



GOVERNMENT OF THE DISTRICT OF COLUMBIA
OFFICE OF RISK MANAGEMENT



Jed Ross
Chief Risk Officer

March 2, 2021

The Honorable Robert C. White, Jr.,
Councilmember At-Large
Chair, Committee on Government Operations and Facilities
Council of the District of Columbia
John A. Wilson Building
1350 Pennsylvania Avenue, NW, Suite 121
Washington, DC 20004

Dear Chairperson White:

I am writing in response to your February 8, 2021 letter, in which you had requested the Office of Risk Management to provide responses to a series of written questions in advance of the Performance Oversight Hearing on the Office of Risk Management on March 5, 2021.

I look forward to testifying before the Committee and I hope that the attached answers are fully responsive to your questions. If you need any additional information, please do not hesitate to contact me.

Sincerely,

Jed Ross
Chief Risk Officer

GENERAL QUESTIONS

1. Please provide the agency's mission statement.

Agency Response

The mission of the Office of Risk Management (ORM) is to reduce the probability, occurrence, and cost of risk to the District of Columbia government. ORM achieves its mission through the provision of risk identification, occupational safety guidance, and insurance analysis and support to District agencies, and by efficiently and fairly administering the District's Public Sector Workers' Compensation (PSWCP), Tort Liability, and Insurance programs. The agency also looks toward providing risk counsel, direction, and support through partnerships, education, and legal compliance.

2. Please list any statutory mandates that the agency lacks sufficient resources to fully implement.

Agency Response

ORM is sufficiently resourced to ensure that the agency can properly administer its statutory mandates.

3. Please list all reporting requirements in the District of Columbia Code or Municipal Regulations that the agency was required to complete in FY 20 and FY 21, to date. For each requirement, please list the date the report was required and the date it was produced. If the agency did not produce the report on the mandated timeline, please explain why.

Agency Response

- A. Section 111 of the Medicare, Medicaid, and SHIP Extension Act of 2007 (MMSEA), 42 U.S.C. 1395y(b)(8), imposes mandatory reporting requirements with respect to Medicare beneficiaries who receive settlements, judgments, awards, or other payment from liability insurers, no-fault insurers, and workers' compensation insurers. Under this provision, the District's Public Sector Workers' Compensation Program is required to report accepted International Classification of Diseases (ICD) diagnosis codes, dates of responsibility for medical treatment under those ICD codes, and any Total Payment Obligation to Claimant (TPOC), which includes settlements, judgments, or other awards.

ORM accomplishes this reporting by electronic filing through the ERisk claim management system. As requested by the Centers for Medicare and Medicaid Services (CMS), ORM sends quarterly open and closed claim data via its filing agent. CMS runs that data through its database and transmits back to us any claims ORM has that match CMS' beneficiaries. ORM then reports back the required information on those individuals identified by CMS.

Reports are required to be made quarterly and the Public Sector Workers' Compensation Program (PSWCP) made its most recent report on January 15, 2021.

- B. D.C. Official Code § 2-431(b) requires the Executive to provide a report to the Council delineating the savings realized by the District of Columbia as a result of implementing risk management plans and strategies.

ORM is the agency currently responsible for producing this Cost of Risk report. Prior to the implementation of a District-wide enterprise risk management system (ERisk), it was impossible to produce a meaningful cost of risk report. By the end of FY2021, ORM anticipates that ERisk will be configured to produce this report in advance of the February 1, 2022 deadline. As ERisk continues to gain acceptance across agencies and is integrated with other new and existing District systems, including Office of the Attorney General's (OAG) new litigation management system, the accuracy, and analytical capabilities of ERisk in generating this report should increase over time.

4. *Please list and describe any regulations promulgated by the agency in FY 20 or FY 21, to date, and the status of each.*

Agency Response

Notice ID	Register Category	Subject	Register Issue	Publish Date
N0093769	Final Rulemaking	Risk Management, Office of - Notice of Final Rulemaking - Amending In-Part Chapter 1, Public Sector Workers' Compensation Benefits	6/12/2020 Vol 67/25	6/12/2020
The final rule adopted the March 20, 2020 proposed rulemaking as final. ORM received no comments and no changes were made.				
N0090846	Emergency and Proposed Rulemaking	Risk Management, Office of - Notice of Emergency and Proposed Rulemaking - Amending In-Part Chapter 1, Public Sector Workers' Compensation Benefits	3/20/2020 Vol 67/12	3/20/2020
The emergency rules prescribed a process for a claimant to request a hearing; and hearing procedures and standards to be employed by OAH for the determination of claimant requests for permanent disability compensation under D.C. Code Sections 1-623.06a and 1-623.07 by amending Subsections 115.4; 130.10; 140.1 – 140.10; 140.12; 144.3; 150.4; 199.1; and adding new subsections 138.6 and 162.3.				

5. *Please explain any significant impacts on your agency, if any, of any legislation passed at the federal or local level during FY 20 and FY 21, to date.*

Agency Response

During FY 20 and FY 21 to date, there was no known legislation passed or regulation adopted at the federal or local level that significantly impacted agency operations.

6. *What are the agency's top five priorities? Please explain how the agency expects to address these priorities in FY 21.*

Agency Response

- **District Agency Subrogation Collection**

The Tort Liability program will continue its ERisk initiatives focusing attention on educating and assisting agencies with the recovery process and utilizing the incident reporting mechanism in ERisk to record and collect damages to District property. ORM will continue this effort by creating strategic relationships with various District agencies, which will help increase subrogation collections. A major focus for FY 21 will be working with the Department of General Services (DGS).

- **Insurance Contract Reviews with OCP**

For FY 21, ORM will work with the Office of Contracting and Procurement (OCP) and other agencies with procurement authority to build out a structure for Insurance Contract Reviews. This effort will look to establish minimum requirements based on the exposures in liability to the District, vendors, and constituents. Both agencies have had discussions on further collaboration on insurance vendor review.

- **District-Wide Incident Reporting**

In an effort to assess risk across the District, in early FY 19, ORM worked with ERisk vendor Origami (an enterprise system) to expand the ERisk PSWCP employee injury/illness incident reporting functionality to include six additional reportable incident types: (1) District government motor vehicle, (2) District government property loss, (3) Private motor vehicle, (4) Private property loss, (5) Private citizen injury, and (6) Safety and health concerns; for a total of seven reportable ERisk incident types. After constructing the incident portal, ORM conducted an incident reporting pilot program with DPR and FEMS, to test and streamline ERisk incident reporting functions and processes. On successful completion of the pilot, ORM began rolling-out ERisk incident reporting to District government agencies and completed rollout to and training for 28 agencies in FY 19. In FY 20 testing and training was ongoing with the 28 agencies. Historical data is getting transitioned to ERisk. The completed module should roll out before the end of FY 21.

- **Enterprise Risk Management Program**

In FY 19, ORM worked to draft an Enterprise Risk Management Program (ERM) pilot plan. ORM worked with the Department of For Hire Vehicles (DFHV), the Office of Unified Communication (OUC) and its own leadership to develop three ERM pilots. ORM met with each agency bi-weekly to discover risks, rate those risks, and work on mitigation strategies. ORM will continue monitoring these programs with these agencies to improve upon the process and strengthen the structure prior to reaching out to new agencies for development and inclusion. Meetings with OUC, Office of Pay and Retirement Services (OPRS), OCP, and DFHV had to be delayed due to the COVID-19 public health

emergency. ORM is in the process of adding the ERM module to ERisk. This should be completed before the end of the calendar year.

- Audit Tracking System

ORM, working with agency partners District-wide, created a new audit tracking system and process within the structure of ERisk. The new system gives ORM and partner agencies greater visibility into audit processes and procedures. The new platform also allows for ORM and partner agencies to assess agency performance related to audits. It comes equipped with dashboards related to agency audits. District agencies were scheduled to be made aware of the new process in March 2020, however, the plans were delayed indefinitely due to COVID. An SOP as well as a user guide has been created by the Risk Prevention and Safety Division (RPS) in anticipation of the rollout. Currently, OCA's single audit agency grants managers are the only audit tracking system users.

7. *What metrics are regularly used by the agency to evaluate its operations? Please be specific about which data points are monitored by the agency.*

Agency Response

- Mitigate Exposure

- Procure and maintain insurance coverage(s) for District government real estate property assets.
 - Percent of known and applicable government real estate property assets insured by private insurance.
- Conducts site safety inspections of District government properties:
 - Percent of agencies under the purview of the Mayor that file Cost of Risk reports for data requested.
 - Percent of eligible facilities for which agencies have submitted an Emergency Response Plan (ERP) for approval by ORM.
 - Number of environmental and safety inspections at District Government buildings conducted by ORM.
- Provides guidance and training to agencies on risk analysis and mitigation.
- Provide a system for identifying, measuring, analyzing, and mitigating the District government's exposure to risk and liability.

- Administer the Public Sector Workers' Compensation Program

- Ongoing management of accepted claim for medical treatment and/or indemnity payments.
- Manage claims submitted by employees to determine if the injury sustained is compensable:
 - Percent of claims opened and assigned (three-point contact) within five business days of receipt by ORM's Public Sector Workers' Compensation Program;
 - Percent of compensability decisions conveyed to employees within 30 days;

- Percent of intake and customer service calls received and assisted within three rings;
 - Percent of claims medications filled as generic versus brand name; and
 - Percent of medical authorizations handled by internal clinical review versus requiring external utilization review.
- Return injured employee back to work as soon as medically possible in an alternative, modified, part-time and/or full-time capacity.
 - Number of claimants returned to work full time by fiscal year.
- Conduct orientations, trainings, and job fairs to injured employees of the Public Sector Workers' Compensation Program and Return to Work Program.
 - Improve agency awareness of ORM's Public Sector Workers' Compensation Program by training and providing a presentation to 10 agencies each fiscal year.
- Investigate claims against the District
 - Administer the Settlement and Judgement Fund.
 - Review the facts and assess the merits of the claims for disposition by way of settlements or denials.
 - Percent of claims where ORM issues an acknowledgement letter within five business days within the claim being opened and assigned.
 - Coordination with responsible District agencies to determine whether to accept a claim and conclude a pre-litigation settlement or to reject the claim.
 - Percent of claims opened, assigned, and received by adjuster within five business days of receipt by ORM (Tort).
- Collect monies owed to the District
 - Review District agency incident reports and determine if damages and losses to the District are the result of negligence or intentional act of a third party.
 - Ratio of open to closed tort subrogation claim files.
 - Percent of claims recovered within the same fiscal year, excluding extraordinary cases.
 - Number of days it takes to resolve a Tort claim in the same fiscal year once agency request is received, excluding extraordinary cases.
 - Provide notice to third-party tortfeasors of the District's intent to subrogate and pursue recovery of monies owed to the District for damages and losses due to third-party tortfeasors' actions.
 - Recover monies through subrogation efforts either in resolution of a settlement or lawsuit:
 - Dollars recouped in Public Sector Workers' Compensation Subrogation Matters;
 - Number of subrogation claims pursued and collected;
 - Number of new subrogation claims pursued by the PSWCP in fiscal year;
 - Amount of monies ORM recovers for the District of Columbia via Subrogation; and
 - Total number of lien notice letters issued by the Public Sector Workers' Compensation Program in fiscal year.

- Create and maintain a highly efficient, transparent, and responsive District government
 - Risk Council Meetings
 - Number of Risk Council Meetings conducted by ORM.
 - Agency Information Presentations:
 - Number of contract and insurance risk management training sessions offered to agency officials, and
 - Improve agency awareness of ORM's Public Sector Workers' Compensation Program by training by providing a presentation to 10 Agencies each fiscal year.
 - District Audit Tracking
 - Number of Public Sector Workers' Compensation claims audited in fiscal year.
- Vendor and provider relations
 - Bill Review:
 - Percent of claims medications filled as generic versus brand name, and
 - Number of medical bills received and paid by the Public Sector Workers' Compensation Program by fiscal year.

8. *Please provide a copy of the agency's FY 20 performance plan, if one was prepared. Please explain which performance plan objectives were completed in FY 20 and whether they were completed. If they were not completed, please provide an explanation.*

Agency Response

For the FY 20 Performance Plan, the agency successfully completed all performance objectives, except for two. Achieving these two objectives were directly impacted by the COVID-19 pandemic. The two objectives were: (1) Percent of agencies under the purview of the Mayor that file Cost of Risk reports for data requested; and (2) Percent of eligible facilities for which agencies have submitted an Emergency Response Plan (ERP) for approval by ORM.

This was the first time these objectives were not achieved. ORM and the partner agencies are making changes to address these objectives, so they will again be achieved going forward. In particular:

1. ORM is currently in the process of creating a Cost of Risk module in our ERisk system. The module should roll out sometime in FY 21. This module and training will allow for all parties to be better equipped to complete the report more accurately.
2. Changes to ERP requirements (International Fire Codes), along with a new review team and submission process resulted in the lower number in FY 20. Meetings with Agency Risk Management Representatives (ARMRs) and the Fire Marshal's Office continue to be scheduled so that those outstanding ERPs can be collected. Inspections were slowed due to an abundance of care for the inspectors and some facilities being closed due to the public

health emergency. Procedures were implemented over the last recent months to allow for the resumption of inspections.

Please see Attachment 01 for the FY 20 Performance Plan.

9. *Please provide a copy of your agency's FY 21 performance plan as submitted to the Office of the City Administrator, if one was prepared.*

Agency Response

Please see Attachment 02 for the FY 21 Performance Plan.

10. *Please describe any new initiatives or programs that the agency implemented in FY 20 and FY 21, to date, to improve the operations of the agency. Please identify any funding utilized for these initiative or program and the results, or expected results, of each initiative.*

Agency Response

- District Agency Subrogation Collection
The Tort Liability program will continue its ERisk education initiatives to encourage agencies to use the incident reporting mechanism in ERisk to record and document damages to District property in order to facilitate recovery. This will be done by creating strategic relationships with various District agencies to increase subrogation collections, thereby resulting in increased revenue from claims against parties that damage District property. A major focus for FY 21 will be with working with DGS. This initiative is funded by the Office of Risk Management budget and Subrogation Fund. Increased subrogation revenue allows agencies to use some of the funds towards replacement or repair of damaged District property.
- Identification and Management of Contingent Liabilities – Employment Disputes.
ORM's Tort Division will work with stakeholders of all agencies to establish a process as part of a new module within ERisk for reporting incidents, settlements, and judgments involving employment matters to record, monitor, mitigate, and identify trends. Eventually, once integrated with OAG's new litigation matter management system, Abacus, this new ERisk module will include all contingent liabilities stemming from pending litigation and all settlements and judgments. This initiative is funded by the Office of Risk Management's existing budget and no additional funding is needed for this project. This initiative is intended to collect data that is needed to prepare the Cost of Risk Report discussed in question 3.
- Insurance Contract Reviews with OCP
For FY 21, ORM will work with OCP and other agencies with procurement authority to build out a structure to review proposed procurements to determine appropriate insurance coverages and limits to be maintained by vendors. This effort will look to establish minimum requirements based on the exposures in liability to the District, vendors, and constituents. This initiative is funded by the Captive Insurance Agency budget and no

additional funding is needed for this effort. This initiative is intended to streamline contract insurance sufficiency review and create a central repository of contracts that have been reviewed by ORM.

- Workers' Compensation Training Materials

In FY 21, ORM will create training materials for the workers' compensation claim process that District agencies can use to train their employees and supervisors. These materials will include one-page handouts, PowerPoint presentations, and potentially Skillport Training courses. Currently no additional funding is needed to establish this training program. This initiative is funded by the Employee Compensation Fund. The training manual improves operations by providing claims adjusters with written guidance on the administration of Public Sector Workers' Compensation Program. It is also meant to supplement the statute and regulations with ORM's interpretation, where the statutes and regulations are unclear.

- Workers' Compensation Agency Summary Report

In FY 21, ORM will create a District Agency Workers' Compensation Summary report. This report will highlight important claim data that can be provided to ORM's stakeholders to ensure the agency is aware of its successes and areas that need safety and accommodation improvement. The report will go to all District agencies, and will be specific to the individual agency, keeping agencies informed about the status of injured workers, efforts to return to work them to work, and the types and trends of injuries sustained. Currently no additional funding is needed for this report. This initiative is funded by the Employee Compensation Fund.

11. Please provide a current organizational chart for the agency, including the number of vacant, frozen, and filled positions in each division or subdivision. Include the names and titles of all senior personnel and note the date that the information was collected on the chart.

- a. Please provide an explanation of the roles and responsibilities for each division and subdivision.***
- b. Please provide a narrative explanation of any changes made to the organizational chart during the previous year.***

Agency Response

Please see Attachment 03 for ORM's organizational chart and role and responsibilities for each division. There was no change made to the organizational chart during the previous year.

12. Please provide a current Schedule A for the agency which identifies each position by program and activity, with the salary, fringe benefits, and length of time with the agency. Please note the date that the information was collected. The Schedule A should also indicate if the position is continuing/term/temporary/contract or if it is vacant or frozen.

Agency Response

Please see Attachment 04 for the agency's Schedule A.

- 13. Please list all employees detailed to or from your agency. For each employee identified, please provide the name of the agency the employee is detailed to or from, the reason for the detail, the date of the detail, and the employee's projected date of return.**

Agency Response

Detailed Employee Name: Sharon Howard

Agency Detailed To: Department of Human Services (DHS)

Reason for Detail: To assist DHS with providing customer service support during the pandemic

Date of Detail: 1/06/2021

Projected Date of Return: 3/12/2021

- 14. Please provide the Committee with:**

- a. A list of all employees who received or retained cellphones, personal digital assistants, or similar communications devices at agency expense in FY 20 and FY 21, to date;*
- b. A list of all vehicles owned, leased, or otherwise used by the agency and to whom the vehicle is assigned as well as a description of all vehicle accidents involving the agency's vehicles in FY 20 and FY 21, to date;*
- c. A list of travel expenses, arranged by employee for FY 20 and FY 21, to date, including justification for travel;*
- d. A list of total workers' compensation payments paid in FY 20 and FY 21, to date, including the number of employees who received workers' compensation payments, in what amounts, and for what reasons.*

Agency Response

- a. The following is a listing of all employees who received or retained cellphones, personal digital assistants, or similar communications devices at agency expense in FY 20 and FY 21, to date:

Employee Name	Device Issued	FY 20	FY 21
Arnic, Jennifer	Cell Phone	X	X
Boone, Jessika	Cell Phone	X	X
Carlos, Melbert	Cell Phone	X	X
Chhe, Soriya	Cell Phone	X	X
Clark, Peter	Cell Phone	X	X
Emminizer, Samantha	Cell Phone	X	X
Emminizer, Samantha	Tablet	X	X
Evans, Valerie	Cell Phone	X	X
Gaither-Morgan, Merle	Cell Phone	X	X

Employee Name	Device Issued	FY 20	FY 21
Gatton, Roger	Cell Phone	X	X
Hall, Marisia	Cell Phone		X
Healy, Patrick	Cell Phone	X	X
Healy, Patrick	Tablet	X	X
Herbert, Thomas	Cell Phone	X	X
Herbert, Thomas	Tablet	X	X
Kelley, Robert	Cell Phone	X	X
Krainak, Michael	Cell Phone	X	X
Mandel, Angela	Cell Phone	X	X
Moon, Beth	Cell Phone	X	X
Preston, Robert	Cell Phone	X	X
Ross, Jed	Cell Phone	X	X
Rowe, Robert	Cell Phone	X	
Salmon, Donna	Cell Phone	X	
Stewart, Shaneah	Cell Phone	X	X
Swintz, Monica	Cell Phone	X	X
Townsend, Audrey	Cell Phone	X	X
Waters, Jane	Cell Phone	X	X
White, Francine	Cell Phone	X	X
Yeung, Sam	Cell Phone	X	X

- b. Below is a table listing the five vehicles currently assigned to the agency:

Vehicle Year	Make	Model	Owned or Leased	Number of Vehicles
2015	Dodge	Caravan	Owned	1
2017	Chevrolet	Cruze	Owned	1
2018	Toyota	Corolla	Owned	3
Total of vehicles				5

None of ORM's vehicles were involved in any third-party accidents in FY 20 or FY 21.

- c. Below is a table listing travel expenses, arranged by employee for FY 20 and FY 21, to date:

Employee Name	Dates of Travel	Place(s) travelled to	Total expenses (\$)	Explanation (airfare, meals, train ticket, subway fare)	Purpose of travel (e.g. conference name)	Comment
Dowe, Morgan	10/20/19 – 10/26/19	San Diego, CA	\$1,108.06	Reimbursement for registration, hotel, airfare, meals, transportation.	Public Risk Management Association (PRIMA) Conference	
Arnic, Jennifer	11/05/19 – 11/09/19	Las Vegas, NV	\$3,368.28	Reimbursement for registration fees, hotel, airfare, meals and transportation.	National Workers Compensation Conference	
Waters, Jane	05/03/20-5/06/20	Boston, MA	\$369.96	Reimbursement for airfare	Risk and Insurance Management Society (RIMS) Conference	Trip was cancelled due to COVID.
Gatton, Roger	05/03/20-5/06/20	Boston, MA	\$350.96	Reimbursement for airfare	Risk and Insurance Management Society (RIMS) Conference	Trip was cancelled due to COVID.

- d. No agency employees were paid workers' compensation payments in FY 20 and FY 21, to date.

15. Please separately list each employee whose salary was \$100,000 or more in FY 20 and FY 21, to date. Provide the name, position number, position title, program, activity, salary, and fringe. In addition, state the amount of any overtime or bonus pay received by each employee on the list.

Agency Response

Please see Attachment 05.

16. Please list in descending order the top 25 overtime earners in your agency in FY 20 and FY 21, to date, if applicable. For each state the employee's name, position number, position title, program, activity, salary, fringe, and the aggregate amount of overtime pay earned by each.

Agency Response

For FY 20 and FY 21, to date, the agency had one employee that earned overtime.

Fiscal Year	Employee Name	Position No.	Position Title	Program	Activity	Salary	Fringe	Overtime Pay Earned
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FY 21	Adnan Suleman	00088676	Attorney Advisor	1000	1100	\$95,006	\$18,772	\$91.35
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- 17. For FY 20 and FY 21, to date, please provide a list of employee bonuses, special pay granted, or separation pay issued, that identifies the employee receiving the bonus, special pay, or separation pay, the amount received, and the reason for the bonus, special pay, or separation pay.**

Agency Response

There were no agency employees who received employee bonuses, special pay granted, or separation pay issued, special pay, or separation pay For FY 20 and FY 21.

- 18. Please provide each collective bargaining agreement that is currently in effect for agency employees. Please include the bargaining unit and the duration of each agreement. Please note if the agency is currently in bargaining and the anticipated date of completion of each agreement in bargaining.**

Agency Response

ORM currently has nine staff members who belong to AFSCME Local 20. Please see Attachment 06 for the applicable Master Agreement and the Memorandum of Understanding (MOU) under which agencies must comply with the agreement's discipline provision; as well as, the MOU's provisions. The master agreement expired on September 30, 2010, but its terms continue in effect until a new successor agreement is negotiated and executed. According to the Office of Labor Relations and Bargaining (OLRCB), bargaining is pending.

- 19. Please provide a list of any training or continuing education opportunities made available to agency employees. For each training or continuing education program, please provide the subject of the training, the names of the trainers, and the number of agency employees that were trained.**

Agency Response

The following is a list of training or continuing education opportunities made available to agency employees:

Name of Training	Trainer	Number of Employees
Foundations in Fraud Identification	Insurance Education Association	2
Tort Damages in the District of Columbia	District of Columbia Bar Association	2
Business Writing That Counts! Deep Dive - IAAP	International Association of Administrative Professionals	1
Job Safety Analysis	Chesapeake Region Safety Council	1

Name of Training	Trainer	Number of Employees
Public Benefits Training Series: (SSI/SSDI) and Interim Disability Assistance	District of Columbia Bar Association	1
Conducting Investigations in Criminal Cases: The Law and Practice Tips	District of Columbia Bar Association	1
Cyber Security Training	DC Office of Chief Technology Officer	87
Vision Zero Training - Traffic Safety Training for D.C. Government Employees	DC Department of Transportation	87

20. Does the agency conduct annual performance evaluations of all its employees? If so, who conducts such evaluations? If not, what steps are taken to ensure that all agency employees are meeting individual job requirements?

Agency Response

On a fiscal year basis and continuing in FY 21, ORM conducts plan creation and performance evaluations on all eligible employees in accordance with DCHR's E-Performance plan structure and guidance. In FY 20, all annual performance reviews were completed. In FY 21, all FTEs had their performance plans established and completed. In May all employees will undergo a mid-year review and at the conclusion of FY 21, all final year-end reviews for FY 21 will be performed.

21. Please describe what strategies the agency is using to improve employee retention.

Agency Response

ORM's strategy to improve employee retention is keeping the agency's commitment to having an open-door relationship between leadership and staff. Having an open-door relationship with employees allows us to listen and understand their concerns regarding their job, which helps us makes necessary adjustments to ensure that not only do team members remain productive but also happy to be part of the agency. In addition, the agency is putting a greater focus on expeditious hiring, improving our internal onboarding process, and establishing new hire training plans to help incoming ORM employees to be acculturated into a high-performing but balanced work culture.

22. For FY 20 and FY 21, to date, what was the total agency cost for mobile communications and devices, including equipment and service plans?

Agency Response

For FY 20, ORM spent a total of \$17,506.87 for mobile devices/service plans. For FY 21, to date, the agency has spent \$4,513.39 for mobile devices/service plans.

23. For FY 20 and FY 21, to date, please list all intra-District transfers to or from the agency.

Agency Response

Please see Attachment 07 for a list of all intra-District transfers to or from the agency in FY 20 and FY 21, to date.

24. For FY 20 and FY 21, to date, please identify any special purpose revenue funds maintained by, used by, or available for use by the agency. For each fund identified, provide:

- a. The revenue source name and code;
- b. The source of funding;
- c. A description of the program that generates the funds;
- d. The amount of funds generated by each source or program;
- e. Expenditures of funds, including the purpose of each expenditure; and
- f. The current fund balance.

Agency Response

The agency maintains two Special Purpose Revenue (SPR) funds—both within the Captive Insurance Agency:

- The Captive Insurance Fund is derived from premiums paid by participating health clinics that sign up for the agency's captive medical malpractice liability insurance.
- The Subrogation Fund receives funds from ORM's subrogation efforts against third party tortfeasors that damage District property.

The financial detail of the Captive Insurance Fund and Subrogation Fund are provided in the table below:

Agency Fund	0640	1240
Agency Fund Title	Subrogation Fund	Captive Insurance Fund
FY 20 Total Expenditure	\$ 0	\$ 0
FY 20 Cash Revenue	\$ 4,011,825.30	\$70,128.38
FY 20 Fund Balance	\$ 386,825.05	\$ 696,442.75
FY 21 Total Expenditure	\$ 55,260.06	\$ 0
FY 21 Cash Revenue	\$ 25,310.69	\$61,704.50
FY 21 Fund Balance	TBD	TBD

25. For FY 20 and FY 21, to date, please list any purchase card spending by the agency, the employee making each expenditure, and the general purpose for each expenditure.

Agency Response

Please see Attachment 08 for the agency's P-Card expenditures.

26. Please list and provide a copy of all memoranda of understanding (“MOU”) entered into by your agency during FY 20 and FY 21, to date, as well as any MOU currently in force. For each, indicate the date on which the MOU was entered and the termination date.

Agency Response

Please see Attachment 09 for a list and copies of all MOUs entered by the agency during FY 20 and FY 21, to date.

27. Please list all open capital projects and capital projects in the financial plan under the agency’s purview, including the amount budgeted, actual dollars spent so far, any remaining balances, and the status of the project. In addition, please provide a description of any projects which are experiencing delays or which require additional funding.

Agency Response

The table below shows the information requested on the Risk Management IT System, a capital project that is currently being implemented by OCTO. The funding information was supplied by OCTO.

Owner Agency	ORM	Implementing Agency	OCTO
Project Title	RISK MANAGEMENT IT SYSTEM	Project No	RMS01C
LTD Allotment	\$ 2,682,432	Project Start Date	10/1/2017
LTD Exp.	\$ 2,640,905	Project Status	ONGOING
ID Adv.	\$0	Allotment Balance	\$ 41,527
Current Project Status & Milestones	OCTO in collaboration with ORM continues to add additional enhancements to ERisk to integrate it with existing District systems and data, including Peoplesoft.		

28. Please provide a table showing your agency’s Council-approved budget, revised budget (after reprogrammings, etc.), and actual spending, by program, activity, and funding source for FY 20 and the first quarter of FY 21. Please detail any over- or under-spending and if the agency had any federal funds that lapsed.

Agency Response

Please see Attachment 10 for the table showing our agency’s budget for FY 20 and the first quarter of FY 21.

29. Please provide a list of all budget enhancement requests (including capital improvement needs) made for FY 21 or FY 22. For each, include a description of the need and the amount of funding requested.

Agency Response

ORM works with the Mayor's Office of Budget and Performance Management (OBPM) and the Office of the City Administrator to develop its annual budget. The Mayor's FY 21 and FY 22 budget submissions to Council reflect those efforts.

- 30. Please list, in chronological order, each reprogramming that impacted the agency in FY 20 and FY 21, to date, including those that moved funds into the agency, out of the agency, and within the agency. For each reprogramming, list the date, amount, rationale, and reprogramming number.***

Agency Response

Please see Attachment 11 for a list of reprogrammings that impacted the agency in FY 20 and FY 21, to date.

- 31. Please list each grant or sub-grant received by your agency in FY 20 and FY 21, to date. List the date, amount, source, purpose of the grant or sub-grant received, and amount expended.***

Agency Response

ORM did not receive any grants or sub-grants in FY 20 and FY 21, to date.

- 32. How many FTEs are dependent on grant funding? What are the terms of this funding? If it is set to expire, what plans, if any, are in place to continue funding the FTEs?***

Agency Response

No agency FTEs are dependent on grant funding.

- 33. Please list each contract, procurement, and lease entered into or extended by your agency during FY 20 and FY 21, to date. For each contract, please provide the following information where applicable:***

- a. The name of the contracting party;***
- b. The nature of the contract, including the end product or service;***
- c. The dollar amount of the contract, including amount budgeted and amount actually spent;***
- d. The term of the contract;***
- e. Whether the contract was competitively bid;***
- f. The name of the agency's contract monitor and the results of any monitoring activity; and***
- g. The funding source.***

Agency Response

Please see Attachment 12 for a list and copies of all contracts entered by the agency during FY 20 and FY 21, to date.

34. What is your agency's current adjusted expendable budget for CBE compliance purposes? How much has been spent with SBEs or CBEs? What percent of the agency's current adjusted expendable budget has been spent with SBEs or CBEs?

Agency Response

ORM has two agency budget codes that are required to report SBE/CBE spending: Risk Management (RK0) and the Employees' Compensation Fund (BG0). The table below shows the approved SBE/CBE budget and the percentage of spending to date for both.

Agency	Approved Expendable Budget	Approved SBE/CBE Goal	Amount Spent to Date	Percentage of Expendable Budget Spent	Percentage of Approved SBE/CBE Goal Spent
BG0	\$ 549,393.41	\$ 274,696.71	\$ 130,282.81	23.71%	47.43%
RK0	\$ 191,852.32	\$ 95,926.16	\$ 9,658.28	5.03%	10.07%

35. Please list all pending lawsuits that name the agency as a party. Identify which cases on the list are lawsuits that potentially expose the District to financial liability or may result in a change in agency practices and describe the current status of the litigation. Please provide the extent of each claim, regardless of its likelihood of success.

Agency Response

See Attachment 13 for claims where the agency is named as a party and matters are pending.

36. Please list all settlements entered into by the agency or by the District on behalf of the agency in FY 20 or FY 21, to date, and provide the parties' names, the amount of the settlement, and if related to litigation, the case name and a brief description of the case. If unrelated to litigation, please describe the underlying issue or reason for the settlement (e.g. administrative complaint, etc.).

Agency Response

CorVel Enterprise Comp, Inc. et al v. District of Columbia Office of Risk Management et al., 2018 CA 008897 B

- Dismissed on January 15, 2020
- Settlement Date: December 20, 2020
- Settlement Amount: \$1,850,000.00 to CorVel to satisfy outstanding bills incurred for services rendered to PSWCP injured workers, with a balance to be paid by CorVel's insurers
- Litigation Summary: CorVel filed suit to recover actual costs of payments it made in the absence of a contract for prescription medication, physical therapy, durable medical equipment, and additional medical examinations that it later billed to the District with a mark-up. It also sought mark-ups on provider costs that it characterized as managed care fees.

In addition, see Attachment 14 for claims settled where the agency is named as a party.

- 37. Please list the administrative complaints or grievances that the agency received in FY 20 and FY 21, to date, broken down by source. Please describe the process utilized to respond to any complaints and grievances received and any changes to the agency policies or procedures that have resulted from complaints or grievances that were resolved in FY 20 or FY 21, to date.***

Agency Response

The agency did not receive any administrative complaints or grievances in FY 20 and FY 21, to date.

In FY 20, the agency resolved a complaint/grievance received in FY 19, that alleged preferential treatment by a supervisor towards employees outside the supervisor's reporting chain, as well as inappropriate jocularity with employees generally. The grievance was made anonymously through OIG and referred to ORM to investigate and address. The matter has been investigated and closed. No evidence of any preferential treatment towards any employee—either outside or within the supervisor's reporting chain—was documented. The General Counsel and Deputy Director discussed with the supervisor the need to account for potential misperceptions and sensitivities in comporting as a supervisor among direct reports and colleagues.

- 38. Please describe the agency's procedures for investigating allegations of sexual harassment or misconduct committed by or against its employees. List and describe any sexual harassment allegations received by the agency in FY 20 and FY 21, to date, and whether or not those allegations have been resolved.***

Agency Response

The agency has complied with the process set forth by the DC Department of Human Resources (DCHR), as directed in Mayor's Order 2017-313, by:

- Identifying Mr. Melbert Carlos, Human Resources Officer, as the agency's Sexual Harassment Officer (SHO);
- Obtaining two full sexual harassment trainings facilitated by DCHR;
- Displaying notices setting forth the District of Columbia's policy prohibiting sexual harassment; and
- Completing all required sexual harassment trainings for employees and managers, and having all new hires complete the training within thirty days of their start date.

The agency did not receive any reported incidents of sexual harassment for FY 20 and FY 21, to date.

- 39. Please list and describe any spending pressures the agency experienced in FY 20 and any anticipated spending pressures for the remainder of FY 21. Include a description of the pressure and the estimated amount. If the spending pressure was in FY 20, describe how it was resolved, and if the spending pressure is in FY 21, describe any proposed solutions.**

Agency Response

In FY 20, the Captive Insurance Agency experienced a spending pressure due to increases in real property and cyber liability insurance premiums. The spending pressure in the amount of \$1,378,000 was resolved through contingency funding.

For FY21, there will an increase in policy premiums for renewing the District's real property insurance and cyber liability insurance policies. The increase premium for the real property insurance is due to the increase in the Total Insurable Value (TIV) of the District's real property portfolio and the expected increase in the composite rate per \$100 TIV. As for cyber liability insurance, based on discussions with the insurance broker, the agency should anticipate the insurance marketplace demanding a premium increase for cyber liability coverage at time of renewal.

The agency will continue to monitor its budget and will work with its Agency Fiscal Officer and OBPM to quickly resolve the spending pressure once costs are firm.

- 40. Please provide the number of FOIA requests for FY 20, and FY 21, to date, that were submitted to your agency. Include the number granted, partially granted, denied and pending. In addition, please provide the average response time, the estimate number of FTEs required to process requests, the estimated number of hours spend responding to these requests, and the cost of compliance.**

Agency Response

- a. Total number of FOIA requests received:
FY 20: 21
FY 21: 18
- b. Number of requests granted, partially granted, denied, or pending:
FY 20: 8 (granted whole), 3 (partially granted), 1 (denied), 1 (pending)
FY 21: 3 (granted whole), 3 (partially granted), 0 (denied), 4 (pending)
- c. Average response time:
FY 20: 4.5 days
FY 21: 22.93 days
- d. Estimated number of FTEs (part-time) required to process requests, the estimated number of hours spent responding to these requests, and the estimated cost of compliance:
FY 20: 3 (part-time FTEs), 50.82 (hours), \$2,569 (estimated cost)
FY 21: 3 (part-time FTEs), 54.92 (hours), \$2,891.90 (estimated cost)

41. Please identify all electronic databases maintained by your agency, including the following:

- a. A detailed description of the information tracked within each system;**
- b. The age of the system and any substantial upgrades that were made in FY 20 or FY 21, to date, or that are planned for the system;**
- c. Whether the public is currently granted access to all or part of each system; and**
- d. Whether the public could be granted access to all or part of each system.**

Agency Response

a. A detailed description of the information tracked within each system;

ERisk is the District's Enterprise Risk Management system created by ORM. It handles data to manage the following business functions:

- Workers Compensation – ORM's Public Sector Workers' Compensation Program (PSWCP) manages Workers' Compensation claims for the District's injured workers. ERisk has all data to manage the claim, indemnity payments to the injured worker, payments to providers, as well as data to manage Nurse Case Management and Return to Work programs.
- Tort and Subrogation Claims – Data to manage Tort and Subrogation claims relating to Auto Liability, General Liability, Auto Physical Damage, and Property Damage.
- District-wide Incident Reporting – Data to track and manage Incidents related to the following-
 - DC government employee injury/illness (potential Workers Compensation claims)
 - DC government motor vehicle
 - DC government property loss/damage
 - When related to DC government services/employees/property, loss or damages to a private citizen (including Contractors):
 - Private citizen injury/illness
 - Private motor vehicles
 - Private property damage
 - Safety and Health Concerns (can be reported anonymously). This includes reports of unsafe or unhealthy working conditions or near-miss incidents
- Insurance – Data to manage District's insurance policies, including Real Property, Fine Arts, Cyber and Builder's Risk. Data for contract reviews to evaluate insurance requirements in procurements and other contracts.
- Driver Authorization – Data to track yearly requests for driver authorization for all District drivers.
- Inspections – Data to track inspections for District buildings (except schools). This includes all findings and Inspection reports.
- Emergency Response Plans (ERP) – Track updates to ERPs that are submitted yearly from each agency.

b. The age of the system and any substantial upgrades that were made in FY 20 or FY 21, to date, or that are planned for the system;

ERisk has been in operation since August 2018. Workers Compensation was the first function to go live. Tort and Incident Reporting were added in FY 2019. Additional capabilities and functions are added continuously.

FY 2020 Upgrades

- District-wide Incident Reporting
- Driver Authorization.
- Track DMV Tickets associated with District vehicles
- FEMS and DPR Incident reporting customizations.
- Emergency Response Plans.

FY 2021 Upgrades

- Public is granted access to file online Tort claims.
- Insurance policy management.
- Modules to manage Nurse Case Management and Return to Work as part of the Workers Compensation program.
- In addition, it is planned to add the following capabilities to ERisk in the FY 21-
 - Cost of Risk Reports.
 - Contingent Liability, Settlement and Judgment tracking and management.
 - Integration with OAG's Abacus system.
 - Implementation of case management for OLRCB.
 - Incident reporting customizations for agencies such as DPW, DGS, DBH, OSSE, etc.

c. Whether the public is currently granted access to all or part of each system; and
The public is granted access to report Incidents and file online Tort Claims.

d. Whether the public could be granted access to all or part of each system.
Due to the private and sensitive data housed in ERisk, the system requires authorized user accounts for log-in access to data within ERisk; Public cannot be granted access to the system.

42. Please list and describe any ongoing investigations, audits, or reports on the agency or any employee of the agency that were completed during FY 20 and FY 21, to date.

Agency Response

Please see the response to Question 73.

43. Please provide a list of all studies, research papers, reports, and analyses that the agency prepared or funded during FY 20 and FY 21, to date. Please submit a hard copy to the Committee of any study, research paper, report, or analysis that is complete.

Agency Response

A list of all studies, research papers, reports, and analyses that ORM contracted for during FY 20 and FY 21, to date, is provided below (Please also see Attachment 15 for copies of completed documents):

- Actuarial Valuation of the Self-Insured Workers Compensation & Liability Programs (as of September 30, 2020);
- Actuarial Valuation of the Self-Insured Workers Compensation & Liability Programs (as of September 30, 2019);
- District of Columbia Captive Insurance Agency Financial and Annual Statements and Reserve Report (as of September 30, 2020); and
- District of Columbia Captive Insurance Agency Financial and Annual Statements and Reserve Report (as of September 30, 2019).

44. Please list any task forces, committees, advisory boards, or membership organizations in which the agency participates.

Agency Response

Below is a table listing current task forces, committees, advisory boards, or membership organizations in which the agency participates (or its employees participate on an official capacity):

Name of Organization	Memberships, Task Force, Committees
Mayor's Special Events Task Group (MSETG)	The MSETG, which is composed of membership from the District of Columbia government agencies, federal government agencies, and private sector emergency service organizations, is responsible for providing interagency reviews and assessments of the operational, public safety, and logistical components of proposals for special events.
HSEMA Emergency Operations Center	The Risk Prevention and Safety (RPS) team acts as the District's Safety Officer during activation of the HSEMA Emergency Operations Center.
Advisory Committee to the Office of Administrative Hearings	The Chief Risk Officer is a member of the Advisory Committee (DC Code § 2-1831.17), which: (1) Advises the Chief Administrative Law Judge in carrying out his or her duties; (2) Identifies issues of importance to Administrative Law Judges and agencies that should be addressed by OAH; (3) Reviews issues and problems relating to administrative adjudication; (4) Reviews and comments on the policies and regulations proposed by the Chief Administrative Law Judge; and (5) Makes recommendations for statutory and regulatory changes that are consistent with advancing the purposes of OAH.
OCA Safe Workplace Committee	Reviewed policy questions related to COVID-19.

Name of Organization	Memberships, Task Force, Committees
Insurance Risk Management Institute (IRMI)	Membership - International Risk Management Institute, Inc., now known as IRMI, was founded in 1978 primarily to educate risk managers, insurance agents/brokers, underwriters, and other insurance professionals by conducting seminars. IRMI has a practical and detailed reference library covering all facets of property and casualty insurance and risk management.
Public Risk Management Association (PRIMA)	Membership - PRIMA is a resource for education and training, risk resources to advance the knowledge and practice of public risk management for public sector risk managers.
Risk and Insurance Management Society (RIMS)	Membership - RIMS is a not-for-profit organization representing more than 3,500 corporate, industrial, service, nonprofit, charitable and government entities throughout the world. Its mission is to educate, engage and advocate for the global risk community.
State Risk and Insurance Management Association (STRIMA)	Membership -STRIMA, established in 1974, brings together risk and insurance managers of state governments into an organization to promote the advancement of risk management principles and practices in the public sector. The state membership exchanges information, facilitates training opportunities, and promotes the highest level of professional and ethical standards.

OFFICE OF RISK MANAGEMENT

45. Please provide the District government prioritization risk map for FY 20 and FY 21, to date.

Agency Response

Please see Attachment 16 for Risk Map for FY 20 and FY 21, to date, detailing the locations for all tort and workers' compensation claims. ERisk can generate more specific maps for which geographic data is reported, such as a map of pothole claims.

46. Please describe the Office's pro-active and compliance monitoring program for safety, security, and contingency planning for District government operational interruptions or emergencies in FY 20 and FY 21, to date.

Agency Response

ORM, through its Risk Prevention and Safety office and in partnership with the Office of the Fire Marshal, administers the Emergency Response Plan (ERP) program. The ERP program ensures District agencies plan and prepare for potential emergencies that may result in operational interruptions. ERPs outline an agency's response to a variety of emergency events, such as fire, weather, active threat, bomb threat, shelter-in-place, etc. Agencies must submit an ERP for each of its worksites on an annual basis, and the ERPs are submitted to the Fire Marshal for final approval. Once an ERP is approved, the agency is expected to share them with their employees to ensure that they are prepared to take proper action during emergency situations.

The Office also conducts routine safety inspections of facilities to ensure the work environment for government employees is safe.

- 47. Please provide a list of all on-site risk management assessments of District government facilities and operations that the Office conducted or oversaw in FY 20 and FY 21, to date***

Agency Response

Please see Attachment 17 a list of all site inspections conducted by ORM's Risk Prevention and Safety division in FY 20 and FY 21, to date.

- 48. How many risk management trainings for District employees and agency risk management representatives did the Office provide in FY 20 and FY 21, to date? How many of those trainings were provided virtually?***

Agency Response

Across all programs and divisions, ORM conducted 83 trainings in FY 20 (59 virtual) and 29 trainings in FY 21 (29 virtual).

- 49. Please list all members of the Risk Management Council. For each member, please include their title and whether they are considered an agency risk management representative and/or a professional leader from the Office.***

Agency Response

Please see Attachment 18 for a current listing of the members of the Risk Management Council. The Council is comprised of Agency Risk Management Representatives (ARMRs).

- 50. For every meeting of the Risk Management Council in FY 20 and FY 21, to date, please provide the agenda, the minutes, and a list of all members in attendance.***

Agency Response

See Attachment 19 for the agenda, the minutes and list of attendees at the Risk Management Council Meetings in FY 20 and FY 21, to date. Please note there were no attendance records for the meetings of June 30, 2020 and September 23, 2020, as those meetings were held virtually. The first Risk Council meeting for FY 2021 is scheduled for the end of 2nd Quarter FY 21.

- 51. Please describe the Risk Management Council's achievements in FY 20 and FY 21, to date.***

Agency Response

During FY 20, the Risk Council primarily focused on ORM's shift to the its enterprise risk management system, ERisk. Risk Council meetings facilitated education and roll-out of ERisk

to the Agency Risk Management Representatives, to advise them of process changes and how to use ERisk in support of ORM programs, such as Driver Authorizations, Emergency Response Plans, Incident Reporting, How Am I Driving?, and DMV Tickets. In addition to ERisk, the Council discussed and shared ideas around the District's re-opening and operational plans for the COVID-19 pandemic, which is key to ensuring proper risk mitigation measures are in place at each agency to protect the safety and health of District employees.

The first Risk Council meeting in FY 21 is scheduled before the end of the second quarter (i.e., March 31, 2021). Planned objectives to be achieved this fiscal year include reviewing updated Risk Prevention and Safety rules and regulations, managing a safety and health program with telework employees, and sharing information regarding OSHA's January 29 COVID guidance, as well as any expected future changes to the guidance.

52. How many tort liability claims were filed in FY 20 and FY 21, to date?

Agency Response

FY 20: 1,231

FY 21 (as of 2/10/2021): 447

53. How many tort liability claims were resolved in FY 20 and FY 21, to date?

Agency Response

FY 20: 389 settled and paid

FY 21 (as of 2/10/2021): 70 settled and paid

54. How much was expended by the District to resolved tort liability claims in FY 20 and FY 21, to date?

Agency Response

FY 20: \$177.78 per claim

FY 21 (as of 1/31/2021): \$349.76 per claim

55. What recent trends have been identified in tort liability claims against the District?

Agency Response

The following are the tort liability trends by claim type for FY 19, FY 20 and FY 21, to date (top 7 categories):

FY 19		FY 20		FY 21	
Claim Type	No. of Claims	Claim Type	No. of Claims	Claim Type	No. of Claims
Pothole	487	Pothole	143	Pothole	76
General Property Damage	191	Collision w/Non-moving Object	98	Collision w/Non-moving Object	47
Slip, Trip & Falls	115	Side Swipe and/or Lane Change	71	Auto Property Damage Misc	37
Auto Bodily Injury	115	Slip, Trip & Falls	70	Auto Property Damage	29
Collision w/Non-moving Object	104	Auto Bodily Injury	63	Auto Bodily Injury	21
Side Swipe and/or Lane Change	90	Tree Claim	60	Protest	18
Auto Property Damage	88	DOC Claim - Prisoner Claim	52	Bodily Injury	17
Total of All Claims	1,984	Total of All Claims	1,231	Total of All Claims	447

Over the past three fiscal years the District has experienced a substantial reduction in pothole, slip, trip and fall, and auto liability claims. For example, there is a 60.8% decrease in slip, trip and fall claims reported in the District from FY 19 to FY 20. In FY 20 there were 344 less pothole claims reported to the District from the previous FY 19 fiscal year. In FY 21, there is 53% less reported pothole claims from FY 20. Please be advised FY 21 trends are not ripe.

56. How many Public Sector Workers' Compensation Program (PSWCP) claims were filed in FY 20 and FY 21, to date?

Agency Response

FY 20: 1,069

FY 21 (through 1/31/2021): 226

64. How many Public Sector Workers' Compensation Program claims were resolved in FY 20 and FY 21, to date?

Agency Response

FY 20: 1,006

FY 21 (through 1/31/2021): 345

65. How many current or former District employees received payments from the Public Sector Worker's Compensation Program in FY 20 and FY 21, to date?

Agency Response

FY 20: 497 claimants received indemnity

FY 21 (through 1/31/2021): 305 claimants received indemnity

Note, many of the claimants who received indemnity in FY 21, were the same claimants who were receiving indemnity in FY 20, which resulted in some overlapping in the foregoing numbers. The total number of unique individuals receiving indemnity payment from FY 20 through FY 21 to date is 542.

66. How many reports of unsafe or unhealthy conditions at District government workplaces were reported to the Office for investigative action in FY 20 and FY 21, to date?

a. What percentage of those reports were responded to by the Risk Prevention and Safety division within 3 business days?

Agency Response

In FY 20, Risk Prevention and Safety division (RPS) responded to 25 reports of unsafe or unhealthy conditions at government worksites. In FY 21, to date, there has been one report of unsafe or unhealthy conditions

- a. We currently do not track the response time in responding to unsafe or unhealthy worksite conditions. Reports are forwarded to RPS through the ERisk system, which is monitored daily. Safety and Health issues are considered a priority and are immediately forwarded to an Occupational Safety and Health Specialists for action.

67. Please describe the status of the ERisk system. Are additional phases of this system in development? How has the success of the system been measured?

Agency Response

ORM has successfully implemented the ERisk system. The following modules are now actively being used:

- Workers Compensation Claim Management: New capabilities have been added to better manage the Return to Work process and Nurse Case Management.
- Risk Prevention and Safety:
 - Processing Driver Authorizations for individuals needing to drive to perform their District job duties. Each driver is required to request authorization each year.
 - Inspections of District buildings.
- Tort and Subrogation Claim Management: New capability was added for online filing of Tort claims.
- District-Wide Incident Reporting: New agencies are being added and usage is growing.
- Insurance management of Property, Builders Risk, Cyber and Fine Arts policies.

These additions have significantly improved the reach of the system and increased the amount of valuable data collected from across the District for performing data analytics and trend analysis.

Planned enhancements include:

- Tracking District-wide Litigation Contingent Liabilities, Settlements and Judgments;
- Integration with OAG's Abacus system for exchanging litigation and claim information with ERisk;
- Customizations for Incident Reporting for larger agencies, such as DPW, DBH, DGS, OSSE, etc.;
- District-wide Audit Tracking and Management;
- Cost of Risk reports;
- Reviewing Contracts for Insurance Requirements;
- Workers Compensation PrePay module and compliance tracking to better manage who gets paid indemnity payments on a bi-weekly basis and to ensure compliance; and
- Tableau reporting for ease of sharing dashboards and reports across the District agency users.

The success of the ERisk system can be gauged from the following:

- Increased efficiency of Tort Claims Management – Open claims have reduced. New claims are being processed quickly and efficiently.
- Increased efficiency of WC Claims Management – Open claims have reduced. Total indemnity payments have reduced.
- Increased data collection (via Incident Reporting, Driver Authorization, Inspections, etc.) is enabling better insight into risk areas across the District.

68. What are the benefits of having the District's Public Sector Workers' Compensation Program be self-administered?

- a. What impact, if any, does this have on the ability of current or former District employees to select or maintain their own healthcare providers?***
- b. How does the Office ensure providers appropriately balance the provision of high quality care with cost containment?***

Agency Response

ORM has found many benefits to the new self-administered claims process. It starts with the team that we created to handle all new incoming claims. This team is focused on getting injured workers to a provider for immediate medical care, getting work restrictions right away so that we can assist with modified duty return to work, and ensuring there is no out-of-pocket costs for the initial treatment. The team's other area of focus is completing the investigation of the circumstances of the injury right away in order to make a timely compensability decision. An abeyance is issued when a compensability acceptance or denial cannot be issued within 30 days due to a need for additional information. If an abeyance is issued there is a hold on benefits to the injured worker. In FY 20, the program issued an abeyance in only 1.9% of all claims. Under the Third-Party Administrator (TPA) in FY15, abeyances were issued in 70% of all claims and in FY16 the number was at 67%. That means only 33% of the claims had decisions made so that Injured Workers could begin to receive their benefits.

Once the claim has been accepted, a team made up of a claim examiner, a nurse, and a return to work specialist partner to get swift and qualify medical care for the injured worker while keeping lost time from work at a minimum. This team monitors treatment recommendations to ensure they are in line with accepted medical guidelines. They also monitor prescription use to ensure the safest medications are being used. The team managing the injured worker's care works with the injured worker and the employing agency to find modified duty for the injured worker so they can continue to earn their full wages while performing valuable work for the District.

PSWCP received approximately 2,000 new reported incidents in FY 19 and FY 20. As of October 1, 2020, there were only 31 of those 2,000 claims where lost wages were being paid. That is a result of all the positive work to get injured workers in a modified duty or a full duty position.

Claims are also being followed up on and closed on a timely basis. TPAs had allowed claims to go barely touched and remain open far longer than was appropriate, resulting in claimants remaining out of work for years and receiving total disability wage loss compensation for relatively minor injuries long after the injuries had resolved. In June of 2018, just before the August 1, 2018 date where PSWCP took over administration of claims, there were 1823 open claims. After just 1 year that number was reduced to 1,222 open claims, and today it is down to 910. This reduction to reflect meritorious claims allows for claim staff to have more manageable open caseloads so they can deliver strong customer service for District Injured Workers.

- a. The PSWCP has an extensive panel of physicians available to treat injured workers. Many are published as the top doctors in the entire DC, Maryland, Virginia area. Employees are given the opportunity to choose from a list of doctors in the specialty they require for their injury.
- b. The DC fee schedule is set at 113% of Medicare. This is identical to the fee schedule for private sector workers' compensation in the District. High quality care is critical for a positive claim outcome. If the providers were not providing high quality care, the injured workers would not be able to return to work, and claims would remain open because there would be a need for ongoing treatment for the unresolved medical issues. PSWCP strives to get injured workers the best possible medical care so that they can get back to work and their pre-injury quality of life.

69. How many District government employees and contractors were authorized to operate a motor vehicle to conduct District government business in FY 20 and in FY 21, to date?

- a. ***How many Driver Authorization Requests were rejected by the Office in FY 20 and FY 21, to date?***
- b. ***How many complaints about government vehicles were received by the Office as a result of the "How Am I Driving?" Program in FY 20 and FY 21, to date?***

Agency Response

A total of 1,393 District government employees and contractors were authorized by ORM to operate a motor vehicle to conduct District business in FY 20. There have been 730 authorizations to date, in FY 21.

- a. A total of 153 District government employees/contractors had their authorization requests denied in FY 20. There have been 26 denials in FY 21 to date.
- b. ORM received 399 complaints about government vehicles through the “How Am I Driving” program in FY 20. There have been 60 complaints in FY 21 to date.

70. What percentage of District agencies with eight or more employees have a compliant Emergency Response Plan? Where are these plans housed or maintained?

Agency Response

Currently, 21% of agencies with eight or more employees have compliant Emergency Response Plans (ERPs). ERPs are forwarded electronically to the Office of the Fire Marshal, via ERisk. Plans are maintained by the submitting agency; however, both the Office of the Fire Marshal and the Office of Risk Management have access to the Plans.

71. How many claims were paid out by the Captive Insurance Agency in FY 20 and FY 21, to date?

Agency Response

In FY 20 and FY 21, to date, no claims were paid out by the Captive Insurance Agency.

72. How much was collected by the Subrogation Fund in FY 20 and FY 21, to date?

Agency Response

FY 20: \$4,011,825.30

FY 21: \$25,310.69 (pending an approximately additional \$275,000 not accounted in SOAR)

73. A December 7, 2020 report from the Office of the District of Columbia Auditor, “D.C. Lacked Unified System to Track, Reduce Settlements & Judgments,” found that the Office lacked a systematic method to identify and analyze District-wide and agency specific exposures to risk, and that it did not maintain a government-wide system to prioritize risks during the audit scope. Has the Office taken steps to develop a unified system and address this issue since the release of the report in December of FY 20?

Agency Response

In FY2018 ORM began designing and implementing ERisk, an enterprise risk management system. Initially ERisk was built out to manage the operational components of ORM. In

FY2019 government-wide incident reporting was implemented, and since then an increasing number of agencies have begun utilizing the system to report and manage incidents and losses. Once incidents are in the system, they can be linked to claims received through the Tort Division. At present, ORM is designing a Contingent Liability, Settlement, and Judgment Module within ERisk that will extend the monitoring of the claim life cycle from initial incident and claim through litigation and settlement or judgment. The full implementation of this module is contingent on integration with OAG's new litigation management system, Abacus. OAG has been in the process of implementing its new system for several years, and it is not clear when OAG will be able to use its new system to manage and collect civil litigation data. ERisk's Contingent Liability Module will be operational this fiscal year and will begin collecting litigation data, including employment and labor claims, directly from agency general counsels. ORM is working with OAG to develop interim measures in advance of the integration of Abacus and ERisk to obtain litigation data. OAG has committed to work with ORM to ensure that Abacus will be compatible with ERisk and will include relevant data to track and analyze current litigation, including settlements and judgments. Once this module is fully operational, the District will have visibility into all claims and resulting litigation against the District, the causes that give rise to claims, and the terms of the settlements and judgments that resolve them.

- 74. Regarding a recommendation in the same report, has the Executive begun working with the Office to ensure that the Office has the support, resources, and data system(s) needed to identify, analyze, and prioritize settlements and judgments and risks throughout District government?***

Agency Response

The Office of City Administrator and the Mayor's Office of Legal Counsel have provided significant and meaningful support in the development and implementation of ERisk.

- 75. How has the public health crisis affected the Office's ability to carry out its responsibilities?***

Agency Response

The current public health crisis has not affected the agency's ability to carry out its core responsibilities. At the start of the pandemic, the Office had concerns about timely issuance of indemnity payment checks to injured workers who were not signed up for direct deposit as the Office of the Chief Financial Officer stopped printing and mailing checks to injured workers. This problem was solved for once the Office successfully encouraged those injured workers to sign up for direct deposits.

QUESTIONS ON RACIAL EQUITY

- 76. In the context of the Office and its mission, what are three areas, programs, or initiatives where the Office sees the most opportunity to address racial inequity?***

Agency Response

Three areas, programs, or initiatives where the Office may have the most opportunity to address racial inequity, within the framework of its mission, include the following:

1. Tort Liability Claims Data;
2. Settlement and Judgment Data; and
3. Incident/Litigation Reporting Data.

Within all three of the foregoing areas/programs, ORM may address racial inequity by collecting race-based data, which may include tort or constitutional claims that allege District employee conducts or policy are motivated by racial animus, to analyze for trends of racial inequity. Tracking employment litigation/claims that identify race discrimination as the primary cause of action through its litigation reporting system allows ORM to assess and identify whether there may be a trend of discriminations occurring at certain District agencies or involving specific managers or employees.

The Office is currently working to develop the tracking capability to store and automate District settlements and judgments, in addition to tracking all litigation involving the District, through a central system within its ERisk system.

77. Are there any areas/programs where the Office has had some success in building racial equity over the past year? Which areas/programs?

Agency Response

ORM has worked effectively to modernize and improve its PSWCP and Tort Programs, which has resulted in providing greater equality and better services. ORM will continue to put stronger focused on building racial equity by collecting better data and using it more effectively to improve its programing.

78. Does the Office collect information on race and geographic areas to evaluate its operations or to include as part of its performance plan? If not, why?

Agency Response

While the Office of Risk Management collects geographic data, it does not collect information on race to evaluate its operations or to include as part of its performance plan.

The Office of Risk Management is responsible for administering four programs: Public Sector Workers' Compensation, Tort Liability, Public Sector Occupational Safety and Health Management Program, and the Captive Insurance Program. Collection of race and geographic information is not collected, as it is not material in the administration of the Programs.

79. What legal barriers, if any, does the Office face to advancing racial equity or better understanding racial inequity?

Agency Response

While ORM may implement the initiatives discussed in Question 76, collection of race data on Tort claims would be based on the claimant's decision to disclose race as part of claims intake. Program cannot legally compel claimants to disclose this information.

80. What are two areas/programs where the Office has significant potential to succeed in building racial equity?

Agency Response

The two areas/programs where the Office has significant potential to succeed in building racial equity are those discussed in response to Question 76. Collection and review of litigation and incident data, where there are allegations of race discrimination within the District Government among its employees or against constituents, provides visibility into this issue and data to suggest changes in policy.

81. What are three metrics that the Office could use to measure progress toward racial equity?

Agency Response

The Office believes that through its enterprise risk management system, there is an ability to collect data and establish meaningful metrics to measure some of the District government's progress toward racial equity through initiatives discussed in question 76. However, on those initiatives it is too soon to determine which metric may be best to measure progress toward racial equity as the Office is still working to develop its litigation and settlement tracking system through its enterprise risk management system. Once the system is developed, the Office may be in a better position to identify what metrics work best towards measuring progress toward racial equity with regards to the areas identified in Question 76. In the interim, the Office may look to its internal hiring and salary data to measure progress toward racial equity.

ATTACHMENT 01

D.C. Office of Risk Management FY2020

Agency D.C. Office of Risk Management

Agency Acronym ORM

Agency Code RKO

To edit agency and POC information press your agency name (underlined and in blue above).

Agency Performance POCs Christopher Vass; Jed (ORM) Ross; Monica (EOM) Swintz; Sam Yeung; Valerie Evans

Agency Budget POCs Shilonda (OFRM) Wiggins

Fiscal Year 2020

Agency's Operating Budget

[Lookup Your Agency's Operating Budget](#)

FY2020 Agency Top 3 Accomplishments

Add Accomplishment

Accomplishment

Accomplishments	Accomplishment	Impact on Agency	Impact on Residents
	Subrogation Collection of \$4,011,825.30 for Tort and \$305,215.65 for Workers' Compensation.	The collection helps the District improve, which also helps our agency.	This money is paid directly to the District, which in-turn benefits the constituents.
	Emergency Response Plan Module	Allows to District Agencies to easily submit plans to us quickly via ERisk. This allows for our agency to easily review them and provide feedback, if needed. We can also quickly submit them for approval.	Helps improve the safety policies for buildings within the District, which protects people and saves lives.
	Number of Claimants we returned to work, which was 128. These IW's returned to their pre-injury position.	Reduced the number of IW's on workers' compensation.	Yes, it saved money for the District, returned employees to work, and provided quality medical care to IW's for injuries.

2020 Objectives

Objective Number	Strategic Objective	# of Measures	# of Operations
1	Identify, measure, analyze and mitigate the District government's exposure to risk and liability.	3	8
2	Administer the Public Sector Workers' Compensation Program to provide benefits for disability or death of a District Government employee resulting from personal injury sustained while in the performance of his or her duty.	7	5
3	Receives and investigates claims against the District government with the goal of negotiating and preparing claims for fair and timely disposition.	5	5
4	Collect monies owed to the District as a result of Third Party tortfeasors whose negligence or intentional acts result in damages and losses to the District.	2	3
5	Create and maintain a highly efficient, transparent, and responsive District government.	11	3
6	Vendor and provider relations needs including medical bill review, compliance, and medical provider assessment.	0	1
TOT		28	25

2020 Key Performance Indicators

Measure	New Measure/ Benchmark Year	Directionality	FY 2017 Actual	FY 2018 Actual	FY 2019 Target	FY2019 Actual	FY 2020 Target	FY 2020 Quarter 1	FY 2020 Quarter 2	FY 2020 Quarter 3	FY 2020 Quarter 4	FY 2020 Report	Was 2020 KPI Met?	
1 - Identify, measure, analyze and mitigate the District government's exposure to risk and liability. (3 Measures)														
Percent of agencies under the purview of the Mayor that file Cost of Risk reports for data requested	<input type="checkbox"/>	Up is Better	0%	78%	100%	0%	100%	Annual Measure	Annual Measure	Annual Measure	Annual Measure	4%	Unmet	
Percent of eligible facilities for which agencies have submitted an Emergency Response Plan (ERP) for approval by ORM	<input type="checkbox"/>	Up is Better	38.9%	97.5%	85%	95.7%	85%	20%	3%	0%	24%	11.8%	Unmet	
Percent of known and applicable government real estate property assets insured by private insurance	<input type="checkbox"/>	Up is Better	96%	100%	100%	100%	100%	100%	100%	100%	100%	100%	Met	
2 - Administer the Public Sector Workers' Compensation Program to provide benefits for disability or death of a District Government employee resulting from personal injury sustained while in performance of his or her duty. (7 Measures)														
Percent of compensability decisions conveyed to employees within 30 days	<input type="checkbox"/>	Up is Better	New in 2018	100%	80%	100%	80%	100%	100%	100%	100%	100%	Met	
Dollars recouped in Public Sector Workers' Compensation Subrogation Matters	<input type="checkbox"/>	Up is Better	New in 2018	\$177,954.2	\$100,000	\$742,055	\$100,000	Annual Measure	Annual Measure	Annual Measure	Annual Measure	\$305,215.7	Met	

Measure	New Measure/ Benchmark Year	Directionality	FY 2017 Actual	FY 2018 Actual	FY 2019 Target	FY2019 Actual	FY 2020 Target	FY 2020 Quarter 1	FY 2020 Quarter 2	FY 2020 Quarter 3	FY 2020 Quarter 4	FY 2020 Report	Was 2020 KPI Met?	A/E/C/M/K/C
Improve agency awareness of ORM's Public Sector Workers' Compensation Program by training and providing a presentation to 10 Agencies	<input type="checkbox"/>	Up is Better	New in 2018	9	10	13	10	1	2	0	9	12	Met	
Percent of claims opened and assigned (three point contact) within five (5) business days of receipt by ORM's Public Sector Workers' Compensation Program	<input type="checkbox"/>	Up is Better	New in 2018	98.5%	90%	100%	90%	100%	100%	100%	100%	100%	Met	
Percent of 9A decisions issued within 30 days of receipt	<input type="checkbox"/>	Up is Better	New in 2018	77.4%	75%	95%	75%	100%	87.5%	91%	95%	93.4%	Met	
Percent of A1 decisions issued within 30 days of receipt	<input type="checkbox"/>	Up is Better	New in 2018	99.1%	75%	87%	75%	75%	100%	100%	100%	93.8%	Met	
Percent of intake and customer service calls received and assisted within 3 rings	<input type="checkbox"/>	Up is Better	New in 2019	New in 2019	New in 2019	91%	80%	97.3%	93.8%	92.3%	96.6%	95%	Met	
3 - Receives and investigates claims against the District government with the goal of negotiating and preparing claims for fair and timely disposition. (5 Measures)														
Percent of claims opened, assigned, and received by adjuster within five (5) business days of receipt by ORM (Tort)	<input type="checkbox"/>	Up is Better	95.9%	99.9%	90%	100%	90%	100%	100%	100%	100%	100%	Met	
Percent of claims where ORM issues an acknowledgement letter within five (5) business days within the claim being opened and assigned	<input type="checkbox"/>	Up is Better	96.3%	99.7%	95%	100%	95%	100%	100%	100%	100%	100%	Met	
Amount of monies ORM recovers for the District of Columbia via Subrogation	<input type="checkbox"/>	Up is Better	\$100	\$433,232.5	\$130,000	\$885,972	\$130,000	\$221,087.9	\$244,111.8	\$3,277,406	\$269,219.6	\$4,011,825.3	Met	
The average cost to process a claim per claims specialist	<input type="checkbox"/>	Down is Better	126.6	116.1	159	128.7	159	108.3	109	109	109	108.8	Met	
Number of days it takes to resolve a Tort claim in the same fiscal year excluding extraordinary cases once agency request is received	<input type="checkbox"/>	Down is Better	New in 2018	17.52	25	19.7	25	20.2	18.91	16.47	21.8	19.34	Met	
4 - Collect monies owed to the District as a result of Third Party tortfeasors whose negligence or intentional acts result in damages and losses to the District. (2 Measures)														
Ratio of open to closed tort subrogation claim files	<input type="checkbox"/>	Down is Better	65.9	49.3	50	0.46	50	0.41	0.43	0.68	0.65	0.54	Met	
Percent of claims recovered within the same fiscal year, excluding extraordinary cases	<input type="checkbox"/>	Up is Better	New in 2018	32.9%	25%	32%	25%	Annual Measure	Annual Measure	Annual Measure	Annual Measure	56.03%	Met	

2020 Operations

Operations Header	Operations Title	Operations Description	Type of Operations
1 - Identify, measure, analyze and mitigate the District government's exposure to risk and liability. (8 Activities)			
RISK INSPECTIONS & COORDIN. OF ARMRS	Conducts site safety inspections of District government properties	ORM's Occupational Safety and Health inspectors conduct inspections of District owned and operated buildings to ensure that building inspections and follow-up inspections are (a) conducted using Occupational Safety and Health Administration's (OSHA) guidelines and (b) communicated to the Directors and Agency Risk Management Representatives (ARMR's) to ensure that the buildings are safe, healthy, and comply with OSHA standards and regulations.	Daily Service

Operations Header	Operations Title	Operations Description	Type of Operations
RISK MANAGEMENT	Administration of the District's hybrid Self-Insurance program to include issuance of self-insurance certification letters	The Government of the District of Columbia operates as a self-insured entity. When a District agency requires proof of insurance (evidence of self-insurance), the DC Office of Risk Management (ORM) will review and consider all requests for such proof. If the request is approved, a self insurance letter will be issued to the petitioner.	Daily Service
INSURANCE ANALYSIS	Provide advice to District agencies on risk and insurance policies and practices	Agencies frequently seek advice from ORM on how to protect the District from risks and liabilities as they carry out agency initiatives, contracts and coordinating special events. A training platform has been developed to review the minimum insurance requirements for contractors and vendors. The following areas were addressed – ORM's purpose, the need for insurance, self insurance programs, the Captive, risk / exposure identification, contract insurance requirements, multiple lines of business and their application, additional insureds, subrogation, Anti-Deficiency Act, indemnification clause, certificates of insurance, contract review, timeline and process for review by ORM.	Daily Service
RISK MANAGEMENT	Procure and maintain insurance coverage(s) for District government real estate property assets	ORM, through the Captive Insurance Agency, hired a third-party commercial property insurance broker and purchased commercial property insurance, including terrorism coverage for District-owned property for the purpose of building a stronger District property risk management program through a combination of self-insurance and private insurance.	Daily Service
RISK MANAGEMENT	Obtain and review driving records for operators of District vehicles	ORM, in partnership with other District government agencies, aims to prevent driver negligence of employees who use a District vehicle for business purposes by obtaining and reviewing driving records. Agencies with high risk drivers are alerted and advised to take appropriate and necessary action to mitigate risk; including but not limited to revoking driving privileges.	Daily Service
CLAIMS EXAMINATION & MGT	Provide a system for identifying, measuring, analyzing and mitigating the District government's exposure to risk and liability	ORM will be integrating functionality within the Enterprise Risk Management System (ERMS) to manage daily operations for each agency.	Key Project
RISK MANAGEMENT	Provides guidance and training to agencies on risk analysis and mitigation	The Office of Risk Management collaborates with all Agency Risk Management Representatives (ARMRs) on emergency response to determine the areas where the District has the greatest exposure to risk and make recommendations to minimize its occurrence.	Daily Service
CLAIMS EXAMINATION	Alive and well checks for Workers' Compensation Program	Number of alive and well checks done by the Investigation unit for the Public Sector Workers' Compensation Program	Daily Service
2 - Administer the Public Sector Workers' Compensation Program to provide benefits for disability or death of a District Government employee resulting from personal injury sustained while in the performance of his or her duty. (5 Activities)			
CLAIMS MANAGEMENT	Ongoing management of accepted claim for medical treatment and/or indemnity payments	Once a claim is accepted, ORM continuously reviews and analyzes medical and loss wage payments for compensability.	Daily Service
RETURN TO WORK	Return injured employee back to work as soon as medically possible in an alternative, modified, part-time and/or full-time capacity	Return to work simply means helping an employee get back to work as soon as possible after a job-related injury or illness. Through additional concrete efforts ORM will create alternative methods of support in order to return more employees back to work.	Daily Service
RETURN TO WORK	Conduct orientations, trainings and job fairs to injured employee's of the Public Sector Workers' Compensation Program and Return to Work Program	Returns to work orientations are conducted monthly. The purpose is to educate injured workers on the Return to Work process. Trainings consist of resume writing, basic computer skills, and interview skills. Job fairs are held quarterly, consisting of DC Government agencies and outside organizations who conduct on-the-spot interviews for permanent placement.	Daily Service
CLAIMS EXAMINATION & MGT	Manage claims submitted by employees to determine if the injury sustained is compensable	The primary goal of the Public Sector Workers' Compensation Program is to respond to workplace injuries with the best, most appropriate medical care at a reasonable cost, and to return employees back to work as soon as medically possible. ORM will work with agency partners to analyze and ensure injuries are work related through an integrated, active process.	Daily Service
Public Sector Workers' Compensation Administrative Actions	Public Sector Workers' Compensation Administrative Actions	Dedicated resources utilized to process and assist the Public Sector Workers' Compensation claims management process including claims intake and provider relations services.	Daily Service
3 - Receives and investigates claims against the District government with the goal of negotiating and preparing claims for fair and timely disposition. (5 Activities)			
CLAIMS MANAGEMENT	Administer the Settlement and Judgement Fund	ORM authorizes pre-litigation settlements through its operation of the tort liability program. ORM continues to improve its analysis and review of payments from the settlement and judgement fund.	Daily Service
CLAIMS EXAMINATION & MGT	Review the facts and assess the merits of the claims for disposition by way of settlements or denials	The claims adjuster will: 1) contact the claimant and the parties involved 2) contact the District agency involved for internal reports and investigative information 3) gather and inspect all relevant information regarding a claim including photos, quotes, estimates, witness statements, etc. 4) enter additional information/investigation details into claims management system 5) determination to accept or reject a claim	Daily Service
CLAIMS EXAMINATION & MGT	Coordination with responsible District agencies to determine whether to accept a claim and enter into a pre-litigation settlement or reject the claim	ORM will reach out the involved agency for supporting documentation in order to assess liability. Upon determination of liability, ORM will reach out the claimant directly.	Daily Service
CLAIMS EXAMINATION	Receive §12-309 notices for alleged claims against the District	The Tort Liability Division investigates and resolves claims filed against the District of Columbia pursuant to D.C. Code § 12-309. Individuals can file a tort claim against the District for unliquidated losses (property damage or personal injury) arising out of the actions or inactions of the District and/or its employees. Once a claim has been received and logged into the claims database, it is assigned to an adjuster for investigation and handling.	Daily Service
CLAIMS EXAMINATION	Investigations	Investigations related to Tort and Public Sector Workers' Compensation incidents and claims.	Daily Service
4 - Collect monies owed to the District as a result of Third Party tortfeasors whose negligence or intentional acts result in damages and losses to the District. (3 Activities)			
CLAIMS EXAMINATION	Review District agency incident reports and determine if damages and losses to the District is as a result of negligence or intentional act of a third party	ORM assesses liability pursuant to supporting documentation requested and received from agencies.	Daily Service
CLAIMS EXAMINATION & MGT	Provide notice to third party tortfeasors of the District's intent to subrogate and pursue recovery of monies owed to the District as a result of damages and losses due to third party tortfeasors actions	ORM relies on supporting documentation from the agencies to assist in the subrogation process.	Daily Service
CLAIMS EXAMINATION & MGT	Recover monies through subrogation efforts either in resolution of a settlement or lawsuit	ORM's staff will analyze , pursue, and support OAG in litigation efforts to collect on losses incurred by third party actors.	Daily Service
5 - Create and maintain a highly efficient, transparent, and responsive District government. (3 Activities)			
RISK MANAGEMENT	Risk Council Meetings	Risk Council Meetings coordination with Agency ARMRs	Key Project
CUSTOMER SERVICE	Agency Information Presentations	ORM is working to touch all District Agencies to communicate our operations and services.	Key Project

Operations Header	Operations Title	Operations Description	Type of Operations
District Audit Tracking	District Audit Tracking	Enter, review and track audit information for both the District's Single Audit and agency individual audits.	Daily Service
6 - Vendor and provider relations needs including medical bill review, compliance, and medical provider assessment. (1 Activity)			
Vendor Relations and compliance	Bill Review	Review of medical provider billing	Daily Service

2020 Workload Measures

Measure	New Measure/ Benchmark Year	FY2016 Actual	FY2017 Actual	FY2018 Actual	FY2019 Actual	FY 2020 Quarter 1	FY 2020 Quarter 2	FY 2020 Quarter 3	FY 2020 Quarter 4	FY 2020 Report
1 - Alive and well checks for Workers' Compensation Program (1 Measure)										
Number of alive and well checks done by the Investigation unit for the Public Sector Workers' Compensation Program	✓	New in 2020	New in 2020	New in 2020	New in 2020	33	22	0	0	55
1 - Conducts site safety inspections of District government properties (1 Measure)										
Number of environmental and safety inspections at District Government buildings conducted by ORM	<input type="checkbox"/>	617	265	301	257	Annual Measure	Annual Measure	Annual Measure	Annual Measure	137
1 - Obtain and review driving records for operators of District vehicles (1 Measure)										
Number of instances when the Risk Prevention and Safety Division communicates with other Agencies regarding "How's My Driving" (Limited to incident reporting and complaints)	<input type="checkbox"/>	New in 2019	New in 2019	New in 2019	297	Annual Measure	Annual Measure	Annual Measure	Annual Measure	47
1 - Provide advice to District agencies on risk and insurance policies and practices (2 Measures)										
Number of contract and insurance risk management training sessions offered to agency officials	<input type="checkbox"/>	10	37	16	28	Annual Measure	Annual Measure	Annual Measure	Annual Measure	21
Amount of insurance contracts reviews completed in fiscal year (these reviews include contracts, addendums, certificate of insurance and related discussions).	<input type="checkbox"/>	New in 2019	New in 2019	New in 2019	3283	Annual Measure	Annual Measure	Annual Measure	Annual Measure	7402
2 - Manage claims submitted by employees to determine if the injury sustained is compensable (9 Measures)										
Number of incident injuries that result in loss time (indemnity accepted claims)	✓	New in 2020	New in 2020	New in 2020	New in 2020	10	16	22	32	80
Total new workers' compensation claims processed within fiscal year	<input type="checkbox"/>	Needs Update	1548	1666	972	Annual Measure	Annual Measure	Annual Measure	Annual Measure	797
Average number of Public Sector Workers' Compensation claims managed per adjuster by fiscal year	<input type="checkbox"/>	New in 2019	New in 2019	New in 2019	88	Annual Measure	Annual Measure	Annual Measure	Annual Measure	84.2
Total number of medical only claims by fiscal year	<input type="checkbox"/>	New in 2019	New in 2019	New in 2019	871	Annual Measure	Annual Measure	Annual Measure	Annual Measure	846.5
Total number of indemnity claims by fiscal year	<input type="checkbox"/>	New in 2019	New in 2019	New in 2019	871	Annual Measure	Annual Measure	Annual Measure	Annual Measure	308
Number of claims where a nurse case manager has been assigned for fiscal year	<input type="checkbox"/>	New in 2019	New in 2019	New in 2019	525	Annual Measure	Annual Measure	Annual Measure	Annual Measure	712
Total number of claims deemed both indemnity and medical only by fiscal year	<input type="checkbox"/>	New in 2019	New in 2019	New in 2019	1239	Annual Measure	Annual Measure	Annual Measure	Annual Measure	1154.5
Number of Public Sector Workers' Compensation Claims that qualify for permanent partial disability (PPD) by fiscal year	<input type="checkbox"/>	New in 2019	New in 2019	New in 2019	8	Annual Measure	Annual Measure	Annual Measure	Annual Measure	21
Total number of open workers' compensation claims by fiscal year	<input type="checkbox"/>	New in 2019	New in 2019	New in 2019	1239	Annual Measure	Annual Measure	Annual Measure	Annual Measure	1154.5
2 - Ongoing management of accepted claim for medical treatment and/or indemnity payments (1 Measure)										
Total workers' compensation claims closed by normal claims management process within fiscal year	<input type="checkbox"/>	Needs Update	2239	1537	1527	Annual Measure	Annual Measure	Annual Measure	Annual Measure	1011
2 - Public Sector Workers' Compensation Administrative Actions (2 Measures)										
Individual pieces of mail received, processed and uploaded into ERisk per fiscal year	<input type="checkbox"/>	New in 2019	New in 2019	New in 2019	14,657	Annual Measure	Annual Measure	Annual Measure	Annual Measure	12,039
Individual intake and customer service calls received and assisted per fiscal year	<input type="checkbox"/>	New in 2019	New in 2019	New in 2019	1801	Annual Measure	Annual Measure	Annual Measure	Annual Measure	2397
2 - Return injured employee back to work as soon as medically possible in an alternative, modified, part-time and/or full-time capacity (1 Measure)										
Number of claimants returned to work full time by fiscal year	<input type="checkbox"/>	New in 2019	New in 2019	New in 2019	109	Annual Measure	Annual Measure	Annual Measure	Annual Measure	128
3 - Investigations (2 Measures)										
Number of conducted investigations related to Public Sector Workers' Compensation Program (not including alive and well checks)	✓	New in 2020	New in 2020	New in 2020	New in 2020	97	70	59	53	279
Number of conducted investigations related to Tort Division claims	✓	New in 2020	New in 2020	New in 2020	New in 2020	19	17	28	18	82
3 - Receive §12-309 notices for alleged claims against the District (2 Measures)										
Number of new tort claims filed with ORM	<input type="checkbox"/>	Needs Update	1874	1941	2448	Annual Measure	Annual Measure	Annual Measure	Annual Measure	1596

Measure	New Measure/ Benchmark Year	FY2016 Actual	FY2017 Actual	FY2018 Actual	FY2019 Actual	FY 2020 Quarter 1	FY 2020 Quarter 2	FY 2020 Quarter 3	FY 2020 Quarter 4	FY 2020 Report
Total number of claims opened and closed (denied and settled) within the same fiscal year	<input type="checkbox"/>	Needs Update	1268	1173	1037	Annual Measure	Annual Measure	Annual Measure	Annual Measure	1334
3 - Review the facts and assess the merits of the claims for disposition by way of settlements or denials (2 Measures)										
Number of tort claims closed by ORM (denied and settled)	<input type="checkbox"/>	Needs Update	2118	1790	2180	Annual Measure	Annual Measure	Annual Measure	Annual Measure	1091
Total number of claims settled by ORM	<input type="checkbox"/>	Needs Update	432	224	366	Annual Measure	Annual Measure	Annual Measure	Annual Measure	182
4 - Provide notice to third party tortfeasors of the District's intent to subrogate and pursue recovery of monies owed to the District as a result of damages and losses due to third party tortfeasors actions (1 Measure)										
Total number of lien notice letters issued by the Public Sector Workers' Compensation Program in fiscal year	<input type="checkbox"/>	Needs Update	110	80	115	Annual Measure	Annual Measure	Annual Measure	Annual Measure	79
4 - Recover monies through subrogation efforts either in resolution of a settlement or lawsuit (4 Measures)										
Number of new subrogation claims pursued by the PSWCP in fiscal year	<input type="checkbox"/>	New in 2019	New in 2019	New in 2019	89	38	28	2	4	72
Number of subrogation claims pursued and collected	<input type="checkbox"/>	Needs Update	28	20	140	Annual Measure	Annual Measure	Annual Measure	Annual Measure	150
Number of affirmative subrogation demands pursued after issuance of lien letters by the Workers' Compensation Program	<input type="checkbox"/>	Needs Update	53	25	31	Annual Measure	Annual Measure	Annual Measure	Annual Measure	9
Number of subrogation claims pursued by the Public Sector Workers' Compensation Program within fiscal year	<input type="checkbox"/>	Needs Update	40	154	177	Annual Measure	Annual Measure	Annual Measure	Annual Measure	129
5 - Agency Information Presentations (1 Measure)										
Number of information sessions presented to other Agencies regarding ORM's complete operations and services	<input type="checkbox"/>	New in 2018	New in 2018	9	13	Annual Measure	Annual Measure	Annual Measure	Annual Measure	2
5 - Risk Council Meetings (1 Measure)										
Number of Risk Council Meetings conducted by ORM	<input type="checkbox"/>	New in 2018	New in 2018	6	4	1	1	1	2	5
6 - Bill Review (5 Measures)										
Number of new medical providers added to the Public Sector Workers' Compensation Program by fiscal year	<input type="checkbox"/>	New in 2019	New in 2019	New in 2019	250	Annual Measure	Annual Measure	Annual Measure	Annual Measure	95
Number of medical providers terminated by the Public Sector Workers' Compensation Program by fiscal year	<input type="checkbox"/>	New in 2019	New in 2019	New in 2019	0	Annual Measure	Annual Measure	Annual Measure	Annual Measure	0
Number of Public Sector Workers' Compensation claims audited in fiscal year	<input type="checkbox"/>	New in 2019	New in 2019	New in 2019	119	Annual Measure	Annual Measure	Annual Measure	Annual Measure	403
Number of medical providers approved by the Public Sector Workers' Compensation Program by fiscal year	<input type="checkbox"/>	New in 2019	New in 2019	New in 2019	550	Annual Measure	Annual Measure	Annual Measure	Annual Measure	141
Number of medical bills received and paid by the Public Sector Workers' Compensation Program by fiscal year	<input type="checkbox"/>	New in 2019	New in 2019	New in 2019	7755	Annual Measure	Annual Measure	Annual Measure	Annual Measure	9818

2020 Initiatives

Strategic Initiative Title	Strategic Initiative Description	Proposed Completion Date	Is this Initiative focused on Wards 7 and/or 8?	Does this initiative support the Resilient DC Strategy?	Cluster	Add Initiative Update for PAR
District Audit Tracking (1 Strategic Initiative)						
Audit Tracking System	ORM along with agency partners District-wide will work to create a new audit tracking system and process within the structure of ERisk. The new system will not only give ORM and partner agencies greater visibility into audit process and procedure but it will also allow for ORM and partner agencies to assess agency performance related to audits. ORM's Risk Prevention and Safety (RPS) division will lead the development of the software, train pilot agencies and work with pilot agencies to import data by close of Q1 FY2020. After the completion of the audit pilot RPS will work in Q2 with the development team on improvements as well as begin the communication with all District-wide agencies on the new process and procedures. By close of FY20 all District agencies will be aware of the new process, an SOP as well as a user guide will be created and RPS will be able to show dashboards related to agency audits.	09-30-2020	<input type="checkbox"/>	<input type="checkbox"/>	Internal Services	
Obtain and review driving records for operators of District vehicles (1 Strategic Initiative)						
District Employee Driver Program	The Risk Prevention and Safety Division (RPS) will work to develop a single District Employee Driver Program housed in ERisk. The program will include RPS's Vision Zero driver training program and the District's driver authorization form. Moreover, the system will track suspended licenses as well as data related to the District's How's My Driving Program, storing data related to vehicles and driving performance. RPS will work to integrate PeopleSoft data so that each driver is looked up via their PeopleSoft record.	09-30-2020	<input type="checkbox"/>	<input type="checkbox"/>	Internal Services	
Provide a system for identifying, measuring, analyzing and mitigating the District government's exposure to risk and liability (1 Strategic Initiative)						
Enterprise Risk Management Program	In FY2019 ORM embarked on an Enterprise Risk Management (ERM) pilot program with test agencies. In FY2020 ORM will work to establish/build an ERM framework in it's ERisk platform and train ten more agencies on the use of the ERM structure, monitoring, and improving the program. The new system will give agencies a structure to analyze and assess their agencies risks and give them data and dashboards to visualize what risks they currently have and where improvement and risk mitigation can be structured. ORM will assist in teaching agencies how to view and analyze this data and offer training's and assistance in risk mitigation strategies.	09-30-2021	<input type="checkbox"/>	✓	Internal Services	

Strategic Initiative Title	Strategic Initiative Description	Proposed Completion Date	Is this Initiative focused on Wards 7 and/or 8?	Does this initiative support the Resilient DC Strategy?	Cluster	Add Initiative Update for PAR
Provide advice to District agencies on risk and insurance policies and practices (1 Strategic Initiative)						
Enterprise Risk Management System - ERisk	In FY2016 the Agency laid the foundation to implement a risk management application for comprehensive and centralized methods to manage operations and risk identification analysis. In FY17-18 the agency procured vendors and launched phase one. Throughout FY20 ORM will continue work to implement and integrate software related to all platforms and operations but will specifically focus on platforms related to it's Risk Prevention and Safety Division including but not limited to OSHA inspections, Emergency Response Plans and driver management programming.	09-30-2020	<input type="checkbox"/>	<input type="checkbox"/>	Internal Services	
Review District agency incident reports and determine if damages and losses to the District is as a result of negligence or intentional act of a third party (1 Strategic Initiative)						
District-wide Incident Reporting	In FY2019 ORM's Risk Prevention and Safety Division (RPS) work to implement an Incident Reporting Pilot in the Agency ERisk platform. In FY2020 RPS will work to educate and integrate all District agencies into the program, resulting in ORM's ability to see all incidents District-wide to better understand and mitigate risk.	09-30-2021	<input type="checkbox"/>	<input type="checkbox"/>	Internal Services	

Strategic Initiative Title	Initiative Status Update	% Complete to date	Confidence in completion by end of fiscal year (9/30)?	Status of Impact	Supporting Data	FY20 Reporting Quarter
Audit Tracking System (2 Initiative Updates)						
Audit Tracking System	Awaiting meeting date to present Audit Module to the Deputy Mayors	75-99%	Medium	Incremental		Q3
Audit Tracking System	Awaiting meeting date to present Audit Module to the Deputy Mayors	75-99%		Incremental		Q4
District Employee Driver Program (4 Initiative Updates)						
District Employee Driver Program	Vision Zero Driver Training program is loaded onto Skillport. Testing is currently being performed to work out any potential technical problems. It is hoped we can provide access to the program for ACA Melder's office by the end of the month. The ERisk Driver Authorization was implemented and is being utilized by agencies.	75-99%	High	Demonstrable		Q1
District Employee Driver Program	Access to the Vision Zero program has been provided to the Assistant City Administrator's staff. We are awaiting their comments and review.	75-99%	High	Incremental		Q2
District Employee Driver Program	Access to the Vision Zero program has been provided to the Assistant City Administrator's staff. We are awaiting their comments and review.	75-99%	Medium	Incremental		Q3
District Employee Driver Program	Final review of program uncovered that a minor correction was needed in the closed caption portion of the training. The contractor, Iconologic, is in the process of making those corrections. The anticipated release date is October, 2020.	Complete		Incremental		Q4
District-wide Incident Reporting (4 Initiative Updates)						
District-wide Incident Reporting	On-going. RPS continues to roll-out the Incident Reporting module of ERisk to District agencies.	25-49%	Medium	None		Q1
District-wide Incident Reporting	On-going. RPS continues to roll-out the Incident Reporting module of ERisk to District agencies.	75-99%	High	None		Q2
District-wide Incident Reporting	On-going. RPS continues to roll-out the Incident Reporting module of ERisk to District agencies. Most recently, RPS has been working with DBH staff. A tentative schedule is set to conduct training for all CFSA staff.	75-99%	Medium	Incremental		Q3
District-wide Incident Reporting	On-going. RPS continues to rollout the Incident Reporting module. Currently, RPS is working with the Department of Public Works on introducing them to ERisk and transitioning historical data to the system.	50-74%		None		Q4
Enterprise Risk Management Program (4 Initiative Updates)						
Enterprise Risk Management Program	In FY2019 ORM was able to initiate the pilot program with OUC and DFHV. These plans will be finalized with these agencies in FY20. Plans are in place to setup a meeting with OCP and get the process started with them. This should be completed by the third quarter of FY20.	25-49%	Medium	Incremental		Q1
Enterprise Risk Management Program	Meetings with OUC , OCP, and DFHV had to be delayed, due to COVID-19 outbreak. We have discussed these situations with the partner agencies and will get the meetings rescheduled, as soon as this has passed. We are in the process of adding the ERM module to ERisk. This should be completed before the end of the fiscal year.	25-49%	Medium	None		Q2
Enterprise Risk Management Program	Meetings with OUC, OCP, and DFHV had to be delayed, due to COVID-19 outbreak. We have discussed these situations with the partner agencies and will get the meetings rescheduled, as soon as this has passed. We are in the process of adding the ERM module to ERisk. This should be completed before the end of the fiscal year.	50-74%	Low	Demonstrable		Q3
Enterprise Risk Management Program	Meetings with OUC, OCP, and DFHV had to be delayed, due to COVID-19 outbreak. We have discussed these situations with the partner agencies and will get the meetings rescheduled, as soon as this has passed. We are in the process of adding the ERM module to ERisk. This should be completed before the end of the calendar year.	50-74%		None		Q4
Enterprise Risk Management System - ERisk (3 Initiative Updates)						

Strategic Initiative Title	Initiative Status Update	% Complete to date	Confidence in completion by end of fiscal year (9/30)?	Status of Impact	Supporting Data	FY20 Reporting Quarter
Enterprise Risk Management System - ERisk	Ongoing. The building inspection module and applicable SOPs are near completion. Build out of ERP module is complete and a follow-up meeting with DCFEMS Office of the Fire Marshal is scheduled for the week 1/13.	75-99%	High	Incremental		Q1
Enterprise Risk Management System - ERisk	The Building Inspection and ERP programs have been completed and are on-line.	Complete	High	Transformative		Q2
Enterprise Risk Management System - ERisk	Building Inspections and ERP modules are completed and being used by RPS staff and Agency Risk Management Representatives (ARMRs). Continuous reviews are being conducted so as to identify areas for improvement.	Complete		Demonstrable		Q4

Internal: Unfinished 2019 Initiatives

Strategic Initiatives	Title	Description	Complete to Date	Status Update	Explanation	Anticipated Completion Date	Add Initiative Update
CLAIMS EXAMINATION & MGT (1 Strategic Initiative)							
Implement a Risk Management System	In Fiscal Year 2016, the Agency laid the foundation to implement a risk management application that would allow for a comprehensive and centralized method to manage the Agency's operations including public sector workers compensation management, tort claims, insurance and risk identification and analysis. In FY17-18 the Agency procured vendors and launched Phase One, including the Public Sector Workers' Compensation program and incident reporting. Throughout FY19 ORM will work to implement and integrate software related to tort, subrogation, insurance and risk assessment and analysis. This initiative will be measured and monitored via enhanced KPIs and WIs and success will be determined by the projects completion.	75-99%	RPS is working on moving the Driver Log process on to ERisk, as well as connecting to the 311 "How AM I Driving" (HAID) complaint program.				
Vendor Relations and compliance (1 Strategic Initiative)							
Auditing and compliance framework	With the FY18 initiative to self-administer ORM's Public Sector Workers' Compensation Program an increased effort has been put forth in the areas of internal auditing and compliance. The Program has dedicated four FTE's to these efforts and will work in FY19 to create an auditing and compliance framework with effective, corrective action plans, and auditing structures.	75-99%	In addition to the audits that the Compliance team completes the claims team also completes audits of open claims. Both the Claim Examiners and the Supervisors complete these. Then they go over them together. This is a good learning and development tool. It allows Claim Examiners to look at their claims from another perspective, which helps them to understand the expectations and how to achieve them. This has improved the documentation of claims.				

Updates for Unfinished FY19 Initiatives

Strategic Initiative Title	Anticipated completion date	New Initiative Created for FY20	No Longer an Initiative	Initiative Status Update	% Complete to date	Confidence in completion by anticipated completion date?	Status of Impact	Explanation of Impact	Supporting Data	FY20 Reporting Quarter
Implement a Risk Management System		<input type="checkbox"/>	<input type="checkbox"/>	In the final quarter of FY2019 ORM worked to continue it's efforts to establish new functionality in the ERisk ERM System. ORM added functions to house the Risk Prevention and Safety Division's Driver Authorization Forms, Emergency Response Plans, and OSH Inspections. In addition to these advancements the agency added District-wide audit tracking capabilities and extended it's reach in Incident Reporting.	50-74%	Medium	Incremental	The ERisk system is always improving and evolving. This will help complete this in FY20.		Q1
Auditing and compliance framework		<input type="checkbox"/>	<input type="checkbox"/>	The compliance team completes a formal quarterly audit of approximately 45 claims. This audit reviews all aspects of claim handling and compliance with documented protocols. The audits results are shared with the Program Administrator so that any trends that may need to be addressed. These results are used to identify strong work that is being done and to conduct training in areas where improvements can be made.	50-74%	High	Incremental	The compliance team has vastly improved and refined their methods, which in turn is improving the agency. This ensures all rules and procedures are being followed.		Q1
Implement a Risk Management System		<input type="checkbox"/>	<input type="checkbox"/>	Driver Log module is built and a pilot program needs to be scheduled. The 311 direct link is experiencing delays. RPS will load a spreadsheet to ERisk to track complaints.	75-99%	Medium	None	The module is completed. There are delays in implementing the link, due to everything going on.		Q1

Strategic Initiative Title	Anticipated completion date	New Initiative Created for FY20	No Longer an Initiative	Initiative Status Update	% Complete to date	Confidence in completion by anticipated completion date?	Status of Impact	Explanation of Impact	Supporting Data	FY20 Reporting Quarter
Implement a Risk Management System		<input type="checkbox"/>	<input type="checkbox"/>	The Building Inspection and ERP modules are completed and on-line and training conducted.	Complete	High	None	Completed		Q1
Auditing and compliance framework		<input type="checkbox"/>	<input type="checkbox"/>	The compliance team continues to complete quarterly claim audits. In completing these audits they are able to recognize things the claims team are doing a very good job in managing claims and also identify areas for growth and development. Those things are communicated to the management team who creates training session on those areas.	75-99%	High	None	This initiative is almost complete.		Q1
Auditing and compliance framework		<input type="checkbox"/>	<input type="checkbox"/>	The compliance team continues to complete quarterly claim audits. In completing these audits they are able to recognize things the claims team are doing a very good job in managing claims and also identify areas for growth and development. Those things are communicated to the management team who creates training session on those areas.	75-99%	High	None	Close to complete		Q1
Auditing and compliance framework		<input type="checkbox"/>	<input type="checkbox"/>	The compliance team continues to complete quarterly claim audits. In completing these audits they are able to recognize things the claims team are doing a very good job in managing claims and also identify areas for growth and development. Those things are communicated to the management team who creates training session on those areas.	75-99%	High	None	Almost complete		Q1
Auditing and compliance framework		<input type="checkbox"/>	<input type="checkbox"/>	The compliance team continues to complete quarterly claim audits. In completing these audits they are able to recognize things the claims team are doing a very good job in managing claims and also identify areas for growth and development. Those things are communicated to the management team who creates training session on those areas.	Complete	High	None	Complete		Q1

Administrative Information

Record ID# 677

Performance Plan ID 677

Blank Initiative Updates [Blank Initiative Updates](#)

Created on Oct. 30, 2018 at 11:14 AM (EDT). Last updated by [Katz, Lia \(EOM\)](#) on Jan. 28, 2019 at 11:48 AM (EST). Owned by [Katz, Lia \(EOM\)](#).

ATTACHMENT 02

D.C. Office of Risk Management FY2021

Agency D.C. Office of Risk Management

Agency Acronym ORM

Agency RKO
Code

To edit agency and POC information press your agency name (underlined and in blue above).

