigative team due to the complicated fact pattern and financial elements of the case.

July 11, 2019 BEGA Meeting

Between Monday July 8, 2019 and Wednesday July 10, 2019, I began assembling documents and preparing my notes for the Board's meeting on Thursday July 11, 2019. As is my typical practice, I used the Microsoft Word version of my notes from the previous Board meeting on June 6, 2019, as the starting point for my notes for the upcoming meeting and edited the document to reflect the changes and developments that had occurred during the preceding month.

The Microsoft Word version of my notes for the June 6, 2019 Board meeting regarding the whistleblower's Complaint contained the following sentences: "I will obtain a status report from the OIG at our next meeting on June 20 to confirm that A) they actually opened an investigation, and B) that it is still open. *If the OIG is no longer investigating the matter, I will create a new matter related to this Complaint in CMTS and add it to our agenda for an upcoming OGE staff meeting to discuss the merits of opening an investigation on our end.*" (emphasis added). As stated above, that is what I did.

The Microsoft Word version of my draft notes for the July 11, 2019 Board meeting regarding the whistleblower's Complaint contained the following sentence: "I discussed this matter with the OIG at our meeting on June 20 and *confirm (sic) the OIG is no longer investigating the matter*, so I created a new preliminary investigation (Case #19-0010-P) related to this Complaint in CMTS and assigned it to Ralph, Sonya and Amanueil." (emphasis added).

My statement to the Board on July 11, 2019 regarding the whistleblower's Complaint is factually accurate and contains no misrepresentations whatsoever. I learned the OIG had closed its matter related to the whistleblower's Complaint, and I opened a preliminary investigation on our end, assigning those three staff members to work on the case, which was assigned Case #19-0010-P by our CMTS case management system. I used the same language ("the OIG is no longer investigating the matter") during both the June 6, 2019 Board meeting and the July 11, 2019 Board meeting, which is also the same language set forth in the Microsoft Word version of my draft notes for both meetings.

Whistleblower Complaint Status

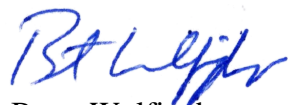
When we originally received the whistleblower's Complaint in June 2018, we were still using our old ProLaw case management system and a new matter should have been created in ProLaw when we received the Complaint. I simply do not know why we did not do so in this instance, but I have done my best to explain what transpired to both you and the Board as honestly and objectively as possible, and I have accepted responsibility for the oversight because it happened on my watch as the Director of the Office.

Although we cannot make amends for the lengthy delay between the time we originally received the whistleblower's Complaint and when we actually opened an investigation into ■■■ allegations, we have begun to investigate this matter to determine what actually took place and whether any violations of the Code of Conduct occurred in the course of those events. Our team interviewed the whistleblower on August 22, 2019, and ■■■ provided them with valuable information they are continuing to explore in the course of their investigation. Once we conclude our investigation, we will provide the whistleblower with an update on the results of our investigation.

Quotation from Confidential Email Protected by the Attorney-Client Privilege

Lastly, I respectfully request that you remove from your report any quotations from my June 20, 2016 email to Mr. Flowers reflecting my initial assessment of the whistleblower's Complaint. As noted at the bottom of my message, the language I used in that email was confidential and protected by the attorney-client privilege. As the Director of Government Ethics, I need to be able to speak freely to our General Counsel and receive his/her unvarnished advice. Perhaps I could have expressed myself differently, but I think it is fair to say that the fact pattern sketched by the whistleblower in ■■■ Complaint was fairly complex and somewhat challenging to follow. I do not believe it is necessary to include any such quotations in your report, when the most germane aspect of the email is that BEGA decided to classify the whistleblower's Complaint as an "undocketed matter" on June 20, 2018, subject to possible reclassification as a preliminary investigation after our June 25, 2018 meeting with the OIG.

Regards,



Brent Wolfingbarger
Director of Government Ethics

Appendices

Appendix A

Letter From the Honorable Charles Allen Requesting a Focused Review



**COUNCIL OF THE DISTRICT OF COLUMBIA
THE JOHN A. WILSON BUILDING
1350 PENNSYLVANIA AVENUE, NW
WASHINGTON, DC 20004**

Charles Allen
Councilmember, Ward 6
Chairperson
Committee on the Judiciary and Public Safety

Committee Member
Business and Economic Development
Education
Transportation and the Environment

July 5, 2019

Kathy Patterson
Auditor
Office of the District of Columbia Auditor
717 14th Street, N.W., Suite 900
Washington, D.C. 20005

Dear Auditor Patterson:

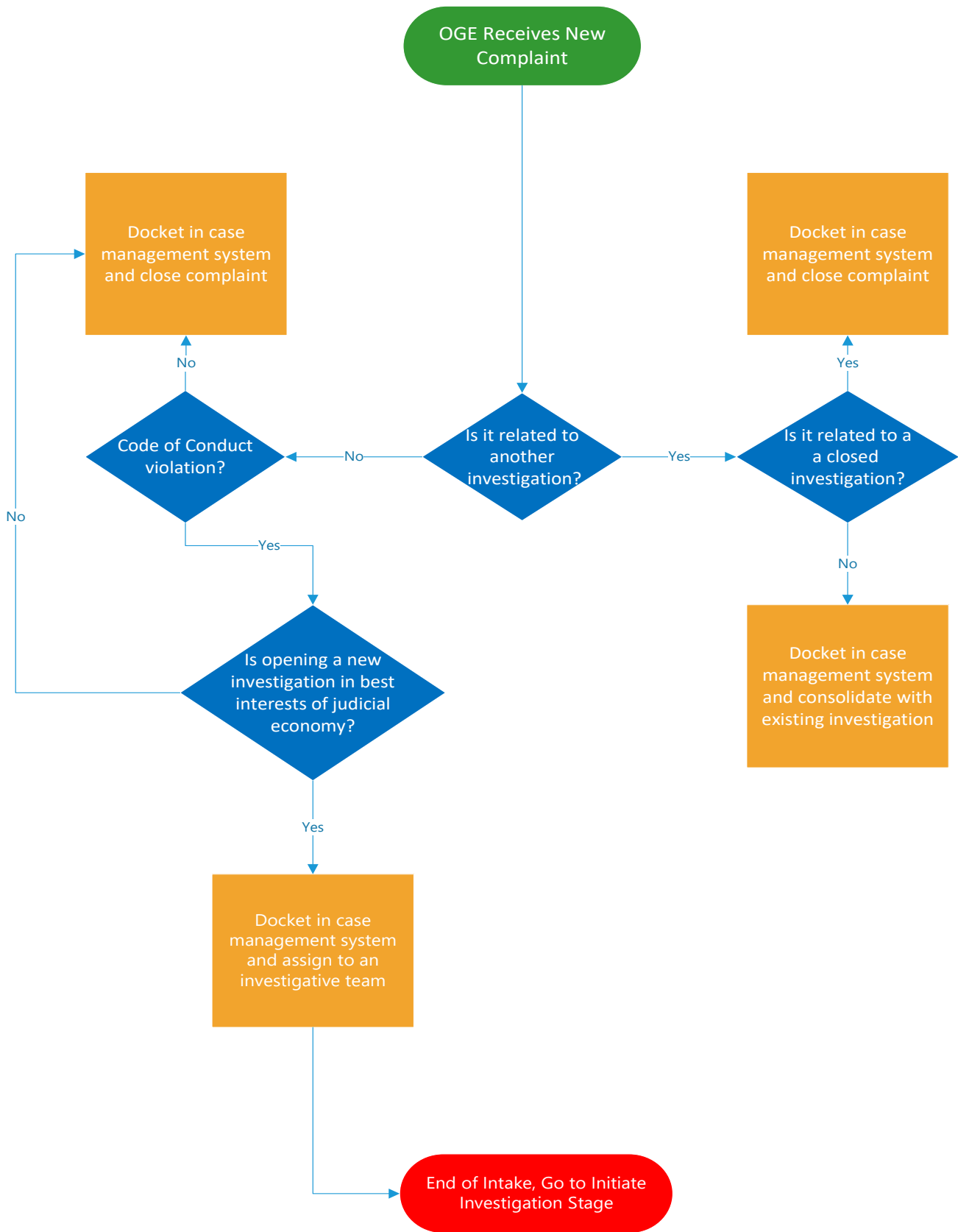
This follows up on our conversation today and your earlier memo. It would be useful to the Committee on the Judiciary and Public Safety and our oversight of the Board of Ethics and Government Accountability ("BEGA") for the Office of the D.C. Auditor to pursue a very focused review of BEGA's handling of the June 2018 complaint related to the Housing Production Trust Fund and the Department of Housing and Community Development. A letter report with your findings would be helpful to the Committee and to BEGA's Board itself as it oversees the agency's operations.

A handwritten signature in blue ink, appearing to read "Charles Allen".

Charles Allen, Ward 6 Councilmember
Chairperson, Committee on the Judiciary and Public Safety

Appendix B

New Complaint Intake Process at BEGA



About ODCA

The mission of the Office of the District of Columbia Auditor (ODCA) is to support the Council of the District of Columbia by making sound recommendations that improve the effectiveness, efficiency, and accountability of the District government.

To fulfill our mission, we conduct performance audits, non-audit reviews, and revenue certifications. The residents of the District of Columbia are one of our primary customers and we strive to keep the residents of the District of Columbia informed on how their government is operating and how their tax money is being spent.

Office of the District of Columbia Auditor

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Suite 900

Washington, DC 20005

Call us: 202-727-3600

Email us: odca.mail@dc.gov

Tweet us: https://twitter.com/ODCA_DC

Visit us: www.dcauditor.org



Information presented here is the intellectual property of the Office of the District of Columbia Auditor and is copyright protected. We invite the sharing of this report, but ask that you credit ODCA with authorship when any information, findings, or recommendations are used. Thank you.

D.C. Board of Ethics and Government Accountability FY2019

Agency D.C. Board of Ethics and Government Accountability

Agency Code AGO

Fiscal Year 2019

Mission The Board of Ethics and Government Accountability (BEGA) is responsible to administer and enforce the District of Columbia Code of Conduct and enforce government-wide compliance with the D.C. Freedom of Information Act and the Open Meetings Act.

2019 Strategic Objectives

Objective Number	Strategic Objective
1	Issue Ethics advice in an expeditious and consistent fashion.
2	Conduct timely and appropriate investigations and enforcement actions.
3	Conduct mandatory training on the Code of Conduct and produce ethics training materials.
4	Create and maintain a highly efficient, transparent and responsive District government.

2019 Key Performance Indicators

Measure	Directionality	FY 2016 Actual	FY 2017 Actual	FY 2018 Actual	FY 2019 Target
1 - Issue Ethics advice in an expeditious and consistent fashion. (3 Measures)					
Percent of formal written Advisory Opinions issued within 30 days of receipt of complete information from requester	Up is Better	100%	100%	100%	85%
Percent of advice queries received that were handled as informal rather than formal advice	Up is Better	Not Available	97.8%	99.9%	10%
Percent of formal written Advisory Opinions appealed to the Ethics Board	Up is Better	Not Available	0%	No applicable incidents	85%
2 - Conduct timely and appropriate investigations and enforcement actions. (3 Measures)					
Percent of investigations resolved by dismissal, negotiated disposition, or issuance of Notice of Violation within 120 days of initiation	Up is Better	83.3%	84.1%	80.8%	80%
Percent of enforcement actions that result in a sanction	Up is Better	Not Available	100%	100%	85%
Percent of final Ethics Board Orders issued within 45 days of close of hearing record	Up is Better	100%	100%	100%	85%
3 - Conduct mandatory training on the Code of Conduct and produce ethics training materials. (3 Measures)					
	Up is Better	Not Available	97.5%	97.6%	80%

Measure	Directionality	FY 2016 Actual	FY 2017 Actual	FY 2018 Actual	FY 2019 Target
Percent of evaluations completed by attendees with an overall positive rating of "3" or higher on the BEGA training evaluation form					
Percent of agency trainings held within 90 days of agency making the request	Up is Better	Not Available	100%	100%	90%
Percent of off site agency training requests granted	Up is Better	Not Available	100%	100%	90%

2019 Operations

Operations Header	Operations Title	Operations Description	Type of Operations
1 - Issue Ethics advice in an expeditious and consistent fashion. (1 Activity)			
BOARD OF ETHICS	Render Timely Advice. Respond to requests for informal ethics advice in a substantive and useful manner	Work with staff to ensure that ethics guidance is provided to government employees who seek ethics guidance.	Daily Service
2 - Conduct timely and appropriate investigations and enforcement actions. (1 Activity)			
BOARD OF ETHICS	Monitor and support ongoing investigations to ensure timely completion	Track progress throughout the year and work with staff to ensure movement.	Daily Service
3 - Conduct mandatory training on the Code of Conduct and produce ethics training materials. (1 Activity)			
BOARD OF ETHICS	Increase Training Sessions. Increase number of trainings available to District government employees	Allocate staff time to ensure availability when requests are made from client agencies.	Daily Service
4 - Create and maintain a highly efficient, transparent and responsive District government. (1 Activity)			
BOARD OF ETHICS	Ensure that every request for advice or information is acknowledged within 24 hours of receipt	Work with staff to ensure that either a substantive response is provided or, where that is not possible, an acknowledgment that the request is under review.	Daily Service

2019 Workload Measures

Measure	FY 2016	FY 2017	FY 2018
1 - Render Timely Advice. Respond to requests for informal ethics advice in a substantive and useful manner (3 Measures)			
Number of requests for informal ethics advice	690	700	805
Number of formal written advisory opinions issued pursuant to a request	4	12	1

Measure	FY 2016	FY 2017	FY 2018
Number of formal written advisory opinions issued on the agency's own initiative	2	2	0
2 - Monitor and support ongoing investigations to ensure timely completion (11 Measures)			
Number of complaints received	138	183	123
Number of preliminary investigations opened based on tips to the hotline	2	0	0
Number of preliminary investigations dismissed	34	24	12
Number of preliminary investigations opened based on information provided by means other than the hotline	85	33	37
Number of preliminary investigations converted to formal investigations	3	1	5
Number of preliminary investigations resolved with a negotiated disposition	12	9	4
Number of preliminary investigations resolved after an evidentiary hearing	0	0	1
Number of formal investigations initiated on intake	24	14	9
Number of formal investigations dismissed	17	12	11
Number of formal investigations resolved with a negotiated disposition	1	3	1
Number of formal investigations resolved after an evidentiary hearing	0	1	0
3 - Increase Training Sessions. Increase number of trainings available to District government employees (1 Measure)			
Number of trainings conducted	121	129	101
4 - Ensure that every request for advice or information is acknowledged within 24 hours of receipt (1 Measure)			
Number of formal advisory opinions issued	8	9	1

2019 Strategic Initiatives

Strategic Initiative Title	Strategic Initiative Description	Proposed Completion Date
No strategic initiatives found		



Agency Name

BEGA

Annual Freedom of Information Act Report for Fiscal Year 2019

October 1, 2018 through September 30, 2019

FOIA Officer Reporting Johnnie Barton

PROCESSING OF FOIA REQUESTS

- 1. Number of FOIA requests received during reporting period...11
2. Number of FOIA requests pending on October 1, 2018...0
3. Number of FOIA requests pending on September 30, 2019...0
4. The average number of days unfilled requests have been pending before each public body as of September 30, 2019...0

DISPOSITION OF FOIA REQUESTS

- 5. Number of requests granted, in whole...3
6. Number of requests granted, in part, denied, in part...2
7. Number of requests denied, in whole...1
8. Number of requests withdrawn...1
9. Number of requests referred or forwarded to other publicbodies...3
10. Other disposition...1

NUMBER OF REQUESTS THAT RELIED UPON EACH FOIA EXEMPTION

- 11.Exemption 1 - D.C. Official Code § 2-534(a)(1)... 0.
12.Exemption 2 - D.C. Official Code § 2-534(a)(2)... 0..
13. Exemption 3 - D.C. Official Code § 2-534(a)(3) 0
Subcategory (A)... 0
Subcategory (C) ...0
Subcategory (D) ...0
Subcategory (E) ...0
Subcategory (F) ...0
14.Exemption 4 - D.C. Official Code § 2-534(a)(4) ... 0
15. Exemption 5 - D.C. Official Code § 2-534(a)(5)... 0

16. Exemption 6 - D.C. Official Code § 2-534(a)(6)	
Subcategory (A).....	3
Subcategory (B).....	0
17. Exemption 7 - D.C. Official Code § 2-534(a)(7).....	0
18. Exemption 8 - D.C. Official Code § 2-534(a)(8).....	0
19. Exemption 9 - D.C. Official Code § 2-534(a)(9).....	0
20. Exemption 10 - D.C. Official Code § 2-534(a)(10).....	0
21. Exemption 11 - D.C. Official Code § 2-534(a)(11).....	0
22. Exemption 12 - D.C. Official Code § 2-534(a)(12).....	0

TIME-FRAMES FOR PROCESSING FOIA REQUESTS

23. Number of FOIA requests processed within 15 days.....	5
24. Number of FOIA requests processed between 16 and 25 days.....	2
25. Number of FOIA requests processed in 26 days or more.....	0
26. Median number of days to process FOIA Requests.....	3.5

RESOURCES ALLOCATED TO PROCESSING FOIA REQUESTS

27. Number of staff hours devoted to processing FOIA requests.....	33
28. Total dollar amount expended by public body for processing FOIA requests.....	2,772

FEEES FOR PROCESSING FOIA REQUESTS

29. Total amount of fees collected by public body.....	0
--	---

PROSECUTIONS PURSUANT TO SECTION 207(d) OF THE D.C. FOIA

30. Number of employees found guilty of a misdemeanor for arbitrarily or capriciously violating any provision of the District of Columbia Freedom of Information Act.....	0
---	---

QUALITATIVE DESCRIPTION OR SUMMARY STATEMENT

Pursuant to section 208(a)(9) of the D.C. FOIA, provide in the space below or as an attachment, “[a] qualitative description or summary statement, and conclusions drawn from the data regarding compliance [with the provisions of the Act].”

BEGA processed eleven (11) FOIA requests during FY2018 in a manner consistent with FOIA's statutory requirements.

Agency Name

BEGA

Freedom of Information Act Report for Fiscal Year 2020

October 1, 2019 through February 7, 2020

FOIA Officer Reporting Ashley Cooks

PROCESSING OF FOIA REQUESTS

- 1. Number of FOIA requests received during reporting period.....7
- 2. Number of FOIA requests pending on October 1, 2019.....0
- 3. Number of FOIA requests pending on February 7, 2020.....0
- 4. The average number of days unfilled requests have been pending before each public body as of February 7, 20200

DISPOSITION OF FOIA REQUESTS

- 5. Number of requests granted, in whole.....4
- 6. Number of requests granted, in part, denied, in part.....0
- 7. Number of requests denied, in whole.....2
- 8. Number of requests withdrawn.....0
- 9. Number of requests referred or forwarded to other publicbodies..... 1
- 10. Other disposition 0

NUMBER OF REQUESTS THAT RELIED UPON EACH FOIA EXEMPTION

- 11.Exemption 1 - D.C. Official Code § 2-534(a)(1)..... 0.
- 12.Exemption 2 - D.C. Official Code § 2-534(a)(2)..... 1
- 13. Exemption 3 - D.C. Official Code § 2-534(a)(3) 0
 - Subcategory (A)..... 0
 - Subcategory (B) 0
 - Subcategory (C)0
 - Subcategory (D) 0
 - Subcategory (E) 0
 - Subcategory (F) 0
- 14.Exemption 4 - D.C. Official Code § 2-534(a)(4) 0
- 15. Exemption 5 - D.C. Official Code § 2-534(a)(5)..... 0

16. Exemption 6 - D.C. Official Code § 2-534(a)(6)	
Subcategory (A).....	1
Subcategory (B).....	0
17. Exemption 7 - D.C. Official Code § 2-534(a)(7).....	0
18. Exemption 8 - D.C. Official Code § 2-534(a)(8).....	0
19. Exemption 9 - D.C. Official Code § 2-534(a)(9).....	0
20. Exemption 10 - D.C. Official Code § 2-534(a)(10).....	0
21. Exemption 11 - D.C. Official Code § 2-534(a)(11).....	0
22. Exemption 12 - D.C. Official Code § 2-534(a)(12).....	0

TIME-FRAMES FOR PROCESSING FOIA REQUESTS

23. Number of FOIA requests processed within 15 days.....	5
24. Number of FOIA requests processed between 16 and 25 days.....	2
25. Number of FOIA requests processed in 26 days or more.....	0
26. Median number of days to process FOIA Requests.....	8

RESOURCES ALLOCATED TO PROCESSING FOIA REQUESTS

27. Number of staff hours devoted to processing FOIA requests.....	22.5
28. Total dollar amount expended by public body for processing FOIA requests.....	1080

FEEES FOR PROCESSING FOIA REQUESTS

29. Total amount of fees collected by public body.....	0
--	---

PROSECUTIONS PURSUANT TO SECTION 207(d) OF THE D.C. FOIA

30. Number of employees found guilty of a misdemeanor for arbitrarily or capriciously violating any provision of the District of Columbia Freedom of Information Act.....	0
---	---

QUALITATIVE DESCRIPTION OR SUMMARY STATEMENT

Pursuant to section 208(a)(9) of the D.C. FOIA, provide in the space below or as an attachment, “[a] qualitative description or summary statement, and conclusions drawn from the data regarding compliance [with the provisions of the Act].”

BEGA processed eleven (7) FOIA requests during FY2020 in a manner consistent with FOIA's statutory requirements.

FOIA & OMA Compliance

Public Body	Notice	Agenda	Minutes	Notes	
Advisory Board on Veterans Affairs (OVA)					
	3-Oct-17	Yes	Yes	Yes	October 3, notice is under the ab for October 19, 2017
	2-Nov-17	Yes	Yes	Yes	
	4-Dec-17	Yes	Yes	No	Electronic meeting/ with pin and passcode; meeting was not held there was no quorum
	9-Jan-18	Yes	Yes	No	Electronic meeting/ with pin and passcode; meeting was not held there was no quorum
	6-Feb-18	Yes	Yes	No	Electronic meeting/ with pin and passcode; meeting was not held there was no quorum
	6-Mar-18	Yes	Yes	Yes	
	3-Apr-18	Yes	Yes	Yes	
	1-May-18	Yes	Yes	No	No quorum. Does notice the public that meetings did not occur.5 June 18
	5-Jun-18	Yes	Yes	Yes	
	10-Jul-18	Yes	Yes	No	No quorum. Does notice the public that meetings did not occur.5 June 18
	7-Aug-18	Yes	Yes	No	No quorum. Does notice the public that meetings did not occur
	6-Sep-18	Yes	Yes	Yes	
Advisory Committee on Administrative Hearings (OAH)					
	20-Mar-17	Yes	Yes	Yes	No meetings are noticed for the period Oct. 2017 -September 2018 DC Official Code Sec. 2-1831.17(f) requires the Committee to meet at a regular time and place
Advisory Committee to the Office of Lesbian, Gay, Bisexual, Transgender and Questioning Affairs (LGBTQ)					
					Per Mayor's order must meet at least twice a year. Has link to "Minutes of Public Meetings" but there are no minutes. Also publishes on CMC. Agenda does not reflect, date time or place of meeting for September 2017. Minutes to September Meeting are labeled draft. CMC has Meeting dates and times but draft agenda does not established by the Committee. Items were published own the entities' website for Mar 2017 Published meeting notice only on CMC Link at https://lgbtq.dc.gov/page/open-government-and-foia-lgbtq
	11-Sep-18	Yes	Yes	Yes	
	7-Aug-18	Yes	Yes	Yes	
	1-May-18	Yes	Yes	Yes	
	6-Feb-18	Yes	Yes	Yes	
	5-Sep-17	Yes	Yes	Yes	
Age Friendly DC in 2023 Task Force					
	22-Mar-18	No	No	Yes	Did not begin meeting until March 2018. Meeting notes for March 2018-March 2019 on https://agefriendly.dc.gov/page/age-friendly-dc-task-force
	6-Jun-18	No	No	Yes	Unable to locate any meeting notices or agendas on website8 Nov 2018
	2-Aug-18	No	No	Yes	
	8-Nov-18	No	No	Yes	
	3-Mar-19	No	No	Yes	
Alcohol Beverage Control Board (ABRA)					
		Yes	Yes	Yes, transcripts	Notices for protest hearings and renewal notices published for the period
Apprenticeship Council					
		No	No	No	Last posted meeting minutes were January 26, 2017. Nothing published for the period of review. Standard Notice that its meetings are held bi-monthly, not to where or what time. https://does.dc.gov/page/dc-apprenticeship-council-meeting-minutes
Armory Board					
					Entity may not exist is it now governed by Events DC? Not a public body but voluntarily has chosen to comply with the OMA. Enabling legislation reference on the CMC may be incorrect.
Behavioral Health Council					
					Need to contact
Bicycle Advisory Council					
					Exceptional!!!! http://www.bikedcbike.org/p/about-bac.html
	1-Nov-17	Yes	Yes	Yes	
	10-Jan-18	Yes	Yes	Yes	
	7-Mar-18	Yes	Yes	Yes	
	3-May-18	Yes	Yes	Yes	
	11-Jul-18	Yes	Yes	Yes	
	5-Sep-18	Yes	Yes	Yes	
Board for the Condemnation of Insanitary Buildings (BCB)					
		Yes	No	No	No poc on CMC. One meeting for the period held on March 7, 2018
Board of Accountancy					
					No address at DCRA under the "WELCOME"
	7-Sep-18	Yes	Yes	Yes	
	17-Aug-18	Yes	Yes	Yes	
	1-Jun-18	Yes	Yes	Yes	
	11-May-18	Yes	Yes	Yes	
	2-Mar-18	Yes	Yes	Yes	
	2-Feb-18	Yes	Yes	Yes	
	5-Jan-18	Yes	Yes	Yes	
	6-Dec-17	Yes	Yes	Yes	
	3-Nov-17	meeting occurred and noted on CMC			
	6-Oct-17	Yes	Yes	Yes	
Board of Architecture, Interior Design and Landscaping Architecture					
	14-Sep-18	Yes	Yes	unclear	Is the application list that is posted the minutes for this date? Minutes labeled final are stamped "draft"
	13-Jul-18	Yes	Yes	Yes	
	1-Jun-18	Yes	Yes	Yes	
	20-Apr-18	Yes	Yes	Yes	
	16-Mar-18	Yes	Yes	Yes	
		Yes	Yes	Did not meet, no quorum	
	23-Feb-18	Yes	Yes	Yes	
	26-Jan-18	Yes	Yes	Yes	
	15-Dec-17	Yes	Yes	Yes	
	17-Nov-17	Yes	Yes	Yes	
Board of Audiology and Speech-Language Pathology					
	18-Dec-17	Can't determine	Yes	Yes	https://dchealth.dc.gov/node/883062
	29-Oct-18	Can't determine	Yes	Yes	https://dchealth.dc.gov/node/883062
	6-Apr-18	Can't determine	Yes	?	No meeting minutes posted here
	17-Dec-18	Can't determine	Yes	?	No meeting minutes posted here
Board of Barber and Cosmetology					
		Yes	Yes	Did not meet, no quorum	reviews applications for licensure in closed session
	4-Sep-18	Yes	Yes	Yes	
	1-Oct-18	Yes	Yes	Yes	
	2-Jul-18	Yes	Yes	Yes	
	4-Jun-18	Yes	Yes	Yes	
	7-May-18	Yes	Yes	Yes	
		Yes	Yes	Did not meet, no quorum	
	9-Apr-18	Yes	Yes	Yes	
	5-Mar-18	Yes	Yes	Yes	
		Yes	Yes	Did not meet, no quorum	
	5-Feb-18	Yes	Yes	Yes	
		Yes	Yes	Did not meet, no quorum	
	8-Jan-18	Yes	Yes	Yes	
	4-Dec-17	Yes	Yes	Yes	
	6-Nov-17	Yes	Yes	Yes	
	10-Oct-17	Yes	Yes	Yes	
Board of Chiropractic					
					Notices were not available on the website for this meetir one meeting during the audit period
	13-Nov-18	Can't determine	Yes	Yes	
Board of Dentistry					
		Can't determine	Can't determine	Yes	notices and agendas not available on the website for the audit period
	25-Oct-17	Can't determine	Can't determine	Yes	Notice for the May 15, 2019 states: The DC Board of Dentistry regularly schedule meeting will be held Wednesday May 15, 2019. There is no mention of location or time and no draft meeting agenda. Meeting minutes go back to January 2017.
	19-Sep-18	Can't determine	Can't determine	Yes	
	18-Jul-18				
Board of Diets & Nutrition					
	14-Dec-17	Can't determine	Yes	No	Not available https://dchealth.dc.gov/page/board-os%20meeting%20minutes-Dietetics%20and%20Nutrition
	17-Jan-18	Can't determine	Yes	Yes	
	8-Mar-18	Can't determine	Yes	Yes	These meeting agenda do not give the proper complete citation for going into a closed session citation is for 2-575(b)
	13-Sep-18	Can't determine	Yes	Yes	
Board of Elections					
					Do agenda serve as public meeting notices?
	4-Oct-17	?	Yes	Yes	
	1-Nov-17	?	Yes	Yes	
	6-Dec-17	?	Yes	Yes	
	10-Jan-18	?	Yes	Yes	
	1-Feb-18	?	Yes	Yes	
	7-Mar-18	?	Yes	Yes	
	4-Apr-18	?	Yes	Yes	
	12-Apr-18	Yes	No		Public notice for nomination petition challenges
	2-May-18	?	Yes	Yes	
	6-Jun-18	?	Yes	Yes	
	13-Jun-18	Yes	Yes		Notice of Special Board Meeting

	7-Jul-18	Yes	Yes	Yes	
	8-Aug-18	Yes	Yes	Yes	
	29-Aug-18	Yes	Yes	Yes	Nominating Petition Challenge Hearing
	31-Aug-18	Yes	Yes	Yes	Nominating Petition Challenge Hearing
	5-Sep-18	Yes	Yes	Yes	Nominating Petition Challenge Hearing
	7-Sep-18	Yes	Yes	No	
	3-Oct-18	Yes	Yes	Yes	
BEGA					
	19-Oct-17	Yes	Yes	Yes	
	9-Nov-17	Yes	Yes	Yes	
	12-Dec-17	Yes	Yes	Yes	recorded minutes only on CMC
	10-Jan-18	Yes	Yes	Yes	recorded minutes only on CMC no meeting notice on CMC. Notice on BEA site is January 4, 2018
	1-Feb-18	Yes	Yes	Yes	recorded minutes only on CMC
	19-Mar-18	Yes	Yes	Yes	recorded minutes only on CMC
	5-Apr-18	Yes	Yes	Yes	recorded minutes only on CMC
	3-May-18	Yes	Yes	Yes	recorded minutes only on CMC
	11-May-18	Yes	Yes	Yes	recorded minutes only on CMC
	7-Jun-18	Yes	Yes	Yes	recorded minutes on both cites
	10-Aug-18	Yes	Yes	Yes	recorded minutes on both cites
	6-Sep-18	Yes	Yes	Yes	recorded minutes on both cites
Board of Funeral Directors					
	5-Oct-17	Yes	Yes	Yes	Standard Notice on its website https://www.dcopla.com/funeral/
	17-Nov-17	Yes	Yes	Yes	States meeting minutes are available on the CMC with a link to it.
	7-Dec-17	Yes	Yes	Yes	Has yearly calendar of meetings on CMC
	4-Jan-18	Yes	Yes	Yes	
	1-Feb-18	Yes	Yes	Yes	
	8-Mar-18	Yes	Yes	Yes	
	5-Apr-18	Yes	Yes	Yes	
	3-May-18	Yes	Yes	Yes	
	6-Jun-18	Did not meet			Did not cancel on websites
	16-Jul-18	Did not meet			Did not cancel on websites
	2-Aug-18	Yes	Yes	Yes	
	6-Sep-18	Yes	Yes	Yes	
Board of Industrial Trades					
	17-Oct-17	Yes	Yes	None	
	28-Nov-17	Yes	None	None	Link to agenda says "No Quorum"
	19-Dec-17	Yes	Yes	Yes	
	16-Jan-18	Yes	Yes	None	Link ro agenda says "No Quorum"
	20-Feb-18	Yes	Yes	Yes	
	27-Mar-18	Yes	Yes	Yes	
	17-Apr-18	Yes	Yes	Yes	
	15-May-18	Yes	None	None	
	19-Jun-18	Yes	None	None	
	17-Jul-18	Yes	Yes	Yes	
	21-Aug-18	Yes	None	None	
	19-Sep-18	Yes	Yes	Yes	
Board of Library Trustees					
		X	X	X	https://www.dclibrary.org/node/62712
					one agenda for 23 January 2019 see print out
					no documents available for the audit period
Board of Long Term Care Admin					
	11-Oct-17	none	none	Yes	No agendas or notices for 2018 or 2019 Board held no other meetings during 2017
Board of Marriage and Family Therapy					
		none available	1 meeting	during the period	none available
	7-Nov-17				Notice of intent to close does not comport with the OMA "pursuant to D.C. Officla Code 2-575B and for the purposeset forth therein" on Susequent agendas closed session does not comport with OMA. See note on Board of Marriage and Family Therapy.
Board of Massage Therapy					
					: period. Sept 2017 meeting minutes' notice of intent to enter
		none available	none available	none available	
Board of Medicine					
	25-Oct-17	none available	Yes	Yes	
	29-Nov-17	none available	Yes	Yes	
	9-Jan-18	none available	Yes	Yes	
	28-Feb-18	none available	Yes	Yes	
	28-Mar-18	none available	Yes	Yes	
	25-Apr-18	none available	Yes	Yes	
	30-May-18	none available	Yes	Yes	
	27-Jun-18	none available	Yes	Yes	
	25-Jul-18	none available	Yes	Yes	
	26-Sep-18	none available	Yes	Yes	
Board of Nursing					
					Website notice states that meetings take place at 899 N. CapitolStreet, NE, Wash DC
	4-Oct-17	None available	Yes	none	No date is given (this is under view published tab). Repeats tab lists Friday November 17, 2017 -10am to Sunday December 17, 2017 - 2PM
	1-Nov-17	None available	Yes	none	
	3-Jan-18	None available	Yes	none	Has minutes for March 14, 2018 but no agenda
	2-May-18	None available	Yes	none	
	11-Jul-18	None available	Yes	Yes	
	5-Sep-18	None available	Yes	Yes	
Board of Occupational Therapy					
					Minute meetings are not detailed
	18-Dec-17	Yes	Yes	Yes	Intent to enter closed session does not comport with the law
	26-Mar-18	Yes	Yes	Yes	
	11-Jun-18	Yes	Yes	Yes	
	17-Sep-18	Yes	Yes	Yes	
Board of Optometry					
					not published independently. Intent to enter closed session does not comport with the law
	19-Oct-17	None available	Yes	None available	Minutes for Oct 2017 are not detailed of prior month's agenda.
	19-Apr-18	None available	Yes	None available	
	19-Jul-18	None available	Yes	None available	
	18-Oct-19	None available	Yes	None available	
Board of Pharmacy					
					Website has 2017 meeting and notice it repeats. Use CMC should provide a link to it from there webpage
	2-Nov-17	Yes	Yes	Yes	
	7-Dec-17	Yes	Yes	Yes	
	4-Jan-18	Yes	No		
	1-Feb-18	Yes	Yes	Yes	
	1-Mar-18	Yes	Yes	None	
	5-Apr-18	Yes	Yes	Yes	3 separate entries on different dates that reference June 7, 2018 meeting
	7-Jun-18	Yes	Yes	Yes	on June 6, June , 7, and June 13.
	2-Aug-18	Yes	No	Yes	
Board of Physical Therapy					
					othing during the period is posted
Board of Podiatry					
					Notice of intent to enter closure are defective
	11-Jul-18	unclear	X	None	Did postp subcommittee meeting minutes for Feb. 21 18
	3-Jan-18	unclear	Yes	None	
	4-Apr-18	unclear	Yes	None	
Board of Professional Counseling					
					Improper closure citation is also cited in the meeting minutes. This on the Board's website
	13-Oct-17	Yes		Yes	Has Board meeting dates, no time or place
	8-Dec-17	Yes		Yes	Improper citation to enter closure.
	23-Feb-18	Yes		Yes	Improper citation to enter closure.
	9-Mar-18	Yes		Yes	Improper citation to enter closure.
	27-Apr-18	Yes		Yes	Improper citation to enter closure.
	8-Jun-18	Yes		Yes	Improper citation to enter closure.
	14-Sep-18	Yes		Yes	Improper citation to enter closure.
Board of Professional Engineering					
					link on their website provides access to documents on the CMC
					Must save documents that are on CMC first- documents don't open without first saving
	26-Oct-17	Yes	no	Yes	
	22-Feb-18	Yes	Yes	no	
	22-Mar-18	Yes	Yes	no	
	24-Apr-18	Yes	Yes	Yes	
	24-May-18	Yes	Yes	No	
	28-Jun-18	Yes	no	Yes	
	27-Sep-18	Yes	Yes	Yes	

Board of Psychology		Improper citation to enter closure			
	12-Oct-17	Yes	not sure	Yes	public notice only for current date
	4-Jan-18	Yes	Yes	Yes	
	19-Apr-18	Yes	Yes	Yes	
	26-Jul-18	Yes	Yes	Yes	
Board of Real Estate Appraisers		list meeting dates/public notice only for current year			
	10-Oct-17	Yes	Yes	Yes	
	15-Nov-17	Yes	Yes	Yes	
	20-Dec-17	Yes	Yes	Yes	
	17-Jan-18	Yes	Yes	none	
	21-Feb-18	Yes	Yes	Yes	
	28-Mar-18	Yes	Yes	Yes	
	18-Apr-18	Yes	Yes	Yes	
	16-May-18	Yes	Yes	Yes	
	20-Jun-18	Yes	Yes	Yes	
	18-Jul-18	Yes	Yes	Yes	
	19-Sep-18	Yes	Yes	Yes	
Board of Respiratory Care		none available for FY 17-18			
	9-Oct-18	Yes	Yes	aft but no	final agenda
	12-Dec-16	Yes	Yes		
Board of Review for Anti-Deficiency Violations		? ? ? Established in 47-355.07(a) (enabling legislation)			
					Cannot locate website. Does this board meet?
					Improper citation to enter executive session.
Board of Social Work					
	30-Oct-17	Yes	Yes	Yes	
	22-Jan-18	Yes	Yes	Yes	
	26-Feb-18	Yes	Yes	Yes	
	26-Mar-18	Yes	Yes	Yes	
	23-Apr-18	Yes	Yes	Yes	
	4-Jun-18	Yes	Yes	Yes	
	23-Jul-18	Yes	Yes	Yes	
	24-Sep-18	Yes	Yes	Yes	
Board of Trustees of UDC					
	10-Oct-17	listed as closed retreat			
	19-Sep-17	Yes	Yes	Yes	
	28-Nov-17	Yes	Yes	Yes	
	20-Jan-18	listed as closed retreat			
	21-Feb-18	Yes	Yes	Yes	
	17-Apr-18	Yes	Yes	Yes	
	26-Jun-18	Yes	Yes	Yes	
	26-Sep-18	Yes	Yes	Yes	
Board of Veterinary Medicine					
	19-Oct-17	Yes	Yes	has not time or date, but only the location	
	18-Jan-18	Yes	difficult to locate meeting minutes.		
	8-Feb-18	meeting cancelled			
	15-Mar-18	meeting cancelled			
	19-Apr-18	Yes			
	17-May-18	meeting cancelled			
	21-Jun-18	Yes			
	19-Jul-18	Yes			
	16-Aug-18	Yes			
	20-Sep-18		2018 meeting schedule takes you to the August 16, 2018 meeting.		this meeting is entitled summer recess and takes you to the previous month's meeting.
Board of Zoning Adjustment					
	30-Oct-17	? Come back		Ele. Recording	
	31-Oct-17			Ele. Recording	
	Nov 7-19, 18			Ele. Recording	
	Dec 3-19, 18			Ele. Recording	
	Jan 10-17, 18			Ele. Recording	
	Feb 5-28, 18			Ele. Recording	
	Mar 7-29, 18			Ele. Recording	
	Apr 9-30, 18			Ele. Recording	
	May 3-30, 18			Ele. Recording	
	June 6-25, 18			Ele. Recording	
	July 11-30, 18			Ele. Recording	
	Sept 6-26, 18			Ele. Recording	
Boxing and Wrestling Commission					
	26-Oct-17	Yes	Yes	Yes	Meeting starts with a motion to enter executive session
	16-Nov-17	Yes	Yes	Yes	Meeting starts with a motion to enter executive session
	14-Dec-17	Yes	Yes	Yes	
	21-Dec-17	cancelled			
	18-Jan-18	Yes	Yes	Yes	
	25-Jan-18	Yes	no	no	
	15-Feb-18	Yes	Yes	Yes	
	15-Mar-18	Yes	Yes	Yes	
	23-Apr-18	Yes	Yes	Yes	Meeting starts with a motion to enter executive session
	17-May-18	Yes	Yes	no	no minutes
	21-Jun-18	Yes	Yes	Yes	
	20-Sep-18	Yes	Yes	Yes	Meeting starts with a motion to enter executive session
Chesapeake Bay Program Citizens Advisory Committee		Mult-jurisdictional public body. Nothing on CMC. Cannot find a dc gov website			
Chesapeake Bay Program Local Government Advisory Committee		Mult-jurisdictional public body. Nothing on CMC. Cannot find a dc gov website			
Chesapeake Bay Scientific & Technical Advisory Committee		Mult-jurisdictional public body. Nothing on CMC. Cannot find a dc gov website			
Child Fatality Review Sub-Committee- Infant Mortality Review Team					
	3-Oct-17	Yes	no	no	
	19-Oct-17	Yes	no	no	
	11-Sep-18	Yes	no	no	
	7-Aug-18	Yes	no	no	
	20-Sep-18	Yes	no	no	
	16-Aug-18	Yes	no	no	
	10-Jul-18	Yes	no	no	
	19-Jul-18	Yes	no	no	
	5-Jun-18	Yes	no	no	
	6/21/2018	Yes	no	no	
	1-May-18	Yes	no	no	
	17-May-18	Yes	no	no	
	3-Apr-18	Yes	no	no	
	19-Apr-18	Yes	no	no	
	6-Mar-18	Yes	no	no	
	15-Mar-18	Yes	no	no	
	5-Jun-18	Yes	no	no	
	21-Jun-18	Yes	no	no	
	10-Jul-18	Yes	no	no	
	29-Jul-18	Yes	no	no	
	5-Dec-17	Yes	no	no	
	21-Dec-17	Yes	no	no	
	7-Nov-17	Yes	no	no	
	16-Nov-17	Yes	no	no	
	2-Jan-18	Yes	no	no	
	18-Jan-18	Yes	no	no	
	15-Feb-18	Yes	no	no	
Child Support Guideline Commission		no meetings were found for the period oct 2017-Sept 2018			
Citizen Review Panel for Child Abuse and Neglect		no meeting materials for the period were found http://www.dc-crp.org/			
Commission for National and Community Service		nothing at all for this public body			
Commission for Women		https://owpi.dc.gov/page/agenda-meeting-minutes			
	3-Oct-17	Yes	Yes	Yes	
	14-Nov-17	Yes	Yes	Yes	
	9-Jan-18	Yes	Yes	Yes	
	6-Feb-18	Yes	Yes	Yes	
	3-Apr-18	Yes	Yes	Yes	

Commission on African Affairs						
	4-Oct-17	Yes	Yes	Yes	only one meeting was published for period on the CMC	
	3-Jan-18	Yes	Yes	Yes		
	7-Feb-18	Yes	Yes	Yes	no meeting was held, no quorum	
	7-Mar-18	Yes	no	no		
	4-Apr-18	Yes	Yes	Yes		
	2-May-18	Yes	Yes	Yes		
	1-Aug-18	Yes	Yes	Yes		
Commission on African-American Affairs						
	28-Nov-18	Yes	Yes	none	no meeting was held, not quorum	
	19-Dec-18	Yes	Yes	none	only two meetings held during the period	
Commission on Aging						
	26-Sep-18	Yes	Yes	Yes	Meeting Notice was "For its December meeting, the DCOA will participate in the DC Aging Ambassadors Training	
	25-Jul-18	Yes	Yes	Yes		
	27-Jun-18	Yes	Yes	Yes		
	23-May-18	Yes	Yes	Yes		
	18-Apr-18	Yes	Yes	Yes		
	28-Mar-18	Yes	Yes	Yes		
	28-Feb-18	Yes	Yes	Yes		
	24-Jan-18	Yes	Yes	Yes		
	20-Dec-17	Yes	Yes	Yes		
	15-Nov-17	Yes	Yes	Yes		
	25-Oct-17	Yes	Yes	Yes		
Commission on Asian and Pacific Islander Community Development						
	18-Oct-17	Yes	Yes	No		
	15-Nov-18	Yes	Yes	Yes		
	20-Dec-17	Yes	Yes	Yes		
	17-Jan-18	Yes	Yes	No		
	27-Feb-18	Yes	Yes	Yes		
	28-Mar-18	Yes	Yes	Yes		
	18-Apr-18	Yes	Yes	Yes		
Commission on Climate Change and Resiliency						
					Video from first meeting cannot be viewed	
	7-Mar-18	Yes	none	not able to access recording	https://shared-assets.adobe.com/link/ab794a0d-7c83-472a-48f9-a085ef6d5506	
	6-Jun-18	Yes	Yes	Yes	published on youtube; link on CMC takes you to youtube video of meeting	
	5-Sep-18	Yes	Yes	none	meeting notice has "TBD" as meeting place	
Commission on Fashion Arts and Events						
					no meetings during audit period	
Commission on Fathers, Men and Boys						
	18-Oct-17	Yes	Yes	Yes	Agenda is for 6/28/17	
	15-Dec-17	Yes	Yes	Yes		
	27-Feb-18	Yes	Yes	Yes		
	4-Apr-18	none	none	Yes		
	14-Jun-18	none	none	Yes		
	27-Feb-18	none	none	Yes		
Commission on Health Equity						
		Yes	Yes	none	there is a website but unable to locate minutes or agendas and notice one meeting published on CMC	
Commission on Human Rights						
	8-Nov-17	Yes	Yes	Yes		
	10-Jan-18	Yes	Yes	Yes		
	4-Mar-18	Yes	Yes	Yes		
	9-May-18	Yes	Yes	Yes		
	12-Sep-18	Yes	Yes	Yes		
Commission on Judicial Disabilities and Tenure						
					Nothing published https://cjd1.dc.gov/page/open-government-and-foia-cjdt See OOG opinion which mentions this public body	
Commission on Latino Community Development						
	16-Nov-17	Yes	Yes	none	Must download then open documents	
	19-Oct-17	Yes	Yes	Yes		
	13-Dec-18	Yes	Yes	Yes		
	16-Aug-18	Yes	Yes	Yes		
Commission on Out of School Time Grants and Youth Outcomes						
	6-Sep-18	Yes	Yes	Yes		
	16-Aug-18	Yes	Yes	Yes		
	19-Jul-18	Yes	Yes	Yes		
	28-Jun-18	Yes	Yes	Yes		
	17-May-18	Yes	Yes	Yes		
	19-Apr-18	Yes	Yes	Yes		
	22-Mar-18	Yes	Yes	Yes		
	26-Feb-18					
Commission on Persons with Disabilities						
	23-Aug-18	Yes	Yes	Yes		Must download documents to open for some not all info appears to publish minutes a month after the meeting
	26-Jul-18	Yes	Yes	Yes		
	25-May-18	Yes	Yes	Yes		
	27-Apr-18	Yes	Yes	Yes		
	22-Mar-18	Yes	Yes	Yes		
	22-Feb-18	Yes	Yes	Yes		
	25-Jan-18	Yes	Yes	Yes		
	28-Dec-17	Yes	Yes	Yes		
Commission on Re-Entry and Returning Citizen Affairs						
	5-Oct-17	Yes	Yes	Yes	must save some documents to open	
	9-Nov-17	Yes	Yes	Yes		
	14-Dec-17	Yes	Yes	Yes		
	11-Jan-18	Yes	Yes	Yes		
	8-Feb-17	Yes	Yes	Yes		
	8-Mar-18	Yes	Yes	Yes		
	5-Apr-18	Yes	no	no		
	10-May-18	Yes	no	no		
	7-Jun-18	Yes	no	no		
	5-Jul-18	Yes	Yes	Yes		
	2-Aug-18	Yes	no	no		
	13-Sep-18	Yes	Yes	no		
Commission on Selection and Tenure of Administrative Law Judges of the Office						
	7-Aug-18	Yes	Yes	no	COST meets as necessary	
	12-Jul-18	Yes	Yes	Yes		
	1-Mar-18	Yes	Yes	Yes		
	26-Mar-18	Yes	Yes	Yes		
	15-Nov-17	Yes	Yes	Yes		
Commission on the Arts and Humanities						
	19-Oct-17	Yes	Yes	Yes	agenda does not cite reason for closure with statute	
	16-Nov-17	Yes	Yes	Yes		
	14-Dec-17	Yes	Yes	Yes		
	18-Jan-18	Yes	Yes	Yes		
	28-Feb-18	Yes	Yes	Yes		
	22-Mar-18	Yes	Yes	Yes		
	26-Apr-18	Yes	Yes	Yes		
	24-May-18	Yes	Yes	Yes		
	21-Jun-18	Yes	Yes	Yes		
	7-Jul-18	Yes	Yes	Yes		
	20-Sep-18	Yes	Yes	Yes		
Commission on the Martin Luther King, Jr. Holiday						
					No meetings during the period, has not met since June 4, 2014 https://os.dc.gov/publications?after[value][date]=&before[value][date]=&keys=&type=78&sort_by=field_date_value&sort_order=DESC	
Commission to Commemorate and Recognize the Honorable Marion S. Barry, Jr.						
					Sunset provision in Mayor's order 2015-081. Commission no longer exists.	
Committee on Metabolic Disorders						
					Unable to locate a website for this public body. They do not publish on the CMC	
Comprehensive Homicide Elimination Strategy Task Force						
	6-Mar-18	Yes	Yes	none	no additional information was for the public body was found	