Agency Performance Christopher Vass; Jed (ORM) Ross; Monica (EOM) Swintz; Sam Yeung;
POCs Valerie Evans

Agency Budget Shilonda (OFRM)
POCs Wiggins

Fiscal Year 2021

Agency's Operating Budget

[Lookup Your Agency's Operating Budget](#)

2021 Objectives

Strategic Objectives	Objective Number	Strategic Objective	# of Measures	# of Operations
	1	Identify, measure, analyze and mitigate the District government's exposure to risk and liability.	3	8
	2	Administer the Public Sector Workers' Compensation Program to provide benefits for disability or death of a District Government employee resulting from personal injury sustained while in the performance of his or her duty.	9	5
	3	Receives and investigates claims against the District government with the goal of negotiating and preparing claims for fair and timely disposition.	5	5
	4	Collect monies owed to the District as a result of Third Party tortfeasors whose negligence or intentional acts result in damages and losses to the District.	2	3
	5	Create and maintain a highly efficient, transparent, and responsive District government.	11	3
	6	Vendor and provider relations needs including medical bill review, compliance, and medical provider assessment.	0	1
	TOT		30	25

2021 Key Performance Indicators

Measure	New Measure/ Benchmark Year	Directionality	FY 2017 Actual	FY 2018 Actual	FY 2019 Target	FY2019 Actual	FY 2020 Target	FY2020 Actual	FY2021 Target	FY 2021 Quarter 1
1 - Identify, measure, analyze and mitigate the District government's exposure to risk and liability. (3 Measures)										
Percent of agencies under the purview of the Mayor that file Cost of Risk reports for data requested	<input type="checkbox"/>	Up is Better	0%	78%	100%	0%	100%	4%	85%	Annual Measure
Percent of eligible facilities for which agencies have submitted an Emergency Response Plan (ERP) for approval by ORM	<input type="checkbox"/>	Up is Better	38.9%	97.5%	85%	95.7%	85%	11.8%	85%	10%
Percent of known and applicable government real estate property assets insured by private insurance	<input type="checkbox"/>	Up is Better	96%	100%	100%	100%	100%	100%	100%	100%
2 - Administer the Public Sector Workers' Compensation Program to provide benefits for disability or death of a District Government employee resulting from personal injury sustained while in the performance of his or her duty. (9 Measures)										
Percent of claims opened and assigned (three point contact) within five (5) business days of receipt by ORM's Public Sector Workers' Compensation Program	<input type="checkbox"/>	Up is Better	New in 2018	98.5%	90%	100%	90%	100%	90%	99%
Percent of compensability decisions conveyed to employees within 30 days	<input type="checkbox"/>	Up is Better	New in 2018	100%	80%	100%	80%	100%	80%	100%
Dollars recouped in Public Sector Workers' Compensation Subrogation Matters	<input type="checkbox"/>	Up is Better	New in 2018	\$177,954.2	\$100,000	\$742,055	\$100,000	\$305,215.7	\$100,000	Annual Measure
Improve agency awareness of ORM's Public Sector Workers' Compensation Program by training and providing a presentation to 10 Agencies	<input type="checkbox"/>	Up is Better	New in 2018	9	10	13	10	12	10	0
Percent of 9A decisions issued within 30 days of receipt	<input type="checkbox"/>	Up is Better	New in 2018	77.4%	75%	95%	75%	93.4%	75%	100%

Measure	New Measure/ Benchmark Year	Directionality	FY 2017 Actual	FY 2018 Actual	FY 2019 Target	FY2019 Actual	FY 2020 Target	FY2020 Actual	FY2021 Target	FY 2021 Quarter 1
Percent of A1 decisions issued within 30 days of receipt	<input type="checkbox"/>	Up is Better	New in 2018	99.1%	75%	87%	75%	93.8%	75%	100%
Percent of intake and customer service calls received and assisted within 3 rings	<input type="checkbox"/>	Up is Better	New in 2019	New in 2019	New in 2019	91%	80%	95%	80%	96%
Percent of claims medications filled as generic vs. brand name	✓	Up is Better	New in 2021	New in 2021	New in 2021	New in 2021	New in 2021	New in 2021	New in 2021	85%
Percent of medical authorizations handled by internal clinical review vs. requiring external utilization review	✓	Up is Better	New in 2021	New in 2021	New in 2021	New in 2021	New in 2021	New in 2021	New in 2021	7%
3 - Receives and investigates claims against the District government with the goal of negotiating and preparing claims for fair and timely disposition. (5 Measures)										
Percent of claims opened, assigned, and received by adjuster within five (5) business days of receipt by ORM (Tort)	<input type="checkbox"/>	Up is Better	95.9%	99.9%	90%	100%	90%	100%	90%	100%
Percent of claims where ORM issues an acknowledgement letter within five (5) business days within the claim being opened and assigned	<input type="checkbox"/>	Up is Better	96.3%	99.7%	95%	100%	95%	100%	100%	100%
The average cost to process a claim per claims specialist	<input type="checkbox"/>	Down is Better	\$126.6	\$116.1	\$159	\$128.7	\$159	\$108.8	\$135	\$187.1
Amount of monies ORM recovers for the District of Columbia via Subrogation	<input type="checkbox"/>	Up is Better	\$100	\$433,232.5	\$130,000	\$885,972	\$130,000	\$4,011,825.3	\$350,000	\$203,784.9
Number of days it takes to resolve a Tort claim in the same fiscal year excluding extraordinary cases once agency request is received	<input type="checkbox"/>	Down is Better	New in 2018	17.52	25	19.7	25	19.3	25	19.54
4 - Collect monies owed to the District as a result of Third Party tortfeasors whose negligence or intentional acts result in damages and losses to the District. (2 Measures)										
Ratio of open to closed tort subrogation claim files	<input type="checkbox"/>	Down is Better	65.9	49.3	50	0.46	50	0.5	0.5	0.72
Percent of claims recovered within the same fiscal year, excluding extraordinary cases	<input type="checkbox"/>	Up is Better	New in 2018	32.9%	25%	32%	25%	56%	25%	Annual Measure

2021 Operations

Operations

Operations Header	Operations Title	Operations Description	Type of Operations
1 - Identify, measure, analyze and mitigate the District government's exposure to risk and liability. (8 Activities)			
RISK INSPECTIONS & COORDIN. OF ARMRS	Conducts site safety inspections of District government properties	ORM's Occupational Safety and Health inspectors conduct inspections of District owned and operated buildings to ensure that building inspections and follow-up inspections are (a) conducted using Occupational Safety and Health Administration's (OSHA) guidelines and (b) communicated to the Directors and Agency Risk Management Representatives (ARMR's) to ensure that the buildings are safe, healthy, and comply with OSHA standards and regulations.	Daily Service
RISK MANAGEMENT	Administration of the District's hybrid Self-Insurance program to include issuance of self-insurance certification letters	The Government of the District of Columbia operates as a self-insured entity. When a District agency requires proof of insurance (evidence of self-insurance), the DC Office of Risk Management (ORM) will review and consider all requests for such proof. If the request is approved, a self insurance letter will be issued to the petitioner.	Daily Service
INSURANCE ANALYSIS	Provide advice to District agencies on risk and insurance policies and practices	Agencies frequently seek advice from ORM on how to protect the District from risks and liabilities as they carry out agency initiatives, contracts and coordinating special events. A training platform has been developed to review the minimum insurance requirements for contractors and vendors. The following areas were addressed – ORM's purpose, the need for insurance, self insurance programs, the Captive, risk / exposure identification, contract insurance requirements, multiple lines of business and their application, additional insureds, subrogation, Anti-Deficiency Act, indemnification clause, certificates of insurance, contract review, timeline and process for review by ORM.	Daily Service
RISK MANAGEMENT	Procure and maintain insurance coverage(s) for District government real estate property assets	ORM, through the Captive Insurance Agency, hired a third-party commercial property insurance broker and purchased commercial property insurance, including terrorism coverage for District-owned property for the purpose of building a stronger District property risk management program through a combination of self-insurance and private insurance.	Daily Service

Operations Header	Operations Title	Operations Description	Type of Operations
RISK MANAGEMENT	Obtain and review driving records for operators of District vehicles	ORM, in partnership with other District government agencies, aims to prevent driver negligence of employees who use a District vehicle for business purposes by obtaining and reviewing driving records. Agencies with high risk drivers are alerted and advised to take appropriate and necessary action to mitigate risk; including but not limited to revoking driving privileges.	Daily Service
CLAIMS EXAMINATION & MGT	Provide a system for identifying, measuring, analyzing and mitigating the District government's exposure to risk and liability	ORM will be integrating functionality within the Enterprise Risk Management System (ERMS) to manage daily operations for each agency.	Key Project
RISK MANAGEMENT	Provides guidance and training to agencies on risk analysis and mitigation	The Office of Risk Management collaborates with all Agency Risk Management Representatives (ARMRs) on emergency response to determine the areas where the District has the greatest exposure to risk and make recommendations to minimize its occurrence.	Daily Service
CLAIMS EXAMINATION	Alive and well checks for Workers' Compensation Program	Number of alive and well checks done by the Investigation unit for the Public Sector Workers' Compensation Program	Daily Service
2 - Administer the Public Sector Workers' Compensation Program to provide benefits for disability or death of a District Government employee resulting from personal injury sustained while in the performance of his or her duty. (5 Activities)			
Public Sector Workers' Compensation Administrative Actions	Public Sector Workers' Compensation Administrative Actions	Dedicated resources utilized to process and assist the Public Sector Workers' Compensation claims management process including claims intake and provider relations services.	Daily Service
CLAIMS MANAGEMENT	Ongoing management of accepted claim for medical treatment and/or indemnity payments	Once a claim is accepted, ORM continuously reviews and analyzes medical and loss wage payments for compensability.	Daily Service
RETURN TO WORK	Return injured employee back to work as soon as medically possible in an alternative, modified, part-time and/or full-time capacity	Return to work simply means helping an employee get back to work as soon as possible after a job-related injury or illness. Through additional concrete efforts ORM will create alternative methods of support in order to return more employees back to work.	Daily Service
RETURN TO WORK	Conduct orientations, trainings and job fairs to injured employee's of the Public Sector Workers' Compensation Program and Return to Work Program	Returns to work orientations are conducted monthly. The purpose is to educate injured workers on the Return to Work process. Trainings consist of resume writing, basic computer skills, and interview skills. Job fairs are held quarterly, consisting of DC Government agencies and outside organizations who conduct on-the-spot interviews for permanent placement.	Daily Service
CLAIMS EXAMINATION & MGT	Manage claims submitted by employees to determine if the injury sustained is compensable	The primary goal of the Public Sector Workers' Compensation Program is to respond to workplace injuries with the best, most appropriate medical care at a reasonable cost, and to return employees back to work as soon as medically possible. ORM will work with agency partners to analyze and ensure injuries are work related through an integrated, active process.	Daily Service
3 - Receives and investigates claims against the District government with the goal of negotiating and preparing claims for fair and timely disposition. (5 Activities)			
CLAIMS MANAGEMENT	Administer the Settlement and Judgement Fund	ORM authorizes pre-litigation settlements through its operation of the tort liability program. ORM continues to improve its analysis and review of payments from the settlement and judgement fund.	Daily Service
CLAIMS EXAMINATION & MGT	Review the facts and assess the merits of the claims for disposition by way of settlements or denials	The claims adjuster will: 1) contact the claimant and the parties involved 2) contact the District agency involved for internal reports and investigative information 3) gather and inspect all relevant information regarding a claim including photos, quotes, estimates, witness statements, etc. 4) enter additional information/investigation details into claims management system 5) determination to accept or reject a claim	Daily Service
CLAIMS EXAMINATION & MGT	Coordination with responsible District agencies to determine whether to accept a claim and enter into a pre-litigation settlement or reject the claim	ORM will reach out the involved agency for supporting documentation in order to assess liability. Upon determination of liability, ORM will reach out the claimant directly.	Daily Service
CLAIMS EXAMINATION	Receive §12-309 notices for alleged claims against the District	The Tort Liability Division investigates and resolves claims filed against the District of Columbia pursuant to D.C. Code § 12-309. Individuals can file a tort claim against the District for unliquidated losses (property damage or personal injury) arising out of the actions or inactions of the District and/or its employees. Once a claim has been received and logged into the claims database, it is assigned to an adjuster for investigation and handling.	Daily Service
CLAIMS EXAMINATION	Investigations	Investigations related to Tort and Public Sector Workers' Compensation incidents and claims.	Daily Service
4 - Collect monies owed to the District as a result of Third Party tortfeasors whose negligence or intentional acts result in damages and losses to the District. (3 Activities)			
CLAIMS EXAMINATION	Review District agency incident reports and determine if damages and losses to the District is as a result of negligence or intentional act of a third party	ORM assesses liability pursuant to supporting documentation requested and received from agencies.	Daily Service

Operations Header	Operations Title	Operations Description	Type of Operations
CLAIMS EXAMINATION & MGT	Provide notice to third party tortfeasors of the District's intent to subrogate and pursue recovery of monies owed to the District as a result of damages and losses due to third party tortfeasors actions	ORM relies on supporting documentation from the agencies to assist in the subrogation process.	Daily Service
CLAIMS EXAMINATION & MGT	Recover monies through subrogation efforts either in resolution of a settlement or lawsuit	ORM's staff will analyze , pursue, and support OAG in litigation efforts to collect on losses incurred by third party actors.	Daily Service
5 - Create and maintain a highly efficient, transparent, and responsive District government. (3 Activities)			
RISK MANAGEMENT	Risk Council Meetings	Risk Council Meetings coordination with Agency ARMRs	Key Project
CUSTOMER SERVICE	Agency Information Presentations	ORM is working to touch all District Agencies to communicate our operations and services.	Key Project
District Audit Tracking	District Audit Tracking	Enter, review and track audit information for both the District's Single Audit and agency individual audits.	Daily Service
6 - Vendor and provider relations needs including medical bill review, compliance, and medical provider assessment. (1 Activity)			
Vendor Relations and compliance	Bill Review	Review of medical provider billing	Daily Service

2021 Workload Measures

Workload Measures - Operations

Measure	New Measure/ Benchmark Year	FY2016 Actual	FY2017 Actual	FY2018 Actual	FY2019 Actual	FY2020 Actual	FY 2021 Quarter 1
1 - Alive and well checks for Workers' Compensation Program (1 Measure)							
Number of alive and well checks done by the Investigation unit for the Public Sector Workers' Compensation Program	<input type="checkbox"/>	Needs Update	New in 2020	New in 2020	New in 2020	55	61
1 - Conducts site safety inspections of District government properties (1 Measure)							
Number of environmental and safety inspections at District Government buildings conducted by ORM	<input type="checkbox"/>	617	265	301	257	137	Annual Measure
1 - Obtain and review driving records for operators of District vehicles (1 Measure)							
Number of instances when the Risk Prevention and Safety Division communicates with other Agencies regarding "How's My Driving" (Limited to incident reporting and complaints)	<input type="checkbox"/>	New in 2019	New in 2019	New in 2019	297	47	Annual Measure
1 - Provide advice to District agencies on risk and insurance policies and practices (2 Measures)							
Number of contract and insurance risk management training sessions offered to agency officials	<input type="checkbox"/>	10	37	16	28	21	Annual Measure
Amount of insurance contracts reviews completed in fiscal year (these reviews include contracts, addendums, certificate of insurance and related discussions).	<input type="checkbox"/>	New in 2019	New in 2019	New in 2019	3283	7402	Annual Measure
2 - Conduct orientations, trainings and job fairs to injured employee's of the Public Sector Workers' Compensation Program and Return to Work Program (1 Measure)							
Number of claimants who participated in Vocational Rehabilitation	✓	Not Available	New in 2021	New in 2021	New in 2021	New in 2021	12
2 - Manage claims submitted by employees to determine if the injury sustained is compensable (8 Measures)							
Total new workers' compensation claims processed within fiscal year	<input type="checkbox"/>	Needs Update	1548	1666	972	797	Annual Measure
Average number of Public Sector Workers' Compensation claims managed per adjuster by fiscal year	<input type="checkbox"/>	New in 2019	New in 2019	New in 2019	88	84.2	Annual Measure
Total number of medical only claims by fiscal year	<input type="checkbox"/>	New in 2019	New in 2019	New in 2019	871	846.5	Annual Measure
Total number of indemnity claims by fiscal year	<input type="checkbox"/>	New in 2019	New in 2019	New in 2019	871	308	Annual Measure
Total number of open workers' compensation claims by fiscal year	<input type="checkbox"/>	New in 2019	New in 2019	New in 2019	1239	1154.5	Annual Measure
Number of claims where a nurse case manager has been assigned for fiscal year	<input type="checkbox"/>	New in 2019	New in 2019	New in 2019	525	712	Annual Measure

Measure	New Measure/ Benchmark Year	FY2016 Actual	FY2017 Actual	FY2018 Actual	FY2019 Actual	FY2020 Actual	FY 2021 Quarter 1
Number of Public Sector Workers' Compensation Claims that qualify for permanent partial disability (PPD) by fiscal year	<input type="checkbox"/>	New in 2019	New in 2019	New in 2019	8	21	Annual Measure
Number of incident injuries that result in loss time (indemnity accepted claims)	<input type="checkbox"/>	Needs Update	New in 2020	New in 2020	New in 2020	80	26
2 - Ongoing management of accepted claim for medical treatment and/or indemnity payments (2 Measures)							
Total workers' compensation claims closed by normal claims management process within fiscal year	<input type="checkbox"/>	Needs Update	2239	1537	1527	1011	Annual Measure
Number of new Public Sector Workers' Compensation Program incidents converted to claims	✓	Not Available	New in 2021	New in 2021	New in 2021	New in 2021	142
2 - Public Sector Workers' Compensation Administrative Actions (3 Measures)							
Individual pieces of mail received, processed and uploaded into ERisk per fiscal year	<input type="checkbox"/>	New in 2019	New in 2019	New in 2019	14,657	12,039	Annual Measure
Individual intake and customer service calls received and assisted per fiscal year	<input type="checkbox"/>	New in 2019	New in 2019	New in 2019	1801	2397	Annual Measure
Number of new incidents reported	✓	Not Available	New in 2021	New in 2021	New in 2021	New in 2021	181
2 - Return injured employee back to work as soon as medically possible in an alternative, modified, part-time and/or full-time capacity (1 Measure)							
Number of claimants returned to work full time by fiscal year	<input type="checkbox"/>	New in 2019	New in 2019	New in 2019	109	128	Annual Measure
3 - Investigations (2 Measures)							
Number of conducted investigations related to Public Sector Workers' Compensation Program (not including alive and well checks)	<input type="checkbox"/>	Needs Update	New in 2020	New in 2020	New in 2020	279	54
Number of conducted investigations related to Tort Division claims	<input type="checkbox"/>	Needs Update	New in 2020	New in 2020	New in 2020	82	21
3 - Receive §12-309 notices for alleged claims against the District (2 Measures)							
Number of new tort claims filed with ORM	<input type="checkbox"/>	Needs Update	1874	1941	2448	1596	Annual Measure
Total number of claims opened and closed (denied and settled) within the same fiscal year	<input type="checkbox"/>	Needs Update	1268	1173	1037	1334	Annual Measure
3 - Review the facts and assess the merits of the claims for disposition by way of settlements or denials (2 Measures)							
Number of tort claims closed by ORM (denied and settled)	<input type="checkbox"/>	Needs Update	2118	1790	2180	1091	Annual Measure
Total number of claims settled by ORM	<input type="checkbox"/>	Needs Update	432	224	366	182	Annual Measure
4 - Provide notice to third party tortfeasors of the District's intent to subrogate and pursue recovery of monies owed to the District as a result of damages and losses due to third party tortfeasors actions (1 Measure)							
Total number of lien notice letters issued by the Public Sector Workers' Compensation Program in fiscal year	<input type="checkbox"/>	Needs Update	110	80	115	79	Annual Measure
4 - Recover monies through subrogation efforts either in resolution of a settlement or lawsuit (2 Measures)							
Number of subrogation claims pursued and collected	<input type="checkbox"/>	Needs Update	28	20	140	150	Annual Measure
Number of new subrogation claims pursued by the PSWCP in fiscal year	<input type="checkbox"/>	New in 2019	New in 2019	New in 2019	89	72	14
5 - Risk Council Meetings (1 Measure)							
Number of Risk Council Meetings conducted by ORM	<input type="checkbox"/>	New in 2018	New in 2018	6	4	5	0
6 - Bill Review (2 Measures)							
Number of Public Sector Workers' Compensation claims audited in fiscal year	<input type="checkbox"/>	New in 2019	New in 2019	New in 2019	119	403	Annual Measure
Number of medical bills received and paid by the Public Sector Workers' Compensation Program by fiscal year	<input type="checkbox"/>	New in 2019	New in 2019	New in 2019	7755	9818	Annual Measure

2021 Initiatives

Strategic Initiative Title	Strategic Initiative Description	Proposed Completion Date	Is this Initiative focused on Wards 7 and/or 8?	Does this initiative support the Resilient DC Strategy?	Cluster	Add Initiative Update
District Audit Tracking (1 Strategic Initiative)						
Audit Tracking System	<p>ORM along with agency partners District-wide will work to create a new audit tracking system and process within the structure of ERisk. The new system will not only give ORM and partner agencies greater visibility into audit process and procedure but it will also allow for ORM and partner agencies to assess agency performance related to audits.</p> <p>ORM's Risk Prevention and Safety (RPS) division will lead the effort working to develop the software, training pilot agencies and work with pilot agencies to import data by close of Q1 FY2020. After the completion of the audit pilot RPS will work in Q2 with the development team on improvements as well as begin the communication with all District-wide agencies on the new process and procedures. By close of FY20 all District agencies will be aware of the new process, an SOP as well as a user guide will be created and RPS will be able to show dashboards related to agency audits.</p>	09-30-2021	<input type="checkbox"/>	<input type="checkbox"/>	Internal Services	
Provide advice to District agencies on risk and insurance policies and practices (1 Strategic Initiative)						
Insurance Contract Reviews with OCP	For FY21 ORM will work with OCP and other agencies with procurement authority to build out a structure surrounding Insurance Contract Reviews. This effort will look to establish minimum requirements based on the exposures in liability to the District, vendors, and constituents.	09-30-2021	<input type="checkbox"/>	<input type="checkbox"/>	Internal Services	
Public Sector Workers' Compensation Administrative Actions (2 Strategic initiatives)						
Workers' Compensation Training Materials	In FY21, ORM will create training materials for the workers' compensation claim process that District agencies can use to train their employees and supervisors. These materials will include one-page handouts, PowerPoint presentations, and potentially Skillport Training courses.	09-30-2021	<input type="checkbox"/>	<input type="checkbox"/>	Internal Services	
Workers' Compensation Agency Summary Report	In FY21, ORM will create a District Agency Workers' Compensation Summary report. This report will highlight important claim data that can be provided to stakeholders beyond just the workers' compensation coordinator to ensure the Agency is aware of their successes and areas that need improvement pertaining to their agency. The report will go out to all District agencies, and will be specific to the individual agency, in order to keep agencies informed about their injured workers.	09-30-2021	<input type="checkbox"/>	<input type="checkbox"/>	Internal Services	
Recover monies through subrogation efforts either in resolution of a settlement or lawsuit (1 Strategic Initiative)						
District Agency Subrogation Collection	The Tort program will continue it's ERisk initiatives focusing attention on educating and assisting agencies with the recovery process, in an effort to utilize the incident reporting mechanism in ERisk to record and collect damages to District property. This will be done by creating strategic relationships with various District Agencies to increase subrogation collections. A major focus for FY21 will be with working with DGS.	09-30-2021	<input type="checkbox"/>	<input type="checkbox"/>	Internal Services	

2021 Initiative Updates

Strategic Initiative Title	Initiative Status Update	% Complete to date	Confidence in completion by end of fiscal year (9/30)?	Status of Impact	Supporting Data	Reporting Quarter
Audit Tracking System (1 Initiative Update)						
Audit Tracking System	The single audit tracking system is tentatively scheduled for rollout in March, 2021.	50-74%	High	Incremental		Q1
District Agency Subrogation Collection (1 Initiative Update)						
District Agency Subrogation Collection	Tort Division will work with stakeholders of all agencies to establish a process of reporting incidents involving employment matters in an effort to record, monitor, mitigate and resolve disputes. Tort continues to partner with the Attorney General's Office to resolve subrogation referrals to recovery subrogation monies owed to the District.	25-49%	High	None		Q1
Insurance Contract Reviews with OCP (1 Initiative Update)						
Insurance Contract Reviews with OCP	Both agencies have had discussions on further collaboration on insurance vendor review.	25-49%	Medium	None		Q1
Workers' Compensation Agency Summary Report (1 Initiative Update)						

Strategic Initiative Title	Initiative Status Update	% Complete to date	Confidence in completion by end of fiscal year (9/30)?	Status of Impact	Supporting Data	Reporting Quarter
Workers' Compensation Agency Summary Report	We are currently researching the best information to include in this report.	0-24%	Medium	None		Q1
Workers' Compensation Training Materials (1 Initiative Update)						
Workers' Compensation Training Materials	We have begun to write the PSWCP Frequently Asked Questions which can be provided to injured workers and will be posted on our website. It will be an easy to understand guide to questions that come up regularly.	0-24%	High	None		Q1

Internal: Unfinished 2020 Initiatives

Title	Description	Complete to Date	Status Update	Explanation	Anticipated Completion Date	Add Initiative Update
CLAIMS EXAMINATION (1 Strategic Initiative)						
District-wide Incident Reporting	In FY2019 ORM's Risk Prevention and Safety Division (RPS) work to implement an Incident Reporting Pilot in the Agency ERisk platform. In FY2020 RPS will work to educate and integrate all District agencies into the program, resulting in ORMs ability to see all incidents District-wide to better understand and mitigate risk.	50-74%	On-going. RPS continues to rollout the Incident Reporting module. Currently, RPS is working with the Department of Public Works on introducing them to ERisk and transitioning historical data to the system.	Rollled over	09-30-2020	
CLAIMS EXAMINATION & MGT (1 Strategic Initiative)						
Enterprise Risk Management Program	In FY2019 ORM embarked on an Enterprise Risk Management (ERM) pilot program with test agencies. In FY2020 ORM will work to establish/build an ERM framework in it's ERisk platform and train ten more agencies on the use of the ERM structure, monitoring, and improving the program. The new system will give agencies a structure to analyze and assess their agencies risks and give them data and dashboards to visualize what risks they currently have and where improvement and risk mitigation can be structured. ORM will assist in teaching agencies how to view and analyze this data and offer training's and assistance in risk mitigation strategies.	50-74%	Meetings with OUC, OCP, and DFHV had to be delayed, due to COVID-19 outbreak. We have discussed these situations with the partner agencies and will get the meetings rescheduled, as soon as this has passed. We are in the process of adding the ERM module to ERisk. This should be completed before the end of the calendar year.	Rollled over	09-30-2020	
RISK MANAGEMENT (1 Strategic Initiative)						
Audit Tracking System	ORM along with agency partners District-wide will work to create a new audit tracking system and process within the structure of ERisk. The new system will not only give ORM and partner agencies greater visibility into audit process and procedure but it will also allow for ORM and partner agencies to assess agency performance related to audits. ORM's Risk Prevention and Safety (RPS) division will lead the development of the software, train pilot agencies and work with pilot agencies to import data by close of Q1 FY2020. After the completion of the audit pilot RPS will work in Q2 with the development team on improvements as well as begin the communication with all District-wide agencies on the new process and procedures. By close of FY20 all District agencies will be aware of the new process, an SOP as well as a user guide will be created and RPS will be able to show dashboards related to agency audits.	75-99%	Awaiting meeting date to present Audit Module to the Deputy Mayors	Awaiting meeting date to present Audit Module to the Deputy Mayors	09-30-2020	

Updates for Unfinished 2020 Initiatives

Strategic Initiative Title	Anticipated completion date	New Initiative Created for FY20	No Longer an Initiative	Initiative Status Update	% Complete to date	Confidence in completion by anticipated completion date?	Status of Impact	Explanation of Impact	Supporting Data	Reporting Quarter

Strategic Initiative Title	Anticipated completion date	New Initiative Created for FY20	No Longer an Initiative	Initiative Status Update	% Complete to date	Confidence in completion by anticipated completion date?	Status of Impact	Explanation of Impact	Supporting Data	Reporting Quarter
District-wide Incident Reporting	09-30-2020	<input type="checkbox"/>	<input type="checkbox"/>	On-going. RPS continues to roll out the Incident Reporting module. Currently, RPS is working with the Department of Public Works on introducing them to ERisk and transitioning historical data to the system.	25-49%	High	Incremental	The pilot program is progressing well, we have confidence this will get completed before the end of the year.		Q1
Enterprise Risk Management Program	09-30-2020	<input type="checkbox"/>	<input type="checkbox"/>	Meetings with OUC, OCP, and DFHV had to be delayed, due to COVID-19 outbreak. We will also work with OPRS about in implementing this program. ORM is looking to add another member to the team who can assist with managing this program.	25-49%	Low	None	COVID has really slowed this initiative, we will put a lot of focus on this in Q2 and Q3.		Q1
Audit Tracking System	09-30-2020	✓	<input type="checkbox"/>							Q1

Administrative Information

Record ID# 761

Performance Plan ID 761 Blank Initiative Updates [Blank Initiative Updates](#)

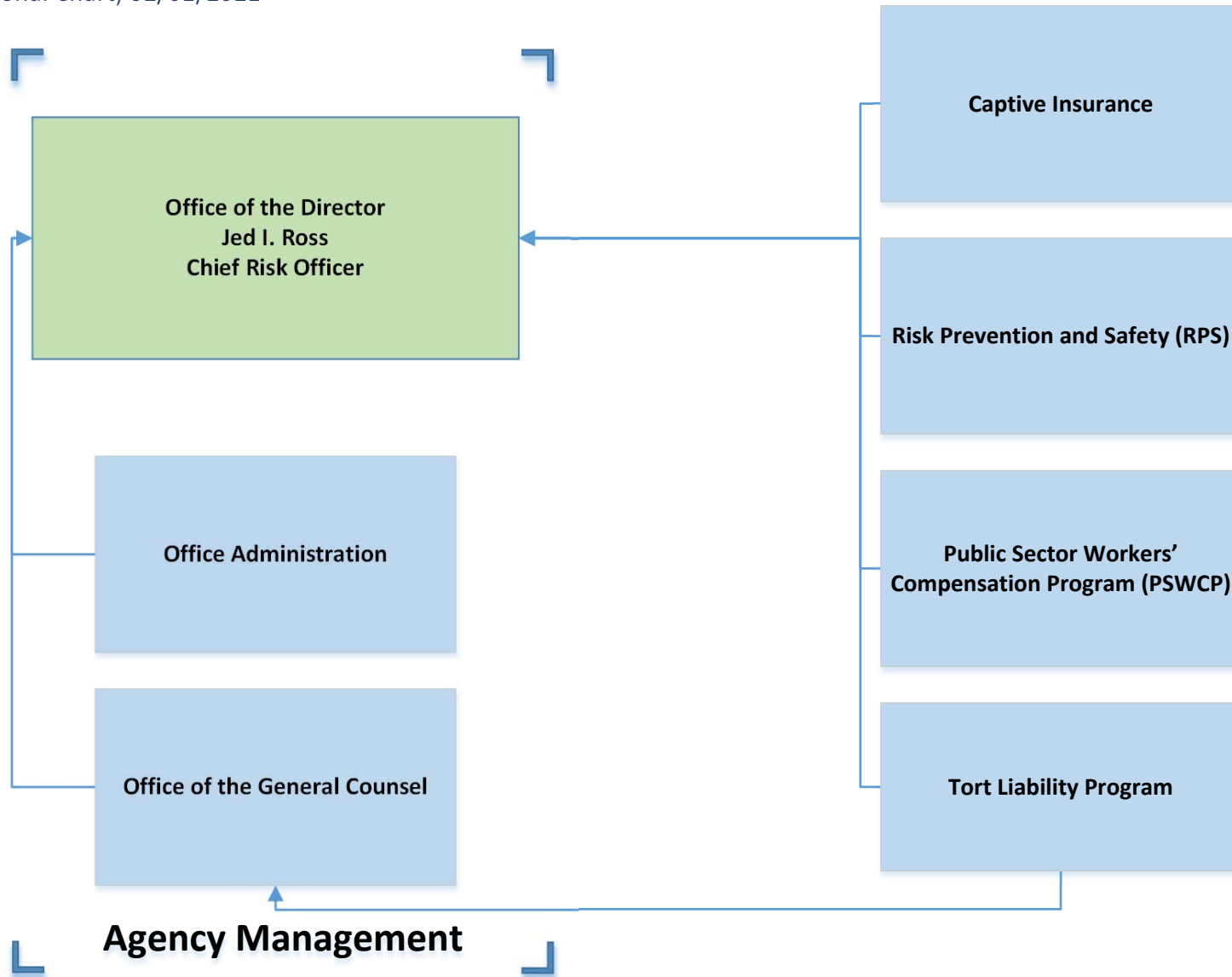
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ATTACHMENT 03

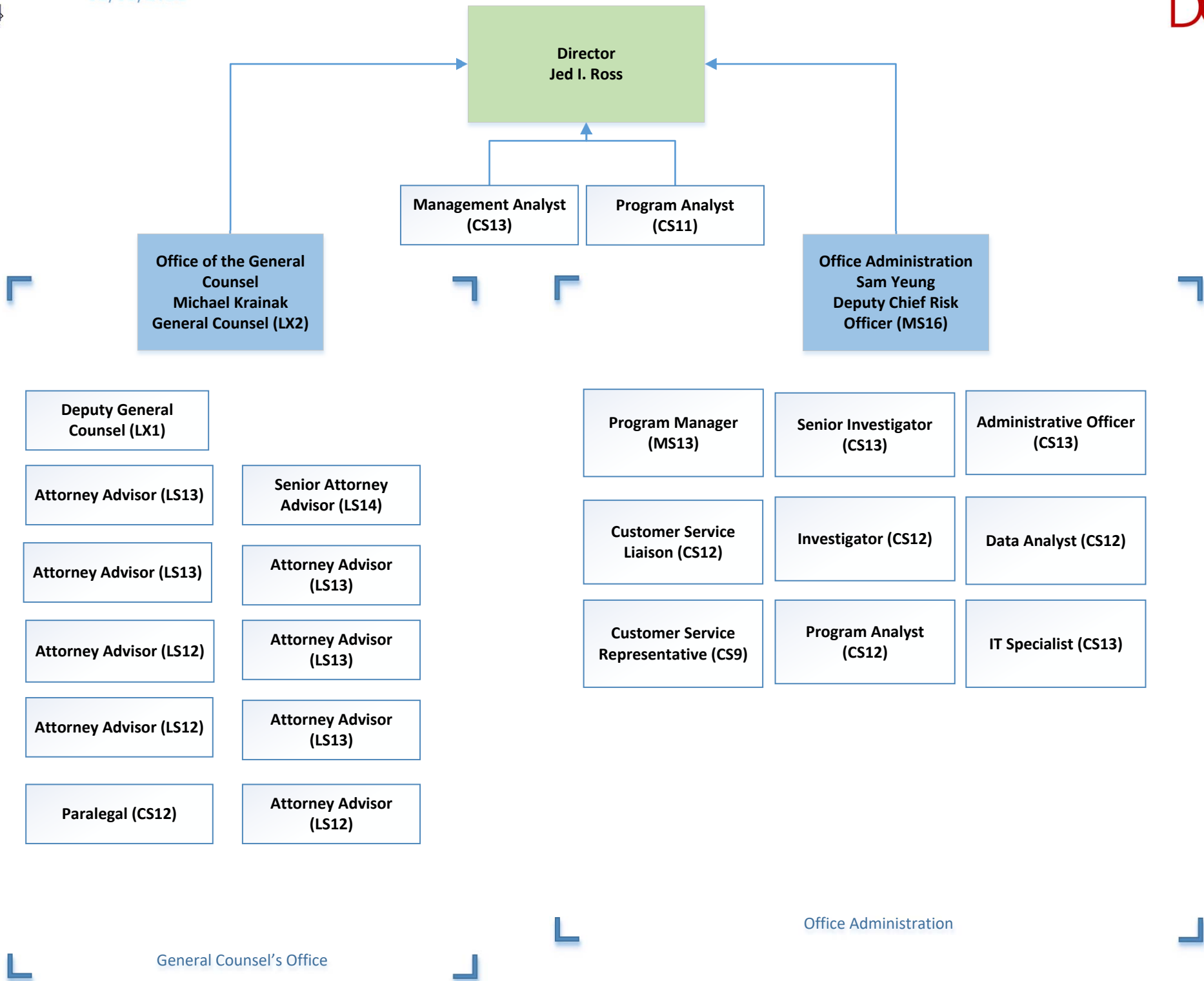


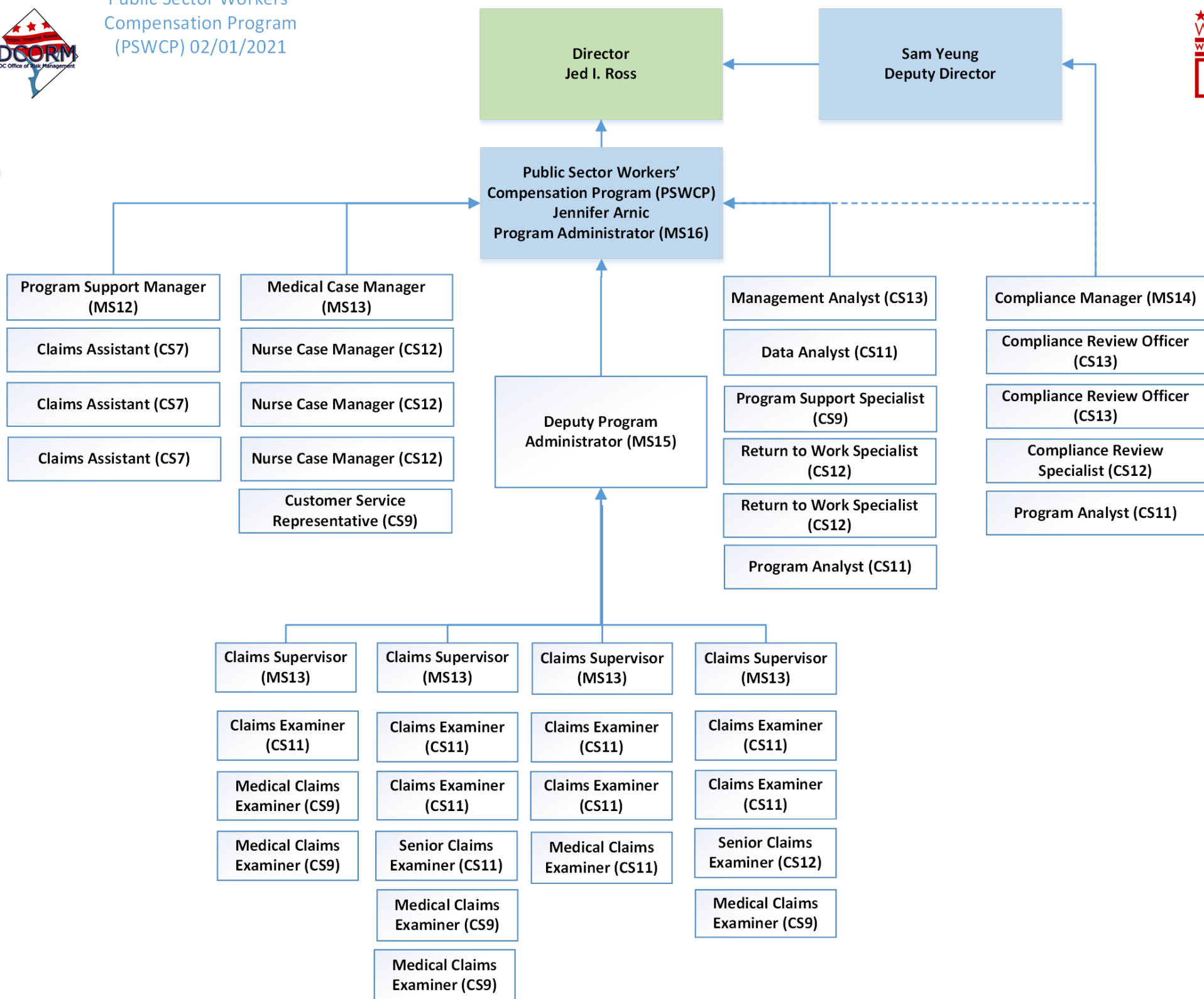
OFFICE OF RISK MANAGEMENT

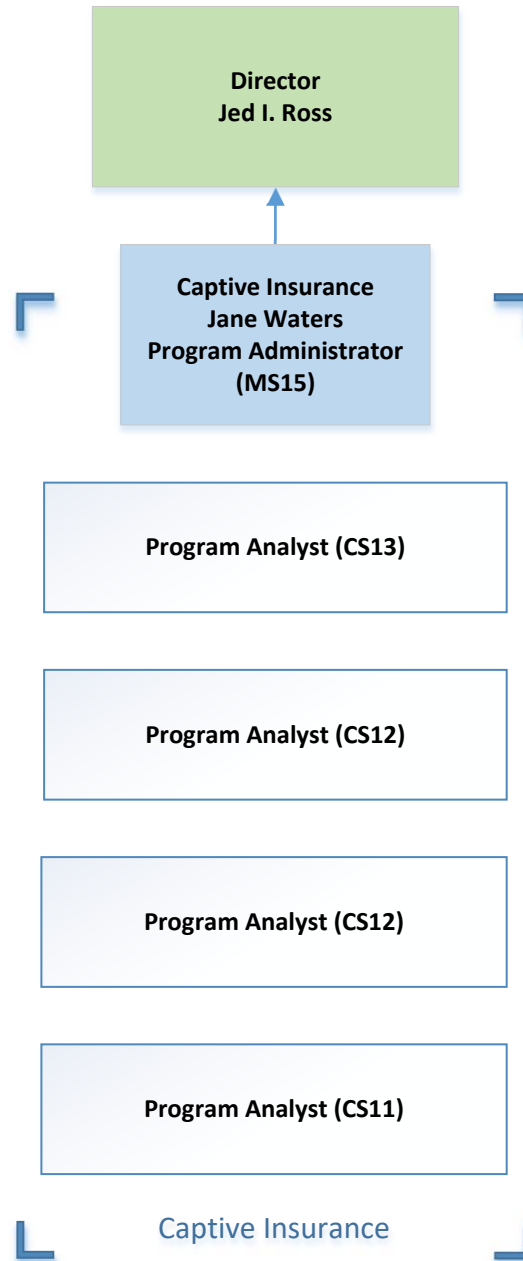
(Organizational Chart) 02/01/2021

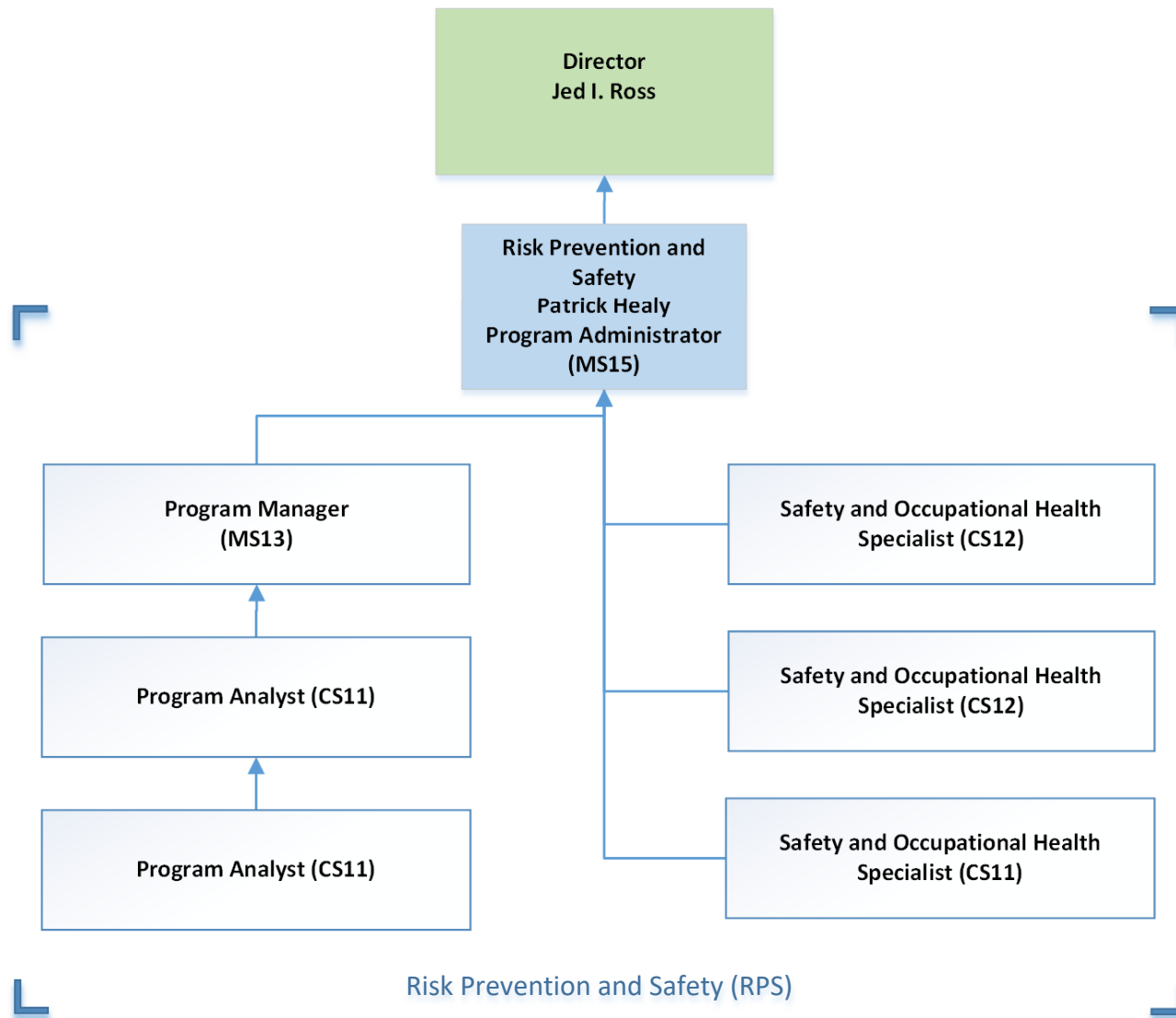


	Agency Management	Insurance	RPS	PSWCP	Tort
Filled	23	5	7	38	9
Vacant	2	0	0	3	0



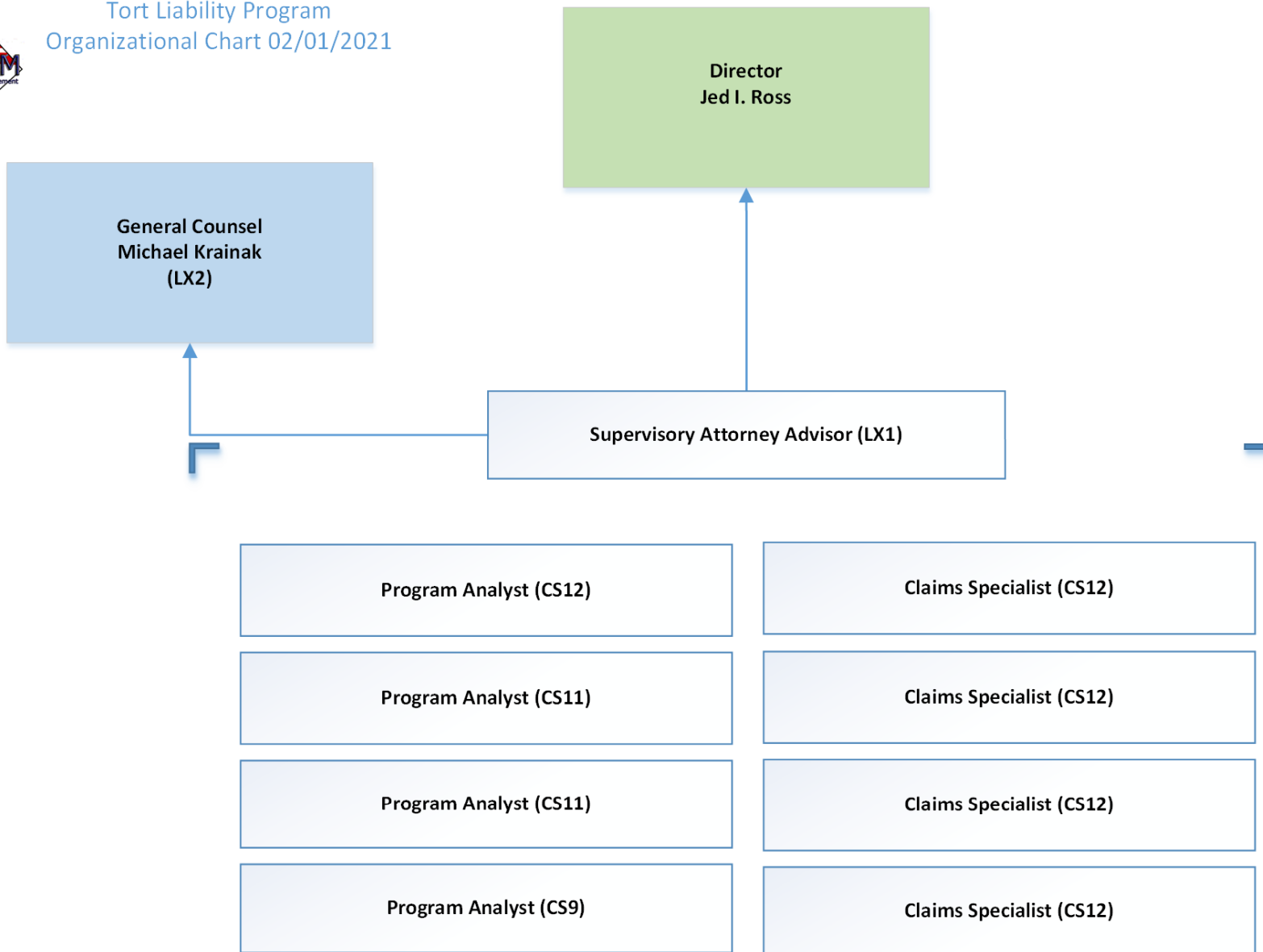








Tort Liability Program
Organizational Chart 02/01/2021



ORGANIZATIONAL DIVISIONS AND RESPONSIBILITIES	
Division/Program	Description of Responsibilities
Agency Management	Provides operational management, legal support and administrative support to the agency for operational effectiveness to achieve positive operational and programmatic results.
Risk Prevention and Safety (RPS)	Coordinates the work of the Agency Risk Management Representative (ARMRs) who systematically identify, measure and analyze and document the District Government's exposure to risk. The program also reviews and guides the activities of agency Risk Assessment Control Committees (RACC) relative to risk management plans. The purpose of the RACC is to maintain, in cooperation with ORM, a proactive and comprehensive program of risk assessment and control for agencies that minimizes the frequency, severity and probability of losses to which agencies are exposed. It also provides training to increase District employee's knowledge of risk prevention, including the creation of Emergency Response Plans (ERPs). ERPs include agency evacuation plans and responses to various hazards, including the threat of terrorism.
Insurance	Administers the Captive Insurance Agency, which provides medical malpractice insurance to non-profit community health clinics in the Districts, as well as property insurance for risks to District government real property assets for various hazards. In addition, it works closely with the Office of Contracting and Procurement (OCP) to ensure that contracts over \$100,000 have the appropriate insurance requirements. The Insurance program also serves as a general resource to all District agencies wishing to obtain policy and other guidance on protecting the District through insurance and other contractual risk management techniques.

ORGANIZATIONAL DIVISIONS AND RESPONSIBILITIES	
Division/Program	Description of Responsibilities
Public Sector Workers' Compensation	<p>Responds to workplace injuries with the best, most appropriate medical care at a responsible cost and to return employees back to work as soon as medically possible. Workers' Compensation is a system of benefits provided by law for workers who have job-related injuries or illnesses.</p> <p>The Office of Risk Management oversees the management of the Public Sector Workers' Compensation Program (PSWCP). Benefits include medical services, vocational rehabilitation and compensation for permanent loss of use of a body part or function and death benefits for beneficiaries. Employees are eligible for benefits when an injury or illness arises out of and in the course and scope of his or her employment.</p> <p>The program also oversees a Return-to-Work initiative, which helps employees get back to work as soon as possible after a job-related injury or illness. Return-to-Work is successful when there is communication between the injured worker and his or her agency, a key factor in his or her recovery.</p>
Tort Liability	<p>Investigates and resolves tort liability claims filed against the District of Columbia. Effective January 20, 2004, the Mayor delegated to the Office of Risk Management the authority to accept notice of claim letter under D.C. Official Code §12-309. As such, individuals can file claims against the District of Columbia for loss, damage or injury. An action may not be maintained against the District of Columbia for unliquidated damages to person or property unless, within six months after the injury or damage was sustained, the claimant, his agent or attorney has given notice in writing to the Mayor of the District of Columbia of the approximate time, place, cause and circumstances of the injury or damage. Under certain circumstances, reports of the Metropolitan Police Department may also satisfy the notice requirement provided that they contain all of the information required by the statute.</p> <p>The Tort Liability program also pursues subrogation claims against third parties whose acts of negligence have resulted in damage to District government property.</p>

ATTACHMENT 04

**Office of Risk Management
Schedule A (as of 2/17/2021)**

Position Number	Job Title	Employee Name	Hire Date	Vacancy Status	Recruitment Status	Grade	Step	Salary	Fringe Benefits	Agency	Index	Fund Code	Activity	Full Time/Part Time	Reg/Term/Temp	WAE	Appointment Type
00034875	Director	JED ROSS	01/05/15	F		E4	0	\$ 184,831	\$ 41,587	RKO	10900	0100	1090	F	Reg	N	Executive
00038229	PROGRAM ANALYST	Lana Craven	04/11/80	F		11	10	\$ 85,784	\$ 19,301	RKO	6110L	0100	6110	F	Reg	N	CS
00038352	Attorney Advisor	Soriya Chhe	06/23/08	F		14	6	\$ 141,599	\$ 31,860	RKO	6110L	0100	6110	F	Reg	N	LA
00038353	CLAIMS SPEC	Robert Carter	10/31/05	F		12	10	\$ 105,339	\$ 23,701	RKO	6110L	0100	6110	F	Reg	N	CS
00039097	Program Administrator (Risk Pr	Patrick Healy	12/02/13	F		15	0	\$ 121,414	\$ 27,318	RKO	2120L	0100	2120	F	Reg	N	MSS
00039581	CLAIMS SPEC	Charlotte Fisher	03/06/06	F		12	9	\$ 102,782	\$ 23,126	RKO	6110L	0100	6110	F	Reg	N	CS
00039604	Compliance Review Officer	Tammy Hagin	03/26/12	F		13	8	\$ 107,380	\$ 22,013	BGO	11101	1111	1100	F	Reg	N	CS
00039605	PGM ANALYST	Susana Suarez	03/01/95	F		12	9	\$ 102,782	\$ 23,126	RKO	4110L	0100	4110	F	Reg	N	CS
00042596	Program Support Specialist	Ann-Sophie Kouadio	10/26/20	F		9	2	\$ 52,691	\$ 10,802	BGO	11101	1111	1100	F	Term	N	CS
00044012	Program Analyst	Shaneah Stewart	08/06/18	F		11	5	\$ 69,429	\$ 15,622	RKO	4110L	0100	4110	F	Term	N	CS
00045420	Program Analyst	Marcia Pezoa	10/01/07	F		9	5	\$ 62,286	\$ 14,014	RKO	6110L	0100	6110	F	Reg	N	CS
00045695	Program Analyst	Jacqueline Turcios	02/01/21	F		11	1	\$ 61,521	\$ 13,842	RKO	2110L	0100	2110	F	Term	N	CS
00046732	Safety and Occupational Health	Samantha Emminizer	06/06/16	F		12	4	\$ 89,997	\$ 20,249	RKO	2110L	0100	2110	F	Reg	N	CS
00046733	Deputy Chief Risk Officer	Sing Yeung	09/02/07	F		16	0	\$ 157,863	\$ 35,519	RKO	10900	0100	1090	F	Reg	N	MSS
00047175	Administrative Officer	Valerie Evans	01/23/05	F		13	8	\$ 107,380	\$ 24,161	RKO	10100	0100	1010	F	Reg	N	CS
00047241	Return to Work Specialist	Marisia Hall	06/10/19	F		12	3	\$ 80,848	\$ 16,574	BGO	11101	1111	1100	F	Reg	N	CS
00048031	SAFETY & OCCUP HLTH SPEC	Thomas Herbert	09/29/08	F		12	7	\$ 97,668	\$ 21,975	RKO	2110L	0100	2110	F	Reg	N	CS
00048201	Program Analyst	Donia Pope	11/28/16	F		11	4	\$ 67,452	\$ 15,177	RKO	6110L	0100	6110	F	Reg	N	CS
00073406	General Counsel	Michael Krainak	08/31/15	F		2	0	\$ 170,304	\$ 38,318	RKO	10900	0100	1090	F	Reg	N	LX
00075164	Program Analyst	Laurence Leong	10/28/19	F		11	2	\$ 63,498	\$ 14,287	RKO	4110L	0100	4110	F	Term	N	CS
00075231	CLAIMS SPEC	LaShonda Wright	11/24/08	F		12	9	\$ 102,782	\$ 23,126	RKO	6110L	0100	6110	F	Reg	N	CS
00075232	Program Manager	Melbert Carlos	02/24/14	F		13	0	\$ 107,000	\$ 24,075	RKO	10900	0100	1090	F	Reg	N	MSS
00077374	CLAIMS SPEC	Janice Stokes	09/09/13	F		12	6	\$ 95,111	\$ 21,400	RKO	6110L	0100	6110	F	Reg	N	CS
00077545	Deputy General Counsel	Charles Barbera	12/07/98	F		1	0	\$ 139,377	\$ 31,360	RKO	10900	0100	1090	F	Reg	N	LX
00083103	Program Analyst	Nazel Espinosa	05/30/17	F		12	5	\$ 85,570	\$ 20,023	RJO	10101	0640	2002	F	Term	N	CS
00088676	Attorney Advisor	Adnan Suleman	05/02/16	F		12	4	\$ 95,006	\$ 19,476	BGO	11101	1111	1100	F	Reg	N	LA
00088762	Supervisory Attorney Advisor	Peter Clark	06/13/16	F		1	0	\$ 123,971	\$ 27,893	RKO	6110L	0100	6110	F	Reg	N	LX
00089026	Insurance Program Manager	Jane Waters	09/06/16	F		15	0	\$ 161,881	\$ 37,880	RJO	20002	0100	2002	F	Reg	N	MSS
00090641	Attorney Advisor	Kwenita Canzies	01/22/18	F		13	3	\$ 109,556	\$ 24,650	RKO	4110L	0100	4110	F	Reg	N	LA
00090716	Management Analyst	Christopher Vass	10/16/17	F		13	1	\$ 87,703	\$ 19,733	RKO	3110L	0100	3110	F	Reg	N	CS
00090849	Program Manager	Angela Mandel	10/16/17	F		13	0	\$ 110,468	\$ 24,855	RKO	2110L	0100	2110	F	Reg	N	MSS
00090853	Safety & Occup. Health Spec.	Latoya Sessoms	03/02/20	F		11	3	\$ 70,818	\$ 14,518	BGO	11101	1111	1100	F	Term	N	CS
00091988	Program Analyst		V		Start Date: 2/28/21	11	0	\$ 61,521	\$ 13,842	RKO	10900	0100	1090	F	Reg	N	CS
00092165	Program Analyst	Robert Preston	08/08/16	F		13	6	\$ 101,758	\$ 23,811	RJO	20002	0100	2002	F	Reg	N	CS
00094178	Attorney Advisor	Morgan Dowe	10/16/17	F		13	3	\$ 109,556	\$ 24,650	RKO	10900	0100	1090	F	Reg	N	LA
00094179	Attorney Advisor	Cynthia Psoras	02/01/21	F		13	1	\$ 102,712	\$ 23,110	RKO	10900	0100	1090	F	Term	N	LA
00094549	Program Analyst	Roger Gatton	06/24/19	F		12	7	\$ 90,292	\$ 21,128	RJO	20002	0100	2002	F	Term	N	CS
00094550	Program Analyst	Beth Moon	06/08/20	F		12	3	\$ 80,848	\$ 18,918	RJO	20002	0100	2002	F	Term	N	CS
00094822	IT Spec (Application Software)		V		Active Recruitment	13	0	\$ 87,703	\$ 19,733	RKO	10100	0100	1010	F	Reg	N	CS
00094823	Nurse	Freddie Russell	05/29/18	F		12	3	\$ 90,617	\$ 18,576	BGO	11101	1111	1100	F	Term	N	CS
00094824	Investigator	Jessika Boone	11/13/18	F		12	4	\$ 83,209	\$ 17,058	BGO	11101	1111	1100	F	Term	N	CS
00094825	Supvy Wrkers Comp Claims Exam.	Kyra Kirkling	08/01/18	F		13	0	\$ 97,391	\$ 19,965	BGO	11101	1111	1100	F	Reg	N	MSS
00094826	Supvy Wrkers Comp Claims Exam.		V		Start Date: 2/28/21	13	0	\$ 93,776	\$ 19,224	BGO	11101	1111	1100	F	Reg	N	MSS
00094970	Compliance Review Specialist	Humzah Qureshi	03/19/18	F		12	5	\$ 85,570	\$ 17,542	BGO	11101	1111	1100	F	Reg	N	CS
00094989	Compliance Manager		V		Start Date: 2/28/21	14	0	\$ 137,500	\$ 28,188	BGO	11101	1111	1100	F	Reg	N	MSS
00094996	Return to Work Specialist	Antonia Evans	05/16/18	F		12	9	\$ 95,014	\$ 19,478	BGO	11101	1111	1100	F	Reg	N	CS
00095026	Supervisory Nurse	Francine White	11/02/15	F		13	0	\$ 111,889	\$ 22,937	BGO	11101	1111	1100	F	Reg	N	MSS
00095059	Program Analyst	Robert Kelley	04/17/18	F		11	3	\$ 65,475	\$ 13,422	BGO	11101	1111	1100	F	Reg	N	CS
00095088	Program Manager	Berlina Smith	08/01/18	F		12	0	\$ 93,766	\$ 19,222	BGO	11101	1111	1100	F	Reg	N	MSS
00095091	Deputy Program Administrator	Merle Gaither-Morgan	05/29/18	F		15	0	\$ 119,706	\$ 24,540	BGO	11101	1111	1100	F	Reg	N	MSS
00095092	Program Analyst		V		Start Date: 2/16/21	12	0	\$ 87,931	\$ 19,784	RKO	10100	0100	1010	F	Reg	N	CS
00095153	Program Administrator	Jennifer Arnic	05/29/18	F		16	0	\$ 136,498	\$ 27,982	BGO	11101	1111	1100	F	Reg	N	MSS

**Office of Risk Management
Schedule A (as of 2/17/2021)**

Position Number	Job Title	Employee Name	Hire Date	Vacancy Status	Recruitment Status	Grade	Step	Salary	Fringe Benefits	Agency	Index	Fund Code	Activity	Full Time/Part Time	Reg/Term/Temp	WAE	Appointment Type
00095161	Supvy Wrkers Comp Claims Exam.	Brenda Anderson	08/01/18	F		13	0	\$ 94,554	\$ 19,384	BGO	11101	1111	1100	F	Reg	N	MSS
00095162	Supvy Wrkers Comp Claims Exam.	Kurt Davis	10/11/11	F		13	0	\$ 116,000	\$ 23,780	BGO	11101	1111	1100	F	Reg	N	MSS
00095228	Attorney Advisor			V	Start Date: 2/28/21	12	2	\$ 89,246	\$ 20,080	RKO	10900	0100	1090	F	Reg	N	LA
00095229	Program Analyst	James Achille	06/10/19	F		11	2	\$ 63,498	\$ 13,017	BGO	11101	1111	1100	F	Reg	N	CS
00095230	Data Analyst	Andre Thanh	08/01/18	F		12	7	\$ 90,292	\$ 18,510	BGO	11101	1111	1100	F	Term	N	CS
00095231	Data Analyst	Matthew Reilly	09/28/20	F		11	2	\$ 63,498	\$ 13,017	BGO	11101	1111	1100	F	Term	N	CS
00095232	Attorney Advisor	Kathleen West	08/01/18	F		12	3	\$ 92,126	\$ 18,886	BGO	11101	1111	1100	F	Term	N	LA
00095233	Attorney Advisor	Candice Walsh	08/01/18	F		13	2	\$ 106,134	\$ 21,757	BGO	11101	1111	1100	F	Term	N	LA
00095234	Attorney Advisor	Justin Orrison	08/01/18	F		13	4	\$ 112,978	\$ 23,160	BGO	11101	1111	1100	F	Term	N	LA
00095235	Paralegal Specialist	Nicole Skibicki	08/01/18	F		12	5	\$ 85,570	\$ 20,023	RJO	10101	0640	2002	F	Term	N	CS
00095236	Nurse	Mary McDaniel	06/11/18	F		12	5	\$ 96,166	\$ 19,714	BGO	11101	1111	1100	F	Term	N	CS
00095237	Nurse	Kanika Williams	08/01/18	F		12	5	\$ 96,166	\$ 19,714	BGO	11101	1111	1100	F	Term	N	CS
00095238	Workers' Comp Claims Examiner	Sharon Howard	04/13/15	F		9	2	\$ 52,691	\$ 10,802	BGO	11101	1111	1100	F	Term	N	CS
00095239	Workers' Comp Claims Examiner	Carl Young	12/10/18	F		11	6	\$ 71,406	\$ 14,638	BGO	11101	1111	1100	F	Term	N	CS
00095240	Workers' Comp Claims Examiner	Tracy Lewis	08/01/18	F		11	5	\$ 69,429	\$ 14,233	BGO	11101	1111	1100	F	Term	N	CS
00095241	Workers' Comp Claims Examiner	Michelle Lewis-Parsons	08/01/18	F		11	5	\$ 69,429	\$ 14,233	BGO	11101	1111	1100	F	Term	N	CS
00095242	Workers' Comp Claims Examiner	Donna Price	08/01/18	F		11	5	\$ 69,429	\$ 14,233	BGO	11101	1111	1100	F	Term	N	CS
00095243	Workers' Comp Claims Examiner	Aneela Ijaz	06/24/19	F		11	5	\$ 69,429	\$ 14,233	BGO	11101	1111	1100	F	Term	N	CS
00095244	Workers' Comp Claims Examiner	Shanee Pouges	08/03/20	F		11	1	\$ 61,521	\$ 12,612	BGO	11101	1111	1100	F	Term	N	CS
00095245	Workers' Comp Claims Examiner	Erik Wallace	06/24/19	F		11	3	\$ 65,475	\$ 13,422	BGO	11101	1111	1100	F	Term	N	CS
00095246	Workers' Comp Claims Examiner			V	Start Date: 2/28/21	11	0	\$ 61,521	\$ 12,612	BGO	11101	1111	1100	F	Reg	N	CS
00095247	Workers' Comp Claims Examiner	Sharon Mallory	10/01/18	F		12	3	\$ 80,848	\$ 16,574	BGO	11101	1111	1100	F	Term	N	CS
00095248	Claims Assistant	Whitney Ferguson	03/04/19	F		7	2	\$ 43,741	\$ 8,967	BGO	11101	1111	1100	F	Term	N	CS
00095249	Workers' Comp Claims Examiner	Eugenia Cason	03/16/20	F		9	4	\$ 55,955	\$ 11,471	BGO	11101	1111	1100	F	Term	N	CS
00095250	Workers' Comp Claims Examiner	Teyonna Langford	08/01/18	F		9	2	\$ 52,691	\$ 10,802	BGO	11101	1111	1100	F	Term	N	CS
00095251	Workers' Comp Claims Examiner	Phyllis Reaves	08/01/18	F		9	10	\$ 65,747	\$ 13,478	BGO	11101	1111	1100	F	Term	N	CS
00095252	Claims Assistant	Aleta Trinidad	03/04/19	F		7	2	\$ 43,741	\$ 8,967	BGO	11101	1111	1100	F	Term	N	CS
00095253	Claims Assistant	Davina Lloyd	04/17/18	F		7	7	\$ 51,081	\$ 10,472	BGO	11101	1111	1100	F	Term	N	CS
00095255	Customer Service Representativ	Tamesha Newman	08/01/18	F		9	5	\$ 57,587	\$ 11,805	BGO	11101	1111	1100	F	Term	N	CS
00095256	Customer Service Representativ	Mayze Davis	08/01/18	F		9	4	\$ 55,955	\$ 12,590	RKO	3110L	0100	3110	F	Term	N	CS
00095262	Management Analyst			V	Pending Recruitment	13	0	\$ 87,703	\$ 17,979	BGO	11101	1111	1100	F	Reg	N	CS
00095301	Compliance Review Officer	Augustina Ammah	12/07/09	F		13	8	\$ 107,380	\$ 22,013	BGO	11101	1111	1100	F	Reg	N	CS
00095785	INVESTIGATOR	Audrey Townsend	03/12/12	F		13	7	\$ 104,569	\$ 21,437	BGO	11101	1111	1100	F	Term	N	CS
00097028	Workers' Comp Claims Examiner	Susan Schaeffer	10/13/20	F		9	8	\$ 62,483	\$ 12,809	BGO	11101	1111	1100	F	Term	N	CS
00097400	Workers' Comp Claims Examiner	Alexis Pouges	08/01/18	F		9	5	\$ 57,587	\$ 11,805	BGO	11101	1111	1100	F	Reg	N	CS

ATTACHMENT 05

Office of Risk Management
Employee Salaries At or Above \$100,000
(FY 20 or FY 21, to date)

Position Number	Job Title	Employee Name	FY 20 Salary	FY 20 Fringe Benefits Rate	FY 21 Salary	FY 21 Fringe Benefits Rate	Agency	Index	Fund Code	Activity	Overtime Payment	Bonus Payment
00034875	Director	JED ROSS	\$ 184,831	21.60%	\$ 184,831	22.50%	RK0	10900	0100	1090		
00038352	Attorney Advisor	Soriya Chhe	\$ 141,599	21.60%	\$ 141,599	22.50%	RK0	6110L	0100	6110		
00038353	CLAIMS SPEC	Robert Carter	\$ 102,782	21.60%	\$ 105,339	22.50%	RK0	6110L	0100	6110		
00039097	Program Administrator (Risk Pr	Patrick Healy	\$ 121,414	21.60%	\$ 121,414	22.50%	RK0	2120L	0100	2120		
00039581	CLAIMS SPEC	Charlotte Fisher	\$ 102,782	21.60%	\$ 102,782	22.50%	RK0	6110L	0100	6110		
00039604	Compliance Review Officer	Tammy Hagin	\$ 107,380	21.90%	\$ 107,380	20.50%	BG0	11101	1111	1100		
00039605	PGM ANALYST	Susana Suarez	\$ 102,782	21.60%	\$ 102,782	22.50%	RK0	4110L	0100	4110		
00046733	Deputy Chief Risk Officer	Sing Yeung	\$ 157,863	21.60%	\$ 157,863	22.50%	RK0	10900	0100	1090		
00047175	Administrative Officer	Valerie Evans	\$ 107,380	21.60%	\$ 107,380	22.50%	RK0	10100	0100	1010		
00073406	General Counsel	Michael Krainak	\$ 170,304	21.60%	\$ 170,304	22.50%	RK0	10900	0100	1090		
00075231	CLAIMS SPEC	LaShonda Wright	\$ 102,782	21.60%	\$ 102,782	22.50%	RK0	6110L	0100	6110		
00075232	Program Manager	Melbert Carlos	\$ 107,000	21.60%	\$ 107,000	22.50%	RK0	10900	0100	1090		
00077545	Deputy General Counsel	Charles Barbera	\$ 139,377	21.60%	\$ 139,377	22.50%	RK0	10900	0100	1090		
00088762	Supervisory Attorney Advisor	Peter Clark	\$ 123,971	21.60%	\$ 123,971	22.50%	RK0	6110L	0100	6110		
00089026	Insurance Program Manager	Jane Waters	\$ 161,881	23.40%	\$ 161,881	23.40%	RJ0	20002	0100	2002		
00090641	Attorney Advisor	Kwenita Canzius	\$ 106,134	21.60%	\$ 109,556	22.50%	RK0	4110L	0100	4110		
00090849	Program Manager	Angela Mandel	\$ 110,468	21.60%	\$ 110,468	22.50%	RK0	2110L	0100	2110		
00092165	Program Analyst	Robert Preston	\$ 101,758	23.40%	\$ 101,758	23.40%	RJ0	20002	0100	2002		
00094178	Attorney Advisor	Morgan Dowe	\$ 106,134	21.60%	\$ 109,556	22.50%	RK0	10900	0100	1090		
00094179	Attorney Advisor	Cynthia Psoras	Vacant	21.60%	\$ 102,712	22.50%	RK0	10900	0100	1090		
00094989	Compliance Manager	Donna Salmon	\$ 107,843	21.90%	Separated	20.50%	BG0	11101	1111	1100		
00095026	Supervisory Nurse	Francine White	\$ 111,889	21.90%	\$ 111,889	20.50%	BG0	11101	1111	1100		
00095087	Supervisory Investigator	Robert Rowe	\$ 112,531	21.90%	Separated	20.50%	BG0	11101	1111	1100		
00095091	Deputy Program Administrator	Merle Gaither-Morgan	\$ 119,706	21.90%	\$ 119,706	20.50%	BG0	11101	1111	1100		
00095153	Program Administrator	Jennifer Arnic	\$ 129,411	21.90%	\$ 136,498	20.50%	BG0	11101	1111	1100		
00095162	Supvy Wrkers Comp Claims Exam.	Kurt Davis	\$ 113,002	21.90%	\$ 116,000	20.50%	BG0	11101	1111	1100		
00095233	Attorney Advisor	Candice Walsh	\$ 106,134	21.90%	\$ 106,134	20.50%	BG0	11101	1111	1100		
00095234	Attorney Advisor	Justin Orrison	\$ 112,978	21.90%	\$ 112,978	20.50%	BG0	11101	1111	1100		
00095301	Compliance Review Officer	Augustina Ammah	\$ 107,380	21.90%	\$ 107,380	20.50%	BG0	11101	1111	1100		
00095785	INVESTIGATOR	Audrey Townsend	\$ 104,569	21.90%	\$ 104,569	20.50%	BG0	11101	1111	1100		

ATTACHMENT 06

AFSCME Master Agreement

MASTER AGREEMENT

BETWEEN

**THE AMERICAN FEDERATION OF STATE,
COUNTY AND MUNICIPAL EMPLOYEES,
DISTRICT COUNCIL 20,
AFL-CIO**

AND

**THE GOVERNMENT OF THE
DISTRICT OF COLUMBIA**

EFFECTIVE THROUGH SEPTEMBER 30, 2010

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PREAMBLE

The District of Columbia Comprehensive Merit Personnel Act (D.C. Law 2-139, Title I, Chapter 6, Subchapter 1, D.C. Official Code § 1-601.02) states that the Council of the District of Columbia declares that it is the purpose and policy of this act to assure that the District of Columbia Government shall have a modern flexible system of public personnel administration, which shall “provide for a positive policy of labor-management relations including collective bargaining between the District of Columbia and its employees”

The District of Columbia Comprehensive Merit Personnel Act (D.C. Law 2-139, Title 1, Chapter 6, Subchapter XVIII, (D.C. Official Code) Section 1-617.01) states [t]he District of Columbia Government finds and declares that an effective collective bargaining process is in the general public interest and will improve the morale of public employees and the quality of service to the public.

The District of Columbia Comprehensive Merit Personnel Act (D.C. Law 2-139, Title 1, Chapter 6, Subchapter XVIII, (D.C. Official Code) Section 1-617.01(b) provides for collective bargaining between the Mayor of the District of Columbia and labor organizations accorded exclusive recognition for employee representation for employees of the District of Columbia Government.

Pursuant to the District of Columbia Comprehensive Merit Personnel Act (D.C. Law 2-139, Title 1, Chapter 6, Subchapter XVIII, (D.C. Official Code) Section 1-617.10), various local unions or District Council 20 of the American Federation of State, County and Municipal Employees, AFL-CIO, (herein “AFSCME” or the “Union”) have been certified and/or recognized as the exclusive collective bargaining agent for employees of the District of Columbia Government (hereinafter the “District” or the “Employer”).

Accordingly, AFSCME and the District enter into this Agreement, which shall have as its purposes:

- (1) Promotion of a positive policy of labor-management relations between the District of Columbia Government and its employees;
- (2) Improvement of morale of employees in service to the District of Columbia Government;
- (3) Enhancement of the quality of public service to the citizens of the District of Columbia;
- (4) Creation of a government that works better; and
- (5) Promotion of the rights of District of Columbia Government employees to express their views without fear of retaliation.

AFSCME and the District of Columbia Government declare that each party has been afforded the opportunity to put forth all its non-compensation proposals and to bargain in good faith. Both parties agree that this Agreement is the result of their collective bargaining and each party affirms its contents without reservation. This Preamble is intended to provide the background and purpose of the Collective Bargaining Agreement. Alleged violations of the Preamble per se will not be cited as contract violations.

ARTICLE 1 **RECOGNITION**

Section 1 – Recognition:

The District of Columbia Government (hereinafter referred to as the “District” or “Employer”) hereby recognizes as the sole and exclusive representative for the purpose of collective bargaining, the American Federation of State, County and Municipal Employees, AFL-CIO, District of Columbia District Council 20, and its affiliated Local Unions (hereinafter referred to collectively as the "Union" or "AFSCME") for each of the bargaining units under the personnel authority of the Mayor for which AFSCME is the certified collective bargaining representative.

Section 2 - Bargaining Units Descriptions:

This Agreement may also include agencies with independent personnel authority if they have executed an addendum opting to be covered by the provisions herein.

Section 3 - Coverage:

AFSCME, the certified exclusive representative of all employees in the bargaining unit referenced above, shall be responsible for representing the interests of employees in the units without discrimination as to membership; provided, however, that an employee who does not pay dues or service fees may be required by the Union to pay reasonable costs for personal representation.

Section 4 – New Units:

Bargaining units of employees under the administrative jurisdiction of the Mayor of the District of Columbia certified during the term of this Agreement shall be covered by the provisions of this Agreement, if agreed to by the parties.

Section 5 – Unit Clarification(s):

The Union and the Employer shall file a Joint Petition with the Public Employee Relations Board (hereinafter referenced as PERB) to clarify and correct inaccuracies contained on the current unit certifications. Prior to filing of the joint petition, the Union and Employer shall confer on the revised unit descriptions.

ARTICLE 2

MANAGEMENT RIGHTS

Section 1 – Management Rights in Accordance with the Comprehensive Merit Personnel Act (CMPA):

(a) Management's rights shall be administered consistent with D.C. Official Code §1-617.08, 2001 edition as amended.

(b) All matters shall be deemed negotiable except those that are proscribed by this subchapter. Negotiations concerning compensation are authorized to the extent provided in Sections 1-617.16 and 1-617.17 (as amended).

Section 2 - Impact of the Exercise of Management Rights:

Management rights are not subject to negotiations; however, in the Employer's exercise of such rights, the Union may request the opportunity to bargain the impact and effects, where there has been an adverse impact upon employees regarding terms and conditions of employment.

ARTICLE 3

UNION RIGHTS AND SECURITY

Section 1 – Exclusive Agent:

The District shall not negotiate with any other employee organization or group with reference to terms and/or conditions of employment for employees represented by AFSCME. AFSCME shall have the right of unchallenged representation in its bargaining units for the duration of this Agreement in accordance with PERB Interim Rules, Section 502.9(b).

Section 2 – Meeting Space:

Upon request at least one day in advance, the Employer will provide meeting space as available for bargaining unit business. Except as provided elsewhere in this Agreement, meetings will be held on the non-work time of all employees attending the meetings. The Union will be responsible for maintaining decorum at meetings on the Employer's premises and for restoring the space to the same condition to which it existed prior to the meetings.

Section 3 – Access to Employees:

The Union shall have access to all new and rehired employees to explain Union membership, services and programs. Such access shall occur during either a formal orientation session or upon

such employees' reporting to their work site within thirty (30) calendar days of employees' appointment or reappointment.

Section 4 - Dues Checkoff:

The Employer agrees to deduct union dues bi-weekly from the pay of employee members upon proper authorization. The employee must complete and sign Form 277 to authorize the withholding. The amount to be deducted shall be certified to the Employer in writing by the appropriate official of District Council 20. It is the responsibility of the employee and the Union to bring errors or changes in status to the attention of the Employer. Corrections or changes will be made at the earliest opportunity after notification is received but in no case will changes be made retroactively. Union dues withholding authorization may be cancelled upon written notification to the Union and the Employer within the thirty (30) calendar day period prior to the anniversary date of this Agreement. When Union dues are cancelled, the Employer shall withhold a service fee in accordance with Section 5 of this Article.

Section 5 - Service Fees:

In keeping with the principle that employees who benefit by the Agreement should share in the cost of its administration, the Union shall require that employees who do not pay Union dues shall pay an amount (not to exceed Union dues) that represents the cost of negotiation and/or representation. Such deductions shall be allowed when the Union presents evidence that at least 51% of the employees in the unit are members of the Union.

Section 6 – Cost of Processing:

The Employer shall deduct \$.05 per deduction (dues or service fee) per pay period from each employee who has dues or service fees deducted. This amount represents the fair value of the cost to the Employer for performing the administrative services and is payable to the Office of Labor Relations and Collective Bargaining.

Section 7 - Hold Harmless:

The Union shall indemnify, defend and hold the Employer harmless against any and all claims, demands and other forms of liability, which may arise from the operation of this Article. In any case in which a judgment is entered against the Employer as a result of the deduction of dues or other fees, the amount held to be improperly deducted from an employee's pay and actually transferred to the Union by the Employer, shall be returned to the Employer or conveyed by the Union to the employee(s), as appropriate.

ARTICLE 4

LABOR-MANAGEMENT MEETINGS

Section 1 – Labor-Management Partnerships:

Consistent with the principles of the D.C. Labor-Management Partnership Council, the parties agree to establish and support appropriate partnerships within the individual agencies covered by this Agreement. The purpose of such partnership will be to promote labor-management cooperation within a high-quality work environment designed to improve the quality of services delivered to the public.

Agency partnership should ordinarily be made up of equal numbers of high-level officials of labor and management who will meet regularly to consider such issues as they choose to discuss. Decisions by the partnership are by consensus only.

Section 2 – Labor-Management Contract Review Committee:

Appropriate high-level management and union representatives shall meet at least monthly, at either party's request, to discuss problems covering the implementation of this Agreement. The findings and recommendations of the Contract Review Committee will be referred to the Director for action. The Director or his/her designee shall respond in writing to any written finding and recommendation of the committee within a reasonable period.

ARTICLE 5

DISCRIMINATION

Section 1 – General Provisions:

The Employer agrees that it will not in any way discriminate against any employee because of his/her membership or affiliation in or with the Union or service in any capacity on behalf of the Union. Each employee of the District Government has the right, freely and without fear of penalty or reprisal:

- (1) To form, join and assist a labor organization or to refrain from this activity;
- (2) To engage in collective bargaining concerning terms and conditions of employment, as may be appropriate under this law and rules and regulations through a duly designated majority representative; and,
- (3) To be protected in the exercise of these rights.

Neither party to this Agreement will discriminate against any employee with regard to race, color, religion, national origin, sex, age, marital status, personal appearance, sexual orientation, family responsibilities, matriculation, physical handicap, political affiliation, or as otherwise provided by law.

Section 2 – Equal Employment Practices:

The Employer agrees to vigorously continue the implementation of its Equal Employment opportunity Program as approved by the Director, D.C. Office of Human Rights. For the purpose of this Agreement, the Department/Agency's Affirmative Action Plan will be observed. Progress reports will be sent to the Union periodically as to the implementation of the Affirmative Action Plan.

The Union shall designate an Affirmative Action Coordinator who shall, upon request, attend meetings of the Department's Affirmative Action Counselors, and be permitted to meet with Department EEO officials to discuss implementation of the Affirmative Action Plan including Departmental policies and programs.

Vacancy Announcements for Departmental vacancies shall be posted at all work locations. One copy of the notice shall be supplied to appropriate Union Shop Stewards.

Section 3 – Discrimination Charges:

Any charges of discrimination shall be considered by the appropriate administrative agency having jurisdiction over the matter and shall therefore not be subject to the negotiated grievance procedure.

ARTICLE 6 **UNION RIGHTS AND RESPONSIBILITIES**

Section 1 – Union Stewards:

Union Stewards shall be designated by the Union and shall be recognized as employee representatives. Union Stewards shall be employed at the same work area or shift as employees they are designated to represent. When a union steward is transferred by an action of management (not including promotion or transfer at the employee's request), the steward may continue to act as a steward for his/her former work site for a period not to exceed 45 days from original notification. The Union will supply the Employer with lists of stewards' names, which shall be posted on appropriate bulletin boards. The Union shall notify the Employer of changes in the roster of Stewards. Stewards are authorized to perform and discharge union duties and responsibilities, which may be assigned to them under the terms of this Agreement.

Section 2 – Performance of Duties:

Stewards shall obtain permission from their immediate supervisors prior to leaving their work assignments to properly and expeditiously carry out their duties during a reasonable amount of official time to be estimated in advance whenever possible. Before attempting to see an employee, the Steward will obtain permission from the employee's supervisor. Such permission will be granted unless the employee cannot be immediately relieved from his assigned duties, in which case permission will be granted as soon as possible thereafter. If the immediate supervisor is unavailable, permission will be requested from the next highest level of supervision. Requests by Stewards for permission to meet with employees and/or by employees to meet with Stewards will not require prior explanation to the supervisor of the problems involved other than to identify the area to be visited and the general purpose of the visit i.e., grievance investigation, labor-management meetings, negotiation sessions, etc.

A Steward thus engaged will report back to his/her supervisor on completion of such duties and return to their job. The employer agrees that there shall be no restraint, interference, coercion, or discrimination against a Steward in the performance of such duties.

Section 3 – Union Activities on Employer's Time and Premises:

The Employer agrees that during working hours, on the Employer's premises and without loss of pay, in accordance with Article 6 of this Agreement, Union representatives shall be allowed to:

- A. Post Union notices on designated Union bulletin boards (with a copy given to the Employer);
- B. Attend negotiation meetings;
- C. Transmit communications authorized by the District Council and Local Union or its officers to the Employer or his/her representative;
- D. Consult with the Employer or his/her representative, District Council and Local Union Officers, other Union representatives or employers, concerning the enforcement of any provisions of this Agreement, and other Labor-Management activities. Official time does not include internal Union activities; and
- E. Solicitation of Union membership and distribution of literature shall be confined to the non-working time of all employees involved and out of sight of the public.

Section 4 – Visits by Union Representatives:

The Employer agrees that representatives of the American Federation of State, County and Municipal Employees whether local, Union representatives, District council representatives, or

International representatives shall have full and free access except in secured areas, to the premises of the Employer at any time during working hours to conduct Union business. Advance notification will be given to the appropriate supervisor of the facility to be visited to permit scheduling that will cause minimal disruption of the work activities.

Section 5 – Union Insignia:

The Employer agrees that the employee has a right to participate and identify with the Union as his/her representative in collective bargaining matters; therefore, the Employer agrees that such identification devices as emblems, buttons and pins supplied by the Union to the employees within the bargaining unit may be worn on their uniforms, except for uniformed police.

Section 6 – Official Time:

Union representatives who engage in labor management activities during working hours shall indicate on the “Official Time Report” the activity performed. No Union representative will be disadvantaged in the assessment of his/her performance based on use of documented official time while conducting labor management business.

Page 1 of 2

TO:

Representational Functions of Official Time (Activity) as identified in the Agreement. [See Reverse Side]

REPRESENTATIONAL FUNCTIONS OF OFFICIAL TIME (Activity)

1	Labor negotiations.
2	Contacts between employee representatives and employees provided for in the negotiated grievance procedure.
3	Grievance meetings and arbitration hearings.
4	Disciplinary or adverse action meetings, if the Union is designated as representative of the employee.
5	Attendance at an examination of an employee who reasonably believe he or she may be the subject of a disciplinary or adverse action and the employee has requested representation.
6	Attendance at board or other committee meetings on which the Union representatives are authorized membership by the Employer or the Agreement.
7	Attendance at meetings between the Employer and the Union.
8	Attendance at agency recognized/sponsored activities to which the Union has been invited.

**Distribution: Original to Office of Labor Relations & Collective Bargaining
Copy kept by Supervisor & Union Representative**

ARTICLE 7 **DISCIPLINE**

Section 1:

Discipline shall be imposed for cause, as provided in the D.C. Official Code § 1-616.51 (2001 ed.).

Section 2:

For the purposes of this Article, discipline shall include the following:

- a. **Corrective Actions:** Written reprimands or suspensions of nine (9) days or less;
- b. **Adverse Actions:** Removal, suspension for more than nine (9) days; or a reduction in rank or grade or pay for cause.

Section 3:

Discipline will be appropriate to the circumstances, and shall be primarily corrective, rather than punitive in nature. After discovery of the incident, the investigations shall be conducted in a timely manner and discipline shall be imposed upon the conclusion of any investigation or the gathering of any required documents, consistent with the principle of progressive discipline and D.C. Office of Personnel regulations.

Section 4:

If a supervisor has reason to discipline an employee, it shall be done in a manner that will not embarrass the employee before other employees or the public.

Section 5:

Unless there is a reasonable cause to believe that an employee's conduct is an immediate hazard to the agency, the employee or other employees, or is detrimental to public health, safety or welfare, an employee against whom adverse action is proposed shall be entitled to at least thirty (30) days advance written notice of proposed adverse action (or fifteen (15) days if corrective action is proposed). The notice will identify the causes and the reasons for the proposed action.

Section 6:

Recognizing that the Union is the exclusive representative of the employees in the bargaining unit, the Department shall in good faith attempt to notify the Union of proposed disciplinary actions. Each Department shall notify the union of the method of notification. Further the Employer agrees

to notify the employee of his or her right to representation in corrective or adverse actions. The material upon which the proposed discipline is based shall be made available to the employee and his/her authorized representatives for review. The employee or his/her authorized representative will be entitled to receive a copy of the material upon written request.

Any information that cannot be disclosed to the employee, his representative, or physician shall not be used to support the proposed action.

Section 7:

Except in the special circumstances referred to in Section 5 above, an employee shall be entitled to at least ten (10) workdays to answer the notice of proposed corrective or adverse action. If the proposed action is removal, the employee shall upon request, be granted an opportunity to be heard prior to a final decision. This opportunity to be heard shall be afforded by a person designated by the agency head. This person shall not be in the supervisory chain between the proposing and/or deciding official(s) and shall not be subordinate to the proposing official. This person shall review the employee's answer, discuss the proposed action with the employee and/or his representative and appropriate representatives of the Employer and make a recommendation to the deciding official who will act upon the recommendation, as he/she deems proper.

Section 8:

The person proposing a disciplinary action shall not be the deciding official unless the proposing official is the agency head or Director of Personnel.

Section 9:

Except in the special circumstances referred to in Section 5 above, an employee against whom a corrective or adverse action has been proposed shall be kept in an active duty status during the notice period.

Section 10:

The deciding official shall issue a written decision within forty-five (45) calendar days from the date of receipt of the notice of proposed action which shall withdraw the notice of proposed action or sustain the proposed action in whole or in part. The forty-five (45) day period for issuing a final decision may be extended by agreement of the employee and the deciding official. If the proposed action is sustained in whole or in part, the written decision shall identify which causes have been sustained and which have been dismissed, describe whether the proposed penalty has been sustained or reduced and inform the employee of his or her right to appeal or grieve the decision, and the right to be represented. The final decision shall also specify the effective date of this action.

Section 11:

In any circumstance in which the Employer has reasonable cause to believe that an employee's conduct is an immediate hazard to the employing agency, to the employee involved or other employees, or is detrimental to public health, safety or welfare the Employer may place an employee on administrative leave whether or not notice of proposed action has been given to the employee.

Section 12:

Notice of final decision, dated and signed by the deciding official, shall be delivered to the employee on or before the time the action is effective. If the employee is not in a duty status at that time, the notice shall be sent to the employee's last known address by certified or registered mail.

Section 13:

Except as provided in Section 14 of this Article, employees may grieve actions through the negotiated grievance procedure, or appeal to the Office of Employee Appeals (OEA) in accordance with OEA regulations but not both. Once the employee has selected the review procedure, that choice shall be the exclusive method of review.

Section 14:

The removal of an employee during his or her probationary period is neither grievable nor appealable and shall be done in accordance with the DPM.

Section 15:

If a final decision is grieved through the negotiated grievance procedure a written grievance shall be filed with the deciding official within fifteen (15) workdays after the effective date of the action.

Section 16 – Troubled Employees:

In appropriate cases, consideration shall be given to correcting the problem through the D.C. Consultation and Counseling Service. When the District implements a new employee assistance program, this shall take the place of the D.C. Consultation and Counseling Service.

Section 17:

Whenever an employee is questioned by a supervisor with respect to a matter for which a disciplinary action is intended against the employee, the employee may, upon request, consult with a union official or other representative. Upon such request, the supervisor will stop the questioning until the employee can consult with such representative, but in no event will such questioning be

delayed beyond the end of the employee's following shift. When and if questioning is resumed, an employee may have a union official or other representative present.

ARTICLE 8

TRAINING AND CAREER LADDER

Section 1 – Basic Training:

Other than skills necessary to qualify for the position, the Employer agrees to provide each employee with basic training or orientation for the safe and effective performance of his/her job. Such training shall be provided at the Employer's expense and, if possible, during the employee's regular workday. If the employee is required to participate in training outside of regular work hours, the employee will be compensated in accordance with the Compensation Units 1 and 2 Agreement. Continued training shall be within budgetary constraints.

Section 2 - Continued Training Opportunities:

The Employer will encourage and assist employees in obtaining career related training and education outside the Department by collecting and posting current information available on training and educational opportunities. The Employer will inform employees of time or expense assistance the Employer may be able to provide.

Section 3 - Career Ladder:

The parties recognize and endorse the value of employee training and career ladder programs. Both parties subscribe to the principles of providing career development opportunities for employees who demonstrate potential for advancement. The feasibility of upward mobility and training programs for unit employees shall be a proper subject for labor-management meetings. Career ladder promotions when effected, shall be in accordance with DPM Chapter 8, Part II, Subpart 8, and Appendix A.

Section 4 - Experience Verification:

When an institution of higher learning provides credit for on-the-job experience, the Employer will, at the request of the employee, provide pertinent information to verify the employee's experience with the District. The employee shall provide the relevant documents and information necessary for the release of the employee's information to the relevant institution.

Section 5 - Union Sponsored Career Advancement Programs:

Management and the Union support the objective of meaningful career advancement for District Government workers in the areas of promotion, transfers and filling of vacancies. In keeping with this objective, the Union will investigate and develop programs to enhance opportunities for

career advancement such as: career counseling services; placement of career planning resource materials on site; correspondence course arrangements with area colleges, universities, vocational and technical schools; and workshops on resume writing and interview skills.

Programs that are developed will be presented and discussed during appropriate labor-management committee meetings for review and consideration.

ARTICLE 9

SAFETY AND HEALTH

Section 1 - Working Conditions:

A. The District shall provide and maintain safe and healthful working conditions for all employees as required by applicable laws. It is understood that the District may exceed standards established by regulations consistent with the objectives set by law. The Employer will make every effort to provide and maintain safe working conditions. AFSCME will cooperate in these efforts by encouraging its members to work in a safe manner and to obey established safety practices and regulations.

B. Matters involving safety and health will be governed by the D.C. Occupational Safety and Health Plan in accordance with Subchapter XXI of the Comprehensive Merit Personnel Act (1980, as amended). The District will promptly make every effort to qualify its plan under the Occupational Safety and Health Administration (OSHA) as established by the U.S. Department of Labor.

C. The District shall furnish and maintain each work place in accordance with standards provided within this Section.

Section 2 - Employees Working Alone:

Employees shall not be required to work alone in areas beyond the call, observation or periodic check of others where dangerous chemicals, explosives, toxic gases, radiation, laser light, high voltage or rotary machinery are to be handled, or in known dangerous situations whenever the health and safety of an employee would be endangered by working alone.

Section 3 - Corrective Actions:

A. If an employee observes a condition, which he or she, believes to be unsafe, the employee should report the condition to the immediate supervisor.

B. If the supervisor and employee agree that a condition constitutes an immediate hazard to the health and safety of the employee, the supervisor shall take immediate precautions to protect the employee.

C. If the supervisor and employee do not agree that a condition constitutes an immediate hazard to the health and safety of the employee, the matter may be immediately referred by the employee to the next level supervisor or designee. The supervisor or designee shall meet as soon as possible with the employee and his or her AFSCME representative, and shall make a determination.

D. Employees shall not be required to operate equipment that has been determined by the Employer or the appropriate D.C. Safety Officer to be unsafe to use, when by doing so they might injure themselves or others.

Section 4 - Medical Service: On-the-Job Injury:

A. The District shall make first-aid kits reasonably available for use in case of on-the-job injuries. If additional treatment appears to be necessary, the District shall arrange immediately for transportation to an appropriate medical facility.

B. The need for additional first-aid kits will be an appropriate issue for Safety Committee determination. Recommendations of the Safety Committee will be referred to the appropriate agency officials.

Section 5 - Safety Devices and Equipment:

Protective devices and protective equipment shall be provided by the District and shall be used by the employees.

Section 6 - Safety Training:

A. The District shall provide safety training to employees as necessary for performance of their job. Issues involving safety training may be presented to the Safety Committee established in Section 8(A).

B. The District shall provide CPR training to all employees who request such training.

Section 7 - Information on Toxic Substances:

Employees who have been identified by the Safety Committee and the Department or District Safety Officer as having been exposed to a toxic substance (including, but not limited to asbestos) in sufficient quantity or duration to meet District Government standards shall receive appropriate health screening. In the absence of District Government standards, the Safety Committee and Safety Officer will refer to standards established by other appropriate authorities such as Occupational Safety and Health Administration (OSHA), National Institute for Occupational Safety and Health (NIOSH) or the Environmental Protection Agency (EPA).

Section 8 - Safety Committees:

A. A Safety Committee of three (3) representatives from AFSCME and three (3) representatives from the District is hereby established in each department/agency.

B. One (1) AFSCME and one (1) District representative shall each serve as co-chairpersons of the Committee. The Agency's Risk Management official shall serve on the Safety Committee as one of the Agency's representative.

C. The Safety Committee shall:

1. Meet on a monthly basis, unless mutually agreed otherwise. Prior to regularly scheduled monthly meeting, labor and management must submit their respective agendas to each other at least five (5) days in advance;

2. Conduct safety surveys, consider training needs, and make recommendations to the agency/department head and the Office of Risk Management;

3. Receive appropriate health and safety training.

D. Final reports or responses from agency/department heads (or designees) shall be provided to the Safety Committee within a reasonable period of time on safety matters initiated by the Committee.

E. In departments/agencies where there is more than one Local Union, there shall be a safety committee for each Local Union, unless otherwise agreed upon.

F. Safety Committees may be reorganized upon agreement of both parties.

Section 9 - Medical Qualification Requirements:

The District agrees to abide by the provisions of Chapter 8, Sections 848.19 and 848.20 of the D.C. Personnel Regulations as published in the D.C. Register, Volume 32, April 5, 1985 (32 DCR 1858, 1911).

Section 10 - Light Duty:

A. The District agrees to provide light duty assignments for Employees injured on the job to the extent that such light duty is available as follows:

1. To be eligible for light duty, the employee must be certified by the employee's attending physician. The certification must identify the employee's impairments and the type of light duty he or she is capable of performing.

2. The Employee will be given light duty assignments for which he or she is qualified, initially within his or her own Bureau or organizational unit. If light duty is not available within the Bureau or organizational unit, suitable work will be sought elsewhere in the department/agency.

3. Light duty assignments shall not normally extend beyond 45 working days. However, if there are no other requests for light duty, this period may be extended until such time as the request is made by another employee. Employees unable to perform their regularly assigned duties after the expiration of that time shall make application for disability compensation or exercise such other options as may be available to employees under the provisions of this Agreement or under law, and in accordance with paragraph 5 below.

4. Where there are more requests for light duty than there are light duty assignments, assignments shall be made in the order of earlier date of request.

5. When light duty is not available, an employee must return to full duty or seek compensation or retirement from appropriate channels, or other assistance as may be available in accordance with Section 9. In the event compensation or retirement is not approved, the employee may be required to take a fitness for duty examination and may be separated if (a) found unfit to perform or (b) found fit but refuses to report for full duty.

Section 11 - Excessive Temperatures in Buildings:

Employees, other than those determined by the Employer to be essential, shall be released from duty or reassigned to other duties of a similar nature at a suitably temperate site because of excessively hot or cold conditions in the building. This determination will be made by the Employer as expeditiously as possible and shall be based upon existing procedures. In lieu of dismissal, the Employer may reassign employees to other duties of similar nature at a suitably temperate site. The cost of authorized transportation will be assumed by the Employer. Administrative leave will be granted if authorized by the Mayor or his or her designee.

Section 12 - Employee Health Services:

Employees covered by this Agreement shall have access to employee health services provided by the Employer consistent with the Comprehensive Merit Personnel Act (D.C. Law 2-139). Employee health services shall include such services as provisions for emergency diagnosis and emergency treatment of illness, physical examination including, but not limited to, pre-employment, fitness for duty or disability retirement evaluation; treatment of minor illness; preventive services; health information to assist employees to protect, conserve, and improve physical and mental health; and counseling and appropriate referrals to the D.C. Consultation and Counseling Service.

Section 13 - Maintenance of Health Records:

Medical records of employees shall be maintained in accordance with the provisions of Chapter 31 of the D.C. Government regulations that maintain confidentiality of those records. Medical records shall not be disclosed to anyone except in compliance with applicable rules relating to disclosure of information. Copies of rules relating to medical information will be made available to AFSCME.

Section 14:

A. The Employer agrees to follow Mayor's order 87-95 regarding ergonomic policy for use of video display terminals (VDT).

B. Continuous users who operate a video display terminal for more than two continuous hours shall be allowed to move out of their chairs for brief periods to perform other tasks as specified by their supervisor.

C. If a pregnant employee, who is a continuous VDT user, submits a medical statement from her physician which recommends limiting her use of the VDT during the term of her pregnancy because of exposure to radiation, reasonable consideration will be given to providing the employee with other available duties, within the work unit, for which she is qualified and which her doctor certifies that she can perform.

Section 15:

The Employer agrees to provide the Union with a copy of all current D.C. Safety Officers, and revisions as they occur.

ARTICLE 10 **GENERAL PROVISIONS**

Section 1 – Work Rules:

Employees will be advised of verbal and written work rules, which they are required to follow. The Employer agrees that proposed new written work rules and the revision of existing written work rules shall be subject to notice and consultation with the Union.

Section 2 - Distribution of Agreement:

The Employer and the Union agree to share equally in the cost of reproducing this contract for employees and supervisors. The parties shall mutually agree upon the cost and number of copies to be printed.

ARTICLE 11

BULLETIN BOARDS

The Employer agrees to furnish suitable Bulletin Boards and/or space to be placed at locations mutually acceptable to the Union and the Employer. The Union shall limit its posting of notices and bulletins to such Bulletin Boards.

ARTICLE 12

PERSONNEL FILES

Section 1 - Official Files:

The Employer shall maintain the official files of all personnel in all units covered by this Agreement in the Office of Personnel. Records of corrective actions or adverse actions shall be removed from an employee's official file in accordance with the DPM.

Section 2 - Right to Examine:

Each employee shall have the right to examine the contents of his/her personnel files upon request.

Section 3 – Right to Respond:

Each employee shall have the right to answer any material filed in his/her personnel file and his/her answer shall be attached to the material to which it relates.

Section 4 - Right to Copy:

An employee may copy any material in his/her personnel file.

Section 5 – Access by Union:

Upon presentation of written authorization by an employee, the Union representative may examine the employee's personnel file and make copies of the material.

Section 6 – Confidential Information:

The DC Office of Personnel shall keep all arrests by the Metropolitan Police, fingerprint records, and other confidential reports in a confidential file apart from the official personnel folder.

Section 7 - Employee to Receive Copies:

A. The employee shall receive a copy of all material placed in his/her folder in accordance with present personnel practices. Consistent with this Article when the Employer sends documents to be placed in an employee's personnel folder which could result in disciplinary action or non-routine documents which may adversely affect the employee, the employee shall be asked to acknowledge receipt of the document. The employee's signature does not imply agreement with the material but simply indicates he/she received a copy.

B. If an employee alleges that he/she was not asked to acknowledge receipt of material placed in his/her personnel folder as provided in this section the employee will be given the opportunity to respond to that document and the response will be included in the folder.

Section 8 – Access by Others:

The Employer shall inform the employee of all requests outside of the normal for information about him/her or from his/her personnel folder. The access card signed by all those who have requested and have been given access to the employee's file shall be available for review by the employee.

ARTICLE 13 **SENIORITY**

Section 1 - Definition:

Seniority means an employee's length of continuous service with the Employer from his/her date of hire for purposes of this Article only. Employees hired on the same day shall use alphabetical order of surname in determining seniority.

Section 2 - Breaks in Continuous Service:

An employee's continuous service shall be broken by voluntary resignation, discharge for cause or retirement. If an employee returns to his former, or a comparable, position within one year, the seniority he had at the time of his/her departure will be restored but he/she shall not accrue additional seniority during his/her period of absence.

Section 3 - Seniority Lists:

Each agency with employees covered by this Agreement shall provide the Union semi-annually with list of names of employees represented by the Union in that Agency. The list will be in seniority order as defined by Section 1 of this Article. Also, each agency will supply the Union semi-annually with lists of new hires in bargaining unit positions and with names of unit employees who have left the agency since the last seniority list.

Section 4 - Reassignments:

A reassignment requested by an employee to a position in the same classification within an agency/department may be effected by mutual agreement.

Section 5 - Promotions:

A. Whenever a job opening occurs, in any existing job classification or as the result of the development or establishment of a new job classification, a notice of such opening shall be posted on all bulletin boards for ten (10) working days prior to the closing date. A copy of the notices of job openings will be given to the appropriate Union Steward at the time of posting.

B. During this period, employees who wish to apply for the open position or job including employees on layoff may do so. The application shall be in writing, and it shall be submitted to the appropriate Personnel Office.

C. Management has the right to determine job qualifications, provided they are limited to those factors' directly required to satisfactorily perform his/her job. Where all job factors are relatively equal, the employee with the greatest departmental seniority within the unit shall be promoted.

Section 6 - Change to Lower Grade:

A. The term "change to lower grade", as used in this provision means change of assignment from a position in one job classification to a lower paying position in the same job classification.

B. Demotions may be made to avoid laying off employees, to provide for employees who request a change to lower grade for personal convenience, or to change an employee to a lower grade when he/she is unable to perform satisfactorily the duties of his/her position.

Section 7 - Individual Work Schedules:

Work schedule changes initiated by the Employer affecting an individual employee shall be in accord with department/agency seniority, except where specific skills are needed.

Section 8 - Pay for Work Performed in Higher Graded Position:

A. Employees detailed or assigned to perform the duties of a higher graded position for more than four (4) pay periods in any calendar year shall receive the pay of the higher graded position. Assignment to a higher graded position for periods of at least one (1) pay period shall count toward the accumulation of the four (4) pay period requirement. The applicable rate of pay will be determined by application of D.C. government procedures concerning grade and step placement for

temporary promotions, and will be effective the first pay period beginning after the qualifying period has passed. An employee on detail to a lower graded position shall maintain the pay for his/her original position. Advance notice will be given to the Union of any detail exceeding one pay period.

B. This provision shall not apply to training programs.

C. Issues involving changed or additional duties assigned to an employee, within his/her present position, shall be considered in accordance with position classification procedures.

ARTICLE 14

INCLEMENT WEATHER CONDITIONS

Section 1 – Inclement Weather Work:

A. Any full-time employee who is scheduled to report for work and who presents himself for work as scheduled shall be assigned to at least eight (8) hours work.

B. If weather conditions do not permit the employee to perform his/her regularly scheduled duties and there is no other work available in line with his/her normal duties, the employee shall be given the option to perform other work or be paid at his/her regular rate for a minimum of four (4) hours and released from duty at his/her election on annual leave or leave without pay.

C. Employees working on snow detail or who are required to shovel snow shall be assigned in the following order:

- 1.** Volunteers
- 2.** In the inverse order of seniority

D. Employees with established health concerns may request to be exempt from snow shoveling assignments.

Section 2 - Reporting Time:

A. During inclement weather where the District Government has declared an emergency, employees (other than those designated emergency employees) will be given a reasonable amount of time to report for duty without charge to leave. Those employees required to remain on their post until relieved will be compensated at the appropriate overtime rate or compensatory leave for the time it takes his/her relief to report for duty.

B. The Employer agrees to dismiss all non-emergency employees when early dismissal is authorized by higher officials during inclement weather.

ARTICLE 15

HOURS OF WORK

Section 1 - Workday:

Except as provided in this Article, the normal workday for full-time employees shall consist of eight (8) hours of work within a 24-hour period. The normal hours of work shall be consecutive except that they may be interrupted by a lunch period.

Section 2 - Workweek:

Except as provided in this Article, the workweek for full-time employees shall normally consist of five (5) consecutive days, eight (8) hours of work, Monday through Friday, totaling forty (40) hours. Special schedules will be established for employees, other than employees in continuous operations, who are required to work on Saturday, Sunday or seasonal schedules as part of their regular workweek.

Section 3 - Continuous Operations and Shifts:

The workday for employees in 24-hour continuous operations shall consist of eight hours of work. Work schedules for employees assigned to shifts, showing the employee's workdays, and hours, shall be posted on appropriate bulletin boards. All employees shall be scheduled to work regular work shifts i.e., each work shift shall have a regular starting and quitting time.

Section 4 - Changes in Work Schedules:

Except in emergencies, regular work schedules shall not be changed without ten (10) working days advance notice.

Section 5 - Flexible/Alternative Work Schedules:

A. The normal work hours may be adjusted to allow for flexible/alternative work schedules, with appropriate adjustments in affected leave and compensation items (e.g., overtime, premium pay, compensatory leave, etc.). Such schedules may be appropriate where: (1) it is cost effective, (2) it increases employee morale and productivity, or (3) it better serves the needs of the public. The Union will be given advance notice (when flexible/alternative work schedules are proposed) and shall be given the opportunity to consult.

B. An alternative work schedule will provide that overtime compensation will not begin until the regularly scheduled workday or tour of duty has been completed. Other premiums will be based on the regularly scheduled workday of the employees. An alternative work schedule shall not affect the existing leave system. Leave will continue to be earned at the same number of hours per pay period as for employees on five (5) day, forty (40) hour schedules and will be charged on an hour-by-hour basis.

ARTICLE 16

ADMINISTRATION OF LEAVE

Section 1 – General:

Employees shall be eligible to use leave in accordance with the personnel rules and regulations. Any request for a leave of absence shall be submitted in writing by the employee to his/her immediate supervisor. The request shall state the length of time off the employee desires, the type of leave requested and the reason for the request. An excused absence is an absence from duty without loss of pay and without charge to leave when such absence is authorized by statute or administrative discretion.

Section 2 - Annual Leave:

A. Normal Requests for Leave: A request for a short leave of absence, not to exceed three days, shall be requested in writing on the proper form and answered before the end of the work shift in which the request is submitted. A request for a leave of absence between four to seven days must be submitted five (5) calendar days in advance and answered within five days, except for scheduled vacations, as provided for in Section 2 of this Article. If the request is disapproved, the supervisor shall return the SF-71 with reasons for the disapproval indicated. Requests for annual leave shall not be unreasonably denied.

B. Emergency Requests: Any employee's request for immediate leave due to family death or sickness shall be granted or denied immediately.

C. Carryover: Annual leave, which is not used, may be accumulated from year to year. In general, the maximum allowable leave is thirty (30) days, unless the employee had a greater amount of allowable leave at the beginning of the leave year. Employees shall receive a lump sum leave payment for all accrued annual leave not used at the time of retirement, resignation or other separation from the employer, consistent with the negotiated Compensation Agreement.

D. Vacation Schedules: Every effort will be made to grant employees leave during the time requested. If the operations would suffer by scheduling all requests during a given period of time, a schedule will be worked out with all conflicts to be resolved by the application of seniority. After vacations are posted, no changes shall be made unless mutually agreeable or an emergency arises. Employees will be encouraged to schedule vacations through the year.

Section 3 - Sick Leave:

A. Requests:

1. Supervisors shall approve sick leave of employees incapacitated from the performance of their duties. Employees shall request sick leave as far in advance as possible prior to the start of their regular tour of duty on the first day of absence.

2. Sick leave shall be requested and approved in advance for visits to and/or appointments with doctors, dentists, practitioners, opticians, and chiropractors for the purpose of securing diagnostic examinations, treatments and x-rays.

3. Employees shall not be required to furnish a doctor's certificate to substantiate requests for approval of sick leave unless such sick leave exceeds three work days continuous duration. However, if Management has given written notice to an employee that there is a good reason to believe that the employee has abused sick leave privileges, then the employee must furnish a doctor's certificate for each absence from work, which is claimed as sick leave regardless of its duration. The Union will encourage employees to conserve sick leave for use during periods of extended illness.

4. Advance sick leave requests will be given prompt consideration by the Employer consistent with Section 3(b) of this Article when the following provisions are met:

(a) The request must be submitted in writing and must be supported by acceptable medical certificates.

(b) All available accumulated sick leave to the employee's credit must be exhausted. The employee must use annual leave he/she might otherwise forfeit.

(c) In the case of employees serving under temporary appointments, or under probationary or trial periods, advance sick leave should not exceed an amount which is reasonably assured will be subsequently earned during such period.

(d) The amount of sick leave advanced to an employee's account will not exceed 240 hours at any time. Where it is known that the employee is to be separated, the total sick leave advanced may not exceed an amount which can be liquidated by subsequent accrual prior to the separation.

(e) There must be a reasonable assurance that the employee will return to duty.

B. Advance Sick Leave: Advance sick leave may be granted to permanent or probationary employees in amounts not to exceed 240 hours. Furthermore, an employee may not be indebted for more than 240 hours of sick leave at any one time. Sick leave may be advanced to

employees holding a limited appointment or one expiring on a specific date, but not in excess of the total sick leave that would accrue during the remaining period of such appointment. In either case the employee request must be supported by a statement from his/her physician attesting that the employee has a serious disability or ailment and is incapacitated for duty and stating the period of time expected to be involved. The request should be denied only if the requirements of Section 3 (a) and (b) are not met or there is a reason to believe that the employee will not return to duty or that he/she has abused the sick leave privilege in the past.

C. All accrued and accumulated sick leave must be exhausted before the advance sick leave is credited. Accrued and accumulated annual leave may remain standing to the credit of employees. The Employer will use its best efforts to answer an employee's request for advanced sick leave within fifteen (15) working days. However, an employee is responsible for applying advance sick leave in writing as far in advance as possible. If the request is denied, the reasons for such denial shall be given in writing. Further, the employee will be given consideration for LWOP consistent with the provisions of personnel rules and regulations.

Section 4 – Other Paid Leave:

A. **Military Leave:** Full-time employees are entitled to leave as reserve members of the armed forces or as members of the National Guard to the extent provided in D.C. Official Code Section 1-612.03(m) and applicable rules and regulations and the Compensation Units 1 & 2 Agreement, which provide in part the following:

1. Members of the D.C. National Guard are entitled to unlimited military leave without loss of pay for any parade or encampment with the D.C. National Guard when ordered by the Commanding General, excluding weekly drills and meetings.

2. Additional military leave with pay will be granted to full-time employees who are members of the reserve components of the Armed Forces or the National Guard for the purpose of providing military aid to enforce the law for a period not to exceed 22 workdays per calendar year.

B. **Court Leave:** Employees shall be granted leave of absence with pay anytime they are required to report for jury duty or to appear as a witness on behalf of the District of Columbia Government, or the Federal or a State or Local Government, in accordance with personnel rules and regulations.

C. **Voting Leave:** Where the polls are not open at least three hours either before or after an employee's regular hours of work, he/she may, upon request, be granted an amount of excused time which will permit him/her to report to work three hours after the polls open or leave work three hours before the polls close, whichever requires the lesser amount of time off. Leave for voting will be allowed in accordance with the personnel rules and regulations.

D. **Funeral Leave:** Funeral leave shall be granted in accordance with the Compensation Units 1 & 2 Agreement.

E. Civic Duty: Upon advance request and adequate justification employees required to appear before a court or other public body on public business in which they are not personally involved shall be granted leave of absence with pay unless paid leave is prohibited by Federal or District Regulations or Statutes.

F. Examinations: Employees shall be excused without charge to leave in accordance with personnel rules and regulations for the purpose of taking an employment medical examination and examination for induction or enlistment in the active Armed Forces, a District Government owned vehicle operator examination, a civil service examination or other examination which his/her department has requested him/her to take in order to qualify for reassignment, promotion, or continuance of his/her present job, but not for the reserve Armed Forces. An employee shall also be excused without charge to leave for the purpose of taking an examination whenever, in the judgment of the Department or agency head, the District Government will benefit thereby. Absence from duty in order to take an examination primarily for the employee's own benefit and not connected to the District Government must be requested in accordance with the general leave provisions.

Section 5 - Leave Without Pay:

A. General: Leave of absence without pay for a limited period may be granted at the supervisor's discretion for a reasonable purpose if requested in advance in writing.

B. Union: Employees elected to any Union office or selected by the Union to do work which takes them from their employment with the Employer shall at the written request of the employee and the Union be granted a leave of absence without pay; provided the written request states the purpose and duration of the absence, and is submitted thirty (30) calendar days in advance of the commencement of the desired period of absence. If the Employer indicates that the requested leave will unduly hamper its operations, it may offer an alternative for consideration by the Union.

C. The initial leave of absence shall not exceed one (1) year. Leaves of absence for Union officials may be extended for similar periods. No more than one employee from a bargaining unit shall be on such extended leave at the same time.

D. Parenthood Leave: Maternity leave before and following childbirth shall be granted at the request of the employee. The employee is obligated to advise her supervisor substantially in advance of the anticipated leave date. This period of absence shall be determined by the employee, her physician and her supervisor. Maternity leave is chargeable to sick leave or any combination of sick leave, annual leave, or leave without pay. Paternity leave may be granted for a period of up to two (2) weeks following childbirth, and may be extended at the supervisor's discretion. Such leave shall be a combination of annual leave or leave without pay.

E. Leave may be granted for a period of up to two (2) weeks to an employee who is adopting a child, with extensions made at the discretion of the supervisor. Such leave shall be a combination of annual leave or leave without pay.

F. Union Officer Leave: Attendance at Union sponsored programs may be approved annual leave or leave without pay in accordance with normal leave practices unless Administrative Leave has been approved.

G. Educational Leave: After completing one (1) year of service an employee upon request may be granted a leave of absence for educational purposes provided that successful completion of the course will contribute to the work of the Department. The period of leave of absence may not exceed one (1) year, but may be extended at the discretion of the Employer. If an employee is returning from educational leave during which he/she has acquired the qualification of a higher rated position he/she shall not have lost any of his/her rights in being evaluated for the higher graded position.

ARTICLE 17

ADMINISTRATION OF OVERTIME

Section 1 -Distribution:

Overtime work shall be equally distributed among employees. Specific arrangements for the equitable distribution of overtime shall be agreed to at Union Management Cooperation Meetings. Individual employee qualifications shall be considered when decisions are made on which employees shall be called for overtime work.

Section 2:

Management will solicit volunteers when overtime work is required. In the event a sufficient number of qualified volunteers are not available to perform the job functions, overtime work will be assigned to equally qualified employees in inverse order of seniority, unless a different system is worked out on a local-by-local basis. Instances of hardship should be presented to the supervisor and shall be considered on a case-by-case basis.

ARTICLE 18

WAGES

Section 1:

The salaries and wages of employees shall be paid bi-weekly. In the event the scheduled payday is a holiday, the preceding day shall be the payday. If, for any reason, an employee's paycheck is not available on the prescribed day, or if it does not reflect the full amount due, that employee will be paid as quickly thereafter as is possible, and under no circumstances will he or she be required to wait until the next regular payday.

Section 2:

If an employee's paycheck is delayed, the employee shall immediately notify his/her supervisor. The supervisor shall initiate efforts through the agency controller to obtain a supplemental payment. Supplemental payments will not effectuate normal payroll deductions. Appropriate payroll deductions will be deducted from the employee's subsequent paycheck. (Except DHS, see Attachment 6.)

ARTICLE 19 **REDUCTION-IN-FORCE**

Section 1 - Definition:

The term reduction-in-force, as used in this Agreement means the separation of a permanent employee, his/her reduction in grade or pay, or his/her reduction in rank because of (a) reorganization, (b) abolishment of his/her position, (c) lack of work, (d) lack of funds, (e) new equipment, (f) job consolidation or (g) displacement by an employee with greater retention rights who was displaced because of (a) through (f) above.

Section 2 – Consultation:

The Employer agrees to consult in advance with the Union prior to reaching decisions that might lead to a reduction-in-force in the bargaining unit. The Employer further agrees to minimize the effect and such reduction-in-force on employees and to consult with the Union toward this end.

Section 3 - Procedure:

A reduction-in-force will be conducted in accordance with the provisions set forth in the Comprehensive Merit Personnel Act [(CMPA), D.C. Official Code § 1-624].

Section 4 – Impact and Effects Bargaining:

In the event of a reduction-in-force, the Employer shall, upon request, provide the Union with appropriate information to insure that the Union can engage in impact and effects bargaining over the reduction-in-force.

Section 5 - Review of Procedures:

In the event of reduction-in-force, the affected employee will receive credit for his/her performance in accordance with the Comprehensive Merit Personnel Act, [D.C. Official Code Ann., Title 1, Section 1-624 (2001 Edition)].

ARTICLE 20

CONTRACTING OUT

Section 1:

During the term of this Agreement the Department shall not contract out work traditionally performed by employees covered by this Agreement, except where Manpower (including expertise and technology) and/or Equipment in the department/agency are not available to perform such work, when it is determined by the Mayor that budgetary conditions exist requiring contracting out, or when it is determined by the Department that emergency conditions exist requiring such contracting out (provided however that the contracting out is for a period of time that the emergency exists). The Agency shall consult with the Union prior to any formal notice to contract out bargaining unit work.

Section 2:

When there will be adverse impact to bargaining unit employees, the Employer shall consult with the Union thirty (30) days prior to final action, except in emergencies. The Union shall have full opportunity to make its recommendations known to the Employer who will duly consider the Union's position and give reasons in writing to the Union for any contracting out action. The Agency shall consult with the Union to determine if the needs of the Government may be met by means other than contracting out work traditionally performed by bargaining unit employees.

ARTICLE 21

STRIKES AND LOCKOUTS

Section 1 - Definition:

The term strike as used herein means any unauthorized concerted work stoppage or slowdown.

Section 2 - Strikes:

It shall be unlawful for any District Government employee or the Union to participate in, authorize or ratify a strike against the District.

Section 3 - Lockouts:

No lockout of employees shall be instituted by the Employer during the term of this Agreement except that the Employer in a strike situation retains the right to close down any facilities to provide for the safety of employees, equipment or the public.

Section 4 - Other Considerations:

At no time however, shall employees be required to act as strikebreakers.

ARTICLE 22 **GRIEVANCE PROCEDURES**

Section 1:

Any grievance or dispute which may arise between the parties involving the application, meaning or interpretation of this Agreement, shall be settled as described in this Article unless otherwise agreed to by the parties.

Section 2 - Procedure:

This procedure is designed to enable the parties to settle grievances at the lowest possible administrative level. Therefore, grievances should be filed at the lowest level where resolution is possible. Accordingly, a grievance may be filed at the Step in the grievance procedure where the alleged action, which precipitated the grievance, occurred.

Step 1: The employee and/or the Union shall take up the grievance or dispute with the employee's immediate supervisor as soon as is practicable, but no later than fifteen (15) working days from the date of the occurrence or when the Union and/or the employee first had knowledge of or should have known of the occurrence. The supervisor shall attempt to adjust the matter and shall respond to the Steward as soon as is practicable, but not later than fifteen (15) working days after the receipt of the grievance.

Step 2: If the grievance has not been settled, it shall be presented in writing by the employee and/or the Union to the second level supervisor within ten (10) working days after the Step 1 response is due or received, whichever is sooner. The written grievance shall be clearly identified as a grievance submitted under the provisions of this Article, and shall list the contract provision violated, a general description of the incident giving rise to the grievance, the date or approximate date and location of the violation and the remedy sought. The second level supervisor shall respond to the Union and/or employee in writing within ten (10) working days after receipt of the written grievance.

Step 3: If the grievance is still unresolved, it shall be presented in writing by the employee and/or Union to the third level supervisor within ten (10) working days after the Step 2 response is due or received, whichever is sooner. The third level supervisor shall respond in writing (with a copy to the Local President) within ten (10) working days after receipt of the written grievance.

Step 4: If the grievance is still unresolved, it shall be presented by the employee and/or the Union to the Office of the Director or his/her designated representative, in writing within fifteen (15) working days after the Step 3 response is due or received, whichever is sooner. The office of the Director, or his/her designated representative shall respond in writing (with a copy to the Local President) within fifteen (15) working days after the receipt of the written grievance and a copy to the Office of Labor Relations and Collective Bargaining.

Step 5: If the grievance is still unresolved, the Union may by written notice request arbitration within twenty (20) days after the reply at Step 4 is due or received, whichever is sooner.

Section 3 - Union Participation:

A. The Employer shall notify the Union in writing of all grievances filed by the employees, all grievance hearings and determinations when such employees present grievances without the Union. The Union shall have the right to have a representative present at any grievance hearing and shall be given forty-eight (48) hours notice of all grievance hearings.

B. Any grievance of a general nature affecting a large group of employees and which concerns the misinterpretation, misapplication, violation or failure to comply with the provisions of the Agreement shall be filed at the option of the Union at the Step or level of supervision where the grievance originates without resorting to previous steps.

Section 4 - Who May Grieve:

Either an employee or the Union may raise a grievance, and if raised by the employee, the Union may associate itself therewith at any time if the employee so desires. Whenever the Union shall raise or is associated with a grievance under this procedure, such a grievance shall become the Union's grievance with the Employer. If raised by the Union, the employee may not thereafter raise the grievance him/herself, and if raised by the employee, he/she may not thereafter cause the Union to raise the same grievance independently.

Section 5 - Selection of the Arbitrator:

A. The arbitration proceeding shall be conducted by an arbitrator to be selected by the Employer, through the Office of Labor Relations and Collective Bargaining, and by the Union as soon as possible after notice of intent to arbitrate is received. If the parties fail to select an arbitrator, the Federal Mediation and Conciliation Service (FMCS) or the American Arbitration Association (AAA) shall be requested to provide a list of seven (7) arbitrators from which an arbitrator shall be selected within seven (7) days after receipt of the list by both parties.

B. Both the Employer and the Union may strike three (3) names from the list using the alternate strike method. The party requesting arbitration shall strike the first name. The arbitration hearing shall be conducted pursuant to the American Arbitration Association guidelines unless modified by this Agreement.

Section 6 - Decision of the Arbitrator:

The decision of the arbitrator shall be final and binding on the parties and shall not be inconsistent with the terms of this Agreement. The arbitrator shall be requested to render his/her decision in writing within thirty (30) days after the conclusion of the arbitration hearing.

Section 7 - Expenses of the Arbitrator:

Expenses for the arbitrator's services and the proceeding shall be borne equally by the Employer and the Union. However, each party shall be responsible for compensating its own representatives and witnesses. If either party desires a record of the arbitration proceedings, it may cause such a recording to be made, providing it pays for the record and make copies available without charge to the other party and the arbitrator.

Section 8 - Time Off For Grievance Hearings:

The Employee, Union Steward and/or Union representative shall upon request, be permitted to meet and discuss grievances with designated management officials at each step of the Grievance Procedure within the time specified consistent with Section 3 of Article 6 on Union Stewards.

Section 9 – Time Limits:

All time limits set forth, in this Article may be extended by mutual consent, but if not so extended, must be strictly observed. If the matter in dispute is not resolved within the time period provided for in any step, the next step may be invoked.

Section 10:

Matters not within the jurisdiction of the department/agency will not be processed as a grievance under this Article unless the matter is specifically included in another provision of this Agreement or the Compensation Agreement.

Section 11:

A. The parties agree that a process of grievance mediation may facilitate satisfactory solutions to grievances prior to arbitration. Therefore, on an experimental basis and when mutually agreed to by the parties, a mediator may be selected and utilized to facilitate settlements. The mediator may not impose a settlement on the parties, and any settlement reached will not be precedential unless otherwise agreed to by the parties on a case-by-case basis.

B. Grievances may be combined for the purpose of mediation upon mutual agreement by the parties.

ARTICLE 23

EMPLOYEE RIGHTS

Employees of the Unit shall have and shall be protected in the exercise of the right, freely and without fear of penalty or reprisal, to form, join and assist the Union or to refrain from any such activity. Except as expressly provided herein, the freedom shall be recognized as extending to participation in the management of the Union and acting for it in the capacity of a union representative, including representation of its views to the officials of the Mayor, D.C. Council or Congress.

ARTICLE 24

NEW TECHNOLOGY AND EQUIPMENT

Section 1:

Whenever new equipment or technological changes will significantly affect operations, the Employer shall provide notice to the Union at least 60 days in advance. This time limit does not apply to the introduction of equipment or technological changes on an experimental basis. When the Employer introduces such equipment or technological changes on an experimental basis the Employer will notify the Union upon introduction as where the experiment is being conducted and its nature and intended duration and will provide 60 days notice if the experiment is to be instituted permanently.

Section 2:

The Employer shall provide any reasonable training for affected employees to acquire the skills and knowledge necessary for new equipment or procedures. The training shall be held during working hours, when reasonably available. The Employer shall bear the expense of the training.

Section 3:

If training is required by the Agency for employment and the training is held outside the employee's normal tour of duty, the employee shall receive compensatory time.

ARTICLE 25

JOB DESCRIPTIONS

Each employee within the unit shall receive a copy of his/her current job description upon request. When an employee's job description is changed, the employee and the Union shall be provided a copy of the new job description.

ARTICLE 26
SAVINGS CLAUSE

In the event any Article, Section or portion of the Agreement shall be held invalid and unenforceable by any court or higher authority of competent jurisdiction, such decision shall apply only to the specific Article, Section, or portion thereof specified in the decision, and upon issuance of such a decision, the Employer and the Union agree to immediately negotiate a substitute for the invalidated Article, Section or portion thereof.

ARTICLE 27
DURATION AND FINALITY

Section 1 - Duration of Agreement:

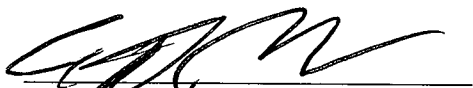
This Agreement shall be implemented as provided herein subject to the requirements of Section 1715 of the CMPA (Section 1-617.15(a), D.C. Official Code, 2001 Edition). This Agreement shall be effective as of the day of final approval, and shall remain in full force and effect until the 30th day of September, 2010. Should either party desire to renegotiate, renew, extend or modify this Contract, notice will be given in writing in accordance with the requirements of the Comprehensive Merit Personnel Act. This Agreement shall remain in full force and effect during the period of negotiations.

Section 2 - Finality:

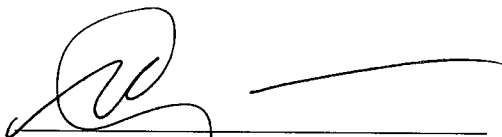
This Agreement was reached after negotiations during which the parties were able to negotiate on any and all negotiable non-compensation issues, and contains the full agreement of the parties as to all such non-compensation issues that were or could have been negotiated. The Agreement shall not be reconsidered during its life unless by mutual consent or as required by law.

On this ____ day of October, 2006 and in witness to this Agreement, the parties hereto set their signatures.