Concealed Pistol Licensing Review Board					
	28-Aug-18	Yes	no	no	does list of cases constitute an agenda?
	22-Feb-18	Yes	no	no	
Condominium Association Advisory Council					
Unable to locate a website for this public body. They do not publish on the CMC					
Common Lottery Review Board					
	1-Mar-18	Yes	Yes	none	one meeting during the period
Construction Codes Coordinating Board					
	20-Sep-18	Yes	Yes	Yes	
	16-Aug-18	Yes	none	none	meeting was cancelled
	19-Jul-18	Yes	Yes	Yes	
	23-May-18	Yes	?	?	
	17-May-18	Yes	Yes	Yes	conference call-description states there will be a conference call on tall timber proposals. Does this constitute an agenda
	3-May-18	Yes	Yes	Yes	
	21-Jun-18	Yes	Yes	Yes	
	4-Jun-18	Yes	?	none	does item to be discussed with no more constitute an agenda>
	4-Apr-18	Yes	none	none	meeting was cancelled
	15-Mar-18	Yes	none	none	meeting was cancelled
	1-Mar-18	Yes	Yes	Yes	
	15-Feb-18	Yes	Yes	Yes	meeting was cancelled
	8-Feb-18	Yes	Yes	Yes	
	1-Feb-18	Yes	Yes	Yes	
	11-Jan-18	Yes	Yes	Yes	
	19-Dec-18	Yes	Yes	Yes	
	13-Dec-17	Yes	Yes	Yes	
	16-Nov-17	Yes	Yes	Yes	
	19-Oct-17	Yes	Yes	Yes	
Contract Appeals Board					
?					
Corrections Information Council					
publishing on its website and cmc					
	17-Sep-18	Yes	Yes	none	notice to enter closure does not comport with the law
	27-Jul-18	Yes	Yes	none	
	7-Jun-18	Yes	Yes	none	
	13-Mar-18	Yes	Yes	none	
Criminal Code Reform Commission					
	5-Sep-18	Yes	Yes	Yes	
	1-Aug-18	Yes	Yes	Yes	
	11-Jul-18	Yes	Yes	Yes	
	6-Jun-18	Yes	Yes	Yes	
	2-May-18	Yes	Yes	Yes	
	4-Apr-18	Yes	Yes	Yes	
	7-Mar-18	Yes	Yes	Yes	
	7-Feb-18	Yes	Yes	Yes	
	3-Jan-18	Yes	Yes	Yes	
	6-Dec-17	Yes	Yes	Yes	
	1-Nov-17	Yes	Yes	Yes	
	4-Oct-17	Yes	Yes	Yes	
Criminal Justice Coordinating Council					
	2-Nov-17	ink states page no longer available			https://cjcc.dc.gov/node/1299321
	4-Apr-18	Yes	Yes	Yes	
Cross-Sector Collaboration Task Force					
	1-Aug-18	Yes	Yes	Yes	
	8-Aug-18	Yes	Yes	Yes	
	15-Aug-18	meeting cancelled			
	22-Aug-18	Yes	Yes	Yes	
	29-Aug-18	Yes	Yes	Yes	
DC Children and Youth Investment Trust					
cannot locate a website. They do not publish on the CMC					
Corporation Board of Directors					
DC Retirement Board					
	19-Oct-17	Yes	Yes	Yes	
	28-Sep-17	Yes	Yes	Yes	
	27-Jul-17	Yes	Yes	Yes	
	18-May-17	Yes	no agenda	Yes	
	20-Apr-17	Yes	no agenda	Yes	
	16-Mar-17	Yes	no agenda	Yes	
	3-Feb-17	Yes	no agenda	Yes	
DC State Board of Education					
	18-Oct-17	Yes	Yes	none	
	15-Nov-17	Yes	Yes	none	
	20-Dec-17	Yes	Yes	none	
	21-Feb-18	Yes	Yes	none	
	21-Mar-18	Yes	Yes	none	
	18-Apr-18	Yes	Yes	none	
	16-May-18	Yes	Yes	none	
	20-Jun-18	Yes	Yes	none	
	18-Jul-18	Yes	Yes	none	
	20-Sep-18	Yes	Yes	none	
Developmental Disabilities Fatality Review Committee					
	20-Oct-17	Yes	none	none	
	17-Nov-17	Yes	none	none	
	19-Jan-18	Yes	none	none	
	16-Mar-18	Yes	none	none	
	20-Apr-18	Yes	none	none	
	18-May-18	Yes	none	none	
	18-Jun-18	Yes	none	none	
	20-Jul-18	Yes	none	none	
	17-Aug-18	Yes	none	none	
	21-Sep-18	Yes	none	none	
Developmental Disabilities State Planning Council					
last meetings listed on their website is 9/16/15. Has agenda and minutes for this date					
District of Columbia Commemorative Works Committee					
last meeting on the CMC and their website is June 15, 2017.					
District of Columbia Educational Opportunity for Military Children State Council					
need to research this public body.					
District of Columbia Emancipation Day Commission					
Commission may no longer meet. See Mayor's Order 2015-126.					
District of Columbia Housing Preservation Strike Force					
Public body may not longer meet. See Mayor's Order 2015-158					
District of Columbia State Athletics Commission					
???????					
District of Columbia Taxicab Commission (DCTC)					
D.C. Law 21-0124, renames the "Taxicab Commission" to the "Department of For Hire Vehicles."					
Domestic Violence Fatality Review Board					
?					
Eastren Marker Community Advisory Committee					
bad links to past meeting minutes. 2018 links may work					
Emergency Medical Services Advisory Committee					
Notices on CMC. Meeting minutes Begin September 2016 through April 2012 are on their website					
	2-Nov-17	Yes	No	No	https://dchealth.dc.gov/publication/emsac-meeting-minutes
	13-Sep-18	Yes	Yes	No	13 Sep 18 agenda on the CMC
	12-Jul-18	Yes	No	NO	Links for this Meeting on the CMC take you to the DOH website; calendar link takes you nowhere
	14-Jun-18	Yes	Yes	Yes	Draft meeting agenda for this date did not open
	3-May-18	Yes	no	no	links on notice take you to DOH website and the calendar link is inoperable
	5-Apr-18	Yes	no	no	links on notice take you to DOH website and the calendar link is inoperable
	1-Mar-18	Yes	no	no	links on notice take you to DOH website and the calendar link is inoperable
	1-Feb-18	Yes	Yes	no	links on notice take you to DOH website and the calendar link is inoperable
Financial Literacy Council					
	16-Nov-17	Yes	Yes	Yes	
	18-Jan-18	Yes	Yes	Yes	
	19-Apr-18	Yes	Yes	Yes	
	19-Jul-18	Yes	Yes	Yes	
Food Policy Council					

	13-Jun-18	Yes	Yes	Yes	Notice and agenda on the CMC. Minutes on their website
	11-Apr-18	Yes	Yes	Yes	Notice and agenda on the CMC. Minutes on their website
	7-Feb-18	Yes	Yes	Yes	Notice and agenda on the CMC. Minutes on their website
	6-Dec-17	Yes	Yes	Yes	Notice and agenda on the CMC. Minutes on their website
	4-Oct-17	Yes	Yes	Yes	Notice and agenda on the CMC. Minutes on their website
For Hire Vehicle Advisory Council					
	18-Oct-17	No	No	Yes	under meetings tab you are unable to find meetings using the calendar function
	22-Jun-17	No	No	Yes	
	22-Mar-18	No	No	Yes	
	21-Jun-18	No	No	Yes	
	25-Sep-18	No	No	Yes	
Green Bank Authority Board					
					https://dcgreenbank.org/ Nothing on website of cmc
Green Building Advisory Council					
	4-Oct-17	Yes	Yes	Yes	
	6-Dec-17	Yes	Yes	Yes	
	7-Feb-18	Yes	Yes	Yes	
	4-Apr-18	Yes	Yes	Yes	
	6-Jun-18	Yes	Yes	Yes	
Health Benefit Exchange Authority Executive Board					
	11-Oct-17	Yes	Yes	Yes	
	8-Nov-17	Yes	Yes	Yes	
	14-Nov-17	Yes	Yes	Yes	
	6-Dec-17	Yes	Yes	Yes	
	10-Jan-18	Yes	Yes	Yes	
	21-Feb-18	Yes	Yes	Yes	
	14-Mar-18	Yes	Yes	Yes	
	11-Apr-18	Yes	Yes	Yes	
	9-May-18	Yes	Yes	Yes	
	13-Jun-18	Yes	Yes	Yes	
	18-Jul-18	Yes	Yes	Yes	
	12-Sep-18	Yes	Yes	Yes	
	17-Oct-18	Yes	Yes	Yes	
Health Information Exchange Policy Board					
	20-Sep-18	Yes	Yes	Yes	Also notices on the CMC, mntes and additional notice, agenda are at https://dhcf.dc.gov/sites/default/files/dc/sites/dhcf/page_content/attachments/DC%20HIE%20Policy%20Board%20Meeting_%20Meeting%20Agenda_September%2020%2C%202018%20.pdf
	19-Jul-18	Yes	Yes	Yes	
	26-Apr-18	Yes	Yes	yes	
	25-Jan-18	Yes	Yes	yes	
Healthcare Alliance Pharmacy and Therapeutics Committee					
					?
Healthy Youth and Schools Commission					
	18-Oct-17	Yes	Yes	Yes	
	22-Aug-18	Yes	Yes	Yes	
	25-Apr-18	Yes	Yes	Yes	
	24-Jan-18	Yes	Yes	Yes	
Higher Education Licensure Commission					
	13-Sep-18	Yes	No	No	Notice on CMC
	20-Sep-18	Yes	No	No	Notice on CMC
	14-Aug-18	Yes	No	No	Notice on CMC. There are two times listed for this meeting ; 3 and 930
	12-Jul-18	Yes	No	NO	Notice on CMC
	7-Jun-18	Yes	no	no	Notice on CMC
	3-May-18	Yes	No	No	notice on CMC
	12-Apr-18	Yes	No	NO	Notice on CMC
	1-Mar-18	Yes	No	No	Notice on CMC
	2-Nov-17	Yes	No	No	Notice on CMC
Historic Preservation Review Board					
	27-Sep-18	Yes	Yes	Yes	
	2-Aug-18	Yes	yes	Yes	
	26-Jul-18	Yes	Yes	Yes	
	28-Jun-18	Yes	Yes	Yes	
	3-May-18	Yes	Yes	Yes	
	24-May-18	Yes	Yes	Yes	
	31-May-18	Yes	Yes	Yes	
	26-Apr-18	Yes	Yes	Yes	
	1-Mar-18	Yes	Yes	Yes	
	22-Mar-18	Yes	Yes	Yes	
	29-Mar-18	Yes	Yes	Yes	
	1-Feb-18	Yes	Yes	Yes	
	22-Feb-18	Yes	Yes	Yes	
	25-Jan-18	Yes	Yes	Yes	
	21-Dec-17	Yes	Yes	Yes	
	2-Nov-17	Yes	Yes	Yes	
	16-Nov-17	Yes	Yes	Yes	
	30-Nov-17	Yes	Yes	Yes	November 30th meeting was consolidated with Noveember 16th meeting
	5-Oct-17	Yes	Yes	?	
	26-Oct-17	Yes	Yes	Yes	
Homeland Security Commission					
					publishes on CMC Had introductions and then went directly into closed session
	20-Aug-18	Yes	NO	Yes	
	12-Jul-18	Yes	No	Yes	
	27-Jul-18	Yes	NO	Yes	
	8-Jun-18	cancelled and noted.	cancelled	cancelled	meeting was cancelled and noted on the CMC
	13-Jun-18	Yes	NO	Yes	
	21-Jun-18	Yes	NO	Yes	
	6-Apr-18	cancelled and noted.	cancelled	cancelled	meeting was cancelled and noted on the CMC
	20-Apr-18	Yes	NO	Yes	
	23-Apr-18	Yes	NO	Yes	
	8-Dec-17	Yes	NO	Yes	
Housing Authority Board of Commissioners					
	11-Oct-17	(Brown Bag Meeting)	Yes	Yes	The Board went into a closed session that appears improper. There was no notice of intent to close on the meeting agenda. Need to determine if Brown Bag meetings are open to the public.
	8-Nov-17	Yes	Yes	Yes	
	5-Dec-17	Yes. Special meeting	Yes	Yes	
	13-Dec-17	Yes	Yes	Yes	
	14-Feb-18	Yes	Yes	Yes	
	9-May-18	?	Yes	?	
	13-Jun-18	?	Yes	Yes	
	11-Jul-18	?	Yes	Yes	
	19-Sep-18	? Emergency Meeting	Yes	Yes	
Housing Finance Agency Board of Directors					
	10-Oct-17	Yes	Yes		There are no past meeting notices published for the review period
	24-Oct-17	Yes	Missing		November 14, 2017 agenda appears under the October 10, 2017 tab
	14-Nov-17	Yes	Missing		
	28-Nov-17	Yes	Missing		
	30-Nov-17	Yes	Missing		
	1-Dec-17	Yes	Missing		
	12-Dec-17	Yes	Yes		
	19-Dec-17	Yes	Missing		
	9-Jan-18	Yes	Yes		
	23-Jan-18	Yes	Missing		
	13-Feb-18	Yes	Missing		
	27-Feb-18	Yes	Missing		
	8-Mar-18	Yes	Missing		
	27-Mar-18	yes	Missing		
	10-Apr-18	yes	Missing		
	24-Apr-18	yes	Missing		
	8-May-18	yes	Missing		
	22-May-18	Yes	Yes		
	30-May-18	Yes	Yes		
	12-Jun-18	Yes	Yes		
	26-Jun-18	Yes	Yes		
	10-Jul-18	Yes	Yes		
	24-Jul-18	Yes	Yes		
	14-Aug-18	Yes	Yes		
	28-Aug-18	Yes	Yes		
	11-Sep-18	Yes	Yes		

25-Sep-18 Yes Yes

Housing Production Trust Fund Board					No meeting information for the review period is present on their website or the CMC
Humanities Council of Washington, D.C.					Can't locate any information for this entity on their website or the CMC
Innovation and Technology Inclusion Council					Can't locate any information for this entity on their website or the CMC
Interagency Coordinating Council					
Interagency Council on Homelessness					No materials are published for the period or review
Interstate Commission on the Potomac River Basin					Note this is a regional body. This meeting took place in Rockville, MD
Judicial Nomination Commission					No materials are published for the period of review
Juvenile Abscondence Review Committee					No materials are published for the period of review
Juvenile Justice Advisory Group					No materials are published for the period of review
Kennedy Street NW Economic Development and Small Business Revitalization Advisory Committee					Meets quarterly.
	3-Mar-18	Yes	Yes	Yes	
Lactation Commission					Great!!!!
	23-Oct-17	yes	yes	yes	
	27-Nov-17	yes	yes	yes	
	18-Dec-17	yes	yes	yes	
	22-Jan-18	yes	yes	yes	
	26-Feb-18	yes	yes	yes	
	26-Mar-18	yes	yes	yes	
	23-Apr-18	yes	yes	yes	
	21-May-18	yes	yes	yes	
	25-Jun-18	yes	yes	yes	
	23-Jul-18	yes	yes	yes	
	27-Aug-18	yes	yes	yes	
	24-Sep-18	yes	yes	yes	
Leadership Committee for a Cleaner Anacostia River					
	13-Sep-18	yes	yes	yes	
	14-Jun-18	yes	yes	yes	
	8-Mar-18	yes	yes	no	
	14-Dec-17	yes	yes	yes	
Lottery and Charitable Games Control Board					not able to locate any records
Major Crash Review Task Force					
	29-Aug-18	yes	yes	yes	agenda does not reflect reason for entering closure/check enabling legislation
	25-Jul-18	yes	yes	yes	agenda does not reflect reason for entering closure/check enabling legislation
Marijuana Private Club Task Force					Sunset provision in Mayors Order 2016-032 (may no longer exists)
Maternal Mortality Review Committee					no meetings during the review period
Mayor's Advisory Commission on Caribbean Community Affairs					
	17-Oct-17	yes	yes	no quorum	
	14-Nov-17	yes	yes	yes	
	27-Feb-18	yes	yes	yes	
	17-Apr-18	yes	yes	yes	
Mayor's Advisory Committee on Child Abuse and Neglect					
	25-Sep-18	yes	yes	yes	
	24-Jul-18	yes	yes	yes	
	27-Mar-18	yes	yes	yes	
	23-Jan-18	yes	yes	yes	
	5-Dec-17	yes	yes	yes	
Mayor's Bullying Prevention Task Force					not able to locate records
Mayor's Council on Physical Fitness, Health, and Nutrition					Notices on CMC; minutes and agenda on https://dmhhs.dc.gov/physicalfitness published on CMC and its website
	8-Aug-18	yes	yes	yes	
	16-May-18	yes	yes	yes	
	8-Nov-17	yes	no	no	
Mayor's Interfaith Council					
	16-Nov-17	yes	no quorum		
	25-Jan-18	yes	yes	yes	
	22-Mar-18	Yes	no quorum		
	27-Apr-18	yes	no quorum		
	24-May-18	yes	no quorum		
	29-Jun-18	yes	no quorum		
Metropolitan Washington Airports Authority Board of Directors					This is a regional entity Notices and agenda not found for the review period are published for subsequent meetings
	18-Oct-17	yes			
	15-Nov-17	yes			
	3-Dec-17	yes			
	17-Jan-18	yes			
	21-Feb-18	yes			
	21-Mar-18	yes			
	18-Apr-18	yes			
	16-May-18	yes			
	20-Jun-18	yes			
	18-Jul-18	yes			
	18-Sep-18	yes			
Metropolitan Washington Council of Governments					COG is an independent, nonprofit association founded in 1957
Multimodal Accessibility Advisory Council					I found no information on this entity's meetings.
National Capital Planning Commission					This is a federal entity which was established by Congress
New Columbia Statehood Commission					
	6-Dec-17	yes	yes	yes	
Not-for-Profit Hospital Corporation Board of Directors					unable to locate any notices or agenda for the review period
	16-Nov-17	yes			
	13-Dec-17	yes			
	26-Jan-18	yes			
	28-Mar-18	yes			
	25-Apr-18	yes			
	23-May-18	yes			
	27-Jun-18	yes			
	25-Jul-18	yes			
	26-Sep-18	yes			
Notaries Public Board of Review					Unable to locate any information on this entity
Office of Employee Appeals					
	7-Nov-17	yes	yes	yes	
	19-Dec-17	yes	yes	yes	
	11-Jul-17	yes	yes	yes	
	6-Jun-17	yes	yes	yes	
	18-Apr-17	yes	yes	yes	
	7-Mar-17	yes	yes	yes	
	24-Jan-17	yes	yes	yes	
	4-Sep-18	yes	yes	yes	
	5-Jun-18	yes	yes	yes	
	24-Apr-18	yes	yes	yes	
	20-Mar-18	yes	yes	yes	
Office to Affordable Housing Task Force					website states final meeting of the task force was January 17, 2019.
Open Government Advisory Group					Also published on https://ogag.dc.gov/event/open-government-advisory-group
	25-Jan-18	yes	no	yes	
	18-May-17	yes	yes	no	
	6-Apr-17	yes	yes	no	
	23-Feb-17	yes	yes	no	
	12-Jan-17	yes	yes	yes	
	24-Jan-17	yes	yes	yes	

Other Post-Employment Benefits Funds Advisory Committee		unable to locate anything for this body		
Our Schools Leadership Committee				
	9-Jul-18	yes	yes	yes
	30-Jul-18	yes	yes	yes
	9-Oct-18	yes	yes	yes
	29-Oct-18	yes	yes	yes
Pedestrian Advisory Council				
	23-Oct-17	yes	yes	yes
	20-Nov-17	yes	yes	yes
	18-Dec-17	yes	yes	yes
	22-Jan-18	yes	yes	yes
	26-Feb-18	yes	yes	yes
	26-Mar-18	yes	yes	yes
	23-Apr-18	yes	yes	yes
	21-May-18	yes	yes	yes
	25-Jun-18	yes	yes	yes
	23-Jul-18	yes	yes	yes
	23-Aug-18	yes	yes	yes
	24-Sep-18	yes	yes	yes
Police and Firefighters Retirement and Relief Board				
	19-Oct-17	yes	yes	yes
	28-Sep-17	yes	yes	yes
	22-Jun-17	yes	yes	yes
	18-May-17	yes	yes	yes
	20-Apr-17	yes	yes	yes
	16-Mar-17	yes	yes	yes
	3-Feb-17	yes	yes	yes
	26-Jan-17	yes	yes	yes
	20-Dec-18	yes	yes	yes
	15-Nov-18	yes	yes	yes
	20-Sep-18	yes	yes	yes
Pretrial Services Agency Executive Committee		????????????????		
Procurement Accountability Review Board				
	13-Dec-17	?	?	yes
No meetings January 1, 2018-June 3-2018				
Public Charter School Board		Several meetings were noticed as closed allow the body went first met in open session. No meetings minutes in some cases.		
	18-Oct-17	yes	yes	yes
	23-Oct-17	yes	yes	yes
		yes	yes	none-listed as closed session
	15-Nov-17	yes	yes	yes
	20-Nov-17	yes	yes	none-listed as closed session
	13-Dec-17	yes	yes	none-listed as closed session
	17-Dec-17	yes	yes	none-listed as closed session
	18-Dec-17	yes	yes	yes
	11-Jan-18	yes	yes	yes
	22-Jan-18	yes	yes	yes
	27-Jan-18	yes	yes	none-listed as closed session
	26-Feb-18	yes	yes	none-listed as closed session
	12-Mar-19	yes	yes	yes
	19-Mar-18	yes	yes	yes
	5-Apr-18	yes	yes	aring no meeting minutes were found
	23-Apr-18	yes	yes	- listed as public hearing
	11-Apr-18	yes	yes	wn hall no minutes were found
	21-May-18	yes	yes	yes
	25-Jun-18	yes	yes	yes
	29-Jun-18	yes	yes	yes
	23-Jul-18	yes	yes	yes
	27-Jul-18	yes	yes	e-listed as closed session
	17-Sep-18	yes	yes	yes
Public Charter School Credit Enhancement Committee		on CMC could find nothing elsewhere		
	20-Sep-18	yes	no	no
	22-Aug-18	yes	yes	no
	26-Jul-18	yes	yes	no
	21-Jun-18	yes	yes	no
	17-May-18	yes	no	no
	19-Apr-18	yes	yes	no
	26-Apr-18	yes	yes	no
	15-Mar-18	yes	yes	no
	15-Feb-18	yes	yes	no
	18-Jan-18	yes	no	no
Public Defender Service Board of Trustees		Could not locate any information https://www.pdsdc.org/about-us/leadership/board-of-trustees		
Public Employee Relations Board		unable to locate notice and agenda https://perb.dc.gov/page/perb-meeting-minutes		
	19-Oct-17			yes
	30-Nov-17			yes
	21-Dec-17			yes
	18-Jan-19			yes
	21-Feb-18			yes
	27-Mar-18			yes
	26-Apr-18			yes
	17-May-18			yes
	20-Jun-18			yes
	26-Jul-18			yes
	16-Aug-18			yes
	27-Sep-18			yes
Public Service Commission				
	8-Nov-17	Yes		
	6-Dec-17	Yes		
	20-Dec-17	Yes		
	17-Jan-18	Yes		
	24-Jan-18	Yes		
	31-Jan-18	Yes		
	7-Feb-18	Yes		
	21-Feb-18	Yes		
	28-Feb-18	Yes		
	7-Mar-18	Yes		
	14-Mar-18	Yes		
	21-Mar-18	Yes		
	27-Apr-17	Yes		
	4-May-18	Yes		
	23-May-18	Yes		
	6-Jun-18	Yes		
	13-Jun-18	Yes		
	20-Jun-18	Yes		
	28-Jun-18	Yes		
	11-Jul-18	Yes		
	25-Jul-18	Yes		
	8-Aug-18	Yes		
	5-Sep-18	Yes		
	19-Sep-18	Yes		
	25-Sep-18	Yes		
Public Space Committee		it appears that many public bodies do maintain online copies of notices and agendas other than the current year. one link lists these as agendas the other as meeting minutes		
	26-Oct-17	no	yes	no
	28-Sep-17	no	yes	no
	24-Aug-17	no	yes	no
	27-Jul-17	no	yes	no
	22-Jun-17	no	yes	no
	25-May-17	no	yes	no
	27-Apr-17	no	yes	no
	23-Mar-17	no	yes	no
	23-Feb-17	no	yes	no

	26-Jan-17	no	yes	no	
	25-Jan-18	no	yes	no	
	22-Feb-18	no	yes	no	
	22-Mar-18	no	yes	no	
	26-Apr-18	no	yes	no	
	24-May-18	no	yes	no	
	28-Jun-18	no	yes	no	
	2-Aug-18	no	yes	no	
	23-Aug-18	no	yes	no	
	27-Sep-18	no	yes	no	
Real Estate Commission					
	11-Sep-18	yes	yes	yes	
	10-Jul-18	yes	yes	yes	
	12-Jun-18	yes	yes	yes	
	8-May-18	yes	yes	yes	
	10-Apr-18	yes	yes	yes	
	13-Mar-18	yes	yes	yes	
	13-Feb-18	yes	yes	yes	
	9-Jan-18	yes	yes	yes	
	12-Dec-17	yes	yes	yes	
	14-Nov-17	yes	yes	yes	
	17-Oct-17	yes	yes	yes	
Real Property Tax Appeals Commission					
	8-Aug-17			yes	Notice of 2018 administrative meetings is published to its website.
	2-Oct-17			yes	Not clear if these are the same as public meetings. There are no minutes for of the administrative hearings
	3-Nov-17			yes	
	6-Dec-17			yes	
Recreational Trails Advisory Committee					
Could Not Locate Information Regarding This Public Body					
Rental Housing Commission					
	6-Sep-18	yes	yes	yes	
	20-Jul-18	yes	yes	yes	
	14-Jul-18	yes	yes	yes	
	17-Jul-18	yes	yes	yes	
	20-Jul-18	yes	yes	yes	
	14-Jun-18	yes	yes	yes	
	19-Jun-18	yes	yes	yes	
	20-Jun-18	yes	yes	yes	
	3-May-18	yes	yes	yes	
	26-Apr-18	yes	yes	yes	
	14-Feb-18	yes	yes	yes	
	7-Dec-17	yes	yes	yes	
	19-Jan-18	yes	yes	yes	
	14-Dec-17	yes	yes	yes	
	7-Nov-17	yes	yes	yes	
	12-Oct-17	yes	yes	yes	
	24-Oct-17	yes	yes	yes	
	25-Oct-17	yes	yes	yes	
Saint Elizabeths East Redevelopment Initiative Advisory Board					
This board may have sunsetted in 2017					
Nothing posted of the period of review. Lists 4 meetings in 2018, but nothing is posted other than these dates. http://stehzabethseast.com/our-project/leadership/					
Science Advisory Board					
	20-Jul-18	yes	yes	yes	https://dfs.dc.gov/release/dfs%E2%80%99s-science-advisory-board-holds-first-meeting
	4-May-18	yes	yes	yes	
	11-Jan-18	yes	yes	yes	
	20-Oct-17	yes	yes	yes	
Sentencing and Criminal Code Revision Commission					
need to contact, unable to locate anything on the website.					
Name may have changed to " DC Sentencing Commission"					
Sexual Assault Response Team					
need to contact unable to locate anything on the website.					
State Advisory Panel on Special Education					
	30-Nov-17	no	no	yes	
	22-Feb-18	no	no	yes	
State Early Childhood Development Coordinating Council					
	25-Sep-17	yes	yes		
	30-Nov-17	yes	yes		
	24-Jan-18	yes	yes		
	5-Apr-18	yes	yes		
	31-May-18	yes	yes		
	31-Jul-18	yes	yes		
	29-Nov-18	yes	yes		
State Rehabilitation Council					
Last posts were September 17, 2014 (agenda and minutes)					
https://dds.dc.gov/node/855922					
Statewide Health Coordinating Council					
unable to locate additional documents					
	19-Apr-18	yes	yes	yes	
Statewide Independent Living Council					
	25-Jan-18	no	no	yes	https://sites.google.com/a/dc.gov/dcsilc/documents
	24-May-18	no	no	yes	labeled as March 22, 2018 minutes
	23-Mar-17	no	no	yes	https://dds.dc.gov/node/855892 unable to locate notices and agendas
Streetlight Advisory Panel					
no posted materials for the period of review					
https://op3.dc.gov/node/1372356					
Sustainable Energy Utility Advisory Board					
also posts notices on CMC					
	16-Oct-17	yes	yes	yes	
	21-Nov-17	yes	yes	yes	
	18-Dec-17	yes	yes	yes	
	10-Apr-18	yes	yes	yes	
	8-May-18	yes	yes	yes	
	12-Jun-18	yes	yes	yes	
	21-Aug-18	yes	yes	yes	
	11-Sep-18	yes	yes	yes	
Tobacco Settlement Financing Corporation Board of Directors					
Could Not Locate Any Information Regarding this public body					
Transit Rider Advisory Council					
Entity created by WAMATA. All meeting materials are available on its website to the public. https://www.wmata.com/about/riders-advisory-council/meetings-reports.cfm#main-content They are completely transparent and meetings are open to the public with period of public comment. Are they an OMA regulated public body?					
Undergrounding Project Consumer Education Task Force					
Could Not Locate Any Information About this Public Body					
Uniform Law Commission					
	?	?	?	?	https://cfo.dc.gov/publication/2018-ai0-uniform-law-commission
Uniform Per-Student Funding Formula Task-force Working Group					
Website only lists most recent meeting materials					
United Planning Organization Board of Directors					
website lists annual meetings only					
unclear whether this is a public body					
Unmanned Aircraft System Working Group					
Established October 2018, not able to					
Urban Forestry Advisory Committee					
	13-Dec-17	yes	yes	yes	
	12-Apr-18	yes	yes	yes	
	10-Jul-18	yes	yes	yes	
Walter Reed Army Medical Center Site Reuse Advisory Committee					
Need to contact poc and determine where they publish. Nothing on CMC					
Washington Convention and Sports Authority Board of Directors Emergency Meeting (Events DC)					
	13-Sep-18	yes			list the upcoming meeting.
	30-Aug-18	yes			Meeting agenda's are on CMC
	8-Mar-18	yes			cannot locate meeting minutes.
	15-Mar-18	yes			

8-Feb-18	yes
11-Jan-18	yes
24-Jan-18	yes
14-Dec-17	yes
12-Oct-17	yes

Washington D.C. Convention and Tourism Corporation (Destination DC) Could not locate any information regarding this public body

Washington DC Regional Planning Commission on Health and HIV no meeting minutes or agendas past 2018

27-Sep-18	no	yes	yes
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Washington Metropolitan Area Transit Authority Board of Directors is a non-federal tri-jurisdictional government agency authorized by Congress

Washington Metropolitan Area Transit Commission is a non-federal tri-jurisdictional government agency

Washington Metrorail Safety Commission interstate compact adopted by DC

Water and Sewer Authority Board of Directors (WASA) can't locate prior meeting notices, pre - 2018

5-Oct-17	no	yes	yes
2-Nov-17	no	yes	yes
7-Dec-17	no	yes	yes
4-Jan-18	no	yes	yes
1-Feb-18	no	yes	yes
1-Mar-18	no	yes	yes
5-Apr-18	no	yes	yes
3-May-18	no	yes	yes
7-Jun-18	no	yes	yes
5-Jul-18	no	yes	yes
6-Sep-18	no	yes	yes

Workforce Investment Council unable to locate prior year notices

23-Oct-17		yes	yes
24-Jul-17		yes	yes
24-Apr-17		yes	yes
23-Jan-17		yes	yes
23-Apr-18		yes	yes
22-Jan-18		yes	yes
23-Jul-18		yes	yes

Working Group on Jobs, Benefits and Wages Could not locate any information

Youth Apprenticeship Advisory Committee Could not locate any information
nothing before or after March 1, 2018

Zoning Commission

16-Oct-17	Yes	Yes	Yes	
23-Oct-17				
30-Oct-17	Yes	Yes	Yes	
2-Nov-17	Yes		Yes	NOT on CMC
6-Nov-17	Yes		Yes	NOT on CMC
13-Nov-17			Yes	On both calendars
16-Nov-17	Yes		Yes	On both calendars
20-Nov-17	Yes		Yes	On both calendars
27-Nov-17			Yes	On both calendars
4-Dec-17	Yes	Yes	Yes	NOT on CMC
7-Dec-17	Yes	Yes	Yes	NOT on CMC
11-Dec-17			Yes	On both calendars
14-Dec-17	Yes	Yes	Yes	NOT on CMC
18-Dec-17	Yes	Yes	Yes	NOT on CMC
8-Jan-18			Yes	On both calendars. Postponed to January 29th due to inclement weather.
11-Jan-18	Yes	Yes	Yes	NOT on CMC
25-Jan-18				NOT on CMC
29-Jan-18		Yes	Yes	On both calendars
1-Feb-18	Yes	Yes	Yes	NOT on CMC
5-Feb-18	Yes	Yes	Yes	NOT on CMC
8-Feb-18	Yes	Yes	Yes	NOT on CMC
12-Feb-18			Yes	On both calendars
22-Feb-18	Yes	Yes	Yes	NOT on CMC
26-Feb-18			Yes	On both calendars
12-Mar-18	Yes	Yes	Yes	On both calendars
14-Mar-18				NOT ON CMC - TRAINING
19-Mar-18	Yes	Yes	Yes	On both calendars
26-Mar-18			Yes	On both calendars
29-Mar-18	Yes	Yes	Yes	On both calendars
2-Apr-18	Yes	Yes	Yes	On both calendars
5-Apr-18	Yes	Yes	Yes	On both calendars
9-Apr-18			Yes	On both calendars
12-Apr-18	Yes	Yes	Yes	On both calendars
19-Apr-18	Yes	Yes	Yes	On both calendars
23-Apr-18	Yes	Yes	Yes	On both calendars
30-Apr-18			Yes	On both calendars
3-May-18	Yes	Yes	Yes	On both calendars
7-May-18	Yes	Yes	Yes	On both calendars
10-May-18	Yes	Yes	Yes	Not on CMC
14-May-18			Yes	On both calendars
24-May-18			Yes	On both calendars
4-Jun-18	Yes	Yes	Yes	On both calendars
7-Jun-18	Yes	Yes	Yes	On both calendars
11-Jun-18			Yes	On both calendars
14-Jun-18	Yes	Yes	Yes	On both calendars
25-Jun-18			Yes	On both calendars
28-Jun-18	Yes	Yes	Yes	On both calendars
9-Jul-18				Not on AGENCY SITE. Only on CMC.
12-Jul-18	Yes	Yes	Yes	On both calendars
16-Jul-18	Yes	Yes	Yes	On both calendars
23-Jul-18	Yes	Yes	Yes	On both calendars
26-Jul-18	Yes	Yes	Yes	On both calendars
30-Jul-18	Yes	Yes	Yes	On both calendars
"AUG 2018"				No meetings are noticed for the period Aug.2018. Nothing on calendars.
6-Sep-18	Yes	Yes	Yes	On both calendars
13-Sep-18	Yes	Yes	Yes	On both calendars
17-Sep-18			Yes	On both calendars
20-Sep-18	Yes	Yes	Yes	On both calendars
1-Oct-18	Yes	Yes	Yes	On both calendars
15-Oct-18	Yes	Yes	Yes	On both calendars
22-Oct-18			Yes	On both calendars
25-Oct-18	Yes	Yes	Yes	On both calendars
29-Oct-18	Yes	Yes	Yes	On both calendars
8-Nov-18	Yes	Yes	Yes	On both calendars
15-Nov-18	Yes	Yes	Yes	On both calendars
19-Nov-18			Yes	On both calendars
29-Nov-18	Yes	Yes	Yes	On both calendars
3-Dec-18	Yes	Yes	Yes	On both calendars
6-Dec-18	Yes	Yes	Yes	On both calendars
10-Dec-18				Not on AGENCY SITE. Only on CMC.
17-Dec-18			Yes	On both calendars
7-Jan-19	Yes	Yes	Yes	On both calendars
14-Jan-19			Yes	Not on CMC.
24-Jan-19	Yes	Yes	Yes	On both calendars
28-Jan-19			Yes	Not on CMC.
31-Jan-19	Yes	Yes	Yes	On both calendars
4-Feb-19	Yes	Yes	Yes	On both calendars
6-Feb-19	Yes	Yes	Yes	On both calendars
7-Feb-19	Yes	Yes	Yes	On both calendars
11-Feb-19			Yes	On both calendars
20-Feb-19				On both calendars
25-Feb-19			Yes	On both calendars
27-Feb-19			Yes	NOT ON CMC
7-Mar-19	Yes	Yes	Yes	On both calendars
11-Mar-19			Yes	On both calendars
14-Mar-19	Yes	Yes	Yes	On both calendars
21-Mar-19	Yes	Yes	Yes	On both calendars
25-Mar-19			Yes	On both calendars
28-Mar-19	Yes	Yes	Yes	On both calendars
1-Apr-19	Yes	Yes	Yes	On both calendars
4-Apr-19	Yes	Yes	Yes	On both calendars
8-Apr-19			Yes	On both calendars
11-Apr-19	Yes	Yes	Yes	On both calendars

22-Apr-19	Yes	Yes	Yes	On both calendars
25-Apr-19	Yes	Yes	Yes	On both calendars
29-Apr-19			Yes	On both calendars
30-Apr-19	CLOSED - TRAINING / NOT ON CMC			
2-May-19	Yes	Yes	Yes	On both calendars
9-May-19	NOT ON AGENCY SITE - Nothing at link			
13-May-19			Yes	On both calendars
16-May-19	Yes	Yes	Yes	On both calendars
20-May-19	Yes	Yes	Yes	On both calendars
23-May-19	Yes	Yes	Yes	On both calendars
30-May-19	Yes	Yes	Yes	On both calendars
6-Jun-19	Yes	Yes	Yes	On both calendars
10-Jun-19			Yes	On both calendars
17-Jun-19	Yes	Yes	Yes	NOT ON CMC
20-Jun-19	Yes	Yes	Yes	On both calendars
24-Jun-19			Yes	On both calendars
8-Jul-19			Yes	On both calendars
15-Jul-19	Yes	Yes	Yes	On both calendars
22-Jul-19	Yes	Yes	Yes	On both calendars
25-Jul-19	NOT ON AGENCY SITE			
29-Jul-19			Yes	On both calendars
31-Jul-19	Yes	Yes	Yes	On both calendars

FOIA Compliance

Employee Information	Admin Manuals	Agency Publication/Opini	Agency policy	Agency Decisions	Financial information /Not there or Old	Count of Deficiencies (# of Ns)
N	N	Y	Y	Y	N	3
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
N	Y	Y	Y	N	N	1
N	Y	Y	Y	N	N	3
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
Y	N	Y	Y	N	N	3
Y	Y	Y	Y	Y	Y	0
N	Y	Y	Y	N	N	3
N	N	N	N	N	N	6
Y	Y	Y	Y	Y	Y	0
Y	N	N	Y	N	N	4
Y	N	Y	Y	Y	Y	1
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
N	N	N	N	N	N	6
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
N	N	Y	Y	Y	Y	2
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
N	N	N	N	N	N	6
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
Y	N	Y	Y	N	N	3
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
N	N	N	Y	N	N	5
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
N	N	N	N	N	N	6
Y	Y	Y	Y	N	Y	1
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0
Y	Y	Y	Y	Y	Y	0

BEGA FY19 and FY20 Staff Additional Training/Education Opportunities

EXHIBIT 13

Employee Trained	Subject of Training	Date
Brentton Wolfingbarger, former OGE Director	“Maximizing Your Leadership Potential” with the Center for Creative Leader	Jun-19
Brentton Wolfingbarger, former OGE Director	OCTO IT Security Awareness Fundamentals	Nov-19
Rochelle Ford, Acting OGE Director	40th Annual Council on Government Ethics Laws (COGEL)	Dec-18
Rochelle Ford, Acting OGE Director	Tips on How to Make Your Ethics Program More Effective sponsored by the Government Interagency Ethics	Apr-19
Rochelle Ford, Acting OGE Director	OCTO Drupal Training	May-19
Rochelle Ford, Acting OGE Director	Society of Corporate Compliance and Ethics' Compliance Staff Development	Jul-19
Rochelle Ford, Acting OGE Director	CIGIE Public Corruptions Investigations Training	Aug-19
Rochelle Ford, Acting OGE Director	18th Annual Compliance and Ethics Institute held by the Society of Corporate Compliance and Ethics	Sep-19
Rochelle Ford, Acting OGE Director	OCTO IT Security Awareness Fundamentals	Dec-19
Niquelle Allen, OOG Director	Council on Government Ethics Laws (COGEL)	Dec-18
Niquelle Allen, OOG Director	Office of the Attorney General Leadership Training Course	May-19
Niquelle Allen, OOG Director	“Maximizing Your Leadership Potential” with the Center for Creative Leader	Jun-19
Niquelle Allen, OOG Director	American Society of Access Professionals Annual Training Conference	Jul-19
Niquelle Allen, OOG Director	Executive Education Seminar conducted by the US Department of Homeland Security	Nov-19
Niquelle Allen, OOG Director	41st Annual Council on Government Ethics Laws (COGEL)	Dec-19
Niquelle Allen, OOG Director	OCTO IT Security Awareness Fundamentals	Dec-19

Johnnie Barton, OOG General Counsel	40th Annual Council on Government Ethics Laws (COGEL)	Dec-18
Johnnie Barton, OOG General Counsel	FOIAXpress by AINS	Feb-19
Johnnie Barton, OOG General Counsel	American Society of Access Professionals Annual Training Conference	Jul-19
Johnnie Barton, OOG General Counsel	Office of Attorney General's training on "Investigations and Interview Techniques."	Nov-19
Johnnie Barton, OOG General Counsel	OCTO IT Security Awareness Fundamentals	Dec-19
Sonya King, OGE Attorney Advisor	OCTO Drupal Training	May-19
Sonya King, OGE Attorney Advisor	Financial Investigations Practical Skills (FIPS) Training offered by the National White Collar Crime Center	Jun-19
Sonya King, OGE Attorney Advisor	5 Steps to Create a Culture of Ethics, Compliance and Accountability (I-Sight)	Jun-19
Sonya King, OGE Attorney Advisor	Cognitive Interviewing Communication and Influence (NW3C)	Jul-19
Sonya King, OGE Attorney Advisor	CIGIE Public Corruptions Investigations Training	Aug-19
Sonya King, OGE Attorney Advisor	18th Annual Compliance and Ethics Institute held by the Society of Corporate Compliance and Ethics	Sep-19
Sonya King, OGE Attorney Advisor	41st Annual Council on Government Ethics Laws (COGEL)	Dec-19
Sonya King, OGE Attorney Advisor	OCTO IT Security Awareness Fundamentals	Dec-19
Asia Stewart-Mitchell, OGE Attorney Advisor	40th Annual Council on Government Ethics Laws (COGEL)	Dec-18
Asia Stewart-Mitchell, OGE Attorney Advisor	Casting the Digital Footprint conducted by the National Criminal Justice Training Center	Apr-19
Asia Stewart-Mitchell, OGE Attorney Advisor	5 Steps to Create a Culture of Ethics, Compliance and Accountability (I-Sight)	Jun-19
Asia Stewart-Mitchell, OGE Attorney Advisor	CIGIE Public Corruptions Investigations Training	Aug-19
Asia Stewart-Mitchell, OGE Attorney Advisor	18th Annual Compliance and Ethics Institute held by the Society of Corporate Compliance and Ethics	Sep-19

Asia Stewart-Mitchell, OGE Attorney Advisor	OCTO IT Security Awareness Fundamentals	Dec-19
Ashley Cooks, OGE Attorney Advisor	40th Annual Council on Government Ethics Laws (COGEL)	Dec-18
Ashley Cooks, OGE Attorney Advisor	FOIAXpress by AINS	Feb-19
Ashley Cooks, OGE Attorney Advisor	Casting the Digital Footprint conducted by the National Criminal Justice Training Center	Apr-19
Ashley Cooks, OGE Attorney Advisor	Thinking on Your Feet	Aug-19
Ashley Cooks, OGE Attorney Advisor	Financial Investigations Triage course conducted by the National White Colla	Aug-19
Ashley Cooks, OGE Attorney Advisor	18th Annual Compliance and Ethics Institute held by the Society of Corporate Compliance and Ethics	Sep-19
Ashley Cooks, OGE Attorney Advisor	OCTO IT Security Awareness Fundamentals	Dec-19
Sandra Peterson, Admin. Officer	ERisk Training conducted by the District's Office of Risk Management (ORN	May-19
Sandra Peterson, Admin. Officer	27th Annual Admin. Professional Conference, Am. Society of Admin. Profes	Sep-19
Sandra Peterson, Admin. Officer	OCTO IT Security Awareness Fundamentals	Dec-19
Ronald Cook, Investigator	Cognitive Interviewing Communication and Influence (NW3C)	Jul-19
Ronal Cook, Investigator	OCTO IT Security Awareness Fundamentals	Dec-19
Ileana Corrales, Investigator	Collecting Advertiser ID Evidence in Criminal Investigations webinar (NW3C)	Mar-19
Ileana Corrales, Investigator	Cognitive Interviewing & Court Room Testimony (NW3C)	Mar-19
Ileana Corrales, Investigator	Interviewing Children in an Investigative Setting: More Than Meets the Eye	Mar-19
Ileana Corrales, Investigator	Social Media Basics webinar (NW3C)	Apr-19
Ileana Corrales, Investigator	Tackling Telephone Interviews (Wicklander-Zulawski & Associates)	Apr-19
Ileana Corrales, Investigator	Best Practices for Responding to Ethics Hotline Reports (I-Sight)	May-19

Ileana Corrales, Investigator	5 Steps to Create a Culture of Ethics, Compliance and Accountability (I-Sight)	Jun-19
Ileana Corrales, Investigator	Cognitive Interviewing Communication and Influence (NW3C)	Mar-19
Ileana Corrales, Investigator	Dark Web and Open Source Information offered by the National White Collar Crime Center (NW3C)	Nov-19
Ileana Corrales, Investigator	OCTO IT Security Awareness Fundamentals	Dec-19
Ralph Bradley, Investigator	NW3C's Collecting Advertiser ID Evidence	Mar-19
Ralph Bradley, Investigator	Casting the Digital Footprint conducted by the National Criminal Justice Training Center	Apr-19
Ralph Bradley, Investigator	Myth & Realities of Cell Site Coverage Areas" presented by Hawk Analytics	Jul-19
Ralph Bradley, Investigator	Cognitive Interviewing & Court Room Testimony (NW3C)	Mar-19
Ralph Bradley, Investigator	OCTO IT Security Awareness Fundamentals	Dec-19
Amanueil Tujuba, Auditor	Telecommuting policies	Jul-19
Amanueil Tujuba, Auditor	Ethics for Certified Public Accountants	Jul-19
Amanueil Tujuba, Auditor	Understanding Unconscious Bias offered through DCHR	Nov-19
Amanueil Tujuba, Auditor	OCTO IT Security Awareness Fundamentals	Dec-19
Tyrell Dow, Program Support Assistant	The Essentials of Front Desk Safety & Security	Aug-19
Tyrell Dow, Program Support Assistant	Quality Customer Care	Aug-19
Tyrell Dow, Program Support Assistant	27th Annual Admin. Professional Conference, Am. Society of Admin. Profes	Sep-19
Tyrell Dow, Program Support Assistant	OCTO IT Security Awareness Fundamentals	Jan-20
Tiffany Montgomery, IT Specialist	A State of Cyber training, Georgetown University	Nov-19
Tiffany Montgomery, IT Specialist	Artificial Intelligence" training seminar at Capital One Bank	Nov-19
Tiffany Montgomery, IT Specialist	Modernization of IT Infrastructure in the Government Cloud	Nov-19
Tiffany Montgomery, IT Specialist	Project Management Essentials	Nov-19
Tiffany Montgomery, IT Specialist	Cybersecurity, Fed Scoop	Dec-19

EXHIBIT 14

Response to Question 47

47. Please provide the Committee with a brief summary of advisory opinions issued during FY19 and FY20, to date, along with a notation as to whether the opinion was requested or issued *sua sponte*

OOG: The OOG has issued 13 advisory opinions during FY19 and FY20, to date, none of which were issued *sua sponte*.