**FOR THE DISTRICT OF COLUMBIA
GOVERNMENT**



Edward Reiskin
Interim City Administrator/
Deputy Mayor for Public Safety
And Justice

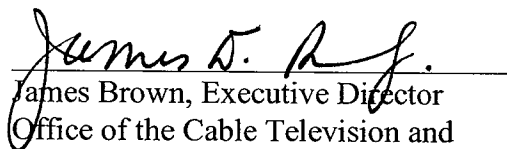


Natasha Campbell, Esq.
Supervisory Attorney Advisor
Office of Labor Relations
and Collective Bargaining

Carol Mitten, Director
Office of Property Management

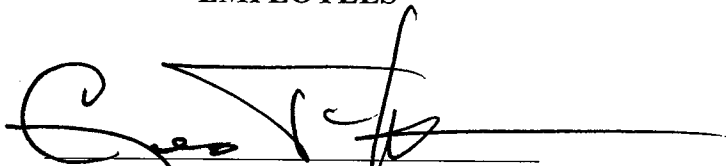


Benita Anderson, Labor Liaison
Office of Property Management

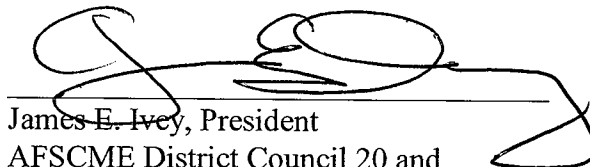


James Brown, Executive Director
Office of the Cable Television and
Telecommunications

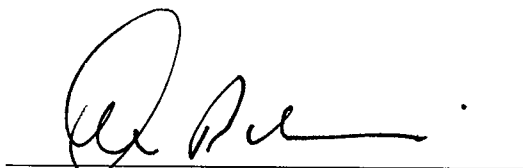
**FOR THE AMERICAN FEDERATION
OF STATE, COUNTY AND MUNICIPAL
EMPLOYEES**



Geo T. Johnson, Executive Director
AFSCME District Council 20



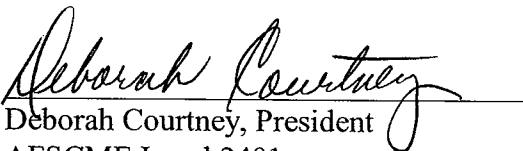
James E. Ivey, President
AFSCME District Council 20 and
AFSCME Local 2091



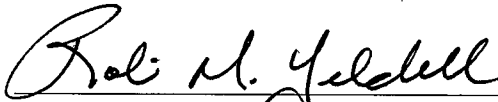
Al Bilik, Executive Assistant
AFSCME District Council 20



Brenda Featherstone, President
AFSCME Local 1200



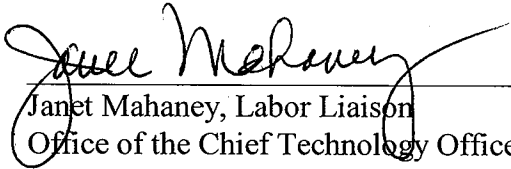
Deborah Courtney, President
AFSCME Local 2401



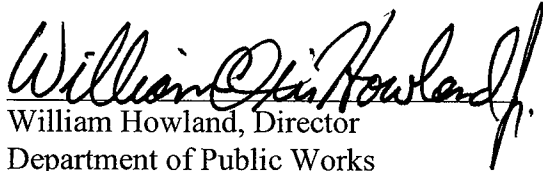
Robin Yeldell, Labor Liaison
Office of Cable Television and
Technology



Suzanne Peck, Chief Technology Officer
Office of the Chief Technology Officer



Janet Mahaney, Labor Liaison
Office of the Chief Technology Officer



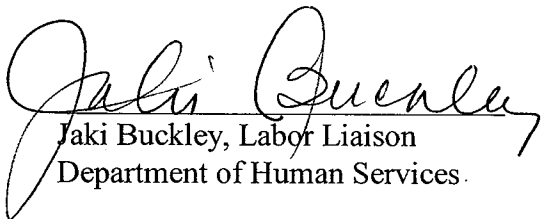
William Howland, Director
Department of Public Works



Bertha Guerra, Labor Liaison
Department of Public Works



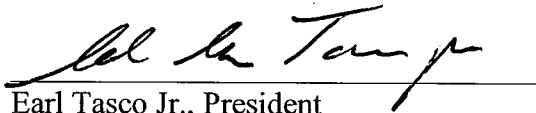
Brian Wilbon, Interim Director
Department of Human Services



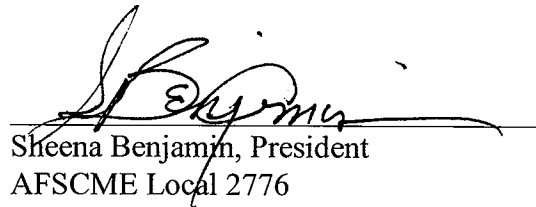
Jaki Buckley, Labor Liaison
Department of Human Services



Cliff Dedrick, President
AFSCME Local 2743

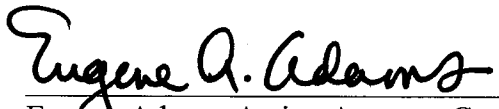



Earl Tasco Jr., President
AFSCME Local 2092




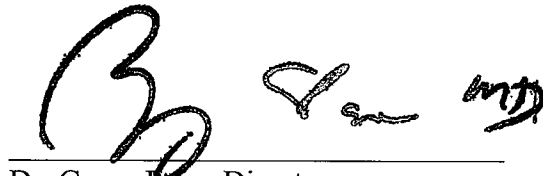
Sheena Benjamin, President
AFSCME Local 2776

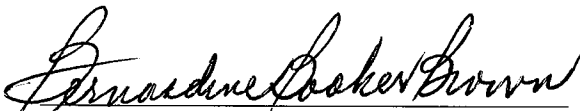


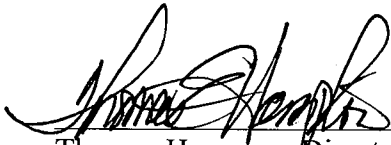

Eugene Adams, Acting Attorney General
Office of the Attorney General

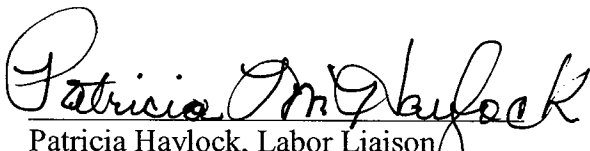

Patricia Higgins, Labor Liaison
Department of Health


Gail Elkins Davis, Labor Liaison
Office of the Attorney General


Dr. Gregg Fane, Director
Department of Health


Bernadine Booker-Brown, Labor Liaison
Department of Health


Thomas Hampton, ~~Director~~ *Commissioner*
Department of Insurance, Securities
and Banking

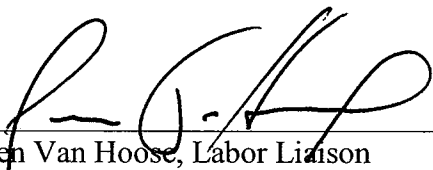

Patricia Haylock, Labor Liaison
Department of Insurance, Securities
and Banking

Dr. Patrick Canavan, Director
Department of Consumer and
Regulatory Affairs


Deborah Bonsack, Labor Liaison
Department of Consumer and
Regulatory Affairs



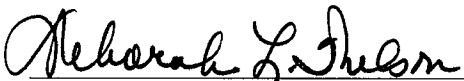
Dr. Natwar Gandhi, Chief Financial Officer
Office of the Chief Financial Officer



Ben Van Hoose, Labor Liaison
Office of the Chief Financial Officer



Uma Ahluwalia, Interim Director
Child and Family Services Agency



Debra Wilson, Labor Liaison
Child and Family Services Agency

AFSCME DC 20 MOU

MEMORANDUM OF UNDERSTANDING

BETWEEN

THE AMERICAN FEDERATION OF STATE, COUNTY AND MUNICIPAL
EMPLOYEES, DISTRICT COUNCIL 20

and

THE DISTRICT OF COLUMBIA

The American Federation of State, County and Municipal Employees, District Council 20 ("AFSCME") and the District of Columbia Government ("District") (collectively referred to as the "Parties") have engaged in impact and effects bargaining over the District's proposed rule changes to Chapter 16 of the District Personnel Manual ("DPM"), as published on November 20, 2015, and have come to the following mutual understandings and agreements with regard to the application of these rules to employees of the District represented by AFSCME:

1. Pursuant to 6B DCMR § 628, whenever there is a dispute as to cause in a disciplinary matter, the District shall bear the burden of proving disputed material facts by a preponderance of the evidence.
2. Section 1602.2 of the proposed regulations addressing how any conflicts between the regulations and an applicable collective bargaining agreement should be resolved shall serve only as guidance to District officials and has no legal or binding effect on the Parties.
3. With respect to the proposed section 1602.3, the District agrees to notify AFSCME of any need or request for any extension of time to complete an investigation and to take comments from AFSCME on that subject. ~~The District of Columbia Department of Human Resources ("DCHR")~~ *personnel authority* will then consider the reasons for the request for an extension of time and AFSCME's comments, if any, and make a determination as to whether it will grant the requested extension. *4/11/16*
DSA
3-17-16
4. Section 1605.4(a)(4) addressing certain conduct prejudicial to the District, including off-duty conduct that affects the employee's trustworthiness, is related to trustworthiness as to the performance of the employee's official duties and does not include conduct that is not related to the employee's work.
5. The District agrees to create and publish a definition for the term "personal history record" as used in the proposed section 1605.4(b)(1). The parties agree that discipline based on a falsification of a personal history record must be based on a record submitted to the District in the context of the employee's employment.
6. In order to establish cause for adverse or corrective action against an employee for engaging in "fiscal irregularities" (see proposed section 1605.4(c)), the District must demonstrate that the fiscal irregularities were on-duty or otherwise related to the employee's job duties.

7. "Neglect of duty" as used in proposed section 1605.4(e) is defined as carrying out or failing to carry out official duties or responsibilities as would be expected of a reasonable individual in the same position. Neglect of duty includes, a failure to perform assigned tasks or duties, undue delay in completing assigned tasks or duties, careless work habits, wasting time, conducting personal business while on duty, abandoning an assigned post, sleeping or dozing on the job, and loafing. The Parties agree that neglect of duty should not be used as a catch-all to encompass other misconduct addressed by other causes for discipline.

8. The Parties agree that, in defining corrective action at section 1613.1 to include reassignment, the District does not intend to suggest that all reassignments should be considered corrective action. The only time a reassignment constitutes a corrective action is when it is done for cause.

9. Section 1613.3 of the proposed regulations provides for a resolution conference for proposed corrective actions. The Parties agree that section 1613.3(b) will not apply to employees represented by AFSCME unless an AFSCME representative was given a reasonable opportunity to be present at the resolution conference or the AFSCME representative was given a reasonable opportunity to be present and available for the conference, but the employee turned away representation and confirmed his or her desire not to be represented in a written document a copy of which was supplied to the AFSCME representative.

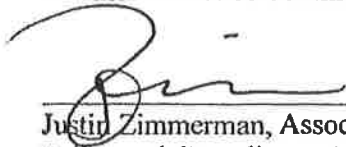
10. The Parties agree that employees represented by AFSCME who have been placed on administrative leave pending a final disciplinary decision will be informed of any request for an extension of the time limit set forth in section 1619.2, the reason for the request, whether the extension was granted or denied, and the reason for such grant or denial of the extension of time.

11. The Parties agree that, if not deleted from the final published regulations, the language of the proposed section 1621.6 shall not apply to employees represented by AFSCME. That language, as proposed, states as follows: "At the time of the response, an employee shall raise every defense, fact or matter in extenuation, or mitigation that is relevant to the reasons for the proposed or summary action."

12. The Parties agree that, in reaching a final decision with regard to proposed corrective or adverse action, the final decision maker may not increase the proposed penalty.

The foregoing twelve numbered paragraphs represent the entirety of the Parties' agreement as to the impact and effect of the new proposed regulations on District employees represented by AFSCME.

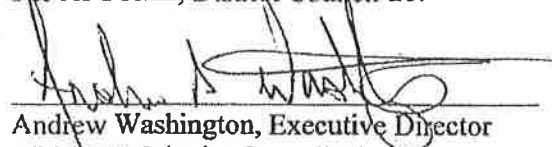
For the District of Columbia:



Justin Zimmerman, Associate Director
Policy and Compliance Administration
D.C. Department of Human Resources

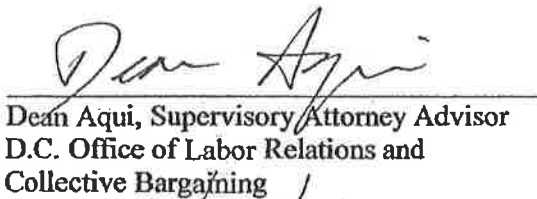
3/15/16
Date

For AFSCME, District Council 20:



Andrew Washington, Executive Director
AFSCME, District Council 20

3/14/16
Date



Dean Aqui, Supervisory Attorney Advisor
D.C. Office of Labor Relations and
Collective Bargaining

3/17/16
Date

ATTACHMENT 07

**OFFICE OF RISK MANAGEMENT
INTRA-DISTRICT TRANSFERS**

Fiscal Year	Buyer Agency	Seller Agency	Amount
FY 20	RK0 -Office of Risk Management	AA0 - Office of the Mayor Total	2,787.00
FY 20	RK0 -Office of Risk Management	KT0 - Department of Public Works Total	5,427.36
FY 20	RK0 -Office of Risk Management	PX0 -Purchase Card Transaction	21,319.23
FY 20	BG0 -Workers Compensation Program	CB0 - Office of the Attorney General	15,352.29
FY 20	BG0 -Workers Compensation Program	PX0 - Purchase Card Transaction	1,836.47
FY 20	BG0 -Workers Compensation Program	AT0 - Office of Finance and Treasury	2,849.00
FY 20	BG0 -Workers Compensation Program	AT0 - Office of Finance and Treasury	8,000.00
FY 20	BG0 -Workers Compensation Program	TO0 - Office of the Chief Technology Officer	45,259.29
FY 20	RJ0 -Captive Insurance Agency	PX0 - Purchase Card Transaction	2,444.00
FY 20	KA0 - Department of Transportation	RJ0 -Captive Insurance Agency	6,888.00
FY 20	CE0 - DC Public Library	RJ0 -Captive Insurance Agency	166.06
FY 20	AM0- Department of General Services	RJ0 -Captive Insurance Agency	67,680.79
FY 20	AM0- Department of General Services	RJ0 -Captive Insurance Agency	35,750.61
FY 20	AM0- Department of General Services	RJ0 -Captive Insurance Agency	9,817.00
FY 20	AM0- Department of General Services	RJ0 -Captive Insurance Agency	5,210.00
FY 20	AM0- Department of General Services	RJ0 -Captive Insurance Agency	2,747.71
FY 20	AM0- Department of General Services	RJ0 -Captive Insurance Agency	64,513.00
FY 20	AM0- Department of General Services	RJ0 -Captive Insurance Agency	11,133.08
FY 20	AM0- Department of General Services	RJ0 -Captive Insurance Agency	24,166.88
FY 20	AM0- Department of General Services	RJ0 -Captive Insurance Agency	22,756.00
FY 20	AM0- Department of General Services	RJ0 -Captive Insurance Agency	24,209.28
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FY 20	AM0- Department of General Services	RJ0 -Captive Insurance Agency	16,936.00
FY 20	AM0- Department of General Services	RJ0 -Captive Insurance Agency	14,827.82
FY 20	AM0- Department of General Services	RJ0 -Captive Insurance Agency	2,056.00
FY 20	AM0- Department of General Services	RJ0 -Captive Insurance Agency	15,586.52
FY 20	AM0- Department of General Services	RJ0 -Captive Insurance Agency	15,891.90
FY 20	AM0- Department of General Services	RJ0 -Captive Insurance Agency	1,666.90
FY 20	AM0- Department of General Services	RJ0 -Captive Insurance Agency	13,952.26
FY 20	AM0- Department of General Services	RJ0 -Captive Insurance Agency	3,381.14
FY 21	AA0 - EOM - OLCRB	RK0 -Office of Risk Management	12,800.00
FY 21	CB0 - Office of the Attorney General	BG0 -Workers Compensation Program	50,000.00
FY 21	RJ0 -Captive Insurance Agency	PX0 - Purchase Card Transaction	3,833.53
FY 21	AM0- Department of General Services	RJ0 -Captive Insurance Agency	30,826.05
FY 21	AM0- Department of General Services	RJ0 -Captive Insurance Agency	10,396.00
FY 21	AM0- Department of General Services	RJ0 -Captive Insurance Agency	60,176.48
FY 21	AM0- Department of General Services	RJ0 -Captive Insurance Agency	23,333.40
FY 21	AM0- Department of General Services	RJ0 -Captive Insurance Agency	6,073.62
FY 21	AM0- Department of General Services	RJ0 -Captive Insurance Agency	336,507.00
FY 21	AM0- Department of General Services	RJ0 -Captive Insurance Agency	44,951.75
FY 21	AM0- Department of General Services	RJ0 -Captive Insurance Agency	5,231.00
FY 21	AM0- Department of General Services	RJ0 -Captive Insurance Agency	100,508.92
FY 21	AM0- Department of General Services	RJ0 -Captive Insurance Agency	6,390.79

ATTACHMENT 08

Office of Risk Management
PCard Transaction for FY 20 and FY 21, to date

Fiscal Year	Transaction Date	Merchant Name	Transaction Amount	Agency Budget	Cardholder Name	Item Description
2020	10/18/2019	Precision Capital Partners	\$2,968.17	RK0	Valerie Evans	File pocket folders for file room, footrest for employee (medically prescribed for employee)
2020	10/21/2019	Hilton San Diego Resort	\$194.97	RK0	Valerie Evans	Hotel for Morgan Dowe to attend PRIMA conference in San Diego CA. She was sent in replacement of Robert Preston, who was scheduled to attend, but couldn't go at the last minute. This charge is for an extended day of travel to cover the departure date of her flight.
2020	10/22/2019	Internation Risk Management and Insurance (IRMI)	\$6,169.66	RK0	Valerie Evans	Annual membership dues for the International Risk Management Institute (IRMI) for various office staff.
2020	10/31/2019	Metro Stamp and Seal Company	\$75.00	RK0	Valerie Evans	DC Notary Sealer and accessory set for Sing Cheun Yeung - notary renewal. This is no SBE vendor for these services. I checked with the notary office and DSLBD. DLSBD uses this vendor for notary supplies.
2020	11/01/2019	American National Standards (ANSI)	\$1,797.00	RK0	Valerie Evans	American National Standards (ANSI) - ISO 31000 Risk Management Package (three were purchase for various programs). This item can only be purchased from ANSI. comp source:
2020	11/04/2019	Amazon	\$21.98	RK0	Valerie Evans	Book: Talking to Strangers: What We Should Know About People We Don't Know. Item requested by Senior Investigator Manager for library for educational purposes when conducting claimant interviews. Deals with human nature and learning not to misread strangers when dealing with them.
2020	11/06/2019	Pitney Bowes	\$806.67	BG0	Valerie Evans	Send Pro 1500 Maintenance Products PB Cleaning Pack, color cartridges (cyan, black, magenta, red). Purchased directly from Pitney Bowes.
2020	11/06/2019	Chesapeake Region Safety Council, Inc	\$295.00	RK0	Valerie Evans	Registration course fee for Thomas Herbert - Job Safety Analysis - for continuation of POSH training. Chesapeake National Safety Council. This course is only offered through Chesapeake
2020	11/06/2019	Metro Stamp and Seal Company	\$26.00	RK0	Valerie Evans	Notary Sealer replacement for Susana Suarez. This company is used by DSLBD. Currently, there is no CBE vendor available to purchase notary supplies.
2020	11/07/2019	FORMost Advanced Creations	\$46.50	RK0	Valerie Evans	Business cards for James Achille.
2020	11/08/2019	G Medicine LLC (Clinton Primary Care Center)	\$16.60	BG0	Valerie Evans	Charge for claimant's medical records from physician. The provider is not registered with the District so we had to use the purchase card. We checked with OCP before making the charge. Records can only be obtained through medical provider.
2020	11/12/2019	ISO SERVICES	\$29.90	RK0	Valerie Evans	Monthly charges for ISO claim fraud indexing services for Tort division. This reoccurring expenditure has been approved by OCP to pay by waiver. ISO is the only vendor in the claims industry to provide these services.
2020	12/16/2019	Risk and Insurance Management Society (RIMS)	\$1,870.00	RK0	Valerie Evans	Annual membership for Risk and Insurance Management Society (RIMS) for Jane Waters, Robert Preston, Jed Ross, Peter Clark. Roger Gatton was added. Membership can only be obtained through RIMS and is not a CBE sourced service.

Office of Risk Management
PCard Transaction for FY 20 and FY 21, to date

Fiscal Year	Transaction Date	Merchant Name	Transaction Amount	Agency Budget	Cardholder Name	Item Description
2020	01/09/2020	Employers' Fraud Task Force	\$50.05	BG0	Valerie Evans	CPFI Class Video Pack (2 DVD's) for the Essentials in Workers' Compensation Fraud Identification - Jessika Boone. These DVD's are purchased in conjunction with the training class and are only available through the Employers' Fraud Task Force via the Insurance Education Association.
2020	01/09/2020	PAYPAL - Employers' Fraud Task Force	\$50.05	BG0	Valerie Evans	CPFI Class Video Pack (2 DVD's) for the Essentials in Workers' Compensation Fraud Identification - Audrey Townsend. These DVD's are purchased in conjunction with the training class and are only available through the Employers' Fraud Task Force via the Insurance Education Association.
2020	01/09/2020	Insurance Education Association (IEA)	\$569.05	BG0	Valerie Evans	Essentials in Workers' Compensation Fraud Identification - Audrey Townsend. This course must be purchase through the Insurance Education Association.
2020	01/09/2020	Insurance Education Association (IEA)	\$569.05	BG0	Valerie Evans	Essentials in Workers' Compensation Fraud Identification - Jessika Boone. This course must be purchase through the Insurance Education Association.
2020	01/11/2020	Amazon	\$42.00	BG0	Valerie Evans	Two copies of License to Steal: How Fraud Bleed's America's Health Care System. These books were purchased for the program investigators in conjunction with the Essentials in Workers' Compensation Fraud Identification training course. The course is offered through the Insurance Education Association and not the CBE community.
2020	01/10/2020	Internation Association of Administrative Professionals (IAAP)	\$150.00	RK0	Valerie Evans	Annual membership to the International Association for Administrative Professionals (IAAP) for Valerie Evans. Membership must be obtained directly from IAAP and can't be purchased through a 3rd party.
2020	01/10/2020	ISO SERVICES	\$50.00	RK0	Valerie Evans	November 2019 fee for ISO claim file indexing services for fraud prevention. This service, which includes the annual fee, has been approved by OCP to pay on the purchase card by waiver.
2020	01/10/2020	ISO SERVICES	\$1,100.00	RK0	Valerie Evans	Annual casualty fee for ISO claim file indexing services for fraud prevention. This service, which includes the annual fee, has been approved by OCP to pay on the purchase card by waiver.
2020	02/03/2020	GW Training Center (George Washington Lifesavers Program)	\$200.00	RK0	Valerie Evans	Annual payment for medical direction/registration for office medical automated external defibrillator (AED) via George Washington Lifesavers Program. It is a requirement for all all AED's in DC to be registered. This service is not available through the CBE community.
2020	02/12/2020	Rafie Jarrah MD PC	\$100.00	BG0	Valerie Evans	One time fee for medical records for WCP claimant. This service can only furnished by the claimant's doctor.

Office of Risk Management
PCard Transaction for FY 20 and FY 21, to date

Fiscal Year	Transaction Date	Merchant Name	Transaction Amount	Agency Budget	Cardholder Name	Item Description
2020	02/13/2020	ISO SERVICES	\$50.20	RK0	Valerie Evans	Monthly fee for claim file indexing search for fraud prevention. This payment is authorized by OCP waiver to pay by purchase card. This service is only available through ISO services and can not be procured through the CBE community.
2020	02/13/2020	Risk and Insurance Management Society (RIMS)	\$999.00	RK0	Valerie Evans	Risk and Insurance Management Society (RIMS) conference registration fee for Roger Gatton - Denver, CO. This fee can not be serviced by the CBE community.
2020	02/13/2020	Risk and Insurance Management Society (RIMS)	\$999.00	RK0	Valerie Evans	Risk and Insurance Management Society (RIMS) conference registration Fee for Jane Waters - Denver, CO. This fee can not be serviced by the CBE community.
2020	02/21/2020	PRIMA	\$385.00	RK0	Valerie Evans	Membership dues for Jed Ross for the Public Risk Management Association (PRIMA). Memberships can not be obtained through the CBE community.
2020	02/27/2020	Total Office Products	\$2,451.50	RK0	Valerie Evans	Misc. Office supplies
2020	03/25/2020	Case Management Society of America (CMSA) of the Chesapeake	\$100.00	BG0	Valerie Evans	Job posting for Nurse Case Manager through the Case Management Society of America (CMSA) of the Chesapeake. This position has been advertised through the normal DCHR process and has been hard to fill. The agency is hoping find a qualified candidate through a professional organization in the same career specialty to fill the vacancy.
2020	03/27/2020	Public Risk Management Association (PRIMA)	\$599.00	RJ0	Valerie Evans	Job posting for an Insurance Program Manager through the Public Risk Management Association (PRIMA) . This position has been advertised through the normal DCHR process and has been hard to fill. The agency is hoping find a qualified candidate through a professional organization in the same career specialty to fill the vacancy.
2020	03/30/2020	TLO TransUnion	\$28.00	BG0	Valerie Evans	Costs for Social Media reports used by WCP investigations staff. This is a premium TransUnion service, which required an additional fee.
2020	04/01/2020	Insurance Education Association (IEA)	-\$569.05	BG0	Valerie Evans	Course credit for Jessika Boone for IEA Fraud 200. The class was cancelled due because of low enrollment due to COVID 19.
2020	04/01/2020	Insurance Education Association (IEA)	-\$569.05	BG0	Valerie Evans	Course credit for Audrey Townsend for IEA Fraud 200. The class was cancelled due because of low enrollment due to COVID 19.
2020	04/29/2020	ITM TwentyFirst, LLC (Pension Benefit Information, LLC - PBI)	\$500.00	BG0	Valerie Evans	For death file indexing search services for workers' compensation program. Approved for payment by OCA waiver. The company name is Pension Benefit Information, LLC (PBI). This services can not be obtain through the CBE community.

Office of Risk Management
PCard Transaction for FY 20 and FY 21, to date

Fiscal Year	Transaction Date	Merchant Name	Transaction Amount	Agency Budget	Cardholder Name	Item Description
2020	05/10/2020	Amazon	\$125.37	BG0	Valerie Evans	Guides to the Evaluation of Permanent Impairment, Sixth Edition by the American Medical Association. This guide was urgently needed by ORM attorney Morgan Dowe as a reference aid during the pandemic and shipped to their homes. The purchase was approved by waiver by the Office of the City Administrator (OCA).
2020	05/14/2020	Amazon	\$125.37	BG0	Valerie Evans	Guides to the Evaluation of Permanent Impairment, Sixth Edition by the American Medical Association. This guide was urgently needed by ORM attorney Kathleen West as a reference aid during the pandemic and shipped to their homes. The purchase was approved by waiver by the Office of the City Administrator (OCA).
2020	05/14/2020	Amazon	\$125.37	BG0	Valerie Evans	Guides to the Evaluation of Permanent Impairment, Sixth Edition by the American Medical Association. This guide was urgently needed by General Counsel Michael Krainak as a reference aid during the pandemic and shipped to their homes. The purchase was approved by waiver by the Office of the City Administrator (OCA).
2020	05/14/2020	Amazon	\$125.37	BG0	Valerie Evans	Guides to the Evaluation of Permanent Impairment, Sixth Edition by the American Medical Association. This guide was urgently needed by ORM attorney Soriya Chhe as a reference aid during the pandemic and shipped to their homes. The purchase was approved by waiver by the Office of the City Administrator (OCA).
2020	06/02/2020	Risk and Insurance Management Society (RIMS)	-\$999.00	RK0	Valerie Evans	Refund credit for Risk and Insurance Management Society (RIMS) conference registration fees for Roger Gatton. The conference was cancelled due to COVID.
2020	07/07/2020	Risk and Insurance Management Society (RIMS)	-\$999.00	RK0	Valerie Evans	Refund credit for Risk and Insurance Management Society (RIMS) conference registration fees for Jane Waters. The conference was cancelled due to COVID.
2020	07/23/2020	ISO SERVICES	\$314.40	RK0	Valerie Evans	Charges for ISO month payments for February and March 2020 for claim history search to detect fraud. ISO is the sole owner of this search index.. Approved by waiver to pay with purchase card by OCA and OCP.
2020	08/25/2020	Precision Capital Partners	\$4,993.95	RK0	Valerie Evans	Misc. Office Supplies. Approved by OCA waiver.
2020	09/17/2020	Senoda Inc	\$1,845.00	RJ0	Valerie Evans	Large and regular size window envelope for agency operations/mailling.Approved by OCA waiver.
2021	10/27/2020	IRMI	\$3,833.53	RJ0	Valerie Evans	Annual membership to Insurance Risk Management Institute (IRMI).
2021	12/15/2020	Risk and Insurance Management Society (RIMS)	\$1,870.00	RK0	Valerie Evans	Membership renewal for the Risk and Insurance Management Society (RIMS). Membership can only be obtained through RIMS.
2021	01/07/2021	PRIMA	\$385.00	RK0	Valerie Evans	Annual membership renewal for Public Risk Management and Insurance Association (PRIMA). Membership can only be obtained through the organization and is not a SBE expenditure.

Office of Risk Management
PCard Transaction for FY 20 and FY 21, to date

Fiscal Year	Transaction Date	Merchant Name	Transaction Amount	Agency Budget	Cardholder Name	Item Description
2021	01/13/2021	ISO SERVICES	\$1,660.65	RK0	Valerie Evans	Payment for December charges and yearly annual maintenance fee for ISO claim file indexing service for Tort Liability Program. These services aid in preventing fraud. The charges are approved for payment by OCP waiver. Services are not available through a CBE/SBE since ISO is the sole owner of this service in the claims industry.
2021	02/08/21	ISO SERVICES	\$158.80	RK0	Valerie Evans	Payment for January charges for ISO claim file indexing service for Tort Liability Program. These services aid in preventing fraud. The charges are approved for payment by OCP waiver. Services are not available through a CBE/SBE since ISO is the sole owner of this service in the claims industry.

ATTACHMENT 09

OFFICE OF RISK MANAGEMENT
MEMORANDUM OF UNDERSTANDING (FY 20 and FY 21, to Date)

No.	Buyer Agency	Seller Agency	Description	FY 20 MOU Amount	FY 21 MOU Amount	Effective Dates
01	RK0	AA0	EOM Support Services EOM	8,000.00		10/01/2019 - 09/30/2020
02	RK0	KT0	FY20 Fixed Costs MOU (Fleet Charges)	2,699.98		10/01/2019 - 09/30/2020
03	BG0	AT0	OFT- Special Envelope Purchase OCFO	2,849.00		10/01/2019 - 09/30/2020
04	BG0	AT0	OFT - Postage OCFO	8,000.00		10/01/2019 - 09/30/2020
05	BG0	CB0	OAG Litigation Costs OAG	50,000.00		10/01/2019 - 09/30/2020
06	KA0	RJ0	ID Open Streets DC DDOT	6,888.00		10/05/2019 - 10/05/2019
07	AM0	RJ0	Capital ID Banneker Project DGS	75,906.00		11/01/2019 - 07/16/2021
08	AM0	RJ0	Capital ID CW Harris School DGS	41,300.00		10/17/2019 - 05/31/2020
09	AM0	RJ0	Capital ID CW Harris School DGS extension	9,817.00		05/31/2020 - 08/31/2020
10	AM0	RJ0	Capital ID Hearst Pool and Park DGS	5,210.00		10/17/2019 - 05/31/2020
11	AM0	RJ0	Capital ID Hearst Pool and Park Amend 1 DGS	2,747.71		10/17/2019 - 05/31/2020
12	AM0	RJ0	Capital ID John Eaton Elem	64,513.00		01/27/2020 - 09/30/2020
13	AM0	RJ0	Capital ID Jefferson Middle School	11,133.08		08/12/2019 - 08/14/2020
14	AM0	RJ0	Capital ID Shepherd Elem Project DGS	28,665.00		10/17/2019 - 10/31/2020
15	CEO	RJ0	Capital ID Southwest Library Amend 1 DCPL	22,756.00		07/01/2018 - 12/31/2019
16	CEO	RJ0	Takoma Park Library DCPL	166.06		12/03/2019 - 12/31/2019
17	AM0	RJ0	Capital ID Thaddeus Stevens Project DGS	24,210.00		10/17/2019 - 07/31/2020
18	AM0	RJ0	Capital ID West Elementary Project DGS	67,377.00		10/17/2019 - 10/17/2021
19	AM0	RJ0	Capital ID Eliot Hine Middle School DGS	16,936.00		08/15/2020 - 12/15/2020
20	AM0	RJ0	Capital ID Lamond Riggs Library DCPL	14,827.82		06/01/2020 - 12/31/2021
21	AM0	RJ0	Capital ID Thaddeus Stevens Project DGS ext	2,056.00		07/31/2020 - 08/31/2020
22	AM0	RJ0	Capital ID Van Ness Elementary DGS	15,586.52		05/20/2020 - 09/01/2021
23	AM0	RJ0	Capital ID Ross Elementary School	15,891.90		06/20/2020 - 09/01/2021
24	AM0	RJ0	Capital ID Hardy Recreation Center	1,666.90		09/01/2020 - 01/31/2021
25	AM0	RJ0	Capital ID Houston Elementary School ext	13,952.26		07/15/2020 - 01/31/2021
26	AM0	RJ0	Capital ID Franklin Park Café Pavillion	3,381.14		08/15/2020 - 07/15/2021
27	AM0	RJ0	Capital ID St. Elizabeth's Garage		30,826.05	11/01/2020 - 02/08/2022
28	AM0	RJ0	Capital ID Goding Swing Site		10,396.00	10/01/2020 - 09/30/2021
29	AM0	RJ0	Capital ID Goding Elementary School		60,176.48	10/01/2020 - 09/30/2021
30	AM0	RJ0	Capital ID Eliot Hine MS Extension		23,333.00	06/08/2020 - 09/30/2021
31	AM0	RJ0	Capital ID Hearst Pool & Park Extension		6,073.62	05/30/2020 - 03/01/2021
32	AM0	RJ0	Capital ID 801 East Men's Shelter		336,507.00	12/11/2020 - 02/26/2022
33	AM0	RJ0	Capital ID Smothers Elementary School		44,951.75	01/26/2021 - 07/15/2022
34	AM0	RJ0	ID Goding Swing Site extension		5,231.00	12/31/2020- 03/01/2021
35	AM0	RJ0	Capital ID Bard High School Early College		100,508.92	01/25/2021 - 08/23/2023
36	AM0	RJ0	Capital ID Arboretum Community Center		6,390.79	02/01/2021 - 01/30/2022
37	EOM	RK0	OLCRB ERisk		12,800.00	10/01/2020 - 09/30/2021
38	BG0	CB0	OAG Litigation Costs OAG		50,000.00	10/01/2020 - 09/30/2021
			Total ID	516,536.37	687,194.61	

01. EOM Support Services EOM

INTRA-DISTRICT STANDARD REQUEST FORM

Government of the District of Columbia

PART I

GENERAL

MOU NUMBER: _____

DATE OF MOU: _____

SELLER INFORMATION

AGENCY: EOM Support Services

AGENCY CODE: AA0

NAME OF CONTACT: James Hurley/ AFO

ADDRESS : '441 4th St. NW #890N

Washington DC 20001

TELEPHONE # : 202-727-3605

FAX # :

AUTHORIZING OFFICER

James M. Hurley

DATE: 12/19/19

BUYER INFORMATION

AGENCY: Office of Risk Management

AGENCY CODE: RK0

NAME OF CONTACT: Alemayehu Awas/AFO

ADDRESS : 441 4th Street. NW #890N

Washington, DC 20019

TELEPHONE # : 202-727-6535

FAX # :

AUTHORIZING OFFICER

[Signature]

DATE: 11/04/19

PLEASE SEE NEXT PAGE FOR GOODS/ SERVICES DESCRIPTION AND FUNDING INFORMATION

PART II

MOU NUMBER: _____ OF _____

MOU NUMBER: _____ OF _____

SERVICE INFORMATION AND FUNDING CODES									
1	2	3	4	5	6	7	8	9	10
11	12	13	14	15	16	17	18	19	20
21	22	23	24	25	26	27	28	29	30
31	32	33	34	35	36	37	38	39	40
41	42	43	44	45	46	47	48	49	50
51	52	53	54	55	56	57	58	59	60
61	62	63	64	65	66	67	68	69	70
71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90
91	92	93	94	95	96	97	98	99	00

	AGY	YR	ORIG CODE	FUND	INDEX	PCA	OBJ	AOBJ	GRANT/PH	PROJ/PH	AG1	AG2	AG3
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	AGY	YR			INDEX	PCA	OBJ	AOBJ	GRANT/PH	PROJ/PH	AG1	AG2	AG3
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DATE: ___/___/___ TOTAL: _____

[illegible][illegible]

**FISCAL YEAR 2020 MEMORANDUM OF UNDERSTANDING
BETWEEN
THE OFFICE OF RISK MANAGEMENT
AND
THE EXECUTIVE OFFICE OF THE MAYOR'S SUPPORT SERVICES**

I. INTRODUCTION

This Memorandum of Understanding ("MOU") is entered into between the District of Columbia, the buyer agency, The Office of Risk Management (ORM) and the seller agency, The Office of Support Services (EOM), individually referred to as the "Party" or collectively referred to herein as the "Parties."

The Office of Risk Management has requested the services of The Executive Office of the Mayor's Support Services to provide telecommunications, transportation, courier, and associated, general administrative services under the agreed upon terms and conditions outlined within the following Statement of work.

II. PROGRAM GOALS AND OBJECTIVES

The primary purpose for this interagency collaboration is for Support Services to facilitate the efforts of The Office of Risk Management's overall agency goals and objectives by providing telecom, transportation, courier, and associated administrative services that shall benefit the District in various facets of operation.

The Office of Support Services' specific performance obligations in providing services to The Office of Risk Management shall be governed by the Statement of work which may be revised by the parties by mutual agreement from time to time without otherwise changing the terms of this MOU.

III. SCOPE OF SERVICES

Pursuant to the applicable authorities and in the furtherance of the shared goals of the Parties to carry out the purposes of this MOU expeditiously and economically, the Parties do hereby agree:

A. RESPONSIBILITIES OF EOM SUPPORT SERVICES

"Support Services" entails services deemed appropriate and necessary in order to maintain and support viable aspects of transportation, courier, and telecom services.

- A. Provide transportation to ORM staff to and from desired destination upon request pursuant to driver availability.

- B. Provide interagency courier services upon request and pursuant to driver availability.
- C. Maintain the fixed cost management system to ensure that staff information pertinent to agency communication through such channels as landline, mobile devices, fax, and air cards are accurate and current at all times.
- D. Assign, program, and troubleshoot government issued equipment while implementing standard agency policies and adhering to District wide protocols concerning their issuance and compensation for lost or stolen equipment.
- E. Create and submit requests for credentialing that will allow staff access to the appropriate buildings and work sites.
- F. Create and process "new hire" requests as well as "exiting" employees leaving or transferring within district agencies.
- G. Provide general administrative services pertinent to the execution of the functions outlined within the Scope of Services.
- H. Access to VIA On-Demand Vehicle Share Program for business related travel for approved staff as a supplement to the existing EOM Support Services' transportation service.

IV. DURATION OF MOU

- A. The period of this MOU shall be from October 1, 2019 through September 30, 2020 unless terminated in writing by the Parties prior to the expiration.

V. AUTHORITY FOR MOU

D.C. Official Code § 1-301.01[(j)] or [(k)] [and any other authority under the Parties' programs.

VI. FUNDING PROVISIONS

A. COST OF SERVICES

- 1. Total cost for goods and services under this MOU shall not exceed \$8,000.00 for Fiscal Year 2020. Funding for the goods and services shall not exceed the actual cost of the goods and services,
- 2. In the event of termination of the MOU, payment to Seller shall be held in abeyance until all required fiscal reconciliation, but not longer than September 30 of the current fiscal year.

B. PAYMENT

1. Payment for the goods and services shall be made through an Intra-District advance by the Buyer (ORM) to the Seller (Support Services) based on the total amount of this MOU.
2. Advances to Seller for the services to be performed/goods to be provided shall not exceed the amount of this MOU.
3. Seller will relieve the advance and bill Buyer through the MOU process only for those goods or services actually provided pursuant to the terms of this MOU. Seller will notify Buyer within forty-five (45) days of the current fiscal year if it has reason to believe that all of the advance will not be billed during the current fiscal year. Seller shall return any excess advance to Buyer by September 30 of the current fiscal year.
4. The Parties' Directors or their designees shall resolve all adjustments and disputes arising from services performed under this MOU. [The Parties may insert a third-party District employee to resolve program issues in the event that the Directors cannot resolve a program issue] In the event that the Parties are unable to resolve a financial issue, the matter shall be referred to the D.C. Office of Financial Operations and Systems.

C. ANTI-DEFICIENCY CONSIDERATIONS

The Parties acknowledge and agree that their respective obligations to fulfill financial obligations of any kind pursuant to any and all provisions of this MOU, or any subsequent agreement entered into by the Parties pursuant to this MOU, are and shall remain subject to the provisions of (i) the federal Anti-Deficiency Act, 31 U.S.C. §§1341, 1342, 1349, 1351, (ii) the District of Columbia Anti-Deficiency Act, D.C. Official Code §§ 47-355.01-355.08, (iii) D.C. Official Code § 47-105, and (iv) D.C. Official Code § 1-204.46, as the foregoing statutes may be amended from time to time, regardless of whether a particular obligation has been expressly so conditioned.

VII. COMPLIANCE AND MONITORING

As this MOU is funded by District of Columbia funds, Seller will be subject to scheduled and unscheduled monitoring reviews to ensure compliance with all applicable requirements.

VIII. RECORDS AND REPORTS

The Seller shall maintain records and receipts for the expenditure of all funds provided for a period of no less than three years from the date of expiration or termination of the MOU and, upon the District of Columbia's request, make these documents available for inspection by duly authorized representatives of the buyer agency and other officials as may be specified by the District of Columbia at its sole discretion.

IX. CONFIDENTIAL INFORMATION

The Parties to this MOU will use, restrict, safeguard and dispose of all information related to services provided by this MOU, in accordance with all relevant federal and local statutes, regulations, policies. Information received by either Party in the performance of responsibilities associated with the performance of this MOU shall remain the property of Buyer.

X. TERMINATION

Either Party may terminate this MOU in whole or in part by giving 30 calendar days advance written notice to the other Party.

XI. NOTICE

The following individuals are the contact points for each Party under this MOU:

Buyer Agency:
Jed Ross, Chief Risk Officer/Director
The Office of Risk Management
441 4th Street, NW Suite 800S
Washington, DC 20001
Phone 202.727.8600 Fax 202.727.8319

Seller Agency:
Booker Roary Jr., Special Assistant
Director of Operations
Mayor's Office of Talent and Appointments (MOTA)
1350 Pennsylvania Avenue, NW – 6th Floor
Washington, DC 20004
(202) 727-9811 (o) 202 795-0574 (c

XII. MODIFICATIONS

The terms and conditions of this MOU may be modified only upon prior written agreement by the Parties.

XIII. PROCUREMENT PRACTICES ACT


If a District of Columbia agency or instrumentality plans to utilize the goods or services of an agent or third party (e.g., contractor, consultant) to provide any of the goods or services specified under this MOU, then the agency or instrumentality shall abide by the provisions of the District of Columbia Procurement Practices Act of 1985 (D.C. Official Code § 2-301.01 *et seq.*) to procure the goods or services of the agent or third party.

XIV. MISCELLANEOUS

The Parties shall comply with all applicable laws, rules and regulations whether now in force or hereafter enacted or promulgated.

IN WITNESS WHEREOF, the Parties hereto have executed this MOU as follows:


The Office of Risk Management



Jed Ross
Chief Risk Officer/Director, ORM

10/25/19
Date

The Executive Office of the Mayor



John J. Falcicchio
Chief of Staff, EOM

11/11/19
Date

02. FY20 Fixed Costs MOU (Fleet Charges)

GOVERNMENT OF THE DISTRICT OF COLUMBIA
Office of the City Administrator



MEMORANDUM

TO: Rashad M. Young
City Administrator

FROM: Barry Kreiswirth ^{BK}
General Counsel and Senior Policy Advisor

DATE: November 13, 2019

SUBJECT: Signature Request: Annual Districtwide Fixed Costs MOU

Attached for your review, approval, and signature is the annual Districtwide “fixed costs” memorandum of understanding (“MOU”).

Under the MOU, over 50 agencies transfer funds to the following agencies, to pay certain centralized costs:

- To the Department of General Services (“DGS”), to pay for certain real-estate-related goods and services, including electricity, natural gas, water/sewer, rent, security services, and automotive fuel.
- To the Department of Public Works (“DPW”), to pay for fleet services, including vehicles, parts, and labor.
- To the Office of Finance and Resources Management (“OFRM”), to pay for telecommunications-related costs.
- To the Office of the Chief Technology Officer (“OCTO”), to pay for information-technology-related goods and services, including mainframe services, network operations, web maintenance, and applications.

The amounts that each agency will pay are included in the Fiscal Year 2020 budget, and the Office of Budget and Performance Management has reviewed and approved the MOU.

As City Administrator, you would be signing the MOU on behalf of both the payor agencies and on behalf of DGS, DPW, OFRM, and OCTO.

You signed a similar MOU for fiscal years 2016, 2017, 2018, and 2019.



**MEMORANDUM OF UNDERSTANDING
BETWEEN
THE OFFICE OF THE CITY ADMINISTRATOR,
ON BEHALF OF CERTAIN EXECUTIVE BRANCH AGENCIES,
AND
THE OFFICE OF THE CITY ADMINISTRATOR,
ON BEHALF OF CERTAIN CENTRAL SERVICE AGENCIES
FOR FISCAL YEAR 2020 DISTRICTWIDE SERVICES**

I. INTRODUCTION

This Memorandum of Understanding (“MOU”) is entered into between the Office of the City Administrator (“OCA”), on behalf of all District of Columbia executive branch agencies listed in Appendixes A, B, C, and D of this MOU (“Executive Agencies”), and OCA, on behalf of the Department of General Services, Department of Public Works, Office of Finance and Resource Management, and Office of the Chief Technology Officer (“Central Services Agencies”). OCA, on behalf of the Executive Agencies, and OCA, on behalf of the Central Service Agencies, are referred to collectively in this MOU as the “Parties” and each individually is referred to in this MOU as a “Party”.

II. LEGAL AUTHORITY FOR MOU

D.C. Official Code § 1-301.01(k).

III. BACKGROUND; OVERVIEW OF PROGRAM GOALS AND OBJECTIVES

- A. Certain goods, services, and space provided to District agencies, and certain operational costs of District agencies, are administered centrally, through one or more central service agencies.
- B. Each central service agency is responsible for managing, providing, and/or procuring the goods, services, and/or space (and/or for paying associated costs) for other District agencies, and the other District agencies are responsible for paying the respective central service agency for the costs of managing, providing, and/or procuring the goods, services, and/or space (and/or paying associated costs).
- C. More specifically, the following agencies (the “Central Service Agencies”) manage, provide, procure, and/or pay for the following goods, services, and/or space for other agencies:
 - 1. The Department of General Services (“DGS”): real-estate-related goods, services, and space, including electricity, natural gas, water/sewer, space, rent, and security services, and automotive fuel.

2. The Department of Public Works (“DPW”): fleet maintenance goods and services, including vehicles, parts, and labor.
 3. The Office of Finance and Resources Management (“OFRM”): telecommunications-related costs.
 4. The Office of the Chief Technology Officer (“OCTO”): information-technology-related goods and services, including mainframe services, network operations, web maintenance, and applications.
- D. The purpose of this MOU is to transfer funds from the Executive Agencies to the Central Service Agencies to compensate the Central Service Agencies for the costs the Central Service Agencies incur on behalf of the Executive Agencies.

IV. SCOPE OF SERVICES

Pursuant to the applicable authorities and in furtherance of the shared goals of the Parties to carry out the purposes of this MOU expeditiously and economically, the Parties agree as follows:

A. RESPONSIBILITIES OF THE EXECUTIVE AGENCIES

Each Executive Agency listed in Appendix A, B, C, or D shall transfer, through the Intra-District process, to DGS, DPW, OFRM, and OCTO, the amount or amounts set forth in Appendixes A, B, C, or D for the Executive Agency. More specifically:

1. Each Executive Agency shall transfer to DGS, within ten (10) days after the effective date of this MOU, through an Intra-District transfer, the full amount of funds due to DGS for the fixed costs of the Executive Agency, including rent or lease payments, utilities (gas, electric, auto-fuel, water), sustainability, occupancy, and security; provided, that DGS and any Executive Agency may agree that the Intra-District transfer shall take place later than ten (10) days after the effective date of this MOU and/or that the amount of funds due to DGS by the Executive Agency may be made through multiple, partial intra-District transfers that together equal the full amount of funds due to DGS for the fixed costs for the Executive Agency. The amount of funds to be transferred to DGS by each Executive Agency is shown on Exhibit A of this MOU.
2. Each Executive Agency shall transfer to DPW within ten (10) days after the effective date of this MOU, through an Intra-District transfer, the full amount of funds budgeted for each Executive Agency’s DPW-provided fleet maintenance services in Fiscal Year 2020. The amount of funds to be transferred by each Executive Agency to DPW is shown on Exhibit B of this MOU.

3. Each Executive Agency shall transfer to OFRM within ten (10) days after the effective date of this MOU, through an Intra-District transfer, the full amount of funds in OFRM's Fiscal Year 2020 Intra-District budget for telecommunications for the Executive Agency. The amount of funds to be transferred to OFRM is shown on Exhibit C of this MOU.
4. Each Executive Agency shall transfer to OCTO within ten (10) days after the effective date of this MOU, through an Intra-District transfer, the full amount of funds in the Executive Agency's information technology assessment budget in Fiscal Year 2020. The amount of funds to be transferred by each Executive Agency to OCTO is shown on Exhibit D of this MOU.

B. RESPONSIBILITIES OF THE CENTRAL SERVICE AGENCIES

DGS, DPW, OFRM, and OCTO shall:

1. Provide the goods, services, and/or space listed in Exhibits A, B, C, and D of this MOU to the Executive Agencies.
2. Carry out the responsibilities described in Section VI.B of this MOU.

V. DURATION OF THIS MOU; EFFECTIVE DATE

- A. The period of this MOU shall be from October 1, 2019, through September 30, 2020, unless terminated in writing by the Parties pursuant to Section XI of this MOU.
- B. This MOU shall be effective upon the date it has been signed by both Parties.

VI. FUNDING PROVISIONS

A. COST OF SERVICES

The total cost of services under this MOU is one hundred and ninety-two million, two hundred forty-six thousand, fifty-nine dollars and twenty-eight cents (\$192,246,059.28), based on the amounts set forth in Exhibits A, B, C, and D of this MOU. If the cost for a good, service, or space provided by a Central Service Agency exceeds the amount budgeted for an Executive Agency, the applicable Executive Agency shall be notified by the Central Service Agency and this MOU shall be modified (or another memorandum of understanding entered into) to reflect the cost that exceeds the amount budgeted. Funding for goods, services, and/or space provided under this MOU shall not exceed the actual cost of the goods, services, and/or space provided, including labor, materials, and overhead.

B. PAYMENT

1. Payment for the goods, services, and/or space shall be made through Intra-District advances as described in Section III of this MOU based on the amounts set forth in Exhibits A, B, C, and D of this MOU.
2. Each Central Service Agency shall provide monthly invoices of the charges billed to the Executive Agencies.
3. Each Central Service Agency shall notify an Executive Agency if it has reason to believe that all of the advance to the Central Service Agency by the Executive Agency will not be billed during the current fiscal year. DGS, OFRM, OCTO, and DPW shall return any excess advance to an Executive Agency, as applicable, no later than thirty (30) days after the end of the current fiscal year.

C. ANTI-DEFICIENCY CONSIDERATIONS

The Parties acknowledge and agree that nothing in this MOU creates a financial obligation in anticipation of an appropriation and that all provisions of this MOU, or any subsequent agreement entered into by the parties pursuant to this MOU, are and shall remain subject to the provisions of (i) the federal Anti-Deficiency Act, 31 U.S.C. §§ 1341, 1342, 1349, 1351, (ii) the District of Columbia Anti-Deficiency Act, D.C. Official Code §§ 47-355.01-355.08, (iii) D.C. Official Code § 47-105, and (iv) D.C. Official Code § 1-204.46, as the foregoing statutes may be amended from time to time, regardless of whether a particular obligation has been expressly so conditioned.

VII. AMENDMENTS AND MODIFICATIONS

This MOU may be amended or modified only upon prior written agreement of the Parties. Amendments or modifications shall be dated and signed by the authorized representatives of the Parties.

VIII. CONSISTENCY WITH LAW

The Parties shall comply with all applicable laws, rules, and regulations whether now in effect or hereafter enacted or promulgated.

IX. COMPLIANCE AND MONITORING

DGS, DPW, OFRM, and OCTO will be subject to scheduled and unscheduled monitoring reviews by OCA, or a designee of the OCA, to ensure compliance with all applicable requirements of this MOU.

X. RECORDS AND REPORTS

DGS, DPW, OFRM, and OCTO shall maintain records and receipts for the expenditure of all funds provided pursuant to this MOU for a period of no less than three (3) years after the date of expiration or termination of this MOU.

XI. TERMINATION

Either Party may terminate this MOU in whole or in part by giving thirty (30) calendar days advance written notice to the other Party. In the event of termination of this MOU, payment to the Central Service Agencies shall be held in abeyance until all required fiscal reconciliation, but not later than September 30, 2020.

XII. NOTICES

The following individuals are the contact points for each Party:

For the OCA, on behalf of the Executive Agencies:

Jennifer Reed
Director, Office of Budget and Performance Management
Office of the City Administrator
1350 Pennsylvania Avenue, NW, Suite 513
Washington, DC 20004
Phone: 202-478-9206
Email: jennifer.reed@dc.gov

For the OCA, on behalf of the Central Service Agencies:

Barry Kreiswirth
General Counsel and Senior Policy Advisor
Office of the City Administrator
1350 Pennsylvania Avenue, NW, Suite 513
Washington, DC 20004
Phone: 202-724-3692
Email: barry.kreiswirth@dc.gov

XIII. PROCUREMENT PRACTICES REFORM ACT

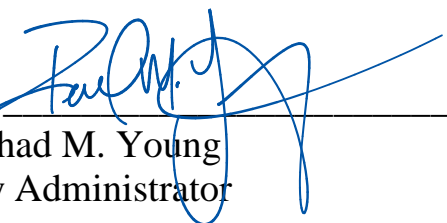
If a District of Columbia agency or instrumentality plans to utilize the goods and/or services of an agent, contractor, consultant, or other third party to provide any of the goods and/or services under this MOU, then the agency or instrumentality shall abide by the provisions of the District of Columbia Procurement Practices Reform Act of 2010 (D.C. Official Code § 2-351.01, *et seq.*) to procure the goods or services, to the extent such act applies to the agency or instrumentality.

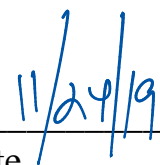
XIV. CONFIDENTIAL INFORMATION

The Parties to this MOU will use, restrict, safeguard, and dispose of all information related to services provided under this MOU in accordance with all relevant federal and District statutes, regulations, and policies.

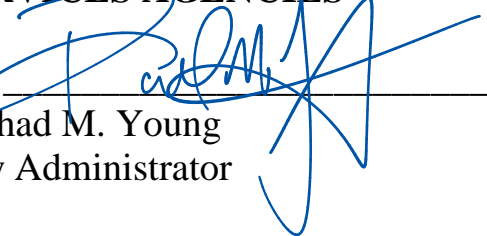
IN WITNESS WHEREOF, the Parties hereto have executed this MOU as follows:

OFFICE OF THE CITY ADMINISTRATOR, ON BEHALF OF THE EXECUTIVE AGENCIES

By: 
Rashad M. Young
City Administrator


Date

OFFICE OF THE CITY ADMINISTRATOR, ON BEHALF OF THE CENTRAL SERVICES AGENCIES

By: 
Rashad M. Young
City Administrator

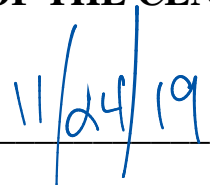

Date

EXHIBIT A: FY2020 DGS INTRA-DISTRICT ENERGY, RENT, OCCUPANCY, AND SECURITY ASSESSMENTS

AGENCY CODE	AGENCY NAME	FUEL	GAS	ELECTRICITY	WATER	RENT	OCCUPANCY FIXED COSTS	SUSTAINABLE ENERGY	SECURITY SERVICES	TOTAL
AC0	Office of the D.C. Auditor	1,048.00	-	-	-	609,453.00	3,150.00	14.00	335.00	614,000.00
AD0	Office of the Inspector General	-	-	-	-	189,769.00	-	-	-	189,769.00
CB0	Office of the Attorney General	16,584.00	71.00	704,500.32	105,654.00	-	1,010,833.00	62,530.00	241,655.00	2,141,827.32
CF0	Department of Employment Services	13,716.00	-	639,870.16	141,389.46	231,291.00	1,588,369.00	49,850.99	972,939.00	3,637,425.61
CI0	Office of Cable Television, Film, Music, and Entertainment	6,303.00	50,071.00	261,768.00	23,703.49	93,655.00	573,725.00	17,092.27	196,869.00	1,223,186.76
DB0	Department of Housing and Community Development	5,046.00	8.00	19,760.14	6,559.00	2,939,640.45	102,314.00	1,569.00	179,807.00	3,254,703.59
DC0	Office of Lottery and Charitable Games	15,925.00	-	-	-	2,567,698.00	62,031.00	796.27	712,684.55	3,359,134.82
DH0	Public Service Commission	2,354.00	-	-	-	1,662,590.00	41,445.00	118.00	959.00	1,707,466.00
DJ0	Office of the People's Counsel	388.08	-	-	-	986,740.00	25,768.00	19.00	330.00	1,013,245.08
DR0	Rental Housing Commission	-	-	-	-	51,000.00	3,218.00	-	5,052.00	59,270.00
FK0	D.C. National Guard	-	-	-	-	-	-	28,032.00	-	28,032.00
GA0	D.C. Public Schools	-	1,567,627.00	14,503,523.15	6,919,785.38	7,128,636.00	-	756,467.30	183,193.00	31,059,231.83
GD0	Office of the State Superintendent of Education	7,300.00	4,554.00	62,035.00	54,453.00	6,300,798.00	225,251.00	7,187.00	-	6,661,578.00
GO0	Special Education Transportation	1,632,595.45	-	135,752.08	108,605.82	2,034,878.00	107,833.00	100,919.45	1,229,864.00	5,350,447.80
HC0	Department of Health	34,111.16	28,831.00	184,388.00	163,737.81	13,195,734.06	378,884.00	46,060.21	397,480.00	14,429,226.24
HT0	Department of Health Care Finance	1,396.22	29.68	294,984.00	44,238.71	1,362,802.00	462,270.00	17,032.43	113,024.00	2,295,777.04
JA0	Department of Human Services	21,464.00	141,252.00	1,383,659.00	989,229.00	23,647,469.00	2,374,261.00	435,601.00	3,266,455.00	32,259,390.00
JM0	Department on Disability Services	4,422.00	-	1,562.00	234.29	5,344,873.00	139,152.00	311.00	233,843.30	5,724,397.59
KV0	Department of Motor Vehicles	4,130.00	44,688.00	169,224.00	35,924.00	-	845,148.00	3,681.47	1,608,353.45	2,711,148.92
RL0	Child and Family Services Agency	77,588.00	3,246.56	433,800.10	61,321.97	5,812,691.41	1,180,680.37	17,712.22	2,459,864.28	10,046,904.91
RM0	Department of Behavioral Health	7,794.00	238,640.15	1,021,674.00	218,773.82	6,628,949.66	697,246.00	74,344.11	2,880,580.00	11,768,001.74
SR0	Department of Insurance, Securities, and Banking	-	-	-	-	2,146,788.00	53,779.00	-	2,317.30	2,202,884.30
ZZ0	Wilson Building	-	-	773,459.09	194,668.65	-	1,225,603.00	48,406.39	1,564,641.00	3,806,778.13
	Total	1,852,164.91	2,079,018.39	20,589,959.04	9,068,278.40	82,935,455.58	11,100,960.37	1,667,744.11	16,250,245.88	145,543,826.68

EXHIBIT B: FY 2019 DPW FLEET MANAGEMENT ASSESSMENTS

AGENCY CODE		AGENCY NAME	MOTOR POOL	FIXED/USAGE	PARTS	LABOR	SUBLET	MISC.	INSPECTION FEE	FUEL OVERHEAD	TOTAL ESTIMATE (EXCLUDING FUEL)
AA	AA1000	Office of the Mayor	-	25,762.00	8,485.00	11,466.00	1,714.00	1,501.81	805.00	2,266.23	52,000.03
AB	AB1000	Council of the District of Columbia	-	270.68	1,264.00	3,621.00	17,199.88	342.03	140.00	162.78	23,000.37
AD	AD1000	Office of the Inspector General	-	745.00	4,015.00	5,099.00	-	590.77	280.00	270.64	11,000.41
AE	AE1000	Office of the City Administrator	-	388.00	-	-	-	-	70.00	41.69	499.69
AF	AF1000	Contract Appeals Board	-	465.00	-	-	-	-	35.00	-	500.00
AG	AG0100	Board of Ethics and Government Accountability	-	2,930.00	-	-	-	-	70.00	-	3,000.00
AM	AM1000-9062	Department of General Services	-	433,897.76	267,469.00	219,526.00	185,604.17	38,046.93	10,185.00	45,271.32	1,200,000.18
AP	AP01000	Office of Asian and Pacific Islander Affairs	-	2,303.03	646.00	799.00	-	173.84	70.00	8.48	4,000.34
AS	AS1000	Office of Finance and Resource Management	-	921.00	712.00	699.00	-	394.00	105.00	69.49	2,900.49
AT	AT2000	Office of Finance and Treasury	-	790.34	1,786.05	3,165.00	-	621.87	315.00	821.84	7,500.09
BA	BA1100	Office of the Secretary-Archival and Records Management	-	263.64	2,134.00	3,356.00	1,110.04	404.21	140.00	92.04	7,499.94
BD	BD01000	Office of Planning	-	3,398.00	872.00	2,740.00	-	248.75	140.00	101.52	7,500.26
BE	BE1000	Department of Human Resources	91.87	3,234.00	100.60	749.07	500.00	93.28	140.00	91.49	5,000.30
BN	BN0100	Homeland Security and Emergency Management Agency	-	1,000.00	10,100.00	11,514.00	9,275.11	565.33	350.00	2,195.75	35,000.20
BX	BX1001	Commission on the Arts and Humanities	-	302.00	131.00	374.53	-	93.28	35.00	64.43	1,000.25
BY	BY0100	Department of Aging and Community Living	-	15,722.00	1,648.92	3,576.90	4,017.30	519.54	2,520.00	32,995.74	61,000.40
BZ	BZ0100	Office of Latino Affairs	-	9,465.00	-	-	-	-	35.00	-	9,500.00
AT	AT0100	Office of Tax and Revenue	-	11,679.00	10,664.37	21,246.00	-	596.43	490.00	323.90	44,999.70
CB	CB0100-0101	Office of the Attorney General	91.87	18,247.00	15,133.00	31,311.00	7,143.77	4,146.72	-	1,926.63	77,999.99
CE	CE1000	DC Public Library	-	53,689.00	13,064.30	28,748.63	12,606.98	3,978.53	1,225.00	6,687.88	120,000.33
CF	CF1000	Department of Employment Services	-	132,812.00	8,018.00	15,564.00	8,755.55	2,057.81	1,120.00	1,672.68	170,000.04
CI	CI0100	Office of Cable Television, Film, Music and Entertainment	926.97	16,518.00	4,456.00	6,102.00	-	693.38	490.00	813.99	30,000.34
CJ	CJ1000	Office of Campaign Finance	-	3,326.00	1,230.01	1,237.24	-	155.47	140.00	10.98	6,099.70
CR	CR0100	Department of Consumer and Regulatory Affairs	-	18,002.00	67,121.00	81,485.00	10,463.91	10,154.80	3,640.00	9,133.27	199,999.98
DB	DB1000	Department of Housing and Community Development	-	1,798.74	2,220.92	7,528.00	-	1,430.29	420.00	602.06	14,000.01
DC	DC0100	Office of Lottery and Charitable Games	-	2,542.43	3,677.41	8,604.00	2,014.76	1,803.41	560.00	2,298.30	21,500.30
DFH	DFH100	Department of For Hire Vehicles	-	5,361.66	8,972.49	17,205.00	1,528.22	3,963.83	595.00	4,373.35	41,999.56
DH	DH0100	District of Columbia Public Service Commission	-	216.00	400.00	815.00	-	-	280.00	289.47	2,000.47
DJ	DJ1000	Office of the People's Counsel	-	117.21	-	128.00	-	73.00	105.00	76.82	500.03
DL	DL0100	Board of Elections	1,500.00	18,000.00	1,000.00	3,400.00	1,400.00	466.40	245.00	989.00	27,000.40
ED	ED01000	Deputy Mayor for Planning and Economic Development	-	6,897.91	2,177.00	1,621.00	-	226.00	175.00	103.55	11,200.46
EN	EN01001	Department of Small and Local Business Development	-	2,019.34	358.00	1,546.00	-	293.00	140.00	143.80	4,500.14
FA	FA1000-R03	Metropolitan Police Department	-	45,500.00	31,700.00	5,500.00	7,200.00	400.00	100,000.00	550,000.00	740,300.00
FB	FB0100-R02	Fire and Emergency Medical Services Department	-	17,000.00	27,000.00	6,000.00	6,000.00	13,000.00	15,500.00	290,500.00	375,000.00
FH	FH0100	Office of Police Complaints	-	91.56	637.00	914.00	-	224.00	105.00	28.05	1,999.61
FK	FK0100	DC National Guard	-	-	-	570.00	-	363.00	35.00	32.18	1,000.18
FL	FL0100	Department of Corrections	-	1,494.00	-	-	-	-	70.00	436.27	2,000.27
FQ	FQ0100	Deputy Mayor for Public Safety and Justice	-	-	838.00	963.00	-	155.47	35.00	8.33	1,999.80
FR	FR0100	Department of Forensic Sciences	-	3,429.33	3,569.00	11,313.00	-	1,324.29	840.00	2,524.22	22,999.84
FS	FS0100	Office of Administrative Hearings	-	465.00	-	-	-	-	35.00	-	500.00
FX	FX1000	Office of the Chief Medical Examiner	1,374.73	4,774.00	10,382.00	29,008.00	19,329.00	7,577.00	910.00	1,645.14	74,999.88
GA	GA9DB0-GA9DB8	DC Public Schools	-	387,000.00	36,000.00	59,316.00	20,000.00	7,663.00	2,835.00	7,292.55	520,106.55
GD	GD0100	Office of the State Superintendent of Education	251.57	49,630.00	7,976.00	11,402.00	-	730.00	700.00	1,310.77	72,000.34
GF	GF0100	University of the District of Columbia	275.60	10,000.00	9,671.00	19,179.00	9,602.00	327.00	945.00	2,000.60	52,000.20
GO	GO1000	OSSE, Department of Transportation	-	22,425.00	9,000.00	12,487.00	5,000.00	155.47	490.00	350,442.98	400,000.45
GW	GW01000	Office of the Deputy Mayor for Education	-	965.00	-	-	-	-	35.00	-	1,000.00
HA	HA1000-1003	Department of Parks and Recreation	-	125,816.00	50,000.00	80,000.00	111,911.00	10,000.00	3,290.00	14,818.31	395,835.31
HC	HC0001-1020	Department of Health	-	77,908.00	37,687.00	67,985.00	20,909.00	3,362.00	2,275.00	4,874.45	215,000.45
HM	HMO100	Office of Human Rights	-	1,965.00	-	-	-	-	35.00	-	2,000.00
HT	HT01000	Department of Health Care Finance	890.40	15,258.00	3,616.00	5,003.00	-	737.19	280.00	215.60	26,000.20
HW	HWO1001	Not-for-Profit Hospital Corporation (United Medical Center)	-	256.79	47.00	199.85	-	31.09	245.00	220.00	999.73
JA	JA1000-JA1004	Department of Human Services	890.40	237,154.00	22,630.00	26,533.00	4,222.00	4,166.79	1,540.00	2,863.67	299,999.86
JM	JM01000	Department on Disability Services	-	26,301.00	587.00	2,580.00	1,086.00	680.00	455.00	311.04	32,000.04
JR	JRO1000	Office of Disability Rights	-	4,965.00	-	-	-	-	35.00	-	5,000.00

JZ	JZ0000	Department of Youth Rehabilitation Services	9,557.14	73,719.00	18,700.73	37,820.66	20,407.00	7,755.07	1,890.00	5,150.27	174,999.86
KA	KA1200-6670	Department of Transportation	507.39	867,396.00	680,419.00	912,881.00	646,672.00	100,000.00	19,145.00	72,980.00	3,300,000.39
KA	KA6080	DDOT Snow Removal	-	-	275,000.00	175,000.00	50,000.00	-	-	-	500,000.00
KG	KG1000-1017	Department of Energy and Environment	-	60,260.52	24,481.00	38,424.24	1,308.13	5,353.71	1,960.00	3,212.11	134,999.71
KT	KT01010	DPW, Office of the Director			1,864.22	3,436.81	2,325.82	1,555.73	30,240.00	577.59	40,000.17
KT	KT01015	DPW, Human Capital Administration		100.00							100.00
KT	KT01030	DPW, Risk Management			1,280.00	31,875.65		2,557.40	287.27		36,000.32
KT	KT01040	DPW, Public Information			125.96	150.00		23.76			299.72
KT	KT01070	DPW, Office of Administration Services		100.00							100.00
KT	KT01080	DPW, Office of Information Services			759.00	3,887.52		300.24		53.06	4,999.82
KT	KT03010	DPW, Office of the Chief Financial Officer			1,800.72	2,776.61		271.49		151.26	5,000.08
KT	KT05010-05020	DPW, Parking Service Administration			290,013.64	538,745.61	308,032.09	77,656.27		49,963.87	1,264,411.48
KT	KT06010-KT6040G	DPW, Solid Waste Management Administration			3,510,487.41	4,742,576.76	2,033,554.78	325,780.21		267,170.25	10,879,569.41
KT	KT06080	DPW, Snow Removal	-	-	275,000.00	175,000.00	50,000.00	-	-	-	500,000.00
KV	KV05010-05040	Department of Motor Vehicles	-	6,313.00	5,965.00	10,725.00	6,124.00	518.00	455.00	900.10	31,000.10
LA	LA4420	WASA, Distribution, Quality and Water Purchases	-	-	-	-	-	-	35.00	130,000.00	130,035.00
LQ	LQ0100	Alcoholic Beverage Regulation Administration	-	950.00	7,000.00	13,807.00	5,852.00	794.00	350.00	1,246.92	29,999.92
NS	NS01000	Office of Neighborhood Safety and Engagement	-	5,481.00	104.87	42.82	-	31.09	175.00	165.69	6,000.48
PH	PH1000-7000	DC Housing Authority	-	2,621.73	11,228.00	13,240.46	-	2,705.12	2,205.00	-	32,000.31
PO	PO0100	Office of Contracting and Procurement	2,005.34	9,722.00	4,164.00	4,923.84	639.68	870.61	560.00	1,114.78	24,000.26
RK	RK1000	Office of Risk Management	-	178.08	861.00	1,301.42	-	155.47	105.00	99.01	2,699.98
RL	RL1006	Child and Family Services Agency	-	353,000.00	15,000.00	40,000.00	35,000.00	10,000.00	2,975.00	13,678.64	469,653.64
RM	RM1000-1007	Department of Behavioral Health	-	57,796.00	3,270.00	6,848.00	2,085.00	901.71	490.00	608.92	71,999.63
RS	SR01000	Department of Insurance, Securities, and Banking	-	3,165.00	-	-	-	-	35.00	-	3,200.00
TO	TO1000-1001	Office of the Chief Technology Officer	459.33	141,157.00	22,914.00	23,624.00	24,967.00	5,692.00	1,855.00	4,331.71	225,000.05
UC	UC1000	Office of Unified Communications	-	1,504.00	5,354.00	9,742.00	-	1,560.32	665.00	1,174.39	19,999.71
		Total	18,822.61	3,408,944.74	5,844,988.62	7,619,008.62	3,655,560.19	669,013.23	219,177.27	1,896,001.86	23,331,517.14

EXHIBIT C: FY2019 OFRM INTRA-DISTRICT TELECOM ASSESSMENTS

AGENCY CODE	AGENCY NAME	NON-DCNET	DCNET	TELECOM TOTAL
AC	Office of the DC Auditor	727.44	22,691.04	23,418.48
CB	Office of the Attorney General	220,579.92	292,749.04	513,328.96
CF	Department of Employment Services	442,287.66	572,641.87	1,014,929.53
CG	Public Employee Relations Board	9,047.21	14,099.03	23,146.24
CI	Office of Cable Television, Film, Music, and Entertainment	67,909.73	126,756.64	194,666.37
DB	Department of Housing and Community Development	95,691.30	72,072.78	167,764.08
DC	Office of Lottery and Charitable Games	106,426.69	110,869.45	217,296.14
DH	Public Service Commission	34,082.02	51,537.12	85,619.14
DJ	Office of the People's Counsel	13,104.05	33,093.90	46,197.95
DQ	Commission on Judicial Disabilities and Tenure	904.41	5,714.90	6,619.31
DV	Judicial Nomination Commission	609.80	5,140.80	5,750.60
EN	Department of Small and Local Business Development	35,998.94	16,119.62	52,118.56
GA	DC Public Schools	2,359,872.42	2,086,670.02	4,446,542.44
GD	Office of the State Superintendent of Education	427,529.73	253,471.77	681,001.50
GO	Special Education Transportation	500,000.00	150,916.68	650,916.68
HC	Department of Health	830,615.36	708,981.91	1,539,597.27
HG	Office of the Deputy Mayor for Health and Human Services	18,532.72	6,016.90	24,549.62
HT	Department of Health Care Finance	204,712.77	111,977.88	316,690.65
JA	Department of Human Services	935,255.27	730,120.52	1,665,375.79
JM	Department on Disability Services	256,393.40	117,684.22	374,077.62
KV	Department of Motor Vehicles	127,820.88	227,135.11	354,955.99
LQ	Alcoholic Beverage Regulation Administration	25,207.24	59,643.98	84,851.22
RL	Child and Family Services Agency	814,352.72	0.00	814,352.72
RM	Department of Behavioral Health	438,232.28	266,158.87	704,391.15
SR	Department of Insurance, Securities, and Banking	37,835.06	59,919.34	97,754.40
	Total	8,003,729.02	6,102,183.41	14,105,912.43

EXHIBIT D: FY2019 OCTO INFORMATION TECHNOLOGY ASSESSMENTS

Agency Code	Agency Name	SharePoint on Premise	Rapid Application Platform (Quickbase)	Merchant Accounts (PayPal)	Correspondence Management (IQ)	Secure Cloud Storage (Seamless Doc)	Web Based Form Solution - Box	Web Maintenance	Enterprise Document Management (EDM)	DMV Destiny	Enterprise Procurement Mgt System (PASS)	Enterprise Human Resource Mgt (PeopleSoft)	Business Intelligence (BI)	Mainframe	Physical Servers	Standard VM	SQL VM	Oracle DB	Load Balancer	SFTP Cost (\$250/GB)	Heat Patching (\$50/VM)	OCTO Helps	Total FY2020 Proposed cost Allocation
FO0	Office of Victim Services and Justice Grants		4,611.00			396.00	1,300.00	10,417.35	-		12,776.81	-	-	-	-	-	-	-	-	-	-	3,041.88	32,543.04
AB0	Council of the District of Columbia		-	550.00	14,400.00	-	-	622.78	-	-	-	-	-	-	-	-	-	-	-	-	-	-	15,572.78
AG0	Board of Ethics and Government Accountability		-	550.00	-	396.00	1,300.00	6,341.00	-	-	48.64	-	-	-	-	16,172.57	19,234.75	-	1,800.00	-	-	3,802.35	49,645.31
BN0	Homeland Security and Emergency Management Agency	28,206.00	4,575.00			396.00	1,300.00	15,682.64	-		1,319.13	-	21,064.30	-	-	37,113.36	5,500.00	-	4,800.00	-	-	-	119,956.44
CB0	Office of the Attorney General		4,575.00		2,400.00	396.00	2,600.00	11,379.82	-	-	-	-	1,600.00	-	-	1,653.25	-	-	7,200.00	-	3,550.00	44,888.31	80,242.38
CE0	DC Public Libraries	5,558.00	18,372.00	1,100.00		396.00	1,300.00	622.78	-	-	24,564.38	-	13,400.36	-	-	72,062.87	17,663.80	-	600.00	-	-	-	155,640.19
CI0	Office of Cable Television, Film, Music, and Entertainment		4,575.00	550.00		396.00	1,300.00	24,797.83	-	-	2,764.39	-	2,500.00	-	-	8,657.78	5,500.00	-	-	-	-	11,660.54	62,701.53
DB0	Department of Housing and Community Development		21,570.00			396.00	1,300.00	10,813.66	-	-	50,000.00	-	12,684.06	-	-	38,394.72	17,910.00	-	-	-	-	2,934.37	156,002.82
DC0	Office of Lottery and Charitable Games		-	-	2,400.00	396.00	1,300.00	5,208.68	-	-	-	-	-	-	-	-	-	-	-	-	-	-	9,304.68
DH0	Public Service Commission		-	-	-	396.00	1,300.00	-	-	-	783.74	-	-	-	-	3,555.78	-	-	-	-	-	6,916.73	12,952.25
DJ0	Office of the People's Counsel		-	-	-	396.00	1,300.00	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1,696.00
DQ0	Commission on Judicial Disabilities and Tenure		-	-	-	396.00	1,300.00	5,208.68	-	-	-	-	-	-	-	1,861.14	-	-	-	-	-	506.98	9,272.80
DV0	Judicial Nomination Commission	5,558.00	4,575.00			396.00	1,300.00	5,208.68	-	-	-	-	-	-	-	-	-	-	-	-	-	506.98	17,544.66
DY0	DC Retirement Board		-	-	-	396.00	1,300.00	10,813.66	-	-	-	31,359.64	-	-	-	1,822.15	-	-	5,400.00	-	-	-	51,091.45
MA0	Criminal Code Reform Commission		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
FJ0	Criminal Justice Coordinating Council	5,558.00	-	-	-	396.00	1,300.00	5,208.68	-	-	-	-	-	-	-	-	-	-	-	-	-	5,830.27	18,292.95
FR0	Department of Forensic Sciences		-	-	-	396.00	1,300.00	10,813.66	-	-	4,007.21	-	4,083.06	-	-	4,126.29	-	-	1,800.00	-	-	79,595.86	106,122.08
FZ0	DC Sentencing and Criminal Code Revision Commission		-	-	-	396.00	1,300.00	6,907.16	-	-	149.79	-	2,500.00	-	-	17,682.28	28,078.00	-	600.00	-	-	506.98	58,120.21
GE0	State Board of Education		-	-	-	-	-	-	-	-	25.66	-	2,500.00	-	-	-	-	-	-	-	-	6,844.23	9,369.89
GF0	University of the District of Columbia		-	-	-	396.00	1,300.00	-	-	-	-	-	-	823,496.30	-	-	-	-	-	-	-	-	825,192.30
HCD	Department of Health	233,868.00	1,100.00			396.00	1,300.00	20,211.93	-	-	50,000.00	-	54,030.01	-	-	132,248.72	78,535.80	-	10,200.00	5,250.00	-	55,438.63	642,579.09
HGD	Deputy Mayor for Health and Human Services		-	-	-	-	-	-	-	-	97.16	-	2,000.00	-	-	-	-	-	-	-	-	7,097.72	9,194.88
HI0	DC Health Benefits Exchange Authority		4,575.00			396.00	1,300.00	11,889.37	-	-	-	-	-	-	-	26,587.92	-	-	-	-	-	25,990.13	70,738.42
HT0	Department of Health Care Finance	5,558.00	4,575.00		2,400.00	396.00	2,600.00	12,512.15	-	-	50,000.00	-	84,343.84	-	-	166,093.14	61,924.45	-	1,800.00	-	-	20,226.19	412,428.77
JA0	Department of Human Services	17,866.00	41,484.00		2,400.00	6,600.00	6,500.00	12,512.15	180,650.00	-	50,000.00	-	105,080.82	1,067,501.03	-	21,955.50	28,983.35	100,000.00	2,400.00	-	2,400.00	299,371.69	1,945,704.53
JM0	Department on Disability Services		9,294.00		28,800.00	396.00	1,300.00	9,115.18	-	-	24,321.60	-	25,928.38	-	-	32,094.84	15,778.50	-	-	-	-	97,086.67	244,115.17
KV0	Department of Motor Vehicles									2,473,609.72													2,473,609.72
LQ0	Alcoholic Beverage Regulation Administration		4,575.00	550.00	-	396.00	1,300.00	50,218.43	-	-	370.51	-	2,000.00	-	-	4,610.14	-	-	-	-	-	14,195.44	78,215.52
RJ0	Captive Insurance Agency		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
RL0	Child and Family Services Agency		9,330.00		2,400.00	396.00	1,300.00	10,813.66	-	-	21,326.64	-	39,447.82	-	11,800.00	207,174.37	39,638.95	80,000.00	8,400.00	-	-	255,517.92	687,545.37
RM0	Department of Behavioral Health	13,368.00	9,186.00		2,400.00	396.00	1,300.00	15,116.48	143,777.55	-	50,000.00	-	39,416.25	-	-	47,399.90	13,300.00	-	1,200.00	-	-	246,138.79	582,998.97
RS0	Serve DC		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	2,534.90	2,534.90
SR0	Department of Insurance, Securities, and Banking		-			6,600.00	1,300.00	24,175.05	-	-	1,043.70	-	5,366.56	-	-	40,192.36	16,048.75	-	-	-	-	-	94,726.41
UC0	Office of Unified Communications		9,150.00		2,400.00	6,600.00	1,300.00	10,813.66	-	-	16,035.67	-	8,757.13	-	-	130,980.09	-	-	1,800.00	-	1,000.00	39,804.00	228,640.55
RP0	Mayors Office of Community Affairs					-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	253.49	253.49
ORCA	Mayors Office of Returning Citizen Affairs					-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	253.49	253.49
	Total	81,672.00	388,890.00	4,400.00	60,000.00	29,304.00	42,900.00	307,425.12	324,427.55	2,473,609.72	359,635.02	31,359.64	426,702.60	1,890,997.33	11,800.00	1,012,439.17	348,096.35	180,000.00	48,000.00	5,250.00	6,950.00	1,230,944.54	9,264,803.03

03. OFT- Special Envelope Purchase OCFO

INTRA-DISTRICT STANDARD REQUEST FORM
Government of the District of Columbia

PART I
GENERAL

MOU NUMBER:

DATE OF MOU:

2/18/2020

BUYER INFORMATION

AGENCY: Office of Risk Management

AGENCY CODE: _____

NAME OF CONTACT: Alemayehu Awas, AFO

ADDRESS : 441 4th Street, NW
Suite 890N
Washington, DC 20001

Office Telephone # : 202-727-6535

Mobile Telephone # 202-384-4423

AUTHORIZING OFFICER _____

DATE: ____ / ____ / ____

SELLER INFORMATION

AGENCY: OCFO - Office of the Chief Information Officer

AGENCY CODE: _____

AT0

NAME OF CONTACT: Nicole Dean, Budget Officer

ADDRESS : 1100 4th Street, SW
Suite 600E
Washington, DC 20024

TELEPHONE # : 202-442-7125

Cell Telephone # 202-680-4192

AUTHORIZING OFFICER _____

DATE: 2.18.20

PLEASE SEE NEXT PAGE FOR GOODS/ SERVICES DESCRIPTION AND FUNDING INFORMATION

PART II

MOU NUMBER: _____

SERVICE INFORMATION AND FUNDING CODES

GOOD/ SERVICE:

Custom 9x12 envelopes to mail Explanation of Review documents to medical providers to cover Workers Compensation Benefits.

TOTAL: \$ 2,849.00

	AGY	YR	ORG CODE	FUND	INDEX	PCA	OBJ	AOBJ	GRANT/PH	PROJ/PH	AG1	AG2	AG3
BUYER													
SELLER	AT0	20	7000	0707	707S4	70301	0411	0411					

**MEMORANDUM OF UNDERSTANDING
BETWEEN
OFFICE OF RISK MANAGEMENT
AND
OFFICE OF THE CHIEF FINANCIAL OFFICER,
OFFICE OF FINANCE AND TREASURY
FOR FISCAL YEAR 2020**

I. INTRODUCTION

This Memorandum of Understanding (this "MOU") is entered into between the **Office of Risk Management** ("Buyer Agency") and the **Office of the Chief Financial Officer for its Office of Finance and Treasury** ("Seller Agency"), collectively referred to herein as the "Parties" and individually referred to herein as a "Party".

II. LEGAL AUTHORITY FOR MOU

D.C. Official Code § 1-301.01(k)(2010 Repl.).

III. OVERVIEW OF PROGRAM GOALS AND OBJECTIVES

The Seller Agency, by means of a Purchase Order awarded to **Proforma Docucom Services**, a business forms and systems dealer, will create custom 9" x 12" envelopes specified by the Buyer Agency to mail Explanation of Review documents to medical providers to cover Workers Compensation Benefits. The Office of Finance and Treasury is an office under the Office of the Chief Financial Officer pursuant to D.C. Official Code § 1-204.24a(b)(3).

IV. SCOPE OF SERVICES

Pursuant to the applicable authorities and in the furtherance of the shared goals of the Parties to carry out the purposes of this MOU expeditiously and economically, the Parties hereby agree as follows:

A. RESPONSIBILITIES OF SELLER AGENCY

The Seller Agency, by means of purchase order PO596696 awarded to **Proforma Docucom Services**, will use custom envelopes to mail EOR's specified by the Buyer Agency to various medical providers.

B. RESPONSIBILITIES OF BUYER AGENCY

The Buyer agency agrees to advance the full amount of the estimated cost of this agreement to the Seller Agency.

V. DURATION OF THIS MOU

A. PERIOD

The period of this MOU shall be from **October 1, 2019 through September 30, 2020** unless terminated in writing by the Parties pursuant to Section XI of this MOU.

B. EXTENSION

The Parties may extend the period of this MOU by exercising a maximum of five (5) one-year option periods. Option periods may consist of a fiscal year, a fraction thereof, or multiple successive fractions of a year. Buyer Agency shall provide Seller Agency with written notice of its intent to exercise an option period 30 days prior to the expiration of the initial or extension year of this MOU. The exercise of an option is subject to the availability of funds at the time of the exercise of the option.

VI. FUNDING PROVISIONS

A. COST OF SERVICES

Total cost for goods and/or services under this MOU shall not exceed **\$2,849.00** for Fiscal Year 2020. Funding for goods and/or services shall not exceed the actual cost of the goods and/or services provided.

B. PAYMENT

Intra-District process:

1. Payment for the goods and/or services shall be made through an Intra-District advance by Buyer Agency to Seller Agency based on the total amount of this MOU.
2. Seller Agency shall submit vendor cost proposal which shall include quantity of items to be purchased and unit price for 5,000 documents for reimbursement of total cost of custom 9" x 12" envelopes with security design tint for information security and peel and seal envelope closure.
3. Advances to Seller Agency for the services to be performed and/or goods to be provided shall not exceed the amount of this MOU (**\$2,849.00**).
4. Seller Agency shall receive the advance and bill Buyer Agency through the Intra-District process only for those goods and/or services actually provided pursuant to the terms of this MOU. Seller Agency shall notify Buyer Agency within forty-five (45) days of the current fiscal year if it has reason to believe that all of the advance will not be billed during the current fiscal year. Seller Agency shall return any excess advance to Buyer Agency within thirty (30) days of the end of the current fiscal year.

C. ANTI-DEFICIENCY CONSIDERATIONS

The Parties acknowledge and agree that nothing in this MOU creates a financial obligation in anticipation of an appropriation and that all provisions of this MOU, or any subsequent agreement entered into by the parties pursuant to this MOU, are and shall remain subject to the provisions of (i) the federal Anti-Deficiency Act, 31 U.S.C. §§ 1441, 1442, 1449, 1451, (ii) the District of Columbia Anti-deficiency Act, D.C. Official Code §§ 47-355.01-355.08, (iii) D.C. Official Code § 47-105, and (iv) D.C. Official Code § 1-204.46, as the foregoing statutes may be amended from time to time, regardless of whether a particular obligation has been expressly so conditioned.

VII. AMENDMENTS AND MODIFICATIONS

This MOU may be amended or modified only upon prior written agreement of the Parties. Amendments or modifications shall be dated and signed by the authorized representatives of the Parties

VIII. CONSISTENT WITH LAW

The Parties shall comply with all applicable laws, rules, and regulations whether now in effect or hereafter enacted or promulgated.

IX. COMPLIANCE AND MONITORING

Seller Agency will be subject to scheduled and unscheduled monitoring reviews to ensure compliance with all applicable requirements.

X. RECORDS AND REPORTS

Seller Agency shall maintain records and receipts for the expenditure of all funds provided pursuant to this MOU for a period of no less than three years from the date of expiration or termination of this MOU and, upon the District of Columbia's request, make these documents available for inspection by duly authorized representatives of Buyer Agency and other officials as may be specified by the District of Columbia in its sole discretion.

XI. TERMINATION

Either Party may terminate this MOU in whole or in part by giving sixty (60) calendar days advance written notice to the other Party. In the event of termination of this MOU, payment to the Seller Agency shall be held in abeyance until all required fiscal reconciliation, but not later than September 30 of the then current fiscal year.

XII. NOTICES

The following individuals are the contact points for each Party:

Seller Agency:

Joseph Cobb
Payment Operations Center Manager
Office of Finance and Treasury
Office of the Chief Financial Officer
1101 4th Street, SW
Suite: W890
Washington, DC 20024

Buyer Agency:

Donna Salmon
Provider Relations & Compliance Manager
Office of Risk Management
441 4th Street, NW
Suite: 800 South
Washington, DC 20001

XIII. PROCUREMENT PRACTICES REFORM ACT

If a District of Columbia agency or instrumentality plans to utilize the goods and/or services of an agent, contractor, consultant or other third party to provide any of the goods and/or services under this MOU, then the agency or instrumentality shall abide by the provisions of the District of Columbia Procurement Practices Reform Act of 2010 (D.C. Official Code § 2-351.01, *et seq.*) to procure the goods and/or services.

XIV. RESOLUTION OF DISPUTES

The Seller Agency Director and Buyer Agency Director, or their designees, shall resolve all disputes and/or adjustments resulting from goods and/or services provided under this MOU. In the event the Parties are unable to resolve a financial issue, the matter shall be referred to the Office of Financial Operations and Systems ("OFOS"). The decision of OFOS shall be final.

XV. CONFIDENTIAL INFORMATION

The Parties to this MOU will use, restrict, safeguard and dispose of all information related to services provided by this MOU in accordance with all relevant federal and local statutes, regulations, and policies. Information received by either Party in the performance of responsibilities associated with the performance of this MOU shall remain the property of the Buyer Agency.

IN WITNESS WHEREOF, the Parties hereto have executed this MOU as follows:

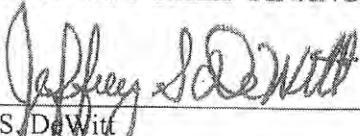
OFFICE OF RISK MANAGEMENT



Jed Ross
Director/Chief Risk Officer

10/10/19
Date

OFFICE OF THE CHIEF FINANCIAL OFFICER



Jeffrey S. DeWitt
Chief Financial Officer

JAN 13 2020
Date

04. OFT - Postage OCFO

INTRA-DISTRICT STANDARD REQUEST FORM
Government of the District of Columbia

PART I
GENERAL

MOU NUMBER:

DATE OF MOU:

2/18/2020

BUYER INFORMATION

AGENCY: Office of Risk Management

AGENCY CODE: _____

NAME OF CONTACT: Alemayehu Awas, AFO

ADDRESS : 441 4th Street, NW
Suite 890N
Washington, DC 20001

Office Telephone # : 202-727-6535

Mobile Telephone # 202-384-4423

AUTHORIZING OFFICER _____

DATE: ____ / ____ / ____

SELLER INFORMATION

AGENCY: OCFO - Office of the Chief Information Officer

AGENCY CODE: AT0

NAME OF CONTACT: Nicole Dean, Budget Officer

ADDRESS : 1100 4th Street, SW
Suite 600E
Washington, DC 20024

TELEPHONE # : 202-442-7125

Cell Telephone # 202-680-4192

AUTHORIZING OFFICER _____

DATE: 2.18.20

PLEASE SEE NEXT PAGE FOR GOODS/ SERVICES DESCRIPTION AND FUNDING INFORMATION

PART II

MOU NUMBER: _____

SERVICE INFORMATION AND FUNDING CODES

GOOD/ SERVICE: Pitney Bowes postage to mail Explanation of Review documents to medical providers

TOTAL: \$ 8,000.00

	AGY	YR	ORG CODE	FUND	INDEX	PCA	OBJ	AOBJ	GRANT/PH	PROJ/PH	AG1	AG2	AG3
BUYER													
SELLER	AT0	20	7000	0707	707S4	70301	0416	0416					

**MEMORANDUM OF UNDERSTANDING
BETWEEN
OFFICE OF RISK MANAGEMENT
AND
OFFICE OF THE CHIEF FINANCIAL OFFICER,
OFFICE OF FINANCE AND TREASURY
FOR FISCAL YEAR 2020**

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D.C. Official Code § 1-301.01(k)(2010 Repl.).

III. OVERVIEW OF PROGRAM GOALS AND OBJECTIVES

The Seller Agency, by means of a Purchase Order awarded to **Pitney Bowes**, a global technology company known for its postage meters and other mailing equipment, will use a SendPro P/Connect+ 3000 postage meter specified by the Buyer Agency to mail Explanation of Review (EOR) documents to medical providers to cover Workers Compensation Benefits. The Office of Finance and Treasury is an office under the Office of the Chief Financial Officer pursuant to D.C. Official Code § 1-204.24a (b)(3).

IV. SCOPE OF SERVICES

Pursuant to the applicable authorities and in the furtherance of the shared goals of the Parties to carry out the purposes of this MOU expeditiously and economically, the Parties hereby agree as follows:

A. RESPONSIBILITIES OF SELLER AGENCY

The Seller Agency, by means of purchase order PO600853 awarded to **Pitney Bowes**, will use postage meter funds to mail EOR's specified by the Buyer Agency to various medical providers.

B. RESPONSIBILITIES OF BUYER AGENCY

The Buyer agency agrees to advance the full amount of the estimated cost of this agreement to the Seller Agency.

V. DURATION OF THIS MOU

A. PERIOD

The period of this MOU shall be from **October 1, 2019 through September 30, 2020** unless terminated in writing by the Parties pursuant to Section XI of this MOU.

B. EXTENSION

The Parties may extend the period of this MOU by exercising a maximum of five (5) one-year option periods. Option periods may consist of a fiscal year, a fraction thereof, or multiple successive fractions of a year. Buyer Agency shall provide Seller Agency with written notice of its intent to exercise an option period 30 days prior to the expiration of the initial or extension year of this MOU. The exercise of an option is subject to the availability of funds at the time of the exercise of the option.

VI. FUNDING PROVISIONS

A. COST OF SERVICES

Total cost for goods and/or services under this MOU shall not exceed **\$8,000.00** for Fiscal Year 2020. Funding for goods and/or services shall not exceed the actual cost of the goods and/or services provided.

B. PAYMENT

Intra-District process:

1. Payment for the goods and/or services shall be made through an Intra-District advance by Buyer Agency to Seller Agency based on the total amount of this MOU.
2. Seller Agency shall submit monthly reconciliations which shall include itemized monthly postage cost for reimbursement on actual counts taken daily at the date of service by the reimbursement category.
3. Advances to Seller Agency for the services to be performed and/or goods to be provided shall not exceed the amount of this MOU (**\$8,000.00**).
4. Seller Agency shall receive the advance and bill Buyer Agency through the Intra-District process only for those goods and/or services actually provided pursuant to the terms of this MOU. Seller Agency shall notify Buyer Agency within forty-five (45) days of the current fiscal year if it has reason to believe that all of the advance will not be billed during the current fiscal year. Seller Agency shall return any excess advance to Buyer Agency within thirty (30) days of the end of the current fiscal year.

C. ANTI-DEFICIENCY CONSIDERATIONS

The Parties acknowledge and agree that nothing in this MOU creates a financial obligation in anticipation of an appropriation and that all provisions of this MOU, or any subsequent agreement entered into by the parties pursuant to this MOU, are and shall remain subject to the provisions of (i) the federal Anti-Deficiency Act, 31 U.S.C. §§ 1441, 1442, 1449, 1451, (ii) the District of Columbia Anti-deficiency Act, D.C. Official Code §§ 47-355.01-355.08, (iii) D.C. Official Code § 47-105, and (iv) D.C. Official Code § 1-204.46, as the foregoing statutes may be amended from time to time, regardless of whether a particular obligation has been expressly so conditioned.

VII. AMENDMENTS AND MODIFICATIONS

This MOU may be amended or modified only upon prior written agreement of the Parties. Amendments or modifications shall be dated and signed by the authorized representatives of the Parties

VIII. CONSISTENT WITH LAW

The Parties shall comply with all applicable laws, rules, and regulations whether now in effect of hereafter enacted or promulgated.

IX. COMPLIANCE AND MONITORING

Seller Agency will be subject to scheduled and unscheduled monitoring reviews to ensure compliance with all applicable requirements.

X. RECORDS AND REPORTS

Seller Agency shall maintain records and receipts for the expenditure of all funds provided pursuant to this MOU for a period of no less than three years from the date of expiration or termination of this MOU and, upon the District of Columbia's request, make these documents available for inspection by duly authorized representatives of Buyer Agency and other officials as may be specified by the District of Columbia in its sole discretion.

XI. TERMINATION

Either Party may terminate this MOU in whole or in part by giving sixty (60) calendar days advance written notice to the other Party. In the event of termination of this MOU, payment to the Seller Agency shall be held in abeyance until all required fiscal reconciliation, but not later than September 30 of the then current fiscal year.

XII. NOTICES

The following individuals are the contact points for each Party:

Seller Agency:

Joseph Cobb
Payment Operations Center Manager
Office of Finance and Treasury
Office of the Chief Financial Officer
1101 4th Street, SW
Suite: W890
Washington, DC 20024

Buyer Agency:

Donna Salmon
Provider Relations & Compliance Manager
Office of Risk Management
441 4th Street, NW
Suite: 800 South
Washington, DC 20001

XIII. PROCUREMENT PRACTICES REFORM ACT

If a District of Columbia agency or instrumentality plans to utilize the goods and/or services of an agent, contractor, consultant or other third party to provide any of the goods and/or services under this MOU, then the agency or instrumentality shall abide by the provisions of the District of Columbia Procurement Practices Reform Act of 2010 (D.C. Official Code § 2-351.01, *et seq.*) to procure the goods and/or services.

XIV. RESOLUTION OF DISPUTES


The Seller Agency Director and Buyer Agency Director, or their designees, shall resolve all disputes and/or adjustments resulting from goods and/or services provided under this MOU. In the event the Parties are unable to resolve a financial issue, the matter shall be referred to the Office of Financial Operations and Systems ("OFOS"). The decision of OFOS shall be final.

XV. CONFIDENTIAL INFORMATION

The Parties to this MOU will use, restrict, safeguard and dispose of all information related to services provided by this MOU in accordance with all relevant federal and local statutes, regulations, and policies. Information received by either Party in the performance of responsibilities associated with the performance of this MOU shall remain the property of the Buyer Agency.

IN WITNESS WHEREOF, the Parties hereto have executed this MOU as follows:

OFFICE OF RISK MANAGEMENT

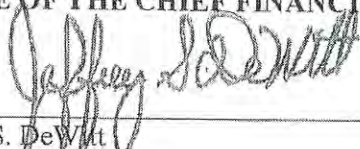


Jed Ross
Director and Chief Risk Officer

10/18/19

Date

OFFICE OF THE CHIEF FINANCIAL OFFICER



Jeffrey S. DeWitt
Chief Financial Officer

JAN 15 2020

Date

05. OAG Litigation Costs OAG

**MEMORANDUM OF UNDERSTANDING
BETWEEN
THE OFFICE OF ATTORNEY GENERAL
AND
OFFICE OF RISK MANAGEMENT
FOR
FISCAL YEAR 2020**

I. INTRODUCTION

This Memorandum of Understanding ("MOU") is entered into between the Office of Risk Management, the buyer agency, (hereinafter "ORM"), and the Office of the Attorney General, the seller agency (hereinafter "OAG"), collectively referred to herein as the "Parties", to pay the deposition and transcription costs and expert witness fees and related costs for workers' compensation matters litigated by the Personnel and Labor Relations Section.

II. PROGRAM GOALS AND OBJECTIVES

The purpose of this MOU is to establish a mechanism for ORM to pay the actual costs charged to OAG for deposition and transcription services and expert witness fees for workers' compensation matters litigated by the Personnel and Labor Relations Section (PLRS).

III. SCOPE OF SERVICES

Pursuant to the applicable authorities and in the furtherance of the shared goals of the Parties to carry out the purposes of this MOU expeditiously and economically, the Parties do hereby agree:

A. RESPONSIBILITIES OF ORM

1. ORM shall transfer FIFTY THOUSAND dollars and no cents (\$50,000.00) to OAG to pay the cost of deposition and transcription services and expert witness fees and related costs for workers' compensation matters litigated by PLRS.

B. RESPONSIBILITIES OF OAG

1. OAG will use the funds to pay for depositions taken by PLRS and for expert witness services in workers' compensation matters.
2. OAG shall ensure that the revenues for this project are reflected in the budget entry budget line item detail screen with the appropriate accumulators. The revenues will not exceed FIFTY THOUSAND dollars and no cents (\$50,000.00).

enp

3. OAG must establish an agency internal service fund with a corresponding index that ties to the fund and agency organizational structure.
4. OAG must provide the projected cost of providing the services under this MOU. This detail shall be provided on the Intra-District Standard Request Form (IDSRF).
5. OAG shall pay all invoices and submit copies of all invoices to ORM up to the amount of this MOU for its records.
6. OAG shall promptly upon receipt transmit to ORM copies of all deposition transcripts and expert reports obtained through expenditure of funds transferred to OAG pursuant to this MOU.
7. OAG shall not incur any travel expense to be paid under this MOU in connection with the performance of the services described herein without prior approval of ORM.

IV. DURATION OF MOU

- A. The period of this MOU shall be from the last date signed by Parties, through September 30, 2020, unless terminated in writing by the Parties prior to the expiration.
- B. The Parties may extend the term of this MOU by exercising a maximum two-year (2) option period. ORM shall provide written notice of its intent to renew an option period prior to the expiration of the MOU.

V. AUTHORITY FOR MOU

D.C. Official Code § 1-301.01(k).

VI. FUNDING PROVISIONS

A. COST OF SERVICES

1. Total cost for services under this MOU shall not exceed FIFTY THOUSAND dollars and no cents (\$50,000.00) for Fiscal Year 2020. Funding for the litigation costs shall not exceed the actual cost of the deposition and transcription fees.
2. In the event of termination of the MOU, payment to OAG shall be held in abeyance until all required fiscal reconciliation, but not longer than September 30 of the current fiscal year.

B. PAYMENT

1. Payment for all of the goods and services shall be made through an Intra-District transfer of funds by ORM to OAG based on the total amount of this MOU.
2. OAG services to be provided shall not exceed the amount of this MOU.
3. The Parties' Directors or their designees shall resolve all adjustments and disputes arising from services performed under this MOU. In the event that the Parties are unable to resolve a financial issue, the matter shall be referred to the D.C. Office of Financial Operations and Systems.
4. In the event that funds are remaining, those funds shall return to ORM upon completion of the project.

C. ANTI-DEFICIENCY CONSIDERATIONS

The Parties acknowledge and agree that their respective obligations to fulfill financial obligations of any kind pursuant to any and all provisions of this MOU, or any subsequent agreement entered into by the parties pursuant to this MOU, are and shall remain subject to the provisions of (i) the federal Anti-Deficiency Act, 31 U.S.C. §§1341, 1342, 1349, 1351, (ii) the District of Columbia Anti-Deficiency Act, D.C. Official Code §§ 47-355.01-355.08 (2001), (iii) D.C. Official Code § 47-105 (2001), and (iv) D.C. Official Code § 1-204.46 (2006 Supp.), as the foregoing statutes may be amended from time to time, regardless of whether a particular obligation has been expressly so conditioned.

VII. COMPLIANCE AND MONITORING

As this MOU is funded by District of Columbia funds, OAG will be subject to scheduled and unscheduled monitoring reviews to ensure compliance with all applicable requirements.

VIII. RECORDS AND REPORTS

OAG shall maintain records and receipts for the expenditure of all funds provided for a period of no less than three years from the date of expiration or termination of the MOU and, upon the District of Columbia's request, make these documents available for inspection by duly authorized representatives of ORM and other officials as may be specified by the District of Columbia at its sole discretion.

IX. CONFIDENTIAL INFORMATION

The Parties to this MOU will use, restrict, safeguard and dispose of all information related to services provided by this MOU, in accordance with all relevant federal and local

statutes, regulations, and policies. Information received by either Party in the performance of responsibilities associated with the performance of this MOU shall remain the property of OAG.

X. TERMINATION

Either Party may terminate this MOU in whole or in part by giving thirty (30) calendar days advance written notice to the other Party.

XI. NOTICE/CONTACT PERSONS:

Office of Attorney General:

Tarifah Coaxum
Chief Administrative Officer
Office of Attorney General
441 – 4th Street, N.W., 11th Floor South
Washington, D.C. 20001
Tele: (202) 724-5508
Fax: (202) 741-8819
Tarifah.coaxum@dc.gov

Office of Risk Management

Michael Krainak
General Counsel
441 – 4th Street, N.W., 8th Floor
Washington, D.C. 20001
Office: 202-727-7805
Michael.kraniak@dc.gov

XII. MODIFICATIONS

The terms and conditions of this MOU may be modified only upon prior written agreement by the Parties.

XIII. MISCELLANEOUS

The Parties shall comply with all applicable laws, rules and regulations whether now in force or hereafter enacted or promulgated.

IN WITNESS WHEREOF, the Parties hereto have executed this MOU as follows:

OFFICE OF RISK MANAGEMENT



Jed Ross
Chief Risk Officer

Date: 11/14/19

DISTRICT OFFICE OF ATTORNEY GENERAL

Karl A. Racine / by noc
Karl A. Racine
Attorney General for the District of Columbia

Date: 11/04/19

INTRA-DISTRICT STANDARD REQUEST FORM
Government of the District of Columbia

PART I
GENERAL

MOU NUMBER: _____ EFF DATE OF MOU: _____

SELLER INFORMATION

AGENCY: Office of the Attorney General AGENCY CODE: _____

NAME OF CONTACT: Shilonda Wiggins

ADDRESS : 441 4th Street NW, Suite 890N
Washington, DC 20012

TELEPHONE # : _____

FAX # : _____

AUTHORIZING OFFICER _____ DATE: ____/____/____

BUYER INFORMATION

AGENCY: ORM Employees Compensation Fund AGENCY CODE: BG0

NAME OF CONTACT: Alemayehu Awas

ADDRESS : 441 4th Street NW, Suite 890N
Washington, DC 20012

TELEPHONE # : 202-727-6535

FAX # : _____

AUTHORIZING OFFICER  DATE: 01/06/19

PLEASE SEE NEXT PAGE FOR FUNDING INFORMATION

PART II

MOU NUMBER: _____ 2 OF 2

SERVICE INFORMATION AND FUNDING CODES

GOOD/ SERVICE: Payment for deposition, transcription and expert witness fees and related costs for
workers' compensation matters litigated by the OAG

\$50,000.00

	AGY	YR	ORG CODE	FUND	INDEX	PCA	OBJ	AOBJ	GRANT/PH	PROJ/PH
Seller										
Buyer	BG0	20		1111	11100	10000	0408	0408		

GOOD/ SERVICE:

DATE: ____/____/____

TOTAL:

	AGY	YR	ORG CODE	FUND	INDEX	PCA	OBJ	AOBJ	GRANT/PH	PROJ/PH
SELLER										
BUYER										

GOOD/ SERVICE:

DATE: ____/____/____

TOTAL:

	AGY	YR	ORG CODE	FUND	INDEX	PCA	OBJ	AOBJ	GRANT/PH	PROJ/PH
SELLER										
BUYER										

GOOD/ SERVICE:

DATE: ____/____/____

TOTAL:

	AGY	YR	ORG CODE	FUND	INDEX	PCA	OBJ	AOBJ	GRANT/PH	PROJ/PH
SELLER										
BUYER										

Revised 9/15/98

06. ID Open Streets DC DDOT

GOVERNMENT OF THE DISTRICT OF COLUMBIA
OFFICE OF THE CHIEF FINANCIAL OFFICER
GOVERNMENT OPERATIONS CLUSTER
OFFICE OF FINANCE AND RESOURCE MANAGEMENT



MEMORANDUM

TO: Gordon McDonald, Deputy Chief Financial Officer
Office of Budget and Planning

THRU: Angelique Rice, Associate Chief Financial Officer
Government Operations Cluster

FROM: Alemayehu Awas, Agency Fiscal Officer
Government Operations Cluster

DATE: November 7, 2019

SUBJECT: FY20 Intra-District Budget Authority

The Captive Insurance Agency (RJ0) is requesting an intra-district budget authority increase in the amount of \$6,888.00 to fund a FY20 MOU with the Department of Transportation (KA0). The funding will cover the costs associated with the Captive Insurance Agency procuring a Special Events Liability Insurance policy related to the Open Streets DC event. Attached is a copy of the memorandum of understanding (MOU).

The funding attributes are listed below.

PROJECT #	PHASE	INDEX	PCA	APPN#	CSG	AMOUNT
RJ0KA0	20	RJ2KA	RJ2KA	20000	0408	6,888.00
					Total	\$6,888.00

If you have any question, please contact me on 202-727-6535.

Attachments

**MEMORANDUM OF UNDERSTANDING
BY AND BETWEEN
DISTRICT OF COLUMBIA CAPTIVE INSURANCE AGENCY
AND
DISTRICT OF COLUMBIA DEPARTMENT OF
TRANSPORTATION**

This Memorandum of Understanding ("MOU") is entered into as of the 1st day of October 2019 (the Effective Date) by and between the District of Columbia Captive Insurance Agency ("Captive") and the District of Columbia Department of Transportation ("DDOT"), each referred to herein individually as a "Party" and collectively as the "Parties".

I. INTRODUCTION AND OVERVIEW

Pursuant to the terms of this MOU, DDOT will provide funds to the Captive to reimburse the Captive for the cost it incurs for the procurement of a special events insurance policy (the "Policy") covering a community event to take place on October 5, 2019 on Georgia Avenue in the District of Columbia (The "Open Streets DC Event").

II. SCOPE OF WORK

A. Responsibilities of Captive

Procure the Policy for the Open Streets DC Event scheduled for October 5, 2019.

B. Responsibilities of DDOT

Reimburse Captive six thousand, eight hundred, eighty-eight Dollars (\$6,888) for the actual cost of the Policy premium.

III. FUNDING

A. Cost of services

Total cost for services is six thousand, eight hundred, eighty-eight Dollars (\$6,888).

B. Payment

1. Payment for services shall be made through an Intra-District advance by DDOT to Captive in the amount of six thousand, eight hundred, eighty-eight Dollars (\$6,888).
2. Advances to Captive for the services to be provided shall not exceed the actual cost of this MOU, six thousand, eight hundred, eighty-eight Dollars (\$6,888).
3. The Parties' Directors or their designees shall resolve all adjustments and disputes arising from services performed under this MOU.

IV. ANTI-DEFICIENCY CONSIDERATIONS

A. Federal and District of Columbia Anti-Deficiency Act

The Parties acknowledge and agree that their respective obligations to fulfill financial obligations of any kind pursuant to any and all provisions of this MOU, or any subsequent agreement entered into by the parties pursuant to this MOU, are and shall remain subject to the provisions of (i) the Federal Anti-Deficiency Act, 31 U.S.C. §§1341, 1342, 1349, 1351; (ii) the District of Columbia Anti-Deficiency Act, D.C. Official Code §§ 47-355.01-355.08 (2001); (iii) D.C. Official Code § 47-105 (2001); and (iv) D.C. Official Code § 1-204.46 (2006 Supp.)

B. Continuing Legal Obligation

The Parties acknowledge and agree that their respective obligations under the foregoing statutes may be amended from time to time, regardless of whether a particular obligation has been expressly so conditioned.

V. DURATION OF MOU

This MOU shall commence on the Effective Date and remain in effect through September 30, 2020, unless sooner terminated pursuant to Article VII of this MOU.

VI. COMPLIANCE AND MONITORING

A. Monitoring

As this MOU is funded by District of Columbia funds, Captive will be subject to scheduled and unscheduled monitoring reviews by the District government to ensure compliance with all applicable requirements.

B. Records and reports

1. Captive shall maintain records and receipts for the expenditure of all funds received for a period of no less than three (3) years from the date of expiration or termination of the MOU.
2. Upon DDOT' request, Captive will make these documents available for inspection by duly authorized representatives of DDOT and other District officials as may be specified by DDOT at its sole discretion.

VII. TERMINATION

A. Communication

Either Party may terminate this MOU in whole or in part by giving thirty (30) calendar days advance written notice to the other Party.

B. Cause

This MOU may be terminated for any of the following reasons:

1. Lack of funding;
2. Changes in applicable law;
3. Changes in the structure or nature of the program;
4. Elimination of the program or service;
5. Failure of either party to follow District of Columbia laws, rules, or regulations; or failure of either party to follow the terms of the MOU;
6. The convenience of either party.

VIII. NOTICE

A. For DDOT:

The following individual is the DDOT contact point under this MOU:

Anna Chamberlin
Supervisory Transportation Management Planner
Anna.chamberlin@dc.gov
202-671-2218

B. For Captive:

The following individual is the Captive contact point under this MOU:

Jane Waters
Insurance Program Administrator
Jane.waters@dc.gov
202-724-2265

IX. MODIFICATIONS

The terms and conditions of this MOU may be modified only upon prior written agreement by the Parties.

X. AUTHORITY FOR MOU

D.C. Official Code §§ 1-301.01(k).

XI. MISCELLANEOUS

The Parties shall comply with all applicable laws, rules and regulations whether now in force or hereafter enacted or promulgated.

IN WITNESS WHEREOF, the Parties have executed this MOU as follows:

SIGNATURES ON NEXT PAGE

DISTRICT DEPARTMENT OF TRANSPORTATION

By: 
Jeff Maroonian, Director

CAPTIVE INSURANCE AGENCY

By: 
Jed Ross, Chief Risk Officer

INTRA-DISTRICT STANDARD REQUEST FORM
Government of the District of Columbia

PART I

GENERAL

MOU NUMBER: _____

DATE OF MOU: 10/2/2019

SELLER INFORMATION

AGENCY: DC Captive Insurance Agency

AGENCY CODE: RJ0

NAME OF CONTACT: Alemayehu Awas

ADDRESS : 441 4th Street NW, Suite 890N
Washington, DC 20012

TELEPHONE # : (202) 727-6535

FAX # : (202) 727-2202

AUTHORIZING OFFICER  _____

DATE: 10/30/19

BUYER INFORMATION

AGENCY: Department of Transportation

AGENCY CODE: KA0

NAME OF CONTACT: Calvin Skinner

ADDRESS : 55 M Street, SE
Washington, DC 20003

TELEPHONE # : 202-671-1374

FAX # :

AUTHORIZING OFFICER  _____

DATE: 10/25/19

PLEASE SEE NEXT PAGE FOR SERVICE INFORMATION AND FUNDING CODES

INTRA-DISTRICT STANDARD REQUEST FORM
Government of the District of Columbia

Buyer's initials: _____

Seller's initials: _____

PART II

MOU NUMBER: _____ 2 OF 2

SERVICE INFORMATION AND FUNDING CODES

GOOD/ SERVICE: Procurement of Special Events liability insurance policy for DDOT's Open Streets DC event to cover a term effective 10/04 - 10/06/2019

REQUESTED DATE: RJD **TOTAL:** \$6,888.00

	AGY	YR	INDEX	PCA	OBJ	AOBJ	GRANT/PH	PROJ/PH	AG1	AG2	AG3
SELLER	<u>RKD</u>	<u>20</u>	<u>RJ2KA</u>	<u>RJ2KA</u>	<u>4600</u>	<u>4600</u>		<u>RJD KA4/20</u>			
BUYER	KA0	20	L6710	LVISZ	0409	0409					

GOOD/ SERVICE: _____

REQUESTED DATE: _____ **TOTAL:** _____

	AGY	YR	INDEX	PCA	OBJ	AOBJ	GRANT/PH	PROJ/PH	AG1	AG2	AG3
SELLER											
BUYER											

GOOD/ SERVICE: _____

REQUESTED DATE: ____/____/____ **TOTAL:** _____

	AGY	YR	INDEX	PCA	OBJ	AOBJ	GRANT/PH	PROJ/PH	AG1	AG2	AG3
SELLER											
BUYER											

GOOD/ SERVICE: _____

REQUEST DATE: ____/____/____ **TOTAL:** _____

	AGY	YR	INDEX	PCA	OBJ	AOBJ	GRANT/PH	PROJ/PH	AG1	AG2	AG3
SELLER											
BUYER											

S061 V2.1 PRD DISTRICT OF COLUMBIA R*STARS 2.1 11/07/19 07:11 AM
LINK TO: AGENCY BUDGET FINANCIAL INQUIRY DSNF

AGENCY: RJ0 AY: 20 ORG CODE: 2000 PGM CODE: 2001 FUNC CODE: _____
AP FUND: _____ FUND: 0700 GRANT/PH: _____ PROJECT/PH: RJOKA0 20
COMP SRC/GRP: 1070 OBJ/COBJ/AGY OBJ: _____ AGY OBJ GROUP: _____
ORG LEVEL: 02 MEDICAL LIABILITY CAPTIVE OPERATIONS
PGM LEVEL: 02 OVERSIGHT
FUNC LEVEL: _____

INQ TYPE: MC (MA, YA, MY, YY, MC, YC) DETAIL/SUMMARY: D
INQ YEAR: 20 INQ MONTH: 02 ADJUSTED BUDG: _____ .00
BUDGET AVAIL: _____ 6,888.00 BUDG % AVAIL: _____ .00
EXPEND/BUDG %: _____ 0.00 ALLOT/BUDG %: _____ 0.00
ALLOTMENT BAL: _____ 6,888.00 UNEXPND ALLOT: _____ 6,888.00
BT TITLE AMOUNT BT TITLE AMOUNT
16 INTRA-DIST 6,888.00-

F1-HELP F2-DOC INQ F5-NEXT F9-INTERRUPT ENTER-INQUIRE CLEAR-EXIT

07. Capital ID Banneker Project DGS

GOVERNMENT OF THE DISTRICT OF COLUMBIA
OFFICE OF THE CHIEF FINANCIAL OFFICER
GOVERNMENT OPERATIONS CLUSTER
OFFICE OF FINANCE AND RESOURCE MANAGEMENT



MEMORANDUM

TO: Gordon McDonald, Deputy Chief Financial Officer
Office of Budget and Planning

THRU: *for* Angelique Rice, Associate Chief Financial Officer
Government Operations Cluster *mz Golden*

FROM: Alemayehu Awas, Agency Fiscal Officer
Government Operations Cluster *[Signature]*

DATE: November 13, 2019

SUBJECT: FY20 Capital Intra-District Budget Authority

The Captive Insurance Agency (RJ0) is requesting a Capital intra-district budget authority increase in the amount of \$75,906.00 to fund a FY20 MOU with the Department of General Services (AM0). The funding will cover the costs associated with the Captive Insurance Agency procuring a Builders Risk Insurance policy related to construction services at the Banneker Project. Attached is a copy of the memorandum of understanding (MOU).