1. #OOG-2019-0008-M Commission on Selection and Tenure of Administrative Law Judges of the Office of Administrative Hearings (“COST”) (Requested).

Discussion of the OMA’s “Notice of meetings” directive. The OMA requires timely public notification of any change in a meeting schedule. COST did not violate the OMA.

<https://www.open-dc.gov/sites/default/files/Complaint%20%23OOG-2019-0008-M%2011%2014%2019.pdf>

2. #OOG-2019-0007-M Maury Elementary School Local Advisory Team (“LSAT”) (Requested)

The Maury LSAT violated the OMA by: (1) failing to provide the statutory required notice to the public of its September 10, 2019, meeting ; (2) failing to record the meeting by electronic means; (3) failing to make draft meeting minutes publicly available within three business days after the meeting; and (4) failing to make the full record including any recording or transcript publicly available within seven business days of the meeting.

https://www.open-dc.gov/sites/default/files/OOG%20-2019-0007-M%20Maury%20LSAT%20Advisory%20Opinion_v2.pdf

3. #OOG-002_1.29.16_AO DCRA District of Columbia Freedom of Information Act Compliance Update (Requested).

DCRA has made a significant effort to comply with D.C. FOIA by making publicly available on the Internet or its website pending applications for building permits and authorized building permits, including the permit file (D.C. Official Code §§ 2-536(a)(8A) and 2-536(b)). However, in FY20, the agency still is not fully compliant with these provisions.

https://www.open-dc.gov/sites/default/files/DCRA%20Update%20re%20OOG-002_1.29.16_AO%209.25.19.pdf

4. #OOG-2019-0006-M Commission on Selection and Tenure of Administrative Law Judges of the Office of Administrative Hearings (“COST”). (Requested)

Whether on April 8, 2016, COST violated the OMA by conducting a meeting through text messages; and (2) whether COST failed to notify the public of several of its July 2016 meetings. The complaint was time barred and dismissed.

5. #OOG-2019-0004-M Maury Elementary School Local Advisory Team (“LSAT”). (Requested)

The Maury LSAT was found to have violated the OMA’s “Recording of meetings” provisions by: (1) failing to make “a copy of the full record publicly available no later than 7 business days after the meeting”; (2) failing to record the meeting by electronic means; and (3) failing to make publicly available the detailed minutes of its April 23, 2019 meeting.

https://www.open-dc.gov/sites/default/files/Maury%20LSAT%20Advisory%20Opinion_August%2029%202019%20%28004%29.pdf

6. #OOG-2019-002-M Whether Local School Advisory Teams (“LSATs”) are public bodies subject to the OMA. (Requested)

It was determined that LSATs are public bodies. Therefore, LSAT meetings are subject to the OMA.

<https://www.open-dc.gov/documents/whether-local-school-advisory-teams-lsats-are-public-bodies-subject-oma>

7. #0004_11.15.18_FOIA AO Application of FOIA to Public Charter Schools (“PCS”). (Requested)

Due to ambiguities in the current law, legislation is necessary to subject PCS to FOIA.

https://www.open-dc.gov/sites/default/files/%230004_11.15.18_FOIA%20AO%20Mulhauser.pdf

8. #OOG-2019-0001-M Whether the OMA applies to Department of Veterans Affairs Central Internal Review Board meetings. (Requested)

The Government in Sunshine Act, 5 U.S. Code § 552b. (“Sunshine Act”) and not the OMA governs open meetings for covered federal government entities, contains the remedies for violations and may apply to Department of Veterans Affairs Central Internal Review Board meetings. (Requested).

<https://www.open-dc.gov/sites/default/files/Tamamg%20Resolution%20of%20Complaint%20OOG-2019-0001-M%20redacted.pdf>

9. #OOG-0003_10.31.18_FOIA AO What notifications, if any, does FOIA require an agency, specifically MPD, to provide a requester when: (1) it invokes an extension to dispatch a determination to a FOIA request for body-worn camera recordings; and (2) when no determination is dispatched by the agency to the requester within the applicable period.

MPD did not properly notify the requester when it invoked an extension to respond to the request for body-worn camera footage. MPD did not properly notify the Requester in accordance with the notification requirements of 1 DCMR § 405.5 upon expiration of the time limit for the agency to make a determination of the FOIA request at issue.

<https://www.open-dc.gov/sites/default/files/UDODI%20Advisory%20Opinion%202%2006%202019.pdf>

10. #OOG-002-8.23.18_FOIA AO Whether the Public Service Commission (“PSC”) may withhold records requested under FOIA as exempt from disclosure, under D.C. Official Code § 2-534(e). (Requested)

The PCS should provide the requester with the records that must be made publicly available and does not require a FOIA request to obtain. Other requested records may be excluded under the deliberative process privilege and attorney client privilege.

https://www.open-dc.gov/sites/default/files/FOIA%20Advisory%20Opinion%20OOG-0004_12.11.18_FOIA%20AO.pdf

11. #OOG-0004_9.29.18. Complaint against the Commission on Selection and Tenure of Administrative Law Judges of the Office of Administrative Hearings (“COST”). (Requested)

The OOG does not have authority to grant the requested relief. The complainant *inter alia*, requested that the OOG compel the Office of Administrative Hearings to public to produce documents that were requested under D.C FOIA.

https://www.open-dc.gov/sites/default/files/OOG-0004_9.29.18%20Resolution%20of%20Complaint_COST_0.pdf

12. #OOG-0002_8.23.18_FOIA AO Whether it is appropriate for the Office of Unified Communications (“OUC”) to require of a requester to present valid identification to: (1) submit a FOIA request for 911 audio recording for police assistance when the requester is the caller (first party request); and (2) obtain the 911 audio recording for police assistance for a first-party request.

Absent statutory or regulatory authority an agency’s FOIA identity-verification requirement does not have the force of law.

https://www.open-dc.gov/sites/default/files/Final%20B.%20cunningham.FOIA%2010%2027%2018%20%28002%29_Redacted.pdf

13. #OOG-003_9.12.19_AO Office of Administrative Hearings (“OAH”) compliance with the D.C FOIA.

While OAH has made a significant effort to comply with D.C. FOIA (D.C. Official Code §§ 2-536(a)(3) and 2-536(b)) by making publicly available on the Internet or its website final opinions. In FY20, the agency still is not fully compliant with these provisions.

<https://www.open-dc.gov/sites/default/files/FOIA%20Advisory%20Opinion%20OAH%20Final.pdf>

FY19 Complaint Report - Board of Ethics and Government Accountability - January 1, 2019 - March 31, 2019*

Case Number	Date Initiated	Description	Current Status	Board Referral (Y/N)	Disposition (including fines & penalties imposed and allegations dismissed by the Board)
19-0006-P	14Mar2019	Formal investigation into allegations that a former District employee illegally channeled \$400,000 in SNAP and TANF benefits to a benefit card he fraudulently created in another person's name.	Stayed - US District Court Case	N	
19-0005-P	11Mar2019	Allegations that a supervisory employee ordered a subordinate to perform non-official duties.	Open	N	
19-0004-P	06Mar2019	Allegations that an organization engaged in lobbying activity without being properly registered.	Open	N	
19-0001-P	13Dec2018	Allegations that a District public official used government resources for improper purposes.	Open	N	
18-0038-P	20Sep2018	Preliminary Investigation into allegations that employee used public office for private gain.	Open	N	
18-0037-P	20Sep2018	Preliminary Investigation into allegations that employee had a conflict of interest in awarding a grant to spouse's company.	Open	N	
18-0035-P	22Aug2018	Preliminary Investigation into allegations that an employee improperly distributed free parking in a District government parking facility.	Open	N	
18-0033-P	09Jul2018	Preliminary investigation into allegations an employee worked in excess of 2 telework days per work week.	Open	N	
18-0030-P	29Jun2018	Preliminary investigation into allegations an employee may be engaged in outside activities that conflict with official duties and responsibilities.	Closed FINAL	N	On 1/17/2019, the Director of Government Ethics dismissed this matter because there was insufficient evidence to believe that a Code of Conduct violation had occurred.
18-0028-P	28Jun2018	Preliminary investigation into allegations that an employee abused time and attendance rules.	Closed FINAL	N	On 3/29/2019, the Director of Government Ethics dismissed this matter because there was insufficient evidence to believe that a Code of Conduct violation had occurred.
18-0025-P D. Meadors	20Jun2018	Preliminary investigation into allegations that an employee used coworkers for babysitting services.	Closed - Pending External Action	Y	On 2/7/2019, the Ethics Board approved a Negotiated Disposition admonishing the Respondent for her actions.
18-0024-P	20Jun2018	Preliminary investigation into allegations that an employee improperly manipulated a District agency's grant award process and failed to treat a qualified applicant impartially.	Open	N	
18-0023-P	23May2018	Preliminary Investigation into allegations that an employee misused government time or allowed others to misuse government resources.	Open	N	

FY19 Complaint Report - Board of Ethics and Government Accountability - January 1, 2019 - March 31, 2019*

18-0022-P	23May2018	Preliminary Investigation into allegations that an employee misused government time or allowed others to misuse government resources.	Open	N	
18-0021-P	23May2018	Preliminary Investigation into allegations that an employee misused government time or allowed others to misuse government resources.	Open	N	
18-0020-P	23May2018	Preliminary Investigation into allegations that an employee misused government time or allowed others to misuse government resources.	Open	N	
18-0019-P A. Ghenene	10May2018	Preliminary Investigation into allegations that an employee used a District government vehicle for personal use, and falsified time and attendance records	Closed - Pending Collection	Y	On 3/14/2019, the Ethics Board approved a negotiated disposition requiring the Respondent to pay a fine of \$4,000.
18-0018-P	25Apr2018	Preliminary investigation into allegations of a conflict of interest regarding open source awards to companies affiliated with a current employee	Open	N	
18-0017-P	13Apr2018	Preliminary investigation into allegations that employee failed to disclose an outside business or relationship on financial disclosure statement.	Open	N	
18-0015-P	15Mar2018	Formal investigation into allegations that an employee provided confidential information and worked for a prohibited source.	Stayed - OIG Investigation	N	
18-0013-P	28Feb2018	Preliminary Investigation into allegations that an employee is soliciting business from companies that do business with their agency.	Open	N	
18-0012-P	28Feb2018	Preliminary Investigation into allegations of time and attendance abuse by an employee.	Closed FINAL	N	On 2/6/2019, the Director of Government Ethics dismissed this matter because there was insufficient evidence to believe that a Code of Conduct violation had occurred.
18-0010-P	16Feb2018	Preliminary investigation into allegations that a former employee is appearing before the agency as an expediter in violation of the one-year cooling off period.	Closed FINAL	N	On 3/27/2019, the Director of Government Ethics dismissed this matter because there was insufficient evidence to believe that a Code of Conduct violation had occurred.
18-0008-P	09Feb2018	Formal investigation into allegations that employee provided confidential information to an unauthorized person in exchange for payment.	Stayed - OIG Investigation	N	
18-0006-P	22Jan2018	Formal Investigation into allegations that an elected official took an action or participated in a decision that affected their (or an affiliated person's) financial interest.	Stayed - OIG Investigation	N	

FY19 Complaint Report - Board of Ethics and Government Accountability - January 1, 2019 - March 31, 2019*

18-0003-P	09Jan2018	Preliminary Investigation into allegations that an employee received kickbacks in exchange for participation in the altering of official government records and monitoring of staff, and that an employee received improper gifts from a subordinate.	Open	N	
17-0035-P	22Sep2017	Preliminary investigation into allegations that an employee had a possible conflict of interest involving a local architecture firm	Stayed - OAG False Claims Act Case	N	
17-0034-P	20Sep2017	Preliminary Investigation into allegations that an employee was wrongfully compensated for falsely claiming injuries from an alleged assault that occurred while they were on duty.	Open - Show Cause Hearing	N	
17-0025-P	31May2017	Preliminary investigation into allegations that an employee was involved in soliciting donations from private donors.	Closed - Pending External Action	N	On 3/15/2019, the Director of Government Ethics issued a Nonpublic Informal Letter of Admonition to the Respondent. On 3/15/2019, the Director of Government Ethics issued a Nonpublic Informal Letter of Admonition to the Respondent.
17-0024-P	31May2017	Preliminary investigation into allegations that an employee was involved in soliciting donations from private donors.	Closed - Pending External Action	N	
17-0023-P	31May2017	Preliminary investigation into allegations that a former employee used government resources to operate a private company.	Open	N	
17-0020-P	24May2017	Preliminary investigation into allegations that an employee circumvented procurement laws and regulations by providing himself and his employees travel reimbursements.	Stayed - OIG Investigation	N	
17-0008-P	25Jan2017	Allegations that a supervisory employee has violated multiple ethics laws and is abusing power.	Stayed - OIG Investigation	N	
17-0001-P	13Oct2016	Preliminary investigation into allegations that an employee solicited and accepted a gift from a prohibited source	Stayed - DC Superior Court Case	N	
16-0043-P	18May2016	Formal Investigation into allegations that employee did not disclose over \$170,000 in outside income on his Financial Disclosure Statement.	Open - Negotiations	N	
16-0012-P	12Jan2016	Preliminary investigation into allegations that agency employees received gifts from a prohibited source	Open - Show Cause Hearing	N	
19-0006-F	14Mar2019	Formal investigation into allegations that a former District employee defrauded the District of more than \$1.4 million by using the employee's position to improperly benefit his own financial interests.	Stayed - US District Court Case	N	

FY19 Complaint Report - Board of Ethics and Government Accountability - January 1, 2019 - March 31, 2019*

19-0005-F	13Mar2019	Formal investigation into allegations that a District employee committed perjury and made false statements in testimony before the District of Columbia Council.	Open	N	
19-0004-F	16Jan2019	Formal investigation into allegations that a District agency's decision to suspend an employee without pay violated the Code of Conduct.	Closed FINAL	N	On March 14, 2019, the Ethics Board voted to dismiss this matter because there was insufficient evidence to believe that a Code of Conduct violation had occurred.
19-0003-F	09Jan2019	Preliminary investigation into allegations that an employee approved overtime pay for a relative.	Open	N	
19-0002-F	03Jan2019	Formal investigation regarding a former District employee who pled guilty to federal fraud charges in connection with a bid-rigging scheme.	Stayed - US District Court Case	N	
19-0001-F	31Oct2018	Formal investigation regarding a former District employee who pled guilty to federal bribery charges for accepting more than \$140,000 in bribes from a consultant and independent contractor who did business with the District.	Stayed - US District Court Case	N	
18-0008-F	25Jun2018	Formal investigation into allegations that a District employee assisted a contractor in defrauding the District government.	Stayed - US District Court Case	N	
18-0002-F A. Wilson	16Feb2018	Formal Investigation into allegations that a public official failed to act impartially in violation of the DPM and Mayor's Orders, which govern discretionary transfers.	Closed FINAL	Y	On March 14, 2019, the Ethics Board approved a negotiated disposition in which the Respondent agreed to a public reprimand for violating the Code of Conduct's prohibition on appearing to receive preferential treatment from another due to the Respondent's position with the District.
18-0001-F J. Niles	16Feb2018	Formal Investigation into allegations that a public official failed to act impartially in violation of the DPM and Mayor's Orders, which govern discretionary transfers.	Closed FINAL	Y	On February 7, 2019, the Ethics Board approved a negotiated disposition in which the Respondent agreed to a public censure for violating the Code of Conduct's prohibition on appearing to give preferential treatment to another.
16-0019-F	06Sep2016	Formal investigation from OIG Report of Investigation finding that a former Grants Management Specialist and a Contractor engaged in a scheme to defraud the D.C. government in the amount of \$52,700.	Stayed - OAG False Claims Act Case	N	

		* This report is current as of March 31, 2019. It does not reflect activity and dispositions after March 31, 2019.			
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FY19 Complaint Report - Board of Ethics and Government Accountability - October 1, 2018 - December 31, 2018*

Case Number	Date Initiated	Description	Current Status	Board Referral (Y/N)	Disposition (including fines & penalties imposed and allegations dismissed by the Board)
15-0044-P C. Snowden	22Sep2015	Formal investigation into allegations that a supervisory employee ordered a subordinate to perform non-official duties.	Closed - Pending Collection	Y	On 10/4/2015, the Board approved a Negotiated Disposition requiring the Respondent to pay a \$3,000 fine.
16-0043-P A. Shakiri	18May2016	Formal Investigation into allegations that employee did not disclose over \$170,000 in outside income on his Financial Disclosure Statement.	Open	N	
16-0012-P	12Jan2016	Preliminary investigation into allegations that agency employees received gifts from a prohibited source	Open - Show Cause Hearing	N	
16-0026-P L. Watson	08Feb2016	Preliminary investigation into allegations that a District employee misused a government vehicle.	Open - Pending Collection	Y	On 12/18/2015, the Board approved a Negotiated Disposition requiring Leonard Watson to pay a \$500 fine.
16-0019-F	06Sep2016	Formal investigation from OIG Report of Investigation finding that a former Grants Management Specialist and a Contractor engaged in a scheme to defraud the D.C. government in the amount of \$52,700.	Stayed - OAG False Claims Act Case	Y	
17-0035-P	22Sep2017	Preliminary investigation into allegations that an employee had a possible conflict of interest involving a local architecture firm	Stayed - OAG False Claims Act Case	N	
17-0034-P	20Sep2017	Preliminary Investigation into allegations that an employee was wrongfully compensated for falsely claiming injuries from an alleged assault that occurred while they were on duty.	Open - Show Cause Hearing	N	
17-0030-P R. Wilson	11Aug2017	Formal Investigation into allegations that an employee failed to disclose outside employment as required.	Open - Pending Collection	Y	On 12/18/2018, the Board approved a Negotiated Disposition requiring the Respondent to pay a \$2,750 fine.
17-0025-P	31May2017	Preliminary investigation into allegations that an employee was involved in soliciting donations from private donors.	Open	N	
17-0024-P	31May2017	Preliminary investigation into allegations that an employee was involved in soliciting donations from private donors.	Open	N	
17-0023-P	31May2017	Preliminary investigation into allegations that a former employee used government resources to operate a private company.	Open	N	
17-0020-P	24May2017	Preliminary investigation into allegations that an employee circumvented procurement laws and regulations by providing himself and his employees travel reimbursements.	Stayed - OIG Investigation	N	

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17-0008-P	25Jan2017	Allegations that a supervisory employee has violated multiple ethics laws and is abusing power.	Stayed - OIG Investigation	N	
17-0001-P	13Oct2016	Preliminary investigation into allegations that an employee solicited and accepted a gift from a prohibited source	Stayed - DC Superior Court Case	N	
18-0038-P	20Sep2018	Preliminary Investigation into allegations that employee used public office for private gain.	Open	N	
18-0037-P	20Sep2018	Preliminary Investigation into allegations that employee had a conflict of interest in awarding a grant to spouse's company.	Open	N	
18-0036-P J. Waters	22Aug2018	Preliminary Investigation into allegations that multiple employees were running a large scale sports gambling pool	Closed - Pending Collection	N	On 12/18/2018, the Board approved a Negotiated Disposition requiring the Respondent, Janice Waters, to pay a \$1,000 fine.
18-0035-P	22Aug2018	Preliminary Investigation into allegations that an employee improperly distributed free parking in a District government parking facility.	Open	N	
18-0033-P	09Jul2018	Preliminary investigation into allegations an employee worked in excess of 2 telework days per work week.	Open	N	
18-0032-P A. Vinson	02Jul2018	Preliminary investigation into allegations an employee failed to disclose his outside business on his confidential financial disclosure statements.	Closed - Pending Collection	N	On 11/1/2018, the Board approved a Negotiated Disposition requiring the Respondent, Antoine Vinson, to pay a \$5,000 fine.
18-0031-P R. Lewis	02Jul2018	Formal investigation into allegations an employee violated the Hatch Act by declaring as a candidate while employed by the District government.	Closed FINAL	N	On October 4, 2018, the Ethics Board approved a negotiated disposition in which Respondent, Rustin Lewis, agreed to pay a \$1,000 fine for violating the local Hatch Act by declaring as a candidate while employed by the District government.
18-0028-P	28Jun2018	Preliminary investigation into allegations that an employee abused time and attendance rules.	Open	N	
18-0026-P	25Jun2018	Preliminary investigation into allegations that an employee abused time and attendance rules, and accepted travel in violation of the Donations Act.	Closed FINAL	N	On 10/22/2018, the Director of Government Ethics dismissed this matter because there was insufficient evidence to believe that a Code of Conduct violation had occurred.
18-0025-P	20Jun2018	Preliminary investigation into allegations that an employee used coworkers for babysitting services.	Open	N	
18-0024-P	20Jun2018	Preliminary investigation into allegations that an employee improperly manipulated a District agency's grant award process and failed to treat a qualified applicant impartially.	Open	N	
18-0023-P	23May2018	Preliminary Investigation into allegations that an employee misused government time or allowed others to misuse government resources.	Open	N	