The funding attributes are listed below.

PROJECT #	PHASE	INDEX	PCA	APPN#	CSG	AMOUNT
RJ0BHP	20	RJBHP	RJBHP	80000	0408	75,906.00
					Total	\$75,906.00

If you have any question, please contact me on 202-727-6535.

Attachments

S061 V2.1 PRD DISTRICT OF COLUMBIA R*STARS 2.1 11/14/19 08:46 AM
LINK TO: _____ AGENCY BUDGET FINANCIAL INQUIRY DSNF

AGENCY: RJ0 AY: 20 ORG CODE: 2000 PGM CODE: 2001 FUNC CODE: _____
AP FUND: _____ FUND: 7750 GRANT/PH: _____ PROJECT/PH: RJ0BHP 20
COMP SRC/GRP: 1070 OBJ/COBJ/AGY OBJ: _____ AGY OBJ GROUP: _____
ORG LEVEL: 02 MEDICAL LIABILITY CAPTIVE OPERATIONS
PGM LEVEL: 02 OVERSIGHT
FUNC LEVEL:
INQ TYPE: MC (MA, YA, MY, YY, MC, YC) DETAIL/SUMMARY: D
INQ YEAR: 20 INQ MONTH: 02 ADJUSTED BUDG: .00
BUDGET AVAIL: 75,906.00 BUDG % AVAIL: .00
EXPEND/BUDG %: 0.00 ALLOT/BUDG %: 0.00
ALLOTMENT BAL: 75,906.00 UNEXPND ALLOT: 75,906.00
BT TITLE AMOUNT BT TITLE AMOUNT
16 INTRA-DIST 75,906.00-

F1-HELP F2-DOC INQ F5-NEXT F9-INTERRUPT ENTER-INQUIRE CLEAR-EXIT

**MEMORANDUM OF UNDERSTANDING
BY AND BETWEEN
DISTRICT OF COLUMBIA CAPTIVE INSURANCE AGENCY
AND
DISTRICT OF COLUMBIA DEPARTMENT OF GENERAL
SERVICES**

This Memorandum of Understanding ("MOU") is entered into by and between the District of Columbia Captive Insurance Agency ("Captive") and the District of Columbia Department of General Services ("DGS"), each referred to herein individually as a "Party" and collectively as the "Parties".

I. INTRODUCTION AND OVERVIEW

Pursuant to the terms of this MOU, DGS will provide funds to the Captive to reimburse the Captive for the cost it incurs for the procurement of a builders risk insurance policy number (the "Policy") covering construction of the Benjamin Banneker High School at 925 Rhode Island Avenue, NW, Washington, DC (the "Banneker Project")

II. SCOPE OF WORK

A. Responsibilities of Captive

Procure the Policy for the Banneker Project commencing November 1, 2019 and continuing through the anticipated completion date of July 16, 2021.

B. Responsibilities of DGS

Reimburse Captive Seventy Five Thousand Nine Hundred Six Dollars (\$75,906.00) for the actual cost of the Policy premium.

III. FUNDING

A. Cost of services

Total cost for services is Seventy Five Thousand Nine Hundred Six Dollars (\$75,906.00).

B. Payment

1. Payment for services shall be made through an Intra-District advance by DGS to Captive in the amount of Seventy Five Thousand Nine Hundred Six Dollars (\$75,906.00).

2. Advances to Captive for the services to be provided shall not exceed the actual cost of this MOU, Seventy Five Thousand Nine Hundred Six Dollars (\$75,906.00).

3. The Parties' Directors or their designees shall resolve all adjustments and disputes arising from services performed under this MOU.

IV. ANTI-DEFICIENCY CONSIDERATIONS

A. Federal and District of Columbia Anti-Deficiency Act

The Parties acknowledge and agree that their respective obligations to fulfill financial obligations of any kind pursuant to any and all provisions of this MOU, or any subsequent agreement entered into by the parties pursuant to this MOU, are and shall remain subject to the provisions of (i) the Federal Anti-Deficiency Act, 31 U.S.C. §§1341, 1342, 1349, 1351; (ii) the District of Columbia Anti-Deficiency Act, D.C. Official Code §§ 47-355.01-355.08 (2001); (iii) D.C. Official Code § 47-105 (2001); and (iv) D.C. Official Code § 1-204.46 (2006 Supp.)

B. Continuing Legal Obligation

The Parties acknowledge and agree that their respective obligations under the foregoing statutes may be amended from time to time, regardless of whether a particular obligation has been expressly so conditioned.

V. DURATION OF MOU

The duration of this MOU shall be from the date the last Party signed this MOU through September 30, 2020 unless sooner terminated pursuant to Article VII of this MOU.

VI. COMPLIANCE AND MONITORING

A. Monitoring

As this MOU is funded by District of Columbia funds, Captive will be subject to scheduled and unscheduled monitoring reviews by the District government to ensure compliance with all applicable requirements.

B. Records and reports

1. Captive shall maintain records and receipts for the expenditure of all funds received for a period of no less than three (3) years from the date of expiration or termination of the MOU.
2. Upon DGS' request, Captive will make these documents available for inspection by duly authorized representatives of DGS and other District officials as may be specified by DGS at its sole discretion.

VII. TERMINATION

A. Communication

Either Party may terminate this MOU in whole or in part by giving thirty (30) calendar days advance written notice to the other Party.

B. Cause

This MOU may be terminated for any of the following reasons:

1. Lack of funding;
2. Changes in applicable law;
3. Changes in the structure or nature of the program;
4. Elimination of the program or service;
5. Failure of either party to follow District of Columbia laws, rules, or regulations; or failure of either party to follow the terms of the MOU;
6. The convenience of either party.

VIII. NOTICE

A. For DGS:

The following individual is the DGS contact point under this MOU:

George Lewis
Associate Director
Contracts and Procurement Chief Procurement Officer
Department of General Services
George.lewis@dc.gov

B. For Captive:

The following individual is the Captive contact point under this MOU:

Jane Waters
Insurance Program Administrator
Jane.waters@dc.gov

IX. MODIFICATIONS

The terms and conditions of this MOU may be modified only upon prior written agreement by the Parties.

X. AUTHORITY FOR MOU

D.C. Official Code § 1-301.01(k).

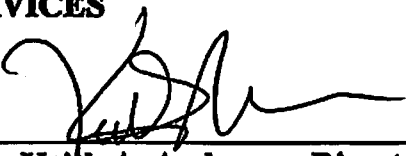
XI. MISCELLANEOUS

The Parties shall comply with all applicable laws, rules and regulations whether now in force or hereafter enacted or promulgated.

IN WITNESS WHEREOF, the Parties have executed this MOU as follows:

SIGNATURES ON NEXT PAGE

**DISTRICT OF COLUMBIA DEPARTMENT OF GENERAL
SERVICES**

By: 
Keith A. Anderson, Director

Date: 10/24/19

CAPTIVE INSURANCE AGENCY

By: 
Jed Ross, Chief Risk Officer

Date: 10/17/19

INTRA-DISTRICT STANDARD REQUEST FORM
Government of the District of Columbia

PART I
GENERAL

MOU NUMBER: _____ EFF DATE OF MOU: _____

SELLER INFORMATION

AGENCY: DC Captive Insurance Agency AGENCY CODE: RJ0

NAME OF CONTACT: Alemayehu Awas

ADDRESS : 441 4th Street NW, Suite 890N
Washington, DC 20012

TELEPHONE # : 202-727-6535

FAX # : 202-727-2202

AUTHORIZING OFFICER  DATE: 11/07/19

BUYER INFORMATION

AGENCY: Department of General Services AGENCY CODE: _____

NAME OF CONTACT: ~~Massimo Marchiori~~ Henry Wong

ADDRESS : Acting Agency Fiscal Officer
2000 14th ST, 5th FI NW
Washington DC 20009

TELEPHONE # : ~~202-698-7476~~ 202-698-7481

FAX # : _____ for MM

AUTHORIZING OFFICER  DATE: 10/22/19

PLEASE SEE NEXT PAGE FOR FUNDING INFORMATION

PART II

MOU NUMBER: _____ 2 OF 2

SERVICE INFORMATION AND FUNDING CODES

GOOD/ SERVICE: Procurement of a builder's risk insurance policy covering Benjamin Banneker

School at 925 Rhode Island Avenue, NW, Washington, DC (the "Banneker Project") commencing November 1, 2019 and continuing through the anticipated completion date of July 16, 2021.

TOTAL: \$75,906.00

	AGY	YR	ORG CODE	FUND	INDEX	PCA	OBJ	AOBJ	GRANT/PH	PROJ/PH
Seller	RJ0	20		7750	RJBHP	RJBHP				RJBHP/20
Buyer	AMO	CS	2003	0300	B9950	95101	0409	0409	N/A	YY1950/04

GOOD/ SERVICE:

DATE: __/__/__

TOTAL:

	AGY	YR	ORG CODE	FUND	INDEX	PCA	OBJ	AOBJ	GRANT/PH	PROJ/PH
SELLER										
BUYER										

GOOD/ SERVICE:

DATE: __/__/__

TOTAL:

	AGY	YR	ORG CODE	FUND	INDEX	PCA	OBJ	AOBJ	GRANT/PH	PROJ/PH
SELLER										
BUYER										

GOOD/ SERVICE:

DATE: __/__/__

TOTAL:

	AGY	YR	ORG CODE	FUND	INDEX	PCA	OBJ	AOBJ	GRANT/PH	PROJ/PH
SELLER										
BUYER										

08. Capital ID CW Harris School DGS

GOVERNMENT OF THE DISTRICT OF COLUMBIA
OFFICE OF THE CHIEF FINANCIAL OFFICER
GOVERNMENT OPERATIONS CLUSTER
OFFICE OF FINANCE AND RESOURCE MANAGEMENT



MEMORANDUM

TO: Gordon McDonald, Deputy Chief Financial Officer
Office of Budget and Planning

THRU: *for* Angelique Rice, Associate Chief Financial Officer
Government Operations Cluster *mz Bolders*

FROM: Alemayehu Awas, Agency Fiscal Officer
Government Operations Cluster *AA*

DATE: October 29, 2019

SUBJECT: FY20 Capital Intra-District Budget Authority

The Captive Insurance Agency (RJ0) is requesting a Capital intra-district budget authority increase in the amount of \$41,300.00 to fund a FY20 MOU with the Department of General Services (AM0). The funding will cover the costs associated with the Captive Insurance Agency procuring a Builders Risk Insurance policy related to construction services at the C.W. Harris Project. Attached is a copy of the memorandum of understanding (MOU).

The funding attributes are listed below.

PROJECT #	PHASE	INDEX	PCA	APPN#	CSG	AMOUNT
RJ0CWH	20	RJCWH	RJ0CW	80000	0408	41,300.00
					Total	\$41,300.00

If you have any question, please contact me on 202-727-6535.

Attachments

OFFICE OF THE ATTORNEY GENERAL
STATE OF NEW YORK
ALBANY, N. Y.

1907

RECEIVED

TO THE HONORABLE THE ATTORNEY GENERAL
ALBANY, N. Y.

FROM THE HONORABLE THE COMMISSIONER OF THE LAND OFFICE
ALBANY, N. Y.

[Handwritten signature]

Very respectfully,
Your obedient servant,

ALBANY, N. Y.

RECEIVED BY THE ATTORNEY GENERAL

That the Commission of the Land Office has received from the
Department of the Interior, Bureau of Land Management, a
copy of the report of the Survey of the Public Lands of the
United States, for the year 1906, and that the Commission
has caused the same to be printed and distributed to the
various State Land Offices.

Very respectfully,
Your obedient servant,

RECEIVED	1907	1907	1907	1907	1907
1907	1907	1907	1907	1907	1907
1907	1907	1907	1907	1907	1907

Very respectfully,
Your obedient servant,

ALBANY, N. Y.

**MEMORANDUM OF UNDERSTANDING
BY AND BETWEEN
DISTRICT OF COLUMBIA CAPTIVE INSURANCE AGENCY
AND
DISTRICT OF COLUMBIA DEPARTMENT OF GENERAL
SERVICES**

This Memorandum of Understanding ("MOU") is entered as of the 1st day of October, 2019 (the Effective Date) by and between the District of Columbia Captive Insurance Agency ("Captive") and the District of Columbia Department of General Services ("DGS"), each referred to herein individually as a "Party" and collectively as the "Parties".

I. INTRODUCTION AND OVERVIEW

Pursuant to the terms of this MOU, DGS will provide funds to the Captive to reimburse the Captive for the cost it incurs for the procurement of a builders risk insurance policy to cover pre-construction and construction services to modernize the existing 58,000 square foot C.W. Harris School facility and construction of an additional 22,000 square feet for the C.W. Harris School (collectively, the "C.W. Harris Project").

II. SCOPE OF WORK

A. Responsibilities of Captive

Procure a builders risk insurance policy ("Policy") for the C.W. Harris Project. The policy term shall begin with the Insurance Broker's receipt of the Policy premium and will end at the anticipated completion date of the C.W. Harris Project, May 31, 2020.

B. Responsibilities of DGS

Reimburse Captive \$41,300.00 for the actual cost of the Policy premium.

III. FUNDING

A. Cost of services

Total cost for services is Forty One Thousand Three Hundred Dollars (\$41,300.00).

THE UNITED STATES OF AMERICA
DO hereby certify that
the following is a true and correct copy of the
original as the same appears on file in the
Department of the Interior.

IN WITNESS WHEREOF, I have hereunto set my hand and
the seal of the Department of the Interior at
Washington, D. C., this 1st day of January, 1901.

JOHN D. BROWN, Secretary of the Interior.
By _____, Assistant Secretary of the Interior.
The following is a true and correct copy of the
original as the same appears on file in the
Department of the Interior.

IN WITNESS WHEREOF, I have hereunto set my hand and
the seal of the Department of the Interior at
Washington, D. C., this 1st day of January, 1901.

JOHN D. BROWN, Secretary of the Interior.
By _____, Assistant Secretary of the Interior.
The following is a true and correct copy of the
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the seal of the Department of the Interior at
Washington, D. C., this 1st day of January, 1901.

JOHN D. BROWN, Secretary of the Interior.
By _____, Assistant Secretary of the Interior.

The following is a true and correct copy of the
original as the same appears on file in the
Department of the Interior.

B. Payment

1. Payment for services shall be made through an Intra-District advance by DGS to Captive in the amount of Forty One Thousand Three Hundred Dollars (\$41,300.00).
2. Advances to Captive for the services to be provided shall not exceed the actual cost of this MOU, Forty One Thousand Three Hundred Dollars (\$41,300.00).
3. The Parties' Directors or their designees shall resolve all adjustments and disputes arising from services performed under this MOU.

IV. ANTI-DEFICIENCY CONSIDERATIONS

A. Federal and District of Columbia Anti-Deficiency Act

The Parties acknowledge and agree that their respective obligations to fulfill financial obligations of any kind pursuant to any and all provisions of this MOU, or any subsequent agreement entered into by the parties pursuant to this MOU, are and shall remain subject to the provisions of (i) the Federal Anti-Deficiency Act, 31 U.S.C. §§1341, 1342, 1349, 1351; (ii) the District of Columbia Anti-Deficiency Act, D.C. Official Code §§ 47-355.01-355.08 (2001); (iii) D.C. Official Code § 47-105 (2001); and (iv) D.C. Official Code § 1-204.46 (2006 Supp.)

B. Continuing Legal Obligation

The Parties acknowledge and agree that their respective obligations under the foregoing statutes may be amended from time to time, regardless of whether a particular obligation has been expressly so conditioned.

V. DURATION OF MOU

1. Subject: [Blank]
 2. Reference: [Blank]
 3. Classification: [Blank]
 4. Remarks: [Blank]

It is the Board's responsibility to ensure that the information is accurate and that the information is not misleading. The Board is responsible for the accuracy of the information and for ensuring that the information is not misleading.

TABLE 1

1990年12月15日

000' 00" 00" 00" 00" 00"

This MOU shall commence on the Effective Date and remain in effect through September 30, 2020 unless sooner terminated pursuant to Article VII of this MOU.

VI. COMPLIANCE AND MONITORING

A. Monitoring

As this MOU is funded by District of Columbia funds, Captive will be subject to scheduled and unscheduled monitoring reviews by the District government to ensure compliance with all applicable requirements.

B. Records and reports

- 1. Captive shall maintain records and receipts for the expenditure of all funds received for a period of no less than three (3) years from the date of expiration or termination of the MOU.**
- 2. Upon DGS' request, Captive will make these documents available for inspection by duly authorized representatives of DGS and other District officials as may be specified by DGS at its sole discretion.**

VII. TERMINATION

A. Communication

Either Party may terminate this MOU in whole or in part by giving thirty (30) calendar days advance written notice to the other Party.

B. Cause

This MOU may be terminated for any of the following reasons:

- 1. Lack of funding;**
- 2. Changes in applicable law;**
- 3. Changes in the structure or nature of the program;**
- 4. Elimination of the program or service;**

1. The first step is to identify the key components of the system. This includes the hardware (e.g., sensors, actuators, controllers) and the software (e.g., algorithms, data structures).

[illegible][illegible]

1. The first of these is the fact that the Commission has not yet received any information from the Government of the United Kingdom regarding the proposed amendments to the Convention on the Elimination of All Forms of Discrimination Against Women (CEDAW) which were adopted by the General Assembly of the United Nations in December 1979.

[illegible]

There is no doubt that the Government's position is in accordance with the principles of the Charter of the United Nations and the principles of international law. The Government is committed to the principles of the Charter of the United Nations and the principles of international law. The Government is committed to the principles of the Charter of the United Nations and the principles of international law.

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 08-07-2001 BY SP-6 BTJ/KJS

100% CASH ON DELIVERY

Abstract

and the other two are also in the same category as the first one. The third one is a different type of machine, and it is not in the same category as the first two.

1996, 1997, 1998, 1999, 2000, 2001, 2002, 2003, 2004, 2005, 2006, 2007, 2008, 2009, 2010, 2011, 2012, 2013, 2014, 2015, 2016, 2017, 2018, 2019, 2020, 2021, 2022, 2023, 2024, 2025, 2026, 2027, 2028, 2029, 2030, 2031, 2032, 2033, 2034, 2035, 2036, 2037, 2038, 2039, 2040, 2041, 2042, 2043, 2044, 2045, 2046, 2047, 2048, 2049, 2050, 2051, 2052, 2053, 2054, 2055, 2056, 2057, 2058, 2059, 2060, 2061, 2062, 2063, 2064, 2065, 2066, 2067, 2068, 2069, 2070, 2071, 2072, 2073, 2074, 2075, 2076, 2077, 2078, 2079, 2080, 2081, 2082, 2083, 2084, 2085, 2086, 2087, 2088, 2089, 2090, 2091, 2092, 2093, 2094, 2095, 2096, 2097, 2098, 2099, 2100, 2101, 2102, 2103, 2104, 2105, 2106, 2107, 2108, 2109, 2110, 2111, 2112, 2113, 2114, 2115, 2116, 2117, 2118, 2119, 2120, 2121, 2122, 2123, 2124, 2125, 2126, 2127, 2128, 2129, 2130, 2131, 2132, 2133, 2134, 2135, 2136, 2137, 2138, 2139, 2140, 2141, 2142, 2143, 2144, 2145, 2146, 2147, 2148, 2149, 2150, 2151, 2152, 2153, 2154, 2155, 2156, 2157, 2158, 2159, 2160, 2161, 2162, 2163, 2164, 2165, 2166, 2167, 2168, 2169, 2170, 2171, 2172, 2173, 2174, 2175, 2176, 2177, 2178, 2179, 2180, 2181, 2182, 2183, 2184, 2185, 2186, 2187, 2188, 2189, 2190, 2191, 2192, 2193, 2194, 2195, 2196, 2197, 2198, 2199, 2200, 2201, 2202, 2203, 2204, 2205, 2206, 2207, 2208, 2209, 2210, 2211, 2212, 2213, 2214, 2215, 2216, 2217, 2218, 2219, 2220, 2221, 2222, 2223, 2224, 2225, 2226, 2227, 2228, 2229, 2230, 2231, 2232, 2233, 2234, 2235, 2236, 2237, 2238, 2239, 2240, 2241, 2242, 2243, 2244, 2245, 2246, 2247, 2248, 2249, 2250, 2251, 2252, 2253, 2254, 2255, 2256, 2257, 2258, 2259, 2260, 2261, 2262, 2263, 2264, 2265, 2266, 2267, 2268, 2269, 2270, 2271, 2272, 2273, 2274, 2275, 2276, 2277, 2278, 2279, 2280, 2281, 2282, 2283, 2284, 2285, 2286, 2287, 2288, 2289, 2290, 2291, 2292, 2293, 2294, 2295, 2296, 2297, 2298, 2299, 2300, 2301, 2302, 2303, 2304, 2305, 2306, 2307, 2308, 2309, 2310, 2311, 2312, 2313, 2314, 2315, 2316, 2317, 2318, 2319, 2320, 2321, 2322, 2323, 2324, 2325, 2326, 2327, 2328, 2329, 2330, 2331, 2332, 2333, 2334, 2335, 2336, 2337, 2338, 2339, 2340, 2341, 2342, 2343, 2344, 2345, 2346, 2347, 2348, 2349, 2350, 2351, 2352, 2353, 2354, 2355, 2356, 2357, 2358, 2359, 2360, 2361, 2362, 2363, 2364, 2365, 2366, 2367, 2368, 2369, 2370, 2371, 2372, 2373, 2374, 2375, 2376, 2377, 2378, 2379, 2380, 2381, 2382, 2383, 2384, 2385, 2386, 2387, 2388, 2389, 2390, 2391, 2392, 2393, 2394, 2395, 2396, 2397, 2398, 2399, 2400, 2401, 2402, 2403, 2404, 2405, 2406, 2407, 2408, 2409, 2410, 2411, 2412, 2413, 2414, 2415, 2416, 2417, 2418, 2419, 2420, 2421, 2422, 2423, 2424, 2425, 2426, 2427, 2428, 2429, 2430, 2431, 2432, 2433, 2434, 2435, 2436, 2437, 2438, 2439, 2440, 2441, 2442, 2443, 2444, 2445, 2446, 2447, 2448, 2449, 2450, 2451, 2452, 2453, 2454, 2455, 2456, 2457, 2458, 2459, 2460, 2461, 2462, 2463, 2464, 2465, 2466, 2467, 2468, 2469, 2470, 2471, 2472, 2473, 2474, 2475, 2476, 2477, 2478, 2479, 2480, 2481, 2482, 2483, 2484, 2485, 2486, 2487, 2488, 2489, 2490, 2491, 2492, 2493, 2494, 2495, 2496, 2497, 2498, 2499, 2500, 2501, 2502, 2503, 2504, 2505, 2506, 2507, 2508, 2509, 2510, 2511, 2512, 2513, 2514, 2515, 2516, 2517, 2518, 2519, 2520, 2521, 2522, 2523, 2524, 2525, 2526, 2527, 2528, 2529, 2530, 2531, 2532, 2533, 2534, 2535, 2536, 2537, 2538, 2539, 2540, 2541, 2542, 2543, 2544, 2545, 2546, 2547, 2548, 2549, 2550, 2551, 2552, 2553, 2554, 2555, 2556, 2557, 2558, 2559, 2560, 2561, 2562, 2563, 2564, 2565, 2566, 2567, 2568, 2569, 2570, 2571, 2572, 2573, 2574, 2575, 2576, 2577, 2578, 2579, 2580, 2581, 2582, 2583, 2584, 2585, 2586, 2587, 2588, 2589, 2590, 2591, 2592, 2593, 2594, 2595, 2596, 2597, 2598, 2599, 2600, 2601, 2602, 2603, 2604, 2605, 2606, 2607, 2608, 2609, 2610, 2611, 2612, 2613, 2614, 2615, 2616, 2617, 2618, 2619, 2620, 2621, 2622, 2623, 2624, 2625, 2626, 2627, 2628, 2629, 2630, 2631, 2632, 2633, 2634, 2635, 2636, 2637, 2638, 2639, 2640, 2641, 2642, 2643, 2644, 2645, 2646, 2647, 2648, 2649, 2650, 2651, 2652, 2653, 2654, 2655, 2656, 2657, 2658, 2659, 2660, 2661, 2662, 2663, 2664, 2665, 2666, 2667, 2668, 2669, 2670, 2671, 2672, 2673, 2674, 2675, 2676, 2677, 26

2000-01-01 to 2000-01-01

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THE UNIVERSITY OF CHICAGO

1. The first step is to identify the problem or question that needs to be answered. This involves understanding the context and the specific information required.

5. Failure of either party to follow District of Columbia laws, rules, or regulations; or failure of either party to follow the terms of the MOU;
6. The convenience of either party.

VIII. NOTICE

A. For DGS:

The following individual is the DGS contact point under this MOU:

George Lewis
Associate Director
Contracts and Procurement Chief Procurement Officer
Department of General Services
George.lewis@dc.gov

B. For Captive:

The following individual is the Captive contact point under this MOU:

Jane Waters
Insurance Program Administrator
Jane.waters@dc.gov

IX. MODIFICATIONS

The terms and conditions of this MOU may be modified only upon prior written agreement by the Parties.

X. AUTHORITY FOR MOU

D.C. Official Code §§ 1-301.01(k).

XI. MISCELLANEOUS

The Parties shall comply with all applicable laws, rules and regulations whether now in force or hereafter enacted or promulgated.

SIGNATURES ON NEXT PAGE

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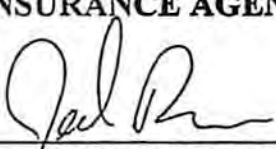
IN WITNESS WHEREOF, the Parties have executed this MOU as follows:

DISTRICT OF COLUMBIA DEPARTMENT OF GENERAL SERVICES

By: 
Keith A. Anderson, Director

Date: 10/17/19


CAPTIVE INSURANCE AGENCY

By: 
Jed Ross, Chief Risk Officer

Date: 10/4/19

INTRA-DISTRICT STANDARD REQUESTS FORM
GOVERNMENT OF THE DISTRICT OF COLUMBIA

PART I

MOU NUMBER: _____		AMOUNT: \$41,300
Services: _____		Amount (IDSR): \$41,300.00
SELLER INFORMATION		
AGENCY:	<u>DC Captive Insurance Agency</u>	AGENCY CODE: RJ0
NAME OF CONTACT:	<u>Alemayehu Awas</u>	
ADDRESS :	<u>441 4th Street NW, Suite 890N</u>	
	<u>Washington, DC 20024</u>	
TELEPHONE # :	202-727-6535	
FAX # :	202-727-2202	
AUTHORIZING OFFICER		<u>10/23/19</u>
BUYER INFORMATION		
AGENCY:	<u>Department of General Services</u>	AGENCY CODE: AM0
NAME OF CONTACT:	<u>Henry Wong</u>	
ADDRESS :	<u>2000 14th St. NW</u>	
	<u>Washington, DC 20001</u>	
TELEPHONE # :	(202) 698 - 7481	
FAX # :		
AUTHORIZING OFFICER	<u>Janyla Hatchel-Thomson</u> for HW	

PLEASE SEE NEXT PAGE FOR GOODS/ SERVICES DESCRIPTION AND FUNDING INFORMATION

UNITED STATES DEPARTMENT OF AGRICULTURE
BUREAU OF PLANT INDUSTRY

1917

PLANT INDUSTRY

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**INTRA-DISTRICT STANDARD REQUESTS FORM
GOVERNMENT OF THE DISTRICT OF COLUMBIA**

PART II

MOU NUMBER: _____

SERVICE INFORMATION AND FUNDING CODES

GOOD/ SERVICE: Procurement of builder's risk insurance policy for pre-construction and construction of
CW Harris School to cover the term of the project through May 31, 2020.

CW Harris School

TOTAL: \$41,300.00

	AGY	AY	FUND	INDEX	PCA	OBJ	AOBJ	PROJ/PH	Amount
SELLER	RJ0	2019	0750	RJCWH	RJPCW	4608	4608	RJPCWH/20	\$41,300.00
BUYER	AM0	2008	0301	YY78P	95101	0409	0409	YY178C/04	\$41,300.00

TOTAL: _____

	AGY	AY	FUND	INDEX	PCA	OBJ	AOBJ	PROJ/PH	Amount
SELLER									
BUYER									\$0.00

1917

THE FOLLOWING IS A SUMMARY OF THE RESULTS OF THE
PLANT INDUSTRY SURVEY FOR THE YEAR 1917.
The survey was conducted by the Bureau of Plant Industry
in cooperation with the State Departments of Agriculture
of the several States. The results of the survey are
presented in the following summary.

PLANT INDUSTRY SURVEY FOR THE YEAR 1917.
The survey was conducted by the Bureau of Plant Industry
in cooperation with the State Departments of Agriculture
of the several States. The results of the survey are
presented in the following summary.

PLANT INDUSTRY SURVEY FOR THE YEAR 1917.
The survey was conducted by the Bureau of Plant Industry
in cooperation with the State Departments of Agriculture
of the several States. The results of the survey are
presented in the following summary.

S061 V2.1 PRD DISTRICT OF COLUMBIA R*STARS 2.1 10/29/19 08:14 AM
 LINK TO: _____ AGENCY BUDGET FINANCIAL INQUIRY DSNF

AGENCY: RJ0 AY: 20 ORG CODE: 2000 PGM CODE: 2001 FUNC CODE: _____
 AP FUND: _____ FUND: 7750 GRANT/PH: _____ PROJECT/PH: RJ0CWH 20
 COMP SRC/GRP: 1070 OBJ/COBJ/AGY OBJ: _____ AGY OBJ GROUP: _____
 ORG LEVEL: 02 MEDICAL LIABILITY CAPTIVE OPERATIONS
 PGM LEVEL: 02 OVERSIGHT
 FUNC LEVEL: _____

INQ TYPE: <u>MC</u>	(MA, YA, MY, YY, MC, YC)	DETAIL/SUMMARY: <u>D</u>	
INQ YEAR: <u>20</u>	INQ MONTH: <u>01</u>	ADJUSTED BUDG:	.00
BUDGET AVAIL:	41,300.00	BUDG % AVAIL:	.00
EXPEND/BUDG %:	0.00	ALLOT/BUDG %:	0.00
ALLOTMENT BAL:	41,300.00	UNEXPND ALLOT:	41,300.00
BT TITLE	AMOUNT	BT TITLE	AMOUNT
16 INTRA-DIST	41,300.00-		

F1-HELP F2-DOC INQ F5-NEXT F9-INTERRUPT ENTER-INQUIRE CLEAR-EXIT

09. Capital ID CW Harris School DGS extension

GOVERNMENT OF THE DISTRICT OF COLUMBIA
OFFICE OF THE CHIEF FINANCIAL OFFICER
GOVERNMENT OPERATIONS CLUSTER
OFFICE OF FINANCE AND RESOURCE MANAGEMENT



MEMORANDUM

TO: Gordon McDonald, Deputy Chief Financial Officer
Office of Budget and Planning

THRU: ^{for} Angelique Rice, Associate Chief Financial Officer
Government Operations Cluster *ML Bolden*

FROM: Alemayehu Awas, Agency Fiscal Officer
Government Operations Cluster *Alemayehu Awas*

DATE: June 16, 2020

SUBJECT: FY20 Capital Intra-District Budget Authority

The Captive Insurance Agency (RJ0) is requesting a Capital intra-district budget authority increase in the amount of \$9,817.00 to fund a FY20 MOU with the Department of General Services (AM0). The funding will cover the costs associated with the Captive Insurance Agency procuring a Builders Risk Insurance policy related to construction services at the C.W. Harris Project. Attached is a copy of the memorandum of understanding (MOU).

The funding attributes are listed below.

PROJECT #	PHASE	INDEX	PCA	APPN#	CSG	AMOUNT
RJ0CWH	20	RJCWH	RJ0CW	80000	0408	9,817.00
					Total	\$9,817.00

If you have any question, please contact me on 202-727-6535.

Attachments

S061 V2.1 PRD DISTRICT OF COLUMBIA R*STARS 2.1 06/15/20 11:43 AM
 LINK TO: AGENCY BUDGET FINANCIAL INQUIRY DSNE

AGENCY: RJ0 AY: 20 ORG CODE: 2000 PGM CODE: 2001 FUNC CODE:
 AP FUND: FUND: 7750 GRANT/PH: PROJECT/PH: RJ0CWH 20
 COMP SRC/GRP: 1070 OBJ/COBJ/AGY OBJ: AGY OBJ GROUP:
 ORG LEVEL: 02 MEDICAL LIABILITY CAPTIVE OPERATIONS
 PGM LEVEL: 02 OVERSIGHT
 FUNC LEVEL:
 INQ TYPE: MC (MA, YA, MY, YY, MC, YC) DETAIL/SUMMARY: D
 INQ YEAR: 20 INQ MONTH: 09 ADJUSTED BUDG: .00
 BUDGET AVAIL: 15,366.39 BUDG % AVAIL: .00
 EXPEND/BUDG %: 0.00 ALLOT/BUDG %: 0.00
 ALLOTMENT BAL: 15,366.39 UNEXPND ALLOT: 15,366.39
 BT TITLE AMOUNT BT TITLE AMOUNT
 12 CASH REVENUE 35,750.61
 16 INTRA-DIST 15,366.39-

F1-HELP F2-DOC INQ F5-NEXT F9-INTERRUPT ENTER-INQUIRE CLEAR-EXIT

**MEMORANDUM OF UNDERSTANDING
BY AND BETWEEN
CAPTIVE INSURANCE AGENCY
AND
DEPARTMENT OF GENERAL SERVICES**

This Memorandum of Understanding (“MOU”) is entered into by and between the Captive Insurance Agency (“Captive”) and the Department of General Services (“DGS”), each referred to herein as a “Party” and collectively to herein as the “Parties”.

I. INTRODUCTION AND OVERVIEW

Pursuant to the terms of this MOU, DGS will provide funds to the Captive to reimburse the Captive for the cost it incurs for the extension of a builders’ risk policy of insurance (the “Policy”) from May 31, 2020 to August 31, 2020, relating to construction work at C.W. Harris Elementary School.

II. SCOPE OF WORK

A. Responsibilities of the Captive

Procure extension of the Policy for C.W. Harris Elementary School commencing May 31, 2020 and continuing through the anticipated completion date of August 31, 2020.

B. Responsibilities of DGS

Reimburse the Captive Nine Thousand Eight Hundred Seventeen Dollars (\$9,817.00) for the actual cost of the Policy extension.

III. FUNDING

A. Cost of services

Total cost for services is \$9,817.00.

B. Payment

1. Payment for services shall be made through an Intra-District advance by DGS to ORM in the amount of \$9,817.00.

2. Advances to ORM for the services to be provided shall not exceed the actual cost of this MOU, \$9,817.00.

3. The Parties' Directors or their designees shall resolve all adjustments and disputes arising from services performed under this MOU.

IV. ANTI-DEFICIENCY CONSIDERATIONS

A. Federal and District of Columbia Anti-Deficiency Act

The Parties acknowledge and agree that their respective obligations to fulfill financial obligations of any kind pursuant to any and all provisions of this MOU, or any subsequent agreement entered into by the parties pursuant to this MOU, are and shall remain subject to the provisions of (i) the Federal Anti-Deficiency Act, 31 U.S.C. §§1341, 1342, 1349, 1351; (ii) the District of Columbia Anti-Deficiency Act, D.C. Official Code §§ 47-355.01-355.08 (2001); (iii) D.C. Official Code § 47-105 (2001); and (iv) D.C. Official Code § 1-204.46 (2006 Supp.)

B. Continuing Legal Obligation

The Parties acknowledge and agree that their respective obligations under the foregoing statutes may be amended from time to time, regardless of whether a particular obligation has been expressly so conditioned.

V. DURATION OF MOU

This MOU shall commence on the Effective Date and remain in effect through September 30, 2020 unless sooner terminated pursuant to Article VII of this MOU.

VI. COMPLIANCE AND MONITORING

A. Monitoring

As this MOU is funded by District of Columbia funds, the Captive will be subject to scheduled and unscheduled monitoring reviews

by the District government to ensure compliance with all applicable requirements.

B. Records and reports

1. The Captive shall maintain records and receipts for the expenditure of all funds received for a period of no less than three (3) years from the date of expiration or termination of the MOU.
2. Upon DGS' request, the Captive will make these documents available for inspection by duly authorized representatives of DGS and other District officials as may be specified by DGS at its sole discretion.

VII. TERMINATION

A. Communication

Either Party may terminate this MOU in whole or in part by giving thirty (30) calendar days advance written notice to the other Party.

B. Cause

This MOU may be terminated for any of the following reasons:

1. Lack of funding;
2. Changes in applicable law;
3. Changes in the structure or nature of the program;
4. Elimination of the program or service;
5. Failure of either party to follow District of Columbia laws, rules, or regulations; or failure of either party to follow the terms of the MOU;
6. The convenience of either party.

VIII. NOTICE

A. For Department of General Services:

The following individual is the DGS contact point under this MOU:

George Lewis
Associate Director
Contracts and Procurement Chief Procurement Officer
Department of General Services
George.lewis@dc.gov

B. For the Captive:

The following individual is the ORM contact point under this MOU:

Jane Waters
Insurance Program Administrator
Jane.waters@dc.gov

IX. MODIFICATIONS

The terms and conditions of this MOU may be modified only upon prior written agreement by the Parties.

X. AUTHORITY FOR MOU

D.C. Official Code §§ 1-301.01(k).

XI. MISCELLANEOUS

The Parties shall comply with all applicable laws, rules and regulations whether now in force or hereafter enacted or promulgated.

IN WITNESS WHEREOF, the Parties have executed this MOU as follows:

SIGNATURES ON NEXT PAGE

**DISTRICT OF COLUMBIA DEPARTMENT OF GENERAL
SERVICES**

signed via SeamblesDocs.com
Keith A. Anderson
Key: 544299c00cc0cd70f6b63aee64024d

By: _____
Keith A. Anderson, Director

Date: June 09,
2020

CAPTIVE INSURANCE AGENCY

By: *Jed Ross*
Jed Ross, Chief Risk Officer

Date: 4/7/2020

INTRA-DISTRICT STANDARD REQUEST FORM

Government of the District of Columbia

PART I**GENERAL**

MOU NUMBER: _____ EFF DATE OF MOU: _____

SELLER INFORMATIONAGENCY: DC Captive Insurance Agency AGENCY CODE: RJ0NAME OF CONTACT: Alemayehu AwasADDRESS : 441 4th Street NW, Suite 890NWashington, DC 20012TELEPHONE # : 202-727-6535FAX # : 202-727-2202AUTHORIZING OFFICER a.awas DATE: 04 / 10 / 20**BUYER INFORMATION**AGENCY: Department of General Services AGENCY CODE: AM0NAME OF CONTACT: Antoinette Hudson-BeckhamADDRESS : 2000 14th St. NW, 5th FloorWashington, DC 20001TELEPHONE # : 202-698-7476

FAX # : _____

AUTHORIZING OFFICER *Danya Hatchel-Thomson* for AHB DATE: 04 / 10 / 20

PLEASE SEE NEXT PAGE FOR FUNDING INFORMATION

PART II

MOU NUMBER: _____ 2 OF 2

SERVICE INFORMATION AND FUNDING CODES

GOOD/ SERVICE: DGS will provide "Capital" funds to the Captive to reimburse the Captive for the cost

it incurs for the extension of a builder's risk policy of insurance from 05/31/2020 -08/31/2020

For the CW Harris ES Modernization capital project

\$9,817.00

	AGY	YR	ORG CODE	FUND	INDEX	PCA	OBJ	AOBJ	GRANT/PH	PROJ/PH
Seller	RJ0	2020		0750	RJCWH	RJ0CW	4600	4600		RJ0CWH/20
Buyer	AM0	2008	2003	0300	YY78E	95101	0409	0409	N/A	YY178C/04

10. Capital ID Hearst Pool and Park DGS

GOVERNMENT OF THE DISTRICT OF COLUMBIA
OFFICE OF THE CHIEF FINANCIAL OFFICER
GOVERNMENT OPERATIONS CLUSTER
OFFICE OF FINANCE AND RESOURCE MANAGEMENT



MEMORANDUM

TO: Gordon McDonald, Deputy Chief Financial Officer
Office of Budget and Planning

THRU: Angelique Rice, Associate Chief Financial Officer
Government Operations Cluster

FROM: Alemayehu Awas, Agency Fiscal Officer
Government Operations Cluster

DATE: October 29, 2019

SUBJECT: FY20 Capital Intra-District Budget Authority

The Captive Insurance Agency (RJ0) is requesting a Capital intra-district budget authority increase in the amount of \$5,210.00 to fund a FY20 MOU with the Department of General Services (AM0). The funding will cover the costs associated with the Captive Insurance Agency procuring a Builders Risk Insurance policy related to construction services at the Hearst Park and Pool Project. Attached is a copy of the memorandum of understanding (MOU).

The funding attributes are listed below.

PROJECT #	PHASE	INDEX	PCA	APPN#	CSG	AMOUNT
RJ0HPP	20	RJHPP	RJHPP	80000	0408	\$5,210.00
					Total	\$5,210.00

If you have any question, please contact me on 202-727-6535.

Attachments

**MEMORANDUM OF UNDERSTANDING
BY AND BETWEEN
DISTRICT OF COLUMBIA CAPTIVE INSURANCE AGENCY
AND
DISTRICT OF COLUMBIA DEPARTMENT OF GENERAL
SERVICES**

This Memorandum of Understanding ("MOU") is entered into as of the 1st day of October, 2019 (The Effective Date) between the District of Columbia Captive Insurance Agency ("Captive") and the District of Columbia Department of General Services ("DGS"), each referred to herein individually as a "Party" and collectively as the "Parties".

I. INTRODUCTION AND OVERVIEW

Pursuant to the terms of this MOU, DGS will provide funds to the Captive to reimburse the Captive for the cost it incurs for the procurement of a builders risk insurance policy to cover design and construction of the Hearst Park and Pool Project at 3950 37th Street, N.W., Washington, D.C.

II. SCOPE OF WORK

A. Responsibilities of Captive

Procure a builders risk insurance policy ("Policy") for the Hearst Park and Pool Project. The Policy term shall begin with the Insurance Broker's receipt of the Policy premium and will end at the anticipated completion date of the Hearst Park and Pool Project, May 31, 2020.

B. Responsibilities of DGS

Reimburse Captive \$5,210.00 for the actual cost of the builders risk insurance policy, the amount of the Policy premium.

III. FUNDING

A. Cost of services

Total cost for services is Five Thousand Two Hundred Ten Dollars (\$5,210.00).

B. Payment

1. Payment for services shall be made through an Intra-District advance by DGS to Captive in the amount of Five Thousand Two Hundred Ten Dollars (\$5,210.00).
2. Advances to Captive for the services to be provided shall not exceed the actual cost of this MOU, Five Thousand Two Hundred Ten Dollars (\$5,210.00).
3. The Parties' Directors or their designees shall resolve all adjustments and disputes arising from services performed under this MOU.

IV. ANTI-DEFICIENCY CONSIDERATIONS

A. Federal and District of Columbia Anti-Deficiency Act

The Parties acknowledge and agree that their respective obligations to fulfill financial obligations of any kind pursuant to any and all provisions of this MOU, or any subsequent agreement entered into by the parties pursuant to this MOU, are and shall remain subject to the provisions of (i) the Federal Anti-Deficiency Act, 31 U.S.C. §§1341, 1342, 1349, 1351; (ii) the District of Columbia Anti-Deficiency Act, D.C. Official Code §§ 47-355.01-355.08 (2001); (iii) D.C. Official Code § 47-105 (2001); and (iv) D.C. Official Code § 1-204.46 (2006 Supp.)

B. Continuing Legal Obligation

The Parties acknowledge and agree that their respective obligations under the foregoing statutes may be amended from time to time, regardless of whether a particular obligation has been expressly so conditioned.

V. DURATION OF MOU

This MOU shall commence on the Effective Date and remain in effect through September 30, 2020 unless sooner terminated pursuant to Article VII of this MOU.

VI. COMPLIANCE AND MONITORING

A. Monitoring

As this MOU is funded by District of Columbia funds, Captive will be subject to scheduled and unscheduled monitoring reviews by the District government to ensure compliance with all applicable requirements.

B. Records and reports

- 1. Captive shall maintain records and receipts for the expenditure of all funds received for a period of no less than three (3) years from the date of expiration or termination of the MOU.**
- 2. Upon DGS' request, Captive will make these documents available for inspection by duly authorized representatives of DGS and other District officials as may be specified by DGS at its sole discretion.**

VII. TERMINATION

A. Communication

Either Party may terminate this MOU in whole or in part by giving thirty (30) calendar days advance written notice to the other Party.

B. Cause

This MOU may be terminated for any of the following reasons:

- 1. Lack of funding;**
- 2. Changes in applicable law;**
- 3. Changes in the structure or nature of the program;**
- 4. Elimination of the program or service;**

5. Failure of either party to follow District of Columbia laws, rules, or regulations; or failure of either party to follow the terms of the MOU;
6. The convenience of either party.

VIII. NOTICE

A. For DGS:

The following individual is the DGS contact point under this MOU:

George Lewis
Associate Director
Contracts and Procurement Chief Procurement Officer
Department of General Services
George.lewis@dc.gov

B. For Captive:

The following individual is the Captive contact point under this MOU:

Jane Waters
Insurance Program Administrator
Jane.waters@dc.gov

IX. MODIFICATIONS

The terms and conditions of this MOU may be modified only upon prior written agreement by the Parties.

X. AUTHORITY FOR MOU

D.C. Official Code §§ 1-301.01(k).

XI. MISCELLANEOUS

The Parties shall comply with all applicable laws, rules and regulations whether now in force or hereafter enacted or promulgated.

IN WITNESS WHEREOF, the Parties have executed this MOU as follows:

DISTRICT OF COLUMBIA DEPARTMENT OF GENERAL SERVICES

By: 
Keith A. Anderson, Director

Date: 10/17/19

CAPTIVE INSURANCE AGENCY

By: 
Jed Ross, Chief Risk Officer

Date: 10/4/19

INTRA-DISTRICT STANDARD REQUESTS FORM
GOVERNMENT OF THE DISTRICT OF COLUMBIA

PART I

MOU NUMBER: _____

AMOUNT: \$5,210.00
Amount (IDSR): \$5,210.00

Services: _____

SELLER INFORMATION

AGENCY: DC Captive Insurance Agency AGENCY CODE: RJ0

NAME OF CONTACT: Alemayehu Awas

ADDRESS : 441 4th Street NW, Suite 890N

Washington, DC 20024

TELEPHONE # : 202-727-6535

FAX # : 202-727-2202

AUTHORIZING OFFICER

Alemayehu Awas

10/23/19

BUYER INFORMATION

AGENCY: Department of General Services AGENCY CODE: AM0

NAME OF CONTACT: Henry Wong

ADDRESS : 2000 14th St. NW

Washington, DC 20001

TELEPHONE # : (202) 698-7481

FAX # :

AUTHORIZING OFFICER

Tanya Hatchel-Thomson

for HW

PLEASE SEE NEXT PAGE FOR GOODS/ SERVICES DESCRIPTION AND FUNDING INFORMATION

INTRA-DISTRICT STANDARD REQUESTS FORM
GOVERNMENT OF THE DISTRICT OF COLUMBIA

PART II

\$5,210.00

MOU NUMBER: _____

SERVICE INFORMATION AND FUNDING CODES

GOOD/ SERVICE:

DGS will provide funds to the Captive to reimburse the Captive for the cost it incurs for the procurement of a
 builders risk insurance policy to cover design and construction of the Hearst Park and Pool Project at
 3950 37th Street,N.W., Washington, D.C.

Hearst Park Pool

TOTAL:

\$2,605.00

	AGY	AY	FUND	INDEX	PCA	OBJ	AOBJ	PROJ/PH	Amount
SELLER	RJD	2020	0750	RJHPP	RJHPP	4600	4600	RJHPP/20	2,605.00
BUYER	AM0	2015	0300	WDTPA	95101	0409	0409	WD3PLC/01	\$2,605.00

Hearst Park

TOTAL:

\$2,605.00

	AGY	AY	FUND	INDEX	PCA	OBJ	AOBJ	PROJ/PH	Amount
SELLER	RJD	2020	0750	RJHPP	RJHPP	4600	4600	RJHPP/20	
BUYER	AM0	2015	0300	HTSPA	95101	0409	0409	HTSPKC/01	\$2,605.00

S061 V2.1 PRD DISTRICT OF COLUMBIA R*STARS 2.1 10/29/19 08:15 AM
LINK TO: AGENCY BUDGET FINANCIAL INQUIRY DSNF

AGENCY: RJO AY: 20 ORG CODE: 2000 PGM CODE: 2001 FUNC CODE: _____
AP FUND: _____ FUND: 7750 GRANT/PH: _____ PROJECT/PH: RJOHPP 20
COMP SRC/GRP: 1070 OBJ/COBJ/AGY OBJ: _____ AGY OBJ GROUP: _____
ORG LEVEL: 02 MEDICAL LIABILITY CAPTIVE OPERATIONS
PGM LEVEL: 02 OVERSIGHT
FUNC LEVEL: _____
INQ TYPE: MC (MA, YA, MY, YY, MC, YC) DETAIL/SUMMARY: D
INQ YEAR: 20 INQ MONTH: 01 ADJUSTED BUDG: _____ .00
BUDGET AVAIL: _____ 5,210.00 BUDG % AVAIL: _____ .00
EXPEND/BUDG %: _____ 0.00 ALLOT/BUDG %: _____ 0.00
ALLOTMENT BAL: _____ 5,210.00 UNEXPND ALLOT: _____ 5,210.00
BT TITLE AMOUNT BT TITLE AMOUNT
16 INTRA-DIST 5,210.00-

F1-HELP F2-DOC INQ F5-NEXT F9-INTERRUPT ENTER-INQUIRE CLEAR-EXIT

11. Capital ID Hearst Pool and Park Amend 1 DGS

GOVERNMENT OF THE DISTRICT OF COLUMBIA
OFFICE OF THE CHIEF FINANCIAL OFFICER
GOVERNMENT OPERATIONS CLUSTER
OFFICE OF FINANCE AND RESOURCE MANAGEMENT



MEMORANDUM

TO: Gordon McDonald, Deputy Chief Financial Officer
Office of Budget and Planning

THRU: for *ML Bolden*
Angelique Rice, Associate Chief Financial Officer
Government Operations Cluster

FROM: Alemayehu Awas, Agency Fiscal Officer
Government Operations Cluster *Alemayehu Awas*

DATE: June 16, 2020

SUBJECT: FY20 Capital Intra-District Budget Authority

The Captive Insurance Agency (RJ0) is requesting a Capital intra-district budget authority increase in the amount of \$2,747.71 to fund Amendment No. 1 to the FY20 MOU with the Department of General Services (AM0). The funding will cover the costs associated with the Captive Insurance Agency procuring a Builders Risk Insurance policy related to construction services at the Hearst Pool and Park Project. Attached is a copy of the memorandum of understanding (MOU).

The funding attributes are listed below.

PROJECT #	PHASE	INDEX	PCA	APPN#	CSG	AMOUNT
RJ0HPP	20	RJHPP	RJHPP	80000	0408	2,747.71
					Total	\$2,747.71

If you have any question, please contact me on 202-727-6535.

Attachments

S061 V2.1 PRD DISTRICT OF COLUMBIA R*STARS 2.1 06/15/20 11:43 AM
 LINK TO: AGENCY BUDGET FINANCIAL INQUIRY DSNF

AGENCY: RJ0 AY: 20 ORG CODE: 2000 PGM CODE: 2001 FUNC CODE: _____
 AP FUND: _____ FUND: 7750 GRANT/PH: _____ PROJECT/PH: RJ0HPP 20
 COMP SRC/GRP: 1070 OBJ/COBJ/AGY OBJ: _____ AGY OBJ GROUP: _____
 ORG LEVEL: 02 MEDICAL LIABILITY CAPTIVE OPERATIONS
 PGM LEVEL: 02 OVERSIGHT
 FUNC LEVEL: _____
 INQ TYPE: MC (MA, YA, MY, YY, MC, YC) DETAIL/SUMMARY: D
 INQ YEAR: 20 INQ MONTH: 09 ADJUSTED BUDG: .00
 BUDGET AVAIL: 2,747.72 BUDG % AVAIL: .00
 EXPEND/BUDG %: 0.00 ALLOT/BUDG %: 0.00
 ALLOTMENT BAL: 2,747.72 UNEXPND ALLOT: 2,747.72
 BT TITLE AMOUNT BT TITLE AMOUNT
 12 CASH REVENUE 5,210.00
 16 INTRA-DIST 2,747.72-

F1-HELP F2-DOC INQ F5-NEXT F9-INTERRUPT ENTER-INQUIRE CLEAR-EXIT

**FIRST AMENDMENT OF MEMORANDUM OF UNDERSTANDING
BETWEEN
CAPTIVE INSURANCE AGENCY
AND
DEPARTMENT OF GENERAL SERVICES**

This First Amendment of Memorandum of Understanding is made and entered into as of the ___ day of December, 2019, by and between the Department of General Services ("DGS"), and the Captive Insurance Agency ("Captive"), referred to herein individually as a "Party" and collectively as the "Parties".

RECITALS

WHEREAS, DGS and the Captive entered into that certain Memorandum of Understanding ("MOU") wherein DGS transferred funds in the amount of \$5,210.00 to the Captive for the cost of a builder's risk insurance policy ("Policy") to cover the design and construction of the Hearst Park and Pool Project at 3950 37th Street, N.W. ;

WHEREAS, the cost of the Policy has increased in the amount of \$2,747.71, and

WHEREAS, DGS has agreed to advance the increased Policy cost of \$2,747.71 to the Captive through an Intra-District advance by DGS to the Captive and to amend certain Provisions of the MOU as follows:

NOW, THEREFORE, DGS and the Captive hereby mutually agree as follows:

1. Article II.B of The MOU shall be and is hereby modified by striking "\$5,210.00" after "Reimburse Captive" and inserting "\$7,957.71" in its place.

2. Article III.A of the MOU shall be and is hereby modified to read as follows:

"Total cost for services is Seven Thousand Nine Hundred Fifty Seven Dollars and Seventy One Cents (\$7,957.71)."

3. Article III.B.1 shall be and is hereby modified by striking "Five Thousand Two Hundred Ten Dollars (\$5,210)" and inserting "Seven Thousand Nine Hundred Fifty Seven Dollars and Seventy One Cents (\$7,957.71)." in its place.

4. Article III.B.2 shall be and is hereby modified by to read as follows:

"Advances to Captive for services to be provided shall not exceed the actual cost of this MOU, Seven Thousand Nine Hundred Fifty Seven Dollars and Seventy One Cents (\$7,957.71)."

5. Except as otherwise specifically amended herein, this MOU shall remain unchanged and in full force and effect.

IN WITNESS WHEREOF, the Parties hereto have caused this First Amendment of Memorandum of Understanding to be duly executed as of the day and year first above written.

CAPTIVE INSURANCE AGENCY


Jed Ross, Chief Risk Officer
Chief Risk Officer

DEPARTMENT OF GENERAL SERVICES


Key: 48505032884954085946f0c258034f59

Keith A. Anderson, Director

INTRA-DISTRICT STANDARD REQUESTS FORM
GOVERNMENT OF THE DISTRICT OF COLUMBIA

PART I

MOU NUMBER:	_____	AMOUNT:	\$2,747.71
Services:		Amount (IDSR):	\$2,747.71
SELLER INFORMATION			
AGENCY:	DC Captive Insurance Agency	AGENCY CODE:	RJ0
NAME OF CONTACT:	Alemayehu Awas		
ADDRESS:	441 4th Street NW, Suite 890N		
	Washington, DC 20024		
TELEPHONE #:	202-727-6535		
FAX #:	202-727-2202		
AUTHORIZING OFFICER	<u>Alemayehu Awas</u>		06/09/2020
BUYER INFORMATION			
AGENCY:	Department of General Services	AGENCY CODE:	AM0
NAME OF CONTACT:	Antoinette Hudson Beckham		
ADDRESS:	2000 14th St. NW, 5th Fl		
	Washington, DC 20001		
TELEPHONE #:	(202) 698-7476		
FAX #:			
AUTHORIZING OFFICER	<u>Antoinette Hudson Beckham</u>		

PLEASE SEE NEXT PAGE FOR GOODS' SERVICES DESCRIPTION AND FUNDING INFORMATION

INTRA-DISTRICT STANDARD REQUESTS FORM
GOVERNMENT OF THE DISTRICT OF COLUMBIA

PART II

\$2,747.71

MOU NUMBER: _____

SERVICE INFORMATION AND FUNDING CODES

GOOD/ SERVICE:

DGS will provide funds to the Captive to reimburse the Captive for the cost it incurs for the procurement of a builders risk insurance policy to cover "capital" design and construction of the Hearst Park and Pool Project at 3950 37th Street, N.W., Washington, D.C.

Hearst Park Pool

TOTAL:

\$1,373.86

	AGY	AY	FUND	INDEX	PCA	OBJ	AOBJ	PROJ/PH	Amount
SELLER	RSD	2020	0750	RJHPP	RJHPP	4600	4600	RJHPP/20	1373.86
BUYER	AM0	2015	0300	WDTPA	95101	0409	0409	WD3PLC:01	\$1,373.86

Hearst Park

TOTAL:

\$1,373.86

	AGY	AY	FUND	INDEX	PCA	OBJ	AOBJ	PROJ/PH	Amount
SELLER	RSD	2020	0750	RJHPP	RJHPP	4600	4600	RJHPP/20	1373.86
BUYER	AM0	2015	0300	HTSPA	95101	0409	0409	HTSPKC:01	\$1,373.86

**MEMORANDUM OF UNDERSTANDING
BY AND BETWEEN
DISTRICT OF COLUMBIA CAPTIVE INSURANCE AGENCY
AND
DISTRICT OF COLUMBIA DEPARTMENT OF GENERAL
SERVICES**

This Memorandum of Understanding ("MOU") is entered into as of the 1st day of October, 2019 (The Effective Date) between the District of Columbia Captive Insurance Agency ("Captive") and the District of Columbia Department of General Services ("DGS"), each referred to herein individually as a "Party" and collectively as the "Parties".

I. INTRODUCTION AND OVERVIEW

Pursuant to the terms of this MOU, DGS will provide funds to the Captive to reimburse the Captive for the cost it incurs for the procurement of a builders risk insurance policy to cover design and construction of the Hearst Park and Pool Project at 3950 37th Street, N.W., Washington, D.C.

II. SCOPE OF WORK

A. Responsibilities of Captive

Procure a builders risk insurance policy ("Policy") for the Hearst Park and Pool Project. The Policy term shall begin with the Insurance Broker's receipt of the Policy premium and will end at the anticipated completion date of the Hearst Park and Pool Project, May 31, 2020.

B. Responsibilities of DGS

Reimburse Captive \$5,210.00 for the actual cost of the builders risk insurance policy, the amount of the Policy premium.

III. FUNDING

A. Cost of services

Total cost for services is Five Thousand Two Hundred Ten Dollars (\$5,210.00).

B. Payment

1. Payment for services shall be made through an Intra-District advance by DGS to Captive in the amount of Five Thousand Two Hundred Ten Dollars (\$5,210.00).
2. Advances to Captive for the services to be provided shall not exceed the actual cost of this MOU, Five Thousand Two Hundred Ten Dollars (\$5,210.00).
3. The Parties' Directors or their designees shall resolve all adjustments and disputes arising from services performed under this MOU.

IV. ANTI-DEFICIENCY CONSIDERATIONS

A. Federal and District of Columbia Anti-Deficiency Act

The Parties acknowledge and agree that their respective obligations to fulfill financial obligations of any kind pursuant to any and all provisions of this MOU, or any subsequent agreement entered into by the parties pursuant to this MOU, are and shall remain subject to the provisions of (i) the Federal Anti-Deficiency Act, 31 U.S.C. §§1341, 1342, 1349, 1351; (ii) the District of Columbia Anti-Deficiency Act, D.C. Official Code §§ 47-355.01-355.08 (2001); (iii) D.C. Official Code § 47-105 (2001); and (iv) D.C. Official Code § 1-204.46 (2006 Supp.)

B. Continuing Legal Obligation

The Parties acknowledge and agree that their respective obligations under the foregoing statutes may be amended from time to time, regardless of whether a particular obligation has been expressly so conditioned.

V. DURATION OF MOU

This MOU shall commence on the Effective Date and remain in effect through September 30, 2020 unless sooner terminated pursuant to Article VII of this MOU.

VI. COMPLIANCE AND MONITORING

A. Monitoring

As this MOU is funded by District of Columbia funds, Captive will be subject to scheduled and unscheduled monitoring reviews by the District government to ensure compliance with all applicable requirements.

B. Records and reports

- 1. Captive shall maintain records and receipts for the expenditure of all funds received for a period of no less than three (3) years from the date of expiration or termination of the MOU.**
- 2. Upon DGS' request, Captive will make these documents available for inspection by duly authorized representatives of DGS and other District officials as may be specified by DGS at its sole discretion.**

VII. TERMINATION

A. Communication

Either Party may terminate this MOU in whole or in part by giving thirty (30) calendar days advance written notice to the other Party.

B. Cause

This MOU may be terminated for any of the following reasons:

- 1. Lack of funding;**
- 2. Changes in applicable law;**
- 3. Changes in the structure or nature of the program;**
- 4. Elimination of the program or service;**

5. Failure of either party to follow District of Columbia laws, rules, or regulations; or failure of either party to follow the terms of the MOU;
6. The convenience of either party.

VIII. NOTICE

A. For DGS:

The following individual is the DGS contact point under this MOU:

George Lewis
Associate Director
Contracts and Procurement Chief Procurement Officer
Department of General Services
George.lewis@dc.gov

B. For Captive:

The following individual is the Captive contact point under this MOU:

Jane Waters
Insurance Program Administrator
Jane.waters@dc.gov

IX. MODIFICATIONS

The terms and conditions of this MOU may be modified only upon prior written agreement by the Parties.

X. AUTHORITY FOR MOU

D.C. Official Code §§ 1-301.01(k).

XI. MISCELLANEOUS

The Parties shall comply with all applicable laws, rules and regulations whether now in force or hereafter enacted or promulgated.

IN WITNESS WHEREOF, the Parties have executed this MOU as follows:

DISTRICT OF COLUMBIA DEPARTMENT OF GENERAL SERVICES

By: 
Keith A. Anderson, Director

Date: 10/17/19

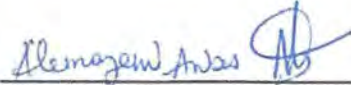

CAPTIVE INSURANCE AGENCY

By: 
Jed Ross, Chief Risk Officer

Date: 10/4/19

INTRA-DISTRICT STANDARD REQUESTS FORM
GOVERNMENT OF THE DISTRICT OF COLUMBIA

PART I

MOU NUMBER:	_____	AMOUNT:	\$5,210.00
Services:		Amount (IDSR):	\$5,210.00
SELLER INFORMATION			
AGENCY:	<u>DC Captive Insurance Agency</u>	AGENCY CODE:	RJ0
NAME OF CONTACT:	<u>Alemayehu Awas</u>		
ADDRESS :	<u>441 4th Street NW, Suite 890N</u>		
	<u>Washington, DC 20024</u>		
TELEPHONE # :	202-727-6535		
FAX # :	202-727-2202		
AUTHORIZING OFFICER	<u></u>	<u>10/23/19</u>	
BUYER INFORMATION			
AGENCY:	<u>Department of General Services</u>	AGENCY CODE:	AM0
NAME OF CONTACT:	<u>Henry Wong</u>		
ADDRESS :	<u>2000 14th St. NW</u>		
	<u>Washington, DC 20001</u>		
TELEPHONE # :	(202) 698-7481		
FAX # :			
AUTHORIZING OFFICER	<u></u>	for HW	

PLEASE SEE NEXT PAGE FOR GOODS/ SERVICES DESCRIPTION AND FUNDING INFORMATION

INTRA-DISTRICT STANDARD REQUESTS FORM
GOVERNMENT OF THE DISTRICT OF COLUMBIA

PART II

\$5,210.00

MOU NUMBER: _____

SERVICE INFORMATION AND FUNDING CODES

GOOD/ SERVICE:

DGS will provide funds to the Captive to reimburse the Captive for the cost it incurs for the procurement of a
builders risk insurance policy to cover design and construction of the Hearst Park and Pool Project at
3950 37th Street, N.W., Washington, D.C.

Hearst Park Pool

TOTAL:

\$2,605.00

	AGY	AY	FUND	INDEX	PCA	OBJ	ACBJ	PROJ/PH	Amount
SELLER	KJO	2020	0750	RJHPP	RJHPP	4600	4600	RJHPP/20	2605.00
BUYER	AM0	2015	0300	WDTPA	95101	0409	0409	WD3PLC/01	\$2,605.00

Hearst Park

TOTAL:

\$2,605.00

	AGY	AY	FUND	INDEX	PCA	OBJ	ACBJ	PROJ/PH	Amount
SELLER	LT0	2020	0750	RJHPP	RJHPP	4600	4600	RJHPP/20	2605.00
BUYER	AM0	2015	0300	HTSPA	95101	0409	0409	HTSPKC/01	\$2,605.00

12. Capital ID John Eaton Elem

GOVERNMENT OF THE DISTRICT OF COLUMBIA
OFFICE OF THE CHIEF FINANCIAL OFFICER
GOVERNMENT OPERATIONS CLUSTER
OFFICE OF FINANCE AND RESOURCE MANAGEMENT



MEMORANDUM

TO: Gordon McDonald, Deputy Chief Financial Officer
Office of Budget and Planning

THRU: Angelique Rice, Associate Chief Financial Officer
Government Operations Cluster

FROM: Alemayehu Awas, Agency Fiscal Officer
Government Operations Cluster

DATE: February 24, 2020

SUBJECT: FY20 Capital Intra-District Budget Authority

The Captive Insurance Agency (RJ0) is requesting a Capital intra-district budget authority increase in the amount of \$64,513.00 to fund a FY20 MOU with the Department of General Services (AM0). The funding will cover the costs associated with the Captive Insurance Agency procuring a Builders Risk Insurance policy related to construction services at the John Eaton Elementary Project. Attached is a copy of the memorandum of understanding (MOU).

The funding attributes are listed below.

PROJECT #	PHASE	INDEX	PCA	APPN#	CSG	AMOUNT
RJ0JEP	20	RJJEP	RJJEP	80000	0408	64,513.00
					Total	\$64,513.00

If you have any question, please contact me on 202-727-6535.

Attachments

S061 V2.1 PRD DISTRICT OF COLUMBIA R*STARS 2.1 02/24/20 03:53 PM
LINK TO: AGENCY BUDGET FINANCIAL INQUIRY DSNF

AGENCY: RJ0 AY: 20 ORG CODE: 2000 PGM CODE: 2001 FUNC CODE: _____
AP FUND: _____ FUND: 7750 GRANT/PH: _____ PROJECT/PH: RJ0JEP 20
COMP SRC/GRP: 1070 OBJ/COBJ/AGY OBJ: _____ AGY OBJ GROUP: _____
ORG LEVEL: 02 MEDICAL LIABILITY CAPTIVE OPERATIONS
PGM LEVEL: 02 OVERSIGHT
FUNC LEVEL: _____

INQ TYPE: <u>MC</u>	(MA, YA, MY, YY, MC, YC)	DETAIL/SUMMARY: <u>D</u>	
INQ YEAR: <u>20</u>	INQ MONTH: <u>05</u>	ADJUSTED BUDG:	.00
BUDGET AVAIL:	64,513.00	BUDG % AVAIL:	.00
EXPEND/BUDG %:	0.00	ALLOT/BUDG %:	0.00
ALLOTMENT BAL:	64,513.00	UNEXPND ALLOT:	64,513.00
BT TITLE	AMOUNT	BT TITLE	AMOUNT
16 INTRA-DIST	64,513.00-		

F1-HELP F2-DOC INQ F5-NEXT F9-INTERRUPT ENTER-INQUIRE CLEAR-EXIT

**MEMORANDUM OF UNDERSTANDING
BY AND BETWEEN
CAPTIVE INSURANCE AGENCY
AND
DEPARTMENT OF GENERAL SERVICES**

This Memorandum of Understanding ("MOU") is entered into by and between the Captive Insurance Agency ("Captive") and the Department of General Services ("DGS"), each referred to herein individually as a "Party" and collectively as the "Parties".

I. INTRODUCTION AND OVERVIEW

Pursuant to the terms of this MOU, DGS will provide funds to the Captive to reimburse the Captive for the cost it incurs for the procurement of a builder's risk insurance policy (the "Policy") covering modernization of the John Eaton Elementary School consisting of construction of a new four-story steel framed wing as well as upgrade to the existing masonry building at 3301 Lowell Street, NW, Washington, DC 20008 (the "Project").

II. SCOPE OF WORK

A. Responsibilities of Captive

Procure the Policy for the Project with a start date of January 27, 2020.

B. Responsibilities of DGS

Reimburse Captive Sixty Four Thousand Five Hundred Thirteen Dollars (\$64,513.00) for the actual cost of the Policy premium.

III. FUNDING

A. Cost of services

Total cost for services is Sixty Four Thousand Five Hundred Thirteen Dollars (\$64,513.00).

B. Payment

1. Payment for services shall be made through an Intra-District advance by DGS to Captive in the amount of Sixty Four Thousand Five Hundred Thirteen Dollars (\$64,513.00).
2. Advances to Captive for the services to be provided shall not exceed the actual cost of this MOU, Sixty Four Thousand Five Hundred Thirteen Dollars (\$64,513.00).
3. The Parties' Directors or their designees shall resolve all adjustments and disputes arising from services performed under this MOU.

IV. ANTI-DEFICIENCY CONSIDERATIONS

A. Federal and District of Columbia Anti-Deficiency Act

The Parties acknowledge and agree that their respective obligations to fulfill financial obligations of any kind pursuant to any and all provisions of this MOU, or any subsequent agreement entered into by the parties pursuant to this MOU, are and shall remain subject to the provisions of (i) the Federal Anti-Deficiency Act, 31 U.S.C. §§1341, 1342, 1349, 1351; (ii) the District of Columbia Anti-Deficiency Act, D.C. Official Code §§ 47-355.01-355.08 (2001); (iii) D.C. Official Code § 47-105 (2001); and (iv) D.C. Official Code § 1-204.46 (2006 Supp.)

B. Continuing Legal Obligation

The Parties acknowledge and agree that their respective obligations under the foregoing statutes may be amended from time to time, regardless of whether a particular obligation has been expressly so conditioned.

V. DURATION OF MOU

The duration of this MOU shall be from the date the last Party signed this MOU through September 30, 2020 unless sooner terminated pursuant to Article VII of this MOU.

VI. COMPLIANCE AND MONITORING

A. Monitoring

As this MOU is funded by District of Columbia funds, Captive will be subject to scheduled and unscheduled monitoring reviews by the District government to ensure compliance with all applicable requirements.

B. Records and reports

1. Captive shall maintain records and receipts for the expenditure of all funds received for a period of no less than three (3) years from the date of expiration or termination of the MOU.
2. Upon DGS' request, Captive will make these documents available for inspection by duly authorized representatives of DGS and other District officials as may be specified by DGS at its sole discretion.

VII. TERMINATION

A. Communication

Either Party may terminate this MOU in whole or in part by giving thirty (30) calendar days advance written notice to the other Party.

B. Cause

This MOU may be terminated for any of the following reasons:

1. Lack of funding;
2. Changes in applicable law;
3. Changes in the structure or nature of the program;
4. Elimination of the program or service;
5. Failure of either party to follow District of Columbia laws, rules, or regulations; or failure of either party to follow the terms of the MOU;
6. The convenience of either party.

VIII. NOTICE

A. For DGS:

Candace Butler
Contracting Officer
Candace.butler@dc.gov

B. For Captive:

Jane Waters
Insurance Program Administrator
Jane.waters@dc.gov

IX. MODIFICATIONS

The terms and conditions of this MOU may be modified only upon prior written agreement by the Parties.

X. AUTHORITY FOR MOU

D.C. Official Code § 1-301.01(k).

XI. MISCELLANEOUS

The Parties shall comply with all applicable laws, rules and regulations whether now in force or hereafter enacted or promulgated.

IN WITNESS WHEREOF, the Parties have executed this MOU as follows:

DEPARTMENT OF GENERAL SERVICES

for By: 
Keith A. Anderson, Director

Date: 2/14/20

CAPTIVE INSURANCE AGENCY

By: 
Jed Ross, Director and Chief Risk Officer

Date: 1/21/2020

GOVERNMENT OF THE DISTRICT OF COLUMBIA
DEPARTMENT OF GENERAL SERVICES



ADMINISTRATIVE ISSUANCE

Administrative Order No: 2020 - 002

Date: February 4, 2020

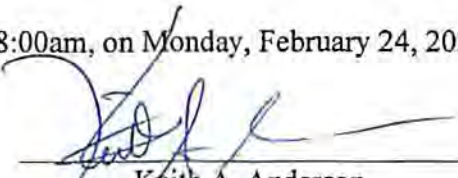
Subject: Delegation of Authority in Director's Absence

Originator: Keith A. Anderson, Director

Distribution: All DGS Employees

By virtue of the authority vested in me as the Director of the Department of General Services pursuant to Resolution 22 – 698, approved by the District of Columbia Council on December 18, 2018 and by any other applicable law, regulation, Mayor's Order, or other grant of authority, it is hereby **ORDERED** that:

1. Yohance Fuller (Chief Operating Officer) for the District Department of General Services, during the time period covered by this Order and subject to paragraph 2 of this Order, is hereby delegated all of the authority of the Director to make all decisions and determinations and to sign and execute all documents and agreements; all contracts; and all agreements with District agencies and private parties.
2. Notwithstanding paragraph 1 of this Order, I hereby retain concurrent authority over all matters described in paragraph 1.
3. This Order supersedes all prior orders to the extent of any inconsistency.
4. This Order is effective as of 8:00am Friday, February 14, 2020 - 8:00am -thru Monday, February 24, 2020.
5. This Order shall expire at 8:00am, on Monday, February 24, 2020, unless earlier rescinded in writing.


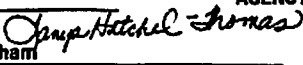


Keith A. Anderson
Director
District Department of General Services

INTRA-DISTRICT STANDARD REQUEST FORM

Government of the District of Columbia

PART I

MOU NUMBER: _____	
Services: _____	AMOUNT: \$64,613.00
SELLER INFORMATION	
AGENCY: <u>DC Captive Insurance Agency</u>	AGENCY CODE: <u>RJ0</u>
NAME OF CONTACT <u>Alemayehu Awas</u>	
ADDRESS: <u>441 4th Street NW, Suite 890N</u>	
<u>Washington, DC 20012</u>	
TELEPHONE #: <u>202-727-6536</u>	
FAX #: <u>202-727-2202</u>	
AUTHORIZING OFFICER <u></u>	<u>02/24/2020</u>
BUYER INFORMATION	
AGENCY: <u>Department of General Services</u>	AGENCY CODE: <u>AM0</u>
NAME OF CONTACT: <u>Antoinette Hudson-Beckham</u>	<u> for AHB</u>
ADDRESS: <u>2000 14th St. NW</u>	
<u>Washington, DC 20001</u>	
TELEPHONE #: <u>(202) 696-7476</u>	
FAX #: _____	
AUTHORIZING OFFICER _____	

PLEASE SEE NEXT PAGE FOR GOODS/ SERVICES DESCRIPTION AND FUNDING INFORMATION

PART II

1	1
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[illegible]

DGS will provide funds to the Captive to reimburse the Captive for the cost it incurs for the procurement of a builder's risk insurance policy covering modernization of the John Eaton Elementary School consisting of construction of a new four-story steel framed wing as well as upgrade to the existing masonry building.

TOTAL: \$64,513.00

[illegible]

13. Capital ID Jefferson Middle School

GOVERNMENT OF THE DISTRICT OF COLUMBIA
OFFICE OF THE CHIEF FINANCIAL OFFICER
GOVERNMENT OPERATIONS CLUSTER
OFFICE OF FINANCE AND RESOURCE MANAGEMENT



MEMORANDUM

TO: Gordon McDonald, Deputy Chief Financial Officer
Office of Budget and Planning

THRU: *for* Angelique Rice, Associate Chief Financial Officer
Government Operations Cluster *MZ Bolden*

FROM: Alemayehu Awas, Agency Fiscal Officer *Alemayehu Awas*
Government Operations Cluster

DATE: June 5, 2020

SUBJECT: FY20 Capital Intra-District Budget Authority

The Captive Insurance Agency (RJ0) is requesting a Capital intra-district budget authority increase in the amount of \$11,133.08 to fund a FY20 MOU with the Department of General Services (AM0). The funding will cover the costs associated with the Captive Insurance Agency procuring a Builders Risk Insurance policy related to construction services at the Jefferson Middle School. Attached is a copy of the memorandum of understanding (MOU).

The funding attributes are listed below.

PROJECT #	PHASE	INDEX	PCA	APPN#	CSG	AMOUNT
RJ0JMS	20	RJ0JMS	RJ0JMS	80000	0408	11,133.08
					Total	\$11,133.08

If you have any question, please contact me on 202-727-6535.

Attachments

S061 V2.1 PRD DISTRICT OF COLUMBIA R*STARS 2.1 06/04/20 07:51 AM
LINK TO: AGENCY BUDGET FINANCIAL INQUIRY DSNE

AGENCY: RJ0 AY: 20 ORG CODE: 2000 PGM CODE: 2001 FUNC CODE:
AP FUND: FUND: 7750 GRANT/PH: PROJECT/PH: RJ0JMS 20
COMP SRC/GRP: 1070 OBJ/COBJ/AGY OBJ: AGY OBJ GROUP:
ORG LEVEL: 02 MEDICAL LIABILITY CAPTIVE OPERATIONS
PGM LEVEL: 02 OVERSIGHT
FUNC LEVEL:
INQ TYPE: MC (MA, YA, MY, YY, MC, YC) DETAIL/SUMMARY: D
INQ YEAR: 20 INQ MONTH: 09 ADJUSTED BUDG: .00
BUDGET AVAIL: 11,133.08 BUDG % AVAIL: .00
EXPEND/BUDG %: 0.00 ALLOT/BUDG %: 0.00
ALLOTMENT BAL: 11,133.08 UNEXPND ALLOT: 11,133.08
BT TITLE AMOUNT BT TITLE AMOUNT
16 INTRA-DIST 11,133.08-

F1-HELP F2-DOC INQ F5-NEXT F9-INTERRUPT ENTER-INQUIRE CLEAR-EXIT

**MEMORANDUM OF UNDERSTANDING
BY AND BETWEEN
CAPTIVE INSURANCE AGENCY
AND
DEPARTMENT OF GENERAL SERVICES**

This Memorandum of Understanding (“**MOU**”) is entered into by and between the Captive Insurance Agency (“**Captive**”) and the Department of General Services (“**DGS**”), each referred to herein as a “**Party**” and collectively to herein as the “**Parties**”.

I. INTRODUCTION AND OVERVIEW

Pursuant to the terms of this MOU, DGS will provide funds to the Captive to reimburse the Captive for the cost it incurs for the procurement of a builders risk policy of insurance (the “**Policy**”) relating to construction work at Jefferson Middle School, 801 7th Street, S.W., Washington D.C., 20009.

II. SCOPE OF WORK

A. Responsibilities of the Captive

Procure the Policy for Jefferson Middle School commencing August 12, 2019 and continuing through the anticipated completion date of August 14, 2020.

B. Responsibilities of DGS

Reimburse the Captive Eleven Thousand One Hundred Thirty Three Dollars and Eight Cents (\$11,133.08) for the actual cost of the Policy premium.

III. FUNDING

A. Cost of services

Total cost for services is Eleven Thousand One Hundred Thirty Three Dollars and Eight Cents (\$11,133.08).

B. Payment

1. Payment for services shall be made through an Intra-District advance by DGS to ORM in the amount of Eleven Thousand One Hundred Thirty Three Dollars and Eight Cents (\$11,133.08).
2. Advances to ORM for the services to be provided shall not exceed the actual cost of this MOU, Eleven Thousand One Hundred Thirty Three Dollars and Eight Cents (\$11,133.08).
3. The Parties' Directors or their designees shall resolve all adjustments and disputes arising from services performed under this MOU.

IV. ANTI-DEFICIENCY CONSIDERATIONS

A. Federal and District of Columbia Anti-Deficiency Act

The Parties acknowledge and agree that their respective obligations to fulfill financial obligations of any kind pursuant to any and all provisions of this MOU, or any subsequent agreement entered into by the parties pursuant to this MOU, are and shall remain subject to the provisions of (i) the Federal Anti-Deficiency Act, 31 U.S.C. §§1341, 1342, 1349, 1351; (ii) the District of Columbia Anti-Deficiency Act, D.C. Official Code §§ 47-355.01-355.08 (2001); (iii) D.C. Official Code § 47-105 (2001); and (iv) D.C. Official Code § 1-204.46 (2006 Supp.)

B. Continuing Legal Obligation

The Parties acknowledge and agree that their respective obligations under the foregoing statutes may be amended from time to time, regardless of whether a particular obligation has been expressly so conditioned.

V. DURATION OF MOU

This MOU shall commence on the date the last party signs this MOU and will remain in effect through September 30, 2021 unless sooner terminated pursuant to Article VII of this MOU.

VI. COMPLIANCE AND MONITORING

A. Monitoring

As this MOU is funded by District of Columbia funds, the Captive will be subject to scheduled and unscheduled monitoring reviews by the District government to ensure compliance with all applicable requirements.

B. Records and reports

1. The Captive shall maintain records and receipts for the expenditure of all funds received for a period of no less than three (3) years from the date of expiration or termination of the MOU.
2. Upon DGS' request, the Captive will make these documents available for inspection by duly authorized representatives of DGS and other District officials as may be specified by DGS at its sole discretion.

VII. TERMINATION

A. Communication

Either Party may terminate this MOU in whole or in part by giving thirty (30) calendar days advance written notice to the other Party.

B. Cause

This MOU may be terminated for any of the following reasons:

1. Lack of funding;
2. Changes in applicable law;
3. Changes in the structure or nature of the program;
4. Elimination of the program or service;
5. Failure of either party to follow District of Columbia laws, rules, or regulations; or failure of either party to follow the terms of the MOU;
6. The convenience of either party.

VIII. NOTICE

A. For Department of General Services:

The following individual is the DGS contact point under this MOU:

George Lewis
Associate Director
Contracts and Procurement Chief Procurement Officer
Department of General Services
George.lewis@dc.gov

B. For the Captive:

The following individual is the ORM contact point under this MOU:

Jane Waters
Insurance Program Administrator
Jane.waters@dc.gov

IX. MODIFICATIONS

The terms and conditions of this MOU may be modified only upon prior written agreement by the Parties.

X. AUTHORITY FOR MOU

D.C. Official Code §§ 1-301.01(k); 50-921.20 (c).

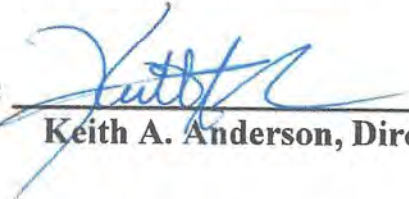
XI. MISCELLANEOUS

The Parties shall comply with all applicable laws, rules and regulations whether now in force or hereafter enacted or promulgated.

IN WITNESS WHEREOF, the Parties have executed this MOU as follows:

SIGNATURES ON NEXT PAGE

**DISTRICT OF COLUMBIA DEPARTMENT OF GENERAL
SERVICES**

By: 
Keith A. Anderson, Director

Date: 04/01/2020

CAPTIVE INSURANCE AGENCY

By: 
Jed Ross, Chief Risk Officer

Date: 3/10/2020

CAPITAL INTRA-DISTRICT STANDARD REQUEST FORM

Government of the District of Columbia

PART I**GENERAL**

MOU NUMBER: _____ EFF DATE OF MOU: _____

SELLER INFORMATIONAGENCY: DC Captive Insurance Agency AGENCY CODE: RJ0NAME OF CONTACT: Alemayehu AwasADDRESS : 441 4th Street NW, Suite 890NWashington, DC 20012TELEPHONE # : 202-727-6535FAX # : 202-727-2202AUTHORIZING OFFICER Alemayehu Awas DATE: 06 / 03 / 20**BUYER INFORMATION**AGENCY: Department of General Services AGENCY CODE: AM0NAME OF CONTACT: Antoinette Hudson BeckhamADDRESS : 2000 14th St. NWWashington, DC 20001TELEPHONE # : 202-698-7476

FAX # : _____

AUTHORIZING OFFICER Antoinette Hudson Beckham DATE: 06 / 20 / 20

PLEASE SEE NEXT PAGE FOR FUNDING INFORMATION

MOU NUMBER: _____ Amount: \$11,133.08

STANDARDIZATION AND CODING CODES	
1	

	\$11,133.08
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GOOD/ SERVICE: _____

TOTAL: \$

[illegible]

14. Capital ID Shepherd Elem Project DGS

GOVERNMENT OF THE DISTRICT OF COLUMBIA
OFFICE OF THE CHIEF FINANCIAL OFFICER
GOVERNMENT OPERATIONS CLUSTER
OFFICE OF FINANCE AND RESOURCE MANAGEMENT



MEMORANDUM

TO: Gordon McDonald, Deputy Chief Financial Officer
Office of Budget and Planning

THRU: *my holder*
Angelique Rice, Associate Chief Financial Officer
Government Operations Cluster

FROM: Alemayehu Awas, Agency Fiscal Officer *[Signature]*
Government Operations Cluster

DATE: October 29, 2019

SUBJECT: FY20 Capital Intra-District Budget Authority

The Captive Insurance Agency (RJ0) is requesting a Capital intra-district budget authority increase in the amount of \$28,665.00 to fund a FY20 MOU with the Department of General Services (AM0). The funding will cover the costs associated with the Captive Insurance Agency procuring a Builders Risk Insurance policy related to pre-construction and construction services at the Shepherd Park Community Center Project. Attached is a copy of the memorandum of understanding (MOU).

The funding attributes are listed below.

PROJECT #	PHASE	INDEX	PCA	APPN#	CSG	AMOUNT
RJ0SEP	20	RJSEP	RJSEP	80000	0408	\$28,665.00
					Total	\$28,665.00

If you have any question, please contact me on 202-727-6535.

Attachments

**MEMORANDUM OF UNDERSTANDING
BY AND BETWEEN
DISTRICT OF COLUMBIA CAPTIVE INSURANCE AGENCY
AND
DISTRICT OF COLUMBIA DEPARTMENT OF GENERAL
SERVICES**

This Memorandum of Understanding ("MOU") is entered into as of the 1st day of October, 2019 by and between the District of Columbia Captive Insurance Agency ("Captive") and the District of Columbia Department of General Services ("DGS"), each referred to herein individually as a "Party" and collectively as the "Parties".

I. INTRODUCTION AND OVERVIEW

Pursuant to the terms of this MOU, DGS will provide funds to the Captive to reimburse the Captive for the cost it incurs for the procurement of a builders risk insurance policy to cover pre-construction and construction services to add a new recreation center to the existing Shepherd Elementary school consisting of a gymnasium, multi-purpose room, storage room and bathrooms (the "Shepherd Park Community Center Project").

II. SCOPE OF WORK

A. Responsibilities of Captive

Procure a builders risk insurance policy ("Policy") for the Shepherd Park Community Center Project. The Policy term shall begin with the Insurance Broker's receipt of the Policy premium and will end at the anticipated completion date of the Shepherd Park Community Center Project, October 31, 2020.

B. Responsibilities of DGS

Reimburse Captive Twenty-Eight Thousand Six Hundred Sixty Five Dollars (\$28,665.00) for the actual cost of the builders risk insurance policy, the amount of the Policy premium.

III. FUNDING

A. Cost of services

Total cost for services is Twenty-Eight Thousand Six Hundred Sixty Five Dollars (\$28,665.00).

B. Payment

- 1. Payment for services shall be made through an Intra-District advance by DGS to Captive in the amount of Twenty-Eight Thousand Six Hundred Sixty Five Dollars (\$28,665.00).**
- 2. Advances to Captive for the services to be provided shall not exceed the actual cost of this MOU, Twenty-Eight Thousand Six Hundred Sixty Five Dollars (\$28,665.00).**
- 3. The Parties' Directors or their designees shall resolve all adjustments and disputes arising from services performed under this MOU.**

IV. ANTI-DEFICIENCY CONSIDERATIONS

A. Federal and District of Columbia Anti-Deficiency Act

The Parties acknowledge and agree that their respective obligations to fulfill financial obligations of any kind pursuant to any and all provisions of this MOU, or any subsequent agreement entered into by the parties pursuant to this MOU, are and shall remain subject to the provisions of (i) the Federal Anti-Deficiency Act, 31 U.S.C. §§1341, 1342, 1349, 1351; (ii) the District of Columbia Anti-Deficiency Act, D.C. Official Code §§ 47-355.01-355.08 (2001); (iii) D.C. Official Code § 47-105 (2001); and (iv) D.C. Official Code § 1-204.46 (2006 Supp.)

B. Continuing Legal Obligation

The Parties acknowledge and agree that their respective obligations under the foregoing statutes may be amended from time to time, regardless of whether a particular obligation has been expressly so conditioned.

V. DURATION OF MOU

This MOU shall commence on the Effective Date and remain in effect through September 30, 2020 unless sooner terminated pursuant to Article VII of this MOU.

VI. COMPLIANCE AND MONITORING

A. Monitoring

As this MOU is funded by District of Columbia funds, Captive will be subject to scheduled and unscheduled monitoring reviews by the District government to ensure compliance with all applicable requirements.

B. Records and reports

- 1. Captive shall maintain records and receipts for the expenditure of all funds received for a period of no less than three (3) years from the date of expiration or termination of the MOU.**
- 2. Upon DGS' request, Captive will make these documents available for inspection by duly authorized representatives of DGS and other District officials as may be specified by DGS at its sole discretion.**

VII. TERMINATION

A. Communication

Either Party may terminate this MOU in whole or in part by giving thirty (30) calendar days advance written notice to the other Party.

B. Cause

This MOU may be terminated for any of the following reasons:

- 1. Lack of funding;**
- 2. Changes in applicable law;**
- 3. Changes in the structure or nature of the program;**
- 4. Elimination of the program or service;**

5. Failure of either party to follow District of Columbia laws, rules, or regulations; or failure of either party to follow the terms of the MOU;
6. The convenience of either party.

VIII. NOTICE

A. For DGS:

The following individual is the DGS contact point under this MOU:

George Lewis
Associate Director
Contracts and Procurement Chief Procurement Officer
Department of General Services
George.lewis@dc.gov

B. For Captive:

The following individual is the Captive contact point under this MOU:

Jane Waters
Insurance Program Administrator
Jane.waters@dc.gov

IX. MODIFICATIONS

The terms and conditions of this MOU may be modified only upon prior written agreement by the Parties.

X. AUTHORITY FOR MOU

D.C. Official Code §§ 1-301.01(k).

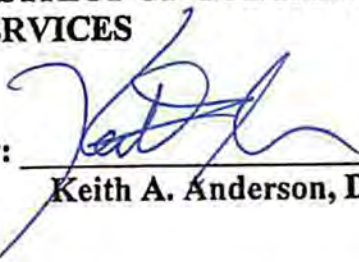
XI. MISCELLANEOUS

The Parties shall comply with all applicable laws, rules and regulations whether now in force or hereafter enacted or promulgated.

IN WITNESS WHEREOF, the Parties have executed this MOU as follows:

**SIGNATURES ON NEXT PAGE
DISTRICT OF COLUMBIA DEPARTMENT OF GENERAL
SERVICES**

By:



Keith A. Anderson, Director

Date:

10/17/19

CAPTIVE INSURANCE AGENCY

By:



Jed Ross, Chief Risk Officer

Date:

10/4/19

INTRA-DISTRICT STANDARD REQUESTS FORM
GOVERNMENT OF THE DISTRICT OF COLUMBIA

PART I

MOU NUMBER: _____

Services: _____

AMOUNT: \$28,665
Amount (IDSR): \$28,665.00

SELLER INFORMATION

AGENCY: DC Captive Insurance Agency AGENCY CODE: RJ0

NAME OF CONTACT: Alemayehu Awas

ADDRESS: 441 4th Street NW, Suite 890N
Washington, DC 20024

TELEPHONE #: 202-727-6535

FAX #: 202-727-2202

AUTHORIZING OFFICER

BUYER INFORMATION

AGENCY: Department of General Services AGENCY CODE: AM0

NAME OF CONTACT: Henry Wong

ADDRESS: 2000 14th St. NW
Washington, DC 20001

TELEPHONE #: (202) 698-7481

FAX #:

AUTHORIZING OFFICER Janya Hatchel-Thomson for HW

PLEASE SEE NEXT PAGE FOR GOODS/ SERVICES DESCRIPTION AND FUNDING INFORMATION

INTRA-DISTRICT STANDARD REQUESTS FORM
GOVERNMENT OF THE DISTRICT OF COLUMBIA

PART II

MOU NUMBER: _____

SERVICE INFORMATION AND FUNDING CODES

GOOD/ SERVICE:

Procurement of builder's risk insurance policy for pre-construction and construction services
to add a new recreation center to the existing Shepard Park Community Center consisting of a gymnasium,
multi-purpose room, storage room, and bathrooms.

CW Harris School

TOTAL:

\$28,665.00

	AGY	AY	FUND	INDEX	PCA	OBJ	AOBJ	PROJ/PH	Amount
SELLER	RSD	2020	0750	RJSEP	RJSEP	4600	4600	RJSEP/20	28,665.00
BUYER	AM0	2018	0300	SHPRD	95101	0409	0409	SHPRCC/04	\$28,665.00

TOTAL:

	AGY	AY	FUND	INDEX	PCA	OBJ	AOBJ	PROJ/PH	Amount
SELLER									
BUYER									\$0.00

S061 V2.1 PRD DISTRICT OF COLUMBIA R*STARS 2.1 10/29/19 08:15 AM
LINK TO: AGENCY BUDGET FINANCIAL INQUIRY DSNF

AGENCY: RJO AY: 20 ORG CODE: 2000 PGM CODE: 2001 FUNC CODE:
AP FUND: FUND: 7750 GRANT/PH: PROJECT/PH: RJOSEP 20
COMP SRC/GRP: 1070 OBJ/COBJ/AGY OBJ: AGY OBJ GROUP:
ORG LEVEL: 02 MEDICAL LIABILITY CAPTIVE OPERATIONS
PGM LEVEL: 02 OVERSIGHT
FUNC LEVEL:
INQ TYPE: MC (MA, YA, MY, YY, MC, YC) DETAIL/SUMMARY: D
INQ YEAR: 20 INQ MONTH: 01 ADJUSTED BUDG: .00
BUDGET AVAIL: 28,665.00 BUDG % AVAIL: .00
EXPEND/BUDG %: 0.00 ALLOT/BUDG %: 0.00
ALLOTMENT BAL: 28,665.00 UNEXPND ALLOT: 28,665.00
BT TITLE AMOUNT BT TITLE AMOUNT
16 INTRA-DIST 28,665.00-

F1-HELP F2-DOC INQ F5-NEXT F9-INTERRUPT ENTER-INQUIRE CLEAR-EXIT

15. Capital ID Southwest Library Amend 1 DCPL

GOVERNMENT OF THE DISTRICT OF COLUMBIA
OFFICE OF THE CHIEF FINANCIAL OFFICER
GOVERNMENT OPERATIONS CLUSTER
OFFICE OF FINANCE AND RESOURCE MANAGEMENT



MEMORANDUM

TO: Gordon McDonald, Deputy Chief Financial Officer
Office of Budget and Planning

THRU: Angelique Rice, Associate Chief Financial Officer
Government Operations Cluster

FROM: Alemayehu Awas, Agency Fiscal Officer
Government Operations Cluster

DATE: January 21, 2020

SUBJECT: FY20 Capital Intra-District Budget Authority

The Captive Insurance Agency (RJ0) is requesting a Capital intra-district budget authority increase in the amount of \$22,756.00 to fund a FY20 MOU with the District of Columbia Public Library (CE0). The funding will cover the costs associated with the Captive Insurance Agency procuring a Builders Risk Insurance policy related to construction services at the Southwest Library Project. Attached is a copy of the FY18 memorandum of understanding (MOU) and the FY20 amendment increasing the funding amount.

The funding attributes are listed below.

PROJECT #	PHASE	INDEX	PCA	APPN#	CSG	AMOUNT
RJ0SWL	20	RJSWL	RJSWL	80000	0408	\$22,756.00
					Total	\$22,756.00

If you have any question, please contact me on 202-727-6535.

Attachments

S061 V2.1 PRD DISTRICT OF COLUMBIA R*STARS 2.1 01/17/20 12:11 PM
LINK TO: _____ AGENCY BUDGET FINANCIAL INQUIRY DSNF

AGENCY: RJ0 AY: 20 ORG CODE: 2000 PGM CODE: 2001 FUNC CODE: _____
AP FUND: _____ FUND: 7750 GRANT/PH: _____ PROJECT/PH: RJ0SWL 20
COMP SRC/GRP: 1070 OBJ/COBJ/AGY OBJ: _____ AGY OBJ GROUP: _____
ORG LEVEL: 02 MEDICAL LIABILITY CAPTIVE OPERATIONS
PGM LEVEL: 02 OVERSIGHT
FUNC LEVEL: _____
INQ TYPE: MC (MA, YA, MY, YY, MC, YC) DETAIL/SUMMARY: D
INQ YEAR: 20 INQ MONTH: 04 ADJUSTED BUDG: _____ .00
BUDGET AVAIL: _____ 22,756.00 BUDG % AVAIL: _____ .00
EXPEND/BUDG %: _____ 0.00 ALLOT/BUDG %: _____ 0.00
ALLOTMENT BAL: _____ 22,756.00 UNEXPND ALLOT: _____ 22,756.00
BT TITLE AMOUNT BT TITLE AMOUNT
16 INTRA-DIST 22,756.00-

F1-HELP F2-DOC INQ F5-NEXT F9-INTERRUPT ENTER-INQUIRE CLEAR-EXIT

**FIRST AMENDMENT OF MEMORANDUM OF UNDERSTANDING
BETWEEN
CAPTIVE INSURANCE AGENCY
AND
DISTRICT OF COLUMBIA PUBLIC LIBRARY**

This First Amendment of Memorandum of Understanding is made and entered into as of the ____ day of January 2020, by and between the District of Columbia Public Library ("DCPL"), and the Captive Insurance Agency ("Captive"), referred to herein individually as a "Party" and collectively as the "Parties".

RECITALS

WHEREAS, DCPL and the Captive entered into that certain Memorandum of Understanding ("MOU") wherein DCPL transferred funds in the amount of \$37,115.16 to the Captive for the cost of a builder's risk insurance policy ("Policy") for renovation of the Southwest Library;

WHEREAS, the cost of the Policy has increased in the amount of \$22,756.00, and

WHEREAS, DCPL has agreed to advance the increased Policy cost of \$22,756.00 to the Captive through an Intra-District advance by DCPL to the Captive and to amend certain Provisions of the MOU as follows:

NOW, THEREFORE, DCPL and the Captive hereby mutually agree as follows:

1. Article II.B of the MOU shall be and is hereby amended to read as follows:

"Reimburse Captive \$59,871.16 for the actual cost of the Policy Premium."

2. Article III.A of The MOU shall be and is hereby amended to read as follows:

"Total cost for services is \$59,871.16."

3. Article III.B.1 of the MOU shall be and is hereby modified to read as follows:

"Payment for services shall be made through an Intra-District advance by DCPL to Captive in the amount of \$59,871.16."

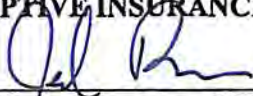
4. Article III.B.2 shall be and is hereby modified to read as follows:

"Advances to Captive for the services provided shall not exceed the actual cost of this MOU, \$59,871.16."

5. Except as otherwise specifically amended herein, this MOU shall remain unchanged and in full force and effect.

IN WITNESS WHEREOF, the Parties hereto have caused this First Amendment of Memorandum of Understanding to be duly executed as of the day and year first above written.

CAPTIVE INSURANCE AGENCY



Jed Ross, Director and Chief Risk Officer

DISTRICT OF COLUMBIA PUBLIC LIBRARY



Richard Reyes-Cavilan, Executive, Director

INTRA-DISTRICT STANDARD REQUEST FORM

Government of the District of Columbia

PART I**GENERAL**

MOU NUMBER: _____ EFF DATE OF MOU: _____

SELLER INFORMATIONAGENCY: DC Captive Insurance Agency AGENCY CODE: RJ0NAME OF CONTACT: Alemayehu AwasADDRESS : 441 4th Street NW, Suite 890N
Washington, DC 20012TELEPHONE # : 202-727-6535FAX # : 202-727-2202AUTHORIZING OFFICER  DATE: 01/16/20**BUYER INFORMATION**AGENCY: DC Public Library AGENCY CODE: CE0NAME OF CONTACT: Cherylle Pacana, Agency Fiscal OfficerADDRESS : 1900 K Street, NW Suite 500
Washington, DC 20006TELEPHONE # : 202-727-9114

FAX # : _____

AUTHORIZING OFFICER  DATE: 1/16/20

Southwest Library

MOU with DC Captive Insurance
Agency for extension of Builders
Risk Policy to 12/1/20
\$22,756.00

PLEASE SEE NEXT PAGE FOR FUNDING INFORMATION

PART II

MOU NUMBER: _____ 2 OF 2

SERVICE INFORMATION AND FUNDING CODES

GOOD/ SERVICE: MOU with DC Captive Insurance Agency for extension of Builders Risk Policy to 12/1/20

Southwest Library

\$22,756.00

	AGY	YR	ORG CODE	FUND	INDEX	PCA	OBJ	AOBJ	GRANT/PH	PROJ/PH
Seller	RJ0	20		0750	RJSW	RJSW	4600	4600		RJSW 20
Buyer	CE0	07			SOW03	CAPTL	0409	0409		

GOOD/ SERVICE: _____

DATE: ____/____/____

TOTAL: _____

	AGY	YR	ORG CODE	FUND	INDEX	PCA	OBJ	AOBJ	GRANT/PH	PROJ/PH
SELLER										
BUYER										

GOOD/ SERVICE: _____

DATE: ____/____/____

TOTAL: _____

	AGY	YR	ORG CODE	FUND	INDEX	PCA	OBJ	AOBJ	GRANT/PH	PROJ/PH
SELLER										
BUYER										

GOOD/ SERVICE: _____

DATE: ____/____/____

TOTAL: _____

	AGY	YR	ORG CODE	FUND	INDEX	PCA	OBJ	AOBJ	GRANT/PH	PROJ/PH
SELLER										
BUYER										

Revised 9/15/98

**MEMORANDUM OF UNDERSTANDING
BY AND BETWEEN
THE DISTRICT OF COLUMBIA CAPTIVE INSURANCE AGENCY
AND
DISTRICT OF COLUMBIA PUBLIC LIBRARY**

This Memorandum of Understanding (“MOU”) is entered into as of the 2nd day of July, 2018 (the Effective Date) by and between the District of Columbia Captive Insurance Agency (“Captive”) and the District of Columbia Public Library (“DCPL”), each referred to herein as a “Party” and collectively to herein as the “Parties”.

I. INTRODUCTION AND OVERVIEW

Pursuant to the terms of this MOU, DCPL will provide funds to the Captive to reimburse the Captive for the cost of builders risk insurance it incurs for the renovation of Southwest View Library. Coverage will be effective from 07/01/18 to 12/31/19.

II. SCOPE OF WORK

A. Responsibilities of Captive

Procure the Builders Risk Insurance coverage for 900 Wesley Place SW.

B. Responsibilities of DCPL

Reimburse Captive \$37,115.16 for the actual cost of the Builders Risk Policy.

III. FUNDING

A. Cost of services

Total cost for services is Thirty Seven Thousand One-Hundred Fifteen Dollars & Sixteen Cents (\$37,115.16).

B. Payment

1. Payment for services shall be made through an Intra-District advance by DCPL to Captive in the amount of Thirty Seven Thousand One-Hundred Fifteen Dollars & Sixteen Cents (\$37,115.16).
2. Advances to Captive for the services to be provided shall not exceed the actual cost of this MOU, Thirty Seven Thousand One-Hundred Fifteen Dollars & Sixteen Cents (\$37,115.16).
3. The Parties' Directors or their designees shall resolve all adjustments and disputes arising from services performed under this MOU.

IV. ANTI-DEFICIENCY CONSIDERATIONS

A. Federal and District of Columbia Anti-Deficiency Act

The Parties acknowledge and agree that their respective obligations to fulfill financial obligations of any kind pursuant to any and all provisions of this MOU, or any subsequent agreement entered into by the parties pursuant to this MOU, are and shall remain subject to the provisions of (i) the Federal Anti-Deficiency Act, 31 U.S.C. §§1341, 1342, 1349, 1351; (ii) the District of Columbia Anti-Deficiency Act, D.C. Official Code §§ 47-355.01-355.08 (2001); (iii) D.C. Official Code § 47-105 (2001); and (iv) D.C. Official Code § 1-204.46 (2006 Supp.)

B. Continuing Legal Obligation

The Parties acknowledge and agree that their respective obligations under the foregoing statutes may be amended from time to time, regardless of whether a particular obligation has been expressly so conditioned.

V. DURATION OF MOU

This MOU shall commence on the Effective Date and remain in effect through December 31, 2019 unless sooner terminated pursuant to Article VII of this MOU.

VI. COMPLIANCE AND MONITORING

A. Monitoring

As this MOU is funded by District of Columbia funds, Captive will be subject to scheduled and unscheduled monitoring reviews by the District government to ensure compliance with all applicable requirements.

B. Records and reports

1. Captive shall maintain records and receipts for the expenditure of all funds received for a period of no less than three (3) years from the date of expiration or termination of the MOU.
2. Upon DCPL' request, Captive will make these documents available for inspection by duly authorized representatives of DCPL and other District officials as may be specified by DCPL at its sole discretion.

VII. TERMINATION

A. Communication

Either Party may terminate this MOU in whole or in part by giving thirty (30) calendar days advance written notice to the other Party.

B. Cause

This MOU may be terminated for any of the following reasons:

1. Lack of funding;
2. Changes in applicable law;
3. Changes in the structure or nature of the program;
4. Elimination of the program or service;
5. Failure of either party to follow District of Columbia laws, rules, or regulations; or failure of either party to follow the terms of the MOU;
6. The convenience of either party.

VIII. NOTICE

A. For the District of Columbia Public Library (DCPL):

The following individual is the DCPL contact point under this MOU:

Andrew Blumenfeld
Director, Capital Construction
Andrew.Blumenfeld@dc.gov

B. For Captive:

The following individual is the Captive contact point under this MOU:

Jane Waters
Insurance Program Administrator
Jane.waters@dc.gov

IX. MODIFICATIONS

The terms and conditions of this MOU may be modified only upon prior written agreement by the Parties.

X. AUTHORITY FOR MOU

D.C. Official Code §§ 1-301.01(k); 50-921.20 (c).

XI. MISCELLANEOUS

The Parties shall comply with all applicable laws, rules and regulations whether now in force or hereafter enacted or promulgated.

REMAINDER OF THIS PAGE INTENTIONALLY LEFT BLANK

The Parties have executed this MOU as of the day and year written below.

DISTRICT OF COLUMBIA PUBLIC LIBRARY

By: 
Richard Reyes-Gavilan, Executive Director

Date: 7/12/18

CAPTIVE INSURANCE AGENCY

By: 
Jane Waters, Insurance Program Administrator

Date: 7.3.18

16. Takoma Park Library DCPL

GOVERNMENT OF THE DISTRICT OF COLUMBIA
OFFICE OF THE CHIEF FINANCIAL OFFICER
GOVERNMENT OPERATIONS CLUSTER
OFFICE OF FINANCE AND RESOURCE MANAGEMENT



MEMORANDUM

TO: Gordon McDonald, Deputy Chief Financial Officer
Office of Budget and Planning

THRU: Angelique Rice, Associate Chief Financial Officer
Government Operations Cluster

FROM: Alemayehu Awas, Agency Fiscal Officer
Government Operations Cluster

DATE: January 21, 2020

SUBJECT: FY20 Capital Intra-District Budget Authority

The Captive Insurance Agency (RJ0) is requesting a Capital intra-district budget authority increase in the amount of \$166.06 to fund a FY20 MOU with the District of Columbia Public Library (CE0). The funding will cover the costs associated with the Captive Insurance Agency procuring a Builders Risk Insurance policy related to construction services at the Takoma Park Library Project. Attached is a copy of the memorandum of understanding (MOU) and the amendment increasing the funding amount.

The funding attributes are listed below.

PROJECT #	PHASE	INDEX	PCA	APPN#	CSG	AMOUNT
RJ0TPL	20	RJTPL	RJTPL	80000	0408	\$166.06
					Total	\$ 166.06

If you have any question, please contact me on 202-727-6535.

Attachments

S061 V2.1 PRD DISTRICT OF COLUMBIA R*STARS 2.1 01/17/20 12:11 PM

LINK TO: AGENCY BUDGET FINANCIAL INQUIRY DSNF

AGENCY: RJ0 AY: 20 ORG CODE: 2000 PGM CODE: 2001 FUNC CODE:

AP FUND: FUND: 7750 GRANT/PH: PROJECT/PH: RJ0TPL 20

COMP SRC/GRP: 1070 OBJ/COBJ/AGY OBJ: AGY OBJ GROUP:

ORG LEVEL: 02 MEDICAL LIABILITY CAPTIVE OPERATIONS

PGM LEVEL: 02 OVERSIGHT

FUNC LEVEL:

INQ TYPE: MC (MA, YA, MY, YY, MC, YC) DETAIL/SUMMARY: D

INQ YEAR: 20 INQ MONTH: 04 ADJUSTED BUDG: .00

BUDGET AVAIL: 166.06 BUDG % AVAIL: .00

EXPEND/BUDG %: 0.00 ALLOT/BUDG %: 0.00

ALLOTMENT BAL: 166.06 UNEXPND ALLOT: 166.06

BT TITLE AMOUNT BT TITLE AMOUNT

16 INTRA-DIST 166.06-

F1-HELP F2-DOC INQ F5-NEXT F9-INTERRUPT ENTER-INQUIRE CLEAR-EXIT

**MEMORANDUM OF UNDERSTANDING
BY AND BETWEEN
CAPTIVE INSURANCE AGENCY
AND
DISTRICT OF COLUMBIA PUBLIC LIBRARY**

This Memorandum of Understanding ("MOU") is entered into by and between the Captive Insurance Agency ("Captive") and the District of Columbia Public Library ("DCPL"), each referred to herein individually as a "Party" and collectively as the "Parties".

I. INTRODUCTION AND OVERVIEW

Pursuant to the terms of this MOU, DCPL will provide funds to the Captive to reimburse the Captive for the cost it incurs for the procurement of a builder's risk insurance policy (the "Policy") covering renovation and reconfiguration of the interior space of the lower level at Takoma Park Library (the "Library Improvements")

II. SCOPE OF WORK

A. Responsibilities of Captive

Procure the Policy for the Library Improvements. Completion date is scheduled for December 30, 2019.

B. Responsibilities of DCPL

Reimburse Captive Eighty Two Dollars and Nine Cents (\$82.09) for the actual cost of the Policy premium.

III. FUNDING

A. Cost of services

Total cost for services is Eighty Two Dollars and Nine Cents (\$82.09).

B. Payment

1. Payment for services shall be made through an Intra-District advance by DCPL to Captive in the amount of Eighty Two Dollars and Nine Cents (\$82.09).

2. Advances to Captive for the services to be provided shall not exceed the actual cost of this MOU, Eighty Two Dollars and Nine Cents(\$82.09).

3. The Parties' Directors or their designees shall resolve all adjustments and disputes arising from services performed under this MOU.

IV. ANTI-DEFICIENCY CONSIDERATIONS

A. Federal and District of Columbia Anti-Deficiency Act

The Parties acknowledge and agree that their respective obligations to fulfill financial obligations of any kind pursuant to any and all provisions of this MOU, or any subsequent agreement entered into by the parties pursuant to this MOU, are and shall remain subject to the provisions of (i) the Federal Anti-Deficiency Act, 31 U.S.C. §§1341, 1342, 1349, 1351; (ii) the District of Columbia Anti-Deficiency Act, D.C. Official Code §§ 47-355.01-355.08 (2001); (iii) D.C. Official Code § 47-105 (2001); and (iv) D.C. Official Code § 1-204.46 (2006 Supp.)

B. Continuing Legal Obligation

The Parties acknowledge and agree that their respective obligations under the foregoing statutes may be amended from time to time, regardless of whether a particular obligation has been expressly so conditioned.

V. DURATION OF MOU

The duration of this MOU shall be from the date the last Party signed this MOU through September 30, 2020 unless sooner terminated pursuant to Article VII of this MOU.

VI. COMPLIANCE AND MONITORING

A. Monitoring

As this MOU is funded by District of Columbia funds, Captive will be subject to scheduled and unscheduled monitoring reviews by the District government to ensure compliance with all applicable requirements.

B. Records and reports

1. Captive shall maintain records and receipts for the expenditure of all funds received for a period of no less than three (3) years from the date of expiration or termination of the MOU.
2. Upon DCPL's request, Captive will make these documents available for inspection by duly authorized representatives of DCPL and other District officials as may be specified by DCPL at its sole discretion.

VII. TERMINATION

A. Communication

Either Party may terminate this MOU in whole or in part by giving thirty (30) calendar days advance written notice to the other Party.

B. Cause

This MOU may be terminated for any of the following reasons:

1. Lack of funding;
2. Changes in applicable law;
3. Changes in the structure or nature of the program;
4. Elimination of the program or service;
5. Failure of either party to follow District of Columbia laws, rules, or regulations; or failure of either party to follow the terms of the MOU;
6. The convenience of either party.

VIII. NOTICE

A. For DCPL:

The following individual is the DCPL contact point under this MOU:

Barbara Jumper
Chief Business Officer
Barbara.jumper@dc.gov

B. For Captive:

The following individual is the Captive contact point under this MOU:

Jane Waters
Insurance Program Administrator
Jane.waters@dc.gov

IX. MODIFICATIONS

The terms and conditions of this MOU may be modified only upon prior written agreement by the Parties.

X. AUTHORITY FOR MOU

D.C. Official Code § 1-301.01(k).

XI. MISCELLANEOUS

The Parties shall comply with all applicable laws, rules and regulations whether now in force or hereafter enacted or promulgated.

IN WITNESS WHEREOF, the Parties have executed this MOU as follows:

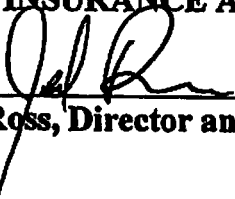
SIGNATURES ON NEXT PAGE

DISTRICT OF COLUMBIA PUBLIC LIBRARY

By: 
Richard Reyes-Gavilan, Executive Director

Date: 11/22/19

CAPTIVE INSURANCE AGENCY

By: 
Jed Ross, Director and Chief Risk Officer

Date: 11/14/19

**FIRST AMENDMENT OF MEMORANDUM OF UNDERSTANDING
BETWEEN
CAPTIVE INSURANCE AGENCY
AND
DISTRICT OF COLUMBIA PUBLIC LIBRARY**

This First Amendment of Memorandum of Understanding is made and entered into as of the ___ day of December, 2019, by and between the District of Columbia Public Library ("DCPL"), and the Captive Insurance Agency ("Captive"), referred to herein individually as a "Party" and collectively as the "Parties".

RECITALS

WHEREAS, DCPL and the Captive entered into that certain Memorandum of Understanding ("MOU") wherein DCPL transferred funds in the amount of \$82.09 to the Captive for the cost of a builder's risk insurance policy ("Policy") to cover renovation and reconfiguration of the interior space of the lower level of the Takoma Park Library;

WHEREAS, the cost of the Policy has increased in the amount of \$83.97, and

WHEREAS, DCPL has agreed to advance the increased Policy cost of \$83.97 to the Captive through an Intra-District advance by DCPL to the Captive and to amend certain Provisions of the MOU as follows:

NOW, THEREFORE, DCPL and the Captive hereby mutually agree as follows:

1. Article II.B of the MOU shall be and is hereby amended to read as follows:

"Reimburse Captive \$166.06 for the actual cost of the Policy Premium."

2. Article III.A of The MOU shall be and is hereby modified by striking "\$82.09" after "Reimburse Captive" and inserting "\$166.06" in its place.

3. Article III.B.1 of the MOU shall be and is hereby modified to read as follows:

"Payment for services shall be made through an Intra-District advance by DCPL to Captive in the amount of \$166.06."

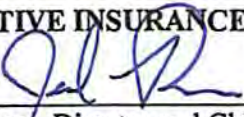
4. Article III.B.2 shall be and is hereby modified to read as follows:

"Advances to Captive for the services provided shall not exceed the actual cost of this MOU, \$166.06."

5. Except as otherwise specifically amended herein, this MOU shall remain unchanged and in full force and effect.

IN WITNESS WHEREOF, the Parties hereto have caused this First Amendment of Memorandum of Understanding to be duly executed as of the day and year first above written.

CAPTIVE INSURANCE AGENCY



Jed Ross, Director and Chief Risk Officer

DDISTRICT OF COLUMBIA PUBLIC LIBRARY



Richard Reyes-Gavilan, Executive, Director

INTRA-DISTRICT STANDARD REQUEST FORM

Government of the District of Columbia

PART I**GENERAL**

MOU NUMBER: _____ EFF DATE OF MOU: _____

SELLER INFORMATIONAGENCY: DC Captive Insurance Agency AGENCY CODE: RJ0NAME OF CONTACT: Alemayehu AwasADDRESS : 441 4th Street NW, Suite 890N
Washington, DC 20012TELEPHONE # : 202-727-6535FAX # : 202-727-2202AUTHORIZING OFFICER  DATE: 01 / 16 / 20**BUYER INFORMATION**AGENCY: DC Public Library AGENCY CODE: _____NAME OF CONTACT: Cherylle Pacana, Agency Fiscal OfficerADDRESS : 1900 K Street, NW Suite 500
Washington, DC 20006TELEPHONE # : 202-727-9114

FAX # : _____

AUTHORIZING OFFICER  DATE: 1 / 16 / 20

Takoma Park Library
Agency for extension of Builders
Risk Policy to 1/31/20
\$83.97

PLEASE SEE NEXT PAGE FOR FUNDING INFORMATION

PART II

MOU NUMBER: _____ 2 OF 2

SERVICE INFORMATION AND FUNDING CODES

GOOD/ SERVICE: MOU with DC Captive Insurance Agency for extension of Builders Risk Policy to 1/31/20

Takoma Park Library

\$83.97 + 62.09 = \$146.06

	AGY	YR	ORG CODE	FUND	INDEX	PCA	OBJ	AOBJ	GRANT/PH	PROJ/PH
Seller	RJ0	20		7750	RJTPL	RJTPL	4600	4600		RJTPL 20
Buyer	CE0	20		0100	0L440	L4400	0408	0408		

GOOD/ SERVICE:

DATE: __/__/__

TOTAL:

	AGY	YR	ORG CODE	FUND	INDEX	PCA	OBJ	AOBJ	GRANT/PH	PROJ/PH
SELLER										
BUYER										

GOOD/ SERVICE:

DATE: __/__/__

TOTAL:

	AGY	YR	ORG CODE	FUND	INDEX	PCA	OBJ	AOBJ	GRANT/PH	PROJ/PH
SELLER										
BUYER										

GOOD/ SERVICE:

DATE: __/__/__

TOTAL:

	AGY	YR	ORG CODE	FUND	INDEX	PCA	OBJ	AOBJ	GRANT/PH	PROJ/PH
SELLER										
BUYER										

17. Capital ID Thaddeus Stevens Project DGS

GOVERNMENT OF THE DISTRICT OF COLUMBIA
OFFICE OF THE CHIEF FINANCIAL OFFICER
GOVERNMENT OPERATIONS CLUSTER
OFFICE OF FINANCE AND RESOURCE MANAGEMENT



MEMORANDUM

TO: Gordon McDonald, Deputy Chief Financial Officer
Office of Budget and Planning

THRU: Angelique Rice, Associate Chief Financial Officer
Government Operations Cluster

FROM: Alemayehu Awas, Agency Fiscal Officer
Government Operations Cluster

DATE: October 29, 2019

SUBJECT: FY20 Capital Intra-District Budget Authority

The Captive Insurance Agency (RJ0) is requesting a Capital intra-district budget authority increase in the amount of \$24,210.00 to fund a FY20 MOU with the Department of General Services (AM0). The funding will cover the costs associated with the Captive Insurance Agency procuring a Builders Risk Insurance policy related to construction services at the Thaddeus Stevens Project. Attached is a copy of the memorandum of understanding (MOU).

The funding attributes are listed below.

PROJECT #	PHASE	INDEX	PCA	APPN#	CSG	AMOUNT
RJ0TSP	20	RJTSP	RJTSP	80000	0408	\$24,210.00
					Total	\$24,210.00

If you have any question, please contact me on 202-727-6535.

Attachments

**MEMORANDUM OF UNDERSTANDING
BY AND BETWEEN
DISTRICT OF COLUMBIA CAPTIVE INSURANCE AGENCY
AND
DISTRICT OF COLUMBIA DEPARTMENT OF GENERAL
SERVICES**

This Memorandum of Understanding ("MOU") is entered into as of the 1st day of October, 2019 by and between the District of Columbia Captive Insurance Agency ("Captive") and the District of Columbia Department of General Services ("DGS"), each referred to herein individually as a "Party" and collectively as the "Parties".

I. INTRODUCTION AND OVERVIEW

Pursuant to the terms of this MOU, DGS will provide funds to the Captive to reimburse the Captive for the cost it incurs for the procurement of a builders risk insurance policy to cover construction services to update and modernize the Thaddeus Stevens School, including repointing brick and demolition of the exterior for installation of windows, doors and concrete façade (the "Thaddeus Stevens Project")

II. SCOPE OF WORK

A. Responsibilities of Captive

Procure a builders risk insurance policy ("Policy") for the Thaddeus Stevens Project. The policy term shall begin with the Insurance Broker's receipt of the Policy premium and will end at the anticipated completion date of the Thaddeus Stevens Project, July 31, 2020.

B. Responsibilities of DGS

Reimburse Captive \$24,210 for the actual cost of the Policy premium.

III. FUNDING

A. Cost of services

Total cost for services is Twenty Four Thousand Two Hundred Ten Dollars (\$24,210.00).

B. Payment

1. Payment for services shall be made through an Intra-District advance by DGS to Captive in the amount of Twenty Four Thousand Two Hundred Ten Dollars (\$24,210.00).
2. Advances to Captive for the services to be provided shall not exceed the actual cost of this MOU, Twenty Four Thousand Two Hundred Ten Dollars (\$24,210.00).
3. The Parties' Directors or their designees shall resolve all adjustments and disputes arising from services performed under this MOU.

IV. ANTI-DEFICIENCY CONSIDERATIONS

A. Federal and District of Columbia Anti-Deficiency Act

The Parties acknowledge and agree that their respective obligations to fulfill financial obligations of any kind pursuant to any and all provisions of this MOU, or any subsequent agreement entered into by the parties pursuant to this MOU, are and shall remain subject to the provisions of (i) the Federal Anti-Deficiency Act, 31 U.S.C. §§1341, 1342, 1349, 1351; (ii) the District of Columbia Anti-Deficiency Act, D.C. Official Code §§ 47-355.01-355.08 (2001); (iii) D.C. Official Code § 47-105 (2001); and (iv) D.C. Official Code § 1-204.46 (2006 Supp.)

B. Continuing Legal Obligation

The Parties acknowledge and agree that their respective obligations under the foregoing statutes may be amended from time to time, regardless of whether a particular obligation has been expressly so conditioned.

V. DURATION OF MOU

This MOU shall commence on the Effective Date and remain in effect through September 30, 2020 unless sooner terminated pursuant to Article VII of this MOU.

VI. COMPLIANCE AND MONITORING

A. Monitoring

As this MOU is funded by District of Columbia funds, Captive will be subject to scheduled and unscheduled monitoring reviews by the District government to ensure compliance with all applicable requirements.

B. Records and reports

1. Captive shall maintain records and receipts for the expenditure of all funds received for a period of no less than three (3) years from the date of expiration or termination of the MOU.
2. Upon DGS' request, Captive will make these documents available for inspection by duly authorized representatives of DGS and other District officials as may be specified by DGS at its sole discretion.

VII. TERMINATION

A. Communication

Either Party may terminate this MOU in whole or in part by giving thirty (30) calendar days advance written notice to the other Party.

B. Cause

This MOU may be terminated for any of the following reasons:

1. Lack of funding;
2. Changes in applicable law;
3. Changes in the structure or nature of the program;
4. Elimination of the program or service;
5. Failure of either party to follow District of Columbia laws, rules, or regulations; or failure of either party to follow the terms of the MOU;
6. The convenience of either party.

VIII. NOTICE

A. For DGS:

The following individual is the DGS contact point under this MOU:

George Lewis
Associate Director
Contracts and Procurement Chief Procurement Officer
Department of General Services
George.lewis@dc.gov

B. For Captive:

The following individual is the Captive contact point under this MOU:

Jane Waters
Insurance Program Administrator
Jane.waters@dc.gov

IX. MODIFICATIONS

The terms and conditions of this MOU may be modified only upon prior written agreement by the Parties.

X. AUTHORITY FOR MOU

D.C. Official Code §§ 1-301.01(k).

XI. MISCELLANEOUS

The Parties shall comply with all applicable laws, rules and regulations whether now in force or hereafter enacted or promulgated.

SIGNATURES ON NEXT PAGE

IN WITNESS WHEREOF, the Parties have executed this MOU as follows:

DISTRICT OF COLUMBIA DEPARTMENT OF GENERAL SERVICES

By: 
Keith A. Anderson, Director

Date: 10/17/13

CAPTIVE INSURANCE AGENCY

By: 
Jed Ross, Chief Risk Officer

Date: 10/4/19

INTRA-DISTRICT STANDARD REQUESTS FORM
GOVERNMENT OF THE DISTRICT OF COLUMBIA

PART I

MOU NUMBER: _____

AMOUNT: \$24,210.00
Amount (IDSR): \$24,210.00

Services: _____

SELLER INFORMATION

AGENCY: DC Captive Insurance Agency AGENCY CODE: RJ0

NAME OF CONTACT: Alemayehu Awas

ADDRESS : 441 4th Street NW, Suite 890N
Washington, DC 20024

TELEPHONE # : 202-727-6535

FAX # : 202-727-2202

AUTHORIZING OFFICER



10/23/19.

BUYER INFORMATION

AGENCY: Department of General Services AGENCY CODE: AM0

NAME OF CONTACT: Henry Wong

ADDRESS : 2000 14th St. NW
Washington, DC 20001

TELEPHONE # : 202-698-7481

FAX # : _____

AUTHORIZING OFFICER



for HW

PLEASE SEE NEXT PAGE FOR GOODS/ SERVICES DESCRIPTION AND FUNDING INFORMATION

INTRA-DISTRICT STANDARD REQUESTS FORM
GOVERNMENT OF THE DISTRICT OF COLUMBIA

PART II

\$24,210.00

MOU NUMBER: _____

SERVICE INFORMATION AND FUNDING CODES

GOOD/ SERVICE: DGS will provide funds to the Captive to reimburse the Captive for the cost it incurs for the procurement of a builders risk insurance policy covering construction services to update and modernize the Thaddeus Stevens School, including repointing brick and demolition of the exterior installation of windows, doors and concrete façade (the "Thaddeus Stevens Project")

Thaddeus Stevens Renovation/Modernization

TOTAL: \$24,210.00

	AGY	AY	FUND	INDEX	PCA	OBJ	AOBJ	PROJ/PH	Amount
SELLER	R50	2020	0750	R5TSP	R5TSP	4608	4608	R5TSP/20	\$24,210.00
BUYER	AM0	2008	0306	THPPD	95101	0409	0409	NX238C/04	\$24,210.00

TOTAL: _____

	AGY	AY	FUND	INDEX	PCA	OBJ	AOBJ	PROJ/PH	Amount
SELLER									
BUYER									

S061 V2.1 PRD DISTRICT OF COLUMBIA R*STARS 2.1 10/29/19 08:15 AM
LINK TO: _____ AGENCY BUDGET FINANCIAL INQUIRY DSNF

AGENCY: RJ0 AY: 20 ORG CODE: 2000 PGM CODE: 2001 FUNC CODE: _____
AP FUND: _____ FUND: 7750 GRANT/PH: _____ PROJECT/PH: RJ0TSP 20
COMP SRC/GRP: 1070 OBJ/COBJ/AGY OBJ: _____ AGY OBJ GROUP: _____
ORG LEVEL: 02 MEDICAL LIABILITY CAPTIVE OPERATIONS
PGM LEVEL: 02 OVERSIGHT
FUNC LEVEL: _____

INQ TYPE: MC (MA, YA, MY, YY, MC, YC) DETAIL/SUMMARY: D
INQ YEAR: 20 INQ MONTH: 01 ADJUSTED BUDG: .00
BUDGET AVAIL: 24,210.00 BUDG % AVAIL: .00
EXPEND/BUDG %: 0.00 ALLOT/BUDG %: 0.00
ALLOTMENT BAL: 24,210.00 UNEXPND ALLOT: 24,210.00
BT TITLE AMOUNT BT TITLE AMOUNT
16 INTRA-DIST 24,210.00-

F1-HELP F2-DOC INQ F5-NEXT F9-INTERRUPT ENTER-INQUIRE CLEAR-EXIT

18. Capital ID West Elementary Project DGS

GOVERNMENT OF THE DISTRICT OF COLUMBIA
OFFICE OF THE CHIEF FINANCIAL OFFICER
GOVERNMENT OPERATIONS CLUSTER
OFFICE OF FINANCE AND RESOURCE MANAGEMENT



MEMORANDUM

TO: Gordon McDonald, Deputy Chief Financial Officer
Office of Budget and Planning

THRU: Angelique Rice, Associate Chief Financial Officer
Government Operations Cluster

FROM: Alemayehu Awas, Agency Fiscal Officer
Government Operations Cluster

DATE: October 29, 2019

SUBJECT: FY20 Capital Intra-District Budget Authority

The Captive Insurance Agency (RJ0) is requesting a Capital intra-district budget authority increase in the amount of \$67,377.00 to fund a FY20 MOU with the Department of General Services (AM0). The funding will cover the costs associated with the Captive Insurance Agency procuring a Builders Risk Insurance policy related to construction services at the West Elementary Project. Attached is a copy of the memorandum of understanding (MOU).

The funding attributes are listed below.

PROJECT #	PHASE	INDEX	PCA	APPN#	CSG	AMOUNT
RJ0WEP	20	RJWEP	RJWEP	80000	0408	\$67,377.00
					Total	\$67,377.00

If you have any question, please contact me on 202-727-6535.

Attachments

**MEMORANDUM OF UNDERSTANDING
BY AND BETWEEN
DISTRICT OF COLUMBIA CAPTIVE INSURANCE AGENCY
AND
DISTRICT OF COLUMBIA DEPARTMENT OF GENERAL
SERVICES**

This Memorandum of Understanding ("MOU") is entered into by and between the District of Columbia Captive Insurance Agency ("Captive") and the District of Columbia Department of General Services ("DGS"), each referred to herein individually as a "Party" and collectively as the "Parties".

I. INTRODUCTION AND OVERVIEW

Pursuant to the terms of this MOU, DGS will provide funds to the Captive to reimburse the Captive for the cost it incurs for the procurement of a builders risk insurance policy (the "Policy") covering the construction of a new elementary school, West Elementary School, at 1338 Farragut Street, NW, Washington, DC (the "West Elementary Project").

II. SCOPE OF WORK

A. Responsibilities of Captive

Procure the Policy for the West Elementary Project. The Policy term will begin with the Insurance Broker's receipt of the Policy premium and will end at the anticipated completion date of the West Elementary Project, October 17, 2021.

B. Responsibilities of DGS

Reimburse Captive Sixty Seven Thousand Three Hundred Seventy Seven Dollars (\$67,377.00) for the actual cost of the Policy premium.

III. FUNDING

A. Cost of services

Total cost for services is Sixty Seven Thousand Three Hundred Seventy Seven Dollars (\$67,377.00).

B. Payment

1. Payment for services shall be made through an Intra-District advance by DGS to Captive in the amount of Sixty Seven Thousand Three Hundred Seventy Seven Dollars (\$67,377.00).

2. Advances to Captive for the services to be provided shall not exceed the actual cost of this MOU, Sixty Seven Thousand Three Hundred Seventy Seven Dollars (\$67,377.00).

3. The Parties' Directors or their designees shall resolve all adjustments and disputes arising from services performed under this MOU.

IV. ANTI-DEFICIENCY CONSIDERATIONS

A. Federal and District of Columbia Anti-Deficiency Act

The Parties acknowledge and agree that their respective obligations to fulfill financial obligations of any kind pursuant to any and all provisions of this MOU, or any subsequent agreement entered into by the parties pursuant to this MOU, are and shall remain subject to the provisions of (i) the Federal Anti-Deficiency Act, 31 U.S.C. §§1341, 1342, 1349, 1351; (ii) the District of Columbia Anti-Deficiency Act, D.C. Official Code §§ 47-355.01-355.08 (2001); (iii) D.C. Official Code § 47-105 (2001); and (iv) D.C. Official Code § 1-204.46 (2006 Supp.)

B. Continuing Legal Obligation

The Parties acknowledge and agree that their respective obligations under the foregoing statutes may be amended from time to time, regardless of whether a particular obligation has been expressly so conditioned.

V. DURATION OF MOU

The duration of this MOU shall be from the date the last Party signed this MOU through September 30, 2020 unless sooner terminated pursuant to Article VII of this MOU.

VI. COMPLIANCE AND MONITORING

A. Monitoring

As this MOU is funded by District of Columbia funds, Captive will be subject to scheduled and unscheduled monitoring reviews by the District government to ensure compliance with all applicable requirements.

B. Records and reports

1. Captive shall maintain records and receipts for the expenditure of all funds received for a period of no less than three (3) years from the date of expiration or termination of the MOU.
2. Upon DGS' request, Captive will make these documents available for inspection by duly authorized representatives of DGS and other District officials as may be specified by DGS at its sole discretion.

VII. TERMINATION

A. Communication

Either Party may terminate this MOU in whole or in part by giving thirty (30) calendar days advance written notice to the other Party.

B. Cause

This MOU may be terminated for any of the following reasons:

1. Lack of funding;
2. Changes in applicable law;
3. Changes in the structure or nature of the program;
4. Elimination of the program or service;
5. Failure of either party to follow District of Columbia laws, rules, or regulations; or failure of either party to follow the terms of the MOU;
6. The convenience of either party.

VIII. NOTICE

A. For DGS:

The following individual is the DGS contact point under this MOU:

**George Lewis
Associate Director
Contracts and Procurement Chief Procurement Officer
Department of General Services
George.lewis@dc.gov**

B. For Captive:

The following individual is the Captive contact point under this MOU:

**Jane Waters
Insurance Program Administrator
Jane.waters@dc.gov**

IX. MODIFICATIONS

The terms and conditions of this MOU may be modified only upon prior written agreement by the Parties.

X. AUTHORITY FOR MOU

D.C. Official Code §§ 1-301.01(k).

XI. MISCELLANEOUS

The Parties shall comply with all applicable laws, rules and regulations whether now in force or hereafter enacted or promulgated.

SIGNATURES ON NEXT PAGE

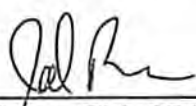
IN WITNESS WHEREOF, the Parties have executed this MOU as follows:

DISTRICT OF COLUMBIA DEPARTMENT OF GENERAL SERVICES

By: 
Keith A. Anderson, Director

Date: 10/17/14



CAPTIVE INSURANCE AGENCY

By: 
Jed Ross, Chief Risk Officer

Date: 10/1/14

INTRA-DISTRICT STANDARD REQUESTS FORM
GOVERNMENT OF THE DISTRICT OF COLUMBIA

PART I

MOU NUMBER:		
Services:		
		AMOUNT: \$63,377 Amount (IDSR): \$63,377.00
SELLER INFORMATION		
AGENCY:	DC Captive Insurance Agency	AGENCY CODE: RJ0
NAME OF CONTACT:	Alemayehu Awas	
ADDRESS :	441 4th Street NW, Suite 890N	
	Washington, DC 20024	
TELEPHONE # :	202-727-6535	
FAX # :	202-727-2202	
AUTHORIZING OFFICER		10/23/19
BUYER INFORMATION		
AGENCY:	Department of General Services	AGENCY CODE: AM0
NAME OF CONTACT:	Henry Wong	
ADDRESS :	2000 14th St. NW	
	Washington, DC 20001	
TELEPHONE # :	(202) 698-7481	
FAX # :		
AUTHORIZING OFFICER	 for MM	

PLEASE SEE NEXT PAGE FOR GOODS/ SERVICES DESCRIPTION AND FUNDING INFORMATION

INTRA-DISTRICT STANDARD REQUESTS FORM
GOVERNMENT OF THE DISTRICT OF COLUMBIA

PART II

MOU NUMBER: _____

SERVICE INFORMATION AND FUNDING CODES

GOOD/ SERVICE: Procurement of builder's risk insurance policy for West ES Modernization capital project

CW Harris School _____

TOTAL:

67,377
\$63,377.00-

	AGY	AY	FUND	INDEX	PCA	OBJ	ACBJ	PROJ/PH	Amount
SELLER	RJO	2020	0750	RJWEP	RJWEP	4600	4600	RJWEP/20	67,377
BUYER	AM0	2008	0300	YY73E	95101	0409	0409	YY173C/04	67,377.00

TOTAL:

	AGY	AY	FUND	INDEX	PCA	OBJ	ACBJ	PROJ/PH	Amount
SELLER									
BUYER									\$0.00

S061 V2.1 PRD DISTRICT OF COLUMBIA R*STARS 2.1 10/29/19 08:15 AM
LINK TO: AGENCY BUDGET FINANCIAL INQUIRY DSNF

AGENCY: RJ0 AY: 20 ORG CODE: 2000 PGM CODE: 2001 FUNC CODE:
AP FUND: FUND: 7750 GRANT/PH: PROJECT/PH: RJOWEP 20
COMP SRC/GRP: 1070 OBJ/COBJ/AGY OBJ: AGY OBJ GROUP:
ORG LEVEL: 02 MEDICAL LIABILITY CAPTIVE OPERATIONS
PGM LEVEL: 02 OVERSIGHT
FUNC LEVEL:
 INQ TYPE: MC (MA, YA, MY, YY, MC, YC) DETAIL/SUMMARY: D
 INQ YEAR: 20 INQ MONTH: 01 ADJUSTED BUDG: .00
BUDGET AVAIL: 67,377.00 BUDG % AVAIL: .00
EXPEND/BUDG %: 0.00 ALLOT/BUDG %: 0.00
ALLOTMENT BAL: 67,377.00 UNEXPND ALLOT: 67,377.00
BT TITLE AMOUNT BT TITLE AMOUNT
16 INTRA-DIST 67,377.00

F1-HELP F2-DOC INQ F5-NEXT F9-INTERRUPT ENTER-INQUIRE CLEAR-EXIT

19. Capital ID Eliot Hine Middle School DGS

GOVERNMENT OF THE DISTRICT OF COLUMBIA
OFFICE OF THE CHIEF FINANCIAL OFFICER
GOVERNMENT OPERATIONS CLUSTER
OFFICE OF FINANCE AND RESOURCE MANAGEMENT



MEMORANDUM

TO: Gordon McDonald, Deputy Chief Financial Officer
Office of Budget and Planning

THRU: ^{for} Angelique Rice, Associate Chief Financial Officer *ML Bolden*
Government Operations Cluster

FROM: Alemayehu Awas, Agency Fiscal Officer *Alemayehu Awas*
Government Operations Cluster

DATE: June 16, 2020

SUBJECT: FY20 Capital Intra-District Budget Authority

The Captive Insurance Agency (RJ0) is requesting a Capital intra-district budget authority increase in the amount of \$16,936.00 to fund a FY20 MOU with the Department of General Services (AM0). The funding will cover the costs associated with the Captive Insurance Agency procuring a Builders Risk Insurance policy related to construction services at the Eliot Hine Middle School Project. Attached is a copy of the memorandum of understanding (MOU).

The funding attributes are listed below.

PROJECT #	PHASE	INDEX	PCA	APPN#	CSG	AMOUNT
RJ0HMS	20	RJHMS	RJHMS	80000	0408	16,936.00
					Total	\$16,936.00

If you have any question, please contact me on 202-727-6535.

Attachments

S061 V2.1 PRD DISTRICT OF COLUMBIA R*STARS 2.1 06/15/20 11:41 AM
 LINK TO: AGENCY BUDGET FINANCIAL INQUIRY DSNE

AGENCY: RJ0 AY: 20 ORG CODE: 2000 PGM CODE: 2001 FUNC CODE: _____
 AP FUND: _____ FUND: 7750 GRANT/PH: _____ PROJECT/PH: RJ0HMS 19
 COMP SRC/GRP: 1070 OBJ/COBJ/AGY OBJ: _____ AGY OBJ GROUP: _____
 ORG LEVEL: 02 MEDICAL LIABILITY CAPTIVE OPERATIONS
 PGM LEVEL: 02 OVERSIGHT
 FUNC LEVEL: _____
 INQ TYPE: MC (MA, YA, MY, YY, MC, YC) DETAIL/SUMMARY: D
 INQ YEAR: 20 INQ MONTH: 09 ADJUSTED BUDG: .00
 BUDGET AVAIL: 16,936.00 BUDG % AVAIL: .00
 EXPEND/BUDG %: 0.00 ALLOT/BUDG %: 0.00
 ALLOTMENT BAL: 16,936.00 UNEXPND ALLOT: 16,936.00
 BT TITLE AMOUNT BT TITLE AMOUNT
 16 INTRA-DIST 16,936.00-

F1-HELP F2-DOC INQ F5-NEXT F9-INTERRUPT ENTER-INQUIRE CLEAR-EXIT

**MEMORANDUM OF UNDERSTANDING
BY AND BETWEEN
CAPTIVE INSURANCE AGENCY
AND
DEPARTMENT OF GENERAL SERVICES**

This Memorandum of Understanding (“MOU”) is entered into by and between the Captive Insurance Agency (“Captive”) and the Department of General Services (“DGS”), each referred to herein as a “Party” and collectively to herein as the “Parties”.

I. INTRODUCTION AND OVERVIEW

Pursuant to the terms of this MOU, DGS will provide funds to the Captive to reimburse the Captive for the cost it incurs for the extension of a builders risk policy of insurance (the “Policy”) from August 15, 2020 to December 15, 2020 relating to construction work at Eliot Hine Middle School.

II. SCOPE OF WORK

A. Responsibilities of the Captive

Procure extension of the Policy for Eliot Hine Middle School commencing August 15, 2020 and continuing through the anticipated completion date of December 15, 2020.

B. Responsibilities of DGS

Reimburse the Captive Sixteen Thousand Nine Hundred Thirty six dollars (\$16, 936) for the actual cost of the Policy extension.

III. FUNDING

A. Cost of services

Total cost for services is \$16,936.

B. Payment

1. Payment for services shall be made through an Intra-District advance by DGS to ORM in the amount of \$16,936.

2. Advances to ORM for the services to be provided shall not exceed the actual cost of this MOU, \$16,936.

3. The Parties' Directors or their designees shall resolve all adjustments and disputes arising from services performed under this MOU.

IV. ANTI-DEFICIENCY CONSIDERATIONS

A. Federal and District of Columbia Anti-Deficiency Act

The Parties acknowledge and agree that their respective obligations to fulfill financial obligations of any kind pursuant to any and all provisions of this MOU, or any subsequent agreement entered into by the parties pursuant to this MOU, are and shall remain subject to the provisions of (i) the Federal Anti-Deficiency Act, 31 U.S.C. §§1341, 1342, 1349, 1351; (ii) the District of Columbia Anti-Deficiency Act, D.C. Official Code §§ 47-355.01-355.08 (2001); (iii) D.C. Official Code § 47-105 (2001); and (iv) D.C. Official Code § 1-204.46 (2006 Supp.)

B. Continuing Legal Obligation

The Parties acknowledge and agree that their respective obligations under the foregoing statutes may be amended from time to time, regardless of whether a particular obligation has been expressly so conditioned.

V. DURATION OF MOU

This MOU shall commence on the Effective Date and remain in effect through September 30, 2020 unless sooner terminated pursuant to Article VII of this MOU.

VI. COMPLIANCE AND MONITORING

A. Monitoring

As this MOU is funded by District of Columbia funds, the Captive will be subject to scheduled and unscheduled monitoring reviews

by the District government to ensure compliance with all applicable requirements.

B. Records and reports

1. The Captive shall maintain records and receipts for the expenditure of all funds received for a period of no less than three (3) years from the date of expiration or termination of the MOU.
2. Upon DGS' request, the Captive will make these documents available for inspection by duly authorized representatives of DGS and other District officials as may be specified by DGS at its sole discretion.

VII. TERMINATION

A. Communication

Either Party may terminate this MOU in whole or in part by giving thirty (30) calendar days advance written notice to the other Party.

B. Cause

This MOU may be terminated for any of the following reasons:

1. Lack of funding;
2. Changes in applicable law;
3. Changes in the structure or nature of the program;
4. Elimination of the program or service;
5. Failure of either party to follow District of Columbia laws, rules, or regulations; or failure of either party to follow the terms of the MOU;
6. The convenience of either party.

VIII. NOTICE

A. For Department of General Services:

The following individual is the DGS contact point under this MOU:

George Lewis
Associate Director
Contracts and Procurement Chief Procurement Officer
Department of General Services
George.lewis@dc.gov

B. For the Captive:

The following individual is the ORM contact point under this MOU:

Jane Waters
Insurance Program Administrator
Jane.waters@dc.gov

IX. MODIFICATIONS

The terms and conditions of this MOU may be modified only upon prior written agreement by the Parties.

X. AUTHORITY FOR MOU

D.C. Official Code §§ 1-301.01(k).

XI. MISCELLANEOUS

The Parties shall comply with all applicable laws, rules and regulations whether now in force or hereafter enacted or promulgated.

IN WITNESS WHEREOF, the Parties have executed this MOU as follows:

SIGNATURES ON NEXT PAGE

**DISTRICT OF COLUMBIA DEPARTMENT OF GENERAL
SERVICES**

By: 

Keith A. Anderson, Director

Date: June 08,
2020

CAPTIVE INSURANCE AGENCY

By: 

Jed Ross, Chief Risk Officer

Date: 4/3/2020

INTRA-DISTRICT STANDARD REQUEST FORM
Government of the District of Columbia

PART I

MOU NUMBER: _____

Services: _____

AMOUNT: \$16,936.00

SELLER INFORMATION

AGENCY: DC Captive Insurance Agency

AGENCY CODE: RJ0

NAME OF CONTACT: Alemayehu Awas

ADDRESS : 441 4th Street NW, Suite 890N

Washington, DC 20012

TELEPHONE # : 202-727-6535

FAX # : 202-727-2202

AUTHORIZING OFFICER

Alemayehu Awas

06/09/20

BUYER INFORMATION

AGENCY: Department of General Services

AGENCY CODE: AM0

NAME OF CONTACT: Antoinette Hudson-Beckham

ADDRESS : 2000 14th St. NW

Washington, DC 20001

TELEPHONE # : (202) 698-7476

FAX # :

AUTHORIZING OFFICER Antoinette Hudson Beckham

PLEASE SEE NEXT PAGE FOR GOODS' SERVICES DESCRIPTION AND FUNDING INFORMATION

MOU NUMBER: _____ 1 1

GOOD/ SERVICE:	DGS will provide funds to the Captive to reimburse the Captive for the cost it incurs for the procurement of a builder's risk insurance policy covering modernization of the Eliot Hine Middle School Modernization (capital) project.
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TOTAL: \$16,936.00

[illegible]

20. Capital ID Lamond Riggs Library DCPL

GOVERNMENT OF THE DISTRICT OF COLUMBIA
OFFICE OF THE CHIEF FINANCIAL OFFICER
GOVERNMENT OPERATIONS CLUSTER
OFFICE OF FINANCE AND RESOURCE MANAGEMENT



MEMORANDUM

TO: Gordon McDonald, Deputy Chief Financial Officer
Office of Budget and Planning

THRU: for Angelique Rice, Associate Chief Financial Officer CMukolwe
Government Operations Cluster

FROM: Alemayehu Awas, Agency Fiscal Officer
Government Operations Cluster *Alemayehu Awas*

DATE: July 15, 2020

SUBJECT: FY20 Capital Intra-District Budget Authority

The Captive Insurance Agency (RJ0) is requesting a Capital intra-district budget authority increase in the amount of \$14,827.82 to fund a FY20 MOU with the District of Columbia Public Library (CE0). The funding will cover the costs associated with the Captive Insurance Agency procuring a Builders Risk Insurance policy related to construction services at the Lamond Riggs Library. Attached is a copy of the memorandum of understanding.

The funding attributes are listed below.

PROJECT #	PHASE	INDEX	PCA	APPN#	CSG	AMOUNT
RJ0LRL	20	RJLRL	RJLRL	80000	0408	14,827.82
					Total	\$14,827.82

If you have any question, please contact me on 202-727-6535.

Attachments

S061 V2.1 PRD DISTRICT OF COLUMBIA R*STARS 2.1 07/14/20 02:18 PM
LINK TO: AGENCY BUDGET FINANCIAL INQUIRY DSNF

AGENCY: RJ0 AY: 20 ORG CODE: 2000 PGM CODE: 2001 FUNC CODE: _____
AP FUND: _____ FUND: 7750 GRANT/PH: _____ PROJECT/PH: RJ0LRL 20
COMP SRC/GRP: 1070 OBJ/COBJ/AGY OBJ: _____ AGY OBJ GROUP: _____
ORG LEVEL: 02 MEDICAL LIABILITY CAPTIVE OPERATIONS
PGM LEVEL: 02 OVERSIGHT
FUNC LEVEL: _____

INQ TYPE: MC (MA, YA, MY, YY, MC, YC) DETAIL/SUMMARY: D
INQ YEAR: 20 INQ MONTH: 10 ADJUSTED BUDG: _____ .00
BUDGET AVAIL: _____ 14,827.82 BUDG % AVAIL: _____ .00
EXPEND/BUDG %: _____ 0.00 ALLOT/BUDG %: _____ 0.00
ALLOTMENT BAL: _____ 14,827.82 UNEXPND ALLOT: _____ 14,827.82
BT TITLE AMOUNT BT TITLE AMOUNT
16 INTRA-DIST 14,827.82-

F1-HELP F2-DOC INQ F5-NEXT F9-INTERRUPT ENTER-INQUIRE CLEAR-EXIT

**MEMORANDUM OF UNDERSTANDING
BY AND BETWEEN**

**CAPTIVE INSURANCE AGENCY
AND
DISTRICT OF COLUMBIA PUBLIC LIBRARY**

This Memorandum of Understanding (“MOU”) is entered into by and between the District of Columbia Public Library (“DCPL”) the Captive Insurance Agency (“Captive”), each referred to herein as a “Party” and collectively to herein as the “Parties”.

I. INTRODUCTION AND OVERVIEW

Pursuant to the terms of this MOU, DCPL will provide funds to the Captive to reimburse the Captive for the cost it incurs for procurement of a builders’ risk policy of insurance (the “Policy”) relating to construction work at Lamond Riggs Neighborhood Library.

II. SCOPE OF WORK

A. Responsibilities of the Captive

Procure the Policy for Lamond Riggs Neighborhood Library commencing June 1, 2020 and continuing through the anticipated completion date of December 31, 2021.

B. Responsibilities of DCPL

Reimburse the Captive Fourteen Thousand Eight Hundred Twenty Seven Dollars and eighty two cents (\$14,827.82) for the actual cost of the Policy.

III. FUNDING

A. Cost of services

Total cost for services is \$14,827.82.

B. Payment

1. Payment for services shall be made through an Intra-District advance of capital funds by DCPL to Captive in the amount of \$14,827.82.
2. Advances to Captive for the services to be provided shall not exceed the actual cost of this MOU, \$14,827.82.
3. The Parties' Directors or their designees shall resolve all adjustments and disputes arising from services performed under this MOU.

IV. ANTI-DEFICIENCY CONSIDERATIONS

A. Federal and District of Columbia Anti-Deficiency Act

The Parties acknowledge and agree that their respective obligations to fulfill financial obligations of any kind pursuant to any and all provisions of this MOU, or any subsequent agreement entered into by the parties pursuant to this MOU, are and shall remain subject to the provisions of (i) the Federal Anti-Deficiency Act, 31 U.S.C. §§1341, 1342, 1349, 1351; (ii) the District of Columbia Anti-Deficiency Act, D.C. Official Code §§ 47-355.01-355.08 (2001); (iii) D.C. Official Code § 47-105 (2001); and (iv) D.C. Official Code § 1-204.46 (2006 Supp.)

B. Continuing Legal Obligation

The Parties acknowledge and agree that their respective obligations under the foregoing statutes may be amended from time to time, regardless of whether a particular obligation has been expressly so conditioned.

V. DURATION OF MOU

This MOU shall commence on the date the last Party executes this MOU ("Effective Date") and remain in effect through December 31, 2021 unless sooner terminated pursuant to Article VII of this MOU.

VI. COMPLIANCE AND MONITORING

A. Monitoring

As this MOU is funded by District of Columbia funds, the Captive will be subject to scheduled and unscheduled monitoring reviews by the District government to ensure compliance with all applicable requirements.

B. Records and reports

1. The Captive shall maintain records and receipts for the expenditure of all funds received for a period of no less than three (3) years from the date of expiration or termination of the MOU.
2. Upon DCPLS' request, the Captive will make these documents available for inspection by duly authorized representatives of DCPL and other District officials as may be specified by DCPL at its sole discretion.

VII. TERMINATION

A. Communication

Either Party may terminate this MOU in whole or in part by giving thirty (30) calendar days advance written notice to the other Party.

B. Cause

This MOU may be terminated for any of the following reasons:

1. Lack of funding;
2. Changes in applicable law;
3. Changes in the structure or nature of the program;
4. Elimination of the program or service;
5. Failure of either party to follow District of Columbia laws, rules, or regulations; or failure of either party to follow the terms of the MOU;
6. The convenience of either party.

VIII. NOTICE

A. For District of Columbia Public Library:

The following individual is the DCPL contact point under this MOU:

Barbara Jumper
Chief Business Officer
Barbara.Jumper@dc.gov

B. For the Captive:

The following individual is the ORM contact point under this MOU:

Jane Waters
Insurance Program Administrator
Jane.Waters@dc.gov

IX. MODIFICATIONS

The terms and conditions of this MOU may be modified only upon prior written agreement by the Parties.

X. AUTHORITY FOR MOU

D.C. Official Code §§ 1-301.01(k).

XI. MISCELLANEOUS

The Parties shall comply with all applicable laws, rules and regulations whether now in force or hereafter enacted or promulgated.

IN WITNESS WHEREOF, the Parties have executed this MOU as follows:

SIGNATURES ON NEXT PAGE

DISTRICT OF COLUMBIA PUBLIC LIBRARY

By: 

RICHARD REYES-GAVILAN
Executive Director

Date: 7/6/2020

CAPTIVE INSURANCE AGENCY

By: 

Jed Ross, Chief Risk Officer

Date: 6/22/2020

INTRA-DISTRICT STANDARD REQUEST FORM
Government of the District of Columbia

PART I
GENERAL

MOU NUMBER: _____ EFF DATE OF MOU: _____

SELLER INFORMATION

AGENCY: DC Captive Insurance Agency AGENCY CODE: RJ0

NAME OF CONTACT: Alemayehu Awaz

ADDRESS : 441 4th Street NW, Suite 890N
Washington, DC 20012

TELEPHONE # : 202-727-6535

FAX # : 202-727-2202

AUTHORIZING OFFICER Alemayehu Awaz DATE: 07 / 07 / 20

BUYER INFORMATION

AGENCY: DC Public Library AGENCY CODE: _____

NAME OF CONTACT: Cheryle Pacana, Agency Fiscal Officer

ADDRESS : 1900 K Street, NW Suite 500
Washington, DC 20006

TELEPHONE # : 202-727-9114

FAX # : _____

AUTHORIZING OFFICER Cheryle Pacana DATE: 6 / 23 / 20

\$14,827.82
Procurement of Builders' Risk policy for
Lamond Riggs Neighborhood Library

PLEASE SEE NEXT PAGE FOR FUNDING INFORMATION

PART II

MOU NUMBER: _____ 2 OF _____ 2

SERVICE INFORMATION AND FUNDING CODES

GOOD/ SERVICE: Procurement of Builders' Risk policy for Lamond Riggs Neighborhood Library

\$14,827.82

	AGY	YR	ORG CODE	FUND	INDEX	PCA	OBJ	AOBJ	GRANT/PH	PROJ/PH
Seller	RJ0	20		7750	RJLR	RJLR	4600	4600		RJLR/20
Buyer	CE0	07		0300	LAR03	CAPTL	0409	0409		LAR37C/03

GOOD/ SERVICE:

DATE: __/__/__

TOTAL:

	AGY	YR	ORG CODE	FUND	INDEX	PCA	OBJ	AOBJ	GRANT/PH	PROJ/PH
SELLER										
BUYER										

GOOD/ SERVICE:

DATE: __/__/__

TOTAL:

	AGY	YR	ORG CODE	FUND	INDEX	PCA	OBJ	AOBJ	GRANT/PH	PROJ/PH
SELLER										
BUYER										

GOOD/ SERVICE:

DATE: __/__/__

TOTAL:

	AGY	YR	ORG CODE	FUND	INDEX	PCA	OBJ	AOBJ	GRANT/PH	PROJ/PH
SELLER										
BUYER										

21. Capital ID Thaddeus Stevens Project DGS ext

GOVERNMENT OF THE DISTRICT OF COLUMBIA
OFFICE OF THE CHIEF FINANCIAL OFFICER
GOVERNMENT OPERATIONS CLUSTER
OFFICE OF FINANCE AND RESOURCE MANAGEMENT



MEMORANDUM

TO: Gordon McDonald, Deputy Chief Financial Officer
Office of Budget and Planning

THRU: for *ML Bolden*
Angelique Rice, Associate Chief Financial Officer
Government Operations Cluster

FROM: Alemayehu Awas, Agency Fiscal Officer
Government Operations Cluster *Alemayehu Awas*

DATE: July 22, 2020

SUBJECT: FY20 Capital Intra-District Budget Authority

The Captive Insurance Agency (RJ0) is requesting a Capital intra-district budget authority increase in the amount of \$2,056.00 to fund an extension to the FY20 MOU with the Department of General Services (AM0). The funding will cover the costs associated with the Captive Insurance Agency procuring a Builders Risk Insurance policy related to construction services at the Thaddeus Stevens School. Attached is a copy of the memorandum of understanding.

The funding attributes are listed below.

PROJECT #	PHASE	INDEX	PCA	APPN#	CSG	AMOUNT
RJ0TSP	20	RJTSP	RJTSP	80000	0408	2,056.00
					Total	\$2,056.00

If you have any question, please contact me on 202-727-6535.

Attachments

S061 V2.1 PRD DISTRICT OF COLUMBIA R*STARS 2.1 07/21/20 04:41 PM

LINK TO: AGENCY BUDGET FINANCIAL INQUIRY DSNF

AGENCY: RJ0 AY: 20 ORG CODE: 2000 PGM CODE: 2001 FUNC CODE:

AP FUND: FUND: 7750 GRANT/PH: PROJECT/PH: RJ0TSP 20

COMP SRC/GRP: 1070 OBJ/COBJ/AGY OBJ: AGY OBJ GROUP:

ORG LEVEL: 02 MEDICAL LIABILITY CAPTIVE OPERATIONS

PGM LEVEL: 02 OVERSIGHT

FUNC LEVEL:

INQ TYPE: MC (MA, YA, MY, YY, MC, YC) DETAIL/SUMMARY: D

INQ YEAR: 20 INQ MONTH: 10 ADJUSTED BUDG: .00

BUDGET AVAIL: 2,056.72 BUDG % AVAIL: .00

EXPEND/BUDG %: 0.00 ALLOT/BUDG %: 0.00

ALLOTMENT BAL: 2,056.72 UNEXPND ALLOT: 2,056.72

BT TITLE AMOUNT BT TITLE AMOUNT

12 CASH REVENU 24,209.28

16 INTRA-DIST 2,056.72-

F1-HELP F2-DOC INQ F5-NEXT F9-INTERRUPT ENTER-INQUIRE CLEAR-EXIT

**FIRST AMENDMENT TO MEMORANDUM OF UNDERSTANDING
BY AND BETWEEN
CAPTIVE INSURANCE AGENCY
AND
DEPARTMENT OF GENERAL SERVICES**

This First Amendment to Memorandum of Understanding (“MOU Amendment”) is entered into by and between the Captive Insurance Agency (“Captive”) and the Department of General Services (“DGS”), each referred to herein as a “Party” and collectively to herein as the “Parties”.

- I. DGS has requested that coverage of the Builders Risk Insurance policy (“Policy”) for construction services relating to the Thaddeus Stevens School under the MOU effective October 1, 2019 be extended from July 31, 2020 to August 31, 2020. Extension of the Policy coverage will require a Policy premium increase of \$2,056.00.
- II. Accordingly, The Parties hereby amend the MOU between the Parties effective October 1, 2019, as follows:
 - A. The Parties increase the actual cost of services under Article III.A by the amount of \$2,056.00
 - B. The increased cost for services in the amount of \$2,056.00 shall be made through an Intra-District advance by DGS to the Captive.
- III. Except as modified herein, all other terms and conditions of the Memorandum of Understanding between the Parties for funding the Policy for the Thaddeus Stevens School shall remain in full force and effect.

SIGNATURES ON NEXT PAGE

**IN WITNESS WHEREOF, the Parties have executed this MOU
Amendment as follows:**

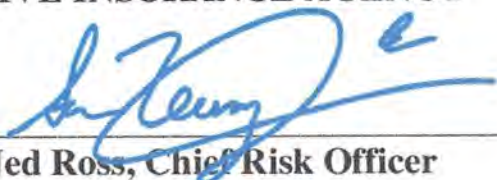
**DISTRICT OF COLUMBIA DEPARTMENT OF GENERAL
SERVICES**

By: 

Keith A. Anderson, Director

Date: July 14, 2020

CAPTIVE INSURANCE AGENCY

By: 

Jed Ross, Chief Risk Officer

Date: 7/6/2020

INTRA-DISTRICT STANDARD REQUEST FORM
Government of the District of Columbia

PART I
GENERAL

MOU NUMBER: _____ EFF DATE OF MOU: _____

SELLER INFORMATION

AGENCY: DC Captive Insurance Agency AGENCY CODE: RJ0

NAME OF CONTACT: Alemayehu Awaz

ADDRESS : 441 4th Street NW, Suite 890N
Washington, DC 20012

TELEPHONE # : 202-727-6535

FAX # : 202-727-2202

AUTHORIZING OFFICER Alemayehu Awaz DATE: 07 / 15 / 20

BUYER INFORMATION

AGENCY: Department of General Services AGENCY CODE: AM0

NAME OF CONTACT: Antionette Hudson Beckham

ADDRESS : 2000 14th St. NW
Washington, DC 20001

TELEPHONE # : 202-678-7476

FAX # : _____

AUTHORIZING OFFICER Antionette Hudson Beckham DATE: 07 / 07 / 20

PLEASE SEE NEXT PAGE FOR FUNDING INFORMATION

PART II

MOU NUMBER: _____ 2 OF _____ 2

SERVICE INFORMATION AND FUNDING CODES

GOOD/ SERVICE: Extension of the Builders' Risk policy fot Thaddeus Stevens School to August 31, 2020.

This is a Capital MOU.

TOTAL: \$2,056.00

	AGY	YR	FUND	INDEX	PCA	OBJ	AOBJ	GRANT/PH	PROJ/PH	Amount
Seller	RJ0	2020	7750	RJTSP	RJTSP	4600	4600		RJTSP 20	2,056.00
Buyer	AM0	2008	0314	THCCD	95101	0409	0409	N/A	NX238C/04	\$2,056.00

22. Capital ID Van Ness Elementary DGS

GOVERNMENT OF THE DISTRICT OF COLUMBIA
OFFICE OF THE CHIEF FINANCIAL OFFICER
GOVERNMENT OPERATIONS CLUSTER
OFFICE OF FINANCE AND RESOURCE MANAGEMENT



MEMORANDUM

TO: Gordon McDonald, Deputy Chief Financial Officer
Office of Budget and Planning

THRU: *ML Bolden*
for Angelique Rice, Associate Chief Financial Officer
Government Operations Cluster

FROM: Alemayehu Awas, Agency Fiscal Officer *Alemayehu Awas*
Government Operations Cluster

DATE: July 22, 2020

SUBJECT: FY20 Capital Intra-District Budget Authority

The Captive Insurance Agency (RJ0) is requesting a Capital intra-district budget authority increase in the amount of \$15,586.52 to fund a FY20 MOU with the Department of General Services (AM0). The funding will cover the costs associated with the Captive Insurance Agency procuring a Builders Risk Insurance policy related to construction services at the Van Ness Elementary. Attached is a copy of the memorandum of understanding.

The funding attributes are listed below.

PROJECT #	PHASE	INDEX	PCA	APPN#	CSG	AMOUNT
RJ0VNE	20	RJVNE	RJVNE	80000	0408	15,586.52
					Total	\$15,586.52

If you have any question, please contact me on 202-727-6535.

Attachments

S061 V2.1 PRD DISTRICT OF COLUMBIA R*STARS 2.1 07/22/20 08:06 AM
LINK TO: AGENCY BUDGET FINANCIAL INQUIRY DSNF

AGENCY: RJ0 AY: 20 ORG CODE: 2000 PGM CODE: 2001 FUNC CODE: _____
AP FUND: _____ FUND: 7750 GRANT/PH: _____ PROJECT/PH: RJOVNE 20
COMP SRC/GRP: 1070 OBJ/COBJ/AGY OBJ: _____ AGY OBJ GROUP: _____
ORG LEVEL: 02 MEDICAL LIABILITY CAPTIVE OPERATIONS
PGM LEVEL: 02 OVERSIGHT
FUNC LEVEL: _____
INQ TYPE: MC (MA, YA, MY, YY, MC, YC) DETAIL/SUMMARY: D
INQ YEAR: 20 INQ MONTH: 10 ADJUSTED BUDG: _____ .00
BUDGET AVAIL: _____ 15,586.52 BUDG % AVAIL: _____ .00
EXPEND/BUDG %: _____ 0.00 ALLOT/BUDG %: _____ 0.00
ALLOTMENT BAL: _____ 15,586.52 UNEXPND ALLOT: _____ 15,586.52
BT TITLE AMOUNT BT TITLE AMOUNT
16 INTRA-DIST 15,586.52-

F1-HELP F2-DOC INQ F5-NEXT F9-INTERRUPT ENTER-INQUIRE CLEAR-EXIT

**MEMORANDUM OF UNDERSTANDING
BY AND BETWEEN
CAPTIVE INSURANCE AGENCY
AND
DEPARTMENT OF GENERAL SERVICES**

This Memorandum of Understanding (“**MOU**”) is entered into by and between the Captive Insurance Agency (“**Captive**”) and the Department of General Services (“**DGS**”), each referred to herein as a “**Party**” and collectively to herein as the “**Parties**”.

I. INTRODUCTION AND OVERVIEW

Pursuant to the terms of this MOU, DGS will provide funds to the Captive to reimburse the Captive for the cost it incurs for the procurement of a builders’ risk policy of insurance (the “**Policy**”) from May 20, 2020 to September 01, 2021, relating to construction work at Van Ness Elementary School, 555 L Street, S.E., Washington, D.C. 20003.

II. SCOPE OF WORK

A. Responsibilities of the Captive

Procure the Policy for Van Ness Elementary School with a term from May 20, 2020 to September 01, 2021.

B. Responsibilities of DGS

Reimburse the Captive Fifteen Thousand Five Hundred Eighty Six Dollars and fifty two cents (\$15,586.52) for the actual cost of the Policy.

III. FUNDING

A. Cost of services

Total cost for services is \$15,586.52.

B. Payment

1. Payment for services shall be made through an Intra-District advance by DGS to ORM in the amount of 15,586.52

2. Advances to ORM for the services to be provided shall not exceed the actual cost of this MOU, 15,586.52

3. The Parties' Directors or their designees shall resolve all adjustments and disputes arising from services performed under this MOU.

IV. ANTI-DEFICIENCY CONSIDERATIONS

A. Federal and District of Columbia Anti-Deficiency Act

The Parties acknowledge and agree that their respective obligations to fulfill financial obligations of any kind pursuant to any and all provisions of this MOU, or any subsequent agreement entered into by the parties pursuant to this MOU, are and shall remain subject to the provisions of (i) the Federal Anti-Deficiency Act, 31 U.S.C. §§1341, 1342, 1349, 1351; (ii) the District of Columbia Anti-Deficiency Act, D.C. Official Code §§ 47-355.01-355.08 (2001); (iii) D.C. Official Code § 47-105 (2001); and (iv) D.C. Official Code § 1-204.46 (2006 Supp.)

B. Continuing Legal Obligation

The Parties acknowledge and agree that their respective obligations under the foregoing statutes may be amended from time to time, regardless of whether a particular obligation has been expressly so conditioned.

V. DURATION OF MOU

This MOU shall commence on the Effective Date and remain in effect through September 30, 2021 unless sooner terminated pursuant to Article VII of this MOU.

VI. COMPLIANCE AND MONITORING

A. Monitoring

As this MOU is funded by District of Columbia funds, the Captive will be subject to scheduled and unscheduled monitoring reviews by

the District government to ensure compliance with all applicable requirements.

B. Records and reports

1. The Captive shall maintain records and receipts for the expenditure of all funds received for a period of no less than three (3) years from the date of expiration or termination of the MOU.
2. Upon DGS' request, the Captive will make these documents available for inspection by duly authorized representatives of DGS and other District officials as may be specified by DGS at its sole discretion.

VII. TERMINATION

A. Communication

Either Party may terminate this MOU in whole or in part by giving thirty (30) calendar days advance written notice to the other Party.

B. Cause

This MOU may be terminated for any of the following reasons:

1. Lack of funding;
2. Changes in applicable law;
3. Changes in the structure or nature of the program;
4. Elimination of the program or service;
5. Failure of either party to follow District of Columbia laws, rules, or regulations; or failure of either party to follow the terms of the MOU;
6. The convenience of either party.

VIII. NOTICE

A. For Department of General Services:

The following individual is the DGS contact point under this MOU:

George Lewis
Associate Director
Contracts and Procurement Chief Procurement Officer
Department of General Services
George.lewis@dc.gov

B. For the Captive:

The following individual is the ORM contact point under this MOU:

Jane Waters
Insurance Program Administrator
Jane.waters@dc.gov

IX. MODIFICATIONS

The terms and conditions of this MOU may be modified only upon prior written agreement by the Parties.

X. AUTHORITY FOR MOU

D.C. Official Code §§ 1-301.01(k).

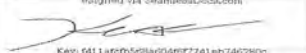
XI. MISCELLANEOUS

The Parties shall comply with all applicable laws, rules and regulations whether now in force or hereafter enacted or promulgated.

IN WITNESS WHEREOF, the Parties have executed this MOU as follows:

SIGNATURES ON NEXT PAGE


DEPARTMENT OF GENERAL SERVICES

eSigned via DocuSign.com

Key: 6411a2c0b5d8a604e0f7741eb746280c

By: _____
Keith A. Anderson, Director

Date: July 14, 2020

CAPTIVE INSURANCE AGENCY

By:  _____
Jed Ross, Chief Risk Officer

Date: 7/6/2020

INTRA-DISTRICT STANDARD REQUEST FORM

Government of the District of Columbia

PART I**GENERAL**

MOU NUMBER: _____ EFF DATE OF MOU: _____

SELLER INFORMATIONAGENCY: DC Captive Insurance Agency AGENCY CODE: RJ0NAME OF CONTACT: Alemayehu AwasADDRESS : 441 4th Street NW, Suite 890N
Washington, DC 20012TELEPHONE #: 202-727-6535FAX #: 202-727-2202AUTHORIZING OFFICER Alemayehu Awas DATE: 07 / 15 / 2020**BUYER INFORMATION**AGENCY: Department of General Services AGENCY CODE: AM0NAME OF CONTACT: Antionette Hudson BeckmanADDRESS : 2000 14th St. NW
Washington, DC 20001TELEPHONE #: 202-678-7476

FAX #: _____

AUTHORIZING OFFICER Antionette Hudson Beckman DATE: 07 / 07 / 20

PLEASE SEE NEXT PAGE FOR FUNDING INFORMATION

PART II

MOU NUMBER: _____ 2 OF _____ 2

SERVICE INFORMATION AND FUNDING CODES

GOOD/ SERVICE: DGS will provide funds to the Captive for the cost it incurs for the procurement of a builder's risk policy at Van Ness ES. For the period of 05/20/2020- 9/01/2021. This is a Capital MOU.

TOTAL: \$15,586.52

	AGY	YR	FUND	INDEX	PCA	OBJ	AOBJ	GRANT/PH	PROJ/PH	Amount
Seller	RJ0	2020	7750	RJVNE	RJVNE	4600	4600		RJVNE 20	\$15,586.52
Buyer	AM0	2008	0300	VNSSD	95101	0409	0409	N/A	YY1VNC/04	\$15,586.52

23. Capital ID Ross Elementary School

GOVERNMENT OF THE DISTRICT OF COLUMBIA
OFFICE OF THE CHIEF FINANCIAL OFFICER
GOVERNMENT OPERATIONS CLUSTER
OFFICE OF FINANCE AND RESOURCE MANAGEMENT



MEMORANDUM

TO: Gordon McDonald, Deputy Chief Financial Officer
Office of Budget and Planning

THRU: Angelique Rice, Associate Chief Financial Officer
Government Operations Cluster

FROM: Alemayehu Awas, Agency Fiscal Officer *Alemayehu Awas*
Government Operations Cluster

DATE: August 31, 2020

SUBJECT: FY20 Capital Intra-District Budget Authority

The Captive Insurance Agency (RJ0) is requesting a Capital intra-district budget authority increase in the amount of \$15,891.90 to fund a FY20 MOU with the Department of General Services (AM0). The funding will cover the costs associated with the Captive Insurance Agency procuring a Builders Risk Insurance policy related to construction services at the Ross Elementary. Attached is a copy of the memorandum of understanding.

The funding attributes are listed below.

PROJECT #	PHASE	INDEX	PCA	APPN#	CSG	AMOUNT
RJ0RES	20	RJRES	RJRES	80000	0408	15,891.90
					Total	\$15,891.90

If you have any question, please contact me on 202-727-6535.

Attachments

S061 V2.1 PRD DISTRICT OF COLUMBIA R*STARS 2.1 08/31/20 07:44 AM
 LINK TO: _____ AGENCY BUDGET FINANCIAL INQUIRY DSNF

AGENCY: RJ0 AY: 20 ORG CODE: 2000 PGM CODE: 2001 FUNC CODE: _____
 AP FUND: _____ FUND: 7750 GRANT/PH: _____ PROJECT/PH: RJORES 20
 COMP SRC/GRP: 1070 OBJ/COBJ/AGY OBJ: _____ AGY OBJ GROUP: _____
 ORG LEVEL: 02 MEDICAL LIABILITY CAPTIVE OPERATIONS
 PGM LEVEL: 02 OVERSIGHT
 FUNC LEVEL: _____
 INQ TYPE: MC (MA, YA, MY, YY, MC, YC) DETAIL/SUMMARY: D
 INQ YEAR: 20 INQ MONTH: 11 ADJUSTED BUDG: .00
 BUDGET AVAIL: 15,891.90 BUDG % AVAIL: .00
 EXPEND/BUDG %: 0.00 ALLOT/BUDG %: 0.00
 ALLOTMENT BAL: 15,891.90 UNEXPND ALLOT: 15,891.90
 BT TITLE AMOUNT BT TITLE AMOUNT
 16 INTRA-DIST 15,891.90-

F1-HELP F2-DOC INQ F5-NEXT F9-INTERRUPT ENTER-INQUIRE CLEAR-EXIT

**MEMORANDUM OF UNDERSTANDING
BY AND BETWEEN
CAPTIVE INSURANCE AGENCY
AND
DEPARTMENT OF GENERAL SERVICES**

This Memorandum of Understanding ("MOU") is entered into by and between the Captive Insurance Agency ("Captive") and the Department of General Services ("DGS"), each referred to herein as a "Party" and collectively to herein as the "Parties".

I. INTRODUCTION AND OVERVIEW

Pursuant to the terms of this MOU, DGS will provide funds to the Captive to reimburse the Captive for the cost it incurs for the procurement of a builders' risk policy of insurance (the "Policy") with a term from June 20, 2020 to September 1, 2021, relating to construction work at the Ross Elementary School (the "Project").

II. SCOPE OF WORK

A. Responsibilities of the Captive

Procure the Policy for the Project commencing June 20, 2020 and continuing to the anticipated completion date of September 1, 2021.

B. Responsibilities of DGS

Reimburse the Captive Fifteen Thousand Eight Hundred Ninety-One Dollars and 90 cents (\$15,891.90 for the actual cost of the Policy.

III. FUNDING

A. Cost of services

Total cost for services is \$15,891.90.

B. Payment

1. Payment for services shall be made through an Intra-District advance by DGS to ORM in the amount of \$15,891.90.
2. Advances to ORM for the services to be provided shall not exceed the actual cost of this MOU, \$15,891.90.
3. The Parties' Directors or their designees shall resolve all adjustments and disputes arising from services performed under this MOU.

IV. ANTI-DEFICIENCY CONSIDERATIONS

A. Federal and District of Columbia Anti-Deficiency Act

The Parties acknowledge and agree that their respective obligations to fulfill financial obligations of any kind pursuant to any and all provisions of this MOU, or any subsequent agreement entered into by the parties pursuant to this MOU, are and shall remain subject to the provisions of (i) the Federal Anti-Deficiency Act, 31 U.S.C. §§1341, 1342, 1349, 1351; (ii) the District of Columbia Anti-Deficiency Act, D.C. Official Code §§ 47-355.01-355.08 (2001); (iii) D.C. Official Code § 47-105 (2001); and (iv) D.C. Official Code § 1-204.46 (2006 Supp.)

B. Continuing Legal Obligation

The Parties acknowledge and agree that their respective obligations under the foregoing statutes may be amended from time to time, regardless of whether a particular obligation has been expressly so conditioned.

V. DURATION OF MOU

This MOU shall commence on the date the last Party signs this MOU and remain in effect through September 30, 2021 unless sooner terminated pursuant to Article VII of this MOU.

VI. COMPLIANCE AND MONITORING

A. Monitoring

As this MOU is funded by District of Columbia funds, the Captive will be subject to scheduled and unscheduled monitoring reviews by the District government to ensure compliance with all applicable requirements.

B. Records and reports

1. The Captive shall maintain records and receipts for the expenditure of all funds received for a period of no less than three (3) years from the date of expiration or termination of the MOU.
2. Upon DGS' request, the Captive will make these documents available for inspection by duly authorized representatives of DGS and other District officials as may be specified by DGS at its sole discretion.

VII. TERMINATION

A. Communication

Either Party may terminate this MOU in whole or in part by giving thirty (30) calendar days advance written notice to the other Party.

B. Cause

This MOU may be terminated for any of the following reasons:

1. Lack of funding;
2. Changes in applicable law;
3. Changes in the structure or nature of the program;
4. Elimination of the program or service;
5. Failure of either party to follow District of Columbia laws, rules, or regulations; or failure of either party to follow the terms of the MOU;
6. The convenience of either party.

VIII. NOTICE

A. For Department of General Services:

The following individual is the DGS contact point under this MOU:

George Lewis
Associate Director
Contracts and Procurement Chief Procurement Officer
Department of General Services
George.lewis@dc.gov

B. For the Captive:

The following individual is the ORM contact point under this MOU:

Jane Waters
Insurance Program Administrator
Jane.waters@dc.gov

IX. MODIFICATIONS

The terms and conditions of this MOU may be modified only upon prior written agreement by the Parties.

X. AUTHORITY FOR MOU

D.C. Official Code §§ 1-301.01(k).

XI. MISCELLANEOUS

The Parties shall comply with all applicable laws, rules and regulations whether now in force or hereafter enacted or promulgated.

IN WITNESS WHEREOF, the Parties have executed this MOU as follows:

DISTRICT OF COLUMBIA DEPARTMENT OF GENERAL SERVICES

eSigned via SeamlessDocs.com
Keith A. Anderson
Key: 03e4a5d1fd4d460512074aeffbd89a35

By: _____
Keith A. Anderson, Director

Date: August 27, 2020

CAPTIVE INSURANCE AGENCY

By: *Jed Ross*
Jed Ross, Chief Risk Officer

Date: 7/13/2020

INTRA-DISTRICT STANDARD REQUEST FORM
Government of the District of Columbia

PART I

GENERAL

MOU NUMBER: _____ EFF DATE OF MOU: _____

SELLER INFORMATION

AGENCY: DC Captive Insurance Agency AGENCY CODE: RJ0

NAME OF CONTACT: Alemayehu Awas

ADDRESS : 441 4th Street NW, Suite 890N
Washington, DC 20012

TELEPHONE # : 202-727-6535

FAX # : 202-727-2202

AUTHORIZING OFFICER Alemayehu Awas DATE: 08 / 28 / 20

BUYER INFORMATION

AGENCY: Department of General Services AGENCY CODE: AM0

NAME OF CONTACT: Antionette Hudson Beckman

ADDRESS : 2000 14th St. NW
Washington, DC 20001

TELEPHONE # : 202-698-7476

FAX # : _____

AUTHORIZING OFFICER Antoinette Hudson-Beckham DATE: 07 / 14 / 20

PLEASE SEE NEXT PAGE FOR FUNDING INFORMATION

PART II

MOU NUMBER: _____ 2 OF _____ 2

SERVICE INFORMATION AND FUNDING CODES

GOOD/ SERVICE: DGS will provide funds to the Captive for the cost it incurs for the procurement of a builder's risk policy at Ross ES. For the period of 06/20/2020- 9/01/2021. This is a Capital MOU.

TOTAL: \$15,891.90

	AGY	YR	FUND	INDEX	PCA	OBJ	AOBJ	GRANT/PH	PROJ/PH	Amount
Seller	RJ0	2020	7750	RJ RES	RJ RES	4600 0408	4600 0408		RJ RES 20	\$15,891.90
Buyer	AM0	2008	0300	YY53E	95101	0409	0409	N/A	YY153C	\$15,891.90

24. Capital ID Hardy Recreation Center

GOVERNMENT OF THE DISTRICT OF COLUMBIA
OFFICE OF THE CHIEF FINANCIAL OFFICER
GOVERNMENT OPERATIONS CLUSTER
OFFICE OF FINANCE AND RESOURCE MANAGEMENT



MEMORANDUM

TO: Gordon McDonald, Deputy Chief Financial Officer
Office of Budget and Planning

THRU: for Angelique Rice, Associate Chief Financial Officer
Government Operations Cluster *ML Bolden*

FROM: Alemayehu Awas, Agency Fiscal Officer
Government Operations Cluster *Alemayehu Awas*

DATE: August 24, 2020

SUBJECT: FY20 Capital Intra-District Budget Authority

The Captive Insurance Agency (RJ0) is requesting a Capital intra-district budget authority increase in the amount of \$1,666.90 to fund a FY20 MOU with the Department of General Services (AM0). The funding will cover the costs associated with the Captive Insurance Agency procuring a Builders Risk Insurance policy related to construction services at the Hardy Recreation Center. Attached is a copy of the memorandum of understanding.

The funding attributes are listed below.

PROJECT #	PHASE	INDEX	PCA	APPN#	CSG	AMOUNT
RJ0HRC	20	RJHRC	RJHRC	80000	0408	1,666.90
					Total	\$1,666.90

If you have any question, please contact me on 202-727-6535.

Attachments

8:41:32 Monday, August 24, 2020

S061 V2.1 PRD DISTRICT OF COLUMBIA R*STARS 2.1 08/24/20 08:41 AM
LINK TO: _____ AGENCY BUDGET FINANCIAL INQUIRY DSNE

AGENCY: RJ0 AY: 20 ORG CODE: 2000 PGM CODE: 2001 FUNC CODE: _____
AP FUND: _____ FUND: 7750 GRANT/PH: _____ PROJECT/PH: RJ0HRC 20
COMP SRC/GRP: 1070 OBJ/COBJ/AGY OBJ: _____ AGY OBJ GROUP: _____
ORG LEVEL: 02 MEDICAL LIABILITY CAPTIVE OPERATIONS
PGM LEVEL: 02 OVERSIGHT
FUNC LEVEL: _____
INQ TYPE: MC (MA, YA, MY, YY, MC, YC) DETAIL/SUMMARY: D
INQ YEAR: 20 INQ MONTH: 11 ADJUSTED BUDG: .00
BUDGET AVAIL: 1,666.90 BUDG % AVAIL: .00
EXPEND/BUDG %: 0.00 ALLOT/BUDG %: 0.00
ALLOTMENT BAL: 1,666.90 UNEXPND ALLOT: 1,666.90
BT TITLE AMOUNT BT TITLE AMOUNT
16 INTRA-DIST 1,666.90-

F1-HELP F2-DOC INQ F5-NEXT F9-INTERRUPT ENTER-INQUIRE CLEAR-EXIT

**MEMORANDUM OF UNDERSTANDING
BY AND BETWEEN
CAPTIVE INSURANCE AGENCY
AND
DEPARTMENT OF GENERAL SERVICES**

This Memorandum of Understanding (“MOU”) is entered into by and between the Captive Insurance Agency (“Captive”) and the Department of General Services (“DGS”), each referred to herein as a “Party” and collectively to herein as the “Parties”.

I. INTRODUCTION AND OVERVIEW

Pursuant to the terms of this MOU, DGS will provide funds to the Captive to reimburse the Captive for the cost it incurs for the procurement of a builders’ risk policy of insurance (the “Policy”) with a term from September 20, 2020 to January 31, 2021, relating to construction work at the Hardy Recreation Center and Park (the “Project”).

II. SCOPE OF WORK

A. Responsibilities of the Captive

Procure the Policy for the Project commencing September 1, 2020 and continuing to the anticipated completion date of January 31, 2021.

B. Responsibilities of DGS

Reimburse the Captive One Thousand Six Hundred Sixty-Six Dollars and 90 cents (\$1,666.90) for the actual cost of the Policy.

III. FUNDING

A. Cost of services

Total cost for services is \$1,666.90.

B. Payment

1. Payment for services shall be made through an Intra-District advance by DGS to ORM in the amount of \$1,666.90.

2. Advances to ORM for the services to be provided shall not exceed the actual cost of this MOU, \$1,666.90.

3. The Parties’ Directors or their designees shall resolve all adjustments and disputes arising from services performed under this MOU.

IV. ANTI-DEFICIENCY CONSIDERATIONS

A. Federal and District of Columbia Anti-Deficiency Act

The Parties acknowledge and agree that their respective obligations to fulfill financial obligations of any kind pursuant to any and all provisions of this MOU, or any subsequent agreement entered into by the parties pursuant to this MOU, are and shall remain subject to the provisions of (i) the Federal Anti-Deficiency Act, 31 U.S.C. §§1341, 1342, 1349, 1351; (ii) the District of Columbia Anti-Deficiency Act, D.C. Official Code §§ 47-355.01-355.08 (2001); (iii) D.C. Official Code § 47-105 (2001); and (iv) D.C. Official Code § 1-204.46 (2006 Supp.)

B. Continuing Legal Obligation

The Parties acknowledge and agree that their respective obligations under the foregoing statutes may be amended from time to time, regardless of whether a particular obligation has been expressly so conditioned.

V. DURATION OF MOU

This MOU shall commence on the date the last Party signs this MOU and remain in effect through September 30, 2021 unless sooner terminated pursuant to Article VII of this MOU.

VI. COMPLIANCE AND MONITORING

A. Monitoring

As this MOU is funded by District of Columbia funds, the Captive will be subject to scheduled and unscheduled monitoring reviews by the District government to ensure compliance with all applicable requirements.

B. Records and reports

1. The Captive shall maintain records and receipts for the expenditure of all funds received for a period of no less than three (3) years from the date of expiration or termination of the MOU.
2. Upon DGS' request, the Captive will make these documents available for inspection by duly authorized representatives of DGS and other District officials as may be specified by DGS at its sole discretion.

VII. TERMINATION

A. Communication

Either Party may terminate this MOU in whole or in part by giving thirty (30) calendar days advance written notice to the other Party.

B. Cause

This MOU may be terminated for any of the following reasons:

1. Lack of funding;
2. Changes in applicable law;
3. Changes in the structure or nature of the program;
4. Elimination of the program or service;
5. Failure of either party to follow District of Columbia laws, rules, or regulations; or failure of either party to follow the terms of the MOU;
6. The convenience of either party.

VIII. NOTICE

A. For Department of General Services:

The following individual is the DGS contact point under this MOU:

George Lewis
Associate Director
Contracts and Procurement Chief Procurement Officer
Department of General Services
George.lewis@dc.gov

B. For the Captive:

The following individual is the ORM contact point under this MOU:

Jane Waters
Insurance Program Administrator
Jane.waters@dc.gov

IX. MODIFICATIONS

The terms and conditions of this MOU may be modified only upon prior written agreement by the Parties.

X. AUTHORITY FOR MOU

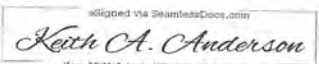
D.C. Official Code §§ 1-301.01(k).

XI. MISCELLANEOUS

The Parties shall comply with all applicable laws, rules and regulations whether now in force or hereafter enacted or promulgated.

IN WITNESS WHEREOF, the Parties have executed this MOU as follows:

DISTRICT OF COLUMBIA DEPARTMENT OF GENERAL SERVICES

By: 
Keith A. Anderson, Director

Date: August 11, 2020

CAPTIVE INSURANCE AGENCY

By: 
Jed Ross, Chief Risk Officer

Date: 7/14/2020

INTRA-DISTRICT STANDARD REQUEST FORM
Government of the District of Columbia

PART I
GENERAL

MOU NUMBER: _____ EFF DATE OF MOU: _____

SELLER INFORMATION

AGENCY: DC Captive Insurance Agency AGENCY CODE: RJ0
NAME OF CONTACT: Alemayehu Awaz
ADDRESS : 441 4th Street NW, Suite 890N
Washington, DC 20012
TELEPHONE # : 202-727-6535
FAX # : 202-727-2202
AUTHORIZING OFFICER Alemayehu Awaz DATE: 08 / 14 / 20

BUYER INFORMATION

AGENCY: Department of General Services AGENCY CODE: _____
NAME OF CONTACT: Antionette Hudson-Beckman
ADDRESS : 2000 14th St. NW
Washington, DC 20001
TELEPHONE # : 202-678-7476
FAX # : _____
AUTHORIZING OFFICER Antionette Hudson-Beckman DATE: 08 / 06 / 20

PLEASE SEE NEXT PAGE FOR FUNDING INFORMATION

PART II

MOU NUMBER: _____ 2 OF 2

SERVICE INFORMATION AND FUNDING CODES

GOOD/ SERVICE: Procurement of a Builders' Risk policy for construction work at Hardy Recreation Center and Park

\$1,666.90

	AGY	YR	ORG CODE	FUND	INDEX	PCA	OBJ	AOBJ	GRANT/PH	PROJ/PH
Seller	RJ0	20		7750	RJHRC	RJHRC	4600	4600		RJPHRC/20
Buyer	AM0	15	2003	0300	HRDYA	95101	0409	0409		HRDYRC/01

GOOD/ SERVICE: _____

DATE: ____/____/____

TOTAL: _____

25. Capital ID Houston Elementary School ext

GOVERNMENT OF THE DISTRICT OF COLUMBIA
OFFICE OF THE CHIEF FINANCIAL OFFICER
GOVERNMENT OPERATIONS CLUSTER
OFFICE OF FINANCE AND RESOURCE MANAGEMENT



MEMORANDUM

TO: Gordon McDonald, Deputy Chief Financial Officer
Office of Budget and Planning

THRU: for Angelique Rice, Associate Chief Financial Officer *Christine Mukolwe*
Government Operations Cluster

FROM: Alemayehu Awas, Agency Fiscal Officer
Government Operations Cluster *Alemayehu Awas*

DATE: September 16, 2020

SUBJECT: FY20 Capital Intra-District Budget Authority

The Captive Insurance Agency (RJ0) is requesting a Capital intra-district budget authority increase in the amount of \$13,952.26 to fund a FY20 MOU extension with the Department of General Services (AM0). The funding will cover the costs associated with the Captive Insurance Agency procuring an extension to the Builders Risk Insurance policy related to construction services at the Houston Elementary School. Attached is a copy of the memorandum of understanding.

The funding attributes are listed below.

PROJECT #	PHASE	INDEX	PCA	APPN#	CSG	AMOUNT
RJ0HES	20	RJ3HE	RJ3HE	80000	0408	13,952.26
					Total	\$13,952.26

If you have any question, please contact me on 202-727-6535.

Attachments

S061 V2.1 PRD DISTRICT OF COLUMBIA R*STARS 2.1 09/11/20 11:33 AM
 LINK TO: _____ AGENCY BUDGET FINANCIAL INQUIRY DSNF

AGENCY: RJ0 AY: 20 ORG CODE: 2000 PGM CODE: 2001 FUNC CODE: _____
 AP FUND: _____ FUND: 7750 GRANT/PH: _____ PROJECT/PH: RJ0HES 20
 COMP SRC/GRP: 1070 OBJ/COBJ/AGY OBJ: _____ AGY OBJ GROUP: _____
 ORG LEVEL: 02 MEDICAL LIABILITY CAPTIVE OPERATIONS
 PGM LEVEL: 02 OVERSIGHT
 FUNC LEVEL: _____
 INQ TYPE: MC (MA, YA, MY, YY, MC, YC) DETAIL/SUMMARY: D
 INQ YEAR: 20 INQ MONTH: 12 ADJUSTED BUDG: .00
 BUDGET AVAIL: 13,952.26 BUDG % AVAIL: .00
 EXPEND/BUDG %: 0.00 ALLOT/BUDG %: 0.00
 ALLOTMENT BAL: 13,952.26 UNEXPND ALLOT: 13,952.26
 BT TITLE AMOUNT BT TITLE AMOUNT
 16 INTRA-DIST 13,952.26-

F1-HELP F2-DOC INQ F5-NEXT F9-INTERRUPT ENTER-INQUIRE CLEAR-EXIT

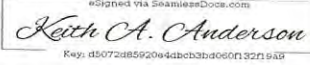
**FIRST AMENDMENT TO MEMORANDUM OF UNDERSTANDING
BY AND BETWEEN
CAPTIVE INSURANCE AGENCY
AND
DEPARTMENT OF GENERAL SERVICES**

This First Amendment to Memorandum of Understanding ("MOU Amendment") is entered into by and between the Captive Insurance Agency ("Captive") and the Department of General Services ("DGS"), each referred to herein as a "Party" and collectively to herein as the "Parties".

- I. DGS has requested that coverage of the Builders Risk Insurance Policy ("Policy") for renovation of the Houston Elementary School under the MOU be extended from July 15, 2020 to January 31, 2021. Extension of the Policy coverage will require a Policy premium increase of \$13,952.26.
- II. The Parties hereby amend the MOU between the Parties for renovation of the Houston elementary School as follows:
 - A. The Parties increase the actual cost of services by the amount of \$13,952.26
 - B. The increased cost for services in the amount of \$13,952.26 shall be made through an Intra-District advance by DGS to the Captive.
- III. Except as modified herein, all other terms and conditions of the Memorandum of Understanding between the Parties for funding the Policy for the Houston Elementary School shall remain in full force and effect.

IN WITNESS WHEREOF, the Parties have executed this MOU Amendment as follows:


DISTRICT OF COLUMBIA DEPARTMENT OF GENERAL SERVICES

By: 

Keith A. Anderson, Director

Date: August 31, 2020

MEDICAL LIABILITY CAPTIVE INSURANCE AGENCY

By: 

Jed Ross, Chief Risk Officer

Date: 7/10/2020

INTRA-DISTRICT STANDARD REQUEST FORM
Government of the District of Columbia

PART I

GENERAL

MOU NUMBER: _____ EFF DATE OF MOU: _____

SELLER INFORMATION

AGENCY: DC Captive Insurance Agency AGENCY CODE: RJ0

NAME OF CONTACT: Alemayehu Awas

ADDRESS : 441 4th Street NW, Suite 890N
Washington, DC 20012

TELEPHONE # : 202-727-6535

FAX # : 202-727-2202

AUTHORIZING OFFICER Alemayehu Awas DATE: 08 / 06 / 20

BUYER INFORMATION

AGENCY: Department of General Services AGENCY CODE: AM0

NAME OF CONTACT: Antionette Hudson Beckman

ADDRESS : 2000 14th St. NW
Washington, DC 20001

TELEPHONE # : 202-698-7476

FAX # : _____

AUTHORIZING OFFICER Antoinette Hudson-Beckham DATE: 07 / 14 / 20

PLEASE SEE NEXT PAGE FOR FUNDING INFORMATION

PART II

MOU NUMBER: _____ 2 OF 2

SERVICE INFORMATION AND FUNDING CODES

GOOD/ SERVICE: DGS will provide funds to the Captive for the cost it incurs for the procurement of a builder's risk policy at Houston ES. Extending the period from 07/15/2020 to 07/31/2021. This is a Capital MOU.

TOTAL: \$13,952.26

	AGY	YR	FUND	INDEX	PCA	OBJ	AOBJ	GRANT/PH	PROJ/PH	Amount
Seller	RJ0	2020	7750	RJ3 HE RJ3 HE	RJ3 HE RJ3 HE	0408 0408	4600 4600		RJ0HES/04 2008	13,952.26
Buyer	AM0	2008	0300	YY44E	95101	0409	0409	N/A	YY144C/04	\$13,952.26

26. Capital ID Franklin Park Café Pavillion

GOVERNMENT OF THE DISTRICT OF COLUMBIA
OFFICE OF THE CHIEF FINANCIAL OFFICER
GOVERNMENT OPERATIONS CLUSTER
OFFICE OF FINANCE AND RESOURCE MANAGEMENT



MEMORANDUM

TO: Gordon McDonald, Deputy Chief Financial Officer
Office of Budget and Planning

THRU: for Angelique Rice, Associate Chief Financial Officer *Christine Mukolwe*
Government Operations Cluster

FROM: Alemayehu Awas, Agency Fiscal Officer *Alemayehu Awas*
Government Operations Cluster

DATE: September 17, 2020

SUBJECT: FY20 Capital Intra-District Budget Authority

The Captive Insurance Agency (RJ0) is requesting a Capital intra-district budget authority increase in the amount of \$3,381.14 to fund a FY20 MOU with the Department of General Services (AM0). The funding will cover the costs associated with the Captive Insurance Agency procuring a Builders Risk Insurance policy related to construction services at the Franklin Park Café Pavilion. Attached is a copy of the memorandum of understanding.

The funding attributes are listed below.

PROJECT #	PHASE	INDEX	PCA	APPN#	CSG	AMOUNT
RJ0FPC	20	RJFPC	RJFPC	80000	0408	3,381.14
					Total	\$3,381.14

If you have any question, please contact me on 202-727-6535.

Attachments

S061 V2.1 PRD DISTRICT OF COLUMBIA R*STARS 2.1 09/17/20 09:45 AM
 LINK TO: AGENCY BUDGET FINANCIAL INQUIRY DSNF

AGENCY: RJ0 AY: 20 ORG CODE: 2000 PGM CODE: 2001 FUNC CODE:
 AP FUND: FUND: 7750 GRANT/PH: PROJECT/PH: RJ0FPC 20
 COMP SRC/GRP: 1070 OBJ/COBJ/AGY OBJ: AGY OBJ GROUP:
 ORG LEVEL: 02 MEDICAL LIABILITY CAPTIVE OPERATIONS
 PGM LEVEL: 02 OVERSIGHT
 FUNC LEVEL:
 INQ TYPE: MC (MA, YA, MY, YY, MC, YC) DETAIL/SUMMARY: D
 INQ YEAR: 20 INQ MONTH: 12 ADJUSTED BUDG: .00
 BUDGET AVAIL: 3,381.14 BUDG % AVAIL: .00
 EXPEND/BUDG %: 0.00 ALLOT/BUDG %: 0.00
 ALLOTMENT BAL: 3,381.14 UNEXPND ALLOT: 3,381.14
 BT TITLE AMOUNT BT TITLE AMOUNT
 16 INTRA-DIST 3,381.14-

F1-HELP F2-DOC INQ F5-NEXT F9-INTERRUPT ENTER-INQUIRE CLEAR-EXIT

**MEMORANDUM OF UNDERSTANDING
BY AND BETWEEN
CAPTIVE INSURANCE AGENCY
AND
DEPARTMENT OF GENERAL SERVICES**

This Memorandum of Understanding ("MOU") is entered into by and between the Captive Insurance Agency ("Captive") and the Department of General Services ("DGS"), each referred to herein as a "Party" and collectively to herein as the "Parties".

I. INTRODUCTION AND OVERVIEW

Pursuant to the terms of this MOU, DGS will provide funds to the Captive to reimburse the Captive for the cost it incurs for the procurement of a builders' risk policy of insurance (the "Policy") with a term from August 15, 2020 to July 15, 2021, relating to construction of the Franklin Park Café Pavilion (the "Project").

II. SCOPE OF WORK

A. Responsibilities of the Captive

Procure the Policy for the Project.

B. Responsibilities of DGS

Reimburse the Captive Three Thousand Three Hundred Eighty One Dollars and fourteen cents (\$3,381.14) for the actual cost of the Policy.

III. FUNDING

A. Cost of services

Total cost for services is \$3,381.14.

B. Payment

1. Payment for services shall be made through an Intra-District advance of capital funds by DGS to the Captive in the amount of \$3,381.14.
2. Advances to the Captive for the services to be provided shall not exceed the actual cost of this MOU, \$3,381.14
3. The Parties' Directors or their designees shall resolve all adjustments and disputes arising from services performed under this MOU.

IV. ANTI-DEFICIENCY CONSIDERATIONS

A. Federal and District of Columbia Anti-Deficiency Act

The Parties acknowledge and agree that their respective obligations to fulfill financial obligations of any kind pursuant to any and all provisions of this MOU, or any subsequent agreement entered into by the parties pursuant to this MOU, are and shall remain subject to the provisions of (i) the Federal Anti-Deficiency Act, 31 U.S.C. §§1341, 1342, 1349, 1351; (ii) the District of Columbia Anti-Deficiency Act, D.C. Official Code §§ 47-355.01-355.08 (2001); (iii) D.C. Official Code § 47-105 (2001); and (iv) D.C. Official Code § 1-204.46 (2006 Supp.)

B. Continuing Legal Obligation

The Parties acknowledge and agree that their respective obligations under the foregoing statutes may be amended from time to time, regardless of whether a particular obligation has been expressly so conditioned.

V. DURATION OF MOU

This MOU shall commence on the date the last Party executes this MOU and remain in effect through September 30, 2020 unless sooner terminated pursuant to Article VII of this MOU.

VI. COMPLIANCE AND MONITORING

A. Monitoring

As this MOU is funded by District of Columbia funds, the Captive will be subject to scheduled and unscheduled monitoring reviews by the District government to ensure compliance with all applicable requirements.

B. Records and reports

1. The Captive shall maintain records and receipts for the expenditure of all funds received for a period of no less than three (3) years from the date of expiration or termination of the MOU.
2. Upon DGS' request, the Captive will make these documents available for inspection by duly authorized representatives of DGS and other District officials as may be specified by DGS at its sole discretion.

VII. TERMINATION

A. Communication

Either Party may terminate this MOU in whole or in part by giving thirty (30) calendar days advance written notice to the other Party.

B. Cause

This MOU may be terminated for any of the following reasons:

1. Lack of funding;
2. Changes in applicable law;
3. Changes in the structure or nature of the program;
4. Elimination of the program or service;
5. Failure of either party to follow District of Columbia laws, rules, or regulations; or failure of either party to follow the terms of the MOU;
6. The convenience of either party.

VIII. NOTICE

A. For Department of General Services:

The following individual is the DGS contact point under this MOU:

George Lewis
Associate Director
Contracts and Procurement Chief Procurement Officer
Department of General Services
George.lewis@dc.gov

B. For the Captive:

The following individual is the Captive contact point under this MOU:

Jane Waters
Insurance Program Administrator
Jane.waters@dc.gov

IX. MODIFICATIONS

The terms and conditions of this MOU may be modified only upon prior written agreement by the Parties.

X. AUTHORITY FOR MOU

D.C. Official Code §§ 1-301.01(k).


XI. MISCELLANEOUS

The Parties shall comply with all applicable laws, rules and regulations whether now in force or hereafter enacted or promulgated.

SIGNATURES ON NEXT PAGE

IN WITNESS WHEREOF, the Parties have executed this MOU as follows:


DISTRICT OF COLUMBIA DEPARTMENT OF GENERAL SERVICES

By: 

Keith A. Anderson, Director

Date: September 8, 2020

CAPTIVE INSURANCE AGENCY

By: 

Jed Ross, Chief Risk Officer

Date: 8/14/2020

INTRA-DISTRICT STANDARD REQUEST FORM
Government of the District of Columbia

PART I

GENERAL

MOU NUMBER: _____ EFF DATE OF MOU: _____

SELLER INFORMATION

AGENCY: DC Captive Insurance Agency AGENCY CODE: RJ0

NAME OF CONTACT: Alemayehu Awaz

ADDRESS : 441 4th Street NW, Suite 890N
Washington, DC 20012

TELEPHONE # : 202-727-6535

FAX # : 202-727-2202

AUTHORIZING OFFICER Alemayehu Awaz DATE: / /

BUYER INFORMATION

AGENCY: Department of General Services AGENCY CODE: AM0

NAME OF CONTACT: Antionette Hudson-Beckman

ADDRESS : 2000 14th St. NW
Washington, DC 20001

TELEPHONE # : 202-678-7476

FAX # : _____

AUTHORIZING OFFICER Janya Hatchel-Thompson for AHB 8/17/20
DATE: / /

PLEASE SEE NEXT PAGE FOR FUNDING INFORMATION

PART II

MOU NUMBER: _____ 2 OF 2

SERVICE INFORMATION AND FUNDING CODES

GOOD/ SERVICE: Provide "capital" funds to the Captive to reimburse the Captive for the cost it incurs for the procurement of a builders' risk policy of insurance (the "Policy") with a term from August 15, 2020 to July 15, 2021, relating to construction of the Franklin Park Café Pavilion (the "Project").

\$3,381.14

	AGY	YR	ORG CODE	FUND	INDEX	PCA	OBJ	AOBJ	GRANT/PH	PROJ/PH
Seller	RJ0	20		7750	RJFPL	RJFPL	4600	4600		RJ4FR/20
Buyer	AM0	12	2003	0301	FRNSD	95101	0409	0409	N/A	QN751C/04

GOOD/ SERVICE:

DATE: ____/____/____

TOTAL:

	AGY	YR	ORG CODE	FUND	INDEX	PCA	OBJ	AOBJ	GRANT/PH	PROJ/PH
SELLER										
BUYER										

GOOD/ SERVICE:

DATE: ____/____/____

TOTAL:

	AGY	YR	ORG CODE	FUND	INDEX	PCA	OBJ	AOBJ	GRANT/PH	PROJ/PH
SELLER										
BUYER										

GOOD/ SERVICE:

DATE: ____/____/____

TOTAL:

	AGY	YR	ORG CODE	FUND	INDEX	PCA	OBJ	AOBJ	GRANT/PH	PROJ/PH
SELLER										
BUYER										

27. Capital ID St. Elizabeth's Garage

GOVERNMENT OF THE DISTRICT OF COLUMBIA
OFFICE OF THE CHIEF FINANCIAL OFFICER
GOVERNMENT OPERATIONS CLUSTER
OFFICE OF FINANCE AND RESOURCE MANAGEMENT



MEMORANDUM

TO: Gordon McDonald, Deputy Chief Financial Officer
Office of Budget and Planning

THRU: for Angelique Rice, Associate Chief Financial Officer
Government Operations Cluster *Christine Mukolwe*

FROM: Alemayehu Awas, Agency Fiscal Officer
Government Operations Cluster *Alemayehu Awas*

DATE: October 14, 2020

SUBJECT: FY21 Capital Intra-District Budget Authority

The Captive Insurance Agency (RJ0) is requesting a Capital intra-district budget authority increase in the amount of \$30,826.05 to fund a FY21 MOU with the Department of General Services (AM0). The funding will cover the costs associated with the Captive Insurance Agency procuring a Builders Risk Insurance policy related to construction services at the St. Elizabeth's Garage. Attached is a copy of the memorandum of understanding.

The funding attributes are listed below.

PROJECT #	PHASE	INDEX	PCA	APPN#	CSG	AMOUNT
RJ0SEG	21	RJSEG	RJSEG	80000	0408	30,826.05
					Total	\$30,826.05

If you have any question, please contact me on 202-727-6535.

Attachments

S061 V2.1 PRD DISTRICT OF COLUMBIA R*STARS 2.1 10/13/20 09:49 AM
 LINK TO: AGENCY BUDGET FINANCIAL INQUIRY DSNF

AGENCY: RJ0 AY: 21 ORG CODE: 2000 PGM CODE: 2001 FUNC CODE: _____
 AP FUND: _____ FUND: 7750 GRANT/PH: _____ PROJECT/PH: RJ0SEG 21
 COMP SRC/GRP: 1070 OBJ/COBJ/AGY OBJ: _____ AGY OBJ GROUP: _____
 ORG LEVEL: 02 MEDICAL LIABILITY CAPTIVE OPERATIONS
 PGM LEVEL: 02 OVERSIGHT
 FUNC LEVEL: _____
 INQ TYPE: MC (MA, YA, MY, YY, MC, YC) DETAIL/SUMMARY: D
 INQ YEAR: 21 INQ MONTH: 01 ADJUSTED BUDG: .00
 BUDGET AVAIL: 30,826.05 BUDG % AVAIL: .00
 EXPEND/BUDG %: 0.00 ALLOT/BUDG %: 0.00
 ALLOTMENT BAL: 30,826.05 UNEXPND ALLOT: 30,826.05
 BT TITLE AMOUNT BT TITLE AMOUNT
 16 INTRA-DIST 30,826.05-

F1-HELP F2-DOC INQ F5-NEXT F9-INTERRUPT ENTER-INQUIRE CLEAR-EXIT

**MEMORANDUM OF UNDERSTANDING
BY AND BETWEEN
CAPTIVE INSURANCE AGENCY
AND
DEPARTMENT OF GENERAL SERVICES**

This Memorandum of Understanding ("MOU") is entered into by and between the Captive Insurance Agency ("Captive") and the Department of General Services ("DGS"), each referred to herein as a "Party" and collectively to herein as the "Parties".

I. INTRODUCTION AND OVERVIEW

Pursuant to the terms of this MOU, DGS will provide funds to the Captive to reimburse the Captive for the cost it incurs for the procurement of a builders' risk policy of insurance (the "Policy") with a term from November 1, 2020 to February 8, 2022, relating to construction work at the St. Elizabeth's garage, parcel 6 (the "Project").

II. SCOPE OF WORK

A. Responsibilities of the Captive

Procure the Policy for the Project.

B. Responsibilities of DGS

Reimburse the Captive Thirty Thousand Eight Hundred Twenty-Six Dollars and five cents (\$30,826.05) for the actual cost of the Policy.

III. FUNDING

A. Cost of services

Total cost for services is \$30,826.05.

B. Payment

1. Payment for services shall be made through an Intra-District advance of capital funds by DGS to the Captive in the amount of \$30,826.05.
2. Advances to the Captive for the services to be provided shall not exceed the actual cost of this MOU, \$30,826.05.
3. The Parties' Directors or their designees shall resolve all adjustments and disputes arising from services performed under this MOU.

IV. ANTI-DEFICIENCY CONSIDERATIONS

A. Federal and District of Columbia Anti-Deficiency Act

The Parties acknowledge and agree that their respective obligations to fulfill financial obligations of any kind pursuant to any and all provisions of this MOU, or any subsequent agreement entered into by the parties pursuant to this MOU, are and shall remain subject to the provisions of (i) the Federal Anti-Deficiency Act, 31 U.S.C. §§1341, 1342, 1349, 1351; (ii) the District of Columbia Anti-Deficiency Act, D.C. Official Code §§ 47-355.01-355.08 (2001); (iii) D.C. Official Code § 47-105 (2001); and (iv) D.C. Official Code § 1-204.46 (2006 Supp.)

B. Continuing Legal Obligation

The Parties acknowledge and agree that their respective obligations under the foregoing statutes may be amended from time to time, regardless of whether a particular obligation has been expressly so conditioned.

V. DURATION OF MOU

This MOU shall commence on the date the last Party executes this MOU and remain in effect through September 30, 2021 unless sooner terminated pursuant to Article VII of this MOU.

VI. COMPLIANCE AND MONITORING

A. Monitoring

As this MOU is funded by District of Columbia funds, the Captive will be subject to scheduled and unscheduled monitoring reviews by the District government to ensure compliance with all applicable requirements.

B. Records and reports

1. The Captive shall maintain records and receipts for the expenditure of all funds received for a period of no less than three (3) years from the date of expiration or termination of the MOU.
2. Upon DGS' request, the Captive will make these documents available for inspection by duly authorized representatives of DGS and other District officials as may be specified by DGS at its sole discretion.

VII. TERMINATION

A. Communication

Either Party may terminate this MOU in whole or in part by giving thirty (30) calendar days advance written notice to the other Party.

B. Cause

This MOU may be terminated for any of the following reasons:

1. Lack of funding;
2. Changes in applicable law;
3. Changes in the structure or nature of the program;
4. Elimination of the program or service;
5. Failure of either party to follow District of Columbia laws, rules, or regulations; or failure of either party to follow the terms of the MOU;
6. The convenience of either party.

VIII. NOTICE

A. For Department of General Services:

The following individual is the DGS contact point under this MOU:

George Lewis
Associate Director
Contracts and Procurement Chief Procurement Officer Department of
General Services
George.lewis@dc.gov

B. For the Captive:

The following individual is the Captive contact point under this MOU:

Jane Waters
Insurance Program Administrator
Jane.waters@dc.gov

IX. MODIFICATIONS

The terms and conditions of this MOU may be modified only upon prior written agreement by the Parties.

X. AUTHORITY FOR MOU

D.C. Official Code §§ 1-301.01(k).

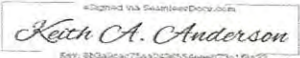
XI. MISCELLANEOUS

The Parties shall comply with all applicable laws, rules and regulations whether now in force or hereafter enacted or promulgated.

SIGNATURES ON NEXT PAGE


IN WITNESS WHEREOF, the Parties have executed this MOU as follows:

DISTRICT OF COLUMBIA DEPARTMENT OF GENERAL SERVICES

By: 
Keith A. Anderson, Director

Date: 09/10/2020

CAPTIVE INSURANCE AGENCY

By: 
Jed Ross, Chief Risk Officer

Date: 7/28/2020

INTRA-DISTRICT STANDARD REQUEST FORM
Government of the District of Columbia

PART I

GENERAL

MOU NUMBER: _____

EFF DATE OF MOU: 09/10/2020 - 02/30/2021

SELLER INFORMATION

AGENCY: DC Captive Insurance Agency

AGENCY CODE: RJ0

NAME OF CONTACT: Alemayehu Awaz

ADDRESS : 441 4th Street NW, Suite 890N
Washington, DC 20012

TELEPHONE # : 202-727-6535

FAX # : 202-727-2202

AUTHORIZING OFFICER Alemayehu Awaz DATE: 10 / 06 / 20

BUYER INFORMATION

AGENCY: Department of General Services

AGENCY CODE: AM0

NAME OF CONTACT: Antionette Hudson-Beckman

ADDRESS : 2000 14th St. NW
Washington, DC 20001

TELEPHONE # : 202-678-7476

FAX # : _____

Janya Hatchel-Thomas for AHB 8/25/20
AUTHORIZING OFFICER DATE: ____ / ____ / ____

PLEASE SEE NEXT PAGE FOR FUNDING INFORMATION

PART II

MOU NUMBER: _____ 2 OF 2

SERVICE INFORMATION AND FUNDING CODES

GOOD/ SERVICE: Provide "capital" funds to the Captive to reimburse the Captive for the cost it incurs for the procurement of a builders' risk policy of insurance (the "Policy") with a term from November 1, 2020 to February 8, 2022, relating to construction work at the St. Elizabeth's garage, parcel 6 (the "Project").

\$30,826.05

	AGY	YR	ORG CODE	FUND	INDEX	PCA	OBJ	AOBJ	GRANT/PH	PROJ/PH
Seller	RJ0	21		7750	RJSEG	RJSEG	4600	4600		RJ0SEG/21
Buyer	AM0	20	2003	0309	NHTBD	95101	0409	0409	N/A	DCHSEC/04

GOOD/ SERVICE:

DATE: ____/____/____

TOTAL:

	AGY	YR	ORG CODE	FUND	INDEX	PCA	OBJ	AOBJ	GRANT/PH	PROJ/PH
SELLER										
BUYER										

GOOD/ SERVICE:

DATE: ____/____/____

TOTAL:

	AGY	YR	ORG CODE	FUND	INDEX	PCA	OBJ	AOBJ	GRANT/PH	PROJ/PH
SELLER										
BUYER										

GOOD/ SERVICE:

DATE: ____/____/____

TOTAL:

	AGY	YR	ORG CODE	FUND	INDEX	PCA	OBJ	AOBJ	GRANT/PH	PROJ/PH
SELLER										
BUYER										

28. Capital ID Goding Swing Site

GOVERNMENT OF THE DISTRICT OF COLUMBIA
OFFICE OF THE CHIEF FINANCIAL OFFICER
GOVERNMENT OPERATIONS CLUSTER
OFFICE OF FINANCE AND RESOURCE MANAGEMENT



MEMORANDUM

TO: Eric M. Cannady, Deputy Chief Financial Officer
Office of Budget and Planning

THRU: Angelique Rice, Associate Chief Financial Officer
Government Operations Cluster

FROM: Alemayehu Awas, Agency Fiscal Officer
Government Operations Cluster *Alemayehu Awas*

DATE: October 26, 2020

SUBJECT: FY21 Capital Intra-District Budget Authority

The Captive Insurance Agency (RJ0) is requesting a Capital intra-district budget authority increase in the amount of \$10,396.00 to fund a FY21 MOU with the Department of General Services (AM0). The funding will cover the costs associated with the Captive Insurance Agency procuring a Builders Risk Insurance policy related to construction services at the Swing Site at the School within School at Goding Elementary. Attached is a copy of the memorandum of understanding.

The funding attributes are listed below.

PROJECT #	PHASE	INDEX	PCA	APPN#	CSG	AMOUNT
RJ0GSS	21	RJGSS	RJGSS	80000	0408	10,396.00
					Total	\$10,396.00

If you have any question, please contact me on 202-727-6535.

Attachments

S061 V2.1 PRD DISTRICT OF COLUMBIA R*STARS 2.1 10/20/20 01:50 PM
 LINK TO: AGENCY BUDGET FINANCIAL INQUIRY DSNF

AGENCY: RJ0 AY: 21 ORG CODE: 2000 PGM CODE: 2001 FUNC CODE: _____
 AP FUND: _____ FUND: 7750 GRANT/PH: _____ PROJECT/PH: RJ0GSS 21
 COMP SRC/GRP: 1070 OBJ/COBJ/AGY OBJ: _____ AGY OBJ GROUP: _____
 ORG LEVEL: 02 MEDICAL LIABILITY CAPTIVE OPERATIONS
 PGM LEVEL: 02 OVERSIGHT
 FUNC LEVEL: _____
 INQ TYPE: MC (MA, YA, MY, YY, MC, YC) DETAIL/SUMMARY: D
 INQ YEAR: 21 INQ MONTH: 01 ADJUSTED BUDG: .00
 BUDGET AVAIL: 10,396.00 BUDG % AVAIL: .00
 EXPEND/BUDG %: 0.00 ALLOT/BUDG %: 0.00
 ALLOTMENT BAL: 10,396.00 UNEXPND ALLOT: 10,396.00
 BT TITLE AMOUNT BT TITLE AMOUNT
 16 INTRA-DIST 10,396.00-

F1-HELP F2-DOC INQ F5-NEXT F9-INTERRUPT ENTER-INQUIRE CLEAR-EXIT

**MEMORANDUM OF UNDERSTANDING
BY AND BETWEEN
CAPTIVE INSURANCE AGENCY
AND
DEPARTMENT OF GENERAL SERVICES**

This Memorandum of Understanding (“**MOU**”) is entered into by and between the Captive Insurance Agency (“Captive”) and the Department of General Services (“DGS”), each referred to herein as a “Party” and collectively to herein as the “Parties”.

I. INTRODUCTION AND OVERVIEW

Pursuant to the terms of this MOU, DGS will provide funds to the Captive to reimburse the Captive for the cost it incurs for the procurement of a builders’ risk policy of insurance (the “Policy”) with a term from October 1, 2020 through December 31, 2020 , relating to construction work for the swing site at the School within School at Goding Elementary.

II. SCOPE OF WORK

A. Responsibilities of the Captive

Procure the Policy for the School within School at Goding Elementary commencing October 1, 2020 and continuing through December 31, 2020.

B. Responsibilities of DGS

Reimburse the Captive Ten Thousand Three Hundred Ninety-Six Dollars (\$10,396.00) for the actual cost of the Policy.

III. FUNDING

A. Cost of services

Total cost for services is \$10,396.00.

B. Payment

1. Payment for services shall be made through an Intra-District advance by DGS to the Captive in the amount of \$10,396.00.

2. Advances to the Captive for the services to be provided shall not exceed the actual cost of this MOU, \$10,396.00.

3. The Parties' Directors or their designees shall resolve all adjustments and disputes arising from services performed under this MOU.

IV. ANTI-DEFICIENCY CONSIDERATIONS

A. Federal and District of Columbia Anti-Deficiency Act

The Parties acknowledge and agree that their respective obligations to fulfill financial obligations of any kind pursuant to any and all provisions of this MOU, or any subsequent agreement entered into by the parties pursuant to this MOU, are and shall remain subject to the provisions of (i) the Federal Anti-Deficiency Act, 31 U.S.C. §§1341, 1342, 1349, 1351; (ii) the District of Columbia Anti-Deficiency Act, D.C. Official Code §§ 47-355.01-355.08 (2001); (iii) D.C. Official Code § 47-105 (2001); and (iv) D.C. Official Code § 1-204.46 (2006 Supp.)

B. Continuing Legal Obligation

The Parties acknowledge and agree that their respective obligations under the foregoing statutes may be amended from time to time, regardless of whether a particular obligation has been expressly so conditioned.

V. DURATION OF MOU

This MOU shall commence on the date the last Party to this MOU executes it (the "Effective Date") and remain in effect through September 30, 2021 unless sooner terminated pursuant to Article VII of this MOU.

VI. COMPLIANCE AND MONITORING

A. Monitoring

As this MOU is funded by District of Columbia funds, the Captive will be subject to scheduled and unscheduled monitoring reviews by the District government to ensure compliance with all applicable requirements.

B. Records and reports

1. The Captive shall maintain records and receipts for the expenditure of all funds received for a period of no less than three (3) years from the date of expiration or termination of the MOU.
2. Upon DGS' request, the Captive will make these documents available for inspection by duly authorized representatives of DGS and other District officials as may be specified by DGS at its sole discretion.

VII. TERMINATION

A. Communication

Either Party may terminate this MOU in whole or in part by giving thirty (30) calendar days advance written notice to the other Party.

B. Cause

This MOU may be terminated for any of the following reasons:

1. Lack of funding;
2. Changes in applicable law;
3. Changes in the structure or nature of the program;
4. Elimination of the program or service;
5. Failure of either party to follow District of Columbia laws, rules, or regulations; or failure of either party to follow the terms of the MOU;
6. The convenience of either party.

VIII. NOTICE

A. For Department of General Services:

The following individual is the DGS contact point under this MOU:

George Lewis
Associate Director
Contracts and Procurement Chief Procurement Officer
Department of General Services
George.lewis@dc.gov

B. For the Captive:

The following individual is the ORM contact point under this MOU:

Jane Waters
Insurance Program Administrator
Jane.waters@dc.gov

IX. MODIFICATIONS

The terms and conditions of this MOU may be modified only upon prior written agreement by the Parties.

X. AUTHORITY FOR MOU

D.C. Official Code §§ 1-301.01(k).

XI. MISCELLANEOUS

The Parties shall comply with all applicable laws, rules and regulations whether now in force or hereafter enacted or promulgated.

IN WITNESS WHEREOF, the Parties have executed this MOU as follows:

SIGNATURES ON NEXT PAGE

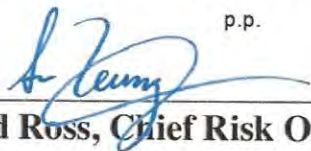
**DISTRICT OF COLUMBIA DEPARTMENT OF GENERAL
SERVICES**

eSigned via SeamlessDocs.com
Keith A. Anderson
Key: 411b253ac2faed67b56682c8f4a67840

By: _____
Keith A. Anderson, Director

Date: 10/14/2020

CAPTIVE INSURANCE AGENCY

By:  p.p. _____
Jed Ross, Chief Risk Officer

Date: 10/06/2020

INTRA-DISTRICT STANDARD REQUEST FORM
Government of the District of Columbia

PART I
GENERAL

MOU NUMBER: _____ EFF DATE OF MOU: _____

SELLER INFORMATION

AGENCY: DC Captive Insurance Agency AGENCY CODE: RJ0
NAME OF CONTACT: Alemayehu Awas
ADDRESS : 441 4th Street NW, Suite 890N
Washington, DC 20012
TELEPHONE # : 202-727-6535
FAX # : 202-727-2202
AUTHORIZING OFFICER Alemayehu Awas DATE: 10 / 15 / 20

BUYER INFORMATION

AGENCY: Department of General Services AGENCY CODE: AM0
NAME OF CONTACT: Antionette Hudson Beckman
ADDRESS : 2000 14th St. NW
Washington, DC 20001
TELEPHONE # : 202-678-7476
FAX # : _____
AUTHORIZING OFFICER Antionette Hudson-Beckham DATE: 10 / 13 / 20

PLEASE SEE NEXT PAGE FOR FUNDING INFORMATION

PART II

MOU NUMBER: _____ 2 OF _____ 2

SERVICE INFORMATION AND FUNDING CODES

GOOD/ SERVICE: DGS will provide funds to the Captive for the cost it incurs for the procurement

of a builder's risk policy for the swing space at School within a School at Goding ES. For the period of 10/1/2020- 12/31/2020. This is a Capital MOU.

TOTAL: \$10,396.00

	AGY	YR	FUND	INDEX	PCA	OBJ	AOBJ	GRANT/PH	PROJ/PH	Amount
Seller	RJ0	2021 2020	7750	RS6SS	RS6SS	4600	4600		RJ06SS 21	10396.00
Buyer	AM0	2008	0300	YY15E	95101	0409	0409	N/A	YY105C/4	\$10,396.00

29. Capital ID Goding Elementary School

GOVERNMENT OF THE DISTRICT OF COLUMBIA
OFFICE OF THE CHIEF FINANCIAL OFFICER
GOVERNMENT OPERATIONS CLUSTER
OFFICE OF FINANCE AND RESOURCE MANAGEMENT



MEMORANDUM

TO: Eric M. Cannady, Deputy Chief Financial Officer
Office of Budget and Planning

THRU: Angelique Rice, Associate Chief Financial Officer
Government Operations Cluster

FROM: Alemayehu Awas, Agency Fiscal Officer
Government Operations Cluster *Alemayehu Awas*

DATE: October 26, 2020

SUBJECT: FY21 Capital Intra-District Budget Authority

The Captive Insurance Agency (RJ0) is requesting a Capital intra-district budget authority increase in the amount of \$60,176.48 to fund a FY21 MOU with the Department of General Services (AM0). The funding will cover the costs associated with the Captive Insurance Agency procuring a Builders Risk Insurance policy related to construction services at the School within School at Goding Elementary. Attached is a copy of the memorandum of understanding.

The funding attributes are listed below.

PROJECT #	PHASE	INDEX	PCA	APPN#	CSG	AMOUNT
RJ0GES	21	RJGES	RJGES	80000	0408	60,176.48
					Total	\$60,176.48

If you have any question, please contact me on 202-727-6535.

Attachments

S061 V2.1 PRD DISTRICT OF COLUMBIA R*STARS 2.1 10/20/20 12:29 PM
LINK TO: AGENCY BUDGET FINANCIAL INQUIRY DSNF

AGENCY: RJ0 AY: 21 ORG CODE: 2000 PGM CODE: 2001 FUNC CODE: _____
AP FUND: _____ FUND: 7750 GRANT/PH: _____ PROJECT/PH: RJ0GES 21
COMP SRC/GRP: 1070 OBJ/COBJ/AGY OBJ: _____ AGY OBJ GROUP: _____
ORG LEVEL: 02 MEDICAL LIABILITY CAPTIVE OPERATIONS
PGM LEVEL: 02 OVERSIGHT
FUNC LEVEL: _____
INQ TYPE: MC (MA, YA, MY, YY, MC, YC) DETAIL/SUMMARY: D
INQ YEAR: 21 INQ MONTH: 01 ADJUSTED BUDG: .00
BUDGET AVAIL: 60,176.48 BUDG % AVAIL: .00
EXPEND/BUDG %: 0.00 ALLOT/BUDG %: 0.00
ALLOTMENT BAL: 60,176.48 UNEXPND ALLOT: 60,176.48
BT TITLE AMOUNT BT TITLE AMOUNT
16 INTRA-DIST 60,176.48-

F1-HELP F2-DOC INQ F5-NEXT F9-INTERRUPT ENTER-INQUIRE CLEAR-EXIT

**MEMORANDUM OF UNDERSTANDING
BY AND BETWEEN
CAPTIVE INSURANCE AGENCY
AND
DEPARTMENT OF GENERAL SERVICES**

This Memorandum of Understanding (“MOU”) is entered into by and between the Captive Insurance Agency (“Captive”) and the Department of General Services (“DGS”), each referred to herein as a “Party” and collectively to herein as the “Parties”.

I. INTRODUCTION AND OVERVIEW

Pursuant to the terms of this MOU, DGS will provide funds to the Captive to reimburse the Captive for the cost it incurs for the procurement of a builders’ risk policy of insurance (the “Policy”) with a term from December 1, 2020 through August 22, 2022 , relating to construction work at the School within School at Goding Elementary.

II. SCOPE OF WORK

A. Responsibilities of the Captive

Procure the Policy for the School within School at Goding Elementary commencing December 1, 2020 and continuing through August 22, 2022.

B. Responsibilities of DGS

Reimburse the Captive Sixty Thousand One Hundred Seventy-six Dollars and Forty-eight cents (\$60,176.48) for the actual cost of the Policy.

III. FUNDING

A. Cost of services

Total cost for services is \$60,176.48.

B. Payment

1. Payment for services shall be made through an Intra-District advance by DGS to the Captive in the amount of \$60,176.48.
2. Advances to the Captive for the services to be provided shall not exceed the actual cost of this MOU, \$60,176.48.
3. The Parties' Directors or their designees shall resolve all adjustments and disputes arising from services performed under this MOU.

IV. ANTI-DEFICIENCY CONSIDERATIONS

A. Federal and District of Columbia Anti-Deficiency Act

The Parties acknowledge and agree that their respective obligations to fulfill financial obligations of any kind pursuant to any and all provisions of this MOU, or any subsequent agreement entered into by the parties pursuant to this MOU, are and shall remain subject to the provisions of (i) the Federal Anti-Deficiency Act, 31 U.S.C. §§1341, 1342, 1349, 1351; (ii) the District of Columbia Anti-Deficiency Act, D.C. Official Code §§ 47-355.01-355.08 (2001); (iii) D.C. Official Code § 47-105 (2001); and (iv) D.C. Official Code § 1-204.46 (2006 Supp.)