FY19 Complaint Report - Board of Ethics and Government Accountability - October 1, 2018 - December 31, 2018*

18-0022-P	23May2018	Preliminary Investigation into allegations that an employee misused government time or allowed others to misuse government resources.	Open	N	
18-0021-P	23May2018	Preliminary Investigation into allegations that an employee misused government time or allowed others to misuse government resources.	Open	N	
18-0020-P	23May2018	Preliminary Investigation into allegations that an employee misused government time or allowed others to misuse government resources.	Open	N	
18-0019-P	10May2018	Preliminary Investigation into allegations that an employee used a District government vehicle for personal use, and falsified time and attendance records	Open	N	
18-0018-P	25Apr2018	Preliminary investigation into allegations of a conflict of interest regarding open source awards to companies affiliated with a current employee	Open	N	
18-0017-P	13Apr2018	Preliminary investigation into allegations that employee failed to disclose business on financial disclosure statement.	Open	N	
18-0016-P	27Mar2018	Preliminary investigation into allegations that an employee operated a business within the agency using government property.	Open	N	
18-0015-P	15Mar2018	Formal investigation into allegations that an employee provided confidential information and worked for a prohibited source.	Stayed - OIG Investigation	N	
18-0013-P	28Feb2018	Preliminary Investigation into allegations that an employee is soliciting business from companies that do business with their agency.	Open	N	
18-0011-P	28Feb2018	Preliminary Investigation into allegations that an employee misused nonpublic information for an improper purpose.	Closed FINAL	N	On 10/18/2018, the Director of Government Ethics dismissed this matter due to insufficient evidence to support a reasonable belief that a Code of Conduct violation occurred.
18-0010-P	16Feb2018	Preliminary investigation into allegations that a former employee is appearing before the agency as an expediter in violation of the one-year cooling off period.	Open	N	
18-0008-P	09Feb2018	Formal investigation into allegations that employee provided confidential information to an unauthorized person in exchange for payment.	Stayed - OIG Investigation	N	
18-0006-P J. Evans	22Jan2018	Formal Investigation into allegations that an elected official took an action or participated in a decision that affected their (or an affiliated person's) financial interest.	Stayed - OIG Investigation	N	

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18-0004-P	17Jan2018	Preliminary Investigation into allegations of sexual harassment, forgery of student signatures, and falsifying student grades.	Closed FINAL	N	On 12/3/2018, the Director of Government Ethics dismissed this matter for failure to allege a credible violation of the Ethics Act.
18-0003-P	09Jan2018	Preliminary Investigation into allegations that an employee is receiving kickbacks in exchange for participation in the altering of official government records and monitoring of staff.	Open	N	
18-0001-P	01Dec2017	Preliminary Investigation into allegations that an employee used public office for private gain by selling food during their tour of duty.	Closed FINAL	N	On 11/15/2018, the Director of Government Ethics issued a Nonpublic Informal Letter of Admonition to the Respondent for a lower-level violation of the Code of Conduct.
18-0008-F	25Jun2018	Formal investigation into allegations that a DCPS employee assisted a contractor in defrauding the District government.	Stayed - US District Court Case	Y	
18-0001-F J. Niles	16Feb2018	Formal Investigation into allegations that a public official failed to act impartially in violation of the DPM and Mayor's Orders, which govern discretionary transfers.	Open - (Closed 2/7/19)	Y	On February 7, 2019, the Ethics Board approved a negotiated disposition in which the Respondent agreed to a public censure for violating the Code of Conduct's prohibition on appearing to give preferential treatment to another.
18-0002-F A. Wilson	16Feb2018	Formal Investigation into allegations that a public official failed to act impartially in violation of the DPM and Mayor's Orders, which govern discretionary transfers.	Open - Negotiations	Y	
19-0003-P A. Beasley	30Oct2018	Allegations that a District employee improperly used their position for the financial benefit of a relative or household member.	Closed - Pending Collection	N	The Office opened a preliminary investigation (19-0007-C) into these allegations and on 12/18/2018, the Ethics Board approved a negotiated disposition imposing a \$500 fine against Respondent, Amber Beasley, for use of public office for private gain.
19-0002-P	13Dec2018	Allegations that the complainant received a robo-call from a public official improperly supporting an ANC candidate.	Closed FINAL (Consolidated with Prior Case)	N	This matter was consolidated with another complaint we received regarding the same allegations and closed on 12/13/2018.
19-0001-P	13Dec2018	Allegations that the complainant received a robo-call from a public official improperly supporting an ANC candidate.	Open	N	
19-0001-F	31Oct2018	Formal investigation regarding a former District employee who pled guilty to federal bribery charges for accepting more than \$140,000 in bribes from a consultant and independent contractor who did business with the District.	Stayed - US District Court Case	Y	
19-0004-P	27Dec2018	Allegations that an organization engaged in lobbying activity without being properly registered.	Open	N	
19-0016-C	27Nov2018	Allegations that a District employee accepted a bribe in a bid-rigging scheme.	Closed FINAL; Investigation Initiated	N	The Office opened a formal investigation (Case No. 19-0002-F) in this matter on 1/3/2019.

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19-0012-C	16Nov2018	Allegations that the complainant received a robo-call from a public official improperly supporting a candidate for elective office.	Closed FINAL; Investigation Initiated	N	The Office opened a preliminary investigation (Case No. 19-0001-P) into these allegations on 12/13/2018.
19-0011-C	16Nov2018	Allegations that the complainant received a robo-call from a public official improperly supporting a candidate for elective office.	Closed FINAL; Investigation Initiated	N	The Office opened a preliminary investigation (Case No. 19-0001-P) into these allegations on 12/13/2018.
19-0008-C	30Oct2018	Reviewed media reports that a former District employee pled guilty to federal bribery charges for accepting more than \$140,000 in bribes from a consultant and independent contractor who did business with the District.	Closed FINAL; Investigation Initiated	N	The Office opened a formal investigation (19-0001-F) into these allegations on 10/31/2018.
19-0007-C	30Oct2018	Allegations that a District employee improperly used their position for the financial benefit of a relative or household member.	Closed FINAL; Investigation Initiated	N	The Office opened a preliminary investigation (19-0003-P) into these allegations and on 12/18/2018, the Ethics Board approved a negotiated disposition imposing a \$500 fine against Respondent, Amber Beasley, for use of public office for private gain.
19-0005-C	25Oct2018	Allegations that a District employee improperly divulged non-public information to an unauthorized person	Closed FINAL (Consolidated with Prior Case)	N	On 10/25/2018, this complaint was consolidated into a preliminary investigation (18-0038-P) the Office previously initiated to investigate the same allegations raised in this complaint.
19-0003-C	25Oct2018	Allegations that an ANC Commissioner unlawfully participated in a Tax Sale conducted by the District in violation of 9 DCMR §340.	Closed FINAL	N	On 12/31/2018, the Director of Government Ethics dismissed this matter because there was insufficient evidence to believe that a Code of Conduct violation had occurred.
19-0002-C	11Oct2018	Allegations that a District employee misused a government security camera.	Closed FINAL	N	On 10/4/2018, the Board dismissed this matter because there was insufficient evidence to support a reasonable belief that a Code of Conduct violation had occurred.
19-0001-C	11Sep2018	Allegations that District employees violated the Local Hatch Act by hosting a fundraiser for a State Board of Education candidate	Closed FINAL	N	On 10/4/2018, the Board dismissed this matter because there was insufficient evidence to support a reasonable belief that a Code of Conduct violation had occurred.
		* This report is current as of December 31, 2018. It does not reflect activity and dispositions after December 31, 2018.			

FY19 Complaint Report - Board of Ethics and Government Accountability - April 1, 2019 - June 30, 2019*

Case Number	Date Initiated	Description	Current Status	Board Referral (Y/N)	Disposition (including fines & penalties imposed and allegations dismissed by the Board)
19-0010-P	26Jun2019	Allegations that senior staff in a District agency directed District employees to manipulate a procurement process for improper purposes.	Open	N	
19-0009-P	26Apr2019	Allegations that a District employee misused government time and resources by using her office as the location for her children's after-school care for approximately 1.5 weeks.	Closed FINAL	N	On 6/11/2019, the Director of Government Ethics issued a Nonpublic Informal Letter of Admonition to the Respondent for a lower-level violation of the Code of Conduct.
19-0008-P	02Apr2019	Preliminary Investigation into allegations that an employee committed time and attendance fraud, and engaged in impermissible outside employment.	Stayed - OIG Investigation	N	
19-0007-P	02Apr2019	Complaint alleging that public officials have ignored a lawful request to turn over District government financial records and refused to do so.	Stayed - OIG Investigation	N	
19-0006-P	14Mar2019	Formal investigation into allegations that a former District employee illegally channeled \$400,000 in SNAP and TANF benefits to a benefit card he fraudulently created in another person's name.	Stayed - US District Court Case	N	
19-0004-P	06Mar2019	Allegations that an organization engaged in lobbying activity without being properly registered.	Closed FINAL	N	On April 3, 2019, the Director of Government Ethics dismissed this matter because there was insufficient evidence to believe that a Code of Conduct violation had occurred.
19-0001-P B. Todd	13Dec2018	Allegations that the complainant received a robo-call from a public official improperly supporting an ANC candidate.	Closed FINAL	Y	On May 9, 2019, the Ethics Board approved a negotiated disposition in which the Respondent agreed to a public reprimand for violating the Code of Conduct's prohibition on using government time or resources for purposes other than official government business and the Code of Conduct's prohibition on using government time or resources to support or oppose any candidate for elective office.
18-0038-P	20Sep2018	Preliminary Investigation into allegations that employee used public office for private gain.	Open	N	
18-0037-P	20Sep2018	Preliminary Investigation into allegations that employee had a conflict of interest in awarding a grant to spouse's company.	Open	N	
18-0035-P	22Aug2018	Preliminary Investigation into allegations that an employee improperly distributed free parking in a District government parking facility.	Open	N	

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18-0033-P	09Jul2018	Preliminary investigation into allegations an employee worked in excess of 2 telework days per work week.	Closed FINAL	N	On April 20, 2019, the Director of Government Ethics dismissed this matter because there was insufficient evidence to believe that a Code of Conduct violation had occurred.
18-0024-P	20Jun2018	Preliminary investigation into allegations that an employee improperly manipulated a District agency's grant award process and failed to treat a qualified applicant impartially.	Open	N	
18-0023-P	23May2018	Preliminary Investigation into allegations that an employee misused government time or allowed others to misuse government resources.	Open	N	
18-0022-P	23May2018	Preliminary Investigation into allegations that an employee misused government time or allowed others to misuse government resources.	Open	N	
18-0021-P	23May2018	Preliminary Investigation into allegations that an employee misused government time or allowed others to misuse government resources.	Open	N	
18-0020-P	23May2018	Preliminary Investigation into allegations that an employee misused government time or allowed others to misuse government resources.	Open	N	
18-0018-P	25Apr2018	Preliminary investigation into allegations of a conflict of interest regarding open source awards to companies affiliated with a current employee	Open	N	
18-0017-P	13Apr2018	Preliminary investigation into allegations that employee failed to disclose business on financial disclosure statement.	Open	N	
18-0015-P	15Mar2018	Formal investigation into allegations that an employee provided confidential information and worked for a prohibited source.	Stayed - OIG Investigation	N	
18-0008-P	09Feb2018	Formal investigation into allegations that employee provided confidential information to an unauthorized person in exchange for payment.	Stayed - OIG Investigation	N	
18-0003-P S. Lee	09Jan2018	Preliminary Investigation into allegations that an employee received kickbacks in exchange for participation in the altering of official government records and monitoring of staff, and that an employee received improper gifts from a subordinate.	Closed FINAL	Y	On May 9, 2019, the Ethics Board approved a negotiated disposition requiring one of the Respondents to pay a fine of \$500, while the case against the second Respondent remained open.
17-0035-P	22Sep2017	Preliminary investigation into allegations that an employee had a possible conflict of interest involving a local architecture firm	Stayed - OAG False Claims Act Case	N	

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17-0034-P	20Sep2017	Preliminary Investigation into allegations that an employee was wrongfully compensated for falsely claiming injuries from an alleged assault that occurred while they were on duty.	Open - Show Cause Hearing	N	
17-0020-P	24May2017	Preliminary investigation into allegations that an employee circumvented procurement laws and regulations by providing himself and his employees travel reimbursements.	Stayed - OIG Investigation	N	
17-0008-P	25Jan2017	Allegations that a supervisory employee has violated multiple ethics laws and is abusing power.	Stayed - OIG Investigation	N	
17-0001-P	13Oct2016	Preliminary investigation into allegations that an employee solicited and accepted a gift from a prohibited source	Stayed - DC Superior Court Case	N	
16-0043-P A. Shakiri	18May2016	Formal Investigation into allegations that employee did not disclose over \$170,000 in outside income on his Financial Disclosure Statement.	Closed FINAL	Y	On June 6, 2019, the Ethics Board approved a negotiated disposition requiring the Respondent to pay a fine of \$1,000.
16-0012-P M. Chuko	12Jan2016	Preliminary investigation into allegations that agency employees received gifts from a prohibited source	Closed - Pending Collection	N	On June 11, 2019, the Director of Government Ethics imposed a \$2,500 ministerial fine on the Respondent for accepting a gift from a prohibited source and for failing to protect and conserve government property.
19-0006-F	14Mar2019	Formal investigation into allegations that a former District employee defrauded the District of more than \$1.4 million by using the employee's position to improperly benefit his own financial interests.	Stayed - US District Court Case	N	
19-0005-F	13Mar2019	Allegations that a District employee committed perjury and made false statements in testimony before the District of Columbia Council.	Closed FINAL	N	On June 6, 2019, the Ethics Board voted to dismiss this matter because there was insufficient evidence to believe that a Code of Conduct violation had occurred.
19-0003-F K. Crosswhite	09Jan2019	Preliminary investigation into allegations that an employee approved overtime pay for a relative.	Open - Board NOV Hearing	Y	On June 6, 2019, the Ethics Board voted to approve a Notice of Violation charging the Respondent with violating the Code of Conduct and the Respondent filed his response to the Notice on June 28, 2019.
19-0001-F	31Oct2018	Formal investigation regarding a former District employee who pled guilty to federal bribery charges for accepting more than \$140,000 in bribes from a consultant and independent contractor who did business with the District.	Stayed - US District Court Case	N	
18-0008-F	25Jun2018	Formal investigation into allegations that a DCPS employee assisted a contractor in defrauding the District government.	Stayed - US District Court Case	N	

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16-0019-F	06Sep2016	Formal investigation from OIG Report of Investigation finding that a former Grants Management Specialist and a Contractor engaged in a scheme to defraud the D.C. government in the amount of \$52,700.	Stayed - OAG False Claims Act Case	N	
16-0002-F	11Nov2015	Preliminary Investigation into allegations that an employee had a family member working under them during the summer.	Closed Pending Appeal to DC Court of Appeals	N	
19-0087-C	20Jun2019	Investigation into allegations two former public officials used District property for unauthorized purposes.	Open	N	
19-0078-C	27Jun2019	Allegations that a District employee failed to fully cooperate with a BEGA preliminary investigation.	Open	N	
19-0075-C	20Jun2019	A District public official is accused of misusing government property.	Open	N	
19-0074-C	20Jun2019	Investigation into allegations two former public officials used District property for unauthorized purposes.	Open	N	
19-0073-C	14Jun2019	Allegations that a District official placed illegal signs in public space, on trees, on historic buildings, and on cars in May 2019.	Open	N	
19-0072-C	14Jun2019	Allegations that a District employee solicited and accepted a free lunch from a prohibited source.	Open	N	
19-0071-C	07Jun2019	Allegations that three District employees inappropriately approved invoices that were incorrectly submitted by vendors.	Open	N	
19-0070-C	20May2019	Allegations that two District employees violated the Code of Conduct by failing to act impartially and providing preferential treatment to private organizations or individuals.	Open	N	
19-0069-C	29May2019	Allegations that a District official used government time and/or resources for unauthorized purposes.	Closed FINAL	N	On May 29, 2019, the Director of Government Ethics dismissed this matter because there was insufficient evidence to believe that a Code of Conduct violation had occurred.
19-0068-C	17May2019	Allegations that a District employee violated the Code of Conduct by personally and substantially participating in a particular matter that could affect the employee's own financial interests.	Open	N	
19-0067-C	15May2019	Allegations that a District employee violated the Code of Conduct by falsifying District records.	Open	N	

FY19 Complaint Report - Board of Ethics and Government Accountability - April 1, 2019 - June 30, 2019*

19-0065-C	01May2019	Allegations that a District employee was taking advantage of contractors' time and attendance.	Closed FINAL (Referred to Other Agency)	N	On June 3, 2019, OGE referred this complaint to the Department of Employment Services for action deemed appropriate because the allegations appear to fall within the Office of Wage-Hour Compliance's purview.
19-0064-C	01May2019	Allegations that a District employee violated the Code of Conduct by serving as an officer or director of an outside entity that might be in an official government action or decision taken or recommended by the employee.	Open	N	
19-0063-C	01May2019	Allegations that a District employee forged an HR employee's signature on an insurance verification of employment.	Open	N	
19-0062-C	23Apr2019	Allegations that a District employee failed to act impartially and provided preferential treatment to a private organization.	Closed FINAL	N	On June 10, 2019, the Director of Government Ethics dismissed this matter because there was insufficient evidence to believe that a Code of Conduct violation had occurred.
19-0061-C	17Apr2019	Allegations that a District official had an impermissible conflict of interest due to a relationship with an outside organization.	Closed FINAL	N	On June 25, 2019, the Director of Government Ethics dismissed this matter because there was insufficient evidence to believe that a Code of Conduct violation had occurred.
19-0060-C	17Apr2019	Allegations that a staff member of a member of Congress who does not represent the District of Columbia treated the Complainant rudely.	Closed FINAL	N	On April 18, 2019, the Director of Government Ethics dismissed this matter because the Office of Government Ethics lacked jurisdiction over the matter.
19-0057-C	04Apr2019	Allegations that a District employee violated the post-employment restrictions.	Open	N	
19-0056-C	04Apr2019	Allegations that a District official used government time and/or resources for unauthorized purposes.	Closed FINAL	N	On May 9, 2019, the Director of Government Ethics dismissed this matter because there was insufficient evidence to believe that a violation of the Code of Conduct had occurred.
19-0055-C	02Apr2019	Allegations that a District official did not reside within the boundaries of an office for which the official had been elected.	Closed FINAL (Referred to Other Agency)	N	On April 19, 2019, OGE referred this complaint to the Board of Elections because the allegations appear to fall within its purview.
19-0054-C	02Apr2019	Allegations that a District employee failed to act impartially and provided preferential treatment to a subordinate.	Open	N	
19-0053-C	01Apr2019	Allegations that a District employee used government time and/or resources for unauthorized purposes, and failed to disclose outside business interests on the employee's Financial Disclosure Form.	Open	N	

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19-0051-C	29Mar2019	Allegations that a District official had an impermissible conflict of interest due to a relationship with an outside organization.	Closed FINAL	N	On June 17, 2019, the Director of Government Ethics dismissed this matter because there was insufficient evidence to believe that a violation of the Code of Conduct had occurred.
19-0049-C	26Mar2019	Allegations that a District official used government time and/or resources for unauthorized purposes.	Open	N	
19-0048-C	18Mar2019	Allegations that a District employee violated the post-employment restrictions.	Open	N	
19-0045-C	13Mar2019	Allegations that a District employee exceeded the scope of the employee's authority and advised other District officials to violate applicable laws or regulations.	Open	N	
19-0043-C	11Mar2019	Allegations that a District supervisor failed to establish internal controls for the supervisor's unit and failed to manage the unit's operations in accordance with established regulations and professional standards.	Closed FINAL	N	On April 4, 2019, the Director of Government Ethics dismissed this matter because there was insufficient evidence to believe that a violation of the Code of Conduct had occurred.
19-0042-C	12Mar2019	Allegations that District officials violated an applicable District law.	Open	N	
19-0040-C	11Mar2019	Allegation that a District official failed to act impartially toward a private individual.	Closed FINAL	N	On April 2, 2019, the Director of Government Ethics dismissed this matter because the Office of Government Ethics lacked jurisdiction over the matter.
19-0039-C	20Dec2018	Allegations that a District employee used his position or title in a manner that the employee knew was likely to have a direct and predicable effect on the employee's own financial interests.	Open	N	
19-0038-C	26Feb2019	Complaint that a District employee made inappropriate comments in the workplace.	Closed FINAL (Referred to Other Agency)	N	On April 9, 2019, OGE referred this complaint to another District agency with primary jurisdiction over the allegations.
19-0037-C	01Mar2019	Allegations that a District employee used information that is not available to the public for a non-governmental purpose.	Open	N	
19-0035-C	27Feb2019	Allegations that a District official used government time and/or resources for unauthorized purposes.	Closed FINAL	N	On June 28, 2019, the Director of Government Ethics dismissed this matter because there was insufficient evidence to believe that a violation of the Code of Conduct had occurred.
19-0034-C	25Feb2019	Allegations that two District employees exceeded the scope of their authority and violated a District law or regulation by obtaining goods and services without having a valid purchase order in place, or where the purchase or service exceeded the amount on the purchase order.	Closed FINAL	N	On June 27, 2019, the Director of Government Ethics dismissed this matter because there was insufficient evidence to believe that a violation of the Code of Conduct had occurred.

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19-0032-C	16Aug2018	Allegations that District officials committed mismanagement.	Open	N	
19-0025-C	15Jan2019	Preliminary Investigation into allegations that an employee misused government time or allowed others to misuse government resources.	Open	N	
19-0024-C	09Jan2019	Allegations that a District employee exceeded the scope of the employee's authority.	Closed FINAL	N	On April 2, 2019, the Director of Government Ethics dismissed this matter because there was insufficient evidence to believe that a violation of the Code of Conduct had occurred.
19-0020-C	27Dec2018	Allegations that a District official improperly used a government purchase card to pay hotel fees exceeding the maximum per diem rate.	Closed FINAL	N	On April 18, 2019, the Director of Government Ethics dismissed this matter because there was insufficient evidence to believe that a violation of the Code of Conduct had occurred.
19-0017-C	08Nov2018	Allegations that a District official failed to act impartially and gave preferential treatment to a private person or organization.	Open	N	
19-0015-C	16Nov2018	Allegations that a District official is improperly using his official position for his own personal financial benefit.	Closed FINAL	N	On June 27, 2019, the Director of Government Ethics dismissed this matter because there was insufficient evidence to believe that a violation of the Code of Conduct had occurred.
19-0014-C	16Nov2018	Allegations that a District official failed to act impartially and gave preferential treatment to a private person or organization, creating the appearance of impropriety.	Closed FINAL	N	On June 26, 2019, the Director of Government Ethics dismissed this matter because there was insufficient evidence to believe that a violation of the Code of Conduct had occurred.
19-0010-C	02Nov2018	Allegations that an attorney employed by a District agency improperly engaged in ex parte communications with a judge employed by her agency.	Closed FINAL	N	On June 26, 2019, the Director of Government Ethics dismissed this matter because there was insufficient evidence to believe that a violation of the Code of Conduct had occurred.
19-0009-C	30Oct2018	Allegations that a District official improperly communicated with businesses and the media on issues being deliberated by the District entity on which the official served.	Open	N	
19-0006-C	30Oct2018	Allegations that District employees are improperly operating a private payday loan business at their District agency during their tour of duty.	Open	N	
19-0004-C	25Oct2018	Allegations that a District official had a financial conflict of interest, and failed to act impartially or gave preferential treatment to a private organization.	Closed FINAL	N	On April 4, 2019, the Director of Government Ethics dismissed this matter because there was insufficient evidence to believe that a violation of the Code of Conduct had occurred.
		* This report is current as of June 30, 2019. It does not reflect activity and dispositions after June 30, 2019.			