B. Continuing Legal Obligation

The Parties acknowledge and agree that their respective obligations under the foregoing statutes may be amended from time to time, regardless of whether a particular obligation has been expressly so conditioned.

V. DURATION OF MOU

This MOU shall commence on the date the last Party to this MOU executes it (the "Effective Date") and remain in effect through September 30, 2021 unless sooner terminated pursuant to Article VII of this MOU.

VI. COMPLIANCE AND MONITORING

A. Monitoring

As this MOU is funded by District of Columbia funds, the Captive will be subject to scheduled and unscheduled monitoring reviews by the District government to ensure compliance with all applicable requirements.

B. Records and reports

1. The Captive shall maintain records and receipts for the expenditure of all funds received for a period of no less than three (3) years from the date of expiration or termination of the MOU.
2. Upon DGS' request, the Captive will make these documents available for inspection by duly authorized representatives of DGS and other District officials as may be specified by DGS at its sole discretion.

VII. TERMINATION

A. Communication

Either Party may terminate this MOU in whole or in part by giving thirty (30) calendar days advance written notice to the other Party.

B. Cause

This MOU may be terminated for any of the following reasons:

1. Lack of funding;
2. Changes in applicable law;
3. Changes in the structure or nature of the program;
4. Elimination of the program or service;
5. Failure of either party to follow District of Columbia laws, rules, or regulations; or failure of either party to follow the terms of the MOU;
6. The convenience of either party.

VIII. NOTICE

A. For Department of General Services:

The following individual is the DGS contact point under this MOU:

George Lewis
Associate Director
Contracts and Procurement Chief Procurement Officer
Department of General Services
George.lewis@dc.gov

B. For the Captive:

The following individual is the ORM contact point under this MOU:

Jane Waters
Insurance Program Administrator
Jane.waters@dc.gov

IX. MODIFICATIONS

The terms and conditions of this MOU may be modified only upon prior written agreement by the Parties.

X. AUTHORITY FOR MOU

D.C. Official Code §§ 1-301.01(k).

XI. MISCELLANEOUS

The Parties shall comply with all applicable laws, rules and regulations whether now in force or hereafter enacted or promulgated.

IN WITNESS WHEREOF, the Parties have executed this MOU as follows:

SIGNATURES ON NEXT PAGE

**DISTRICT OF COLUMBIA DEPARTMENT OF GENERAL
SERVICES**

eSigned via SeamtessDocs.com
Keith A. Anderson
Key: 50d7c93b867e911dd9b43630dc2fb5

By: _____
Keith A. Anderson, Director

Date: 10/14/2020

CAPTIVE INSURANCE AGENCY

p.p.

By: _____
Jed Ross, Chief Risk Officer

Date: 10/06/2020

INTRA-DISTRICT STANDARD REQUEST FORM
Government of the District of Columbia

PART I
GENERAL

MOU NUMBER: _____ EFF DATE OF MOU: _____

SELLER INFORMATION

AGENCY: DC Captive Insurance Agency AGENCY CODE: RJ0
NAME OF CONTACT: Alemayehu Awaz
ADDRESS : 441 4th Street NW, Suite 890N
Washington, DC 20012
TELEPHONE # : 202-727-6535
FAX # : 202-727-2202
AUTHORIZING OFFICER Alemayehu Awaz DATE: 10 / 15 / 20

BUYER INFORMATION

AGENCY: Department of General Services AGENCY CODE: AM0
NAME OF CONTACT: Antionette Hudson Beckman
ADDRESS : 2000 14th St. NW
Washington, DC 20001
TELEPHONE # : 202-678-7476
FAX # : _____
AUTHORIZING OFFICER Antionette Hudson Beckman DATE: 10 / 13 / 20

PLEASE SEE NEXT PAGE FOR FUNDING INFORMATION

PART II

MOU NUMBER: _____ 2 OF 2

SERVICE INFORMATION AND FUNDING CODES

GOOD/ SERVICE: DGS will provide funds to the Captive for the cost it incurs for the procurement

of a builder's risk policy for the construction work at School within School at Goding ES. For the period of 12/1/2020- 08/22/2022. This is a Capital MOU.

TOTAL: \$60,176.48

	AGY	YR	FUND	INDEX	PCA	OBJ	AOBJ	GRANT/PH	PROJ/PH	Amount
Seller	RJ0	2021 2020	7750	RJGES	RJGES	4600	4600		RJGES 21	\$60,176.48
Buyer	AM0	2008	0300	YY15E	95101	0409	0409	N/A	YY105C/4	\$60,176.48

30. Capital ID Eliot Hine MS Extension

INTRA-DISTRICT STANDARD REQUEST FORM

Government of the District of Columbia

PART I

MOU NUMBER: _____

Services: _____

AMOUNT: \$23,333.00

SELLER INFORMATION

AGENCY: DC Captive Insurance Agency

AGENCY CODE: RJ0

NAME OF CONTACT Alemayehu Awas

ADDRESS : 441 4th Street NW, Suite 890N

Washington, DC 20012

TELEPHONE # : 202-727-6535

FAX # : 202-727-2202

AUTHORIZING OFFICER

Alemayehu Awas

12/10/2020

BUYER INFORMATION

AGENCY: Department of General Services

AGENCY CODE: AM0

NAME OF CONTACT: Antoinette Hudson Beckham

ADDRESS : 2000 14th St. NW

Washington, DC 20001

TELEPHONE # : 202-727-6535

FAX # :

AUTHORIZING OFFICER Antoinette Hudson Beckham

10.26.2020

PLEASE SEE NEXT PAGE FOR GOODS/ SERVICES DESCRIPTION AND FUNDING INFORMATION

PART II

MOU NUMBER: _____

1

1

SERVICE INFORMATION AND FUNDING CODES

GOOD/ SERVICE:

DGS will provide funds to the Captive to reimburse the Captive for the cost it incurs for the procurement of a builder's risk insurance policy covering modernization of the Eliot Hine Middle School. This amendment effective 06/08/2020 is to extend the MOU until 09/30/2021.

Eliot Hine Middle School

TOTAL:

\$23,333.00

	AGY	AY	FUND	INDEX	PCA	OBJ	AOBJ	PROJ/PH	Amount	
SELLER	RJO	2021	7750	REIM			4600	RIHMS/21	23,333.00	
BUYER	AMO	2008	0300	YY81E	95101	0409	0409	YY181C/04	\$23,333.00	

Index - RIHMS2

PCA - RIHMS2

**SECOND AMENDMENT TO MEMORANDUM OF
UNDERSTANDING
BY AND BETWEEN
CAPTIVE INSURANCE AGENCY
AND
DEPARTMENT OF GENERAL SERVICES**

This Second Amendment to Memorandum of Understanding ("MOU Amendment") is entered into by and between the Captive Insurance Agency ("Captive") and the Department of General Services ("DGS"), each referred to herein as a "Party" and collectively to herein as the "Parties".

- I. DGS has requested that coverage of the Builders Risk Insurance Policy ("Policy") for construction work at Eliot Hine Middle School under the MOU effective June 8, 2020 be extended to April 3, 2021. Extension of the Policy coverage will require a Policy premium increase of \$23,333.00.
- II. The Parties hereby amend the MOU between the Parties effective June 8, 2020 as follows:
 - A. The Parties increase the total and actual cost of services under Article III by the amount of \$23,333.00.
 - B. The increased cost for services in the amount of \$23,333.00 shall be made through an Intra-District advance by DGS to the Captive.
 - C. The duration of the MOU is extended to September 30, 2021.
- III. Except as modified herein, all other terms and conditions of the Memorandum of Understanding between the Parties for funding the Policy shall remain in full force and effect.

SIGNATURES ON NEXT PAGE

**IN WITNESS WHEREOF, the Parties have executed this MOU
Amendment as follows:**

**DISTRICT OF COLUMBIA DEPARTMENT OF GENERAL
SERVICES**

eSigned via SeamlessDocs.com
Keith A. Anderson
Key: 0ce7a9c504a9be83093d2b9d879e4be15

By: _____
Keith A. Anderson, Director

Date: 10/29/2020

CAPTIVE INSURANCE AGENCY

p.p.

By:  _____
Jed Ross, Chief Risk Officer

Date: 10/21/2020

31. Capital ID Hearst Pool & Park Extension

GOVERNMENT OF THE DISTRICT OF COLUMBIA
OFFICE OF THE CHIEF FINANCIAL OFFICER
GOVERNMENT OPERATIONS CLUSTER
OFFICE OF FINANCE AND RESOURCE MANAGEMENT



MEMORANDUM

TO: Eric M. Cannady, Deputy Chief Financial Officer
Office of Budget and Planning

THRU: *for* Angelique Rice, Associate Chief Financial Officer
Government Operations Cluster *Christine Mukolwe*

FROM: Alemayehu Awas, Agency Fiscal Officer
Government Operations Cluster *Alemayehu Awas*

DATE: December 22, 2020

SUBJECT: FY21 Capital Intra-District Budget Authority

The Captive Insurance Agency (RJ0) is requesting a Capital intra-district budget authority increase in the amount of \$6,073.62 to fund a FY21 MOU with the Department of General Services (AM0). The funding will cover the costs associated with the Captive Insurance Agency procuring an extension to the Builders Risk Insurance policy related to construction services at the Hearst Park and Pool project. Attached is a copy of the memorandum of understanding.

The funding attributes are listed below.

PROJECT #	PHASE	INDEX	PCA	APPN#	CSG	AMOUNT
RJ0HPP	21	RJHP2	RJHP2	80000	0408	6,073.62
					Total	\$6,073.62

If you have any question, please contact me on 202-727-6535.

Attachments

S061 V2.1 PRD DISTRICT OF COLUMBIA R*STARS 2.1 12/18/20 03:54 PM
 LINK TO: AGENCY BUDGET FINANCIAL INQUIRY DSNF

AGENCY: RJ0 AY: 21 ORG CODE: 2000 PGM CODE: 2001 FUNC CODE: _____
 AP FUND: _____ FUND: 7750 GRANT/PH: _____ PROJECT/PH: RJ0HPP 21
 COMP SRC/GRP: 1070 OBJ/COBJ/AGY OBJ: _____ AGY OBJ GROUP: _____
 ORG LEVEL: 02 MEDICAL LIABILITY CAPTIVE OPERATIONS
 PGM LEVEL: 02 OVERSIGHT
 FUNC LEVEL: _____
 INQ TYPE: MC (MA, YA, MY, YY, MC, YC) DETAIL/SUMMARY: D
 INQ YEAR: 21 INQ MONTH: 03 ADJUSTED BUDG: .00
 BUDGET AVAIL: 6,073.62 BUDG % AVAIL: .00
 EXPEND/BUDG %: 0.00 ALLOT/BUDG %: 0.00
 ALLOTMENT BAL: 6,073.62 UNEXPND ALLOT: 6,073.62
 BT TITLE AMOUNT BT TITLE AMOUNT
 16 INTRA-DIST 6,073.62-

F1-HELP F2-DOC INQ F5-NEXT F9-INTERRUPT ENTER-INQUIRE CLEAR-EXIT

**SECOND AMENDMENT TO MEMORANDUM OF
UNDERSTANDING
BY AND BETWEEN
CAPTIVE INSURANCE AGENCY
AND
DEPARTMENT OF GENERAL SERVICES**

This Second Amendment to Memorandum of Understanding ("MOU Amendment") is entered into by and between the Captive Insurance Agency ("Captive") and the Department of General Services ("DGS"), each referred to herein as a "Party" and collectively to herein as the "Parties".

- I. DGS has requested that coverage of the Builders Risk Insurance Policy ("Policy") for design and construction of the Hearst Park and Pool Project under the MOU effective October 1, 2019 be extended to March 1, 2021. Extension of the Policy coverage will require a Policy premium increase of \$6,073.62.

- II. The Parties hereby amend the MOU between the Parties effective October 1, 2019, as follows:
 - A. The Parties increase the total and actual cost of services under Article III by the amount of \$6,073.62.
 - B. The increased cost for services in the amount of \$6,073.62 shall be made through an Intra-District advance by DGS to the Captive.
 - C. The duration of the MOU is extended to September 30, 2021.

- III. Except as modified herein, all other terms and conditions of the [Memorandum of Understanding between the Parties for funding the Policy shall remain in full force and effect.

SIGNATURES ON NEXT PAGE

IN WITNESS WHEREOF, the Parties have executed this MOU
Amendment as follows:


**DISTRICT OF COLUMBIA DEPARTMENT OF GENERAL
SERVICES**

eSigned via SeamlessDocs.com
Keith A. Anderson
Key: 79d30d33791ae4f7afe799f9a351e7

By: _____
Keith A. Anderson, Director

Date: 10/27/2020

CAPTIVE INSURANCE AGENCY

By:  p.p. _____
Jed Ross, Chief Risk Officer

Date: 10/16/2020

INTRA-DISTRICT STANDARD REQUESTS FORM
GOVERNMENT OF THE DISTRICT OF COLUMBIA

PART I

MOU NUMBER: _____

Services: _____

AMOUNT: \$6,073.62
Amount (IDSR): \$6,073.62

SELLER INFORMATION

AGENCY: DC Captive Insurance Agency

AGENCY CODE: RJ0

NAME OF CONTACT: Alemayehu Awas

ADDRESS : 441 4th Street NW, Suite 890N

Washington, DC 20024

TELEPHONE # : 202-727-6535

FAX # : 202-727-2202

AUTHORIZING OFFICER

Alemayehu Awas

11/06/20

BUYER INFORMATION

AGENCY: Department of General Services

AGENCY CODE: AM0

NAME OF CONTACT: Antoinette Hudson Beckham

ADDRESS : 2000 14th St. NW

Washington, DC 20001

TELEPHONE # : (202) 698-7476

FAX # :

AUTHORIZING OFFICER

Antoinette Hudson Beckham

10.26.2020

PLEASE SEE NEXT PAGE FOR GOODS/ SERVICES DESCRIPTION AND FUNDING INFORMATION

INTRA-DISTRICT STANDARD REQUESTS FORM
GOVERNMENT OF THE DISTRICT OF COLUMBIA

PART II

\$6,073.62

MOU NUMBER: _____

SERVICE INFORMATION AND FUNDING CODES

GOOD/ SERVICE: DGS will provide funds to the Captive to reimburse the Captive for the cost it incurs for the procurement of a builders risk insurance policy to cover design and construction of the Hearst Park and Pool Project under the MOU effective October 1, 2019 extended to March 1, 2021. Extension of the Policy coverage will require a Policy premium increase of \$6,073.62

Hearst Park Pool

TOTAL:

\$3,036.81

	AGY	AY	FUND	INDEX	PCA	OBJ	AOBJ	PROJ/PH	Amount
SELLER	R50	21	7750	RIHP2	RIHP2	4600	4600	RIHP2/21	3036.81
BUYER	AM0	2015	0300	WDTPA	95101	0409	0409	WD3PLC/01	\$3,036.81

Hearst Park

TOTAL:

\$3,036.81

	AGY	AY	FUND	INDEX	PCA	OBJ	AOBJ	PROJ/PH	Amount
SELLER	R50	21	7750	RIHP2	RIHP2	4600	4600	RIHP2/21	3036.81
BUYER	AM0	2015	0300	HTSPA	95101	0409	0409	HTSPKC/01	\$3,036.81

32. Capital ID 801 East Men's Shelter

GOVERNMENT OF THE DISTRICT OF COLUMBIA
OFFICE OF THE CHIEF FINANCIAL OFFICER
GOVERNMENT OPERATIONS CLUSTER
OFFICE OF FINANCE AND RESOURCE MANAGEMENT



MEMORANDUM

TO: Eric M. Cannady, Deputy Chief Financial Officer
Office of Budget and Planning

THRU: *for* Angelique Rice, Associate Chief Financial Officer *Christine Mukolwe*
Government Operations Cluster

FROM: Alemayehu Awas, Agency Fiscal Officer
Government Operations Cluster *Alemayehu Awas*

DATE: December 30, 2020

SUBJECT: FY21 Capital Intra-District Budget Authority

The Captive Insurance Agency (RJ0) is requesting a Capital intra-district budget authority increase in the amount of \$336,507.00 to fund a FY21 MOU with the Department of General Services (AM0). The funding will cover the costs associated with the Captive Insurance Agency procuring a Builders Risk Insurance policy related to construction services at the 801 East Men's Shelter project. Attached is a copy of the memorandum of understanding.

The funding attributes are listed below.

PROJECT #	PHASE	INDEX	PCA	APPN#	CSG	AMOUNT
RJ0EMS	21	RJEMS	RJEMS	80000	0408	336,507.00
					Total	\$336,507.00

If you have any question, please contact me on 202-727-6535.

Attachments

S061 V2.1 PRD DISTRICT OF COLUMBIA R*STARS 2.1 12/30/20 08:54 AM
 LINK TO: AGENCY BUDGET FINANCIAL INQUIRY DSNF

AGENCY: RJ0 AY: 21 ORG CODE: 2000 PGM CODE: 2001 FUNC CODE: _____
 AP FUND: _____ FUND: 7750 GRANT/PH: _____ PROJECT/PH: RJ0EMS 21
 COMP SRC/GRP: 1070 OBJ/COBJ/AGY OBJ: _____ AGY OBJ GROUP: _____
 ORG LEVEL: 02 MEDICAL LIABILITY CAPTIVE OPERATIONS
 PGM LEVEL: 02 OVERSIGHT
 FUNC LEVEL: _____
 INQ TYPE: MC (MA, YA, MY, YY, MC, YC) DETAIL/SUMMARY: D
 INQ YEAR: 21 INQ MONTH: 03 ADJUSTED BUDG: _____ .00
 BUDGET AVAIL: _____ 336,507.00 BUDG % AVAIL: _____ .00
 EXPEND/BUDG %: _____ 0.00 ALLOT/BUDG %: _____ 0.00
 ALLOTMENT BAL: _____ 336,507.00 UNEXPND ALLOT: _____ 336,507.00
 BT TITLE AMOUNT BT TITLE AMOUNT
 16 INTRA-DIST 336,507.00-

F1-HELP F2-DOC INQ F5-NEXT F9-INTERRUPT ENTER-INQUIRE CLEAR-EXIT

**MEMORANDUM OF UNDERSTANDING
BY AND BETWEEN
CAPTIVE INSURANCE AGENCY
AND
DEPARTMENT OF GENERAL SERVICES**

This Memorandum of Understanding (“**MOU**”) is entered into by and between the Captive Insurance Agency (“Captive”) and the Department of General Services (“DGS”), each referred to herein as a “Party” and collectively to herein as the “Parties”.

I. INTRODUCTION AND OVERVIEW

Pursuant to the terms of this MOU, DGS will provide funds to the Captive to reimburse the Captive for the cost it incurs for the procurement of a builders’ risk policy of insurance (the “Policy”) with a term from December 11, 2020 to February 26, 2022 , relating to construction of the 801 East Men’s Shelter at 2700 Martin Luther King, Jr. Ave, Washington, DC 2003 (the “Project”).

II. SCOPE OF WORK

A. Responsibilities of the Captive

Procure the Policy for the Project.

B. Responsibilities of DGS

Reimburse the Captive Three Hundred Thirty-Six Thousand Five-Hundred and Seven Dollars (\$336,507.00) for the actual cost of the Policy.

III. FUNDING

A. Cost of services

Total cost for services is \$336,507.00

B. Payment

1. Payment for services shall be made through an Intra-District advance by DGS to the Captive in the amount of \$336,507.00

2. Advances to the Captive for the services to be provided shall not exceed the actual cost of this MOU, \$336,507.00

3. The Parties' Directors or their designees shall resolve all adjustments and disputes arising from services performed under this MOU.

IV. ANTI-DEFICIENCY CONSIDERATIONS

A. Federal and District of Columbia Anti-Deficiency Act

The Parties acknowledge and agree that their respective obligations to fulfill financial obligations of any kind pursuant to any and all provisions of this MOU, or any subsequent agreement entered into by the parties pursuant to this MOU, are and shall remain subject to the provisions of (i) the Federal Anti-Deficiency Act, 31 U.S.C. §§1341, 1342, 1349, 1351; (ii) the District of Columbia Anti-Deficiency Act, D.C. Official Code §§ 47-355.01-355.08 (2001); (iii) D.C. Official Code § 47-105 (2001); and (iv) D.C. Official Code § 1-204.46 (2006 Supp.)

B. Continuing Legal Obligation

The Parties acknowledge and agree that their respective obligations under the foregoing statutes may be amended from time to time, regardless of whether a particular obligation has been expressly so conditioned.

V. DURATION OF MOU

This MOU shall commence on the date the last Party to this MOU executes it (the "Effective Date") and remain in effect through September 30, 2022 unless sooner terminated pursuant to Article VII of this MOU.

VI. COMPLIANCE AND MONITORING

A. Monitoring

As this MOU is funded by District of Columbia funds, the Captive will be subject to scheduled and unscheduled monitoring reviews

by the District government to ensure compliance with all applicable requirements.

B. Records and reports

1. The Captive shall maintain records and receipts for the expenditure of all funds received for a period of no less than three (3) years from the date of expiration or termination of the MOU.
2. Upon DGS' request, the Captive will make these documents available for inspection by duly authorized representatives of DGS and other District officials as may be specified by DGS at its sole discretion.

VII. TERMINATION

A. Communication

Either Party may terminate this MOU in whole or in part by giving thirty (30) calendar days advance written notice to the other Party.

B. Cause

This MOU may be terminated for any of the following reasons:

1. Lack of funding;
2. Changes in applicable law;
3. Changes in the structure or nature of the program;
4. Elimination of the program or service;
5. Failure of either party to follow District of Columbia laws, rules, or regulations; or failure of either party to follow the terms of the MOU;
6. The convenience of either party.

VIII. NOTICE

A. For Department of General Services:

The following individual is the DGS contact point under this MOU:

George Lewis
Associate Director
Contracts and Procurement Chief Procurement Officer
Department of General Services
George.lewis@dc.gov

B. For the Captive:

For: Captive:

Jane Waters
Insurance Program Administrator
Jane.waters@dc.gov

For DGS

George Lewis
Assoc. Director Contracts & Procurement, CPO
George.lewis@dc.gov

IX. MODIFICATIONS

The terms and conditions of this MOU may be modified only upon prior written agreement by the Parties.

X. AUTHORITY FOR MOU

D.C. Official Code §§ 1-301.01(k).

XI. MISCELLANEOUS

The Parties shall comply with all applicable laws, rules and regulations whether now in force or hereafter enacted or promulgated.

IN WITNESS WHEREOF, the Parties have executed this MOU as follows:

SIGNATURES ON NEXT PAGE

**DISTRICT OF COLUMBIA DEPARTMENT OF GENERAL
SERVICES**

eSigned via SeamlessDocs.com
Keith A. Anderson
Key: 0142b987a178d4a1d7905815c1b5d375

By: _____
Keith A. Anderson, Director

Date: 12/21/2020

CAPTIVE INSURANCE AGENCY

By: _____
Jed Ross, Chief Risk Officer

Date: 12/18/20

INTRA-DISTRICT STANDARD REQUEST FORM

Government of the District of Columbia

PART I**GENERAL**

MOU NUMBER: _____

EFF DATE OF MOU: _____

SELLER INFORMATIONAGENCY: DC Captive Insurance AgencyAGENCY CODE: RJ0NAME OF CONTACT: Alemayehu AwasADDRESS : 441 4th Street NW, Suite 890N
Washington, DC 20012TELEPHONE # : 202-727-6535

FAX # : _____

AUTHORIZING OFFICER Alemayehu Awas DATE: 12 / 24 / 20**BUYER INFORMATION**AGENCY: Department of General ServicesAGENCY CODE: AM0NAME OF CONTACT: Antoinette Hudson-BeckmanADDRESS : 2000 14th St. NW
Washington, DC 20001TELEPHONE # : 202 698 -7476

FAX # : _____

AUTHORIZING OFFICER Antoinette Hudson-Beckman DATE: 12 / 21 / 20

PLEASE SEE NEXT PAGE FOR FUNDING INFORMATION

PART II

MOU NUMBER: _____ 2 OF _____ 2

SERVICE INFORMATION AND FUNDING CODES

GOOD/ SERVICE: Capital MOU to reimburse the Captive for the cost it incurs for the
procurement of a builders' risk policy of insurance. Total cost for services is \$336,507.00.

	AGY	YR	ORG CODE	FUND	INDEX	PCA	OBJ	AOBJ	GRANT/PH	PROJ/PH
Seller	RJ0	21		7750	RSEM	RTEM	4600	4600		RJ0EM/21
Buyer	AM0	16	2003	0300	A9284	95101	0409	0409	n/a	THK19C/ 04

GOOD/ SERVICE: _____ RJ0EM/21

DATE: ____ / ____ / ____ TOTAL: _____

	AGY	YR	ORG CODE	FUND	INDEX	PCA	OBJ	AOBJ	GRANT/PH	PROJ/PH
SELLER										
BUYER										

GOOD/ SERVICE: _____

DATE: ____ / ____ / ____ TOTAL: _____

	AGY	YR	ORG CODE	FUND	INDEX	PCA	OBJ	AOBJ	GRANT/PH	PROJ/PH
SELLER										
BUYER										

GOOD/ SERVICE: _____

DATE: ____ / ____ / ____ TOTAL: _____

	AGY	YR	ORG CODE	FUND	INDEX	PCA	OBJ	AOBJ	GRANT/PH	PROJ/PH
SELLER										
BUYER										

33. Capital ID Smothers Elementary School

GOVERNMENT OF THE DISTRICT OF COLUMBIA
OFFICE OF THE CHIEF FINANCIAL OFFICER
GOVERNMENT OPERATIONS CLUSTER
OFFICE OF FINANCE AND RESOURCE MANAGEMENT



MEMORANDUM

TO: Eric M. Cannady, Deputy Chief Financial Officer
Office of Budget and Planning

THRU: for Angelique Rice, Associate Chief Financial Officer
Government Operations Cluster *ML Bolden*

FROM: Alemayehu Awas, Agency Fiscal Officer
Government Operations Cluster *Alemayehu Awas*

DATE: January 15, 2021

SUBJECT: FY21 Capital Intra-District Budget Authority

The Captive Insurance Agency (RJ0) is requesting a Capital intra-district budget authority increase in the amount of \$44,951.75 to fund a FY21 MOU with the Department of General Services (AM0). The funding will cover the costs associated with the Captive Insurance Agency procuring a Builders Risk Insurance policy related to construction services at the Smothers Elementary School project. Attached is a copy of the memorandum of understanding.

The funding attributes are listed below.

PROJECT #	PHASE	INDEX	PCA	APPN#	CSG	AMOUNT
RJ0SES	21	RJSES	RJSES	80000	0408	44,951.75
					Total	\$44,951.75

If you have any question, please contact me on 202-727-6535.

Attachments

S061 V2.1 PRD DISTRICT OF COLUMBIA R*STARS 2.1 01/14/21 11:54 AM
LINK TO: _____ AGENCY BUDGET FINANCIAL INQUIRY DSNF

AGENCY: RJ0 AY: 21 ORG CODE: 2000 PGM CODE: 2001 FUNC CODE: _____
AP FUND: _____ FUND: 7750 GRANT/PH: _____ PROJECT/PH: RJ0SES 21
COMP SRC/GRP: 1070 OBJ/COBJ/AGY OBJ: _____ AGY OBJ GROUP: _____
ORG LEVEL: 02 MEDICAL LIABILITY CAPTIVE OPERATIONS
PGM LEVEL: 02 OVERSIGHT
FUNC LEVEL: _____

INQ TYPE: MC (MA, YA, MY, YY, MC, YC) DETAIL/SUMMARY: D
INQ YEAR: 21 INQ MONTH: 04 ADJUSTED BUDG: .00
BUDGET AVAIL: 44,951.75 BUDG % AVAIL: .00
EXPEND/BUDG %: 0.00 ALLOT/BUDG %: 0.00
ALLOTMENT BAL: 44,951.75 UNEXPND ALLOT: 44,951.75
BT TITLE AMOUNT BT TITLE AMOUNT
16 INTRA-DIST 44,951.75-

F1-HELP F2-DOC INQ F5-NEXT F9-INTERRUPT ENTER-INQUIRE CLEAR-EXIT

**MEMORANDUM OF UNDERSTANDING
BY AND BETWEEN
CAPTIVE INSURANCE AGENCY
AND
DEPARTMENT OF GENERAL SERVICES**

This Memorandum of Understanding (“**MOU**”) is entered into by and between the Captive Insurance Agency (“Captive”) and the Department of General Services (“DGS”), each referred to herein as a “Party” and collectively to herein as the “Parties”.

I. INTRODUCTION AND OVERVIEW

Pursuant to the terms of this MOU, DGS will provide funds to the Captive to reimburse the Captive for the cost it incurs for the procurement of a builders’ risk policy of insurance (the “Policy”) with a term from January 26, 2021 to July 15, 2022 , for renovation and expansion of Smothers Elementary School (the “Project”).

II. SCOPE OF WORK

A. Responsibilities of the Captive

Procure the Policy for the Project.

B. Responsibilities of DGS

Reimburse the Captive Forty-Four Thousand Nine Hundred Fifty-One Dollars and 75 cents (\$44,951.75) for the actual cost of the Policy.

III. FUNDING

A. Cost of services

Total cost for services is \$44,951.75.

B. Payment

1. Payment for services shall be made through an Intra-District advance by DGS to the Captive in the amount of \$44,951.75.

2. Advances to the Captive for the services to be provided shall not exceed the actual cost of this MOU, \$44,951.75.

3. The Parties' Directors or their designees shall resolve all adjustments and disputes arising from services performed under this MOU.

IV. ANTI-DEFICIENCY CONSIDERATIONS

A. Federal and District of Columbia Anti-Deficiency Act

The Parties acknowledge and agree that their respective obligations to fulfill financial obligations of any kind pursuant to any and all provisions of this MOU, or any subsequent agreement entered into by the parties pursuant to this MOU, are and shall remain subject to the provisions of (i) the Federal Anti-Deficiency Act, 31 U.S.C. §§1341, 1342, 1349, 1351; (ii) the District of Columbia Anti-Deficiency Act, D.C. Official Code §§ 47-355.01-355.08 (2001); (iii) D.C. Official Code § 47-105 (2001); and (iv) D.C. Official Code § 1-204.46 (2006 Supp.)

B. Continuing Legal Obligation

The Parties acknowledge and agree that their respective obligations under the foregoing statutes may be amended from time to time, regardless of whether a particular obligation has been expressly so conditioned.

V. DURATION OF MOU

This MOU shall commence on the date the last Party to this MOU executes it (the "Effective Date") and remain in effect through September 30, 2022 unless sooner terminated pursuant to Article VII of this MOU.

VI. COMPLIANCE AND MONITORING

A. Monitoring

As this MOU is funded by District of Columbia funds, the Captive will be subject to scheduled and unscheduled monitoring reviews

by the District government to ensure compliance with all applicable requirements.

B. Records and reports

1. The Captive shall maintain records and receipts for the expenditure of all funds received for a period of no less than three (3) years from the date of expiration or termination of the MOU.
2. Upon DGS' request, the Captive will make these documents available for inspection by duly authorized representatives of DGS and other District officials as may be specified by DGS at its sole discretion.

VII. TERMINATION

A. Communication

Either Party may terminate this MOU in whole or in part by giving thirty (30) calendar days advance written notice to the other Party.

B. Cause

This MOU may be terminated for any of the following reasons:

1. Lack of funding;
2. Changes in applicable law;
3. Changes in the structure or nature of the program;
4. Elimination of the program or service;
5. Failure of either party to follow District of Columbia laws, rules, or regulations; or failure of either party to follow the terms of the MOU;
6. The convenience of either party.

VIII. NOTICE

A. For Department of General Services:

George Lewis
Associate Director
Contracts and Procurement Chief Procurement Officer
Department of General Services
George.lewis@dc.gov

B. For the Captive:

Jane Waters
Insurance Program Administrator
Jane.waters@dc.gov

IX. MODIFICATIONS

The terms and conditions of this MOU may be modified only upon prior written agreement by the Parties.

X. AUTHORITY FOR MOU

D.C. Official Code §§ 1-301.01(k).

XI. MISCELLANEOUS

The Parties shall comply with all applicable laws, rules and regulations whether now in force or hereafter enacted or promulgated.

IN WITNESS WHEREOF, the Parties have executed this MOU as follows:

SIGNATURES ON NEXT PAGE

**DISTRICT OF COLUMBIA DEPARTMENT OF GENERAL
SERVICES**

eSigned via SeamlessDocs.com
Keith A. Anderson
Key: 8e9b5e2baad899bb2c2d5a4ad3e835d

By: _____
Keith A. Anderson, Director

Date: 01/05/2021

CAPTIVE INSURANCE AGENCY

By: *Jed Ross* / yeung
Jed Ross, Chief Risk Officer

Date: 12/23/2020

INTRA-DISTRICT STANDARD REQUEST FORM

Government of the District of Columbia

PART I**GENERAL**

MOU NUMBER: _____

EFF DATE OF MOU: _____

AMOUNT: _____

\$44,951.75

SELLER INFORMATIONAGENCY: DC Captive Insurance Agency

AGENCY CODE: _____

RJ0NAME OF CONTACT: Alemayehu AwasADDRESS : 441 4th Street NW, Suite 890NWashington, DC 20012TELEPHONE # : 202-727-6535

FAX # : _____

AUTHORIZING OFFICER Alemayehu AwasDATE: 01 / 06 / 21**BUYER INFORMATION**AGENCY: Department of General Services

AGENCY CODE: _____

AM0NAME OF CONTACT: Antoinette Hudson BeckhamADDRESS : 2000 14th St. NW, 5th FloorWashington, DC 20001TELEPHONE 202.698.7476

FAX # : _____

AUTHORIZING OFFICER Antoinette Hudson-BeckhamDATE: 12 / 28 / 20

PLEASE SEE NEXT PAGE FOR FUNDING INFORMATION

PART II

MOU NUMBER: _____ 2 OF _____ 2

SERVICE INFORMATION AND FUNDING CODES

GOOD/ SERVICE: Capital MOU with DC Captive Insurance Agency. DGS will provide funds to Captive to reimburse
for the cost it incurs for the procurement of a builder's risk policy of insurance relating to construction work. 1/26/2021 thru
7/15/2022.

TOTAL: \$44,951.75

	AGY	YR	FUND	INDEX	PCA	OBJ	AOBJ	GRANT/PH	PROJ/PH	
Seller	RJ0	21	7750	RJSES	RJSES	4600	4600		RJ0SES 21	
Buyer	AM0	2008	0300	YY95E	95101	0409	0409		YY195C/4	

34. ID Goding Swing Site extension

GOVERNMENT OF THE DISTRICT OF COLUMBIA
OFFICE OF THE CHIEF FINANCIAL OFFICER
GOVERNMENT OPERATIONS CLUSTER
OFFICE OF FINANCE AND RESOURCE MANAGEMENT



MEMORANDUM

TO: Angelique Rice, Associate Chief Financial Officer
Government Operations Cluster

THRU: Michael Bolden, Director of Financial Operations
Government Operations Cluster *ML Bolden*

FROM: Alemayehu Awas, Agency Fiscal Officer
Government Operations Cluster *Alemayehu Awas*

DATE: January 28, 2021

SUBJECT: FY21 Intra-District Budget Authority

The Captive Insurance Agency (RJ0) is requesting an intra-district budget authority increase in the amount of \$5,231.00 to fund a FY21 MOU with the Department of General Services (AM0). The funding will cover the costs associated with the Captive Insurance Agency procuring an extension to the current Builders Risk Insurance policy related to construction services at the Goding Elementary School Swing Site project. Attached is a copy of the memorandum of understanding.

The funding attributes are listed below.

PROJECT #	PHASE	INDEX	PCA	APPN#	CSG	AMOUNT
RJ0GS2	21	RJGS2	RJGS2	20000	0408	5,231.00
					Total	\$5,231.00

If you have any question, please contact me on 202-727-6535.

Attachments

S061 V2.1 PRD DISTRICT OF COLUMBIA R*STARS 2.1 01/27/21 04:34 PM
 LINK TO: _____ AGENCY BUDGET FINANCIAL INQUIRY DSNF

AGENCY: RJ0 AY: 21 ORG CODE: 2000 PGM CODE: 2001 FUNC CODE: _____
 AP FUND: _____ FUND: 0700 GRANT/PH: _____ PROJECT/PH: RJ0GS2 21
 COMP SRC/GRP: 1070 OBJ/COBJ/AGY OBJ: _____ AGY OBJ GROUP: _____
 ORG LEVEL: 02 MEDICAL LIABILITY CAPTIVE OPERATIONS
 PGM LEVEL: 02 OVERSIGHT
 FUNC LEVEL: _____
 INQ TYPE: MC (MA, YA, MY, YY, MC, YC) DETAIL/SUMMARY: D
 INQ YEAR: 21 INQ MONTH: 04 ADJUSTED BUDG: .00
 BUDGET AVAIL: 5,231.00 BUDG % AVAIL: .00
 EXPEND/BUDG %: 0.00 ALLOT/BUDG %: 0.00
 ALLOTMENT BAL: 5,231.00 UNEXPND ALLOT: 5,231.00
 BT TITLE AMOUNT BT TITLE AMOUNT
 16 INTRA-DIST 5,231.00-

F1-HELP F2-DOC INQ F5-NEXT F9-INTERRUPT ENTER-INQUIRE CLEAR-EXIT

**FIRST AMENDMENT TO MEMORANDUM OF UNDERSTANDING
BY AND BETWEEN
CAPTIVE INSURANCE AGENCY
AND
DEPARTMENT OF GENERAL SERVICES**

This First Amendment to Memorandum of Understanding ("MOU Amendment") is entered into by and between the Captive Insurance Agency ("Captive") and the Department of General Services ("DGS"), each referred to herein as a "Party" and collectively to herein as the "Parties".

- I. DGS has requested that coverage of the Builders Risk Insurance Policy ("Policy") for construction of the Goding Elementary School swing site be extended from December 31, 2020 through March 1, 2021. Extension of the Policy coverage will require a Policy premium increase of \$5,231.00
- II. The Parties hereby amend the MOU between the Parties as follows:
 - A. The Parties increase the actual cost of services under Article III.A by the amount of \$5,231.00.
 - B. The increased cost for services in the amount of \$5,231.00 shall be made through an Intra-District advance by DGS to the Captive.
- III. Except as modified herein, all other terms and conditions of the Memorandum of Understanding between the Parties for funding the Policy for the Goding Elementary School swing site shall remain in full force and effect.

IN WITNESS WHEREOF, the Parties have executed this MOU Amendment as follows:

DISTRICT OF COLUMBIA DEPARTMENT OF GENERAL SERVICES

eSigned via SeamlessDocs.com

Key: a1f51e9a53822c0d5e7ccf08d9d299249

By: _____
Keith A. Anderson, Director

Date: 01/08/2021

CAPTIVE INSURANCE AGENCY

By:  _____
Jed Ross, Chief Risk Officer

Date: 01/04/2021

INTRA-DISTRICT STANDARD REQUEST FORM
Government of the District of Columbia

PART I

GENERAL

MOU NUMBER: _____

EFF DATE OF MOU: _____ Amount: \$5,231.00

SELLER INFORMATION

AGENCY: DC Captive Insurance Agency AGENCY CODE: RJ0

NAME OF CONTACT: Alemayehu Awas

ADDRESS : 441 4th Street NW, Suite 890N
Washington, DC 20012

TELEPHONE # : 202-727-6535

FAX # : 202-727-2202

AUTHORIZING OFFICER Alemayehu Awas DATE: 01 / 14 / 20

BUYER INFORMATION

AGENCY: Department of General Services AGENCY CODE: AM0

NAME OF CONTACT: Antoinette Hudson Beckham

ADDRESS : 2000 14th St. NW
Washington, DC 20001

TELEPHONE # : 202-678-7476

FAX # : _____

AUTHORIZING OFFICER Antoinette Hudson-Beckham DATE: 01 / 08 / 21

PLEASE SEE NEXT PAGE FOR FUNDING INFORMATION

PART II

MOU NUMBER: _____ 2 OF 2

SERVICE INFORMATION AND FUNDING CODES

GOOD/ SERVICE: DGS will provide funds to the Captive for the cost it incurs for the procurement

of a builder's risk policy for the swing space at School within a School at Goding ES. For the period of 1/1/2021- 3/1/2021. This is an operating MOU.

TOTAL: \$5,231.00

	AGY	YR	FUND	INDEX	PCA	OBJ	AOBJ	GRANT/PH	PROJ/PH	Amount
Seller	RJ0	2020	0700	RJ6S2	RJ6S2				RJ6S2 21	5231.00
Buyer	AM0	2021	0100	P3019	30009	0409	0409	N/A	N/A	\$5,231.00

RJ6S2 RJ6S2

RJ06S2/21

35. Capital ID Bard High School Early College

GOVERNMENT OF THE DISTRICT OF COLUMBIA
OFFICE OF THE CHIEF FINANCIAL OFFICER
GOVERNMENT OPERATIONS CLUSTER
OFFICE OF FINANCE AND RESOURCE MANAGEMENT



MEMORANDUM

TO: Eric M. Cannady, Deputy Chief Financial Officer
Office of Budget and Planning

THRU: for Angelique Rice, Associate Chief Financial Officer
Government Operations Cluster *ML Bolden*

FROM: Alemayehu Awas, Agency Fiscal Officer *Alemayehu Awas*
Government Operations Cluster

DATE: January 27, 2021

SUBJECT: FY21 Capital Intra-District Budget Authority

The Captive Insurance Agency (RJ0) is requesting a Capital intra-district budget authority increase in the amount of \$100,508.92 to fund a FY21 MOU with the Department of General Services (AM0). The funding will cover the costs associated with the Captive Insurance Agency procuring a Builders Risk Insurance policy related to construction services at the Bard High School Early College project. Attached is a copy of the memorandum of understanding.

The funding attributes are listed below.

PROJECT #	PHASE	INDEX	PCA	APPN#	CSG	AMOUNT
RJ0BEC	21	RJBEC	RJBEC	80000	0408	100,508.92
					Total	\$100,508.92

If you have any question, please contact me on 202-727-6535.

Attachments

S061 V2.1 PRD DISTRICT OF COLUMBIA R*STARS 2.1 01/27/21 04:34 PM

LINK TO: AGENCY BUDGET FINANCIAL INQUIRY DSNF

AGENCY: RJ0 AY: 21 ORG CODE: 2000 PGM CODE: 2001 FUNC CODE: _____

AP FUND: _____ FUND: 7750 GRANT/PH: _____ PROJECT/PH: RJ0BEC 21

COMP SRC/GRP: 1070 OBJ/COBJ/AGY OBJ: _____ AGY OBJ GROUP: _____

ORG LEVEL: 02 MEDICAL LIABILITY CAPTIVE OPERATIONS

PGM LEVEL: 02 OVERSIGHT

FUNC LEVEL: _____

INQ TYPE: MC (MA, YA, MY, YY, MC, YC) DETAIL/SUMMARY: D

INQ YEAR: 21 INQ MONTH: 04 ADJUSTED BUDG: .00

BUDGET AVAIL: 100,508.92 BUDG % AVAIL: .00

EXPEND/BUDG %: 0.00 ALLOT/BUDG %: 0.00

ALLOTMENT BAL: 100,508.92 UNEXPND ALLOT: 100,508.92

BT TITLE AMOUNT BT TITLE AMOUNT

16 INTRA-DIST 100,508.92-

F1-HELP F2-DOC INQ F5-NEXT F9-INTERRUPT ENTER-INQUIRE CLEAR-EXIT

**MEMORANDUM OF UNDERSTANDING
BY AND BETWEEN
CAPTIVE INSURANCE AGENCY
AND
DEPARTMENT OF GENERAL SERVICES**

This Memorandum of Understanding ("MOU") is entered into by and between the Captive Insurance Agency ("Captive") and the Department of General Services ("DGS"), each referred to herein as a "Party" and collectively to herein as the "Parties".

I. INTRODUCTION AND OVERVIEW

Pursuant to the terms of this MOU, DGS will provide funds to the Captive to reimburse the Captive for the cost it incurs for the procurement of a builders' risk policy of insurance (the "Policy") with a term from January 25, 2021 to August 23, 2023, relating to the modernization of Bard High School Early College which consists of the renovation of the former Malcolm X Elementary School building (the "Project").

II. SCOPE OF WORK

A. Responsibilities of the Captive

Procure the Policy for the Project.

B. Responsibilities of DGS

Reimburse the Captive One Hundred Thousand Five Hundred Eight Dollars and 92 cents (\$100,508.92) for the actual cost of the Policy.

III. FUNDING

A. Cost of services

Total cost for services is \$100,508.92.

B. Payment

1. Payment for services shall be made through an Intra-District advance by DGS to the Captive in the amount of \$100,508.92.

2. Advances to the Captive for the services to be provided shall not exceed the actual cost of this MOU, \$100,508.92.

3. The Parties' Directors or their designees shall resolve all adjustments and disputes arising from services performed under this MOU.

IV. ANTI-DEFICIENCY CONSIDERATIONS

A. Federal and District of Columbia Anti-Deficiency Act

The Parties acknowledge and agree that their respective obligations to fulfill financial obligations of any kind pursuant to any and all provisions of this MOU, or any subsequent agreement entered into by the parties pursuant to this MOU, are and shall remain subject to the provisions of (i) the Federal Anti-Deficiency Act, 31 U.S.C. §§1341, 1342, 1349, 1351; (ii) the District of Columbia Anti-Deficiency Act, D.C. Official Code §§ 47-355.01-355.08 (2001); (iii) D.C. Official Code § 47-105 (2001); and (iv) D.C. Official Code § 1-204.46 (2006 Supp.)

B. Continuing Legal Obligation

The Parties acknowledge and agree that their respective obligations under the foregoing statutes may be amended from time to time, regardless of whether a particular obligation has been expressly so conditioned.

V. DURATION OF MOU

This MOU shall commence on the date the last Party to this MOU executes it (the "Effective Date") and remain in effect through September 30, 2021 unless sooner terminated pursuant to Article VII of this MOU.

VI. COMPLIANCE AND MONITORING

A. Monitoring

As this MOU is funded by District of Columbia funds, the Captive will be subject to scheduled and unscheduled monitoring reviews by the District government to ensure compliance with all applicable requirements.

B. Records and reports

1. The Captive shall maintain records and receipts for the expenditure of all funds received for a period of no less than three (3) years from the date of expiration or termination of the MOU.
2. Upon DGS' request, the Captive will make these documents available for inspection by duly authorized representatives of DGS and other District officials as may be specified by DGS at its sole discretion.

VII. TERMINATION

A. Communication

Either Party may terminate this MOU in whole or in part by giving thirty (30) calendar days advance written notice to the other Party.

B. Cause

This MOU may be terminated for any of the following reasons:

1. Lack of funding;
2. Changes in applicable law;
3. Changes in the structure or nature of the program;
4. Elimination of the program or service;
5. Failure of either party to follow District of Columbia laws, rules, or regulations; or failure of either party to follow the terms of the MOU;
6. The convenience of either party.

VIII. NOTICE

A. For Department of General Services:

The following individual is the DGS contact point under this MOU:

George Lewis
Associate Director
Contracts and Procurement Chief Procurement Officer
Department of General Services
George.lewis@dc.gov

B. For the Captive:

The following individual is the Captive contact point under this MOU:

Jane Waters
Insurance Program Administrator
Jane.waters@dc.gov

IX. MODIFICATIONS

The terms and conditions of this MOU may be modified only upon prior written agreement by the Parties.

X. AUTHORITY FOR MOU

D.C. Official Code §§ 1-301.01(k).

XI. MISCELLANEOUS

The Parties shall comply with all applicable laws, rules and regulations whether now in force or hereafter enacted or promulgated.

IN WITNESS WHEREOF, the Parties have executed this MOU as follows:

DISTRICT OF COLUMBIA DEPARTMENT OF GENERAL SERVICES

eSigned via SeamlessDocs.com

Key: 16b1d00e21b0cd578dae84708483d285

By: _____
Keith A. Anderson, Director

01/12/2021
Date: _____

CAPTIVE INSURANCE AGENCY

By:  _____ / yeung
Jed Ross, Chief Risk Officer

Date: 1/11/2021

INTRA-DISTRICT STANDARD REQUEST FORM

Government of the District of Columbia

PART I

GENERAL

MOU NUMBER: _____

EFF DATE OF MOU: _____ Amount: \$100,508.92

SELLER INFORMATION

AGENCY: DC Captive Insurance Agency AGENCY CODE: RJ0

NAME OF CONTACT: Alemayehu Awas

ADDRESS : 441 4th Street NW, Suite 890N

Washington, DC 20012

TELEPHONE # : 202-727-6535

FAX # : 202-727-2202

AUTHORIZING OFFICER Alemayehu Awas DATE: 01 / 14 / 20

BUYER INFORMATION

AGENCY: Department of General Services AGENCY CODE: AM0

NAME OF CONTACT: Antionette Hudson Beckham

ADDRESS : 2000 14th St. NW

Washington, DC 20001

TELEPHONE # : 202-678-7476

FAX # : _____

AUTHORIZING OFFICER Antoinette Hudson-Beckham DATE: 01 / 12 / 21

PLEASE SEE NEXT PAGE FOR FUNDING INFORMATION

PART II

MOU NUMBER: _____ 2 OF 2

SERVICE INFORMATION AND FUNDING CODES

GOOD/ SERVICE: DGS will provide funds to the Captive for the cost it incurs for the procurement of a builder's risk policy for Bard HS EC. For the period of 1/25/2021-8/23/2023. This is a capital MOU.

TOTAL: \$100,508.92

	AGY	YR	FUND	INDEX	PCA	OBJ	AOBJ	GRANT/PH	PROJ/PH	Amount
Seller	RJ0	2020	7750	RJBEC	RJBEC				RJBEC 21	100,508.92
Buyer	AM0	2008	0300	BDCLD	95101	0409	0409	N/A	YY1MBC/04	\$100,508.92

RJBEC RJBEC

RJBEC/21

36. Capital ID Arboretum Community Center

GOVERNMENT OF THE DISTRICT OF COLUMBIA
OFFICE OF THE CHIEF FINANCIAL OFFICER
GOVERNMENT OPERATIONS CLUSTER
OFFICE OF FINANCE AND RESOURCE MANAGEMENT



MEMORANDUM

TO: Eric M. Cannady, Deputy Chief Financial Officer
Office of Budget and Planning

THRU: *for* Angelique Rice, Associate Chief Financial Officer
Government Operations Cluster *Christine Muskolive*

FROM: Alemayehu Awas, Agency Fiscal Officer
Government Operations Cluster *Alemayehu Awas*

DATE: February 1, 2021

SUBJECT: FY21 Capital Intra-District Budget Authority

The Captive Insurance Agency (RJ0) is requesting a Capital intra-district budget authority increase in the amount of \$6,390.79 to fund a FY21 MOU with the Department of General Services (AM0). The funding will cover the costs associated with the Captive Insurance Agency procuring a Builders Risk Insurance policy related to construction services at the Arboretum Community Center project. Attached is a copy of the memorandum of understanding.

The funding attributes are listed below.

PROJECT #	PHASE	INDEX	PCA	APPN#	CSG	AMOUNT
RJ0ACC	21	RJACC	RJACC	80000	0408	6,390.79
					Total	\$6,390.79

If you have any question, please contact me on 202-727-6535.

Attachments

S061 V2.1 PRD DISTRICT OF COLUMBIA R*STARS 2.1 02/01/21 10:17 AM
 LINK TO: _____ AGENCY BUDGET FINANCIAL INQUIRY DSNF

AGENCY: RJ0 AY: 21 ORG CODE: 2000 PGM CODE: 2001 FUNC CODE: _____
 AP FUND: _____ FUND: 7750 GRANT/PH: _____ PROJECT/PH: RJ0ACC 21
 COMP SRC/GRP: 1070 OBJ/COBJ/AGY OBJ: _____ AGY OBJ GROUP: _____
 ORG LEVEL: 02 MEDICAL LIABILITY CAPTIVE OPERATIONS
 PGM LEVEL: 02 OVERSIGHT
 FUNC LEVEL: _____
 INQ TYPE: MC (MA, YA, MY, YY, MC, YC) DETAIL/SUMMARY: D
 INQ YEAR: 21 INQ MONTH: 05 ADJUSTED BUDG: .00
 BUDGET AVAIL: 6,390.79 BUDG % AVAIL: .00
 EXPEND/BUDG %: 0.00 ALLOT/BUDG %: 0.00
 ALLOTMENT BAL: 6,390.79 UNEXPND ALLOT: 6,390.79
 BT TITLE AMOUNT BT TITLE AMOUNT
 16 INTRA-DIST 6,390.79-

F1-HELP F2-DOC INQ F5-NEXT F9-INTERRUPT ENTER-INQUIRE CLEAR-EXIT

**MEMORANDUM OF UNDERSTANDING
BY AND BETWEEN
CAPTIVE INSURANCE AGENCY
AND
DEPARTMENT OF GENERAL SERVICES**

This Memorandum of Understanding (“**MOU**”) is entered into by and between the Captive Insurance Agency (“Captive”) and the Department of General Services (“DGS”), each referred to herein as a “Party” and collectively to herein as the “Parties”.

I. INTRODUCTION AND OVERVIEW

Pursuant to the terms of this MOU, DGS will provide funds to the Captive to reimburse the Captive for the cost it incurs for the procurement of a builders’ risk policy of insurance (the “Policy”) with a term from February 1, 2021 to January 30, 2022 for improvements and an addition to the Arboretum Community Center at 2412 Rand Place, N.E., Washington, D.C. 20002 (the “Project”).

II. SCOPE OF WORK

A. Responsibilities of the Captive

Procure the Policy for the Project.

B. Responsibilities of DGS

Reimburse the Captive Six Thousand Three Hundred Ninety Dollars and 79 cents (\$6,390.79) for the actual cost of the Policy.

III. FUNDING

A. Cost of services

Total cost for services is \$6,390.79.

B. Payment

1. Payment for services shall be made through an Intra-District advance by DGS to the Captive in the amount of \$6,390.79.

2. Advances to the Captive for the services to be provided shall not exceed the actual cost of this MOU, \$6,390.79.

3. The Parties' Directors or their designees shall resolve all adjustments and disputes arising from services performed under this MOU.

IV. ANTI-DEFICIENCY CONSIDERATIONS

A. Federal and District of Columbia Anti-Deficiency Act

The Parties acknowledge and agree that their respective obligations to fulfill financial obligations of any kind pursuant to any and all provisions of this MOU, or any subsequent agreement entered into by the parties pursuant to this MOU, are and shall remain subject to the provisions of (i) the Federal Anti-Deficiency Act, 31 U.S.C. §§1341, 1342, 1349, 1351; (ii) the District of Columbia Anti-Deficiency Act, D.C. Official Code §§ 47-355.01-355.08 (2001); (iii) D.C. Official Code § 47-105 (2001); and (iv) D.C. Official Code § 1-204.46 (2006 Supp.)

B. Continuing Legal Obligation

The Parties acknowledge and agree that their respective obligations under the foregoing statutes may be amended from time to time, regardless of whether a particular obligation has been expressly so conditioned.

V. DURATION OF MOU

This MOU shall commence on the date the last Party to this MOU executes it (the "Effective Date") and remain in effect through September 30, 2021 unless sooner terminated pursuant to Article VII of this MOU.

VI. COMPLIANCE AND MONITORING

A. Monitoring

As this MOU is funded by District of Columbia funds, the Captive will be subject to scheduled and unscheduled monitoring reviews

by the District government to ensure compliance with all applicable requirements.

B. Records and reports

1. The Captive shall maintain records and receipts for the expenditure of all funds received for a period of no less than three (3) years from the date of expiration or termination of the MOU.
2. Upon DGS' request, the Captive will make these documents available for inspection by duly authorized representatives of DGS and other District officials as may be specified by DGS at its sole discretion.

VII. TERMINATION

A. Communication

Either Party may terminate this MOU in whole or in part by giving thirty (30) calendar days advance written notice to the other Party.

B. Cause

This MOU may be terminated for any of the following reasons:

1. Lack of funding;
2. Changes in applicable law;
3. Changes in the structure or nature of the program;
4. Elimination of the program or service;
5. Failure of either party to follow District of Columbia laws, rules, or regulations; or failure of either party to follow the terms of the MOU;
6. The convenience of either party.

VIII. NOTICE

A. For Department of General Services:

The following individual is the DGS contact point under this MOU:

George Lewis
Associate Director
Contracts and Procurement Chief Procurement Officer
Department of General Services
George.lewis@dc.gov

B. For the Captive:

The following individual is the ORM contact point under this MOU:

Jane Waters
Insurance Program Administrator
Jane.waters@dc.gov

IX. MODIFICATIONS

The terms and conditions of this MOU may be modified only upon prior written agreement by the Parties.

X. AUTHORITY FOR MOU

D.C. Official Code §§ 1-301.01(k).

XI. MISCELLANEOUS

The Parties shall comply with all applicable laws, rules and regulations whether now in force or hereafter enacted or promulgated.

IN WITNESS WHEREOF, the Parties have executed this MOU as follows:

SIGNATURES ON NEXT PAGE

**DISTRICT OF COLUMBIA DEPARTMENT OF GENERAL
SERVICES**

eSigned via SeamasDocx.com
Keith A. Anderson
Key: a62098717abd91b7fate9a20952fab55

By: _____
Keith A. Anderson, Director

Date: 01/27/2021

CAPTIVE INSURANCE AGENCY

By: *Jed Ross* / yeung
Jed Ross, Chief Risk Officer

Date: 1/21/2021

INTRA-DISTRICT STANDARD REQUESTS FORM
GOVERNMENT OF THE DISTRICT OF COLUMBIA

PART I

MOU NUMBER: _____

Services: _____

AMOUNT: \$6,390.79
Amount (IDSR): \$6,390.79

SELLER INFORMATION

AGENCY: DC Captive Insurance Agency

AGENCY CODE: RJ0

NAME OF CONTACT: Alemayehu Awas

ADDRESS : 441 4th Street NW, Suite 890N

Washington, DC 20024

TELEPHONE # : 202-727-6535

FAX # : 202-727-2202

AUTHORIZING OFFICER

Alemayehu Awas

01/28/2021

BUYER INFORMATION

AGENCY: Department of General Services

AGENCY CODE: AM0

NAME OF CONTACT: Antoinette Hudson Beckham

ADDRESS : 2000 14th St. NW

Washington, DC 20001

TELEPHONE # : (202) 698-7476

FAX # :

AUTHORIZING OFFICER

Antoinette Hudson-Beckham

PLEASE SEE NEXT PAGE FOR GOODS/ SERVICES DESCRIPTION AND FUNDING INFORMATION

INTRA-DISTRICT STANDARD REQUESTS FORM
GOVERNMENT OF THE DISTRICT OF COLUMBIA

PART II

\$6,390.79

MOU NUMBER: _____

SERVICE INFORMATION AND FUNDING CODES

GOOD/ SERVICE: Reimburse the Captive Six Thousand Three Hundred Ninety Dollars and 79 cents (\$6,390.79) for the actual cost of the Policy. Builder's risk policy of insurance, with a term from February 1, 2021 to January 30, 2022 for improvements and addition to the Arboretum Community Center at 2412 Rand Place, N.E., Washington, D.C 2002

Arboretum Community Center

TOTAL: \$6,390.79

	AGY	AY	FUND	INDEX	PCA	OBJ	AOBJ	PROJ/PH	Amount
SELLER	RJO	21	7700	RJACC	RJACC	4600	4600	RJACC/21	6390.79
BUYER	AM0	2015	0300	ARBRD	95101	0409	0409	QP5ARC/04	\$6,390.79

37. OLCRB ERisk

**MEMORANDUM OF UNDERSTANDING
BY AND BETWEEN
THE DISTRICT OF COLUMBIA
THE OFFICE OF RISK MANAGEMENT (ORM)
AND
THE OFFICE OF LABOR RELATIONS AND COLLECTIVE
BARGAINING (OLRCB)**

This Memorandum of Understanding (“**MOU**”) is entered into as of the **2 day of December, 2020** (the Effective Date) by and between the District of Columbia Office of Risk Management (“ORM”) and the Office of Labor Relations and Collective Bargaining (“OLRCB”), each referred to herein as a “Party” and collectively to herein as the “Parties”.

I. INTRODUCTION AND OVERVIEW

Pursuant to the terms of this MOU, ORM will assist in implementing ERisk functionality for OLRCB.

II. SCOPE OF WORK

A. Responsibilities of ORM

1. Provide access to ERisk.
2. Provide 14 Full User ERisk Licenses and 2 Light User ERisk Licenses.
3. Provide Requirement Templates to assist OLRCB to document the business requirements.
4. Implement the initial OLRCB Case Management functionality in ERisk with appropriate access privileges. This should take approximately 4 weeks with close coordination between the ERisk team and the OLRCB business Subject Matter Expert (SME).
5. Assist in loading historical data into ERisk; if any.
6. Create user accounts for OLRCB users.
7. Provide initial training to OLRCB SME to use ERisk system. This individual will be trained on all OLRCB functionality. The assumption is this individual will train the rest of OLRCB team members and will be the first point of support for OLRCB for any ERisk issues.
8. OLRCB User and functionality maintenance and updates. Up to 5 hours per month of ERisk support time after Go-Live in FY2021.

B. Responsibilities of OLRCB

1. Document the requirements for OLRCB Case Management. This should be approved before ORM ERisk team will begin implementation.
 - a. Fields to be collected
 - b. Business process flow/workflow for case management
 - c. Define any automated triggers or email notifications to be sent to certain individuals/roles
 - d. Access privileges that specifies who should see or edit any specific field, as well as the various roles for individuals
 - e. Define the file folders to store various types of documents in ERisk
2. Participate in meetings with ORM as requested by the ERisk team and provide clarifications on requirements.
3. Assign a business analyst who will be the lead tester for all OLRCB functionality in ERisk. This individual should be extremely familiar with OLRCB business requirements. The intent is for this individual to collect all testing feedback from OLRCB testers and provide feedback to ORM's ERisk team. This will enable ERisk team to complete the OLRCB implementation in a timely manner.
4. Assign an OLRCB SME who will act as the ERisk designee. The assumption is this individual will train the rest of OLRCB team members and will be the first point of support for OLRCB for any ERisk issues.
5. Provide all historical data in csv format that needs to be uploaded into ERisk. Templates will be provided for the same.

III. FUNDING

A. Cost of services

Licenses

Total actual cost for licenses is \$11,320 dollars per year. A breakup is below -

14 Full User licenses * \$800 each = \$11, 200

2 Light User licenses * \$60 each = \$120

Origami Implementation

In addition, there is implementation cost from Origami for some database configuration. This may be up to 8 hours @\$185 per hour = \$1,480. This is a one-time cost that shall not exceed \$1,480.00.

All above costs are at the rates charged by Origami to ORM.

B. Payment

6. Payment for actual cost of services shall be made through an Intra-District advance by OLRCB to ORM in the max amount of Twelve Thousand Eight Hundred dollars (\$12,800).
7. The Parties' Directors or their designees shall resolve all adjustments and disputes arising from services performed under this MOU.
8. In the event funds remain unobligated and unexpended after the termination of this MOU, then funds shall be returned to OLRCB within thirty (30) days of the expiration of the then current fiscal year.

IV. ANTI-DEFICIENCY CONSIDERATIONS

A. Federal and District of Columbia Anti-Deficiency Act

The Parties acknowledge and agree that their respective obligations to fulfill financial obligations of any kind pursuant to any and all provisions of this MOU, or any subsequent agreement entered into by the parties pursuant to this MOU, are and shall remain subject to the provisions of (i) the Federal Anti-Deficiency Act, 31 U.S.C. §§1341, 1342, 1349, 1351; (ii) the District of Columbia Anti-Deficiency Act, D.C. Official Code §§ 47-355.01-355.08 (2001); (iii) D.C. Official Code § 47-105 (2001); and (iv) D.C. Official Code § 1-204.46 (2006 Supp.)

B. Continuing Legal Obligation

The Parties acknowledge and agree that their respective obligations under the foregoing statutes may be amended from time to time, regardless of whether a particular obligation has been expressly so conditioned.

V. DURATION OF MOU

This MOU shall commence on the Effective Date and remain in effect through September 30, 2021, unless sooner terminated pursuant to Article VII of this MOU.

VI. COMPLIANCE AND MONITORING

A. Monitoring

As this MOU is funded by District of Columbia funds, ORM and OLRCB will be subject to scheduled monitoring reviews by the District government to ensure compliance with all applicable requirements.

B. Records and reports

1. The Parties shall maintain records and receipts for the expenditure of all funds received for a period of no less than three (3) years from the date of expiration or termination of the MOU.

VII. TERMINATION

A. Communication

Either Party may terminate this MOU in whole or in part by giving thirty (30) calendar days advance written notice to the other Party.

B. Cause

This MOU may be terminated for any of the following reasons:

1. Lack of funding;
2. Changes in applicable law;
3. Changes in the structure or nature of the program;
4. Elimination of the program or service;
5. Failure of either party to follow District of Columbia laws, rules, or regulations; or failure of either party to follow the terms of the MOU;
6. The convenience of either party.

VIII. NOTICE

A. For ORM:

The following individual is the contact point under this MOU:

Sam Yeung
Deputy Chief Risk Officer
Sam.Yeung@dc.gov

B. For OLRB:

The following individual is the contact point under this MOU:

Mamadou Samba
Chief of Staff, OLRCB
Mamadou.Samba@dc.gov

IX. MODIFICATIONS

The terms and conditions of this MOU may be modified only upon prior written agreement by the Parties.

X. AUTHORITY FOR MOU

D.C. Official Code §§ 1-301.01(k); 50-921.20 (c).

XI. ADDENDUM

OLRCB Requirements Document



ERisk - OLRCB Case
Management Requi

XII. MISCELLANEOUS

The Parties shall comply with all applicable laws, rules and regulations whether now in force or hereafter enacted or promulgated.

The Parties have executed this MOU as of the day and year written below.

OFFICE OF RISK MANAGEMENT (ORM)

By:  p.p. / yeung
Jed Ross, Director

Date: 12/16/2020

**OFFICE OF LABOR RELATIONS & COLLECTIVE BARGAINING
(OLRCB)**

By: 
E. Lindsey Maxwell II, Esq., Director

Date: 12/3/20

DRAFT

38. OAG Litigation Costs OAG

**MEMORANDUM OF UNDERSTANDING
BETWEEN
THE OFFICE OF ATTORNEY GENERAL
AND
OFFICE OF RISK MANAGEMENT
FOR
FISCAL YEAR 2021**

I. INTRODUCTION

This Memorandum of Understanding (“MOU”) is entered into between the Office of Risk Management, the buyer agency, (hereinafter “ORM”), and the Office of the Attorney General, the seller agency (hereinafter “OAG”), collectively referred to herein as the “Parties”, to pay the deposition and transcription costs and expert witness fees and related costs for workers’ compensation matters litigated by the Personnel and Labor Relations Section.

II. PROGRAM GOALS AND OBJECTIVES

The purpose of this MOU is to establish a mechanism for ORM to pay the actual costs charged to OAG for deposition and transcription services and expert witness fees for workers’ compensation matters litigated by the Personnel and Labor Relations Section (PLRS).

III. SCOPE OF SERVICES

Pursuant to the applicable authorities and in the furtherance of the shared goals of the Parties to carry out the purposes of this MOU expeditiously and economically, the Parties do hereby agree:

A. RESPONSIBILITIES OF ORM

1. ORM shall transfer FIFTY THOUSAND dollars and no cents (\$50,000.00) to OAG to pay the cost of deposition and transcription services and expert witness fees and related costs for workers’ compensation matters litigated by PLRS.

B. RESPONSIBILITIES OF OAG

1. OAG will use the funds to pay for depositions taken by PLRS and for expert witness services in workers’ compensation matters.
2. OAG shall ensure that the revenues for this project are reflected in the budget entry budget line item detail screen with the appropriate accumulators. The funds will not exceed FIFTY THOUSAND dollars and no cents (\$50,000.00).

3. OAG must establish an agency internal service fund with a corresponding index that ties to the fund and agency organizational structure.
4. OAG must provide the projected cost of providing the services under this MOU. This detail shall be provided on the Intra-District Standard Request Form (IDSRF).
5. OAG shall pay all invoices and submit copies of all invoices to ORM up to the amount of this MOU for its records.
6. OAG shall promptly upon receipt transmit to ORM copies of all deposition transcripts and expert reports obtained through expenditure of funds transferred to OAG pursuant to this MOU.
7. OAG shall not incur any travel expense to be paid under this MOU in connection with the performance of the services described herein without prior approval of ORM.

IV. DURATION OF MOU

- A. The period of this MOU shall be from the last date signed by Parties, through September 30, 2021, unless terminated in writing by the Parties prior to the expiration.
- B. The Parties may extend the term of this MOU by exercising a maximum two-year (2) option period. ORM shall provide written notice of its intent to renew an option period prior to the expiration of the MOU.

V. AUTHORITY FOR MOU

D.C. Official Code § 1-301.01(k).

VI. FUNDING PROVISIONS

A. COST OF SERVICES

1. Total cost for services under this MOU shall not exceed FIFTY THOUSAND dollars and no cents (\$50,000.00) for Fiscal Year 2021. Funding for the litigation costs shall not exceed the actual cost of the deposition and transcription fees.
2. In the event of termination of the MOU, payment to OAG shall be held in abeyance until all required fiscal reconciliation, but not later than September 30 of the current fiscal year.

B. PAYMENT

1. Payment for all of the goods and services shall be made through an Intra-District transfer of funds by ORM to OAG based on the total amount of this MOU.
2. OAG services to be provided shall not exceed the amount of this MOU.
3. The Parties' Directors or their designees shall resolve all adjustments and disputes arising from services performed under this MOU. In the event that the Parties are unable to resolve a financial issue, the matter shall be referred to the D.C. Office of Financial Operations and Systems.
4. In the event that funds remain unobligated and unexpended at the termination of this MOU, those funds shall be returned to ORM within thirty (30) days of the expiration of the then current fiscal year.

C. ANTI-DEFICIENCY CONSIDERATIONS

The Parties acknowledge and agree that their respective obligations to fulfill financial obligations of any kind pursuant to any and all provisions of this MOU, or any subsequent agreement entered into by the parties pursuant to this MOU, are and shall remain subject to the provisions of (i) the federal Anti-Deficiency Act, 31 U.S.C. §§1341, 1342, 1349, 1351, (ii) the District of Columbia Anti-Deficiency Act, D.C. Official Code §§ 47-355.01-355.08 (2001), (iii) D.C. Official Code § 47-105 (2001), and (iv) D.C. Official Code § 1-204.46 (2006 Supp.), as the foregoing statutes may be amended from time to time, regardless of whether a particular obligation has been expressly so conditioned.

VII. COMPLIANCE AND MONITORING

As this MOU is funded by District of Columbia funds, OAG will be subject to scheduled and unscheduled monitoring reviews to ensure compliance with all applicable requirements.

VIII. RECORDS AND REPORTS

OAG shall maintain records and receipts for the expenditure of all funds provided for a period of no less than three years from the date of expiration or termination of the MOU and, upon the District of Columbia's request, make these documents available for inspection by duly authorized representatives of ORM and other officials as may be specified by the District of Columbia at its sole discretion.

IX. CONFIDENTIAL INFORMATION

The Parties to this MOU will use, restrict, safeguard and dispose of all information related to services provided by this MOU, in accordance with all relevant federal and local statutes, regulations, and policies. Information received by either Party in the performance of responsibilities associated with the performance of this MOU shall remain the property of OAG.

X. TERMINATION

Either Party may terminate this MOU in whole or in part by giving thirty (30) calendar days advance written notice to the other Party.

XI. NOTICE/CONTACT PERSONS:

Office of Attorney General:

Tarifah Coaxum
Chief Administrative Officer
Office of Attorney General
441 – 4th Street, N.W., 11th Floor South
Washington, D.C. 20001
Tele: (202) 724-5508
Fax: (202) 741-8819
Tarifah.coaxum@dc.gov

Office of Risk Management

Michael Krainak
General Counsel
441 – 4th Street, N.W., 8th Floor
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XII. MODIFICATIONS

The terms and conditions of this MOU may be modified only upon prior written agreement by the Parties.

XIII. MISCELLANEOUS

The Parties shall comply with all applicable laws, rules and regulations whether now in force or hereafter enacted or promulgated.

IN WITNESS WHEREOF, the Parties hereto have executed this MOU as follows:

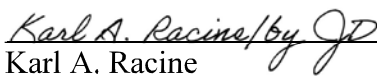
OFFICE OF RISK MANAGEMENT



Jed Ross
Chief Risk Officer

Date: 01/14/2021

DISTRICT OFFICE OF ATTORNEY GENERAL



Karl A. Racine
Attorney General for the District of Columbia

Date: 01/14/2021

ATTACHMENT 10

Agency Budgets

RK0 - Office of Risk Management

Approp Fund	Approp Fund Title	Program Code 2	Program Code 2 Title	Program Code 3	Program Code 3 Title	2020 Revised Budget	2020 Total Expenditures	2020 Variance	FY2020 Variance Explanation	2021 Approved Budget	2021 Total Expenditures
100	LOCAL FUND	1000	AGENCY MANAGEMENT	1010	PERSONNEL	245,461.22	128,271.09	117,190.13	The variance is due to PS and NPS savings. The total PS savings for the agency is \$101,635, and the PS savings balance under this program(1000) is used to offset PS deficit in other agency programs. NPS budget surplus is due to savings from contractual services and office supplies	125,109.55	32,645.85
				1050	FINANCIAL MANAGEMENT	606,436.09	434,572.76	171,863.33		386,167.00	2,274.00
				1055	RISK MANAGEMENT	192,396.94	13,053.49	179,343.45		179,541.31	24,663.90
				1085	CUSTOMER SERVICE	317,284.44	-	317,284.44		-	-
			AGENCY MANAGEMENT PROGRAM	1090	PERFORMANCE MANAGEMENT	1,168,008.67	1,158,023.28	9,985.39		1,376,944.36	279,711.11
				1055	RISK MANAGEMENT	-	-	-			
		1000 Total				2,529,587.36	1,733,920.62	795,666.74		2,067,762.22	339,294.86
		2100	RISK PREVENTION AND SAFETY (RPS)	2110	RISK INSPECTIONS AND COORDIN. OF ARMRS	329,120.02	389,355.02	(60,235.00)	The deficit is due to realignment of FTEs. PS deficit under this program is offset by the surplus under program code 1000	344,046.28	98,727.89
				2120	RISK ANALYSIS	345,382.08	319,435.75	25,946.33		235,066.80	39,006.44
		2100 Total				674,502.10	708,790.77	(34,288.67)		579,113.08	137,734.33
		3100	INSURANCE PROGRAM	3110	INSURANCE ANALYSIS	-	145,643.11	(145,643.11)	The deficit is due to realignment of FTEs. PS deficit under this program is offset by the surplus under program code 1000	60,167.17	48,369.00
		3100 Total				-	145,643.11	(145,643.11)		60,167.17	48,369.00
		4100	PUBLIC SECTOR WORKER'S COMPENSATION	4110	CLAIMS EXAMINATION AND MGMT	64,366.00	457,173.06	(392,807.06)	The deficit is due to realignment of FTEs. PS deficit under this program is offset by the surplus under program code 1000	426,833.81	108,223.98
				4120	RETURN TO WORK	-	(4,713.14)	4,713.14		-	-
		4100 Total				64,366.00	452,459.92	(388,093.92)		426,833.81	108,223.98
		6100	TORT LIABILITY PROGRAM	6110	CLAIMS EXAMINATION	1,075,300.54	1,042,497.02	32,803.52	The variance is due to vacancy savings	1,132,507.30	264,705.36
		6100 Total				1,075,300.54	1,042,497.02	32,803.52		1,132,507.30	264,705.36
Grand Total						4,343,756.00	4,083,311.44	260,444.56		4,266,383.58	898,327.53

BG0 -Employee Compensation Fund

Approp Fund	Approp Fund Title	Program Code 2	Program Code 2 Title	Program Code 3	Program Code 3 Title	2020 Revised Budget	2020 Total Expenditures	2020 Variance	FY 2020 Variance Explanation	2021 Approved Budget	2021 Total Expenditures
100	LOCAL FUND	0010	DISABILITY COMPENSATION FUND	1000	DISABILITY COMPENSATION FUND	21,762,404.00	21,176,875.35	585,528.65	Variance is due to savings from injured workers indemnity payment	22,146,568.76	3,756,440.80
Grand Total						21,762,404.00	21,176,875.35	585,528.65		22,146,568.76	3,756,440.80

RJ0 - Captive Insurance Agency

Approp Fund	Approp Fund Title	Program Code 2	Program Code 2 Title	Program Code 3	Program Code 3 Title	2020 Revised Budget	2020 Total Expenditures	2020 Variance	FY 2020 Variance Explanation	2021 Approved Budget	2021 Total Expenditures
0100	LOCAL FUND	2000	CAPTIVE OPERATIONS	2001	OVERSIGHT GROWTH AND INCOME STRATEGY AND MGMT	2,964,671.20	2,887,620.40	77,050.80	Variance is due to savings from contractual services and office supplies	3,225,303.51	38,393.53
				2002		457,545.80	408,809.73	48,736.07		519,168.97	132,170.21
		2000 Total				3,422,217.00	3,296,430.13	125,786.87		3,744,472.48	170,563.74
0100 Total						3,422,217.00	3,296,430.13	125,786.87		3,744,472.48	170,563.74
0600	SPECIAL PURPOSE REVENUE FUNDS ('O' TYPE)	2000	CAPTIVE OPERATIONS	2001	OVERSIGHT GROWTH AND INCOME STRATEGY AND MGMT	-	-	-	The variance is due to unspent O-type fund allocated to non-personnel services		
				2002		756,308.25	-	756,308.25		667,501.66	55,260.06
		2000 Total				756,308.25	-	756,308.25		667,501.66	55,260.06
0600 Total						756,308.25	-	756,308.25		667,501.66	55,260.06
0700	OPERATING INTRA-DISTRICT FUNDS	2000	CAPTIVE OPERATIONS	2001	OVERSIGHT GROWTH AND INCOME STRATEGY AND MGMT	7,054.06	7,004.66	49.40			
				2002							
		2000 Total				7,054.06	7,004.66	49.40			
0700 Total						7,054.06	7,004.66	49.40			
0750	CAPITAL INTRA DISTRICT FUNDS	2000	CAPTIVE OPERATIONS	2001	OVERSIGHT	438,099.40	419,659.25	18,440.15	Intra-district budget authority unused by the agency. Planned spending is higher than the actual spending	-	101,398.53
						438,099.40	419,659.25	18,440.15		-	101,398.53
		2000 Total				438,099.40	419,659.25	18,440.15		-	101,398.53
0750 Total						438,099.40	419,659.25	18,440.15		-	101,398.53
Grand Total						4,623,678.71	3,723,094.04	900,584.67		4,411,974.14	327,222.33

ZH0 - Settlements and Judgment Fund

Approp Fund	Approp Fund Title	Program Code 2	Program Code 2 Title	Program Code 3	Program Code 3 Title	2020 Revised Budget	2020 Total Expenditures	2020 Variance	FY 2020 Variance Explanation	2021 Approved Budget	2021 Total Expenditures
0100	LOCAL FUND	1100	SETTLEMENT AND JUDGMENTS			16,477,766.00	16,153,580.84	324,185.16	Variance is due to savings achieved from cases projected to be paid by fiscal year end that did not settle.	28,024,759.00	3,095,516.37
		1100 Total				16,477,766.00	16,153,580.84	324,185.16		28,024,759.00	3,095,516.37
0100 Total						16,477,766.00	16,153,580.84	324,185.16		28,024,759.00	3,095,516.37

ATTACHMENT 11

**OFFICE OF RISK MANAGEMENT
FY 20 REPROGRAMMINGS**

To Agency	From Agency	Amount	Date Complete	Rationale
Various	RK0 -Office of Risk Management	(368,898.00)	08/05/20	FY2020 Supplemental Budget Reduction to cover COVID-19 expenditures in other Agencies.
RJ0 -Captive Insurance Agency	Contingency Reserve Fund	1,378,000.00	03/13/20	Reprogramming/ CRF to pay for real property Insurance renewal
Various	RJ0 -Captive Insurance Agency	(107,966.00)	08/05/20	FY2020 Supplemental Budget Reduction to cover COVID-19 expenditures in other Agencies.
PO0 - Office of Contracts and Procurement	RJ0 -Captive Insurance Agency	(132,503.00)	09/25/20	Reprogramming of Special Purpose Budget Authority to PO0 - OCP, this does not involve a transfer of revenue.
Various	BG0 -Workers Compensation Program	(3,789,438.00)	08/05/20	FY2020 Supplemental Budget Reduction to cover COVID-19 expenditures in other Agencies.
BG0 -Workers Compensation Program	BG0 -Workers Compensation Program	68,800.00	08/17/20	The funds are needed to cover COVID-19 Per Diem and teleworking equipment expenses for employees.
Various	ZH0 - Settlement and Judgment Fund	871,993.00	08/05/20	FY 2020 Supplemental Budget Reduction to cover COVID-19 expenditures to other Agencies.
PO0 - Office of Contracts and Procurement	ZH0 - Settlement and Judgment Fund	675,000.00	09/30/20	The funding was needed to cover salary and fringe benefit costs in procurement operations.
Various	ZH0 - Settlement and Judgment Fund	10,000,000.00	09/30/20	The funding was needed to cover year end spending shortfalls.

**OFFICE OF RISK MANAGEMENT
FY 21 REPROGRAMMINGS**

To Agency	From Agency	Amount	Date Complete	Rationale
None				

ATTACHMENT 12

**Office of Risk Management
Contracts**

FY 20 Contracts

Contractor/ Vendor Name (A)	Nature of Contract, Including End Product/Service (B)	Dollar Amount of Contract - Budgeted (C)	Dollar Amount of Contract - Actually Spent (C)	Term of Contract (D)	Competitively Bid (Yes / No) (E)	Contract Monitor (F)	Results of Monitoring Activity (F)	Funding Source (G)
BRIAR PATCH SHREDDING	For continuation of shredding services for confidential documents.	\$2,500.00	\$700.00	10/1/2019 - 9/30/2020	Yes	Valerie Evans	Services are ongoing, no issues to report.	RK0
CAPITOL DOCUMENT SOLUTIONS LLC	Continuation of maintenance, repair and supplies for office Kycocera copiers, fax and printers	\$13,420.00	\$13,420.00	10/01/2019 - 9/30/2020	Exempt from Competition	Valerie Evans	The period of performance for this fiscal has been completed. No issues to reports.	RK0
CCC INFORMATION SERVICES	For the continuation of online motor vehicle valuations services for ORM Tort Liability claims.	\$20,000.00	\$7,319.00	10/1/2019 - 9/30/20	Exempt from Competition	Peter Clark	Services are ongoing, no issues to report.	RK0
COMMERCIAL INDEX BUREAU INC.	Contractor provides investigative services for the workers' compensation program for claimants living inside the DC metropolitan area and throughout the nation as needed.	\$197,745.00	\$39,548.75	Option year 1 : 8/21/2019 - 8/20/2020; Option year 2: 8/21/2022 - 8/20/2021	Yes	Robert Rowe / Sam Yeung	The contract is ongoing, no issues to report.	BG0
COMPUTER AID INC	Continuation of pipeline contract for Business System Architect - Master	\$304,800.00	\$298,246.80	10/1/2019 - 9/30/2020	Yes	Sam Yeung	The contract is ongoing, no issues to report.	BG0/RK0
CORPORATE SYSTEMS RESOURCES	New temporary contract for two Customer Service Representative II.	\$55,656.80	\$48,542.57	Base Year: March 6, 2020 - March 5, 2021	Yes	Melbert Carlos	The contract is ongoing, no issues to report.	BG0/RK0
DELL MARKETING L.P.	Dell Latitude 7400 16 GB RAM laptops, Dell Latitude 7400 32 GB RAM, Dell Docking WD19 90w docking stations	\$65,584.00	\$65,584.00	9/10/2020 - 9/30/202	Yes	Valerie Evans	The items were delivered on time and the PO was closed out.	BG0
DH Lloyd	Marketing, acquisition, and servicing of insurance policies for Fine Arts and Builder's Risk - MacFarland Middle School, Coolidge High School, and Marie Reed Elementary School	Insurance Policies: as insurance market dictates, limited to Captive Insurance Agency budget and receipt of monies from other agencies via MOUs Consultation Services: not to exceed \$950,000	\$0.00	Base Year: 03/04/19 - 03/03/20; Option Year 1: 03/04/20 - 03/03/21	Yes	Jane Waters	The selection of competent broker and maintaining a long-term relationship ultimately result in better premiums for the District government / taxpayers	RJ0

**Office of Risk Management
Contracts**

FY 20 Contracts

Contractor/ Vendor Name (A)	Nature of Contract, Including End Product/Service (B)	Dollar Amount of Contract - Budgeted (C)	Dollar Amount of Contract - Actually Spent (C)	Term of Contract (D)	Competitively Bid (Yes / No) (E)	Contract Monitor (F)	Results of Monitoring Activity (F)	Funding Source (G)
ENDICOTT COMMUNICATIONS INC	Continuation of funding for the remainder of option year 1, beginning of option year 2 for call center services to receive calls from injured District employees or their representatives, supervisors or other appropriate District officials who report injuries to District employees. Services are needed during the hours of 5:00pm-8:30am Monday through Friday and on a 24-hour basis on weekends and District government holidays.	\$10,200.00	\$10,200.00	Option year 2: 07/3/2019 - 07/02/2020; Option year 3: 07/03/20 - 07/2/2021.	Yes	Jennifer Arnic	The contract is ongoing, no issues to report.	BG0
MANAGED MEDICAL REVIEW ORG	Funding to continue option year 3. This is a BPA contract to conduct independent medical reviews for the Tort Liability Program. The provider will evaluate bodily injury claims with extensive medical records. Period of performance is October 1, 2019 - September 30, 2020	\$6,000.00	\$0.00	Option year 3: 9/27/2019 - 9/26/2020	Exempt from Competition	Peter Clark	The contract was not renewed for the next option year.	RK0
MCG HEALTH LLC	Online subscription and 20 licenses for the Official Disabilities Guide (ODG) for medical treatment recommendations.	\$9,999.00	\$9,999.00	5/11/2020 - 5/10/2021	Exempt from Competition	Jennifer Arnic	Services are ongoing, no issues to report.	BG0
MIDTOWN PERSONNEL INC.	Temporary services for an on-site IT Support Technician Level II, to support the daily technical support/operations of the Office of Risk Management (ORM).	\$26,655.28	\$16,584.96	2/08/2019 - 1/08/2020	Yes	Melbert Carlos	The contract ended on January 8, 2020. No issues to report.	RK0
MITCHELL INTERNATIONAL	Continuation of funding for pharmacy/ DME services for injured workers for remainder of option year 1.	\$450,000.00	\$167,912.96	Option year 1: 6/13/2019 - 6/12/2020	Yes	Jennifer Arnic	The contract is ongoing. ORM reviews each expenditures monthly for cost savings and misuse of prescriptions.	BG0
MITCHELL INTERNATIONAL	Funding for the continuation of bill review services for the workers' compensation program for the remainder of option year 1.	\$150,000.00	\$79,266.91	Option year 1: 6/06/2019 - 6/05/2020	Yes	Jennifer Arnic	The contract is ongoing. ORM reviews each invoice for every cost monthly for cost savings.	BG0
MITCHELL INTERNATIONAL	Funding for the continuation of bill review services to process bills for workers' compensation claimants for the beginning of option year 2.	\$56,000.00	\$22,947.67	Option year 2: 6/08/2020 - 6/07/2021	Yes	Jennifer Arnic	The contract is ongoing. ORM reviews each invoice for every cost monthly for cost savings.	BG0
MITCHELL INTERNATIONAL	Continuation of funding for pharmacy services/DME services for injured workers for the beginning of option year 2.	\$100,000.00	\$75,702.06	Option year 2: 6/14/2020 - 6/13/2021	Yes	Jennifer Arnic	The contract is ongoing. ORM reviews each expenditures monthly for cost savings and misuse of prescriptions.	BG0

**Office of Risk Management
Contracts**

FY 20 Contracts

Contractor/ Vendor Name (A)	Nature of Contract, Including End Product/Service (B)	Dollar Amount of Contract - Budgeted (C)	Dollar Amount of Contract - Actually Spent (C)	Term of Contract (D)	Competitively Bid (Yes / No) (E)	Contract Monitor (F)	Results of Monitoring Activity (F)	Funding Source (G)
NAPHSIS	Funding for continuation of access to the Electronic Verification of Vital Events (EVVE) Fact of Death database to check records against all participating state death records databases simultaneously to prevent fraud for the workers' compensation claim adjudication process.	\$400.00	\$22.40	10/1/2019 - 9/30/20	Exempt from Competition	Robert Rowe / Sam Yeung	The period of performance for this fiscal has been completed. Services will not be renewed in FY 21.	BG0
ORIGAMI RISK LLC	Funding for the continuation of service, licenses, system development, maintenance, CMS filing, interface, and support hours of the Enterprise Risk Management System known as ERisk for the remainder of option year 1. The contract cannot exceed \$950,000.00.	\$150,000.00	\$78,989.75	Option year 1 : 2/16/2019 - 2/15/2020	Yes	Sam Yeung	The contract is ongoing, no issues to report.	BG0/RK0
ORIGAMI RISK LLC	Funding for the continuation of service, licenses, system development, support hours, and maintenance of the Enterprise Risk Management System known as ERisk for the beginning of option year 2. The contract cannot exceed \$950,000.00.	\$336,800.25	\$309,354.53	Option year 2 : 2/16/2020 - 2/15/2021	Yes	Jennifer Arnic	The contract is ongoing, no issues to report.	BG0/RK0
PITNEY BOWES	For postage meter rental/maintenance service for Pitney Bowes DL200 Letter Opener and Pitney Bowes SendPro P1500 postage machine.	\$2,637.49	\$2,562.49	10/1/2019 - 9/30/20	Exempt from Competition	Valerie Evans	Services are ongoing, no issues to report. Invoices are billed quarterly.	RK0
PRM CONSULTING INC	Funding for the continuation of actuarial services and study of the District of Columbia Public Sector Workers Compensation Program (PSWCP) and the Tort Liability Program.	\$100,000.00	\$99,958.76	Option year 3: 0/17/2019 - 10/16/2020	Yes	Tammy Hagin	The contract is ongoing, no issues to report.	BG0/RK0
RCM&D	<ul style="list-style-type: none"> • Support materials for meetings with potential insurance carriers • Review of insurance template for District contracts • Counsel and quotes on possible special insurance policies for the District • Marketing, acquisition, and servicing of insurance policies for Real Property, Terrorism, NCBR, Cyber Security, Kidnap and Ransom, Fine Arts, and Builder's Risk for Kimball Elementary, OCTO's Back-Up Generator, Capitol View Library, Southwest Library, and Maury Elementary 	<p>Insurance Policies: as insurance market dictates, limited to Captive Insurance Agency budget and receipt of monies from other agencies via MOUs</p> <p>Consultation Services: not to exceed \$950,000</p>	<p>\$3,243,792.37</p> <p>(Includes premium payments to insurance carriers)</p>	<p>Option Year 2: 06/06/19 - 06/05/20; Option Year 3: 06/06/20 - 06/05/21</p>	Yes	Jane Waters	The selection of competent broker and maintaining a long-term relationship ultimately result in better premiums for the District government / taxpayers	RJ0

**Office of Risk Management
Contracts**

FY 20 Contracts

Contractor/ Vendor Name (A)	Nature of Contract, Including End Product/Service (B)	Dollar Amount of Contract - Budgeted (C)	Dollar Amount of Contract - Actually Spent (C)	Term of Contract (D)	Competitively Bid (Yes / No) (E)	Contract Monitor (F)	Results of Monitoring Activity (F)	Funding Source (G)
RCM&D	<ul style="list-style-type: none"> • Medical Malpractice Certificates and servicing of Insurance for Health Clinics • Actuarial Opinion of Captive Insurance Agency for DISB filing • Captive Insurance Agency's Financial Statements for DISB filing 	Consultation Services: not to exceed \$950,000	\$24,914.40	Option Year 2: 03/13/19 - 03/12/20; Option Year 3: 03/13/20 - 03/12/21	Yes	Jane Waters	Engaging a licensed broker permits the Captive to issue the required medical malpractice policy and provide support for related claims.	RJ0
RELX Inc.	Service agreement for the continuation of LexisNexis Advance online legal/research services for Peter Clark and Justin Orrison and Accurant services for Audrey Townsend/Jessika Boone.	\$6,324.00	\$6,324.00	10/01/2019 - 9/30/2020	Exempt from Competition	Valerie Evans	The period of performance for this fiscal has been completed. Accurant services will not be renewed in FY 21.	BG0/RK0
STATE RISK AND INSURANCE	2020 Annual Membership for the State Risk and Insurance Management Association (STRIMA). STRIMA brings together risk and insurance managers of state governments into an organization to promote the advancement of risk management principles and practices in the public sector. The state membership exchanges information, facilitates training opportunities and promotes the highest level of professional and ethical standards.	\$500.00	\$500.00	2/15/2020 - 2/14/2021	Exempt from Competition	Valerie Evans	Services are ongoing, no issues to report.	RK0
TRANSUNION RISK AND ALTERNATIVE	Funding for continuation of TransUnion's TLOxp skip tracing, investigative research and risk management online search.	\$4,200.00	\$4,200.00	10/01/2019 - 9/30/2020	Exempt from Competition	Robert Rowe / Sam Yeung	Services are ongoing, no issues to report.	BG0
Trismegistus Group LLC	New IT Support Technicians I & II for beginning of the base year.	\$94,272.48	\$88,944.92	Base year: 2/19/2020 - 2/18/2021	Yes	Melbert Carlos	Services for this contract continued in FY 21, but was not renewed for the first option year.	BG0/RK0
VTECH SOLUTION INC.	Temporary services for General Clerk II.	\$50,756.16	\$17,071.60	Base year: 5/10/2019 - 5/09/2020	Yes	Melbert Carlos	The contract ended on May 9, 2020 due to budget restraints related to COVID. No issues to report.	RK0
WEST PUBLISHING CORP	For continuation of Westlaw online legal search for legal staff.	\$12,480.00	\$12,480.00	10/01/2019 - 9/30/2020	Exempt from Competition	Valerie Evans	The period of performance for this fiscal has been completed. No issues to reports.	RK0

**Office of Risk Management
Contracts**

FY 21 Contracts

Contractor/ Vendor Name (A)	Nature of Contract, Including End Product/Service (B)	Dollar Amount of Contract - Budgeted (C)	Dollar Amount of Contract - Actually Spent (C)	Term of Contract	Competitively Bid (Yes / No) (E)	Contract Monitor (F)	Results of Monitoring Activity (F)	Funding Source (G)
BRIAR PATCH SHREDDING	For continuation of shredding services for confidential documents.	\$2,500.00	\$0.00	10/1/2020 - 9/30/2021	Yes	Valerie Evans	Services are ongoing, no issues to report.	RK0
CAPITOL DOCUMENT SOLUTIONS LLC	Continuation of maintenance, repair and supplies for office Kycocera copiers, fax and printers	\$13,420.00	\$13,420.00	10/1/2020 - 9/30/2021	Exempt from Competition	Valerie Evans	Services are ongoing, no issues to report.	BG0
CCC INFORMATION SERVICES	For the continuation of online motor vehicle valuations services for ORM Tort Liability claims.	\$12,000.00	\$2,742.00	10/1/2020 - 9/30/2021	Exempt from Competition	Peter Clark	Services are ongoing, no issues to report.	RK0
COMMERCIAL INDEX BUREAU INC.	Contractor provides investigative services for the workers' compensation program for claimants living inside the DC metropolitan area and throughout the nation as needed.	\$199,820.00	\$14,527.50	Option year 2: 8/21/2020 - 8/20/2021; Option year 3: 8/21/2021 - 8/20/2022	Yes	Sam Yeung	The contract is ongoing, no issues to report.	BG0
COMPUTER AID INC	Continuation of pipeline contract for Business System Architect - Master	\$294,736.32	\$76,939.20	10/1/2020 - 9/30/2021	Yes	Sam Yeung	The contract is ongoing, no issues to report.	BG0
CORPORATE SYSTEMS RESOURCES	Funding for the continuation of two (2) temporary Customer Service Representative II.	\$98,568.96	\$29,111.87	Base Year: March 6, 2020 - March 5, 2021; Option year 1: March 6, 2021 - March 5, 2022	Yes	Melbert Carlos	The contract is ongoing, no issues to report. Option year 1 will be renewed March 2021.	BG0
DH Lloyd	Marketing, acquisition, and servicing of insurance policies for Fine Arts and Builder's Risk - MacFarland Middle School, Coolidge High School, and Marie Reed Elementary School	Insurance Policies: as insurance market dictates, limited to Captive Insurance Agency budget and receipt of monies from other agencies via MOUs Consultation Services: not to exceed \$950,000	\$0.00	Option Year 1: 03/04/20 - 03/03/21	Yes	Jane Waters	The selection of competent broker and maintaining a long-term relationship ultimately result in better premiums for the District government / taxpayers	RJ0
ENDICOTT COMMUNICATIONS INC	Continuation of funding for the remainder of option year 2, beginning of option year 3 for call center services to receive calls from injured District employees or their representatives, supervisors or other appropriate District officials who report injuries to District employees. Services are needed during the hours of 5:00pm-8:30am Monday through Friday and on a 24-hour basis on weekends and District government holidays.	\$15,000.00	\$4,250.00	Option year 2: 07/3/2020 - 07/02/2021; Option year 3: 07/03/21 - 07/2/2022.	Yes	Jennifer Arnic	The contract is ongoing, no issues to report.	BG0

**Office of Risk Management
Contracts**

FY 21 Contracts

Contractor/ Vendor Name (A)	Nature of Contract, Including End Product/Service (B)	Dollar Amount of Contract - Budgeted (C)	Dollar Amount of Contract - Actually Spent (C)	Term of Contract	Competitively Bid (Yes / No) (E)	Contract Monitor (F)	Results of Monitoring Activity (F)	Funding Source (G)
MCG HEALTH, LLC	Continuation of online services for the Official Disabilities Guide (ODG) for workers' compensation program	\$9,999.00	\$0.00	5/11/2021 - 5/10/2022 (This will not be issued until May 2021)	Exempt from Competition	Jennifer Arnic	Services are ongoing, ORM intends to renew contract agreement for continuation of services.	BG0
MITCHELL INTERNATIONAL	Funding for the continuation of bill review services for the workers' compensation program. This contract is not exceed \$250,000.00.	\$250,000.00	\$15,223.74	Remainder of Option year 2: 6/08/2020 - 6/07/2021; beginning of Option year 3: 6/08/2021 - 6/07/2022	Yes	Jennifer Arnic	The contract is ongoing. ORM reviews each invoice for every cost monthly for cost savings.	BG0
MITCHELL INTERNATIONAL	Continuation of funding for pharmacy/ DME services for injured workers. This contract is not to exceed \$650,000.00.	\$650,000.00	\$56,923.26	Remainder of Option year 2: 6/14/2020 - 6/13/2021; beginning of Option year 3: 6/14/2021 - 6/13/2022	Yes	Jennifer Arnic	The contract is ongoing. ORM reviews each expenditures monthly for cost savings and misuse of prescriptions.	BG0
ORIGAMI RISK LLC	Funding for the continuation of service, licenses, system development, maintenance, CMS filing, interface, and support hours of the Enterprise Risk Management System known as ERisk for the remainder of option year 2. The contract cannot exceed \$950,000.00	\$50,383.48	\$0.00	Option year 2: 2/16/2020 - 2/15/2021	Yes	Sam Yeung	The contract is ongoing, no issues to report.	BG0
ORIGAMI RISK LLC	Funding for the continuation of service, licenses, system development, maintenance, CMS filing, interface, and support hours of the Enterprise Risk Management System known as ERisk for the beginning of option year 3. The contract cannot exceed \$950,000.00	\$301,483.00	\$0.00	Option year 3: 2/16/21 - 2/15/2022	Yes	Sam Yeung	The contract is ongoing, no issues to report.	RK0/BG0
PITNEY BOWES	For postage meter rental/maintenance service for Pitney Bowes DL200 Letter Opener and Pitney Bowes SendPro P1500 postage machine.	\$2,637.49	\$0.00	10/1/2020 - 9/30/2021	Yes	Valerie Evans	Services are ongoing, no issues to report. Invoices are billed quarterly.	BG0
PRM CONSULTING INC	Funding for the continuation of actuarial services and study of the District of Columbia Public Sector Workers Compensation Program (PSWCP) and the Tort Liability Program.	\$100,000.00	\$83,383.86	Option year 4: 10/17/2020 - 10/16/2021	Yes	Tammy Hagin	The is the final option year for this contract. A new solicitation is in process for FY 22.	BG0

**Office of Risk Management
Contracts**

FY 21 Contracts

Contractor/ Vendor Name (A)	Nature of Contract, Including End Product/Service (B)	Dollar Amount of Contract - Budgeted (C)	Dollar Amount of Contract - Actually Spent (C)	Term of Contract	Competitively Bid (Yes / No) (E)	Contract Monitor (F)	Results of Monitoring Activity (F)	Funding Source (G)
RCM&D	<ul style="list-style-type: none"> • Support materials for meetings with potential insurance carriers • Review of insurance template for District contracts • Counsel and quotes on possible special insurance policies for the District • Marketing, acquisition, and servicing of insurance policies for Real Property, Terrorism, NCBR, Cyber Security, Kidnap and Ransom, Fine Arts, and Builder's Risk for Kimball Elementary, OCTO's Back-Up Generator, Capitol View Library, Southwest Library, and Maury Elementary 	Insurance Policies: as insurance market dictates, limited to Captive Insurance Agency budget and receipt of monies from other agencies via MOUs Consultation Services: not to exceed \$950,000	\$134,539.10 (Includes premium payments to insurance carriers)	Option Year 3: 06/06/20 - 06/05/21	Yes	Jane Waters	The selection of competent broker and maintaining a long-term relationship ultimately result in better premiums for the District government / taxpayers	RJ0
RCM&D	<ul style="list-style-type: none"> • Medical Malpractice Certificates and servicing of Insurance for Health Clinics • Actuarial Opinion of Captive Insurance Agency for DISB filing • Captive Insurance Agency's Financial Statements for DISB filing 	Consultation Services: not to exceed \$950,000	\$0.00	Option Year 3: 03/13/20 - 03/12/21	Yes	Jane Waters	Engaging a licensed broker permits the Captive to issue the required medical malpractice policy and provide support for related claims.	RJ0
RELX Inc.	Service agreement for the continuation of LexisNexis Advance online legal/research services for Peter Clark and Justin Orrison.	\$2,844.00	\$948.00	10/1/2020 - 9/30/2021	Exempt from Competition	Valerie Evans	Services are ongoing, no issues to report.	RK0
STATE RISK AND INSURANCE	2021 Annual Membership for the State Risk and Insurance Management Association (STRIMA). STRIMA brings together risk and insurance managers of state governments into an organization to promote the advancement of risk management principles and practices in the public sector. The state membership exchanges information, facilitates training opportunities and promotes the highest level of professional and ethical standards.	\$400.00	\$400.00	2/15/2021 - 2/14/2022	Exempt from Competition	Valerie Evans	Services are ongoing, no issues to report.	RK0
TBD	New contract for IT Support Technician II	\$53,664.00	\$0.00	TBD	Yes	Melbert Carlos	This contract has not been awarded yet.	BG0

**Office of Risk Management
Contracts**

FY 21 Contracts

Contractor/ Vendor Name (A)	Nature of Contract, Including End Product/Service (B)	Dollar Amount of Contract - Budgeted (C)	Dollar Amount of Contract - Actually Spent (C)	Term of Contract	Competitively Bid (Yes / No) (E)	Contract Monitor (F)	Results of Monitoring Activity (F)	Funding Source (G)
TBD	New actuarial services and study of the District of Columbia Public Sector Workers Compensation Program (PSWCP) and the Tort Liability Program in accordance with Governmental Accounting Standards Board Statement No. 10, and its amended Statement No. 30.	\$100,000.00	\$0.00	TBD	Yes	Tammy Hagin	This contract has not been awarded yet.	BG0/RK0
TRANSUNION RISK AND ALTERNATIVE	Funding for continuation of TransUnion's TLOxp skip tracing, investigative research and risk management online search.	\$4,200.00	\$1,400.00	10/1/2020 - 9/30/2021	Exempt from Competition	Sam Yeung	Services are ongoing, no issues to report.	BG0
Trismegistus Group LLC	Continuation of IT Support Technicians I & II for remainder of the base year.	\$57,302.88	\$27,445.36	Base year: 2/19/2020 - 2/18/2021	Yes	Melbert Carlos	The base year of the contract will end on 2/ 18/2021. ORM will not renew the option.	BG0/RK0
WEST PUBLISHING CORP	For continuation of Westlaw online legal search for legal staff.	\$13,104.00	\$0.00	10/1/2020 - 9/30/2021	Exempt from Competition	Valerie Evans	The contract is ongoing. The vendor has not billed us yet to due to an employee shortage in their billing department.	RK0

ATTACHMENT 13

Office of Risk Management
Litigations (FY 20 and FY 21, to date)

Appeal Date	Claimant	Tribunal	Docket Number	Type of Case	Summary
TBD	HUNT, DAVID	CRB	TBD	Appeal of OAH Order Reversing TTD Termination	Appeal to be filed before CRB
12/03/20	ATKINS, ALMA	DCCA	20-AA-525	ORM appealed CRB decision reversing ORM termination of benefits because CL reached MMI.	ORM appealed CRB decision reversing ORM termination of benefits because CL reached MMI and burden of proof standard. Briefing had just been filed last week at DCCA.
11/20/20	MCLAUGHLIN, EDWARD	CRB	CRB No. 20-049	Appeal of CRB Decision Reversing ORM Termination of TTD based on MMI	Appeal pending. No Briefing schedule yet
11/05/20	CARR, JACOB	DCCA	20-AA-0653	Appeal of termination of TTD Benefits	Litigation is ongoing. Briefing order issued on 2.21.21.
10/30/20	Griffin-Carter, Michelle	CRB	CRB No. 19-029	Appeal of modification of benefits to TPD	Appeal Pending in CRB.
10/27/20	Griffin-Carter, Michelle	DCCA	20-AA-0630	Appeal of CRB Decision Affirming NOD	In Briefing
09/28/20	Irick, Sandra	OAH	2020-PSWC-00077	Request for 2306a hearing	Litigation is ongoing. Next Event: status conference on April 27, 2021, at 10:30 a.m.
09/15/20	Lyles, Evelyn	DCCA	20-AA-0553	Appeal of OAH Decision applying Mahoney Standard	Appeal stayed on ORM Motion.
09/14/20	FRAZIER, FRANCINE	DCSC	2020 CA 002619 P(MPA)	Appeal of CRO decision dismissing Final Determination of Overpayment	ORM filed MTD, which is pending. Next Event: 08/27/2017 Status Hearing
09/14/20	Aglebe, Edith	OAH	2020-PSWC-00069	Appeal of Initial Determination	Litigation is ongoing, next event is pre-hearing
09/11/20	POSNER, LAURIE	OAH	2020-PSWC-00074	Appeal of Medical Benefits Termination	Litigation ongoing. Next Event: May 3, 2021, at 2:00 p.m.

Office of Risk Management
Litigations (FY 20 and FY 21, to date)

Appeal Date	Claimant	Tribunal	Docket Number	Type of Case	Summary
09/08/20	Jones, Treshawn	DCCA	20-AA-541	Appeal of OAH Decision Reversing ORM Denial of Claim Due to Misconduct	Briefing completed. Pending Decision
08/27/20	Williams, Felecia	OAH	2020-PSWC-00073	Request for 2306a hearing	Next Event: telephone status conference on March 26, 2021, at 10:00 a.m. Scheduling order to be entered
08/17/20	DUNCAN, LORETTA	OAH	2020-PSWC-00071	Appeal of termination of TTD Benefits	Litigation is ongoing. Discovery not completed. Next Event: Status Conference on 05/13/2021
08/11/20	MCLAUGHLIN, EDWARD	OAH	2020-PSWC-00068	Request for 2306a hearing	Litigation in progress.
08/10/20	WESTBROOK, ARNTRICE	OAH	2020-PSWC-00067	Request for 2306a hearing	Last Event: telephonic status conference on January 5, 2021, at 1:30 p.m. Schedule to be entered.
07/27/20	BASKERVILLE, VANCE	OAH	2020-PSWC-00064	Request for 2306a hearing	Litigation is ongoing. ORM filed motion to dismiss.
07/27/20	Beasley, Keith	OAH	2020-PSWC-00065	Appeal of termination of TTD Benefits	Litigation is ongoing. Next Event: status conference on April 8, 2021, at 10:00 a.m.
07/22/20	BRUMMELL, VERA	DCSC	2020 CA 003235 P(MPA)	Appeal of 9A Decision	Litigation is stayed pending hearing. Next Event: Hearing on 03/03/21. CL appealed Notice of Benefits Cap.
07/20/20	Duncan, Tina	DCSC	2020 CA 3193 P(MPA)	Appeal of Notice of 500- week benefit cap	Next Event: Scheduling Conf March 3, 2021 at 10am
07/19/20	Wills, Gwendolyn	OAH	2020-PSWC-00063	Appeal of Initial Decision	Litigation ongoing. Discovery has not been had. Next Event: telephonic mediation on March 24, 2021, at 10:00 a.m.
07/17/20	POSNER, LAURIE	DCSC	2020 CA 003171 P(MPA)	Appeal of CRO Decision Affirming Notice of Benefits Cap	Briefing completed. Pending decision.
06/11/20	SMITH, MARIE	OAH	2020-PSWC-00057	Appeal of Termination of TTD and Medical Benefits	Litigation is ongoing. Next Event: Status conference on April 26, 2021, at 10:00 a.m. e Order for telephone information.

Office of Risk Management
Litigations (FY 20 and FY 21, to date)

Appeal Date	Claimant	Tribunal	Docket Number	Type of Case	Summary
06/03/20	Gale, Melvin	OAH	2020-PSWC-00056	Request for 2306a hearing	Litigation is ongoing. Next Event: telephonic status conference on February 24, 2021, at 2:00 p.m.
06/02/20	Gaither, Elizabeth	DCSC	2020 CA 002686 P(MPA)	Appeal of 9A Affirming Forfeiture for failure to comply with Report of Earnings	Appeal pending. Briefing completed. Waiting on Order.
06/01/20	THORNTON, SONIA	OAH	2020-PSWC-00060	Request for 2306a hearing	Litigation ongoing. Next event: Mediation to be rescheduled
05/29/20	Gaither, Elizabeth	OAH	2020-PSWC-00058	Appeal of termination of TTD Benefits	Litigation on going. Last Event: Mediation
05/22/20	Barnes, Haydee	OAH	2020-PSWCP-00059	Request for 2306a hearing	Litigation is pending dismissal. Notice of cap was rescinded.
05/22/20	Broxton, John	OAH	2020-PSWC-00054	Request for 2306a hearing	Litigation is ongoing. Respondent's Motion to Dismiss was Denied last week. Next Event: Discovery.
05/22/20	Duncan, Tina	OAH	2020-PSWC-00049	Request for 2306a hearing	Litigation is ongoing. MTD pending
05/19/20	JOHNSON, KELVIN	OAH	2020-PSWC-00055	Request for 2306a hearing	Litigation is ongoing. Discovery is next event.
05/19/20	WILLIAMS, PEGGY	OAH	2020-PSWC-00051	Request for 2306a hearing	MTD RE PTD Pending since 8.13.20
05/11/20	KEYE, WILLIE	OAH	2020-PSWC-00047	Request for 2306a hearing	Litigation is ongoing. Next Event: telephone status conference via Webex with the parties will be held on March 3, 2021 at 11:30 a.m. and ORDERED, that, Discovery cutoff is March 1, 2021.
05/08/20	LOPEZ, HENRY	OAH	2020-PSWC-00041	Request for 2306a hearing	Litigation ongoing. Pending ORM Motion to Dismiss PTD issue.
05/08/20	LYNCH, ZACHARY	OAH	2020-PSWC-00045	Request for 2306a hearing	Litigation is ongoing. Discovery has not been had.
05/08/20	WASHINGTON-BURNEY, LATRICE	OAH	2020-PSWC-00046	Appeal of termination of TTD Benefits	Litigation ongoing. Last Event: Mediation. Scheduling order to issue.
05/06/20	SCOTT-WARD, PATRICIA	OAH	2020-PSWC-00038	Request for 2306a hearing	Litigation ongoing. Next Event: April 7, 2021 at 9:30 am

Office of Risk Management
Litigations (FY 20 and FY 21, to date)

Appeal Date	Claimant	Tribunal	Docket Number	Type of Case	Summary
05/06/20	SIMMONS, BLANCHE M	OAH	2020-PSWC-00040	Appeal of termination of TTD Benefits	Litigation ongoing. Next Event: April 5, 2021 at 2:00 p.m.
05/06/20	STACKHOUSE, BURNICE	OAH	2020-PSWC-00048	Request for 2306a hearing	Litigation ongoing. ORM motion recently denied. Discovery Next.
05/05/20	BRUMMELL, VERA	OAH	2020-PSWC-00043	Request for 2306a hearing	Litigation is ongoing. No discovery has been had.
05/05/20	Richardson, Donna	OAH	2020-PSWC-00011	Appeal of Medical Benefits Termination	Litigation is ongoing. Discovery has not been had.
05/01/20	CAMP, PATRICIA	OAH	2020-PSWC-00039	Request for 2306a hearing	Litigation is ongoing. ORM filed MTD. Next event: ORM reply must be filed by March 1, 2021.
05/01/20	THOMAS, CORINNE	OAH	2020-PSWC-00062	Request for 2306a hearing	MSJ Pending. Litigation ongoing.
04/22/20	Griffin-Carter, Michelle	CRB	CRB No. 20-040	Appeal of modification of benefits to TPD	CRB Decision has been appealed to to DCCA by CL
04/22/20	FRAZIER, FRANCINE	OAH	2020-PSWC-00037	Appeal of Medical Benefits Termination	Litigation is ongoing. This matter was remanded from CRB.
03/26/20	CARMON, JEFFREY	OAH	2020-PSWC-00033	Appeal of termination of TTD Benefits	Litigation is ongoing. Next event: video or telephonic evidentiary hearing on March 29, 2021, at 10:00 a.m.
03/19/20	JOHNSON, DONNA	OAH	2020-PSWC-00032	Appeal of termination of TTD Benefits	Litigation is ongoing.
03/13/20	Fuller, Linda	DCSC	2020 CA 001834 P(MPA)	Appeal of 9A Affirming denial of Schedule award Request	Litigation ongoing. Next Event: Status hearing 3/26/21 @ 10 am
03/11/20	BUITRAGO, LUIGI	OAH	2020-PSWC-00028	Appeal of CRO Decision Affirming NOB	Litigation is ongoing. ORM filed MTD. Next Event: Claimant shall file his response to the Motion. ORM Reply due March 29, 2021.
03/09/20	GREEN, JAMES	OAH	2020-PSWC-00024	Appeal of termination of TTD Benefits	Litigation is ongoing.
03/05/20	King, Aaron	OAH	2020-PSWC-00023	Appeal of termination of TTD Benefits	Litigation is ongoing. Matter remanded from CRB

Office of Risk Management
Litigations (FY 20 and FY 21, to date)

Appeal Date	Claimant	Tribunal	Docket Number	Type of Case	Summary
02/27/20	Alozie, Cyprian	OAH	2020-PSWC-00020	Appeal of Initial Determination	Litigation is ongoing. next event is telephonic status conference to be held on March 5, 2021, at 10:00 a.m. Discovery completed.
02/26/20	Burton, Lisa	DCSC	2020-CA-001367 P (MPA)	Appeal of Denial of Medical Treatment	Litigation is ongoing. Next Event: Respondent's Brief due: 4/10/2021, Petitioner's Reply (if any) due: 5/10/2021 and Status Hearing (if any) due: 6/18/2021 at 10:00 a.m.
02/24/20	Mallick, Desiree	OAH	2020-PSWC-00018	Appeal of Initial Decision Denying Claim.	Litigation ongoing. Next Event: February 23, 2021 at 9:00 am Status Conference. Prehearing to be had.
02/21/20	BRASH, PHILIP	OAH	2020-PSWC-00016	Appeal of termination of TTD Benefits	Litigation is ongoing. Next Event: Status Conference via telephone through Web-Ex on April 13, 2021, at 9:30 a.m. Discovery completed. Waiting on hearing date.
02/21/20	Smith, Kimberly Renee	OAH	2020-PSWC-00017	Appeal of termination of TTD Benefits	CONSDOLIDATED WITH 2019-PSWC-00125 and STAYED due to covid.
02/21/20	BRASH, PHILIP	OAH	2020-PSWC-00025	Appeal of Medical Benefits Termination	This case has been consolidated with OAH case 2020-PSWC-00016. Litigation is ongoing.
02/18/20	Lagares, Luz	DOES	PBL09-029	Motion for penalties pending	motion for default and penalties pending at DOES.
02/12/20	DUNCAN, LINDA	OAH	2020-PSWC-00014	Appeal of Medical Benefits Termination	Consolidated with 2020-PSWC-00005 - Refer to 00005 for notes and case updates
02/11/20	INZER, GERALD	OAH	2020-PSWC-00013	Appeal of termination of TTD Benefits	Litigation is ongoing. Next Event: telephone status conference on February 18, 2021 at 2:00 p.m. Discovery not complete.
02/10/20	Johnson, Keith	OAH	2020-PSWC-00012	Appeal of Notice of Intent to Terminate Medical Benefits	Litigation is ongoing. Next Event: telephonic status conference on March 17, 2021, at 2:00 p.m.
02/05/20	BRUMMELL, VERA	OAH	2020-PSWC-00010	Appeal of termination of TTD Benefits	Litigation is ongoing. Motion to Dismiss pending because CL filed her appeal of the 9A decision in the wrong tribunal.

**Office of Risk Management
Litigations (FY 20 and FY 21, to date)**

Appeal Date	Claimant	Tribunal	Docket Number	Type of Case	Summary
01/24/20	ASHTON, KIMBERLY	OAH	2020-PSWC-00008	Appeal of Termination of TTD and Medical Benefits	Litigation is ongoing, next event is mediation, which has not yet been scheduled.
01/15/20	TEMONEY, THOMAS	OAH	2020-PSWC- 00006	Appeal of Medical Benefits Termination	Litigation ongoing. Next Event: Evidentiary Hearing on May 7, 2021 at 10:00 a.m.
01/13/20	DUNCAN, LINDA	OAH	2020-PSWC-00005	Appeal of termination of TTD Benefits	Litigation is ongoing. Discovery not completed.
01/03/20	Peters, Lenore	OAH	2020-PSWC-00002	Appeal of Termination of TTD and Medical Benefits	Litigation ongoing. In settlement discussions.
01/02/20	EASLEY, DAVID	OAH	2020-PSWC-00001	Appeal of Termination of TTD and Medical Benefits	Litigation is ongoing. Next Event: telephonic mediation on February 26, 2021, at 2:30 p.m.
12/26/19	Davidson, James	CRB	CRB No.: 19-124	Appeal of termination of TTD Benefits	Litigation is ongoing. FCO being appealed and a decision is still pending with the CRB.
12/26/19	BROWN, VERONICA	OAH	2019-PSWC-00138	Appeal of termination of TTD Benefits	Litigation is stayed pending permanency evaluations.
12/13/19	WILLIAMS, IRIS	OAH	2019-PSWC-00136	Appeal of termination of TTD Benefits	Next Event: the Petitioner/Claimant Iris Williams shall SHOW CAUSE (explain) in writing on or before March 12, 2021, why this case should not be dismissed for lack of prosecution under OAH Rule 2818.1.
12/02/19	CANALES, LUIS	OAH	2019-PSWC-00132	Appeal of termination of TTD Benefits	Litigation is ongoing. Discovery has not been had.
12/02/19	SAMPSON, WALTER	OAH	2019-PSWC-00130	Appeal of termination of TTD Benefits	Litigation is ongoing. Next Event: March 18, 2021, at 10:00 A.M.
11/21/19	Bonds, Eugene	OAH	2019-PSWC-00128	Appeal of Termination of TTD and Medical Benefits	Litigation is ongoing. Next Event: telephonic status conference on March 18, 2021, at 2:00 p.m.
11/19/19	BROWN, JOSEPH	OAH	2019-PSWC-00127	Appeal of termination of TTD Benefits	Litigation is ongoing. Matter is in discovery. Next Event: status conference via telephone through Web-Ex on April 28, 2021, at 11:00 a.m.

Office of Risk Management
Litigations (FY 20 and FY 21, to date)

Appeal Date	Claimant	Tribunal	Docket Number	Type of Case	Summary
11/14/19	MCCAIN, KIMBERLY	DCSC	2019 CA 007534 P(MPA)	Appeal of 9A Decision Upholding Schedule Award	ORM Motion to Dismiss for want of prosecution pending.
11/14/19	SCOTT-WARD, PATRICIA	OAH	2019-PSWC-00126	Appeal of termination of TTD Benefits	Litigation ongoing. Next Event: April 7, 2021 at 9:30 am
11/14/19	Smith, Kimberly Renee	OAH	2019-PSWC-00125	Appeal of Initial Decision Awarding Benefits.	CONDSOLIDATED WITH 2020-PSWC-0017 and STAYED due to covid.
11/13/19	Ayeni, Amy	OAH	2019-PSWC-00124	Claimant appeals termination of TTD benefits for having reached MMI and medical release to return to work.	Litigation is ongoing. Discovery almost completed. Next event is a telephonic status conference on Wednesday, April 7, 2021 at 10:30 a.m.
11/13/19	JONES, FREDDIE	OAH	2020-PSWC-00044	Request for 2306a hearing	Litigation is ongoing. ORM filed MTD on PTD that is pending.
11/06/19	Marche-Dulin, Aita	OAH	2019-PSWC-00122	Appeal of Initial Decision Denying Claim.	Litigation settled for \$6,000.00 and pending dismissal.
10/11/19	Richardson, Donna	OAH	2019-PSWC-00113	Appeal of Initial Decision Denying Claim.	Litigation ongoing, Discovery ongoing.
10/01/19	Fuller, Linda	OAH	2019-PSWC-00110	Appeal of termination of TTD Benefits	Litigation is ongoing. Next Event: Evidentiary Hearing to be scheduled.
09/20/19	JONES, PLANCHITTA	OAH	2019-PSWC-00099	Appeal of termination of TTD Benefits	Litigation is ongoing.
09/17/19	Pauling, Yolanda	OAH	2019-PSWC-00105	Appeal of Initial Decision Denying Claim.	Litigation ongoing. Next Event: Status Conference 03/11/2021
09/17/19	Pauling, Yolanda	OAH	2019-PSWC-00103	Appeal of Initial Decision Denying Claim.	CO on remand reversed ORM's decision. Matter is ongoing for limited purpose of dealing with attorney's fees

**Office of Risk Management
Litigations (FY 20 and FY 21, to date)**

Appeal Date	Claimant	Tribunal	Docket Number	Type of Case	Summary
09/17/19	Pauling, Yolanda	OAH	2019-PSWC-00104	Appeal of Initial Decision Denying Claim.	CO on remand reversed ORM's decision. Matter is ongoing for limited purpose of dealing with attorney's fees
09/09/19	OSUCHUKWU, FELIX C	OAH	2019-PSWC-00102	Appeal of Initial Determination	Litigation Ongoing. Matter remanded from CRB.
09/09/19	Workcuff, William	OAH	2019-PSWC-00101	Appeal of Termination of TTD and Medical Benefits	Litigation is ongoing. Next event: telephone status conference via Webex on March 3, 2021 at 10:00 a.m.
08/09/19	TORAN, MARK	OAH	2019-PSWC-00096	Appeal of Termination of TTD and Medical Benefits	Litigation ongoing. Next Event: telephone status conference via Webex with the parties will be held on March 3, 2021 at 10:30 a.m.
07/05/19	Browne, Clark	OAH	2019-PSWC-00093	Appeal of termination of TPD Benefits	Litigation is ongoing. Discovery completed. Next Event: Status Conference via telephone through Web-Ex on April 22, 2021, at 9:30 a.m.
06/28/19	EJIDE, GABRIEL	OAH	2019-PSWC-00090	Appeal of termination of TTD Benefits	Litigation is ongoing. Next Event: status conference on March 18, 2021, at 2:00 p.m. Only half of evidentiary hearing has been held.
06/25/19	Quick, Carol	OAH	2019-PSWC-00088	Appeal of Termination of TTD and Medical Benefits	Litigation is ongoing. Discovery has not been had.
06/20/19	Nyanfore, James	OAH	2019-PSWC-00086	Appeal of Initial Determination	Pending dismissal. Claim settled for \$2,800.00.
06/11/19	GREEN, JAMES	OAH	2019-PSWC-00081	Appeal of Suspension of Benefits	CL Filed Motion for SJ, which is pending.
05/14/19	Broomfield, Doreen	OAH	2019-PSWC-00071	Appeal of Initial Determination	Dismissal pending execution of settlement docs sent out on April 30, 2020. Appeal of an initial decision accepting claim and awarding medical benefits, but TPD and not TTD.
05/03/19	REESE, VALETTI	OAH	2019-PSWC-00068	Appeal of termination of TTD Benefits	Litigation is ongoing. Mediation to be rescheduled.

**Office of Risk Management
Litigations (FY 20 and FY 21, to date)**

Appeal Date	Claimant	Tribunal	Docket Number	Type of Case	Summary
05/02/19	Gibson, RonTreece	OAH	2019-PSWC-00066	Appeal of termination of TTD Benefits	Evidentiary hearing held 12/17/19. Awaiting Final Comp. Order.
04/25/19	ROBINSON, GERMAINE	OAH	2019-PSWC-00062	Appeal of Termination of TTD and Medical Benefits	Litigation is ongiong. Last Event: Mediation
04/18/19	JOHNSON-LASSITER, ZENA	OAH	2019-PSWC-00060	Appeal of termination of TTD Benefits	Litigation Ongoing. Matter remanded from CRB for hearing and new CO
04/17/19	HUDSON, CLAUDIA	OAH	2019-PSWC-00059	Appeal of termination of TTD Benefits	Litigation is ongoing. Discovery not completed. Next Event: Status Conference via telephone through Web-Ex on March 3, 2021, at 12:00 p.m.
04/12/19	BROWN-CARSON, SYLVIA	DCSC	2019 CA 002391 P(MPA)	Appeal of 9A Decision	Litigation is pending final order. Matters Briefed.
04/12/19	DOWNING, DENISE	OAH	2019-PSWC-00057	Appeal of termination of TTD Benefits	Litigation is ongoing. Discovery not completed.
04/10/19	HARRISON, JAMES	DCSC	2019 CA 002449 P(MPA)	Appeal of CRO decision dismissing Affirming Penalty Calculation	Briefing completed. Pending Decision
04/08/19	McElhaney, Beverly	OAH	2019-PSWC-00054	Appeal of Termination of TTD and Medical Benefits	Case pending dismissal for want of prosecution.
04/08/19	TINGLE, JACQUELINE	OAH	2019-PSWC-00053	Appeal of termination of TTD Benefits	Termination rescinded. Pending voluntary dismissal order.
03/28/19	Turner, Darlene	OAH	2019-PSWC-00046	Appeal of Initial Decision	Litigation ongoing. ORM MTD pending. No new dates scheduled.
03/25/19	Johnson, Carlos	OAH	2019-PSWC-00045	Unknown	Pending Dismissal. 03/28/2019 Order for More Information - case will be dismissed if no info provided by 4/26/19.
03/22/19	Davidson, James	OAH	2017 PSWC-00071	Appeal of termination of TTD Benefits	FCO being appealed and a decision is still pending with the CRB.

**Office of Risk Management
Litigations (FY 20 and FY 21, to date)**

Appeal Date	Claimant	Tribunal	Docket Number	Type of Case	Summary
03/21/19	WEEMS, JAMES	OAH	2019-PSWC-00044	Appeal of termination of TTD Benefits	Litigation ongoing. ** Claimant, represented by Counsel, has not submitted anything since the Joint Motion to Stay. Therefore, Claimant must show cause no later than February 22, 2021, why this case should not be dismissed with prejudice for failure to prosecute.
03/13/19	WILKINS, BELINDA	OAH	2019-PSWC-00039	Appeal of termination of TTD Benefits	Motion for Summary Judgement filed on July 19, 2019. Motion still pending
03/08/19	PALMER, WILLIAM	OAH	2019-PSWC-000034	Appeal of termination of TTD Benefits	Hearing completed. Waiting on Compensation Order.
03/01/19	Porchea, Carlos	OAH	2019-PSWC-00029	Appeal of termination of TTD Benefits	Hearing held. Awaiting FCO.
02/26/19	TURNER, DARLENE	OAH	2019 PSWC 00026	Appeal of Medical Benefits Termination	Motion to Dismiss for failure to prosecute claim filed on April 22, 2020. pending
02/19/19	Webb, Nikeesha	OAH	2019 PSWCP 00020	Appeal of termination of TTD Benefits	Last Event: Settlement Discussions 2.21.21
01/22/19	CARR, JACOB	CRB	19-008	Appeal of termination of TTD Benefits	Litigation is ongoing. CRB decision is pending on appeal to DCCA.
01/15/19	HARRIS-TAYLOR, JOANN	OAH	2019-PSWC-00007	Appeal of termination of TTD Benefits	Litigation is ongoing. Last Event: ORM MSJ Denied. Hearing to be scheduled
01/11/19	Johnson, Melvin	Other	3-8358028934	Demand/Claim from CMS	CMS Appeal - amount of demand is \$64,695.41
01/07/19	Perry, Janell	OAH	2019-PSWC-00002	Appeal of Termination of TTD and Medical Benefits	Hearing held. Awaiting FCO.
12/26/18	Stewart, Lachonne	OAH	2018-PSWC-00106	Appeal of Initial Determination Denying Claim	Claimant appealed to the CRB and the matter was remanded to OAH to consider the Form 3 and Claimant's appeal form. Waiting on CO on remand
12/21/18	Meadows, Felicia	OAH	2018-PSWC-00105	Appeal of Initial Determination	Pending dismissal. Claim settled for \$3,200.00.
12/03/18	Atkins, Joseph	OAH	2018-PSWC-00093	Appeal of TTD Termination	Litigation is ongoing. Discovery is ongoing and yet to be completed.

Office of Risk Management
Litigations (FY 20 and FY 21, to date)

Appeal Date	Claimant	Tribunal	Docket Number	Type of Case	Summary
11/16/18	Nyanfore, James	OAH	2019-PSWC-00080	Appeal of Medical Benefits Termination	Litigation ongoing.
10/16/18	Waugh, Kevin	OAH	2018-PSWC-0081	Appeal of Initial Decision Accepting Med Only	Last Event: Mediation rescheduled for April 22, 2019. CL No showed.
10/15/18	Edwards, Markieta	OAH	2018-PSWC-00080	Appeal of TTD to TPD Modification	Litigation is ongoing. Next Event: CL to respond to order to show cause by March 10, 2021.
10/11/18	BANKSTON, CATHERINE	OAH	2018-PSWC-00077	Appeal of Medical Benefits Termination	Hearing occurred on 07/18/2019, waiting on compensation order from OAH.
10/04/18	Thomas, Damon	OAH	2018-PSWC-00075	Appeal of termination of TTD Benefits	ORM MTD pending. Litigation ongoing.
09/14/18	JONES, KARLA	OAH	2018-PSWC-00070	Appeal of Termination of TTD and Medical Benefits	Awaiting order on remand.
07/03/18	Roberson, Allison	DCCA	18-AA-0718	Appeal of termination of TTD Benefits	Briefing completed. Awaiting decision.
06/07/18	Ali, Zahra	OAH	2018-PSWC-00044	Appeal of Termination of TTD and Medical Benefits	Litigation is ongoing, hearing adjourned and set to continue March 5, 2020.
04/10/18	DYSON, APRIL	OAH	2018-PSWC-00026	Appeal of termination of TTD Benefits	Litigation is ongoing, hearing has been continued multiple times. Oral motion to dismiss for want of prosecution pending.
02/21/18	WILLIAMS, TONYA	OAH	2018-PSWC-00017	Appeal of Termination of TTD and Medical Benefits	Litigation outcome pending. Waiting on OAH Compensation Order.
02/02/18	FANT, DAVID	DCSC	2018 CA 000828 P(MPA)	Appeal of 9A Decision Upholding termination for receipt of retirement benefits	ORM decision was affirmed. Decision on motion for reconsideration by CL is pending.
11/30/17	FANT, DAVID	OAH	2017-PSWC-00066	Appeal of 9A Decision Upholding termination for receipt of retirement benefits	ORM NOD reversed. Appeal of CO pending before CRB.

**Office of Risk Management
Litigations (FY 20 and FY 21, to date)**

Appeal Date	Claimant	Tribunal	Docket Number	Type of Case	Summary
10/02/17	KARIM, MARSHA	DCCA	17-AA-1094	Appeal of DOES Decision RE: PPD award	Briefing completed. Pending Decision

ATTACHMENT 14

Office of Risk Management
Settlement (FY 20 and FY 21, to date)

The Office entered into 44 workers' compensation case settlements in FY 20 that added up to **\$579,160.22**

Settlement Date	Claimant	Claim Number	Agency	Litigation Involved?	Nature of Litigation
11/14/2019	Harrison, Martel	WC-18-01715	DCHA	None	
11/01/2019	HOLLIS-WAUGH, LISA	0468-WC-08-0500650	DCHA	2019 PSWC 00006	Appeal of termination of TTD Benefits.
11/15/2019	Queen, Gregory	0468-WC-17-0001342	DCPL	None	
01/23/2020	Allen Sr., Glenn A	0468-WC-14-0001006	DCPL	None	
07/02/2020	WILLIAMS, MARSHA	WC-19-004598	DCPS	None	
01/27/2020	MITCHELL, GLORIA	0468-WC-08-0501438	DCPS	None	
02/04/2020	THIERO, MALINDA	0468-WC-98-0500020	DCPS	None	
03/24/2020	EBRON, LEROY	0468-WC-95-0500035	DCPS	None	
11/06/2019	RAY, LAWRENCE	0468-WC-85-0500026	DCPS	2019-PSWC-00042	Appeal of termination of TTD Benefits.
06/22/2020	WALKER, STEPHANIE	0468-WC-10-0501185	DCPS	2019 PSWC 00131	Appeal of termination of TTD and Medical.
11/13/2019	Collins, Katherine	0468-WC-17-0000628	DCPS	2018-PSWC-00074	Appeal of termination of TTD Benefits.
03/19/2020	Saffar, May	0468-WC-17-0000947	DCPS	2018-PSWC-00022	Appeal of termination of TTD Benefits.
02/06/20	RANSOME, CHARLES	0468-WC-11-0500701	DCPS	2019-PSWC-00074	Appeal of suspension of benefit
01/22/20	JONES, LARRY	0468-WC-01-0500009	DCPS	2019-PSWC-00075	Appeal of termination of TTD Benefits.
03/20/2020	Gaddy, Samuel	WC-19-004483	DBH	None	
06/30/2020	OWENS, SHEILA	0468-WC-10-0500179	DBH	None	This settled out an overpayment as well.
10/21/20	Ayodeji-Coker, Lateefat	WC-19-00150	DBH	2019-PSWC-00077	Appeal of suspension of benefit
10/26/2019	DOZIER, EDWARD	0468-WC-95-0500001	DOC	Not exactly a settlement, but we issued schedule award and they dismissed appeal in 2019 PSWC 00023	
10/15/2020	Sackey, John	WC-20-003639	DOC	None	
07/14/2020	Bland, Terrance	0468-WC-00-0500040	DOC	None	
01/23/2020	GRAY, ERIC	0468-WC-08-0501529	DOC	2020-PSWC-00009	Appeal of termination of TTD Benefits.
03/24/2020	Collins, Gail	0468-WC-95-0500034	DOC	2020-PSWC-00007	Appeal of termination of medical benefits
05/20/2020	WARE, DOUGLAS	0468-WC-94-0500032	DOC	2019-PSWC-00108	Appeal of termination of TTD Benefits.
11/18/2019	Lewis, John	0468-WC-91-0500003	DOC	2019-PSWC-00099	Appeal of termination of medical benefits
01/15/2020	ALFORD, VALERIE	0468-WC-96-0500027	DHS	2019-PSWC-00114	Appeal of termination of medical benefits
03/24/20	Meadows, Felicia	0468-WC-18-0000875	DMV	2018-PSWC-00105	Appeal of denial of all benefits
07/20/2020	Hurde, Charles	0468-WC-14-0000668	DPW	None	
12/19/2019	JOHNSON, JOE	0468-WC-11-0500083	DPW	None	

Office of Risk Management
Settlement (FY 20 and FY 21, to date)

Settlement Date	Claimant	Claim Number	Agency	Litigation Involved?	Nature of Litigation
08/05/2020	Willis, Kenneth G	0468-WC-14-0001213	DPW	CRB 19-42, 2019 CA 004561 P(MPA), 2019 CA 004848 P(MPA), 2019 CA 008541 P(MPA)	Forfeiture of benefits
04/08/2020	Wise, Curtis	0468-WC-85-0500021	DPW	2019-PSWC-00098	Appeal of termination of TTD Benefits.
08/18/2020	FISHER, EDWARD	0468-WC-02-0500049	DPW	2019-PSWC-00070	Appeal of termination of TTD Benefits.
03/16/2020	Lucas, Lathone	0468-WC-18-0001173	DPW	2019- PSWC-00009	Appeal of termination of TTD Benefits.
06/01/2020	Peake, George	WC-19-005289	DBH	None	
01/13/2020	McCreary, James	0468-WC-15-0001176	DDOT	None	Subrogation from CL
04/20/2020	Dunlap, Charles	0468-WC-18-0001106	DYRS	None	
10/29/2020	SCARBOROUGH- DRUMMOND, DEBRA	0468-WC-11-0500560	DYRS	2020 PSWC 00015	Appeal of denial of all benefits
10/01/2019	Green, Lakesha	0468-WC-18-0000171	DYRS	2019-PSWC-00092	Appeal of termination of TTD Benefits.
03/02/2020	McDonald, Karen	0468-WC-17-0001351	DYRS	2019 -PSWC-00123	Appeal of termination of TTD Benefits.
07/17/2020	GARDNER, THOMAS	0468-WC-96-0500030	FEMS	None	
10/08/2020	Johnson, Rique	WC-19-004719	OSSE	None	
01/24/2020	Blount, Michelle D	0468-WC-15-0000523	OSSE	None	
12/23/20	Marche-Dulin, Aita	WC-19-03142	DCPS	2019-PSWC-00122	Appeal of Initial Decision Denying Claim.
02/25/20	Nyanfore, James	WC-19-01662	OSSE	2019-PSWC-00086	Appeal of Initial Determination
03/24/20	Meadows, Felicia	0468-WC-18-0000875	DMV	2018-PSWC-00105	Appeal of Initial Determination

ATTACHMENT 15

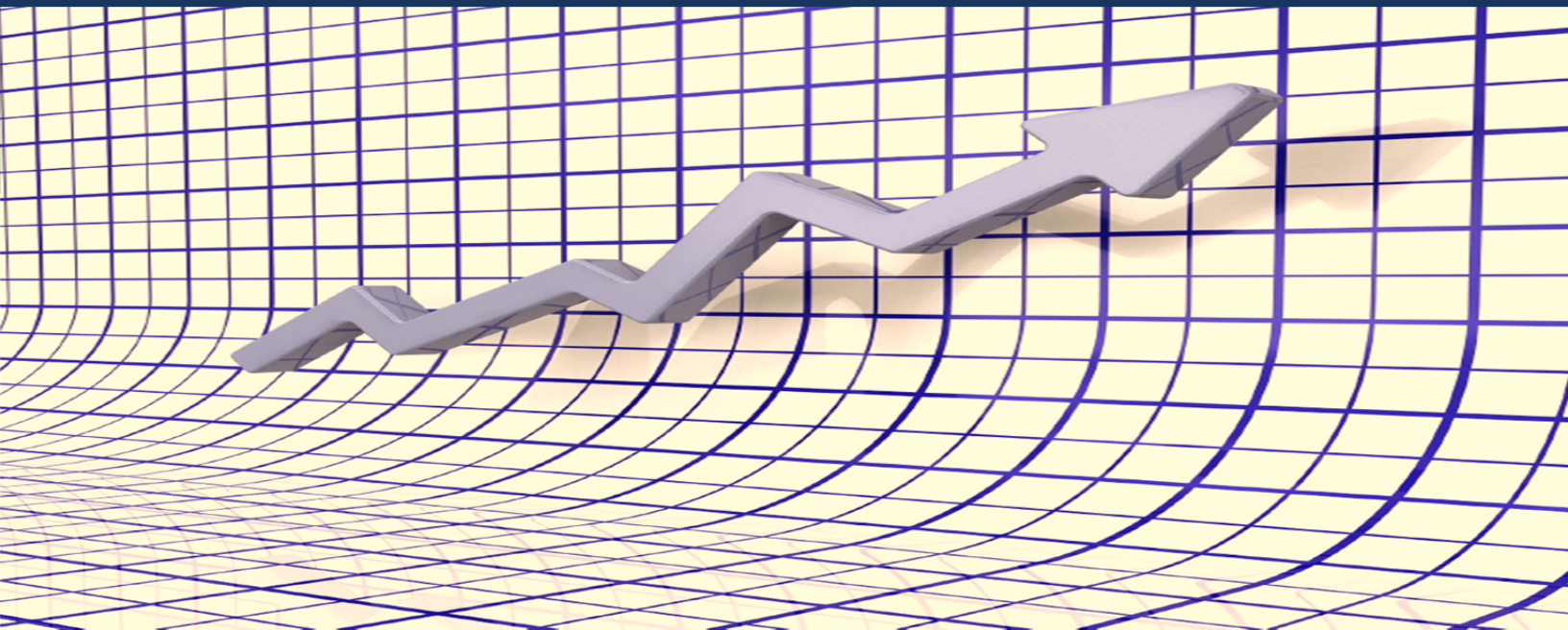
**Actuarial Valuation of the Self-Insured Workers Comp and Liability
Programs (as of September 30, 2020)**

ACTUARIAL VALUATION OF THE SELF-INSURED WORKERS COMPENSATION & LIABILITY PROGRAMS

as of September 30, 2020

District of Columbia
Office of Risk Management
Contract #: CW47450

January 2021



Transmittal Letter

January 8, 2021

District of Columbia Office of Risk Management
441 4th Street NW, Suite 800 South
Washington, D.C. 20001

Attn: Mr. Jed Ross, Esq.
Chief Risk Officer
DC Office of Risk Management
Executive Office of the Mayor

**Actuarial Study of the
Self-Insured Workers' Compensation,
General Liability and
Automobile Liability Programs
as of September 30, 2020**

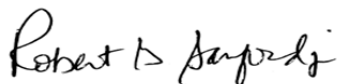
This study has been completed for the District of Columbia Office of Risk Management for the specific objectives listed in the study. It contains the background, summary, analysis, and conclusions of our work. Each section and appendix of the study is an integral part of the whole. We recommend a review of the entire study prior to reliance upon this study.

No key PRM or Aon personnel have a relationship with the District of Columbia Office of Risk Management that may impair our objectivity.

Please call if you have any questions. Thank you for the opportunity to be of service.

Respectfully submitted,

Project Manager:



Robert G. Sanford, Jr., MAAA, FSA, EA
PRM Consulting, Inc.

Certification Actuary:



Mujtaba Dattoo, ACAS, MAAA, FCA
Aon Risk Solutions

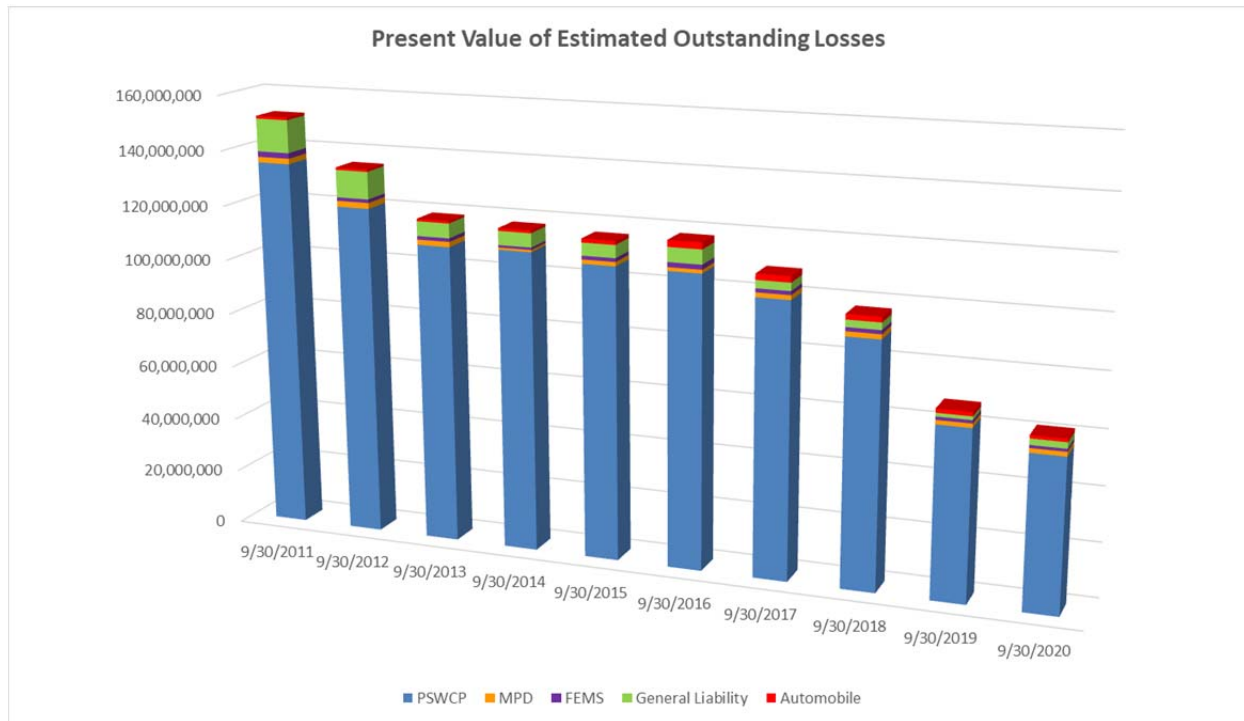


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I. Introduction/Highlights

PRM Consulting Group and Aon Consulting have prepared annual Actuarial Valuations of the Self-Insured Workers' Compensation & Liability Programs (the "Programs") for ten fiscal years, since the valuation that was performed as of September 30, 2011. Over this period, the Programs have, in the aggregate, shown a steady decline in the Present Value of Outstanding Losses, as illustrated in the following graph:



Similar graphs are included in this report, which provide a greater level of detail for the individual programs, i.e. PSWCP, MPD, FEMS, General Liability and Automobile Liability. The summary graph above, however, is included in this Introduction to graphically highlight the progress that has been made with the Programs over the past ten years.

The decrease in the amount of Outstanding Losses can occur due to a number of factors, including a reduction in numbers of claims, amount of claims, and shortened periods over which claims are paid/settled. Obviously, the efforts of the District Office of Risk Management staff to increase the efficiency of claims management have resulted in improvements in some or all these areas and has thus resulted in reduced outstanding liabilities for the Programs. The fiscal year ending September 30, 2020 represents the second full fiscal year since the inception of in-house claims administration rather than reliance on a third-party administrator (TPA). As can be seen below, the present value of outstanding losses has decreased significantly during the past two years, particularly in the first full year of in-house claims administration, i.e. the fiscal year ending September 30, 2019.

I. Introduction/Highlights

A Summary of Study Results is provided in the following table:

DISTRICT OF COLUMBIA
Summary Exhibit
Estimated Outstanding Losses as of September 30, 2020

	Case Reserves 9/30/2020	Case Reserves 9/30/2019	Estimated IBNR 9/30/2020	Estimated IBNR 9/30/2019	Estimated Outstanding Losses 9/30/2020	Estimated Outstanding Losses 9/30/2019	Present Value of Estimated Outstanding Losses 9/30/2020	Present Value of Estimated Outstanding Losses 9/30/2019	% Change
Workers Compensation									
PSWCP	\$33,924,457	\$36,207,171	\$26,359,201	\$31,180,051	\$60,283,658	\$67,387,222	\$55,742,460	\$62,186,611	-10.4%
MPD	\$667,258	\$459,853	\$993,699	\$1,087,476	\$1,660,957	\$1,547,329	\$1,660,957	\$1,547,329	7.3%
FEMS	\$519,612	\$807,752	\$505,900	\$379,144	\$1,025,512	\$1,186,896	\$1,025,512	\$1,186,896	-13.6%
Sub Total	\$35,111,327	\$37,474,776	\$27,858,800	\$32,646,671	\$62,970,127	\$70,121,447	\$58,428,929	\$64,920,836	-10.0%
Liability									
General Liability	\$1,980,320	\$1,064,319	\$285,819	\$248,968	\$2,266,139	\$1,313,287	\$2,226,924	\$1,291,056	72.5%
Automobile Liability	\$751,999	\$917,290	\$446,053	\$611,950	\$1,198,052	\$1,529,240	\$1,181,835	\$1,507,550	-21.6%
Sub Total	\$2,732,319	\$1,981,609	\$731,872	\$860,918	\$3,464,191	\$2,842,527	\$3,408,759	\$2,798,606	21.8%
Total, excluding ULAE	37,843,646	39,456,385	28,590,672	33,507,589	66,434,318	72,963,974	61,837,688	67,719,442	-8.7%
ULAE					8,162,649	8,139,738	7,608,004	7,561,083	0.6%
Total, including ULAE					74,596,967	81,103,712	69,445,692	75,280,525	-7.8%

Assumes an interest rate of 1.75%, where applicable

The results for each of the individual programs, i.e. PSWCP, MPD, FEMS, General Liability and Automobile Liability are discussed in Sections III – VI of this report.

II. Background

The FY 2020 report provides:

1. An estimate of outstanding losses, including allocated loss adjustment expenses (ALAE), as of September 30, 2020. The estimated outstanding losses are the cost of unpaid claims. The estimated outstanding losses include case reserves, the development of known claims and incurred but not reported (IBNR) claims. ALAE are the direct settlement expenses for specific claims.
2. An estimate of the outstanding unallocated loss adjustment expenses (ULAE) as of September 30, 2020. ULAE are the program costs not attributable to a specific claim, such as the administrative costs and staff compensation costs for the business unit processing and managing claims.

The estimates include workers' compensation, general (non-auto) liability, and automobile liability for the Government of the District of Columbia. The workers' compensation program has two components:

1. The civilian employees (non-uniform) known as the Public-Sector Workers' Compensation Program (PSWCP)
2. The uniform (sworn) employees consisting of police and fire and emergency medical services personnel known as the Work Injury Program (WIP).

For this report, the Office of Risk Management (ORM) provided:

- Non Uniform Medical and indemnity workers' compensation claims,
- General (non-automotive) claims, and
- Automotive liability claims.

The ORM operates within the executive branch of the District of Columbia with direct oversight by the City Administrator. ORM became an official agency in the District of Columbia government structure in fiscal year 2003, with a mission to reduce the probability, occurrence and cost of risk to the District of Columbia government through the provision of risk identification and insurance analysis and support to District agencies, and by efficiently and fairly administering the District's public workers' compensation, tort liability and captive insurance programs.

ORM, through the Tort Liability Program, receives and investigates pre-litigation notices of property and liability claims against the District. The Public Sector Workers' Compensation Program (PSWCP) is administered by the ORM, and is a worker's compensation program for District government employees.

The PSWCP is a self-insured program of the ORM under Title XXIII of the CMPA. This program currently excludes uniformed police and firefighters covered under the Police and Firefighters Disability Act. It also excludes Secret Service and Park Police uniform workers who are covered under a federal workers' compensation program.

II. Background

Adjudicating property, auto and liability claims is the responsibility of in-house ORM claims adjuster staff. They conduct investigations and make liability determinations with the goal of achieving settlements prior to lawsuits being filed. The Settlements and Judgments Fund (S&J Fund) is used to provide the fiscal resources to settle claims and lawsuits and pay judgments in most types of civil cases or pre-litigation claims filed against the District that result in settlements or judgments over \$10,000 or for claims that are over two years old. Claims that are under two years old and settled for less than \$10,000 are paid for by the responsible agency. If a lawsuit is filed, the litigation and resolution of suit claims fall under the Office of the Attorney General. Nonetheless, DCORM makes all final decisions about the use of the S&J Fund.

The ORM workers' compensation, general liability, automobile and property programs are completely self-insured with no excess insurance policy for all policy years.

In 1970, the District of Columbia Metropolitan Police Department (MPDC) assumed responsibility for the then Police and Fire Clinic (Clinic). In 1997, the Congress of the United States mandated that the District of Columbia government privatize the Clinic. Since then, the medical and occupational health programs and services at the Clinic have been administered by the Police and Fire Clinic Associates, L.L.C. (PFC) through a contract with the District of Columbia government. The contract with PFC provides a program of integrated, managed medical and occupational health services for several participating member agencies including: MPDC, the District of Columbia Fire and Emergency Medical Services Department (DCFEMS), certain members of the United States Secret Service (USSS), certain services for the United States Park Police (USPP), certain services for the District of Columbia Housing Authority Police Department (DCHAP), and certain services for hazardous duty or law enforcement officers or other specifically identified District of Columbia agencies. The annual cost of the program is based on a capitated rate and fee for services basis which is adjusted each year.

The day-to-day responsibility for the operation of the Police and Fire Clinic is the responsibility of the MPDC Director of the Medical Services Division. Liaison services and performance of duty determinations for members of the MPDC are provided by the Director of the Medical Services Division. DCFEMS has senior personnel assigned to the Clinic to provide liaison services for its members and the staff of the Clinic and also to make performance of duty determinations for its members. In addition, the Clinic monitors the duty status of those members who are not injured in the performance of duty.

A sworn member of the MPDC or DCFEMS must report an injury/illness to the Watch Commander or Officer in Charge whenever the member incurs an injury/illness while on duty or the member detects an injury/illness that he or she believes was incurred while on duty. The member must then immediately report to the Clinic (or the designated local emergency departments or urgent care centers if the Clinic is not open, for emergency or urgent cases only) for a medical evaluation on the day that the injury is detected, if physically able to do so. The member then must submit to a Certifying Official an "Injury or Illness Report" form (MPDC PD Form 42 or DCFEMS FD Form 44) within 24 hours of the occurrence or the detection of his/her injury/illness. These forms describe the symptoms being reported and states when and how the member was injured or how the illness was incurred. If the member is unable to prepare either the PD Form 42 or FD 44 Form, the member's MPDC or DCFEMS supervisor shall complete the appropriate form.

II. Background

Statutes Governing the Disability Compensation for Police Officers and Fire Fighters

The statutes that govern the Disability Compensation for Police Officers and Fire Fighters are:

- District of Columbia Official Code § 5-631 et seq.
- District of Columbia Official Code § 5-701 et seq.
- The Police and Firefighters' Retirement and Disability Act as amended by Public Law 96-122. Claims Process for District Government Police Officers and Firefighters

The District of Columbia enacted Law 19-331 in May 2013. This law was implemented on October 1, 2016. Law 19-331 is the Fire and Emergency Medical Services Employee Presumptive Disability Amendment Act. This act applies only to sworn members of DCFEMS. This act created a presumption of Performance of Duty (POD) injury, illness or death for three categories of illnesses. Under the law, the District will cover the full cost of any medical treatment related to a POD injury or illness. The funding stream for the Presumptive Disability Amendment Act is through annually locally appropriated funds. The illnesses covered under D.C. Law 19-331 include:

- Cancer: breast, pancreatic, rectal, testicular, throat or ovarian cancers or leukemia;
- Chronic Diseases: heart disease, hypertension, or respiratory disease; and
- Communicable Diseases: hepatitis, meningococcal meningitis, tuberculosis or human immunodeficiency virus (HIV).

A sworn FEMS member will be presumed to have suffered from one of these illness in the line of duty if the member is diagnosed with the illness, and if the member underwent a pre-employment physical at the Clinic that did not indicate any sign of the illness, and if the member had agreed to receiving a physical examination every year. Additionally, if this presumed POD injury or illness renders the member unable to perform his or her duties or causes the member's death, then the member would be eligible for early retirement benefits. During Fiscal Year 2020, one new claim was filed and accepted and five claims carried over from Fiscal Year 2018 and 2019. These six claims resulted in a Fiscal Year 2020 cost of \$250,244.20.

Duty Status and Wage and Tax Information

It is the policy of MPDC and DCFEMS to meet the occupational health needs of their members by ensuring that members who sustain POD injuries and illnesses receive quality health care, and continue to receive income, consistent with governing statutes, while recovering from duty-related injuries and/or illnesses. In addition, both agencies monitor the status of their members who become injured or ill as a result of a Non-Performance of Duty (Non-POD) incident or condition. This is done in an effort to monitor their recovery and to determine when the member may return to work in a limited or full duty status.

When a member incurs a POD injury or illness, he/she must report to the Clinic to receive care for his/her POD injury or illness. When a member incurs a Non-POD injury or illness, he/she must report to the Clinic where the medical staff monitors his/her Non-POD injury or illness and determines his/her medical duty status, while he/she receives treatment from his/her personal physician.

II. Background

A member who is injured or becomes ill and is placed in a limited duty status or immediately placed off from work on sick leave is initially charged his/her personal accumulated sick leave until a determination is made that the injury or illness is POD. This determination must be made within 30 days of the member's report of injury/illness. If the determination is not made within this time frame, the member is presumed to have been injured in the performance of duty. When it is determined that the injury or illness is POD, the member will be placed in a non-chargeable (POD) sick leave status and his/her personal sick leave will be restored. Placing a member on non-chargeable (POD) sick leave alerts the agency fiscal officer's payroll unit that the member's injury or illness was determined to have been incurred in the performance of duty. The member's time and attendance records are audited to reimburse him/her for any affected personal sick leave taken. While on non-chargeable (POD) sick leave, the member continues to accrue personal sick and annual leave, and salary increases. Additionally, upon receiving a POD determination, the member will receive his/her full salary; it is not prorated.

At the end of the year, the agency fiscal officer sends a tax form to the member that indicates the member's salary for that year. The member is instructed to report the portion of the salary paid while on non-chargeable (POD) sick leave as disability compensation.

The District of Columbia Fire and Emergency Medical Services Department gives the member at the end of the year a DCFEMS Department Form 158. The DCFEMS Department Form 158 notifies the employee, the IRS, and State Tax official that the employee was on POD Injury/Illness (non-chargeable) Sick Leave and delineates the total amount of hours and the member's hourly rate. The salary for the year is only found on the W-2 Tax Form, not on the DC FEMS Department Form 158.

Contract Financial Information

During the FY 2006 Actuary Study, it was settled that the contract between MPDC and PFC is fully-insured and self-insured. The District of Columbia's disability (workers') compensation program for the city's police officers and firefighters is unlike any traditional disability (workers') compensation program. The PFC operates like an HMO providing occupational health and managed care services for covered employees of the MPDC, DCFEMS and USSS. The PFC, through the contract, bears the full financial risk of insuring covered employees and actually delivers medical treatment to the employees covered under the contract.

III. Workers' Compensation

Overall (inclusive of Workers' Compensation for PSWCP and MPD and FEMS, as well as general liability and automotive), there is an 8.7% decrease in the present value of outstanding losses from FY2019 (\$67.7M) to FY2020 (\$61.8M).

PSWCP

PSWCP is responsible for the overwhelming majority of the present value of outstanding losses (90% or \$55.7M) for FY2020 and represents a decrease of 10.4% from FY2019. The case loss and loss expense reserves were \$34M with an estimated IBNR reserve of \$26M. For FY2019 the case loss and loss expense reserves were \$36M with an estimated IBNR reserve of \$31M. The present value of outstanding losses in FY2019 was \$62.2M. The ratio of estimated IBNR reserve to case reserves in FY2020 is 0.78, representing a decrease from 0.86 in FY2019.

MPD

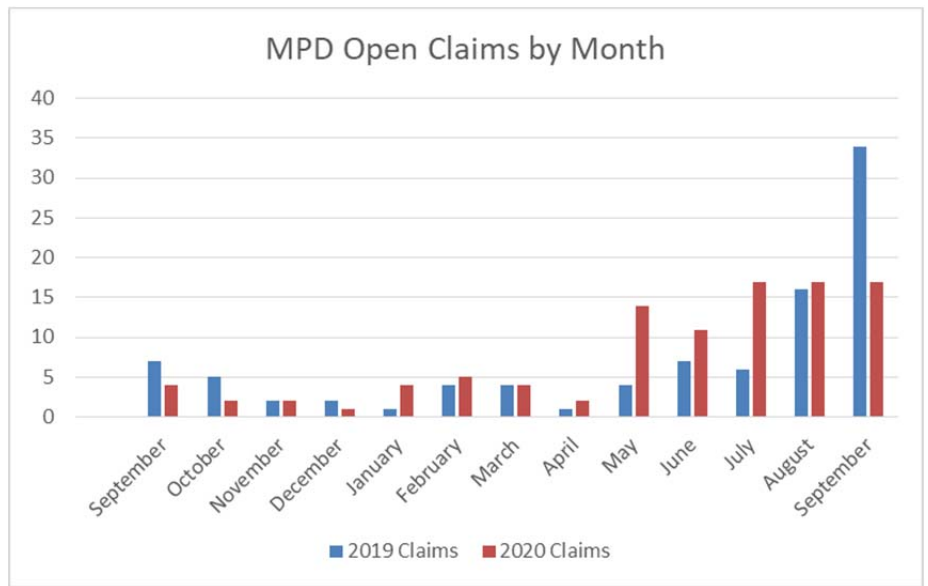
The present value of outstanding losses for MPD workers' compensation is \$1.7M for FY2020 and represents an increase of 7.3% from FY2019. The case loss and loss expense reserves were \$0.7M with an estimated IBNR reserve of \$1.0M. For FY2019 the case loss and loss expense reserves were \$0.5M with an estimated IBNR reserve of \$1.1M. The present value of outstanding losses in FY2019 was \$1.5M.

The following exhibits provide details regarding the MPD program for FY2020 and FY2019:

<i>Metropolitan (MPD) Disability Compensation Claims</i>		
	9/30/2020	9/30/2019
Number of Open Claims	100	93
Number of Closed Claims	853	907
Total Claims	953	1,000
<i>MPD Sick Leave Disability Compensation</i>		
Sick Leave for Open Claims	\$1,673,463	\$1,105,097
Sick Leave for Closed Claims	\$2,532,836	\$3,153,660
Total Sick Leave	\$4,206,299	\$4,258,757
<i>Total # of MPD Sick Leave Hours</i>		
Sick Leave Hours for Open Claims	40,516	28,523
Sick Leave Hours for Closed Claims	62,407	80,254
Total Claims	102,923	108,777
<i>Estimated MPD Sick Leave Hours & Disability Compensation</i>		
Estimated Sick Leave Hours	15,618	11,340
Estimated Sick Leave Compensation	\$667,258	\$459,853

III. Workers' Compensation

Open Claims	2019 Claims	2020 Claims
September	7	4
October	5	2
November	2	2
December	2	1
January	1	4
February	4	5
March	4	4
April	1	2
May	4	14
June	7	11
July	6	17
August	16	17
September	34	17
Total	93	100



FEMS

Workers' compensation present value of outstanding losses for FEMS is \$1.03M for FY2020 and represents a decrease of 13.6% from FY2019. The present value of outstanding losses in FY2019 was \$1.19M.

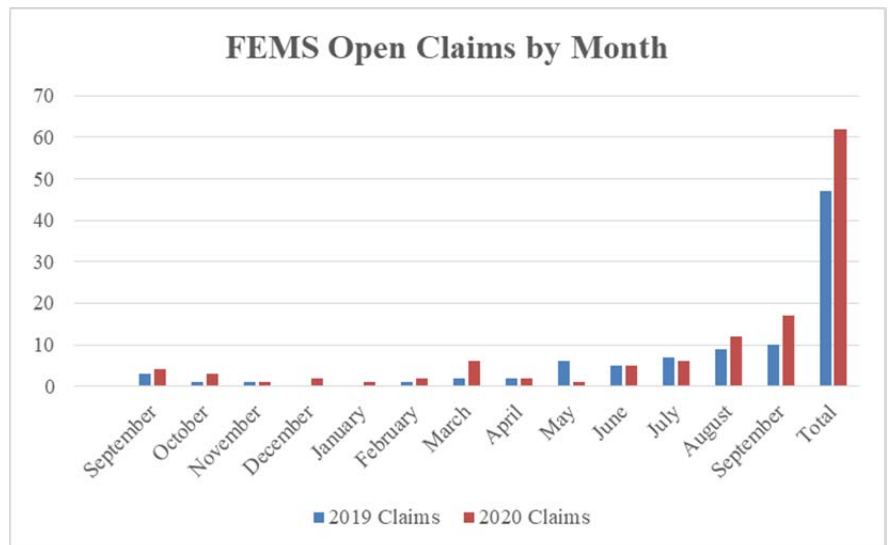
It is our understanding that the existing payroll budget for FEMS already includes a provision for compensating an employee who is called into service to replace any employee who is off duty due becoming eligible for workers' compensation. The existing payroll budget for FEMS already provides funding for compensation to approximately 1.2 employees for every position in the department. Given this situation, while the liabilities disclosed in this report represent our estimate of current and future liabilities associated with FEMS workers' compensation claims, it is our understanding that these liabilities should not be considered additional liabilities for which the District is responsible, as these liabilities are already provided for in the general compensation budget.

III. Workers' Compensation

The following exhibits provide details regarding the FEMS program for FY2020 and FY2019:

<i>Fire Department (FEMS) Disability Compensation Claims</i>		
	9/30/2020	9/30/2019
Number of Open Claims	62	47
Number of Closed Claims	323	398
Total Claims	385	445
<i>FEMS Sick Leave Disability Compensation</i>		
Sick Leave for Open Claims	\$1,393,160	\$995,406
Sick Leave for Closed Claims	\$2,257,350	\$2,862,933
Total Sick Leave	\$3,650,510	\$3,858,339
<i>Total # of FEMS Sick Leave Hours</i>		
Sick Leave Hours for Open Claims	36,220	25,414
Sick Leave Hours for Closed Claims	56,615	72,610
Total Claims	92,835	98,024
<i>Estimated FEMS Sick Leave Hours & Disability Compensation</i>		
Estimated Sick Leave Hours	13,892	19,784
Estimated Sick Leave Compensation	\$519,612	\$807,752

Open Claims	2019 Claims	2020 Claims
September	3	4
October	1	3
November	1	1
December	0	2
January	0	1
February	1	2
March	2	6
April	2	2
May	6	1
June	5	5
July	7	6
August	9	12
September	10	17
Total	47	62



IV. Tort Liability

GENERAL LIABILITY

General Liability has a present value of outstanding losses of \$2.2M for FY2020 and represents a 72.5% increase over FY2019 of \$1.3M. As shown below the District has substantially increased their Case Reserves from last year (1.98M versus 1.06M).

Claim Period	Paid Losses		Case Reserves		Outstanding Losses		Present Value of Losses	
	2020	2019	2020	2019	2020	2019	2020	2019
Prior to 2005-06	3,675,876	3,675,876	0	0	0	0	0	0
2005-2006	428,809	428,809	0	0	0	0	0	0
2006-2007	1,592,767	1,592,767	0	0	0	0	0	0
2007-2008	457,403	457,403	0	0	0	0	0	0
2008-2009	206,315	206,315	0	0	0	0	0	0
2009-2010	290,810	290,810	0	0	0	0	0	0
2010-2011	299,079	161,579	0	0	0	0	0	0
2011-2012	381,507	381,507	0	0	0	0	0	0
2012-2013	617,639	617,639	0	0	0	0	0	0
2013-2014	954,659	419,129	21,913	0	24,342	0	24,342	0
2014-2015	239,084	239,084	0	0	0	0	0	0
2015-2016	99,034	90,676	0	0	0	0	0	0
2016-2017	203,133	100,417	0	111,115	0	122,583	0	121,028
2017-2018	125,130	90,327	319,489	415,270	351,870	456,797	347,812	450,519
2018-2019	199,407	35,092	637,288	537,934	701,017	733,907	690,329	719,509
2019-2020	34,090		1,001,630		1,188,910		1,164,441	
Total	9,804,741	8,787,430	1,980,320	1,064,319	2,266,139	1,313,287	2,226,924	1,291,056

AUTOMOTIVE LIABILITY

Automotive Liability has a present value of outstanding losses of \$1.2M for FY2020 and represents a 21.6% decrease over FY2019 of \$1.5M. As shown below, the District has decreased their Cases Reserves from last year (.75M versus .92M).

Claim Period	Paid Losses		Case Reserves		Outstanding Losses		Present Value of Losses	
	2020	2019	2020	2019	2020	2019	2020	2019
Prior to 2005-06	1,348,472	1,348,472	0	0	0	0	0	0
2005-2006	739,164	739,164	0	0	0	0	0	0
2006-2007	740,792	740,792	0	0	0	0	0	0
2007-2008	893,108	896,578	0	0	0	0	0	0
2008-2009	1,124,224	1,125,398	0	0	0	0	0	0
2009-2010	1,572,750	1,572,750	0	0	0	0	0	0
2010-2011	1,063,190	1,063,190	0	0	0	0	0	0
2011-2012	1,802,986	1,802,986	0	0	0	0	0	0
2012-2013	1,550,368	1,550,368	0	0	0	0	0	0
2013-2014	1,936,363	1,936,363	0	0	0	0	0	0
2014-2015	1,409,483	1,409,483	0	0	0	0	0	0
2015-2016	991,026	999,384	0	0	0	0	0	0
2016-2017	1,034,743	994,725	22,000	50,511	24,257	65,275	24,047	64,369
2017-2018	1,092,347	998,459	37,827	120,508	51,653	202,541	51,006	199,746
2018-2019	1,084,226	479,576	287,965	746,271	334,774	1,261,424	330,281	1,243,435
2019-2020	288,631		404,207		787,368		776,501	
Total	18,671,873	17,657,688	751,999	917,290	1,198,052	1,529,240	1,181,835	1,507,550

V. ULAE

The present value of outstanding ULAE is estimated to be \$7.61M for 2020. In 2019 the ULAE was estimated to be \$7.56M, resulting in a small increase year over year, of 0.6%.

	Estimated Outstanding ULAE 9/30/2020	Estimated Outstanding ULAE 9/30/2019	Present Value of Estimated Outstanding ULAE 9/30/2020	Present Value of Estimated Outstanding ULAE 9/30/2019	% Change
Workers Compensation					
PSWCP	\$7,234,039	\$7,412,594	\$6,689,095	\$6,840,527	-2.2%
Police	\$199,315	\$170,206	\$199,315	\$170,206	17.1%
Fire	\$123,061	\$130,559	\$123,061	\$130,559	-5.7%
Sub Total	\$7,556,415	\$7,713,359	\$7,011,471	\$7,141,292	-1.8%
Liability					
General Liability	\$396,574	\$196,993	\$389,712	\$193,658	101.2%
Automobile Liability	\$209,659	\$229,386	\$206,821	\$226,133	-8.5%
Sub Total	\$606,233	\$426,379	\$596,533	\$419,791	42.1%
Total	\$8,162,648	\$8,139,738	\$7,608,004	\$7,561,083	0.6%

Assumes an interest rate of 1.75%.

VI. Results & Data Analysis

As part of the annual actuarial study, a thorough review is undertaken of the claims data received from ORM, MPD and FEMS. The changes in claims data continue to appear to reflect better claims management and the continued efforts to improve management of the workers' compensation and tort liability program processes. This improvement has continued over the last decade, which is the period over which PRM and Aon have partnered on this project. These changes have at least partially come about due to ORM's bringing the claims administration process in-house versus the prior practice of utilizing TPA services.

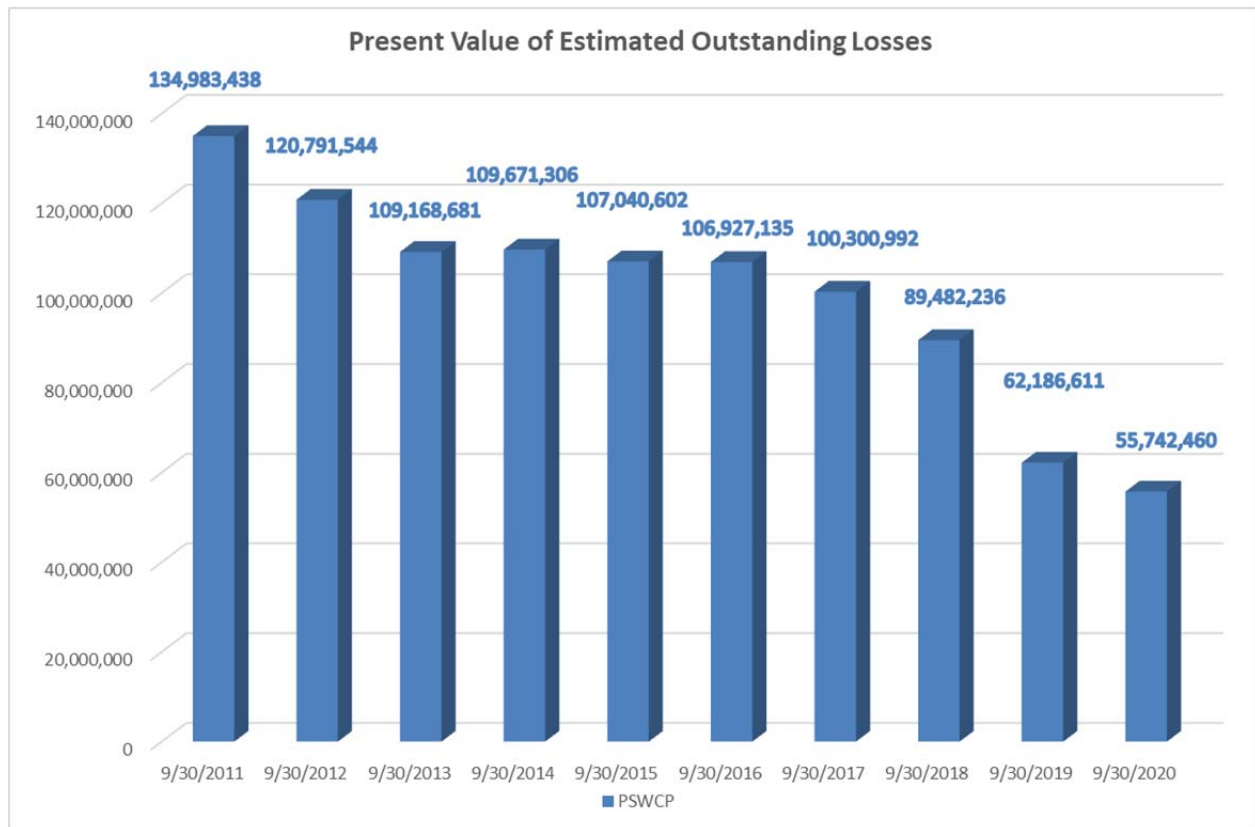
To illustrate this, we have included below three charts showing the historical results from 9/30/2011 to 9/30/2020 for both workers' compensation and tort liability:

1. Chart 1 shows the workers' compensation present value of outstanding losses for Public-Sector Workers' Compensation Program (PSWCP) for periods 9/30/2011 through 9/30/2020.
2. Chart 2 shows the workers' compensation present value of outstanding losses for the uniform (sworn) employees consisting of police (MPD) and fire and emergency medical services personnel (FEMS) for periods 9/30/2011 through 9/30/2020.
3. Chart 3 shows the present value of outstanding losses for general and automobile tort liability for periods for 9/30/2011 through 9/30/2020.

VI. Results & Data Analysis

Chart 1

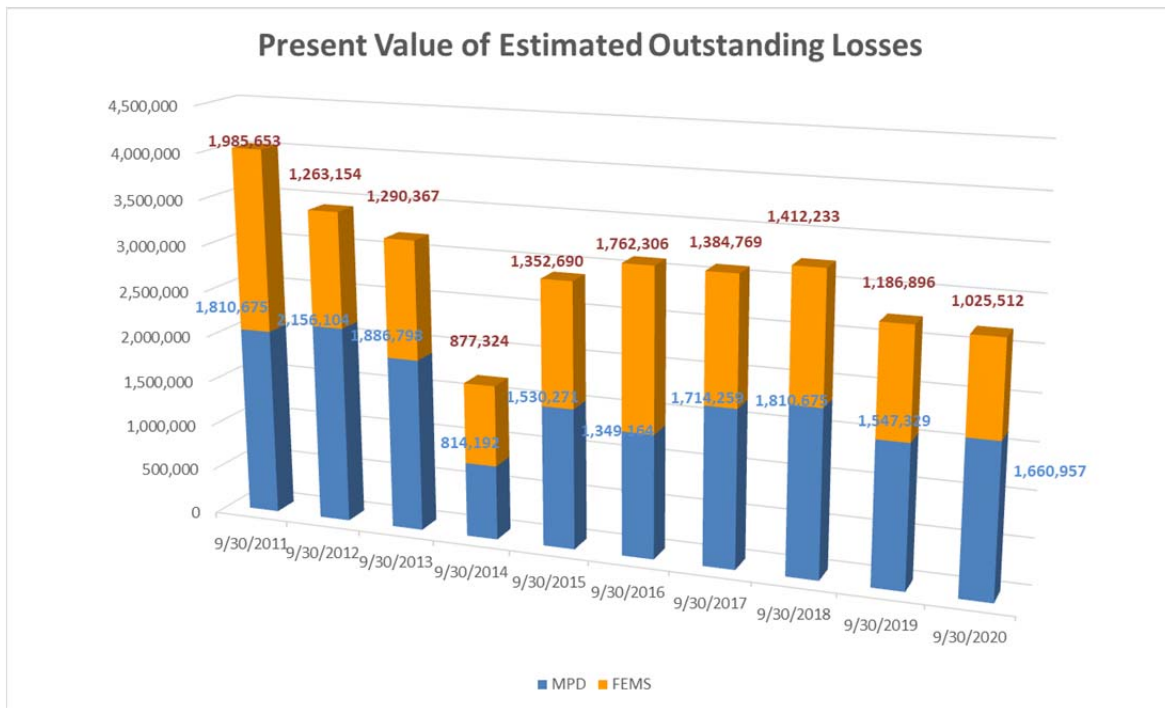
Workers' Compensation



VI. Results & Data Analysis

Chart 2

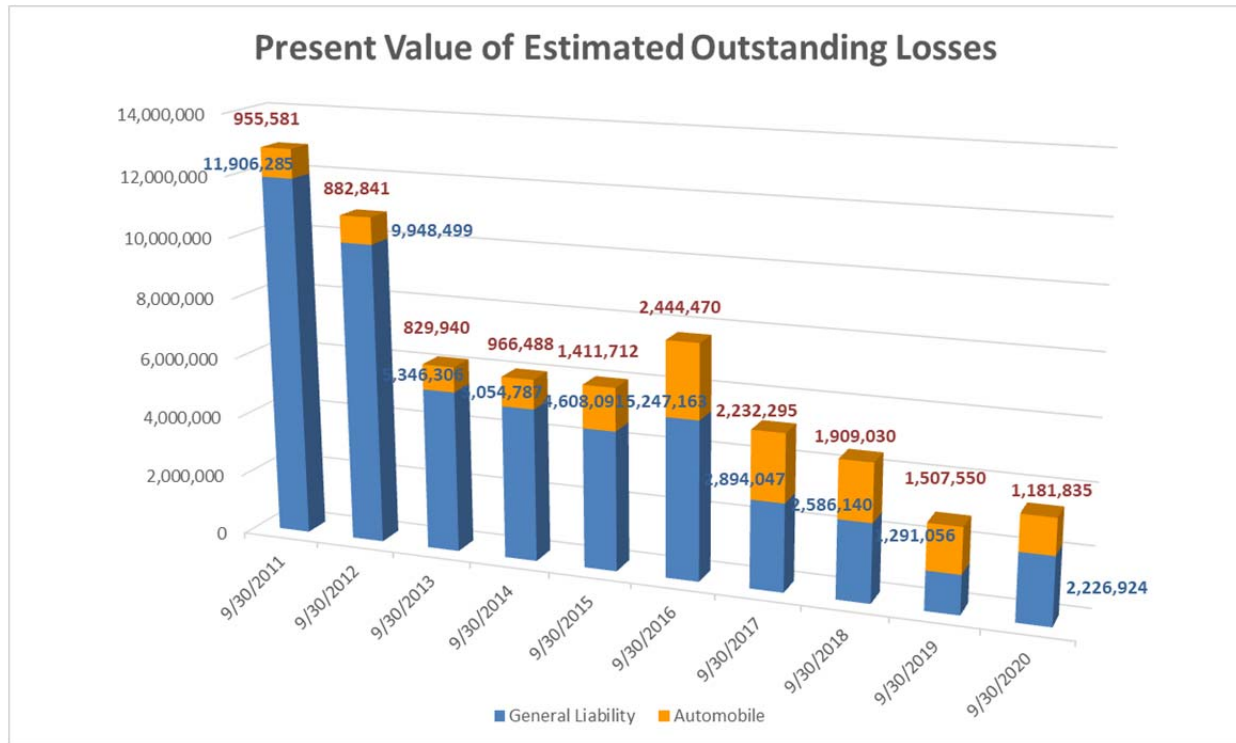
Workers' Compensation



VI. Results & Data Analysis

Chart 3

Tort Liability



**District of Columbia
Office of Risk Management**

**Actuarial Study of the
Self-Insured Workers Compensation
and Liability Programs
as of September 30, 2020**

January 7, 2021

January 7, 2021

District of Columbia
Office of Risk Management
441 4th Street NW, Suite 800 South
Washington, DC 20001

Attn: Mr. Jed Ross
Chief Risk Officer and Director

**Actuarial Study of the
Self-Insured Workers Compensation
and Liability Programs
as of September 30, 2020**

This study has been completed for the District of Columbia Office of Risk Management for the specific objectives listed in the study. It contains the analysis and conclusions of our work.

Each section and appendix of the study is an integral part of the whole. We recommend a review of the entire study prior to reliance upon this study.

No key personnel have a relationship with the District of Columbia Office of Risk Management that may impair our objectivity.

Please call if you have any questions. Thank you for the opportunity to be of service.

Respectfully submitted,

Aon Global Risk Consulting

By Mujtaba Datto
Mujtaba Datto, ACAS, MAAA, FCA
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Appendices

A	Conditions and Limitations
B	Glossary of Actuarial Terms
C	Exhibits

I. Background

The District of Columbia Office of Risk Management (ORM) is self-insured for workers compensation, general liability, and automobile liability. These programs are completely self-insured with no excess insurance for all years.

The Public Sector Workers Compensation Program (PSWCP) provides workers compensation coverage for civilian District employees. The Work Injury Program (WIP) covers sworn District employees, primarily police and fire and emergency personnel, providing 100% indemnity compensation for performance of duty injuries.

PSWCP claims were handled by a third-party administrator (TPA) until August 1, 2018, when ORM began administering claims in-house. General liability, automobile liability, and police and fire claims are also handled by their respective in-house staff.

Data/Analysis

Data

Loss data valued as of September 30, 2020 was provided to us by ORM. Separate files were provided for the PSWCP, WIP, general liability, and automobile liability programs. WIP claims were further divided between the Metropolitan Police Department (MPD) and the Fire and Emergency Medical Services Department (FEMS). We were advised by ORM that the PSWCP data may be incomplete for claims incurred prior to 2004/05.

ORM also provided payroll (for all agencies that used the Disability Compensation Program) and vehicle counts through 2020/21. Vehicle projections for 2021/22 are based on a 0% trend. Payroll for 2020/21 is based on the actual 2019/20 payroll and the trend in budgeted payroll provided by DCORM from 2019/20 to 2020/21 (a trend of 2%). The estimated payroll for 2021/22 was projected based on the 2020/21 payroll and an assumed 5% trend, which was selected based on historical actual payroll.

In conducting this analysis, we relied upon the provided data without audit or independent verification; however, we reviewed it for reasonableness and consistency. Any inaccuracies in quantitative data or qualitative representations could have a significant effect on the results of our review and analysis. Any material discrepancies discovered in the loss or exposure data by ORM or any other parties should be reported to us immediately, and if warranted, we will make appropriate amendments to the report.

➤ PSWCP

As noted above, ORM began self-administering PSWCP claims on August 1, 2018. Per discussion with ORM, we understand there is continued focus on claims review and closure, resulting in reductions in both open claim counts and case reserves.

We have included the following diagnostic exhibits in Exhibit WC-2:

- Average severity per claim on page 6.
- Average case reserves on page 7.
- Closed claims ratio on page 8.

Chapter 1 Amendments

We understand that effective July 7, 2017, amendments to Chapter 1 (Public Sector Workers' Compensation Benefits) of Title 7 (Employment Benefits) of the District of Columbia Municipal Regulations were adopted. The published Notice of Final Rulemaking states that "The changes made in the final rulemaking do not substantially change the intent, meaning, or application of the proposed rules as published with the notice of proposed rulemaking, or impose new obligations or requirements on claimants. Rather, the changes serve to clarify application of the rules by lessening restrictions and decreasing burdens on claimants, and correct language or grammar errors." We have reviewed the clarifications adopted and find that the changes do not have a material impact on the results of our analysis, and any associated costs cannot be readily estimated. No adjustment to our analysis has been made for the Chapter 1 amendments.

Code Change ("500-week benefit cap")

We understand that in September 2011, a new law went into effect under Title I, Chapter 6, Subchapter XXIII (PSWCP), §1-623-06a, which implemented a 500-week cap on temporary disability benefits. This cap applies to employees hired after January 1, 1980 and applies to temporary disability benefits to be paid after April 24, 2021. ORM provided us with a list of 79 injured workers whose benefit payments are scheduled to end on April 24, 2021 or shortly thereafter. Based on our review of the available claim data for these workers, it appears that the 500-week cap has historically been reflected in the case reserves set for these claims. Therefore, we have made no further adjustment to the estimated ultimate losses to reflect the impact of this benefit cap. If this law is repealed, the estimated ultimate and outstanding losses may be understated.

➤ WIP

The MPD and FEMS data consisted of indemnity payments and other claim detail for claimants who were on or completed injury leave during fiscal year ending September 30, 2020. We understand medical expenses related to these claims are fully-insured by a medical contract program and are thus not included in our study results.

The Omnibus Public Safety Agency Reform Amendment Act of 2004, which became effective October 1, 2004, requires police officers who spend 172 cumulative work days at less than full-duty status for any single injury or illness over any two-year period be processed for disability retirement. A corresponding threshold of 192 days applies to FEMS employees.

The estimated outstanding losses for WIP claims were based on the average hourly rate for employees currently on disability, the actual historical and estimated future payments for such employees, as well as the projected number of incurred but not yet reported claims. In the prior actuarial study, we multiplied the estimated additional hours for open claims (provided by ORM) by the average hourly rate to estimate the case reserve. In the current study, we have relied on the case reserve provided by ORM, and included exhibits listing all open claims for MPD and FEMS.

Due to the two-year limitation of benefits and the uncertainty in the loss estimates for the WIP, the outstanding losses are provided at full-value only without any discounting for future investment income.

COVID-19

The potential short- and long-term impacts of the COVID-19 pandemic and the ensuing economic recession are currently unknown. We did not discern any significant claims activity due to COVID-19. We recommend ORM consult with its claims professionals and legal counsel to ascertain any potential risk for COVID-19 claims.

The 2019/20 projected workers compensation and automobile liability losses shown in this study reflect the estimated impact of decreased exposure due to COVID. The claim data indicated that claim frequency declined beginning in May for workers compensation and March for automobile liability. Based on these assumptions and ORM's historical reported claims by month, we applied a risk-adjustment factor to the 2019/20 exposure to reflect the lower expected claims for this year. The adjustment factor is 0.83 for workers compensation and 0.80 for automobile liability. Thus, the reduction in claims is equivalent to decreasing workers compensation payroll by 17% ($= 1 - 0.83$), and vehicle counts by 20% ($= 1 - 0.80$). These adjustments are based on the limited claim frequency data available at this time. As such, our estimate of the projected losses for 2019/20 is subject to more uncertainty than usual.

In addition, there is significant uncertainty regarding the impact of COVID-19 and any potential legislation, executive orders, or stay-at home orders on 2020/21 claims. As such, we made no adjustment to the estimates for this year for COVID-19. The actual emerged claim experience may differ significantly from the estimates projected in this report.

II. Objectives

The specific objectives of this study are:

1. **Estimate Outstanding Losses.** Estimate outstanding losses (including allocated loss adjustment expenses [ALAE]) as of September 30, 2020.

The estimated outstanding losses are the cost of unpaid claims. The estimated outstanding losses include case reserves, the development of known claims and incurred but not reported (IBNR) claims. ALAE are the direct expenses for settling specific claims.

2. **Estimate Outstanding Unallocated Loss Adjustment Expenses.** Estimate outstanding unallocated loss adjustment expenses [ULAE] as of September 30, 2020.

Estimated outstanding ULAE are the indirect expenses to settle unpaid claims.

3. **Project Ultimate Losses.** Project ultimate losses (including ALAE) for 2020/21 and 2021/22.

The projected ultimate losses are the accrual value of losses with accident dates during 2020/21 and 2021/22, regardless of report or payment date.

4. **Project Losses Paid.** Project losses paid during 2020/21 and 2021/22.

The projected losses paid are the claim disbursements during 2020/21 and 2021/22, regardless of accident or report date.

5. **Compare to Previous Actuarial Study.** Compare to the previous actuarial study valued as of September 30, 2019.

6. **Size of Loss Distribution Analysis.** Analyze the distribution of losses in various layers.

7. **Affirm GASB Statement No. 10 Compliance.** Provide a statement affirming the conclusions of this report are consistent with Governmental Accounting Standards Board (GASB) Statement No. 10.

III. Conclusions

We have reached the following conclusions:

1. Estimate Outstanding Losses

The estimated outstanding losses as of September 30, 2020 are shown in Table III-1A.

Table III-1A
Estimated Outstanding Losses
September 30, 2020

Program (1)	Estimated Outstanding Losses (2)	Present Value of Estimated Outstanding Losses (3)
(A) Workers compensation		
i) PSWCP	\$60,283,657	\$55,742,460
ii) Police	1,660,957	1,660,957
iii) Fire	1,025,512	1,025,512
Subtotal	\$62,970,126	\$58,428,929
(B) General liability	2,266,139	2,226,924
(C) Automobile liability	1,198,052	1,181,835
(D) Total (A) ... (C)	\$66,434,317	\$61,837,688

Note: (Ai) is from Exhibit WC-11.
(Aii) and (Aiii) are from Exhibit PF-1.
(B) is from Exhibit GL-11.
(C) is from Exhibit AL-11.

The total estimated outstanding losses as of September 30, 2020 (\$66.4 million) are \$6.5 million less than the estimate as of September 30, 2019 in the prior report (\$73.0 million). Decreases for workers compensation (-\$7.2 million) and automobile liability (-\$0.3 million) were partially offset by a \$1.0 million increase for general liability.

For the PSWCP program, the outstanding losses decreased by \$7.1 million, from \$67.4 million to \$60.3 million. This change consists of:

- +\$10.0 million from the additional year of exposure (2019/20),
- -\$13.8 million for claim payments made during 2019/20, and
- -\$3.3 million due to a decrease in projected ultimate losses for claim periods 2018/19 and prior. This decrease is due favorable development since the prior study and is discussed further beginning on page 23.

A reconciliation from the previous study to the current study is shown in Table III-1B.

Table III-1B
Reconciliation of Outstanding Losses
as of September 30, 2019 and September 30, 2020

Claim Period (1)	Item (2)	PSWCP (3)	General Liability (4)	Automobile Liability (5)	Total (6)
(A) Through 2018/19	(i) Estimated outstanding losses as of 10/01/19	\$67,387,227	\$1,313,288	\$1,529,240	\$70,229,755
	(ii) Losses paid during 2019/20	(12,483,224)	(983,221)	(725,554)	(14,191,999)
	(iii) Change in projected ultimate limited losses for claim periods 2018/19 and prior: • (Aiii) is -0.7% of the total projected ultimate losses	(3,296,241)	747,161	(393,002)	(2,942,081)
	(iv) Estimated outstanding losses as of 9/30/20 (Ai) + (Aii) + (Aiii)	\$51,607,762	\$1,077,228	\$410,684	\$53,095,675
(B) 2019/20	(i) Projected ultimate limited losses	\$9,950,000	\$1,223,000	\$1,076,000	\$12,249,000
	(ii) Losses paid during 2019/20	(1,274,104)	(34,090)	(288,631)	(1,596,825)
	(iii) Estimated outstanding losses as of 9/30/20 (Bi) + (Bii)	\$8,675,896	\$1,188,910	\$787,369	\$10,652,175
(C) Total (Aiv) + (Biii)	(i) Estimated outstanding losses as of 9/30/20	\$60,283,659	\$2,266,138	\$1,198,053	\$63,747,850

Note: (Ai) is from the September 30, 2019 actuarial study (report dated January 7, 2020).
(Aii) is based on data provided as September 30, 2019 and September 30, 2020.
(Aiii) is based on Exhibits WC-11, GL-11, AL-11, and the previous actuarial study.
(Bi) is from Exhibits WC-10, GL-10 and AL-10.
(Bii) is based on Exhibits WC-11, GL-11 and AL-11.

The estimated outstanding losses as of September 30, 2020 at various confidence levels are shown in Tables III-1C and III-1D.

Table III-1C
Estimated Outstanding Losses
at Various Confidence Levels
September 30, 2020
Full Value

Program (1)	Expected (2)	70% (3)	90% (4)
(A) Workers compensation			
i) PSWCP	\$60,283,657	\$66,312,023	\$78,368,754
ii) Police	1,660,957	1,827,053	2,159,244
iii) Fire	1,025,512	1,128,063	1,333,166
Subtotal	\$62,970,126	\$69,267,139	\$81,861,164
(B) General liability	2,266,139	2,606,060	3,739,129
(C) Automobile liability	1,198,052	1,317,857	1,497,565
(D) Total (A) ... (C)	\$66,434,317	\$73,191,056	\$87,097,858

Note: (2) is from Table III-1A, Column (2).
(3) and (4) are based on (2) and actuarial judgment.

Table III-1D
Estimated Outstanding Losses
at Various Confidence Levels
September 30, 2020
Present Value

Program (1)	Expected (2)	70% (3)	90% (4)
(A) Workers compensation			
i) PSWCP	\$55,742,460	\$61,316,706	\$72,465,198
ii) Police	1,660,957	1,827,053	2,159,244
iii) Fire	1,025,512	1,128,063	1,333,166
Subtotal	\$58,428,929	\$64,271,822	\$75,957,608
(B) General liability	2,226,924	2,560,963	3,674,425
(C) Automobile liability	1,181,835	1,300,019	1,477,294
(D) Total (A) ... (C)	\$61,837,688	\$68,132,803	\$81,109,326

Note: (2) is from Table III-1A, Column (3).
(3) and (4) are based on (2) and actuarial judgment.

The present value of the estimated outstanding losses is the estimated outstanding losses discounted to reflect future investment earnings. It is based on a 1.75% interest rate.

2. Estimate Outstanding ULAE

The estimated outstanding unallocated loss adjustment expenses (ULAE) as of September 30, 2020 are shown in Table III-2.

Table III-2
Estimated Outstanding ULAE
September 30, 2020

Program (1)	Estimated Outstanding ULAE (2)	Present Value of Estimated Outstanding ULAE (3)
(A) Workers compensation		
i) PSWCP	\$7,234,039	\$6,689,095
ii) Police	199,315	199,315
iii) Fire	123,061	123,061
Subtotal	\$7,556,415	\$7,011,471
(B) General liability	396,574	389,712
(C) Automobile liability	209,659	206,821
(D) Total (A) ... (C)	\$8,162,649	\$7,608,004

Note: (Ai) is based on Table III-1A and Summary Exhibit 2.
(Aii) is based on Table III-1A and a selected ratio of 12.0%.
(Aiii) is based on Table III-1A and a selected ratio of 12.0%.
(B) is based on Table III-1A and Summary Exhibit 3.

3. Project Ultimate Losses

The projected ultimate losses for 2020/21 and 2021/22 are provided in the following tables.

The projected ultimate losses for 2020/21 are shown at the expected confidence level in Table III-3Ai, while the corresponding projections at various confidence levels are shown in Table III-3Aii (full value) and Table III-3Aiii (present value).

**Table III-3Ai
Projected Ultimate Losses
2020/21**

Program (1)	Exposure (2)	Loss Rate (3)	Projected Ultimate Limited Losses (4)	Present Value of Loss Rate (5)	Present Value of Projected Ultimate Limited Losses (6)
(A) Workers compensation	\$3,265,593	\$0.41	\$13,415,000	\$0.37	\$12,224,000
(B) General liability	3,265,593	0.028	899,000	0.027	868,000
(C) Automobile liability	5,268	296.46	1,562,000	288.67	1,521,000
(D) Total (A) ... (C)			\$15,876,000		\$14,613,000

Note: (A) is from Exhibit WC-10. Exposure is payroll (\$000s). Loss rates are per \$100 payroll.
(B) is from Exhibit GL-10. Exposure is payroll (\$000s). Loss rates are per \$100 payroll.
(C) is from Exhibit AL-10. Exposure is vehicles. Loss rates are per vehicle.

**Table III-3Aii
Projected Ultimate Losses
at Various Confidence Levels
2020/21
Full Value**

Program (1)	Expected (2)	70% (3)	90% (4)
(A) Workers compensation	\$13,415,000	\$15,427,250	\$20,793,250
(B) General liability	899,000	1,033,850	1,528,300
(C) Automobile liability	1,562,000	1,718,200	2,108,700
(D) Total (A) ... (C)	\$15,876,000	\$18,179,300	\$24,430,250

Note: (2) is from Table III-2Ai, Column (2).
(3) and (4) are based on (2) and actuarial judgment.

**Table III-3Aiii
Projected Ultimate Losses
at Various Confidence Levels
2020/21
Present Value**

Program (1)	Expected (2)	70% (3)	90% (4)
(A) Workers compensation	\$12,224,000	\$14,057,600	\$18,947,200
(B) General liability	868,000	998,200	1,475,600
(C) Automobile liability	1,521,000	1,673,100	2,053,350
(D) Total (A) ... (C)	\$14,613,000	\$16,728,900	\$22,476,150

Note: (2) is from Table III-2Ai, Column (3).
(3) and (4) are based on (2) and actuarial judgment.

The projected ultimate losses for 2021/22 are shown at the expected confidence level in Table III-3Bi, while the corresponding projections at various confidence levels are shown in Table III-3Bii (full value) and Table III-3Biii (present value).

**Table III-3Bi
Projected Ultimate Losses
2021/22**

Program (1)	Exposure (2)	Loss Rate (3)	Projected Ultimate Limited Losses (4)	Present Value of Loss Rate (5)	Present Value of Projected Ultimate Limited Losses (6)
(A) Workers compensation	\$3,428,873	\$0.42	\$14,368,000	\$0.38	\$13,092,000
(B) General liability	3,428,873	0.029	991,000	0.028	957,000
(C) Automobile liability	5,268	308.32	1,624,000	300.22	1,582,000
(D) Total (A) ... (C)			\$16,983,000		\$15,631,000

Note: (A) is from Exhibit WC-10. Exposure is payroll (\$000s). Loss rates are per \$100 payroll.
(B) is from Exhibit GL-10. Exposure is payroll (\$000s). Loss rates are per \$100 payroll.
(C) is from Exhibit AL-10. Exposure is vehicles. Loss rates are per vehicle.

Table III-3Bii
Projected Ultimate Losses
at Various Confidence Levels
2021/22
Full Value

Program (1)	Expected (2)	70% (3)	90% (4)
(A) Workers compensation	\$14,368,000	\$16,523,200	\$22,270,400
(B) General liability	991,000	1,139,650	1,684,700
(C) Automobile liability	1,624,000	1,786,400	2,192,400
(D) Total (A) ... (C)	\$16,983,000	\$19,449,250	\$26,147,500

Note: (2) is from Table III-2Bi, Column (2).
(3) and (4) are based on (2) and actuarial judgment.

Table III-3Biii
Projected Ultimate Losses
at Various Confidence Levels
2021/22
Present Value

Program (1)	Expected (2)	70% (3)	90% (4)
(A) Workers compensation	\$13,092,000	\$15,055,800	\$20,292,600
(B) General liability	957,000	1,100,550	1,626,900
(C) Automobile liability	1,582,000	1,740,200	2,135,700
(D) Total (A) ... (C)	\$15,631,000	\$17,896,550	\$24,055,200

Note: (2) is from Table III-2Bi, Column (3).
(3) and (4) are based on (2) and actuarial judgment.

The present value of the projected ultimate losses is the amount of money, discounted for anticipated investment income, required to meet claims. It is calculated based on a 1.75% yield on investments.

All costs other than claims are additional.

4. Project Losses Paid

The losses projected to be paid during 2020/21 and 2021/22 are shown in Table III-4.

Table III-4
Projected Losses Paid
2020/21 and 2021/22

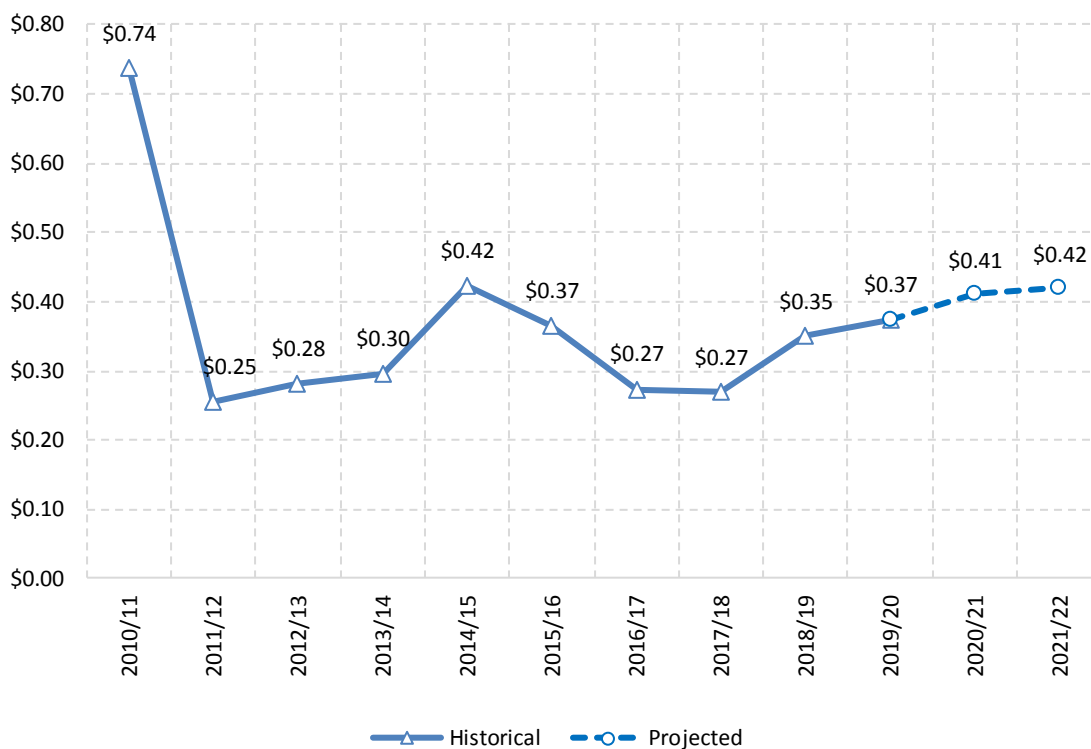
Program (1)	2020/21 (2)	2021/22 (3)
(A) Workers compensation	\$12,451,098	\$14,488,492
(B) General liability	1,475,895	1,149,558
(C) Automobile liability	1,239,178	1,493,967
(D) Total (A) ... (C)	\$15,166,171	\$17,132,017

Note: (2) is from Exhibits WC-12, GL-12 and AL-12.
(3) is from Exhibits WC-13, GL-13 and AL-13.

Loss Experience Trends

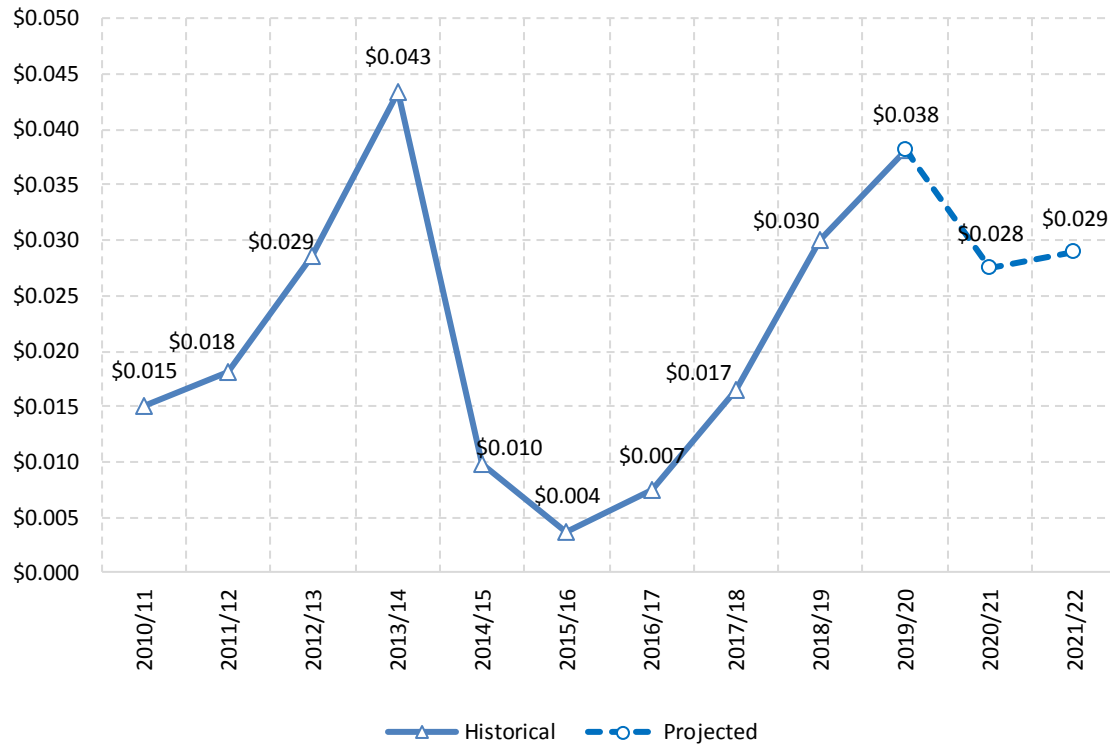
Graphs III-1A, III-1B and III-1C show loss experience trends for workers compensation, general liability and automobile liability, respectively. For workers compensation and general liability, the graphs show loss rates per \$100 of payroll. The graph for automobile liability shows loss rates per vehicle.

Graph III-1A
Loss Rate per \$100 of Payroll
Workers Compensation – PSWCP Claims Only



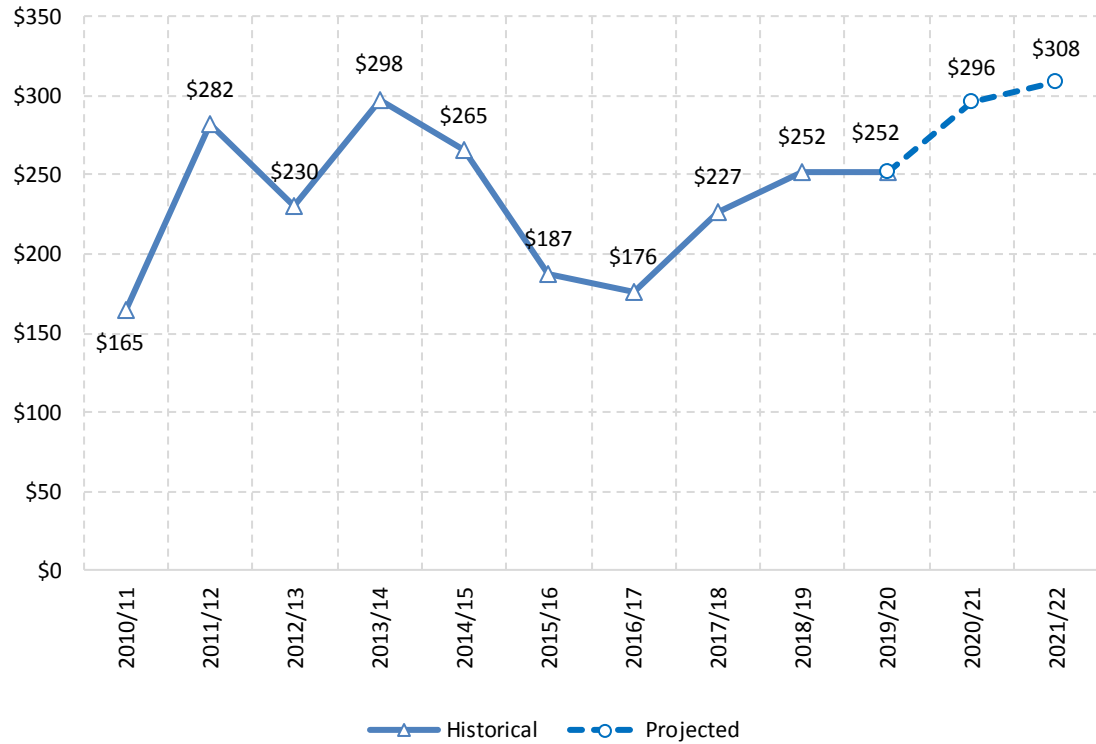
Note: Loss rates are from Exhibit WC-10, columns (4) and (7).

Graph III-1B
Loss Rate per \$100 of Payroll
General Liability



Note: Loss rates are from Exhibit GL-10, columns (4) and (7).

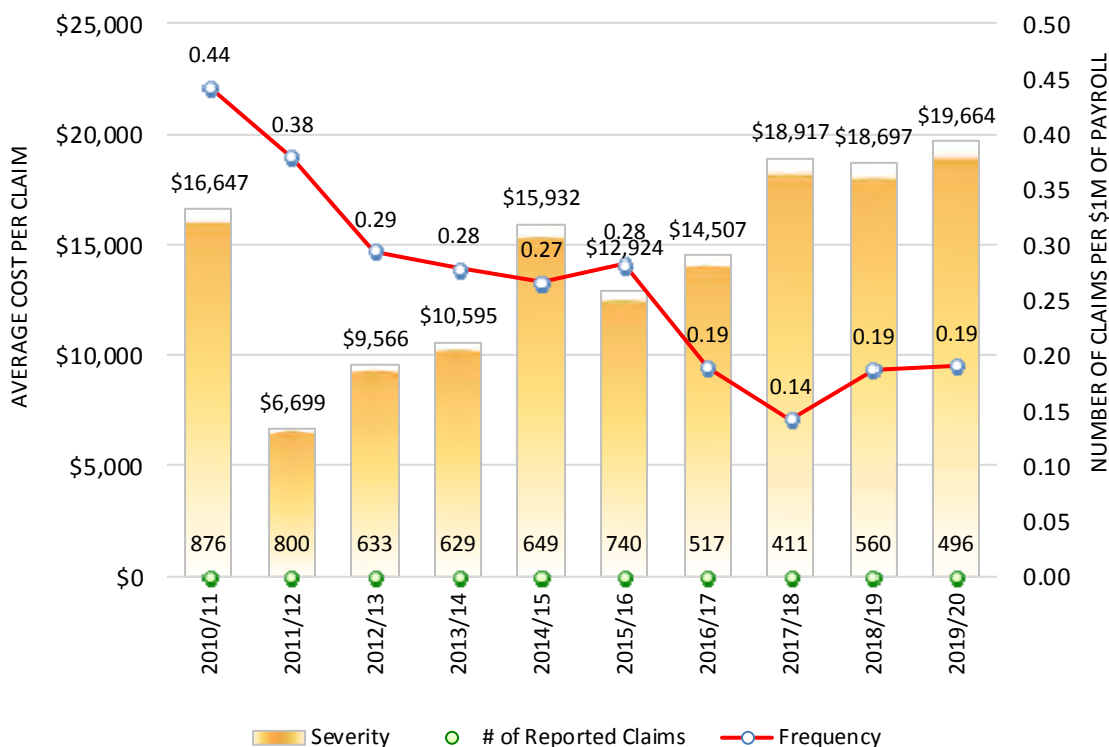
Graph III-1C
Loss Rate per Vehicle
Automobile Liability



Note: Loss rates are from Exhibit AL-10, columns (4) and (7).

Graphs III-2A, III-2B and III-2C show loss experience trends for workers compensation, general liability and automobile liability, respectively, as measured by frequency and severity.

**Graph III-2A
Frequency and Severity
Workers Compensation – PSWCP Claims Only**



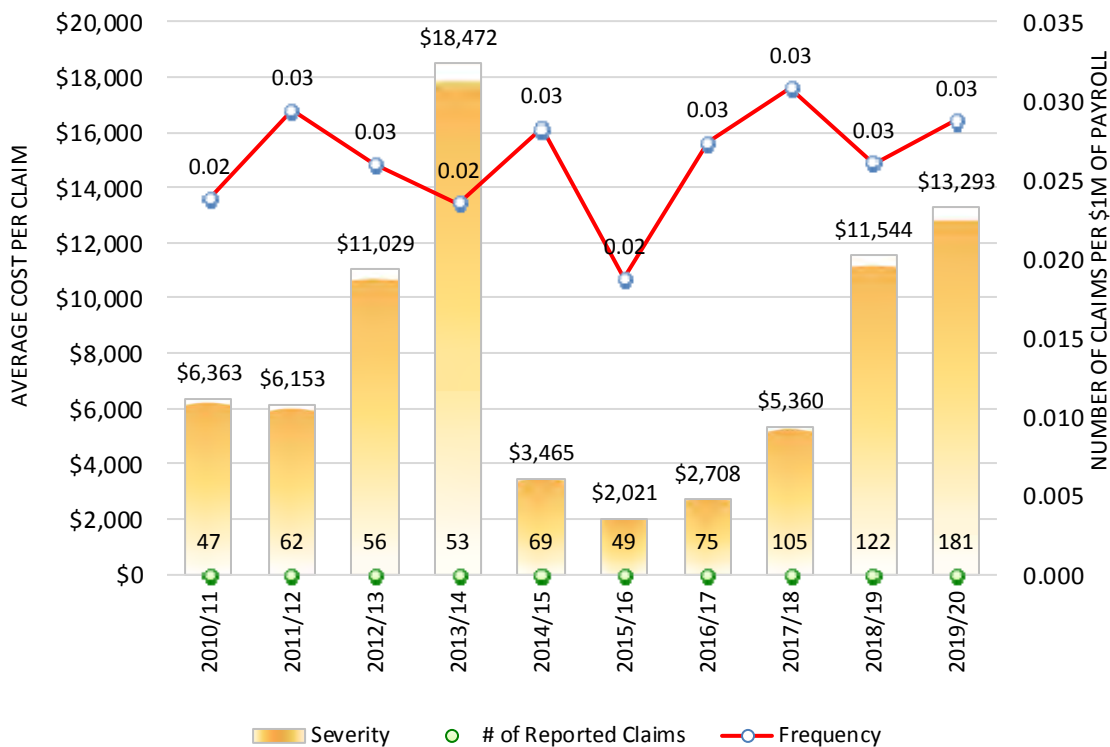
Note: Frequencies are from Exhibit WC-8, Section I, column (7). Claims with \$0 incurred are excluded.
Severity amounts are based on the projected claim counts in Exhibit WC-8 and the projected ultimate losses in Exhibit WC-9.

The PSWCP severities for 2011/12 through 2013/14 are relatively low due to fewer claims above \$100,000 in these years.

The severities for 2017/18 through 2019/20 are relatively high due to the lower number of reported claims (through September 30, 2020).

There has been a general downward trend in frequency (number of claims per \$1 million payroll) over the last 10 years.

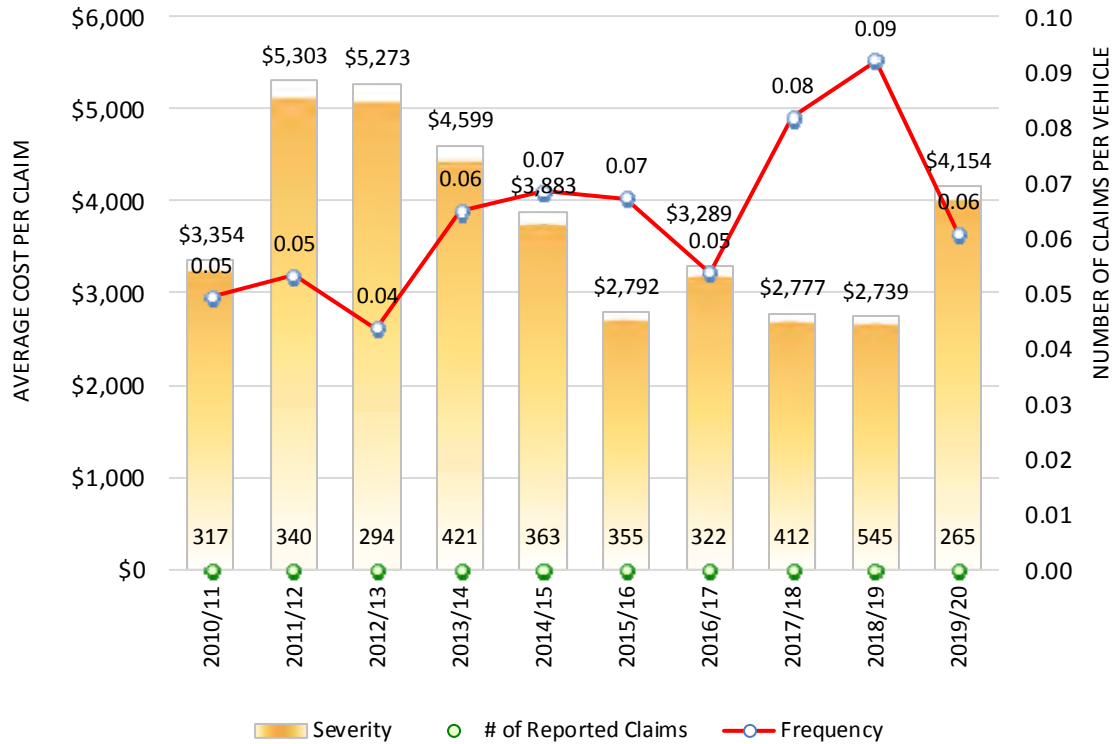
**Graph III-2B
Frequency and Severity
General Liability**



Note: Frequencies amounts are from Exhibit GL-8, Section I, column (7).
Severity amounts are based on the projected claim counts in Exhibit GL-8 and the projected ultimate losses in Exhibit GL-9.

The relatively high severity for 2013/14 is due to one large claim, with reported incurred losses of \$0.6 million.

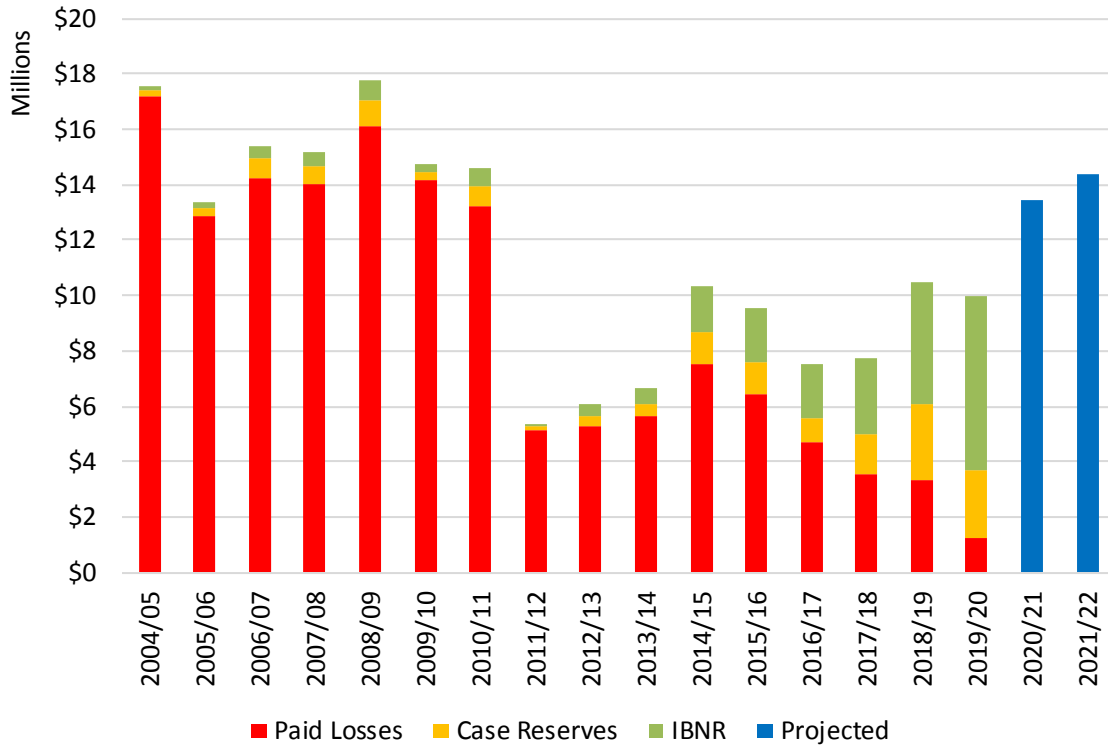
**Graph III-2C
Frequency and Severity
Automobile Liability**



Note: Frequencies are from Exhibit AL-8, Section I, column (7).
Severity amounts are based on the projected claim counts in Exhibit AL-8 and the projected ultimate losses in Exhibit AL-9.

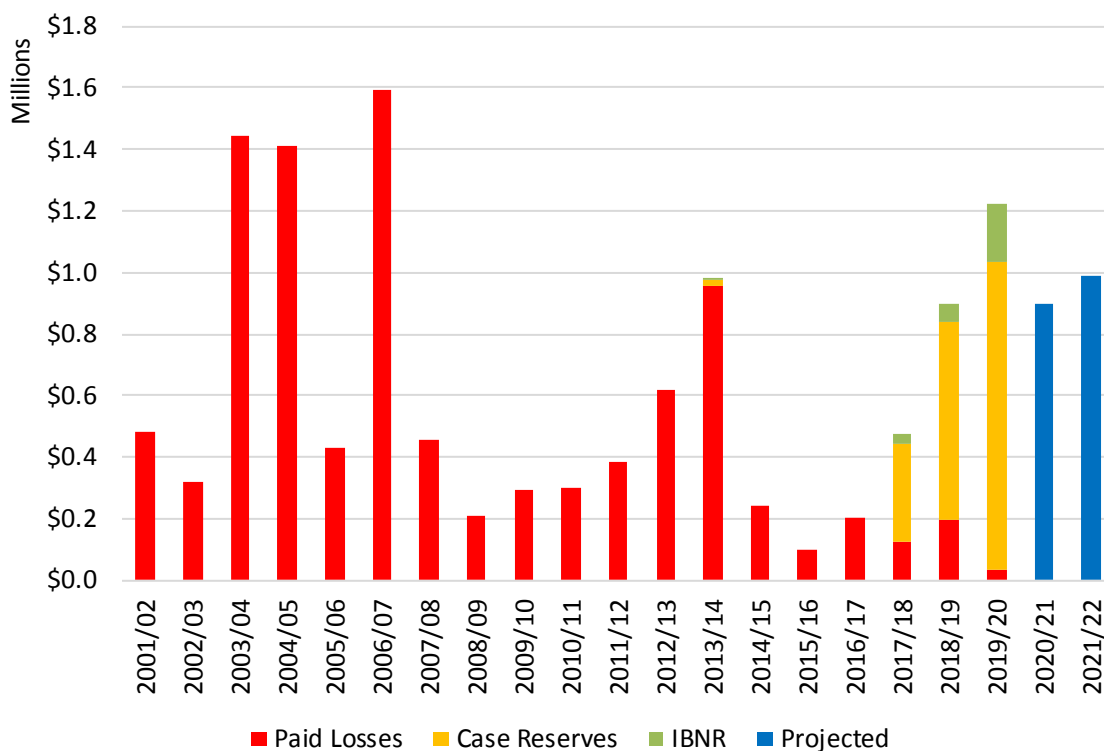
Graphs III-3A, III-3B and III-3C show the composition of the projected ultimate losses for workers compensation, general liability and automobile liability, respectively.

Graph III-3A
Composition of Projected Ultimate Losses
Workers Compensation – PSWCP Claims Only



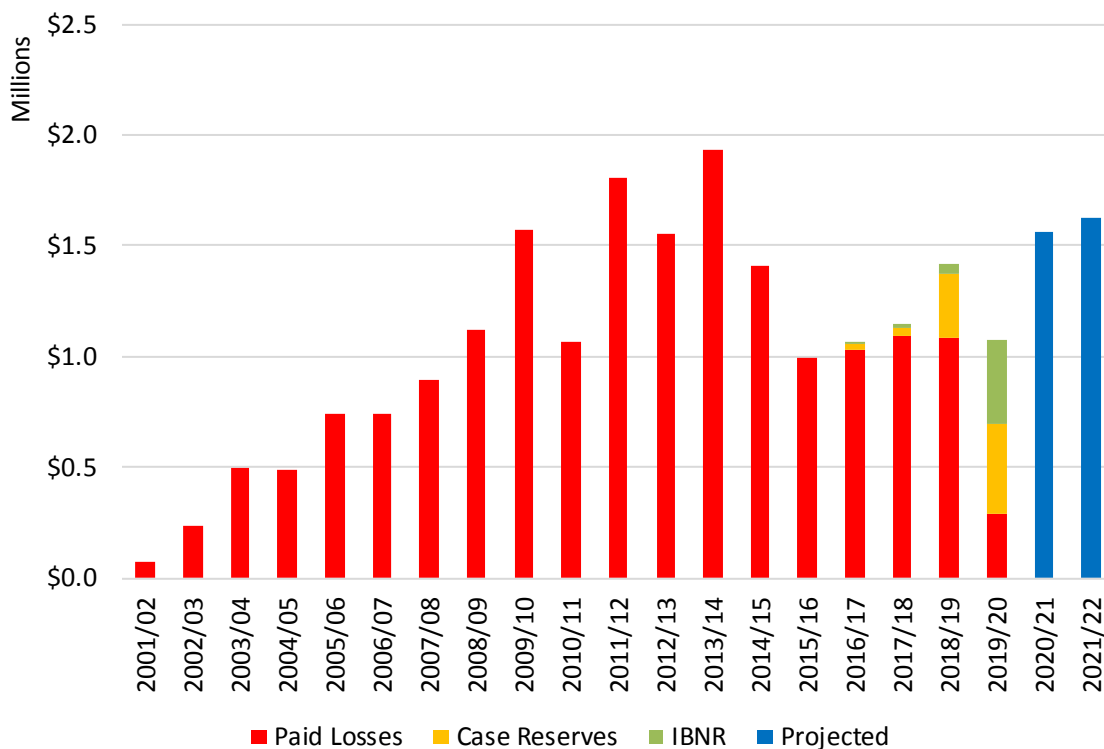
Note: Amounts through 2019/20 are from Exhibit WC-11.
Amounts for 2020/21 and 2021/22 are from Exhibit WC-10.

Graph III-3B
Composition of Projected Ultimate Losses
General Liability



Note: Amounts through 2019/20 are from Exhibit GL-11.
Amounts for 2020/21 and 2021/22 are from Exhibit GL-10.

Graph III-3C
Composition of Projected Ultimate Losses
Automobile Liability

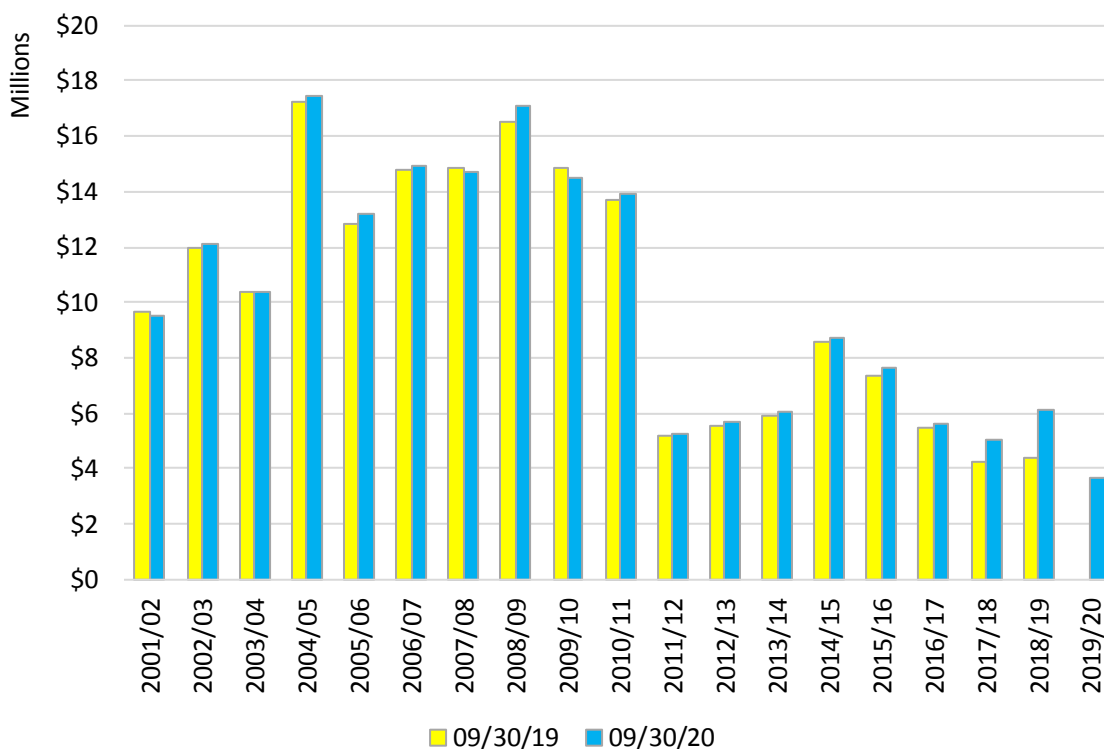


Note: Amounts through 2019/20 are from Exhibit AL-11.
Amounts for 2020/21 and 2021/22 are from Exhibit AL-10.

5. Compare to Previous Actuarial Study

Graphs III-4 and III-5 compare the reported incurred losses and projected ultimate losses, respectively, by claim period for ORM's workers compensation claims from the previous study (report dated January 7, 2020) to the current study.

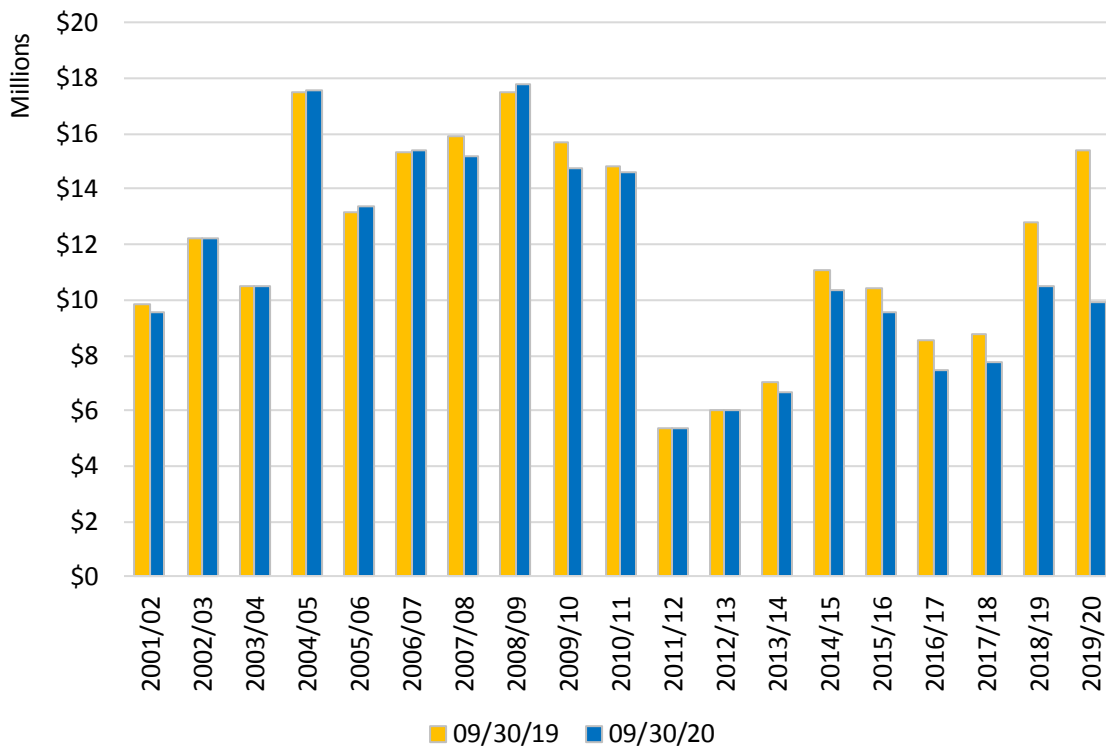
Graph III-4
Comparison of Reported Incurred Losses
as of September 30, 2019 and September 30, 2020
Workers Compensation – PSWCP Claims Only



Note: Amounts as of September 30, 2019 are from the previous actuarial study.
Amounts as of September 30, 2020 are from Exhibit WC-1.