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Case Number	Date Initiated	Description	Current Status	Board Referral (Y/N)	Disposition (including fines & penalties imposed and allegations dismissed by the Board)
16-0019-F	06Sep2016	Formal investigation from OIG Report of Investigation finding that a former Grants Management Specialist and a Contractor engaged in a scheme to defraud the D.C. government in the amount of \$52,700.	Stayed - OAG False Claims Act Case	N	
17-0001-P	13Oct2016	Preliminary investigation into allegations that an employee solicited and accepted a gift from a prohibited source	Stayed - DC Superior Court Case	N	
17-0008-P	25Jan2017	Allegations that a supervisory employee has violated multiple ethics laws and is abusing power.	Open	N	
17-0020-P	24May2017	Preliminary investigation into allegations that an employee circumvented procurement laws and regulations by providing himself and his employees travel reimbursements.	Stayed - OIG Investigation	N	
17-0023-P A. Priest	31May2017	Preliminary investigation into allegations that a former employee used government resources to operate a private company.	Closed FINAL	Y	On July 11, 2019, the Ethics Board approved a negotiated disposition in which the Respondent agreed to a public reprimand for violating the District's rules on accepting and using a gift or donation from a private person or organization and two other violations of the Code of Conduct.
17-0024-P	31May2017	Preliminary investigation into allegations that an employee was involved in soliciting donations from private donors in violation of the District's established process.	Closed FINAL	N	On March 15, 2019, the Director of Government Ethics issued a Nonpublic Informal Letter of Admonition to the Respondent.
17-0034-P S. Johnson	20Sep2017	Preliminary Investigation into allegations that an employee was wrongfully compensated for falsely claiming injuries from an alleged assault that occurred while they were on duty.	Closed - Pending Collection	N	On July 3, 2019, the Director of Government Ethics imposed a \$4,000 ministerial fine on the Respondent for failing to protect and conserve the District government's property, and for obstructing an investigation conducted by a District agency.
17-0035-P	22Sep2017	Preliminary investigation into allegations that an employee had a possible conflict of interest involving a local architecture firm.	Stayed - OAG False Claims Act Case	N	
18-0003-P S. Lee	09Jan2018	Preliminary Investigation into allegations that an employee received kickbacks in exchange for participation in the altering of official government records and monitoring of staff, and that an employee received improper gifts from a subordinate.	Closed FINAL	Y	On May 9, 2019, the Ethics Board approved a negotiated disposition requiring one of the Respondents to pay a fine of \$500. On July 9, 2019, the Director of Government Ethics issued a Nonpublic Informal Letter of Admonition to the second Respondent.

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18-0006-P	22Jan2018	Formal Investigation into allegations that an elected official took an action or participated in a decision that affected their (or an affiliated person's) financial interest.	Stayed - OIG Investigation	N	
18-0008-F	25Jun2018	Formal investigation into allegations that a DCPS employee assisted a contractor in defrauding the District government.	Stayed - US District Court Case	N	
18-0016-P N. Parker	27Mar2018	Preliminary investigation into allegations that an employee operated a business within the agency using government property.	Closed FINAL	N	On May 23, 2019, the Director of Government Ethics imposed a \$1,000 ministerial fine on the Respondent for misuse of government property which the Respondent paid on July 24, 2019.
18-0017-P	13Apr2018	Preliminary investigation into allegations that employee failed to disclose business on financial disclosure statement.	Open	N	
18-0018-P	25Apr2018	Preliminary investigation into allegations of a conflict of interest regarding open source awards to companies affiliated with a current employee, and that the employee failed to submit a complete and accurate Financial Disclosure Statement for Calendar Year 2017.	Open	N	
18-0020-P	23May2018	Preliminary Investigation into allegations that an employee misused government time or allowed others to misuse government resources.	Open	N	
18-0021-P	23May2018	Preliminary Investigation into allegations that an employee misused government time or allowed others to misuse government resources.	Open	N	
18-0022-P	23May2018	Preliminary Investigation into allegations that an employee misused government time or allowed others to misuse government resources.	Open	N	
18-0023-P	23May2018	Preliminary Investigation into allegations that an employee misused government time or allowed others to misuse government resources.	Open	N	
18-0024-P	20Jun2018	Preliminary investigation into allegations that an employee improperly manipulated a District agency's grant award process and failed to treat a qualified applicant impartially.	Closed FINAL	N	On September 3, 2019, the Director of Government Ethics dismissed this matter because there was insufficient evidence to believe that a Code of Conduct violation had occurred.
18-0035-P	22Aug2018	Preliminary Investigation into allegations that an employee improperly distributed free parking in a District government parking facility.	Open	N	
18-0037-P	20Sep2018	Preliminary Investigation into allegations that employee had a conflict of interest in awarding a grant to spouse's company.	Open	N	
18-0038-P	20Sep2018	Preliminary Investigation into allegations that employee used public office for private gain.	Open	N	

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19-0001-F	31Oct2018	Formal investigation regarding a former District employee who pled guilty to federal bribery charges for accepting more than \$140,000 in bribes from a consultant and independent contractor who did business with the District.	Stayed - US District Court Case	N	
19-0002-F	03Jan2019	Formal investigation regarding a former District employee who pled guilty to federal fraud charges in connection with a bid-rigging scheme.	Closed FINAL	Y	On March 14, 2019, the Ethics Board voted to dismiss this matter without prejudice because the U.S. District Court for the District of Columbia had sentenced the Respondent to 13 months imprisonment, 24 months supervised release and a \$2,500 fine, and the interests of judicial economy would be best served by dismissal without prejudice under such circumstances.
19-0003-F	27Jun2018	Preliminary investigation into allegations that an employee approved overtime pay for a relative.	Open - Board NOV Hearing	Y	
19-0005-P S. Mattavous-Frye	11Mar2019	Allegations that a supervisory employee ordered a subordinate to perform non-official duties.	Closed FINAL	Y	On July 11, 2019, the Board approved a Negotiated Disposition requiring the Respondent to pay a \$5,000 fine.
19-0006-C	30Oct2018	Allegations that District employees are improperly operating a private payday loan business at their District agency during their tour of duty.	Open	N	
19-0006-F	14Mar2019	Formal investigation into allegations that a former District employee defrauded the District of more than \$1.4 million by using the employee's position to improperly benefit his own financial interests.	Closed FINAL	N	On September 5, 2019, the Ethics Board voted to dismiss this matter without prejudice because the U.S. District Court for the District of Columbia had sentenced the Respondent to 84 months imprisonment, 36 months of supervised release, \$1,456,985.00 in restitution and a special assessment of \$100.00, and the interests of judicial economy would be best served by dismissal without prejudice under such circumstances.
19-0006-P	14Mar2019	Formal investigation into allegations that a former District employee illegally channeled \$400,000 in SNAP and TANF benefits to a benefit card he fraudulently created in another person's name.	Open	N	
19-0007-F	03Jul2019	A District employee is accused of violating standard agency procedures after an individual filed a complaint against one of the Respondent's relatives or close friends of the Respondent.	Open	N	
19-0007-P	02Apr2019	Complaint alleging that public officials have ignored a lawful request to turn over District government financial records and refused to do so.	Stayed - OIG Investigation	N	

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19-0008-F	09Jul2019	Formal Investigation into allegations that an employee is soliciting business from companies that do business with their agency.	Open	N	
19-0008-P	02Apr2019	Preliminary Investigation into allegations that an employee committed time and attendance fraud, and engaged in impermissible outside employment.	Stayed - OIG Investigation	N	
19-0009-F	22Aug2019	Formal investigation into allegations that a District employee violated the District's whistleblower protection rules.	Open	N	
19-0010-F	03Sep2019	Formal investigation into allegations that employee provided confidential information to an unauthorized person in exchange for payment.	Stayed - OIG Investigation	N	
19-0010-P	26Jun2019	Allegations that senior staff in a District agency directed District employees to manipulate a procurement process for improper purposes.	Open	N	
19-0011-F	03Sep2019	Formal investigation into allegations that an employee provided confidential information and worked for a prohibited source.	Stayed - OIG Investigation	N	
19-0011-P J. Evans	01Jul2019	Allegations that a District official attempted to use the prestige of his office for personal gain, and misused government resources by ordering, directing or requesting a subordinate perform personal services unrelated to official government business during regular work hours.	Closed - Pending Collection	Y	On August 8, 2019, the Board approved a Negotiated Disposition requiring the Respondent to pay a \$20,000 fine.
19-0012-P	06Aug2019	Allegations that a District employee failed to fully cooperate with a BEGA preliminary investigation.	Open	N	
19-0013-C	16Nov2018	Allegations that a District employee improperly communicated with a private citizen about the results of an election.	Closed FINAL	N	On December 27, 2018, the Director of Government Ethics dismissed this matter because there was insufficient evidence to believe that a Code of Conduct violation had occurred.
19-0013-P	06Aug2019	Allegations that a District employee forged an HR employee's signature on an insurance verification of employment.	Open	N	
19-0014-P	06Aug2019	Allegations that a District employee has hired and daily supervises several relatives in violation of the District's anti-nepotism rules.	Open	N	
19-0015-P	06Aug2019	A District public official is accused of misusing government property.	Open	N	
19-0016-P	27Aug2019	Allegations that a District employee used government time and/or resources for unauthorized purposes, and failed to disclose outside business interests on the employee's Financial Disclosure Form.	Open - Negotiations	N	

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19-0017-P	30Aug2019	Allegations that a District employee misused government resources by performing personal services unrelated to official government business during regular work hours.	Open - Negotiations	N	
19-0018-P	30Sep2019	Allegations that a District manager instructed a District contractor to perform personal services unrelated to official government business during regular work hours.	Open	N	
19-0019-P	30Sep2019	Allegations that a District manager improperly pressured a District contractor to select a particular subcontractor to work on a project for the District manager's agency.	Open	N	
19-0020-C	27Dec2018	Allegations that a District official improperly used a government purchase card to pay hotel fees exceeding the maximum per diem rate.	Closed FINAL	N	On April 18, 2019, the Director of Government Ethics dismissed this matter because there was insufficient evidence to believe that a Code of Conduct violation had occurred.
19-0021-C	31Dec2018	Allegations that a District official improperly used their official title and position for for personal gain.	Closed FINAL	N	On December 31, 2018, the Director of Government Ethics dismissed this matter because there was insufficient evidence to believe that a Code of Conduct violation had occurred.
19-0020-P	31Jul2019	Allegations that a District employee improperly accepted gifts from other District employees with lower salaries.	Open - Show Cause Hearing	N	
19-0022-C	03Jan2019	Allegations that a District official took action or used the official's position in a manner the official knew was likely to have a direct and predictable effect on the official's own financial interests.	Open	N	On January 22, 2019, the Director of Government Ethics dismissed this matter because there was insufficient evidence to believe that a Code of Conduct violation had occurred.
19-0023-C	03Jan2019	Allegations that a District official had an improper conflict of interest due to an affiliation with an outside organization.	Open	N	
19-0028-C	22Jan2019	Allegations that a U.S. Congresswoman engaged in unethical conduct.	Closed FINAL	N	On January 22, 2019, the Director of Government Ethics dismissed this matter because there was insufficient evidence to believe that a Code of Conduct violation had occurred.
19-0029-C	28Jan2019	Complaint alleging that a District supervisor solicited and accepted gifts from one of the supervisor's subordinates.	Closed - Pending Collection	Y	On July 11, 2019, the Board approved a Negotiated Disposition requiring the Respondent to pay a \$3,000 fine.
19-0030-C	04Feb2019	Allegations that a District official improperly shared non-public information to unauthorized persons.	Closed FINAL	N	On March 5, 2019, the Director of Government Ethics dismissed this matter because there was insufficient evidence to believe that a Code of Conduct violation had occurred.
19-0032-C	16Aug2018	Allegations that District officials committed mismanagement and engaged in sexual harassment.	Open	N	

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19-0041-C	12Mar2019	Dispute regarding the propriety of actions taken by the Oklahoma Department of Transportation in an eminent domain case in Oklahoma, which is not within BEGA's jurisdiction.	Closed FINAL	N	On March 12, 2019, the Director of Government Ethics dismissed this matter because there was insufficient evidence to believe that a Code of Conduct violation had occurred.
19-0045-C	13Mar2019	Allegations that a District employee failed to properly execute the employee's job responsibilities and took action that exceeded the employee's legal authority.	Closed FINAL	N	On September 27, 2019, the Director of Government Ethics referred this matter to the employing personnel authority for action deemed warranted.
19-0047-C	18Mar2019	Allegations that a District Supervisor violated Chapter 14 of the District Personnel Manual ("DPM").	Closed FINAL	N	On March 28, 2019, the Director of Government Ethics dismissed this matter because there was insufficient evidence to believe that a Code of Conduct violation had occurred, because the Office of Government Ethics administers and enforces Chapter 18 of the DPM, not Chapter 14, which contains the procedures that apply to review of employee performance ratings.
19-0050-C	29Mar2019	Complaint alleging mistreatment by multiple federal employees at various federal agencies.	Closed FINAL	N	On July 8, 2019, the Director of Government Ethics dismissed this matter because there was insufficient evidence to believe that a Code of Conduct violation had occurred.
19-0052-C	29Mar2019	Complaint alleging that a District manager committed nepotism by advocating for the hiring of one of the manager's relatives.	Closed FINAL	N	On August 20, 2019, the Director of Government Ethics dismissed this matter because there was insufficient evidence to believe that a Code of Conduct violation had occurred.
19-0054-C	02Apr2019	Allegations that a District employee failed to act impartially and provided preferential treatment to a subordinate.	Open	N	
19-0057-C	03Apr2019	Allegations that a District employee violated the post-employment restrictions.	Open	N	
19-0058-C	09Apr2019	Allegations that a District employee bullied other employees by storing the agency's personnel records in the employee's office.	Closed FINAL	N	On April 19, 2019, the Director of Government Ethics dismissed this matter because there was insufficient evidence to believe that a Code of Conduct violation had occurred.
19-0059-C	11Apr2019	Allegations that a District employee bullied another employee by storing the agency's personnel records in the employee's office.	Closed FINAL	N	On April 11, 2019, the Director of Government Ethics dismissed this matter because there was insufficient evidence to believe that a Code of Conduct violation had occurred.
19-0064-C	01May2019	Allegations that a District employee violated the Code of Conduct by serving as an officer or director of an outside entity that might be in an official government action or decision taken or recommended by the employee.	Closed FINAL	N	On July 9, 2019, the Director of Government Ethics dismissed this matter because there was insufficient evidence to believe that a Code of Conduct violation had occurred.

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19-0066-C	02May2019	The Office received a series of anonymously submitted documents regarding expenditures made by a political action committee without any allegations of wrongdoing.	Closed FINAL	N	On July 8, 2019, the Director of Government Ethics dismissed this matter because there was insufficient evidence to believe that a Code of Conduct violation had occurred.
19-0070-C	20May2019	Allegations that two District employees violated the Code of Conduct by failing to act impartially and providing preferential treatment to private organizations or individuals.	Open	N	
19-0072-C	14Jun2019	Allegations that a District employee solicited and accepted a free lunch from a prohibited source.	Closed FINAL	N	On August 23, 2019, the Director of Government Ethics issued a Nonpublic Informal Letter of Admonition to the Respondent.
19-0073-C	14Jun2019	Allegations that a District official placed illegal signs in public space, on trees, on historic buildings, and on cars in May 2019.	Closed FINAL (Referred to Other Agency)	N	On August 20, 2019, the Director of Government Ethics referred this matter to the Mayor's Office of the Clean City, which has original enforcement authority for violations of 24 DCMR §108.
19-0081-C	05Jul2019	Complaint alleging that unnamed District employees wander around their agency without performing their assigned job duties.	Open	N	
19-0083-C	05Jul2019	Allegations that a District supervisor was the subject of a criminal or administrative investigation when the supervisor was hired by the District.	Closed FINAL (Referred to Other Agency)	N	On September 30, 2019, the Office of Government Ethics referred this matter to the employing agency for action deemed warranted.
19-0089-C	17Jul2019	District supervisor allegedly allows a subordinate to commit time and attendance fraud by not requiring her to work beyond the end of her regularly scheduled tour of duty on instances when she shows up late at the beginning of her tour.	Closed FINAL	N	On September 9, 2019, the Director of Government Ethics referred this matter to the employing agency for action deemed warranted.
19-0091-C	05Aug2019	Allegations that District officials have an improper conflict of interest due to their affiliation with an outside organization.	Open	N	
19-0092-C	07Aug2019	Allegations that a District official used her position in an attempt to retaliate against a District employee for making negative comments about the official on social media.	Open	N	
19-0098-C	15Aug2019	Allegations that a District employee violated the Code of Conduct by accepting a free meal from a prohibited source.	Closed FINAL	N	On August 23, 2019, the Director of Government Ethics issued a Nonpublic Informal Letter of Admonition to the Respondent.
19-0099-C	15Aug2019	Referral from the Office of the Inspector General (OIG) alleging that a District manager violated the Code of Conduct by accepting a free meal from a prohibited source.	Closed FINAL	N	On August 23, 2019, the Director of Government Ethics issued a Nonpublic Informal Letter of Admonition to the Respondent.
19-0100-C	16Aug2019	Allegations that a District manager engaged in questionable personnel decisions.	Open		

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19-0103-C	20Aug2019	Complaint alleging that a District employee was observed in the company of suspected drug dealers at a local festival.	Open		
19-0105-C	26Aug2019	Complaint alleging that employees at the Department of Employment Services (DOES) and US Bank failed to deposit payment of unemployment compensation benefits into the Complainant's bank account.	Closed FINAL		On September 30, 2019, the Director of Government Ethics dismissed this matter because there was insufficient evidence to believe that a Code of Conduct violation had occurred.
19-0108-C	04Sep2019	Allegations that three District employees attended a meeting in Tennessee in possible violation of Mayor's Order 2016-081.	Open		
19-0109-C	18Sep2019	Complaint alleging that an individual not employed by the District government owed the Complainant money.	Open		
19-0110-C	18Sep2019	Complaint alleging that a District employee was improperly hired and unqualified for the position.	Open		
19-0111-C	20Sep2019	Complaint alleging that something needed to be done about racial bias related to different prices for homes in different neighborhoods in the District.	Open		
20-0001-P	01Mar2019	Allegations that a District employee used information that is not available to the public for a non-governmental purpose.	Open - Negotiations		
20-0002-P	20Dec2018	Allegations that a District employee used his position or title in a manner that the employee knew was likely to have a direct and predicable effect on the employee's own financial interests.	Open		
20-0003-P	12Mar2019	Allegations that a District official violated the rules governing solicitation and acceptance of gifts and donations from private sector sources.	Open		
20-0004-P	18Mar2019	Allegations that a former District employee has violated the District's post-employment restrictions	Open		
20-0005-C	26Sep2019	Allegations that a public body met without public notice as required by the Open Meetings Act.	Open		
20-0005-P	26Mar2019	Allegations that a District manager misused government property and created an appearance of impropriety.	Open		
20-0006-P	15May2019	Allegations that a District employee violated the Code of Conduct by falsifying District records.	Open		
20-0007-P	17May2019	Allegations that a District employee violated the Code of Conduct by personally and substantially participating in a particular matter that could affect the employee's own financial interests.	Open		

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20-0008-P	05Jun2019	Allegations that three District employees have approved invoices that were incorrectly submitted, co-mingling funds between intra-district agencies and local funding.	Open		
20-0009-P	03Jul2019	Allegations that a District manager has an improper conflict of interest and is giving preferential treatment to a private organization or individual.	Open		
20-0010-P	27Jun2019	Allegations that a District employee misused government property by failing to return a Fleet Share vehicle before leaving town for a work trip.	Open	N	
20-0011-P	19Jun2019	Investigation into allegations two former public officials used District property for unauthorized purposes.	Open	N	
20-0012-P	28Jun2019	Complaint alleging that District employees accepted free trips to an international destination at the expense of customers of the employee's agency.	Open	N	
20-0013-P	18Jul2019	A District employee is accused of submitting falsified transcripts related to courses taken at the District's expense to avoid responsibility for reimbursing the District for those expenses.	Open	N	
20-0014-P	08Aug2019	Allegations that a District manager violated the Local Hatch Act.	Open	N	
20-0015-P	19Aug2019	Allegations that a District public official has misused an official government email account for personal gain and to defame another District public official.	Open	N	
20-0016-P	28Aug2019	Allegations that a District employee entered into an agreement with a contractor without approval and improperly steered a contract to a favored vendor.	Open	N	
20-0017-P	28Aug2019	Allegations that a District employee is misusing his position by improperly waiving fees and required permits for certain events.	Open	N	
20-0018-P	09Sep2019	Allegations that a District employee has received improper financial benefits by bypassing established procedures.	Open	N	
20-0019-P	09Sep2019	Allegations that an organization is engaging in unregistered lobbying activity	Open	N	
20-0020-P	16Aug2019	Allegations that a District official has misused government resources.	Open	N	

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20-0021-P	19Sep2019	Allegations that a former District employee has violated the District's post-employment restrictions	Open	N	
20-0022-P	10Jul2019	Allegations that a District official's outside position of employment constitutes an improper conflict of interest.	Open	N	
20-0023-P	20Jun2019	Investigation into allegations two former public officials used District property for unauthorized purposes.	Open	N	
20-0024-P	15Jan2019	Preliminary Investigation into allegations that an employee misused government time or allowed others to misuse government resources.	Open	N	
20-0028-P	12Aug2019	Allegations that a District official failed to disclose financial conflicts of interest and used the official's position for personal financial gain.	Open	N	
20-0030-P	17Oct2018	Allegations that a District official improperly communicated with businesses and the media on issues being deliberated by the District entity on which the official served, and attempted to destroy official government records.	Open	N	
20-0036-P	26Jul2019	Allegations that two former District employees misused their official positions and government property for their own financial benefit.	Open	N	
20-0037-P	08Nov2018	Allegations that a District official failed to act impartially and gave preferential treatment to a private person or organization.	Open	N	

* This report is current as of September 30, 2019. It does not reflect activity and dispositions after September 30, 2019.

FY20 Complaint Report – Board of Ethics and Government Accountability – October 1, 2019 to December 31, 2019

Number	Date Initiated	Quarterly Complaint Description	Current Status	Disposition	Board Referral (Y/N)
20-0056-P Cebrzynski	07Jan2020	Allegation that a District supervisor solicited and accepted gifts from subordinates.	Closed - Pending Collection	On July 11, 2019, the Ethics Board entered into a Negotiated Disposition with the Respondent.	N
20-0055-P	13Dec2019	Allegation that employees are misusing confidential information.	Open		N
20-0054-P	16Dec2019	Allegation that employees are misusing government resources.	Open		N
20-0053-P	28Dec2019	Allegation that employee has a conflict of interest	Open		N
20-0052-P	24Dec2019	Allegation that an employee has a financial conflict of interest	Open		N
20-0051-P	18Dec2019	Allegation that that employees committed multiple ethical violations	Open		N
20-0050-P	22Oct2019	Allegations that a District manager improperly manipulated the procurement process to provide preferential treatment to certain individuals and companies.	Open		N
20-0048-P	17Dec2019	Allegation that an employee solicited gifts	Open		N

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20-0047-P	17Dec2019	Allegation that an employee has a financial conflict of interest	Open		N
20-0046-P	17Dec2019	Allegations that an employee has a financial conflict of interest	Open		N
20-0045-P	11Dec2019	Allegations that a District supervisor allowed a subordinate to receive improper financial benefits by bypassing established procedures.	Closed FINAL	On December 19, 2019, the Director of Government Ethics issued a confidential, informal admonition in this matter.	N
20-0044-P	18Nov2019	Allegations that a District employee authorized a request for payment to a private organization without a valid contract or purchase order in place.	Open		N
20-0043-P	18Nov2019	Allegations that two District employees have engaged in residency fraud.	Stayed - OAG False Claims Act Case		N
20-0042-P	18Nov2019	Allegations that a District employee misused government resources for unauthorized purposes.	Open		N
20-0041-P	18Nov2019	Allegations that a District supervisor committed multiple ethical violations, including the submission of a Financial Disclosure Statement that contained false and misleading information.	Closed FINAL		N

FY20 Complaint Report – Board of Ethics and Government Accountability – October 1, 2019 to December 31, 2019

20-0040-P	18Nov2019	Allegations that a District employee is using the employee's official position or title to benefit the employee's personal financial interests.	Closed FINAL		N
20-0039-P	06Nov2019	Complaint alleging that a District employee misused government resources by using a pCard to pay for personal expenses.	Open		N
20-0038-P	06Nov2019	Allegation that an employee has a conflict of interest and misuses government resources	Open		N
20-0037-P Alexander	05Nov2019	Allegations that a District official failed to act impartially and gave preferential treatment to a private person or organization.	Closed - Pending Collection	On December 5, 2019, the Ethics Board entered into a Negotiated Disposition with the Respondent.	N
20-0036-P	05Nov2019	Allegations that two former District employees misused their official positions and government property for their own financial benefit.	Stayed - OAG False Claims Act Case		N
20-0035-P	04Nov2019	Allegations that a District employee owns an outside business that constitutes an improper conflict of interest.	Closed FINAL		N
20-0034-P	22Oct2019	Allegations that a District employee submitted mileage reimbursement requests to the employee's agency that were falsely inflated.	Closed FINAL		N

FY20 Complaint Report – Board of Ethics and Government Accountability – October 1, 2019 to December 31, 2019

20-0033-P	22Oct2019	Allegations that a senior District manager provided preferential treatment to a private individual.	Closed FINAL (Referred to Other Agency)		N
20-0032-P	22Oct2019	Allegations that a District manager is receiving kickbacks from a District contractor.	Closed FINAL (Referred to Other Agency)		N
20-0031-P	21Oct2019	Allegations that a District employee has misused government resources.	Closed FINAL		N
20-0030-P	21Oct2019	Allegations that a District official improperly communicated with businesses and the media on issues being deliberated by the District entity on which the official served, and attempted to destroy official government records.	Open - Show Cause Hearing		N
20-0029-P	21Oct2019	Two District employees allegedly defrauded the District by submitting false documentation indicating their children were District residents entitled to attend a District charter school tuition-free when their children actually lived in Maryland with their parents.	Closed FINAL		N
20-0028-P	17Oct2019	Allegations that a District official failed to disclose financial conflicts of interest and used the official's position for personal financial gain.	Closed FINAL		N
20-0027-P	16Oct2019	Allegations that a District employee engaged in outside employment that conflicted with his official duties and	Open		N

FY20 Complaint Report – Board of Ethics and Government Accountability – October 1, 2019 to December 31, 2019

		responsibilities.			
N20-0026-P	10Oct2019	Allegations that a District employee defrauded the District by submitting false documentation indicating the employee's children were District residents entitled to attend a District charter school tuition-free when those children actually lived outside the District.	Stayed - OAG False Claims Act Case		N
2N0-0025-P Giles	10Oct2019	Complaint alleging that a former District employee has violated the District's post-employment restrictions.	Closed FINAL	On December 5, 2019, the Ethics Board entered into a Negotiated Disposition with the Respondent.	N
20-0N024-P	09Oct2019	Preliminary Investigation into allegations that an employee misused government time or allowed others to misuse government resources.	Stayed - OIG Criminal Investigation		N
20-00N23-P	09Oct2019	Investigation into allegations two former public officials used District property for unauthorized purposes.	Open - Show Cause Hearing		N
20-002N2-P	03Oct2019	Allegations that a District official's outside position of employment constitutes an improper conflict of interest.	Closed FINAL		N
20-0021-P	01Oct2019	Allegations that a former District employee has violated the District's post-employment restrictions	Open		N

FY20 Complaint Report – Board of Ethics and Government Accountability – October 1, 2019 to December 31, 2019

20-0020-P	01Oct2019	Allegations that a District official has misused government resources.	Closed FINAL	On December 16, 2019, the Director of Government Ethics issued a confidential, informal admonition in this matter.	N
20-0019-P	01Oct2019	Allegations that an organization is engaging in unregistered lobbying activity.	Open		N
20-0018-P	01Oct2019	Allegations that a District employee has received improper financial benefits by bypassing established procedures.	Open		N
20-0016-P	01Oct2019	Allegations that a District employee entered into an agreement with a contractor without approval and improperly steered a contract to a favored vendor.	Open		N
20-0011-P	01Oct2019	Investigation into allegations two former public officials used District property for unauthorized purposes.	Open - Show Cause Hearing		N
20-0010-P Brock	01Oct2019	Allegations that a District employee misused government property by failing to return a Fleet Share vehicle before leaving town for a work trip.	Closed - Pending Collection	On December 31, 2019, the Director of Government Ethics imposed a \$2500 ministerial fine	N

FY20 Complaint Report – Board of Ethics and Government Accountability – October 1, 2019 to December 31, 2019

				on the Respondent.	
20-0004-P Goldstein	01Oct2019	Allegations that a former District employee has violated the District's post-employment restrictions	Closed FINAL	On December 5, 2019, the Ethics Board entered into a Negotiated Disposition with the Respondent.	N
20-0002-P Aguilar	01Oct2019	Allegations that a District employee used his position or title in a manner that the employee knew was likely to have a direct and predicable effect on the employee's own financial interests.		On November 5, 2019, the Director of Government Ethics issued a confidential, informal admonition in this matter.	
20-0001-P	01Oct2019	Allegations that a District employee used information that is not available to the public for a non-governmental purpose.	Closed - Pending Collection	On December 5, 2019, the Ethics Board entered into a Negotiated Disposition with the Respondent.	

FY20 Complaint Report – Board of Ethics and Government Accountability – October 1, 2019 to December 31, 2019

19-0019-P	30Sep2019	Allegations that a District manager improperly pressured a District contractor to select a particular subcontractor to work on a project for the District manager's agency.	Open		
19-0018-P	30Sep2019	Allegations that a District manager instructed a District contractor to perform personal services unrelated to official government business during regular work hours.	Open		
19-0017-P	30Aug2019	Allegations that a District employee misused government resources by performing personal services unrelated to official government business during regular work hours.	Open - Negotiations		
19-0016-P	27Aug2019	Allegations that a District employee used government time and/or resources for unauthorized purposes, and failed to disclose outside business interests on the employee's Financial Disclosure Form.	Open - Show Cause Hearing		
19-0013-P Butler	06Aug2019	Allegations that a District employee forged an HR employee's signature on an insurance verification of employment.	Closed - Pending Collection	On November 18, 2019, the Director of Government Ethics imposed a ministerial fine of \$3000 on the Respondent.	
19-0012-P	06Aug2019	Allegations that a District employee failed to fully cooperate with a BEGA preliminary investigation.	Open - Show Cause Hearing		

FY20 Complaint Report – Board of Ethics and Government Accountability – October 1, 2019 to December 31, 2019

19-0011-P Evans	01Jul2019	Allegations that a District official attempted to use the prestige of his office for personal gain, and misused government resources by ordering, directing or requesting a subordinate perform personal services unrelated to official government business during regular work hours.	Closed - Pending Collection	On August 8, 2019, the Ethics Board entered into a Negotiated Disposition with the Respondent.	
19-0010-P	26Jun2019	Allegations that senior staff in a District agency directed District employees to manipulate a procurement process for improper purposes.	Open		
19-0008-P	02Apr2019	Preliminary Investigation into allegations that an employee committed time and attendance fraud, and engaged in impermissible outside employment.	Stayed - OIG Criminal Investigation		
19-0007-P	02Apr2019	Complaint alleging that public officials have ignored a lawful request to turn over District government financial records and refused to do so.	Stayed - OIG Criminal Investigation		
18-0038-P Ellis	20Sep2018	Preliminary Investigation into allegations that employee used public office for private gain.	Closed - Pending Collection	On October 18, 2019, the Director of Government Ethics imposed a ministerial fine of \$5000 on the	

FY20 Complaint Report – Board of Ethics and Government Accountability – October 1, 2019 to December 31, 2019

				Respondent	
18-0020-P	23May2018	Preliminary Investigation into allegations that an employee misused government time or allowed others to misuse government resources.	Stayed - OIG Criminal Investigation		
18-0018-P Wube	25Apr2018	Preliminary investigation into allegations of a conflict of interest regarding open source awards to companies affiliated with a current employee, and that the employee failed to submit a complete and accurate Financial Disclosure Statement for Calendar Year 2017.	Closed - Pending Collection	On November 7, 2019, the Ethics Board entered into a Negotiated Disposition with the Respondent.	
18-0006-P Evans	22Jan2018	Formal Investigation into allegations that an elected official took an action or participated in a decision that affected their (or an affiliated person's) financial interest.	Stayed - OIG Criminal Investigation		
17-0035-P	22Sep2017	Preliminary investigation into allegations that an employee had a possible conflict of interest involving a local architecture firm.	Open		
17-0020-P	24May2017	Preliminary investigation into allegations that an employee circumvented procurement laws and regulations by providing himself and his employees travel reimbursements.	Stayed - OIG Criminal Investigation		
17-0008-P	25Jan2017	Allegations that a supervisory employee has violated multiple	Open		

FY20 Complaint Report – Board of Ethics and Government Accountability – October 1, 2019 to December 31, 2019

		ethics laws and is abusing power.			
17-0001-P Guandique	13Oct2016	Preliminary investigation into allegations that an employee solicited and accepted a gift from a prohibited source	Closed - Pending Collection	On December 4, 2019, the Director of Government Ethics imposed a ministerial fine of \$2000 on the Respondent.	
20-0004-F	04Nov2019	Formal complaint alleging a District official violated the Code of Conduct by personally participating in matters in which the official had a personal interest.	Open		Y
20-0003-F	21Oct2019	Allegation that an employee has misused government resources.	Open		Y
20-0002-F	02Oct2019	Allegations that a District employee violated the nepotism rules.	Open		Y
20-0001-F Lyde- Lancaster	02Oct2019	Allegations that a District employee has hired and daily supervises several relatives in violation of the District's anti-nepotism rules.	Closed – Pending Collection	The Ethics Board entered into a negotiated disposition with the Respondent on February 6, 2020.	Y

FY20 Complaint Report – Board of Ethics and Government Accountability – October 1, 2019 to December 31, 2019

19-0011-F	03Sep2019	Formal investigation into allegations that an employee provided confidential information and worked for a prohibited source.	Stayed - OIG Criminal Investigation		
19-0010-F	03Sep2019	Formal investigation into allegations that employee provided confidential information to an unauthorized person in exchange for payment.	Stayed - OIG Criminal Investigation		
19-0009-F	22Aug2019	Formal investigation into allegations that a District employee violated the District's whistleblower protection rules.	Closed FINAL	The Ethics Board dismissed this matter on November 7, 2019	Y
19-0008-F Wyche	09Jul2019	Formal Investigation into allegations that an employee is soliciting business from companies that do business with their agency.	Closed -- Pending Collection	The Ethics Board entered into a negotiated disposition with the Respondent on January 9, 2020.	Y
19-0007-F	03Jul2019	A District employee is accused of violating standard agency procedures after an individual filed a complaint against one of the Respondent's relatives or close friends of the	Closed FINAL	The Ethics Board dismissed this matter on	Y

FY20 Complaint Report – Board of Ethics and Government Accountability – October 1, 2019 to December 31, 2019

		Respondent.		October 7, 2019.	
19-0003-F Crosswhite	09Jan2019	Preliminary investigation into allegations that an employee approved overtime pay for a relative.	Closed - Pending Collection	The Ethics Board entered into a negotiated disposition with the Respondent on October 3, 2019.	Y
19-0001-F	31Oct2018	Formal investigation regarding a former District employee who pled guilty to federal bribery charges for accepting more than \$140,000 in bribes from a consultant and independent contractor who did business with the District.	Stayed - US District Court Case		
18-0008-F	25Jun2018	Formal investigation into allegations that a DCPS employee assisted a contractor in defrauding the District government.	Stayed - US District Court Case		
16-0019-F	06Sep2016	Formal investigation from OIG Report of Investigation finding that a former Grants Management Specialist and a Contractor engaged in a scheme to defraud the D.C. government in the amount of \$52,700.	Stayed - OAG False Claims Act Case		
16-0002-F	11Nov2015	Preliminary Investigation into allegations that an employee had a family member working under them during the summer.	Closed Pending Appeal		

2018 BEGA ETHICS DAY
“Sustaining an Ethical and Transparent
Government”

October 4, 2018
One Judiciary Square

Course Offerings

BEGA Monthly Board Meeting, 10:00 - 11:30 a.m. BEGA Hearing Room, Suite 540 South

Lunch Break – Refreshments 11:30 – 12:30 p.m.
(Immediately following Board Meeting)

ASK BEGA – An information table, staffed by a BEGA attorney, which will provide participants with informal ethics advice.

Ethics Introduction and Updates 12:30 – 1:00 p.m. - An overview of national trends in government ethics, recent BEGA and Federal OGE advisory opinions, notable ethics cases, and pending legislation. **Brent Wolfingbarger, OGE Director - Room 1114, 1hr Credit.**

Political Activity Training featuring BEGA and the Office of Campaign Finance – “Government Employee or Politician, Choose” 1:00 – 2:00 p.m. – This session will provide a detailed review of the rules for permissible political activity by government employees. It will also address the rules regarding in engaging in campaign related activity during government time and using government resources. **Ashley Cooks, BEGA Attorney Advisor, and William SanFord, OCF General Counsel – Room 1117, 1hr Credit.**

The Office of the Inspector General 1:00 – 1:30 p.m. - OIG will present information regarding its procedures and functions; how to prevent waste, fraud, and abuse; and other relevant information. **Daniel Lucas, D.C. Inspector General - Room 1114, 1hr Credit.**

Social Media and the Code of Conduct 2:00 – 3:00 p.m. - This session will provide information on the ethics rules that apply to employees while they’re engaging in activities on social media. **Brian K. Flowers, BEGA General Counsel, and Sonya Gomes King, BEGA Attorney Advisor, Room 1114, 1hr Credit,**

Office of Open Government (“OOG”) – “The Nuts and Bolts of the Open Meetings Act (OMA) and the Freedom of Information Act (FOIA)” 2:00 – 4:00 p.m. - This course offering will contain two sessions. The first session (2:00 – 3:00 p.m.) will offer such information as: An overview of the OMA; Understanding the interplay of the rules of parliamentary procedure with the OMA; Administering the Opening Meetings Act from the point of contact’s perspective. The second session (3:00 – 4:00 p.m.) will allow participants to take part in an interactive FOIA exercise. **Niquelle Allen, OOG Director, and Johnnie Barton, OOG Attorney Advisor - BEGA Hearing Room, Suite 540 South, 2hr Credit.**

Financial Disclosure Statement Training - “Ethics Counselor Administrative FDS Duties: A Step-by-Step Procedural Training” 3:00 – 4:00 p.m. - This session will inform ethics counselors of the FDS laws, set forth by the Ethics Act. **Asia Stewart-Mitchell, BEGA Attorney Advisor, Room 1117, 1hr Credit.**

Financial Disclosure Statement Training – “Wait, I’m a Filer?” 4:00 – 4:30 p.m. - This session will inform employees on how to comply with the rules surrounding filing a public financial disclosure statement. It will also provide updated information and best practices. **Asia Stewart-Mitchell, BEGA Attorney Advisor, Room 1117, 1hr Credit.**

D.C. Council’s Code of Conduct - “I work for the Council, What Rules Apply?” 3:00 – 4:00 p.m. - This session will provide training regarding the Ethics Act and the Council’s Code of Conduct. Similar to the Ethics training for Executive branch officials and employees, this session will focus on the ethics standards as they apply to Council members and staff. This course is highly recommended for those who work in the legislative branch of the District. **Zachary Walter, Assistant General Counsel, D.C. Council - Room 1114, 1hr Credit.**

Legal Ethics for Government Attorneys, co-sponsored by the D.C. Bar Association (Fully Accredited Course) 4:00 – 5:00 p.m. This session is a fully accredited course that will provide training for government attorneys on the legal ethics rules set forth in the D.C. Rules of Professional Conduct. **Erika Stillabower, Senior Legal Ethics Counsel, and Saul Singer, Senior Legal Ethics Counsel, D.C. Bar Association - Room 1114, 1hr Credit.**

2019 BEGA's ETHICS DAY
"Cultivating an Ethical Government"
October 3, 2019
One Judiciary Square
Course Schedule

BEGA Monthly Board Meeting, 10:00 - 11:30 a.m. BEGA Boardroom, Suite 540 South

Mixer - Refreshments 11:30 - 12:30 p.m.
(Immediately following Board Meeting)

Ethics Introduction - State of the Agency
An overview of recent OGE activities, including advisory opinions, notable ethics cases, and pending legislation.

12:30 - 1:00 p.m. - BEGA Boardroom
Brent Wolfingbarger, OGE Director

Ethics Investigations Process
This session will outline how ethics investigations are conducted from start to finish.

1:00 - 2:00 p.m. - Room 1114 - 1 credit hour
BEGA Investigators Ronald Cook, Ileana Corrales, and Ralph Bradley

Professional Ethics: A Preventive Maintenance Approach

A unique program designed to help employees re-think about ethics and ethics risks. The primary focus will be on helping to recognize a range of overlooked or ignored ethics 'red flags' that are hiding in plain sight.

1:00 - 2:00 p.m. - Room 1117 - 1 credit hour
Christopher Bauer, Ethics and Compliance Consultant

Leveraging Existing Organizational Infrastructure to Drive the Ethics Message

This entirely interactive session will help employees to use their existing role, resources, and

level of experience - however much or little that is - to drive the ethics message with the folks with whom they work.

2:00 - 3:00 p.m. - Room 1117 - 1 credit hour
Christopher Bauer, Ethics and Compliance Consultant

Financial Disclosure Statement Training
This session will explore case studies on individuals who have violated the financial disclosure requirements.

2:30 - 3:00 p.m. - Room 1113 - 1 credit hour
Asia Stewart- Mitchell, BEGA Attorney Advisor

Social Media and the Code of Conduct
This session will provide information on the ethics rules that apply to employees while they're engaging in activities on social media.

2:00 - 3:00 p.m. - Room 1114 - 1 credit hour
Sonya Gomes King, BEGA Attorney Advisor

The Do's and Don'ts of Outside Employment
This session will provide information on the do's and don'ts while engaging in outside employment and activities.

3:00 - 4:00 p.m. - Room 1117 - 1 credit hour
Rochelle Ford, BEGA Senior Attorney Advisor

Post-Employment
This session will provide information on the three post-employment rules set forth in the District's Code of Conduct. (Q&A included).

4:00 - 5:00 p.m. - Room 1114 - 1 credit hour
Asia Stewart-Mitchell, BEGA Attorney Advisor

Parliamentary Procedure Basics and Open Meetings Act Refresher

Learn the basics of how to efficiently run meetings from a Professional Registered Parliamentarian. A brief refresher course on the D.C. Meetings Act and Open Meetings Regulations will also be provided.

2:00 - 3:00 p.m. - 1 credit hour

BEGA Boardroom, Suite 540S
Niquelle Allen, OOG Director, and Johnnie Barton, OOG Attorney Advisor

FOIA Forum
Hear from a panel of D.C. FOIA experts about the state of D.C. FOIA. The discussion will include presentations from FOIA experts from the Office of the Attorney General, Executive Office of the Mayor, and the Office of Open Government.

3:00 - 4:00 p.m. - 1 credit hour
BEGA Boardroom, Suite 540S
Niquelle Allen, OOG Director

FOIAXpress Training
This session will cover the reasons why all FOIA Officers and FOIA requesters should be using FOIAXpress and Open Data systems. It will include basic demonstrations and Q&A.

4:00 - 5:00 p.m. - 1 credit hour
BEGA Boardroom, Suite 540S
Niquelle Allen, OOG Director, Tiffany Montgomery, OOG Computer Systems Analyst, Thang Nguyen, OCTO, Alexandre Santos, OCTO

Legal Ethics for Government Attorneys, co-sponsored by the D.C. Bar Association (Fully Accredited Course)

This session is a fully accredited course that will provide training for government attorneys on the legal ethics rules set forth in the D.C. Rules of Professional Conduct.

4:00 - 5:00p.m. - Room 1117 - 1 credit hour
Erika Stillabower, Senior Legal Ethics Counsel, and Hope Todd, Director of Legal Ethics

With the exception the FOIA trainings, all of the trainings are reserved for District Government Employees.

SUPPLEMENTAL RESPONSE TO QUESTION 63

OOG: The Office also joined forces with the Office of Government Ethics to provide FOIA and OMA training sessions during FY19 Ethics Day and intends to provide those sessions again in FY20. The Office's other symposia are as follows:

FY19:

OOG collaborated with the National Attorneys General Training & Research Institute, Center for Ethics and Public Integrity, to facilitate a training course, "Effectively Managing the FOIA Process," which was featured in its 2018 Ethics Summit at the Sandra Day O'Connor School of Law at Arizona State University, on December 12, 2018. The Director of Open Government facilitated the training course.

OOG worked together with the Archive Social, a non-governmental provider of social media archiving, to provide an online training course, "Social Media and FOIA in 2019." The Director of Open Government facilitated the training course on April 10, 2019.

OOG participated in the American Society of Access Professionals' (ASAP) National Training Conference in Arlington, VA on July 22, 2019. The Director of Open Government served as a panelist in the course, "State and Local Freedom of Information."

OOG worked frequently with the D.C. Open Government Coalition (DCOGC) in FY19 to reach the public concerning open government issues. Notably, the Director of Open Government attended the DCOGC Monthly Meeting on January 16, 2019, and discussed body worn camera issues and she served as a speaker during the D.C. Open Government Coalition Sunshine Week Summit on March 12, 2019.

FY20:

OOG collaborated with the Office of Advisory Neighborhood Commissioners (OANC) to provide FOIA training to Advisory Neighborhood Commissioners. OOG presented training sessions on "Commissioner's Obligations Under FOIA" on January 11, 2020, January 14, 2020, and January 16, 2020. OOG and OANC will provide similar training sessions in the summer of 2020; and, going forward, will provide these FOIA training opportunities bi-annually.

OOG continues to partner with the D.C. Open Government Coalition in FY20 to reach the public concerning open government issues. The Director of Open Government participated in a "brown bag" lunch session hosted by the D.C. Open Government Coalition to discuss the state of District of Columbia transparency on December 20, 2019. The Director of Open will also participate as a speaker during the D.C. Open Government Coalition Sunshine Week Summit on March 18, 2020.

OOG intends to collaborate with the David A. Clarke School of Law to provide a symposium on Open Government Issues in FY20.

SUPPLEMENTAL RESPONSE TO QUESTION 64

OOG: The Office of Open Government is worked in FY19 to update its website to facilitate greater public outreach and continues that work in FY20. Specifically, OOG has simplified the submission of its OMA Complaint Form and FOIA Request for Advice form. In FY20, the Office will continue its efforts to collaborate with and receive feedback from non-governmental organizations with expertise in open government matters, such as the District of Columbia Open Government Coalition, to remain current on the issues that are of interest and concern to the public. The Office will also continue its collaborative efforts with the Office of Government Ethics to attend Advisory Neighborhood commission meetings to inform the public of our operations and services. Lastly, OOG is working with D.C. Public Schools to provide training to Local School Advisory Teams (LSAT) concerning their OMA requirements and to ensure the public is aware of the LSAT meetings.