We note that there are fewer claims above \$100,000 for 2011/12 and subsequent, resulting in lower ultimate losses for these years as compared to earlier years.

Graph III-5
Comparison of Projected Ultimate Losses
as of September 30, 2019 and September 30, 2020
Workers Compensation – PSWCP Claims Only



Note: Amounts as of September 30, 2019 are from the previous actuarial study.
Amounts as of September 30, 2020 are from Exhibit WC-9.

For all claims through 2018/19, the change in the projected ultimate losses from September 30, 2019 to September 30, 2020 was -3.9%.

The ultimate loss for 2019/20 was based on a projected loss rate and projected payroll (provided by ORM) in the prior study. Actual experience as of September 30, 2020 for this year emerged less than anticipated, as discussed below.

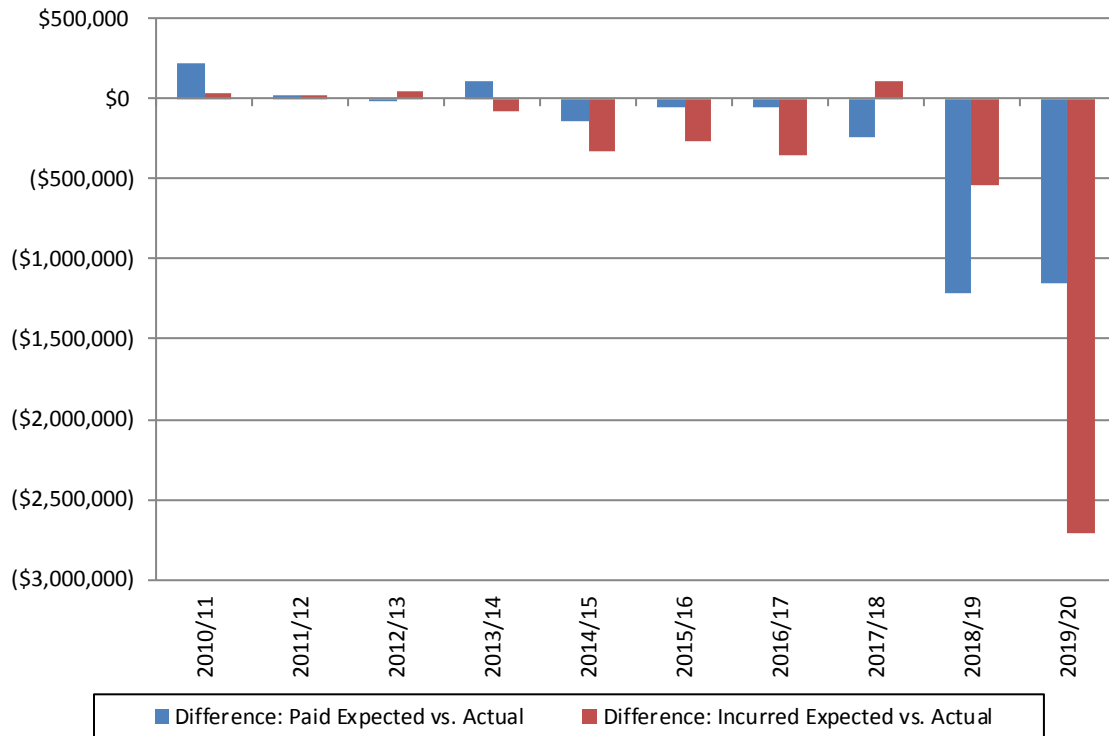
As part of our analysis, we compared how claims emerged during the past 12 months to the expected emergence during that period. The expected emergence is based on the projected ultimate losses, the reported paid and incurred losses, and the selected loss development factors from the prior actuarial study. The actual and expected emerged losses, as well as the difference between these amounts are shown in Table III-5. The differences are shown graphically in Graph III-6.

Table III-5
Comparison of Actual and Expected Experience
September 30, 2019 to September 30, 2020
Workers Compensation – PSWCP Claims Only

Claim Period (1)	Paid Losses in the Period			Incurred Losses in the Period		
	Paid Expected (2)	Paid Actual (3)	Difference (3) - (2) (4)	Incurred Expected (5)	Incurred Actual (6)	Difference (6) - (5) (7)
2000/01	\$242,878	\$190,623	(\$52,254)	\$78,995	(\$648,546)	(\$727,540)
2001/02	26,567	63,453	36,887	36,926	(139,027)	(175,953)
2002/03	89,521	343,576	254,055	77,845	130,005	52,159
2003/04	27,208	75,646	48,439	20,320	53,544	33,224
2004/05	43,976	277,739	233,763	45,980	172,025	126,045
2005/06	99,292	366,054	266,762	56,235	310,468	254,233
2006/07	167,086	144,596	(22,490)	94,380	176,080	81,701
2007/08	305,209	292,777	(12,431)	181,005	(147,598)	(328,603)
2008/09	347,907	521,489	173,582	225,460	531,360	305,900
2009/10	362,099	227,259	(134,840)	178,080	(330,541)	(508,621)
2010/11	392,772	613,860	221,088	204,270	240,400	36,131
2011/12	49,552	70,955	21,403	26,305	53,197	26,892
2012/13	116,626	100,075	(16,551)	81,375	131,639	50,264
2013/14	214,503	327,992	113,489	200,183	119,810	(80,373)
2014/15	429,218	287,447	(141,771)	450,552	125,162	(325,390)
2015/16	565,888	519,047	(46,841)	498,714	230,466	(268,248)
2016/17	602,192	557,023	(45,169)	483,642	136,668	(346,973)
2017/18	1,041,689	808,320	(233,370)	658,926	770,834	111,908
2018/19	3,065,950	1,854,276	(1,211,674)	2,238,866	1,705,465	(533,401)
2019/20	2,422,758	1,274,104	(1,148,655)	6,413,295	3,702,010	(2,711,285)
Total	\$10,612,891	\$8,916,313	(\$1,696,578)	\$12,251,352	\$7,323,422	(\$4,927,930)

Note: (2) and (5) are actual experience from September 30, 2019 to September 30, 2020.
(3) and (6) are the expected amounts from September 30, 2019 to September 30, 2020.
All amounts are gross of recoveries.

Graph III-6
Difference of Actual and Expected Experience
from September 30, 2019 to September 30, 2020
Workers Compensation – PSWCP Claims Only



The paid and incurred losses for claim periods 2014/15 and subsequent developed lower than anticipated (i.e., favorably) from September 30, 2019 to September 30, 2020.

6. Size of Loss Distribution Analysis

Table III-6A shows the distribution of ORM's workers compensation losses by claim size.

Table III-6A
Size of Loss Distribution
Workers Compensation – PSWCP Claims Only

Claim Size (1)	Total Reported Claims (2)	Percent of Total (2)/Total(2) (3)	Cumulative Percent of Total (4)	Total Reported Incurred Losses (5)	Percent of Total (5)/Total(5) (6)	Cumulative Percent of Total (7)
(A) \$1 to \$5,000	9,170	70.9%	70.9%	\$9,831,856	2.9%	2.9%
(B) \$5,000 to \$10,000	882	6.8%	77.7%	6,236,338	1.8%	4.7%
(C) \$10,000 to \$25,000	1,005	7.8%	85.5%	16,188,566	4.7%	9.4%
(D) \$25,000 to \$50,000	663	5.1%	90.6%	23,404,993	6.8%	16.2%
(E) \$50,000 to \$100,000	427	3.3%	94.0%	30,229,871	8.8%	25.0%
(F) \$100,000 to \$250,000	356	2.8%	96.7%	56,870,067	16.6%	41.5%
(G) \$250,000 to \$500,000	294	2.3%	99.0%	106,524,324	31.0%	72.5%
(H) \$500,000 to \$750,000	92	0.7%	99.7%	55,376,514	16.1%	88.7%
(I) \$750,000 to \$1,000,000	30	0.2%	99.9%	25,885,244	7.5%	96.2%
(J) Over \$1,000,000	10	0.1%	100.0%	13,069,782	3.8%	100.0%
(K) Total (A) ... (J)	12,929	100%		\$343,617,554	100%	

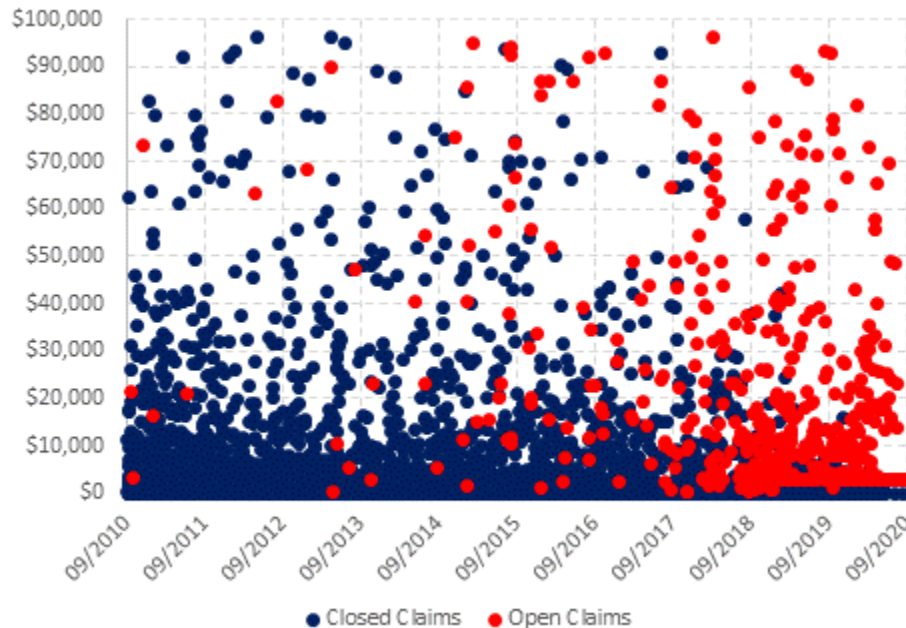
Note: See Exhibit WC-16.

The average cost per claim (excluding claims with \$0 incurred) is about \$18,300. For comparison, about 86% of the non-zero claims reported are below \$25,000 and represent about 9% of the incurred amounts. The remaining 14% of the claims consume about 91% of the incurred amounts.

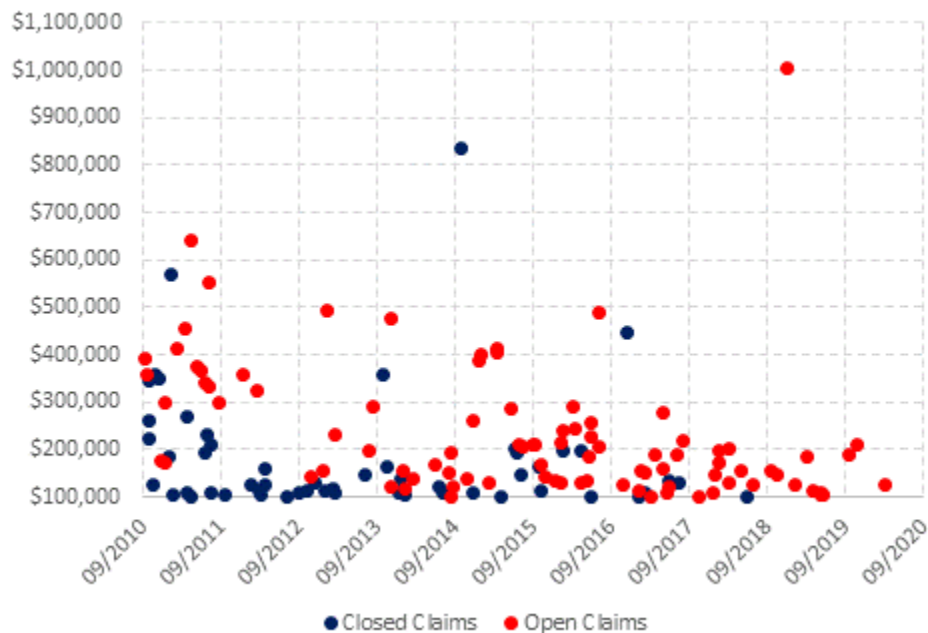
Similar tables for general and automobile liability are shown in Exhibits GL-15 and AL-15, respectively.

The reported workers compensation claim experience underlying our analysis is shown below in Graphs III-7 and III-8, with each point representing one claim.

Graph III-7
Distribution of Losses
Incurred Less Than \$100,000
Workers Compensation - PSWCP Claims Only



Graph III-8
Distribution of Losses
Incurred Greater Than \$100,000
Workers Compensation - PSWCP Claims Only



7. Affirm GASB Statement No. 10 Compliance

We affirm the conclusions in this actuarial study are consistent with the disclosure requirements of GASB Statement No. 10.

Conditions and Limitations

It is important to understand the conditions and limitations listed below. Each chapter and section is an integral part of the whole study. If there are questions, please contact Aon for clarification.

- **Data Quality.** In conducting this analysis, we relied upon the provided data without audit or independent verification; however, we reviewed it for reasonableness and consistency. Any inaccuracies in quantitative data or qualitative representations could have a significant effect on the results of our review and analysis. Any material discrepancies discovered in the loss data by the organization or any other parties should be reported to us immediately, and if warranted, we will make appropriate amendments to the report.
- **Economic Environment.** Unless otherwise stated, we assumed the current economic conditions will continue in the foreseeable future.
- **Insurance Coverage.** Unless otherwise stated, we assumed no insurance coverage changes (including coverage provided by the organization to others) subsequent to the date this study was prepared. This includes coverage language, self-insured retention, limitations and similar issues.
- **Insurance Solvency.** Unless otherwise stated, we assumed all insurance purchased by the organization is from solvent sources payable in accordance with terms of the coverage document.
- **Interest Rate.** The exhibits specify the annual interest rate used.
- **Methodology.** In this study, different actuarial methods were applied. In some instances, the methods yield significantly disparate results. The estimates, projections and recommendations in this study reflect our judgments as to the best method or combination of methods that are most reliable and reflective of the exposure to loss.
- **Reproduction.** This study may only be reproduced in its entirety.
- **Risk and Variability.** Insurance is an inherently risky enterprise. Actual losses may vary significantly from our estimates, projections and recommendations. They may emerge higher or lower.

- **Statutory and Judicial Changes.** Legislatures and judiciaries may change statutes that govern indemnification. This includes benefit levels for workers compensation, immunities and limitations for liability, and other similar issues. Unless otherwise stated, we assumed no statutory changes subsequent to the date this study was prepared.
- **Supplemental Data.** In addition to the data provided by the organization, we supplemented our analysis with data from similar organizations and insurance industry statistics, as we deemed appropriate.
- **Usage.** This study has been prepared for the usage of the organization shown on the transmittal page. It was not prepared for and may not be appropriate for use by other organizations. Other organizations should obtain written permission from Aon prior to use of this study.

Glossary of Actuarial Terms

Actuarial Methods (Most Common)

A major objective of an actuarial study is to statistically project ultimate losses. The following actuarial methods are the most common:

- Developed Paid Losses
- Developed Reported Incurred Losses
- Developed Case Reserves
- Frequency Times Severity Analysis
- Loss Rate Analysis

The following describes each method:

1. **Developed Paid Losses.** Paid losses represent the amounts actually paid to claimants (less excess insurance recoveries). As time goes on, loss payments continue until all claims are closed and there are no remaining payments expected. At this time, the ultimate losses for the claim period are known. This common process is called “paid loss development.”

Paid loss development is an extrapolation of actual dollars paid. It does not depend on case reserve estimates. A potential shortcoming of utilizing this method is that only a small fraction of total payments have been made for the most recent claim periods. Extrapolating ultimate losses based on small amounts of actual payments may be speculative. A second potential shortcoming is that payment patterns can change over time.

2. **Developed Reported Incurred Losses.** Reported incurred losses are paid losses plus case reserves. In most programs, total reported incurred losses underestimate the ultimate losses. Over time, as more information about a body of claims becomes known, they are adjusted either up or down until they are closed. Though many individual claims settle for less than what was estimated, these decreases are generally more than offset by increases in the cost of other claims for which new information has emerged.

The net effect is that total estimated costs are often revised upward over time. This normal process is called “reported incurred loss development.” Actuaries typically review the development patterns of the recent past to make projections of the expected future loss development and, therefore, estimations of ultimate losses.

3. **Developed Case Reserves.** A case reserve is an estimate of the unpaid amount established by claims adjusters for which a particular claim will ultimately be settled or adjudicated. The developed case reserves method is a hybrid of the paid loss development and reported incurred loss development methods. It relies on the historical adequacy of case reserves to predict ultimate losses.
4. **Frequency Times Severity Analysis.** The frequency times severity analysis is an actuarial method that uses a preliminary projection of ultimate losses to project claims severity. The claims severity times the number of claims is a predictor of ultimate losses. The focus of the frequency times severity analysis is that ultimate losses each period are dependent on the number of claims.
5. **Loss Rate Analysis.** The loss rate analysis is based on the historical loss rates per exposure unit (such as payroll, vehicles or property value). The loss rates (projected ultimate losses divided by exposure units) are trended to reflect the effect of claim cost inflation and retention changes. The trended loss rates represent the rates that one would see if all of the claims had been handled in the claim cost environment that will be present in the upcoming period. The trended loss rate times the projected exposure units is a predictor of losses.
6. **Bornhuetter-Ferguson Method (B-F).** The B-F method is an actuarial method that weights a preliminary projection of ultimate losses with projections of ultimate losses determined by other actuarial methods (usually the developed paid losses and developed reported incurred losses methods). For less mature claim periods, the B-F method leans more heavily to the preliminary projection. It gradually converges to the projections of ultimate losses determined by the other actuarial methods as the claim periods mature.

Actuary

A specialist trained in mathematics, statistics, and finance who is responsible for rate, reserve, and dividend calculations and other statistical studies.

Allocated Loss Adjustment Expenses

Allocated loss adjustment expenses (ALAE) are the direct expenses to settle specific claims. These expenses are primarily legal expenses.

Governmental Accounting Standards Board (GASB) Statement No. 10 requires that ALAE be included in financial statements and that they be calculated by actuarial methods.

American Academy of Actuaries

A society concerned with the development of education in the field of actuarial science and with the enhancement of standards in the actuarial field. Members may use the designation MAAA (Member, American Academy of Actuaries).

Benefits

The financial reimbursement and other services provided insureds by insurers under the terms of an insurance contract. An example would be the benefits listed under a life or health insurance policy or benefits as prescribed by a workers compensation law.

Casualty Actuarial Society

A professional society for actuaries in areas of property and casualty insurance work. This society grants the designation of Associate of the Casualty Actuarial Society (ACAS) and Fellow of the Casualty Actuarial Society (FCAS).

Claim

Demand by an individual or entity to recover for a loss.

Claims Made

A policy written on this basis covers only those claims that are made during the policy period. Coverage for prior acts is provided back to what is known as the retroactive date, which is the effective date of the original claims made policy with the same insurer.

Composite Rate

A single rate with a single basis of premium (e.g., payroll or sales). For this single rate the insured is covered for a variety of hazards, such as premises and operations, completed operations, products liability, and automobile. Its primary value is to compute premium simply.

Confidence Level

A confidence level is the statistical certainty that an actuary believes funding will be sufficient. For example, an 80% confidence level means that the actuary believes funding will be sufficient in eight years out of ten.

Confidence levels are determined based on mathematical models. Coverages that are low frequency and high severity (such as excess liability) are subject to greater risk than coverages that are high frequency and low severity (such as automobile physical damage). Therefore, they need a greater margin to attain a given confidence level.

GASB Statement No. 10 requires public entities to use “expected” amounts as a liability in financial statements. Expected corresponds to approximately a 55% confidence level. Amounts above expected are prudent, but should be considered equity (not a liability).

Coverage

The scope of the protection provided under a contract of insurance.

Credibility

Credibility is the belief that the sample data is an accurate reflection of the larger population. Credibility is highest when the sample data is large and the standard deviation (discussed later) of the larger population is low.

Dates

There are at least three milestone dates in a claim. They are the date of injury or accident, the date of report and the date of closure. It is best if each of these dates is recorded. Some organizations may also keep the date a claim becomes a lawsuit, as opposed to a demand. Aon recommends this additional level of detail, especially if the data is to be used for litigation management.

Deductible

The portion of an insured loss to be borne by the insured before he is entitled to recovery from the insurer. Deductibles may be expressed as a dollar amount, percentage or waiting period.

Disability

A condition that curtails a person's ability to carry on his normal pursuits. A disability may be partial or total, and temporary or permanent.

Dividend (Policyholder)

The return of part of the premium paid for a policy issued on a participating basis by either a mutual or a stock insurer.

Estimated Outstanding Losses

Estimated outstanding losses are the cost of claims that have occurred but have not yet been paid. They typically include indemnification and allocated loss adjustment expenses (ALAE), but not unallocated loss adjustment expenses (ULAE).

Estimated outstanding losses are calculated as projected ultimate losses less paid losses. Alternatively, they are the sum of case reserves and incurred but not reported (IBNR) claims.

Estimated outstanding losses are usually the largest single item listed as a liability on the balance sheet of a public entity's financial statement. GASB Statement No. 10 requires they be calculated by actuarial methods. Other common names for estimated outstanding losses are outstanding claims liabilities and unpaid claims.

Experience Rating

A method of adjusting the premium for a risk based on past loss experience for that risk compared to loss experience for an average risk.

Exposure Data

Exposure data refers to the activities of the organization. For example, payroll is the most common exposure measure for workers compensation. Aon suggests collecting exposure data with the following characteristics:

- **Readily Available.** The exposure data should be easily obtained. It is best if it is a byproduct of other activities, although this is not always possible. If getting data is arduous, it may discourage collection.

- **Vary With Losses.** The exposure data should correlate directly with losses. The ideal situation is where exposure and expected losses move in tandem. The exposure base needs to be fitting to the coverage. For example, the number of employees may vary with property losses (more employees = more office space = more losses), but property value is a clearly superior exposure base for property losses.

Generally Accepted Accounting Principles (GAAP)

These principles are intended to produce financial results (in the insurance industry) consistent with those of other industries and to assure consistency in financial reporting.

Incurred But Not Reported

IBNR is really comprised of two distinct items. These are the development of known case reserves (incurred but not enough reported [IBNER] and incurred but not yet reported [IBNYR]).

IBNER are the actuary's estimate of the inadequacy of case reserves. Most claims settle at amounts close to what is set by the claims administrator. Some claims close favorably and some emerge as more expensive. On balance, case reserves tend to be too low (especially for recent years). IBNER is the actuary's estimate of the amount total case reserves will rise upon closure.

IBNYR refers to those claims that have occurred, but have not yet been reported. A classic example is medical malpractice claim reported several years after the medical procedure was performed.

Insurance Services Office (ISO)

An organization of the property and casualty insurance business designed to gather statistics, promulgate rates, and develop policy forms.

Investment Income

The return received by entities from their investment portfolios, including interest, dividends and realized capital gains on stocks. Realized capital gains means the profit realized on assets that have actually been sold for more their purchase price.

Limited

Most programs purchase excess insurance for catastrophic claims. For example, they may purchase coverage for claims above a \$500,000 per occurrence self-insured retention. “Limited” refers to an estimate or projection being limited to the self-insured retention. In contrast, “unlimited” means a loss projection not limited to the self-insured retention.

Other common names for limited are net of excess insurance or capped losses.

Loss Development

The difference between the amount of losses initially estimated by the insurer and the amount reported in an evaluation on a later date. Loss development is typically measured for paid losses, reported incurred losses and claim counts.

Manual Rates

Usually, the published rate for some unit of insurance. An example is in the workers compensation manual, where the rates shown apply to each \$100 of the payroll of the insured, \$100 being the “unit.”

National Council on Compensation Insurance (NCCI)

An association of workers compensation insurance companies whose main functions are collecting statistics and calculating rates, establishing policy wording, developing experience and retrospective rating plans, and serving as the filing organization for member companies.

Net

Many pooling programs assign deductibles to members. For example, each member may have a \$5,000 per claim deductible. “Net” refers to a loss estimate or projection that excludes amounts below member deductibles.

Occurrence

An event that results in an insured loss. In some lines of insurance, such as general liability, it is distinguished from accident in that the loss does not have to be sudden and fortuitous and can result from continuous or repeated exposure that results in bodily injury or property damage neither expected nor intended by the insured.

Pool

An organization of entities through which particular types of risks are written with the premiums, losses, and expenses shared in agreed amounts among the members belonging to the organization.

Premium

The price of insurance protection for a specified risk for a specified period of time.

Present Value

The amount of money that future amounts receivable are currently worth. For example, a Life Insurance policy may provide for payments to be made monthly for ten years. The present value of that money would be less than the total amount of the regular periodic payments for 10 years because of the amount of interest that a present lump sum could earn during the term than the payments otherwise would have been made.

Probability

The probability is the likelihood of an event. It is a measure of how likely a value or event is to occur. It can be measured from data by calculating the number of occurrences of the value or event divided by the total number of occurrences. This calculation can be converted to a percentage. For example, tossing a coin has a 50% probability of heads or tails.

Projected Losses Paid

Projected losses paid are the projected claims disbursements in a period, regardless of when the claim occurred. They typically include indemnification and ALAE, but not unallocated loss adjustment expenses (ULAE).

“Projected losses paid” is a cash-flow analysis that can be used in making investment decisions.

Projected Ultimate Losses

Projected ultimate losses are the accrual value of claims. They are the total amount that is expected to be paid in a particular claim period after all claims are closed. Projected ultimate losses are the total loss costs for a particular period. They typically include indemnification and ALAE, but not ULAE.

Other common names for projected ultimate losses are expected losses, ultimate losses and total losses.

Rate

The cost of a given unit of insurance. For example, in life insurance, it is the price of \$1,000 of the face amount. In property insurance, it is the rate per \$100 of value to be insured. The premium is the rate multiplied by the number of units of insurance purchased.

Retrospective Rating

A method for which the final premium is not determined until the end of the coverage period, and is based on the insured's own loss experience for that same period. It is usually subject to a maximum and minimum premium. A plan of this type can be used in various types of insurance, especially workers compensation and liability, and is usually elected by only very large insureds.

Salvage

Property taken over by an entity to reduce its loss. Automobile physical damage losses can be reduced by the sale of recovered vehicles.

Schedule Rating

The application of debits or credits within established ranges for various characteristics of a risk according to an established schedule of items. Under liability and automobile insurance, the schedule rating plan allows credits and debits for various good or bad features of a particular commercial risk. An example in automobile schedule rating would be allowing credits for driver training classes or fleet maintenance programs.

Self-Insurance Retention (SIR)

That portion of a risk or potential loss assumed by an insured. It is often in the form of a per occurrence deductible.

Society of Actuaries (SOA)

A professional society for actuaries in areas of pensions, and life and health insurance work. The SOA grants the designation Associate of the Society of Actuaries (ASA) and Fellow of the Society of Actuaries (FSA).

Standard Premium

Most often used in connection with retrospective rating for Workers Compensation and General Liability Insurance. It is the premium of which the basic premium is a percentage and is developed by applying the regular rates to an insured's payroll.

State Fund

A fund set up by a state government to finance a mandatory insurance system, such as Workers Compensation or non-occupational disability benefits. Such a fund may be monopolistic, i.e., purchasers of the type of insurance required must place it in the state fund; or it may be competitive, i.e., an alternative to private insurance if the purchaser desires to use it.

Statutory Accounting Principles (SAP)

Those principles required by statute that must be followed by an insurance company or other similar entity when submitting its financial statement to the state insurance department. Such principles differ from (GAAP) in some important respects. For one thing SAP requires that expenses must be recorded immediately and cannot be deferred to track with premiums as they are earned and taken into revenue.

Unallocated Loss Adjustment Expenses

Unallocated loss adjustment expenses (ULAE) are the indirect expenses to settle claims. These expenses are primarily administration and claims handling expenses.

GASB Statement No. 10 requires that ULAE be included in financial statements and that they be calculated by actuarial methods.

Exhibits

The attached exhibits detail our analysis.

Estimated Outstanding Losses as of September 30, 2020

Coverage (1)	Unlimited Paid Losses 9/30/20 (2)	Unlimited Case Reserves 9/30/20 (3)	Unlimited Reported Incurred Losses 9/30/20 (4)	Projected Ultimate Unlimited Losses (5)	Estimated IBNR 9/30/20 (6)	Estimated Outstanding Losses 9/30/20 (7)	Present Value of Estimated Outstanding Losses 9/30/20 (8)
1. Workers Compensation							
PSWCP	\$309,693,098	\$33,924,457	\$343,617,554	\$369,976,755	\$26,359,201	\$60,283,657	\$55,742,460
Police	N/A	667,258	N/A	N/A	993,699	1,660,957	1,660,957
Fire	N/A	519,612	N/A	N/A	505,900	1,025,512	1,025,512
Subtotal		\$35,111,327			\$27,858,800	\$62,970,126	\$58,428,929
2. Liability							
General Liability	\$9,804,741	\$1,980,320	\$11,785,061	\$12,070,879	\$285,819	\$2,266,139	\$2,226,924
Automobile Liability	18,671,871	751,999	19,423,871	19,869,924	446,053	1,198,052	1,181,835
Subtotal	\$28,476,612	\$2,732,319	\$31,208,932	\$31,940,803	\$731,872	\$3,464,191	\$3,408,759
3. Subtotal		\$37,843,646			\$28,590,672	\$66,434,317	\$61,837,688
4. ULAE							
PSWCP						\$7,234,039	\$6,689,095
Police						199,315	199,315
Fire						123,061	123,061
General Liability						396,574	389,712
Automobile Liability						209,659	206,821
Subtotal						\$8,162,649	\$7,608,004
5. Total						\$74,596,966	\$69,445,692

(8) assumes an interest rate of 1.75%.

Section 4 is based on Columns (7) and (8) of Sections 1 and 2, and the selected ULAE ratios in Summary Exhibits 2 and 3. A ratio of 12.0% is selected for Police and Fire.

Estimated Outstanding Unallocated Loss Adjustment Expenses
Workers Compensation

Fiscal Period (1)	Total Paid Losses During Fiscal Period (2)	ULAE- Other Operating Expenses (3)	Ratio (3)/(2) (4)
2010/11	\$22,236,574	\$3,849,946	17.3%
2010/11	21,921,559	3,790,632	17.3%
2012/13	17,152,307	3,813,662	22.2%
2013/14	15,821,997	3,999,781	25.3%
2014/15	19,897,894	3,908,573	19.6%
2015/16	16,274,159	4,343,560	26.7%
2016/17	20,254,485	4,517,109	22.3%
2017/18	15,734,745	4,354,619	27.7%
2018/19	16,842,155	3,583,689	21.3%
2019/20	13,742,657	3,937,857	28.7%
Total/Average	\$179,878,532	\$40,099,427	22.3%
(5) Selected Paid-to-Paid Ratio			24.0%

	Amount (a)	Estimated ULAE (b)
(6) Case Reserves as of 9/30/20	\$33,924,457	\$4,070,935
(7) (i) Estimated Pure IBNR	\$215,337	\$51,681
(ii) Case Reserve Development	26,143,864	3,137,264
(iii) Estimated IBNR as of 9/30/20	\$26,359,201	\$3,188,945
(8) Outstanding Losses as of 9/30/20 (6)+(7iii)	\$60,283,658	\$7,259,879
(9) Ratio of ULAE to Outstanding Losses (8b)/(8a)		12.0%
(10) Selected Ratio of ULAE to Outstanding Losses		12.0%

(2) and (3) were provided by . (3) includes amounts for the TPA contract and salaries of ORM employees who oversee the program. Salaries were provided for 2012/13 and subsequent. Salaries for 2010/11 and 2011/12 are assumed equal to 2012/13.

(5) is based on (4) and actuarial judgment.

(6a) is from Summary Exhibit 1.

(6b) is 50% of (6a) multiplied by (5).

(7ia) is based on estimated late reported claims multiplied by the average severity from Exhibit WC-8.

(7ib) is (7ia) multiplied by (5).

(7iia) is (7iia) minus (7ia).

(7iib) is 50% of (7iia) multiplied by (5).

(7iia) is from Summary Exhibit 1.

(7iib) is (7ib) plus (7iib).

(10) is based on (9) and actuarial judgment.

Estimated Outstanding Unallocated Loss Adjustment Expenses
Tort Liability

Fiscal Period (1)	Total Paid Losses During Fiscal Period (2)	ULAE- Other Operating Expenses (3)	Ratio (3)/(2) (4)
2010/11	\$2,416,012	386,509	16.0%
2010/11	1,577,582	386,509	24.5%
2012/13	1,646,403	386,509	23.5%
2013/14	3,271,293	384,670	11.8%
2014/15	1,954,803	555,789	28.4%
2015/16	2,054,441	880,113	42.8%
2016/17	1,392,431	941,419	67.6%
2017/18	948,561	925,933	97.6%
2018/19	1,399,498	1,040,965	74.4%
2019/20	2,031,496	1,034,947	50.9%
Total/Average	\$18,692,521	\$6,923,363	37.0%
(5) Selected Paid-to-Paid Ratio			35.0%

	Amount (a)	Estimated ULAE (b)
(6) Case Reserves as of 9/30/20	\$2,732,319	\$478,156
(7) (i) Estimated Pure IBNR	\$0	\$0
(ii) Case Reserve Development	731,872	128,078
(iii) Estimated IBNR as of 9/30/20	\$731,872	\$128,078
(8) Outstanding Losses as of 9/30/20 (6)+(7iii)	\$3,464,191	\$606,233
(9) Ratio of ULAE to Outstanding Losses (8b)/(8a)		17.5%
(10) Selected Ratio of ULAE to Outstanding Losses		17.5%

(2) and (3) were provided by . (3) includes amounts for the database contract and salaries of ORM employees who oversee the program. Salaries were provided for 2012/13 and subsequent. Salaries for 2010/11 and 2011/12 are assumed equal to 2012/13.

(5) is based on (4) and actuarial judgment.

(6a) is from Summary Exhibit 1.

(6b) is 50% of (6a) multiplied by (5).

(7ia) is assumed to be \$0.

(7ib) is (7ia) multiplied by (5).

(7iia) is (7iia) minus (7ia).

(7iib) is 50% of (7iia) multiplied by (5).

(7iia) is from Summary Exhibit 1.

(7iib) is (7ib) plus (7iib).

(10) is based on (9) and actuarial judgment.

DISTRICT OF COLUMBIA
WORKERS' COMPENSATION

Exhibit WC-1 (page 1)

Data Summary as of September 30, 2020
Net of Recoveries

Claim Period (1)	Specific Self-Insured Retention (2)	Aggregate Retention (3)	Months of Development 9/30/20 (4)	Payroll (000) (5)	Reported Claims 9/30/20 (6)	Open Claims 9/30/20 (7)	Net Unlimited Paid Losses 9/30/20 (8)	Net Unlimited Case Reserves 9/30/20 (9)	Net Unlimited Reported Incurred Losses 9/30/20 (10)
to 1991/92	Unlimited	None	348.0	Not Provided	392	109	\$76,185,443	\$13,046,193	\$89,231,636
1992/93	Unlimited	None	336.0	Not Provided	30	7	4,782,204	651,520	5,433,724
1993/94	Unlimited	None	324.0	Not Provided	41	7	5,541,721	271,148	5,812,869
1994/95	Unlimited	None	312.0	Not Provided	38	8	9,012,182	685,460	9,697,642
1995/96	Unlimited	None	300.0	Not Provided	35	6	4,391,486	665,671	5,057,157
1996/97	Unlimited	None	288.0	Not Provided	48	10	7,991,676	1,778,640	9,770,315
1997/98	Unlimited	None	276.0	Not Provided	40	6	5,564,939	475,433	6,040,373
1998/99	Unlimited	None	264.0	Not Provided	34	5	6,600,359	228,208	6,828,568
1999/00	Unlimited	None	252.0	Not Provided	62	5	6,592,350	377,737	6,970,087
2000/01	Unlimited	None	240.0	Not Provided	110	7	7,024,750	258,728	7,283,478
2001/02	Unlimited	None	228.0	Not Provided	140	6	9,370,367	173,688	9,544,055
2002/03	Unlimited	None	216.0	Not Provided	234	9	11,641,019	435,557	12,076,575
2003/04	Unlimited	None	204.0	1,689,505	609	4	10,257,931	154,996	10,412,928
2004/05	Unlimited	None	192.0	1,771,286	893	8	17,203,142	228,415	17,431,557
2005/06	Unlimited	None	180.0	1,915,930	836	10	12,832,188	340,057	13,172,245
2006/07	Unlimited	None	168.0	2,009,447	802	9	14,254,631	690,055	14,944,686
2007/08	Unlimited	None	156.0	2,096,929	766	13	13,997,498	704,150	14,701,648
2008/09	Unlimited	None	144.0	2,247,761	792	17	16,138,555	938,527	17,077,081
2009/10	Unlimited	None	132.0	2,036,925	846	7	14,190,696	299,659	14,490,355
2010/11	Unlimited	None	120.0	1,979,225	876	19	13,200,846	728,945	13,929,791
2011/12	Unlimited	None	108.0	2,109,455	800	4	5,160,673	101,592	5,262,265
2012/13	Unlimited	None	96.0	2,156,439	633	12	5,313,137	349,367	5,662,504
2013/14	Unlimited	None	84.0	2,256,429	629	16	5,630,719	422,913	6,053,632
2014/15	Unlimited	None	72.0	2,442,007	649	33	7,546,923	1,148,359	8,695,282
2015/16	Unlimited	None	60.0	2,620,064	740	38	6,471,682	1,142,414	7,614,097
2016/17	Unlimited	None	48.0	2,740,690	517	38	4,677,278	924,875	5,602,152
2017/18	Unlimited	None	36.0	2,890,437	411	99	3,517,262	1,504,159	5,021,421
2018/19	Unlimited	None	24.0	2,997,794	560	179	3,327,338	2,770,084	6,097,422
2019/20	Unlimited	None	12.0	2,659,104 +	496	293	1,274,104	2,427,906	3,702,010
Total					13,059	984	\$309,693,098	\$33,924,457	\$343,617,554

+ based on the 2019/20 payroll and a risk adjustment factor of 0.83 to reflect the impact of reduced exposure due to COVID-19.

(6) and (7) exclude claims with \$0 incurred and 'Report Only' claims.

(8), (9) and (10) are net of recoveries.

We were advised by PRM Consulting that claim data for 2003/04 and prior may not be complete.

Data was provided by DC Office of Risk Management.

DISTRICT OF COLUMBIA
WORKERS' COMPENSATION

Exhibit WC-1 (page 2)

Data Summary as of September 30, 2020
Gross of Recoveries

Claim Period (1)	Specific Self-Insured Retention (2)	Aggregate Retention (3)	Months of Development 9/30/20 (4)	Payroll (000) (5)	Reported Claims 9/30/20 (6)	Open Claims 9/30/20 (7)	Unlimited Paid Losses 9/30/20 (8)	Unlimited Case Reserves 9/30/20 (9)	Unlimited Reported Incurred Losses 9/30/20 (10)
to 1991/92	Unlimited	None	348.0	Not Provided	392	109	\$76,242,549	\$13,046,193	\$89,288,742
1992/93	Unlimited	None	336.0	Not Provided	30	7	4,810,988	651,520	5,462,509
1993/94	Unlimited	None	324.0	Not Provided	41	7	5,541,721	271,148	5,812,869
1994/95	Unlimited	None	312.0	Not Provided	38	8	9,058,911	685,460	9,744,371
1995/96	Unlimited	None	300.0	Not Provided	35	6	4,392,861	665,671	5,058,532
1996/97	Unlimited	None	288.0	Not Provided	48	10	7,991,766	1,778,640	9,770,406
1997/98	Unlimited	None	276.0	Not Provided	40	6	5,565,309	475,433	6,040,742
1998/99	Unlimited	None	264.0	Not Provided	34	5	6,646,752	228,208	6,874,960
1999/00	Unlimited	None	252.0	Not Provided	62	5	6,604,775	377,737	6,982,513
2000/01	Unlimited	None	240.0	Not Provided	110	7	7,041,759	258,728	7,300,487
2001/02	Unlimited	None	228.0	Not Provided	140	6	9,378,908	173,688	9,552,596
2002/03	Unlimited	None	216.0	Not Provided	234	9	11,871,511	435,557	12,307,068
2003/04	Unlimited	None	204.0	1,689,505	609	4	10,317,870	154,996	10,472,866
2004/05	Unlimited	None	192.0	1,771,286	893	8	17,392,874	228,415	17,621,289
2005/06	Unlimited	None	180.0	1,915,930	836	10	12,966,327	340,057	13,306,384
2006/07	Unlimited	None	168.0	2,009,447	802	9	14,319,745	690,055	15,009,800
2007/08	Unlimited	None	156.0	2,096,929	766	13	14,080,681	704,150	14,784,831
2008/09	Unlimited	None	144.0	2,247,761	792	17	16,449,716	938,527	17,388,243
2009/10	Unlimited	None	132.0	2,036,925	846	7	14,545,992	299,659	14,845,651
2010/11	Unlimited	None	120.0	1,979,225	876	19	13,413,523	728,945	14,142,467
2011/12	Unlimited	None	108.0	2,109,455	800	4	5,293,596	101,592	5,395,188
2012/13	Unlimited	None	96.0	2,156,439	633	12	5,393,718	349,367	5,743,085
2013/14	Unlimited	None	84.0	2,256,429	629	16	5,663,200	422,913	6,086,113
2014/15	Unlimited	None	72.0	2,442,007	649	33	7,597,186	1,148,359	8,745,545
2015/16	Unlimited	None	60.0	2,620,064	740	38	6,528,166	1,142,414	7,670,580
2016/17	Unlimited	None	48.0	2,740,690	517	38	4,694,775	924,875	5,619,649
2017/18	Unlimited	None	36.0	2,890,437	411	99	3,540,097	1,504,159	5,044,256
2018/19	Unlimited	None	24.0	2,997,794	560	179	3,363,705	2,770,084	6,133,789
2019/20	Unlimited	None	12.0	2,659,104 +	496	293	1,282,746	2,427,906	3,710,652
Total					13,059	984	\$311,991,728	\$33,924,457	\$345,916,184

+ based on the 2019/20 payroll and a risk adjustment factor of 0.83 to reflect the impact of reduced exposure due to COVID-19.

(6) and (7) exclude claims with \$0 incurred and 'Report Only' claims.

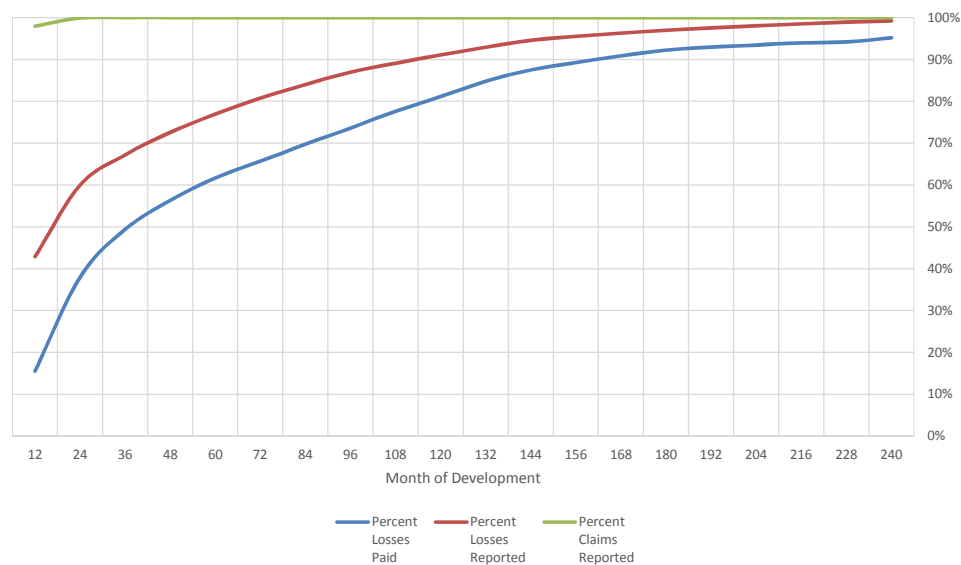
(8), (9) and (10) are gross of recoveries.

We were advised by PRM Consulting that claim data for 2003/04 and prior may not be complete.

Data was provided by DC Office of Risk Management.

Summary of Percent Losses Paid, Losses Reported and Claims Reported

Months of Development (1)	Percent Losses Paid (2)	Percent Losses Reported (3)	Percent Claims Reported (4)
360.0	99.3%	100.0%	100.0%
348.0	99.1%	100.0%	100.0%
336.0	99.0%	100.0%	100.0%
324.0	98.7%	100.0%	100.0%
312.0	98.5%	100.0%	100.0%
300.0	98.2%	100.0%	100.0%
288.0	97.8%	100.0%	100.0%
276.0	97.3%	100.0%	100.0%
264.0	96.7%	100.0%	100.0%
252.0	96.0%	99.9%	100.0%
240.0	95.2%	99.2%	100.0%
228.0	94.2%	98.9%	100.0%
216.0	94.0%	98.5%	100.0%
204.0	93.4%	98.0%	100.0%
192.0	92.9%	97.5%	100.0%
180.0	92.2%	97.0%	100.0%
168.0	90.8%	96.3%	100.0%
156.0	89.2%	95.5%	100.0%
144.0	87.5%	94.6%	100.0%
132.0	84.8%	92.9%	100.0%
120.0	81.1%	91.1%	100.0%
108.0	77.6%	89.1%	100.0%
96.0	73.6%	86.9%	100.0%
84.0	69.8%	84.0%	100.0%
72.0	65.7%	80.8%	100.0%
60.0	61.7%	76.9%	100.0%
48.0	56.3%	72.6%	100.0%
36.0	49.4%	67.2%	100.0%
24.0	38.0%	60.0%	99.9%
12.0	15.5%	42.9%	97.9%



(2) is from Exhibit WC-2 (page 2).

(3) is from Exhibit WC-2 (page 3).

(4) is from Exhibit WC-2 (page 4).

DISTRICT OF COLUMBIA
WORKERS' COMPENSATION

Exhibit WC-2 (page 2)

Historical Unlimited Paid Losses (\$000) and Unlimited Paid Loss Development

I. Historical Unlimited Paid Losses (\$000)

Claim Period	Months of Development:																			
	12	24	36	48	60	72	84	96	108	120	132	144	156	168	180	192	204	216	228	240
2000/01					\$902	\$1,746	\$2,165	\$2,981	\$3,707	\$4,180	\$4,589	\$5,033	\$5,292	\$5,503	\$5,841	\$6,122	\$6,399	\$6,611	\$6,851	\$7,042
2001/02				1,060	2,142	3,013	3,972	4,703	5,365	5,930	6,379	7,127	7,503	7,971	8,317	8,719	9,131	9,315	9,379	
2002/03			1,547	3,393	4,315	5,188	6,160	7,117	7,769	8,511	8,650	9,167	9,725	10,267	10,756	11,119	11,528	11,872		
2003/04		2,754	4,794	5,690	6,277	6,668	7,556	8,004	8,373	8,699	8,939	9,268	9,493	9,799	10,073	10,242	10,318			
2004/05	2,329	6,286	7,831	9,113	10,335	11,651	12,619	13,477	14,011	14,489	15,102	15,573	16,110	16,615	17,114	17,393				
2005/06	2,347	5,374	6,567	7,739	8,599	9,263	9,773	10,256	10,643	11,168	11,560	11,908	12,235	12,598	12,966					
2006/07	2,024	5,109	6,845	8,274	9,470	10,317	10,949	11,753	12,395	12,869	13,429	13,824	14,175	14,320						
2007/08	2,037	5,264	7,018	8,193	9,144	9,876	10,554	11,491	11,997	12,642	13,210	13,788	14,081							
2008/09	2,618	6,500	8,535	10,231	11,286	12,088	12,948	13,623	14,725	15,425	15,927	16,450								
2009/10	3,093	6,949	8,936	10,066	11,005	12,029	12,690	13,555	14,027	14,387	14,546									
2010/11	2,758	6,370	7,946	8,805	9,796	10,593	11,423	12,127	12,857	13,414										
2011/12	1,893	3,524	4,124	4,598	4,726	4,978	5,082	5,223	5,294											
2012/13	1,528	2,920	3,663	4,304	4,725	5,039	5,278	5,394												
2013/14	1,479	3,435	4,253	4,833	5,168	5,331	5,663													
2014/15	1,811	4,456	6,002	6,674	7,318	7,597														
2015/16	1,366	3,880	5,120	5,999	6,528															
2016/17	1,864	3,259	4,133	4,695																
2017/18	1,033	2,719	3,540																	
2018/19	1,479	3,364																		
2019/20	1,283																			

II. Unlimited Paid Loss Development

Claim Period	Months of Development:																			
	12-24	24-36	36-48	48-60	60-72	72-84	84-96	96-108	108-120	120-132	132-144	144-156	156-168	168-180	180-192	192-204	204-216	216-228	228-240	240-Ult
2000/01					1.936	1.240	1.377	1.244	1.128	1.098	1.097	1.051	1.040	1.061	1.048	1.045	1.033	1.036	1.028	
2001/02				2.021	1.407	1.318	1.184	1.141	1.105	1.076	1.117	1.053	1.062	1.043	1.048	1.047	1.020	1.007		
2002/03			2.193	1.272	1.202	1.187	1.155	1.092	1.096	1.016	1.060	1.061	1.056	1.048	1.034	1.037	1.030			
2003/04		1.741	1.187	1.103	1.062	1.133	1.059	1.046	1.039	1.028	1.037	1.024	1.032	1.028	1.017	1.007				
2004/05	2.699	1.246	1.164	1.134	1.127	1.083	1.068	1.040	1.034	1.042	1.031	1.034	1.031	1.030	1.016					
2005/06	2.290	1.222	1.178	1.111	1.077	1.055	1.049	1.038	1.049	1.035	1.030	1.027	1.030	1.029						
2006/07	2.524	1.340	1.209	1.145	1.089	1.061	1.073	1.055	1.038	1.044	1.029	1.025	1.010							
2007/08	2.584	1.333	1.167	1.116	1.080	1.069	1.089	1.044	1.054	1.045	1.044	1.021								
2008/09	2.483	1.313	1.199	1.103	1.071	1.071	1.052	1.081	1.048	1.033	1.033									
2009/10	2.247	1.286	1.126	1.093	1.093	1.055	1.068	1.035	1.026	1.011										
2010/11	2.310	1.247	1.108	1.113	1.081	1.078	1.062	1.060	1.043											
2011/12	1.862	1.170	1.115	1.028	1.053	1.021	1.028	1.014												
2012/13	1.911	1.254	1.175	1.098	1.066	1.047	1.022													
2013/14	2.323	1.238	1.136	1.069	1.032	1.062														
2014/15	2.461	1.347	1.112	1.097	1.038															
2015/16	2.840	1.320	1.172	1.088																
2016/17	1.748	1.268	1.136																	
2017/18	2.633	1.302																		
2018/19	2.275																			
2019/20																				
Average																				
All	2.346	1.308	1.225	1.173	1.161	1.106	1.099	1.074	1.060	1.043	1.053	1.037	1.037	1.040	1.033	1.034	1.028	1.022	1.028	
Wtd 3	2.135	1.298	1.139	1.086	1.044	1.044	1.044	1.041	1.039	1.029	1.035	1.025	1.024	1.029	1.021	1.030	1.027			
Last 3	2.219	1.297	1.140	1.085	1.045	1.044	1.037	1.036	1.039	1.030	1.035	1.025	1.024	1.029	1.022	1.030	1.028			
Last 5	2.456	1.297	1.148	1.085	1.053	1.055	1.047	1.046	1.043	1.037	1.031	1.026	1.031	1.034	1.033					
x-hi,low																				
Similar	2.462	1.404	1.203	1.126	1.082	1.049	1.034	1.030	1.018	1.022	1.011	1.009	1.012	1.008	1.008	1.005	1.006	1.002	1.062	
Previous	2.450	1.275	1.140	1.100	1.070	1.065	1.060	1.055	1.048	1.045	1.030	1.018	1.015	1.013	1.006	1.007	1.006	1.003	1.055	
Selected	2.450	1.300	1.140	1.095	1.065	1.062	1.055	1.055	1.045	1.045	1.032	1.020	1.018	1.015	1.008	1.005	1.006	1.002	1.062	
Cumulative	6.447	2.631	2.024	1.776	1.622	1.523	1.434	1.359	1.288	1.233	1.180	1.143	1.121	1.101	1.084	1.076	1.071	1.064	1.062	
Percent	15.5%	38.0%	49.4%	56.3%	61.7%	65.7%	69.8%	73.6%	77.6%	81.1%	84.8%	87.5%	89.2%	90.8%	92.2%	92.9%	93.4%	94.0%	94.2%	

We were advised by PRM Consulting that claim data for 2003/04 and prior may not be complete. As such, we did not rely on the indicated development factors for these years.

Amounts are unlimited and gross of recoveries.

Data was provided by DC Office of Risk Management.

DISTRICT OF COLUMBIA
WORKERS' COMPENSATION

Exhibit WC-2 (page 3)

Historical Unlimited Reported Incurred Losses (\$000) and Unlimited Reported Incurred Loss Development

I. Historical Unlimited Reported Incurred Losses (\$000)

Claim Period	12	24	Months of Development:		60	72	84	96	108	120	132	144	156	168	180	192	204	216	228	240
			36	48																
2000/01					\$1,815	\$2,700	\$3,208	\$4,144	\$6,977	\$7,115	\$6,633	\$7,019	\$7,147	\$7,009	\$7,278	\$7,661	\$7,745	\$7,922	\$7,949	\$7,300
2001/02				1,986	2,965	4,194	5,592	6,446	7,907	8,140	8,239	8,759	9,003	9,057	9,392	9,559	9,930	9,692	9,553	
2002/03			3,103	4,737	7,110	8,895	10,878	11,888	12,026	12,222	12,012	12,386	11,855	12,415	12,713	13,006	12,177	12,307		
2003/04		5,682	7,048	7,894	7,796	8,143	9,920	10,038	9,358	9,307	9,455	9,893	10,217	10,532	10,631	10,419	10,473			
2004/05	6,580	9,102	9,273	11,699	13,106	15,236	15,833	15,874	15,659	16,000	16,730	17,225	17,160	17,604	17,449	17,621				
2005/06	7,050	7,380	8,306	10,057	11,331	11,867	11,657	11,895	12,148	12,649	13,027	13,222	13,652	12,994	13,306					
2006/07	8,117	8,220	9,670	12,109	12,187	12,616	12,920	13,404	14,077	14,158	14,495	15,003	14,834	15,010						
2007/08	6,987	8,439	9,254	9,847	10,246	10,801	11,525	12,686	13,216	13,976	14,565	14,932	14,785							
2008/09	11,153	10,477	11,564	12,478	13,550	14,168	14,811	15,514	16,684	17,020	16,856	17,388								
2009/10	9,116	10,040	11,028	11,712	12,670	13,980	14,769	15,160	15,290	15,244	14,846									
2010/11	7,114	8,443	9,569	10,518	11,646	12,480	13,316	13,859	13,960	14,142										
2011/12	4,338	4,620	5,382	5,677	5,678	5,491	5,383	5,342	5,395											
2012/13	4,069	5,627	6,164	6,811	6,400	6,178	5,596	5,743												
2013/14	6,963	8,519	8,306	7,587	6,280	5,962	6,086													
2014/15	5,581	9,190	10,193	8,801	8,629	8,746														
2015/16	5,568	8,427	7,917	7,430	7,671															
2016/17	5,485	6,629	5,478	5,620																
2017/18	2,806	4,261	5,044																	
2018/19	4,397	6,134																		
2019/20	3,711																			

II. Unlimited Reported Incurred Loss Development

Claim Period	12-24	24-36	Months of Development:		60-72	72-84	84-96	96-108	108-120	120-132	132-144	144-156	156-168	168-180	180-192	192-204	204-216	216-228	228-240	240-Ult
			36-48	48-60																
2000/01					1.488	1.188	1.292	1.684	1.020	0.932	1.058	1.018	0.981	1.038	1.053	1.011	1.023	1.003	0.918	
2001/02				1.493	1.415	1.333	1.227	1.029	1.012	1.063	1.028	1.006	1.037	1.018	1.039	0.976	0.986			
2002/03			1.527	1.501	1.251	1.223	1.093	1.012	1.016	0.983	1.031	0.957	1.047	1.024	1.023	0.936	1.011			
2003/04		1.240	1.120	0.988	1.045	1.218	1.012	0.932	0.995	1.016	1.046	1.033	1.031	1.009	0.980	1.005				
2004/05	1.383	1.019	1.262	1.120	1.163	1.039	1.003	0.986	1.022	1.046	1.030	0.996	1.026	0.991	1.010					
2005/06	1.047	1.125	1.211	1.127	1.047	0.982	1.020	1.021	1.041	1.030	1.015	1.033	0.952	1.024						
2006/07	1.013	1.176	1.252	1.006	1.035	1.024	1.037	1.050	1.006	1.024	1.035	0.989	1.012							
2007/08	1.208	1.097	1.064	1.041	1.054	1.067	1.101	1.042	1.058	1.042	1.025	0.990								
2008/09	0.939	1.104	1.079	1.086	1.046	1.045	1.047	1.075	1.020	0.990	1.032									
2009/10	1.101	1.098	1.062	1.082	1.103	1.056	1.026	1.009	0.997	0.974										
2010/11	1.187	1.133	1.099	1.107	1.072	1.067	1.041	1.007	1.013											
2011/12	1.065	1.165	1.055	1.000	0.967	0.980	0.992	1.010												
2012/13	1.383	1.095	1.105	0.940	0.965	0.906	1.026													
2013/14	1.223	0.975	0.913	0.828	0.949	1.021														
2014/15	1.647	1.109	0.863	0.980	1.013															
2015/16	1.513	0.939	0.939	1.032																
2016/17	1.209	0.826	1.026																	
2017/18	1.519	1.184																		
2018/19	1.395																			
2019/20																				
Average																				
All	1.255	1.086	1.105	1.089	1.108	1.082	1.065	1.088	1.020	1.005	1.037	1.005	1.008	1.021	1.017	0.998	1.003	0.995	0.918	
Wtd 3	1.342	0.955	0.926	0.948	0.980	0.968	1.027	1.008	1.010	1.001	1.031	1.003	0.999	1.006	1.006	0.988	1.002			
Last 3	1.374	0.983	0.943	0.947	0.976	0.969	1.020	1.009	1.010	1.002	1.031	1.004	0.996	1.008	1.004	0.993	1.003			
Last 5	1.476	1.008	0.959	0.973	0.982	1.019	1.031	1.020	1.013	1.015	1.029	1.006	1.023	1.019	1.017					
x-hi,low																				
Similar	1.449	1.169	1.085	1.051	1.026	1.026	1.012	1.011	1.003	1.007	1.006	0.987	1.007	1.001	1.009	0.999	1.000	0.999	1.012	
Previous	1.400	1.115	1.085	1.070	1.060	1.050	1.035	1.025	1.022	1.020	1.018	1.010	1.008	1.007	1.006	1.005	1.005	1.004	1.003	1.008
Selected	1.400	1.120	1.080	1.060	1.050	1.040	1.035	1.025	1.022	1.020	1.018	1.010	1.008	1.007	1.006	1.005	1.005	1.004	1.003	1.008
Cumulative	2.333	1.667	1.488	1.378	1.300	1.238	1.190	1.150	1.122	1.098	1.076	1.057	1.047	1.039	1.031	1.025	1.020	1.015	1.011	1.008
Percent	42.9%	60.0%	67.2%	72.6%	76.9%	80.8%	84.0%	86.9%	89.1%	91.1%	92.9%	94.6%	95.5%	96.3%	97.0%	97.5%	98.0%	98.5%	98.9%	99.2%

We were advised by PRM Consulting that claim data for 2003/04 and prior may not be complete. As such, we did not rely on the indicated development factors for these years.

Amounts are unlimited and gross of recoveries.

Data was provided by DC Office of Risk Management.

DISTRICT OF COLUMBIA
WORKERS' COMPENSATION

Exhibit WC-2 (page 4)

Historical Reported Claims and Reported Claim Development

I. Historical Reported Claims

Claim Period	12	24	Months of Development:																	
			36	48	60	72	84	96	108	120	132	144	156	168	180	192	204	216	228	240
2000/01					91	139	138	142	143	143	143	143	143	143	143	143	142	142	142	144
2001/02				105	176	188	188	188	188	189	189	190	190	190	190	190	190	190	190	
2002/03			229	319	329	332	330	330	330	330	329	329	329	329	329	329	329	330		
2003/04		781	895	893	893	891	892	892	892	892	893	893	893	893	893	893	893			
2004/05	973	1,489	1,488	1,488	1,448	1,448	1,448	1,448	1,448	1,448	1,448	1,448	1,448	1,449	1,448	1,448				
2005/06	1,467	1,524	1,525	1,487	1,487	1,487	1,487	1,487	1,487	1,487	1,487	1,487	1,487	1,487	1,487	1,487				
2006/07	1,494	1,533	1,372	1,374	1,374	1,374	1,374	1,374	1,375	1,375	1,375	1,375	1,376	1,375	1,375					
2007/08	1,544	1,344	1,345	1,347	1,348	1,348	1,348	1,348	1,348	1,348	1,348	1,348	1,348	1,348						
2008/09	1,339	1,389	1,389	1,389	1,389	1,390	1,390	1,390	1,390	1,390	1,390	1,390								
2009/10	1,383	1,438	1,440	1,441	1,442	1,442	1,442	1,442	1,442	1,442										
2010/11	1,203	1,259	1,258	1,258	1,258	1,258	1,258	1,258	1,258	1,258										
2011/12	1,175	1,214	1,213	1,216	1,216	1,216	1,216	1,216	1,216											
2012/13	1,071	1,116	1,119	1,120	1,119	1,119	1,119	1,119												
2013/14	1,096	1,114	1,114	1,113	1,113	1,113														
2014/15	1,148	1,170	1,167	1,167	1,168	1,169														
2015/16	1,194	1,207	1,209	1,204	1,204															
2016/17	1,015	1,002	1,001	1,001																
2017/18	831	828	828																	
2018/19	809	833																		
2019/20	640																			

II. Reported Claim Development

Claim Period	12-24	24-36	Months of Development:																	
			36-48	48-60	60-72	72-84	84-96	96-108	108-120	120-132	132-144	144-156	156-168	168-180	180-192	192-204	204-216	216-228	228-240	240-Ult
2000/01					1.527	0.993	1.029	1.007	1.000	1.000	1.000	1.000	1.000	1.000	1.000	0.993	1.000	1.000	1.014	
2001/02				1.676	1.068	1.000	1.000	1.000	1.005	1.000	1.005	1.000	1.000	1.000	1.000	1.000	1.000	1.000		
2002/03			1.393	1.031	1.009	0.994	1.000	1.000	1.000	0.997	1.000	1.000	1.000	1.000	1.000	1.000	1.003			
2003/04		1.146	0.998	1.000	0.998	1.001	1.000	1.000	1.000	1.001	1.000	1.000	1.000	1.000	1.000	1.000				
2004/05	1.530	0.999	1.000	0.973	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.001	0.999	1.001					
2005/06	1.039	1.001	0.975	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000						
2006/07	1.026	0.895	1.001	1.000	1.000	1.000	1.000	1.001	1.000	1.000	1.001	0.999	1.000							
2007/08	0.870	1.001	1.001	1.001	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000								
2008/09	1.037	1.000	1.000	1.000	1.001	1.000	1.000	1.000	1.000	1.000	1.000									
2009/10	1.040	1.001	1.001	1.001	1.000	1.000	1.000	1.000	1.000	1.000										
2010/11	1.047	0.999	1.000	1.000	1.000	1.000	1.000	1.000	1.000											
2011/12	1.033	0.999	1.002	1.000	1.000	1.000	1.000	1.000												
2012/13	1.042	1.003	1.001	0.999	1.000	1.000	1.000													
2013/14	1.016	1.000	0.999	1.000	1.000	1.000														
2014/15	1.019	0.997	1.000	1.001	1.001															
2015/16	1.011	1.002	0.996	1.000																
2016/17	0.987	0.999	1.000																	
2017/18	0.996	1.000																		
2018/19	1.030																			
2019/20																				
Average																				
All	1.048	1.003	1.025	1.045	1.040	0.999	1.002	1.001	1.000	1.000	1.001	1.000	1.000	1.000	1.000	0.998	1.001	1.000	1.014	
Wtd 3	1.003	1.000	0.999	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.002			
Last 3	1.004	1.000	0.999	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.001			
Last 5	1.009	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000					
x-hi,low																				
Similar	1.131	1.019	1.010	1.007	1.007	1.005	1.005	1.006	1.006	1.001	1.001	1.001	1.001	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Previous	1.020	1.001	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Selected	1.020	1.001	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Cumulative	1.021	1.001	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Percent	97.9%	99.9%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%

Count triangle includes claims with \$0 incurred.

We were advised by PRM Consulting that claim data for 2003/04 and prior may not be complete. As such, we did not rely on the indicated development factors for these years.

Data was provided by DC Office of Risk Management.

DISTRICT OF COLUMBIA
WORKERS' COMPENSATION

Exhibit WC-2 (page 5)

Historical Ratio of Unlimited Paid Losses and Unlimited Reported Incurred Losses

Claim Period	12	24	Months of Development:		60	72	84	96	108	120	132	144	156	168	180	192	204	216	228	240
			36	48																
2000/01					49.7%	64.7%	67.5%	71.9%	53.1%	58.7%	69.2%	71.7%	74.0%	78.5%	80.3%	79.9%	82.6%	83.5%	86.2%	96.5%
2001/02				53.4%	72.2%	71.8%	71.0%	73.0%	67.9%	72.9%	77.4%	81.4%	83.3%	88.0%	88.6%	91.2%	92.0%	96.1%	98.2%	
2002/03			49.9%	71.6%	60.7%	58.3%	56.6%	59.9%	64.6%	69.6%	72.0%	74.0%	82.0%	82.7%	84.6%	85.5%	94.7%	96.5%		
2003/04		48.5%	68.0%	72.1%	80.5%	81.9%	76.2%	79.7%	89.5%	93.5%	94.5%	93.7%	92.9%	93.0%	94.8%	98.3%	98.5%			
2004/05	35.4%	69.1%	84.4%	77.9%	78.9%	76.5%	79.7%	84.9%	89.5%	90.6%	90.3%	90.4%	93.9%	94.4%	98.1%	98.7%				
2005/06	33.3%	72.8%	79.1%	77.0%	75.9%	78.1%	83.8%	86.2%	87.6%	88.3%	88.7%	90.1%	89.6%	97.0%	97.4%					
2006/07	24.9%	62.2%	70.8%	68.3%	77.7%	81.8%	84.7%	87.7%	88.1%	90.9%	92.6%	92.1%	95.6%	95.4%						
2007/08	29.2%	62.4%	75.8%	83.2%	89.2%	91.4%	91.6%	90.6%	90.8%	90.5%	90.7%	92.3%	95.2%							
2008/09	23.5%	62.0%	73.8%	82.0%	83.3%	85.3%	87.4%	87.8%	88.3%	90.6%	94.5%	94.6%								
2009/10	33.9%	69.2%	81.0%	85.9%	86.9%	86.0%	85.9%	89.4%	91.7%	94.4%	98.0%									
2010/11	38.8%	75.4%	83.0%	83.7%	84.1%	84.9%	85.8%	87.5%	92.1%	94.8%										
2011/12	43.6%	76.3%	76.6%	81.0%	83.2%	90.7%	94.4%	97.8%	98.1%											
2012/13	37.6%	51.9%	59.4%	63.2%	73.8%	81.6%	94.3%	93.9%												
2013/14	21.2%	40.3%	51.2%	63.7%	82.3%	89.4%	93.1%													
2014/15	32.4%	48.5%	58.9%	75.8%	84.8%	86.9%														
2015/16	24.5%	46.0%	64.7%	80.7%	85.1%															
2016/17	34.0%	49.2%	75.4%	83.5%																
2017/18	36.8%	63.8%	70.2%																	
2018/19	33.6%	54.8%																		
2019/20	34.6%																			
Average																				
All	32.3%	59.5%	70.1%	75.2%	78.0%	80.6%	82.3%	83.9%	83.4%	85.0%	86.8%	86.7%	88.3%	89.9%	90.6%	90.7%	91.9%	92.0%	92.2%	96.5%
Last 3	35.0%	55.9%	70.1%	80.0%	84.1%	85.9%	93.9%	93.1%	94.0%	93.3%	94.4%	93.0%	93.5%	95.6%	96.8%	94.2%	95.1%	92.0%		
Last 5	34.1%	50.8%	64.6%	73.4%	83.4%	87.1%	91.1%	90.4%	91.5%	92.0%	92.6%	91.6%	94.0%	94.3%	93.6%	91.7%				
x-hi,low																				
Implicit	36.2%	63.3%	73.5%	77.6%	80.2%	81.3%	83.0%	84.6%	87.1%	89.1%	91.3%	92.5%	93.4%	94.4%	95.1%	95.3%	95.3%	95.4%	95.2%	
Prior	37.8%	66.1%	75.6%	79.4%	81.7%	82.4%	83.6%	85.6%	88.1%	90.4%	92.6%	93.7%	94.4%	95.1%	95.6%	95.6%	95.8%	95.9%	95.8%	

DISTRICT OF COLUMBIA
WORKERS' COMPENSATION

Exhibit WC-2 (page 6)

Historical Paid Severity and Paid Severity Development

I. Historical Paid Severity

Claim Period	12	24	Months of Development:		60	72	84	96	108	120	132	144	156	168	180	192	204	216	228	240
			36	48																
2000/01					9,912	12,561	15,688	20,993	25,923	29,231	32,091	35,196	37,007	38,483	40,846	42,811	45,063	46,559	48,247	48,901
2001/02				10,095	12,170	16,027	21,128	25,016	28,537	31,376	33,751	37,511	39,489	41,953	43,774	45,889	48,060	49,029	49,363	
2002/03			6,755	10,636	13,116	15,627	18,667	21,567	23,542	25,791	26,292	27,863	29,559	31,207	32,693	33,797	35,039	35,974		
2003/04		3,526	5,356	6,372	7,029	7,484	8,471	8,973	9,387	9,752	10,010	10,378	10,630	10,973	11,280	11,469	11,554			
2004/05	2,394	4,222	5,263	6,124	7,137	8,046	8,715	9,307	9,676	10,006	10,430	10,755	11,126	11,467	11,819	12,003				
2005/06	1,600	3,526	4,306	5,204	5,783	6,229	6,572	6,897	7,157	7,510	7,774	8,008	8,228	8,472	8,720					
2006/07	1,355	3,333	4,989	6,022	6,892	7,509	7,969	8,554	9,015	9,359	9,767	10,047	10,414							
2007/08	1,319	3,917	5,218	6,082	6,783	7,326	7,829	8,524	8,900	9,378	9,800	10,228	10,446							
2008/09	1,955	4,680	6,145	7,366	8,125	8,696	9,315	9,801	10,594	11,097	11,459	11,834								
2009/10	2,236	4,832	6,206	6,985	7,632	8,342	8,800	9,400	9,727	9,977										
2010/11	2,293	5,060	6,316	6,999	7,787	8,421	9,080	9,640	10,221	10,663	10,087									
2011/12	1,611	2,903	3,400	3,781	3,887	4,094	4,179	4,295	4,353											
2012/13	1,427	2,616	3,273	3,843	4,223	4,503	4,717	4,820												
2013/14	1,349	3,083	3,818	4,342	4,643	4,790	5,088													
2014/15	1,578	3,809	5,143	5,719	6,266	6,499														
2015/16	1,144	3,215	4,235	4,982	5,422															
2016/17	1,836	3,253	4,129	4,690																
2017/18	1,243	3,284	4,275																	
2018/19	1,828	4,038																		
2019/20	2,004																			

II. Paid Severity Development

Claim Period	12-24	24-36	Months of Development:		60-72	72-84	84-96	96-108	108-120	120-132	132-144	144-156	156-168	168-180	180-192	192-204	204-216	216-228	228-240	240-Ult
			36-48	48-60																
2000/01					1.267	1.249	1.338	1.235	1.128	1.098	1.097	1.051	1.040	1.061	1.048	1.053	1.033	1.036	1.014	
2001/02				1.206	1.317	1.318	1.184	1.141	1.099	1.076	1.111	1.053	1.062	1.043	1.048	1.047	1.020	1.007		
2002/03			1.574	1.233	1.191	1.195	1.155	1.092	1.096	1.019	1.060	1.061	1.056	1.048	1.034	1.037	1.027			
2003/04		1.519	1.190	1.103	1.065	1.132	1.059	1.046	1.039	1.026	1.037	1.024	1.032	1.028	1.017	1.007				
2004/05	1.764	1.247	1.164	1.165	1.127	1.083	1.068	1.040	1.034	1.042	1.031	1.034	1.031	1.031	1.016					
2005/06	2.204	1.221	1.209	1.111	1.077	1.055	1.049	1.038	1.049	1.035	1.030	1.027	1.030	1.029						
2006/07	2.460	1.497	1.207	1.145	1.089	1.061	1.073	1.054	1.038	1.044	1.029	1.026	1.010							
2007/08	2.969	1.332	1.166	1.115	1.080	1.069	1.089	1.044	1.054	1.045	1.044	1.021								
2008/09	2.393	1.313	1.199	1.103	1.070	1.071	1.052	1.081	1.048	1.033	1.033									
2009/10	2.161	1.284	1.126	1.093	1.093	1.055	1.068	1.035	1.026	1.011										
2010/11	2.207	1.248	1.108	1.113	1.081	1.078	1.062	1.060	1.043											
2011/12	1.802	1.171	1.112	1.028	1.053	1.021	1.028	1.014												
2012/13	1.834	1.251	1.174	1.099	1.066	1.047	1.022													
2013/14	2.285	1.238	1.137	1.069	1.032	1.062														
2014/15	2.414	1.350	1.112	1.096	1.037															
2015/16	2.810	1.317	1.177	1.088																
2016/17	1.771	1.269	1.136																	
2017/18	2.642	1.302																		
2018/19	2.209																			
2019/20																				
Average																				
All	2.262	1.304	1.186	1.118	1.110	1.107	1.096	1.073	1.059	1.043	1.052	1.037	1.037	1.040	1.033	1.036	1.027	1.022	1.014	
Wtd 3	2.155	1.296	1.140	1.086	1.044	1.045	1.043	1.041	1.039	1.029	1.035	1.025	1.023	1.029	1.026	1.038	1.027			
Last 3	2.208	1.296	1.141	1.084	1.045	1.044	1.037	1.036	1.039	1.030	1.035	1.025	1.024	1.029	1.022	1.030	1.027			
Last 5	2.422	1.296	1.149	1.084	1.052	1.055	1.047	1.046	1.043	1.037	1.031	1.026	1.031	1.034	1.033					
x-hi,low																				

We were advised by PRM Consulting that claim data for 2003/04 and prior may not be complete.

Data was provided by DC Office of Risk Management.

DISTRICT OF COLUMBIA
WORKERS' COMPENSATION

Exhibit WC-2 (page 7)

Historical Average Case Reserves and Average Case Reserve Development

I. Historical Average Case Reserves

Claim Period	12	24	Months of Development:		60	72	84	96	108	120	132	144	156	168	180	192	204	216	228	240
			36	48																
2000/01					11,557	15,639	29,800	40,103												
2001/02				10,894	14,696	35,788	55,862	62,250												
2002/03			8,147	17,920	62,111	95,051	157,267	176,704												
2003/04		5,101	20,306	38,000	33,022	40,972	73,875	70,138												
2004/05	5,013	12,571	15,021	20,855	49,482	73,163	71,422	70,500												
2005/06	7,051	14,432	14,863	39,288	66,634	93,000	72,462	68,292												
2006/07	9,260	9,814	26,157	51,824	52,250	52,250	57,971	45,861												
2007/08	5,756	17,255	21,094	23,296	25,628	25,000	26,243	32,297												
2008/09	13,212	21,042	28,575	33,044	42,717	40,000	42,341	45,024												
2009/10	8,806	15,152	17,433	19,831	18,920	25,338	30,574	34,891												
2010/11	7,129	10,797	15,457	16,314	19,892	21,690	39,438	44,411												
2011/12	5,010	7,942	10,397	12,261	12,205	15,545	13,678	23,870												
2012/13	4,373	8,057	8,932	10,760	14,955	16,512	19,863	29,114												
2013/14	7,983	11,174	13,074	19,532	22,241	22,539	26,432													
2014/15	4,766	9,282	14,353	25,941	32,766	34,799														
2015/16	5,137	11,659	18,770	29,204	30,064															
2016/17	7,004	19,254	18,428	24,339																
2017/18	5,523	9,696	15,194																	
2018/19	7,297	15,475																		
2019/20	8,286																			

II. Average Case Reserve Development

Claim Period	12-24	24-36	Months of Development:		60-72	72-84	84-96	96-108	108-120	120-132	132-144	144-156	156-168	168-180	180-192	192-204	204-216	216-228	228-240	240-Ult
			36-48	48-60																
2000/01					1,353	1,905	1,346													
2001/02				1,349	2,435	1,561	1,114													
2002/03			2,200	3,466	1,530	1,655	1,124													
2003/04		3,981	1,871	0,869	1,241	1,803	0,949													
2004/05	2,508	1,195	1,388	2,373	1,479	0,976	0,987													
2005/06	2,047	1,030	2,643	1,696	1,396	0,779	0,942													
2006/07	1,060	2,665	1,981	1,008	1,000	1,109	0,791													
2007/08	2,998	1,222	1,104	1,100	0,975	1,050	1,231													
2008/09	1,593	1,358	1,156	1,293	0,936	1,059	1,063													
2009/10	1,721	1,151	1,138	0,954	1,339	1,207	1,141													
2010/11	1,514	1,432	1,055	1,219	1,090	1,818	1,126													
2011/12	1,585	1,309	1,179	0,995	1,274	0,880	1,745													
2012/13	1,842	1,109	1,205	1,390	1,104	1,203	1,466													
2013/14	1,400	1,170	1,494	1,139	1,013	1,173														
2014/15	1,948	1,546	1,807	1,263	1,062															
2015/16	2,270	1,610	1,556	1,029																
2016/17	2,749	0,957	1,321																	
2017/18	1,756	1,567																		
2018/19	2,121																			
2019/20																				
Average																				
All	1,941	1,553	1,540	1,410	1,282	1,298	1,156													
Wtd 3	2,241	1,290	1,542	1,139	1,056	1,098	1,335													
Last 3	2,208	1,378	1,561	1,144	1,060	1,085	1,446													
Last 5	2,113	1,428	1,457	1,144	1,085	1,194	1,244													
x-hi,low																				

We were advised by PRM Consulting that claim data for 2003/04 and prior may not be complete.

Data was provided by DC Office of Risk Management.

DISTRICT OF COLUMBIA
WORKERS' COMPENSATION

Exhibit WC-2 (page 8)

Historical Closed Claims and Claims Closure Rate

I. Historical Closed Claims

Claim Period	12	24	Months of Development:		60	72	84	96	108	120	132	144	156	168	180	192	204	216	228	240
			36	48																
2000/01					12	78	103	113												
2001/02				20	120	155	159	160												
2002/03			38	244	284	293	300	303												
2003/04		207	784	835	847	855	860	863												
2004/05	125	1,265	1,392	1,364	1,392	1,399	1,403	1,414												
2005/06	800	1,385	1,408	1,428	1,446	1,459	1,461	1,463												
2006/07	836	1,216	1,264	1,300	1,322	1,330	1,340	1,338												
2007/08	684	1,160	1,239	1,276	1,305	1,311	1,311	1,311												
2008/09	693	1,200	1,283	1,321	1,336	1,338	1,346	1,348												
2009/10	699	1,234	1,320	1,358	1,354	1,365	1,374	1,396												
2010/11	592	1,067	1,153	1,153	1,165	1,171	1,210	1,219												
2011/12	687	1,076	1,092	1,128	1,138	1,183	1,194	1,211												
2012/13	490	780	839	887	1,007	1,050	1,103	1,107												
2013/14	409	659	804	972	1,063	1,085	1,097													
2014/15	357	660	875	1,085	1,128	1,136														
2015/16	376	817	1,060	1,155	1,166															
2016/17	498	827	928	963																
2017/18	510	669	729																	
2018/19	409	654																		
2019/20	347																			

II. Closed / Reported Count

Claim Period	12-24	24-36	Months of Development:		60-72	72-84	84-96	96-108	108-120	120-132	132-144	144-156	156-168	168-180	180-192	192-204	204-216	216-228	228-240	240-Ult
			36-48	48-60																
2000/01					13%	56%	75%	80%												
2001/02				19%	68%	82%	85%	85%												
2002/03			17%	76%	86%	88%	91%	92%												
2003/04		27%	88%	94%	95%	96%	96%	97%												
2004/05	13%	85%	94%	92%	96%	97%	97%	98%												
2005/06	55%	91%	92%	96%	97%	98%	98%	98%												
2006/07	56%	79%	92%	95%	96%	97%	98%	97%												
2007/08	44%	86%	92%	95%	97%	97%	97%	97%												
2008/09	52%	86%	92%	95%	96%	96%	97%	97%												
2009/10	51%	86%	92%	94%	94%	95%	95%	97%												
2010/11	49%	85%	92%	92%	93%	93%	96%	97%												
2011/12	58%	89%	90%	93%	94%	97%	98%	100%												
2012/13	46%	70%	75%	79%	90%	94%	99%	99%												
2013/14	37%	59%	72%	87%	96%	97%	99%													
2014/15	31%	56%	75%	93%	97%	97%														
2015/16	31%	68%	88%	96%	97%															
2016/17	49%	83%	93%	96%																
2017/18	61%	81%	88%																	
2018/19	51%	79%																		
2019/20	54%																			
Average																				
All	46%	76%	83%	87%	88%	92%	94%	95%												
Last 3	55%	81%	89%	95%	96%	96%	98%	98%												
Last 5	51%	76%	84%	92%	95%	96%	98%	98%												
x-hi,low																				

Count triangle includes claims with \$0 incurred.

We were advised by PRM Consulting that claim data for 2003/04 and prior may not be complete.

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DISTRICT OF COLUMBIA
WORKERS' COMPENSATION

Exhibit WC-3

Developed Unlimited Paid Losses

Claim Period (1)	Months of Development 9/30/20 (2)	Net Unlimited Paid Losses 9/30/20 (3)	Percent Losses Paid (4)	Developed Unlimited Paid Losses (3)/(4) (5)
to 1991/92	348.0	\$76,185,443	99.1%	\$76,840,679
1992/93	336.0	4,782,204	99.0%	4,832,149
1993/94	324.0	5,541,721	98.7%	5,612,031
1994/95	312.0	9,012,182	98.5%	9,151,152
1995/96	300.0	4,391,486	98.2%	4,473,838
1996/97	288.0	7,991,676	97.8%	8,174,054
1997/98	276.0	5,564,939	97.3%	5,719,625
1998/99	264.0	6,600,359	96.7%	6,824,062
1999/00	252.0	6,592,350	96.0%	6,865,137
2000/01	240.0	7,024,750	95.2%	7,380,209
2001/02	228.0	9,370,367	94.2%	9,951,330
2002/03	216.0	11,641,019	94.0%	12,387,487
2003/04	204.0	10,257,931	93.4%	10,981,205
2004/05	192.0	17,203,142	92.9%	18,508,194
2005/06	180.0	12,832,188	92.2%	13,916,099
2006/07	168.0	14,254,631	90.8%	15,690,574
2007/08	156.0	13,997,498	89.2%	15,684,874
2008/09	144.0	16,138,555	87.5%	18,445,713
2009/10	132.0	14,190,696	84.8%	16,738,409
2010/11	120.0	13,200,846	81.1%	16,271,536
2011/12	108.0	5,160,673	77.6%	6,647,363
2012/13	96.0	5,313,137	73.6%	7,220,156
2013/14	84.0	5,630,719	69.8%	8,072,571
2014/15	72.0	7,546,923	65.7%	11,490,593
2015/16	60.0	6,471,682	61.7%	10,493,958
2016/17	48.0	4,677,278	56.3%	8,304,804
2017/18	36.0	3,517,262	49.4%	7,119,439
2018/19	24.0	3,327,338	38.0%	8,755,508
2019/20	12.0	1,274,104	15.5%	8,214,012
Total		\$309,693,098		\$360,766,765

(3) is from Exhibit WC-1.

(4) is from Exhibit WC-2.

DISTRICT OF COLUMBIA
WORKERS' COMPENSATION

Exhibit WC-4

Developed Unlimited Reported Incurred Losses

Claim Period (1)	Months of Development 9/30/20 (2)	Net Unlimited Reported Incurred Losses 9/30/20 (3)	Percent Losses Reported (4)	Developed Unlimited Reported Incurred Losses (3)/(4) (5)
to 1991/92	348.0	\$89,231,636	100.0%	\$89,231,636
1992/93	336.0	5,433,724	100.0%	5,433,724
1993/94	324.0	5,812,869	100.0%	5,812,869
1994/95	312.0	9,697,642	100.0%	9,697,643
1995/96	300.0	5,057,157	100.0%	5,057,160
1996/97	288.0	9,770,315	100.0%	9,770,355
1997/98	276.0	6,040,373	100.0%	6,040,534
1998/99	264.0	6,828,568	100.0%	6,829,787
1999/00	252.0	6,970,087	99.9%	6,978,394
2000/01	240.0	7,283,478	99.2%	7,341,746
2001/02	228.0	9,544,055	98.9%	9,649,269
2002/03	216.0	12,076,575	98.5%	12,258,546
2003/04	204.0	10,412,928	98.0%	10,622,680
2004/05	192.0	17,431,557	97.5%	17,871,601
2005/06	180.0	13,172,245	97.0%	13,585,795
2006/07	168.0	14,944,686	96.3%	15,521,781
2007/08	156.0	14,701,648	95.5%	15,391,512
2008/09	144.0	17,077,081	94.6%	18,057,196
2009/10	132.0	14,490,355	92.9%	15,597,804
2010/11	120.0	13,929,791	91.1%	15,294,286
2011/12	108.0	5,262,265	89.1%	5,904,841
2012/13	96.0	5,662,504	86.9%	6,512,803
2013/14	84.0	6,053,632	84.0%	7,206,357
2014/15	72.0	8,695,282	80.8%	10,765,067
2015/16	60.0	7,614,097	76.9%	9,897,847
2016/17	48.0	5,602,152	72.6%	7,719,392
2017/18	36.0	5,021,421	67.2%	7,472,718
2018/19	24.0	6,097,422	60.0%	10,162,867
2019/20	12.0	3,702,010	42.9%	8,638,445
Total		\$343,617,554		\$370,324,658

(3) is from Exhibit WC-1.

(4) is from Exhibit WC-2.

Developed Unlimited Case Reserves

Claim Period (1)	Months of Development 9/30/20 (2)	Percent Losses Paid (3)	Percent Losses Reported (4)	Percent Losses Reserved 9/30/20 [(4)-(3)]/ [100.0%-(3)] (5)	Net Unlimited Paid Losses 9/30/20 (6)	Net Unlimited Case Reserves 9/30/20 (7)	Developed Unlimited Case Reserves (6)+(7)/(5) (8)
to 1991/92	348.0	99.1%	100.0%	100.0%	\$76,185,443	\$13,046,193	\$89,231,637
1992/93	336.0	99.0%	100.0%	100.0%	4,782,204	651,520	5,433,724
1993/94	324.0	98.7%	100.0%	100.0%	5,541,721	271,148	5,812,870
1994/95	312.0	98.5%	100.0%	100.0%	9,012,182	685,460	9,697,646
1995/96	300.0	98.2%	100.0%	100.0%	4,391,486	665,671	5,057,179
1996/97	288.0	97.8%	100.0%	100.0%	7,991,676	1,778,640	9,770,636
1997/98	276.0	97.3%	100.0%	99.9%	5,564,939	475,433	6,040,844
1998/99	264.0	96.7%	100.0%	99.5%	6,600,359	228,208	6,829,817
1999/00	252.0	96.0%	99.9%	97.0%	6,592,350	377,737	6,981,753
2000/01	240.0	95.2%	99.2%	83.5%	7,024,750	258,728	7,334,523
2001/02	228.0	94.2%	98.9%	81.3%	9,370,367	173,688	9,583,946
2002/03	216.0	94.0%	98.5%	75.4%	11,641,019	435,557	12,218,941
2003/04	204.0	93.4%	98.0%	70.0%	10,257,931	154,996	10,479,289
2004/05	192.0	92.9%	97.5%	65.1%	17,203,142	228,415	17,554,115
2005/06	180.0	92.2%	97.0%	60.9%	12,832,188	340,057	13,390,401
2006/07	168.0	90.8%	96.3%	59.4%	14,254,631	690,055	15,416,854
2007/08	156.0	89.2%	95.5%	58.3%	13,997,498	704,150	15,204,538
2008/09	144.0	87.5%	94.6%	56.6%	16,138,555	938,527	17,796,597
2009/10	132.0	84.8%	92.9%	53.4%	14,190,696	299,659	14,752,350
2010/11	120.0	81.1%	91.1%	52.7%	13,200,846	728,945	14,583,398
2011/12	108.0	77.6%	89.1%	51.3%	5,160,673	101,592	5,358,543
2012/13	96.0	73.6%	86.9%	50.6%	5,313,137	349,367	6,004,003
2013/14	84.0	69.8%	84.0%	47.1%	5,630,719	422,913	6,528,268
2014/15	72.0	65.7%	80.8%	44.0%	7,546,923	1,148,359	10,158,072
2015/16	60.0	61.7%	76.9%	39.8%	6,471,682	1,142,414	9,341,859
2016/17	48.0	56.3%	72.6%	37.2%	4,677,278	924,875	7,162,980
2017/18	36.0	49.4%	67.2%	35.2%	3,517,262	1,504,159	7,794,486
2018/19	24.0	38.0%	60.0%	35.5%	3,327,338	2,770,084	11,135,621
2019/20	12.0	15.5%	42.9%	32.4%	1,274,104	2,427,906	8,776,033
Total					\$309,693,098	\$33,924,457	\$365,430,920

(3) and (4) are from Exhibit WC-2.

(6) and (7) are from Exhibit WC-1.

DISTRICT OF COLUMBIA
WORKERS' COMPENSATION

Exhibit WC-6

Preliminary Projected Ultimate Unlimited Losses to 2019/20

Claim Period (1)	Developed Unlimited Paid Losses (2)	Developed Unlimited Reported Incurred Losses (3)	Developed Unlimited Case Reserves (4)	Preliminary Projected Ultimate Unlimited Losses (5)
to 1991/92	\$76,840,679	\$89,231,636	\$89,231,637	\$91,840,875
1992/93	4,832,149	5,433,724	5,433,724	5,498,876
1993/94	5,612,031	5,812,869	5,812,870	5,839,984
1994/95	9,151,152	9,697,643	9,697,646	9,766,188
1995/96	4,473,838	5,057,160	5,057,179	5,123,725
1996/97	8,174,054	9,770,355	9,770,636	9,948,179
1997/98	5,719,625	6,040,534	6,040,844	6,087,916
1998/99	6,824,062	6,829,787	6,829,817	6,851,388
1999/00	6,865,137	6,978,394	6,981,753	7,007,860
2000/01	7,380,209	7,341,746	7,334,523	7,334,523
2001/02	9,951,330	9,649,269	9,583,946	9,583,946
2002/03	12,387,487	12,258,546	12,218,941	12,218,941
2003/04	10,981,205	10,622,680	10,479,289	10,479,289
2004/05	18,508,194	17,871,601	17,554,115	17,554,115
2005/06	13,916,099	13,585,795	13,390,401	13,390,401
2006/07	15,690,574	15,521,781	15,416,854	15,416,854
2007/08	15,684,874	15,391,512	15,204,538	15,204,538
2008/09	18,445,713	18,057,196	17,796,597	17,796,597
2009/10	16,738,409	15,597,804	14,752,350	14,752,350
2010/11	16,271,536	15,294,286	14,583,398	14,583,398
2011/12	6,647,363	5,904,841	5,358,543	5,358,543
2012/13	7,220,156	6,512,803	6,004,003	6,054,883
2013/14	8,072,571	7,206,357	6,528,268	6,663,886
2014/15	11,490,593	10,765,067	10,158,072	10,340,170
2015/16	10,493,958	9,897,847	9,341,859	9,564,254
2016/17	8,304,804	7,719,392	7,162,980	7,499,727
2017/18	7,119,439	7,472,718	7,794,486	7,530,769
2018/19	8,755,508	10,162,867	11,135,621	10,270,497
2019/20	8,214,012	8,638,445	8,776,033	8,651,037
Total	\$360,766,765	\$370,324,658	\$365,430,920	\$368,213,708

(2) is from Exhibit WC-3.

(3) is from Exhibit WC-4.

(4) is from Exhibit WC-5.

(5) is based on (2) to (4) and actuarial judgment.

Bornhuetter - Ferguson Analysis

I. A-priori Loss Rate

Claim Period (1)	Preliminary Projected Ultimate Unlimited Losses (2)	Payroll (000) (3)	Unlimited Loss Rate per \$100 of Payroll (2)/(3)/10 (4)	Loss Rate Trend (2020/21 = 1.000) (5)	Trended Unlimited Loss Rate per \$100 of Payroll (4)X(5) (6)	Projected A-priori Loss Rate per \$100 of Payroll (7)/(5) (8)
2010/11	\$14,583,398	\$1,979,225	\$0.74	1.234	\$0.91	\$0.33
2011/12	5,358,543	2,109,455	0.25	1.210	0.31	0.33
2012/13	6,054,883	2,156,439	0.28	1.186	0.33	0.34
2013/14	6,663,886	2,256,429	0.30	1.160	0.34	0.35
2014/15	10,340,170	2,442,007	0.42	1.136	0.48	0.36
2015/16	9,564,254	2,620,064	0.37	1.112	0.41	0.36
2016/17	7,499,727	2,740,690	0.27	1.088	0.30	0.37
2017/18	7,530,769	2,890,437	0.26	1.062	0.28	0.38
2018/19	10,270,497	2,997,794	0.34	1.040	0.36	0.39
2019/20	8,651,037	2,659,104	0.33	1.020	0.33	0.40

(7) Projected 2020/21 a-priori loss rate per \$100 of Payroll \$0.40

II. Bornhuetter - Ferguson Analysis Based on Unlimited Paid Losses

Claim Period (1)	Net Unlimited Paid Losses 9/30/20 (2)	Percent Losses Paid (3)	Projected A-priori Loss Rate per \$100 of Payroll (4)	Payroll (000) (5)	B-F Unpaid Losses [100.0%-(3)] X(4)X(5)X10 (6)	B-F Ultimate Unlimited Paid Losses (2)+(6) (7)
2015/16	\$6,471,682	61.7%	\$0.36	\$2,620,064	\$3,649,670	\$10,121,352
2016/17	4,677,278	56.3%	0.37	2,740,690	4,445,399	9,122,676
2017/18	3,517,262	49.4%	0.38	2,890,437	5,564,184	9,081,446
2018/19	3,327,338	38.0%	0.39	2,997,794	7,219,843	10,547,181
2019/20	1,274,104	15.5%	0.40	2,659,104	8,902,006	10,176,110

III. Bornhuetter - Ferguson Analysis Based on Unlimited Reported Incurred Losses

Claim Period (1)	Net Unlimited Reported Incurred Losses 9/30/20 (2)	Percent Losses Reported (3)	Projected A-priori Loss Rate per \$100 of Payroll (4)	Payroll (000) (5)	B-F Unreported Losses [100.0%-(3)] X(4)X(5)X10 (6)	B-F Ultimate Unlimited Reported Losses (2)+(6) (7)
2015/16	\$7,614,097	76.9%	\$0.36	\$2,620,064	\$2,196,994	\$9,811,091
2016/17	5,602,152	72.6%	0.37	2,740,690	2,791,364	8,393,517
2017/18	5,021,421	67.2%	0.38	2,890,437	3,607,444	8,628,864
2018/19	6,097,422	60.0%	0.39	2,997,794	4,658,515	10,755,937
2019/20	3,702,010	42.9%	0.40	2,659,104	6,020,983	9,722,992

Section I, (2) is from Exhibit WC-6.

Section I, (3), Section II, (5) and Section III, (5) are from Exhibit WC-10.

Section I, (5) is from Exhibit WC-14.

Section I, (7) is based on Section I, (6) and actuarial judgment.

Sections II and III, (2) are from Exhibit WC-1.

Sections II and III, (3) are from Exhibit WC-2.

Sections II and III, (4) are from Section I, (8).

Frequency Times Severity Analysis

I. Projected Ultimate Claims

Claim Period (1)	Months of Development 9/30/20 (2)	Reported Claims 9/30/20 (3)	Percent Claims Reported (4)	Projected Ultimate Claims (3)/(4) (5)	Payroll (000) (6)	Frequency (per \$1M of Payroll) (5)/(6)X1,000 (7)
2010/11	120.0	876	100.0%	876	\$1,979,225	0.44
2011/12	108.0	800	100.0%	800	2,109,455	0.38
2012/13	96.0	633	100.0%	633	2,156,439	0.29
2013/14	84.0	629	100.0%	629	2,256,429	0.28
2014/15	72.0	649	100.0%	649	2,442,007	0.27
2015/16	60.0	740	100.0%	740	2,620,064	0.28
2016/17	48.0	517	100.0%	517	2,740,690	0.19
2017/18	36.0	411	100.0%	411	2,890,437	0.14
2018/19	24.0	560	99.9%	561	2,997,794	0.19
2019/20	12.0	496	97.9%	506	2,659,104	0.19

II. Frequency Times Severity

Claim Period (1)	Preliminary Projected Ultimate Unlimited Losses (2)	Projected Ultimate Claims (3)	Average Severity (2)/(3) (4)	Severity Trend (2020/21 = 1.000) (5)	Trended Average Claim Severity (4)X(5) (6)	De-Trended Projected 2020/21 Average Claim Severity (7)/(5) (8)	Frequency Times Severity (3)X(8) (9)
2010/11	\$14,583,398	876	\$16,648	1.658	\$27,602	\$11,046	\$9,676,054
2011/12	5,358,543	800	6,698	1.578	10,571	11,605	9,283,710
2012/13	6,054,883	633	9,565	1.502	14,368	12,192	7,717,429
2013/14	6,663,886	629	10,594	1.427	15,117	12,834	8,072,810
2014/15	10,340,170	649	15,932	1.356	21,607	13,504	8,764,072
2015/16	9,564,254	740	12,925	1.289	16,663	14,205	10,511,716
2016/17	7,499,727	517	14,506	1.225	17,770	14,950	7,729,122
2017/18	7,530,769	411	18,323	1.161	21,269	15,777	6,484,368
2018/19	10,270,497	561	18,307	1.104	20,207	16,592	9,308,104
2019/20	8,651,037	506	17,097	1.051	17,962	17,432	8,820,359
(7) Projected 2020/21 average claim severity					\$18,314		

Section I, (3) is from Exhibit WC-1.

Section I, (4) is from Exhibit WC-2.

Section I, (6) is from Exhibit WC-10.

Section II, (2) is from Exhibit WC-6.

Section II, (3) is from Section I, (5).

Section II, (5) is from Exhibit WC-14.

Section II, (7) is based on (6) and actuarial judgment.

Projected Ultimate Unlimited Losses to 2019/20

Claim Period (1)	Developed Unlimited Paid Losses (2)	Developed Unlimited Reported Incurred Losses (3)	Developed Unlimited Case Reserves (4)	B-F Ultimate Unlimited Paid Losses (5)	B-F Ultimate Unlimited Reported Losses (6)	Frequency Times Severity (7)	Projected Ultimate Unlimited Losses (8)
to 1991/92	\$76,840,679	\$89,231,636	\$89,231,637				\$91,841,000
1992/93	4,832,149	5,433,724	5,433,724				5,499,000
1993/94	5,612,031	5,812,869	5,812,870				5,840,000
1994/95	9,151,152	9,697,643	9,697,646				9,766,188
1995/96	4,473,838	5,057,160	5,057,179				5,124,000
1996/97	8,174,054	9,770,355	9,770,636				9,948,179
1997/98	5,719,625	6,040,534	6,040,844				6,088,000
1998/99	6,824,062	6,829,787	6,829,817				6,851,388
1999/00	6,865,137	6,978,394	6,981,753				7,008,000
2000/01	7,380,209	7,341,746	7,334,523				7,335,000
2001/02	9,951,330	9,649,269	9,583,946				9,584,000
2002/03	12,387,487	12,258,546	12,218,941				12,219,000
2003/04	10,981,205	10,622,680	10,479,289				10,479,000
2004/05	18,508,194	17,871,601	17,554,115				17,554,000
2005/06	13,916,099	13,585,795	13,390,401				13,390,000
2006/07	15,690,574	15,521,781	15,416,854				15,417,000
2007/08	15,684,874	15,391,512	15,204,538				15,205,000
2008/09	18,445,713	18,057,196	17,796,597				17,797,000
2009/10	16,738,409	15,597,804	14,752,350				14,752,000
2010/11	16,271,536	15,294,286	14,583,398				14,583,000
2011/12	6,647,363	5,904,841	5,358,543				5,359,000
2012/13	7,220,156	6,512,803	6,004,003				6,055,000
2013/14	8,072,571	7,206,357	6,528,268				6,664,000
2014/15	11,490,593	10,765,067	10,158,072				10,340,000
2015/16	10,493,958	9,897,847	9,341,859	10,121,352	9,811,091	10,511,716	9,564,000
2016/17	8,304,804	7,719,392	7,162,980	9,122,676	8,393,517	7,729,122	7,500,000
2017/18	7,119,439	7,472,718	7,794,486	9,081,446	8,628,864	6,484,368	7,775,000
2018/19	8,755,508	10,162,867	11,135,621	10,547,181	10,755,937	9,308,104	10,489,000
2019/20	8,214,012	8,638,445	8,776,033	10,176,110	9,722,992	8,820,359	9,950,000

(2) is from Exhibit WC-3.

(3) is from Exhibit WC-4.

(4) is from Exhibit WC-5.

(5) and (6) are from Exhibit WC-7.

(7) is from Exhibit WC-8.

(8) is based on (2) to (7) and actuarial judgment.

Projected Ultimate Unlimited Losses for 2020/21 and Subsequent

Claim Period (1)	Projected Ultimate Unlimited Losses (2)	Payroll (000) (3)	Unlimited Loss Rate per \$100 of Payroll (2)/(3)/10 (4)	Loss Rate Trend (2020/21 = 1.000) (5)	Trended Unlimited Loss Rate per \$100 of Payroll (4)X(5) (6)
2010/11	\$14,583,000	\$1,979,225	\$0.74	1.234	\$0.91
2011/12	5,359,000	2,109,455	0.25	1.210	0.31
2012/13	6,055,000	2,156,439	0.28	1.186	0.33
2013/14	6,664,000	2,256,429	0.30	1.160	0.34
2014/15	10,340,000	2,442,007	0.42	1.136	0.48
2015/16	9,564,000	2,620,064	0.37	1.112	0.41
2016/17	7,500,000	2,740,690	0.27	1.088	0.30
2017/18	7,775,000	2,890,437	0.27	1.062	0.29
2018/19	10,489,000	2,997,794	0.35	1.040	0.36
2019/20	9,950,000	2,659,104	0.37	1.020	0.38
Total	\$88,279,000	\$24,851,644	\$0.36		\$0.41

Claim Period (1)	Projected Unlimited Loss Rate per \$100 of Payroll (7)	Projected Payroll (000) (8)	Projected Ultimate Unlimited Losses (7)X(8)X10 (9)	Present Value Factor (10)	Present Value of Projected Unlimited Loss Rate per \$100 of Payroll (7)X(10) (11)	Present Value of Projected Ultimate Unlimited Losses (8)X(11)X10 (12)
2020/21	\$0.41	\$3,265,593	\$13,415,000	0.91	\$0.37	\$12,224,000
2021/22	0.42	3,428,873	14,368,000	0.91	0.38	13,092,000

(2) is from Exhibit WC-9.

(3) was provided by DC Office of Risk Management.

(5) is from Exhibit WC-14.

(7) 2020/21 is based on (6) and actuarial judgment.
Other period(s) based on 2020/21 plus the trend in Exhibit WC-14.

(8) to 2020/21 was provided by DC Office of Risk Management. Other claim periods are based on a 5% trend.

(10) is based on a 1.75% interest rate and the payout pattern in Exhibit WC-2.

DISTRICT OF COLUMBIA
WORKERS' COMPENSATION

Exhibit WC-11

Estimated Outstanding Losses as of September 30, 2020

Claim Period (1)	Net Unlimited Paid Losses 9/30/20 (2)	Net Unlimited Case Reserves 9/30/20 (3)	Net Unlimited Reported Incurred Losses 9/30/20 (4)	Projected Ultimate Unlimited Losses (5)	Estimated IBNR 9/30/20 (5)-(4) (6)	Estimated Outstanding Losses 9/30/20 (3)+(6) (7)	Present Value Factor (8)	Present Value of Estimated Outstanding Losses 9/30/20 (7)X(8) (9)
to 1991/92	\$76,185,443	\$13,046,193	\$89,231,636	\$91,841,000	\$2,609,364	\$15,655,557	0.98	\$15,300,122
1992/93	4,782,204	651,520	5,433,724	5,499,000	65,276	716,796	0.97	692,347
1993/94	5,541,721	271,148	5,812,869	5,840,000	27,131	298,279	0.96	285,347
1994/95	9,012,182	685,460	9,697,642	9,766,188	68,546	754,006	0.95	715,661
1995/96	4,391,486	665,671	5,057,157	5,124,000	66,843	732,514	0.94	690,809
1996/97	7,991,676	1,778,640	9,770,315	9,948,179	177,864	1,956,504	0.94	1,835,468
1997/98	5,564,939	475,433	6,040,373	6,088,000	47,627	523,060	0.93	488,611
1998/99	6,600,359	228,208	6,828,568	6,851,388	22,821	251,029	0.93	233,683
1999/00	6,592,350	377,737	6,970,087	7,008,000	37,913	415,650	0.93	385,836
2000/01	7,024,750	258,728	7,283,478	7,335,000	51,522	310,250	0.93	287,335
2001/02	9,370,367	173,688	9,544,055	9,584,000	39,945	213,633	0.92	197,485
2002/03	11,641,019	435,557	12,076,575	12,219,000	142,425	577,982	0.91	526,599
2003/04	10,257,931	154,996	10,412,928	10,479,000	66,072	221,068	0.90	199,755
2004/05	17,203,142	228,415	17,431,557	17,554,000	122,443	350,858	0.89	313,969
2005/06	12,832,188	340,057	13,172,245	13,390,000	217,755	557,812	0.89	496,490
2006/07	14,254,631	690,055	14,944,686	15,417,000	472,314	1,162,369	0.89	1,036,975
2007/08	13,997,498	704,150	14,701,648	15,205,000	503,352	1,207,502	0.89	1,079,372
2008/09	16,138,555	938,527	17,077,081	17,797,000	719,919	1,658,446	0.89	1,483,151
2009/10	14,190,696	299,659	14,490,355	14,752,000	261,645	561,304	0.90	504,591
2010/11	13,200,846	728,945	13,929,791	14,583,000	653,209	1,382,154	0.90	1,249,975
2011/12	5,160,673	101,592	5,262,265	5,359,000	96,735	198,327	0.90	179,453
2012/13	5,313,137	349,367	5,662,504	6,055,000	392,496	741,863	0.90	671,322
2013/14	5,630,719	422,913	6,053,632	6,664,000	610,368	1,033,281	0.90	932,317
2014/15	7,546,923	1,148,359	8,695,282	10,340,000	1,644,718	2,793,077	0.90	2,511,478
2015/16	6,471,682	1,142,414	7,614,097	9,564,000	1,949,903	3,092,317	0.89	2,767,540
2016/17	4,677,278	924,875	5,602,152	7,500,000	1,897,848	2,822,723	0.89	2,521,461
2017/18	3,517,262	1,504,159	5,021,421	7,775,000	2,753,579	4,257,738	0.89	3,803,941
2018/19	3,327,338	2,770,084	6,097,422	10,489,000	4,391,578	7,161,662	0.90	6,437,543
2019/20	1,274,104	2,427,906	3,702,010	9,950,000	6,247,990	8,675,896	0.91	7,913,824
Total	\$309,693,098	\$33,924,457	\$343,617,554	\$369,976,755	\$26,359,201	\$60,283,657		\$55,742,460

(2), (3) and (4) are net of specific self-insured retention and aggregate retention.

(5) is from Exhibit WC-9.

(8) is based on a 1.75% interest rate and the payout pattern in Exhibit WC-2.

Projected Losses Paid October 1, 2020 to September 30, 2021

Claim Period (1)	Months of Development 9/30/20 (2)	Percent Losses Paid (3)	Months of Development 9/30/21 (4)	Percent Losses Paid (5)	Percent Outstanding Losses Paid 10/1/20 to 9/30/21 [(5)-(3)]/ [100.0%-(3)] (6)	Estimated Outstanding Losses 9/30/20 (7)	Projected Losses Paid (6)X(7) (8)	Estimated Outstanding Losses 9/30/21 (7)-(8) (9)	Present Value Factor (10)	Present Value of Estimated Outstanding Losses 9/30/21 (9)X(10) (11)
to 1991/92	348.0	99.1%	360.0	99.3%	17.5% *	\$15,655,557	\$2,739,722	\$12,915,835	0.99	\$12,804,283
1992/93	336.0	99.0%	348.0	99.1%	17.5% *	716,796	125,439	591,357	0.98	577,931
1993/94	324.0	98.7%	336.0	99.0%	17.5% *	298,279	52,199	246,080	0.97	237,686
1994/95	312.0	98.5%	324.0	98.7%	17.5% *	754,006	131,951	622,055	0.96	595,085
1995/96	300.0	98.2%	312.0	98.5%	17.5% *	732,514	128,190	604,324	0.95	573,591
1996/97	288.0	97.8%	300.0	98.2%	17.5% *	1,956,504	342,388	1,614,116	0.94	1,522,218
1997/98	276.0	97.3%	288.0	97.8%	17.5% *	523,060	91,535	431,525	0.94	404,829
1998/99	264.0	96.7%	276.0	97.3%	17.5% *	251,029	43,930	207,099	0.93	193,459
1999/00	252.0	96.0%	264.0	96.7%	17.5% *	415,650	72,739	342,911	0.93	319,216
2000/01	240.0	95.2%	252.0	96.0%	17.5% *	310,250	54,294	255,956	0.93	237,597
2001/02	228.0	94.2%	240.0	95.2%	17.5% *	213,633	37,386	176,247	0.93	163,230
2002/03	216.0	94.0%	228.0	94.2%	3.1% *	577,982	18,027	559,955	0.92	517,630
2003/04	204.0	93.4%	216.0	94.0%	8.5% *	221,068	18,812	202,256	0.91	184,275
2004/05	192.0	92.9%	204.0	93.4%	6.6% *	350,858	23,125	327,733	0.90	296,137
2005/06	180.0	92.2%	192.0	92.9%	9.5% *	557,812	52,830	504,982	0.89	451,889
2006/07	168.0	90.8%	180.0	92.2%	14.9% *	1,162,369	173,083	989,286	0.89	880,531
2007/08	156.0	89.2%	168.0	90.8%	14.9% *	1,207,502	180,301	1,027,201	0.89	916,389
2008/09	144.0	87.5%	156.0	89.2%	14.0% *	1,658,446	232,016	1,426,430	0.89	1,275,069
2009/10	132.0	84.8%	144.0	87.5%	17.8% *	561,304	100,046	461,258	0.89	412,504
2010/11	120.0	81.1%	132.0	84.8%	19.3% *	1,382,154	267,384	1,114,770	0.90	1,002,136
2011/12	108.0	77.6%	120.0	81.1%	15.6% *	198,327	30,980	167,347	0.90	151,343
2012/13	96.0	73.6%	108.0	77.6%	15.3% *	741,863	113,680	628,183	0.90	568,400
2013/14	84.0	69.8%	96.0	73.6%	12.7% *	1,033,281	131,047	902,234	0.90	816,444
2014/15	72.0	65.7%	84.0	69.8%	11.9% *	2,793,077	331,393	2,461,684	0.90	2,221,149
2015/16	60.0	61.7%	72.0	65.7%	10.5% *	3,092,317	323,402	2,768,915	0.90	2,489,752
2016/17	48.0	56.3%	60.0	61.7%	12.2% *	2,822,723	345,760	2,476,963	0.89	2,216,815
2017/18	36.0	49.4%	48.0	56.3%	13.7% *	4,257,738	582,032	3,675,706	0.89	3,283,408
2018/19	24.0	38.0%	36.0	49.4%	18.4% *	7,161,662	1,316,978	5,844,684	0.89	5,221,748
2019/20	12.0	15.5%	24.0	38.0%	26.6% *	8,675,896	2,309,582	6,366,314	0.90	5,722,613
2020/21	0.0	0.0%	12.0	15.5%	15.5% *	13,415,000	2,080,847	11,334,153	0.91	10,338,585
Total						\$73,698,657	\$12,451,098	\$61,247,559		\$56,595,942

* - Limited to a maximum of 30% per actuarial judgment.

(3) and (5) are from Exhibit WC-2.

(7) to 2019/20 is from Exhibit WC-11. The amount for 2020/21 is from Exhibit WC-10.

(10) is based on a 1.75% interest rate and the payout pattern in Exhibit WC-2.

Projected Losses Paid October 1, 2021 to September 30, 2022

Claim Period (1)	Months of Development 9/30/21 (2)	Percent Losses Paid (3)	Months of Development 9/30/22 (4)	Percent Losses Paid (5)	Percent Outstanding Losses Paid 10/1/21 to 9/30/22 [(5)-(3)]/ [100.0%-(3)] (6)	Estimated Outstanding Losses 9/30/21 (7)	Projected Losses Paid (6)X(7) (8)	Estimated Outstanding Losses 9/30/22 (7)-(8) (9)	Present Value Factor (10)	Present Value of Estimated Outstanding Losses 9/30/22 (9)X(10) (11)
to 1991/92	360.0	99.3%	372.0	100.0%	30.0% *	\$12,915,835	\$3,874,751	\$9,041,084	1.00	\$9,041,084
1992/93	348.0	99.1%	360.0	99.3%	17.5% *	591,357	103,487	487,870	0.99	483,656
1993/94	336.0	99.0%	348.0	99.1%	17.5% *	246,080	43,064	203,016	0.98	198,407
1994/95	324.0	98.7%	336.0	99.0%	17.5% *	622,055	108,860	513,195	0.97	495,691
1995/96	312.0	98.5%	324.0	98.7%	17.5% *	604,324	105,757	498,567	0.96	476,951
1996/97	300.0	98.2%	312.0	98.5%	17.5% *	1,614,116	282,470	1,331,646	0.95	1,263,926
1997/98	288.0	97.8%	300.0	98.2%	17.5% *	431,525	75,517	356,008	0.94	335,739
1998/99	276.0	97.3%	288.0	97.8%	17.5% *	207,099	36,242	170,857	0.94	160,287
1999/00	264.0	96.7%	276.0	97.3%	17.5% *	342,911	60,009	282,902	0.93	264,270
2000/01	252.0	96.0%	264.0	96.7%	17.5% *	255,956	44,792	211,164	0.93	196,572
2001/02	240.0	95.2%	252.0	96.0%	17.5% *	176,247	30,843	145,404	0.93	134,974
2002/03	228.0	94.2%	240.0	95.2%	17.5% *	559,955	97,992	461,963	0.93	427,843
2003/04	216.0	94.0%	228.0	94.2%	3.1% *	202,256	6,308	195,948	0.92	181,137
2004/05	204.0	93.4%	216.0	94.0%	8.5% *	327,733	27,889	299,844	0.91	273,188
2005/06	192.0	92.9%	204.0	93.4%	6.6% *	504,982	33,283	471,699	0.90	426,224
2006/07	180.0	92.2%	192.0	92.9%	9.5% *	989,286	93,695	895,591	0.89	801,429
2007/08	168.0	90.8%	180.0	92.2%	14.9% *	1,027,201	152,956	874,245	0.89	778,137
2008/09	156.0	89.2%	168.0	90.8%	14.9% *	1,426,430	212,991	1,213,439	0.89	1,082,536
2009/10	144.0	87.5%	156.0	89.2%	14.0% *	461,258	64,530	396,728	0.89	354,630
2010/11	132.0	84.8%	144.0	87.5%	17.8% *	1,114,770	198,696	916,074	0.89	819,247
2011/12	120.0	81.1%	132.0	84.8%	19.3% *	167,347	32,374	134,973	0.90	121,336
2012/13	108.0	77.6%	120.0	81.1%	15.6% *	628,183	98,126	530,057	0.90	479,366
2013/14	96.0	73.6%	108.0	77.6%	15.3% *	902,234	138,254	763,980	0.90	691,274
2014/15	84.0	69.8%	96.0	73.6%	12.7% *	2,461,684	312,205	2,149,479	0.90	1,945,094
2015/16	72.0	65.7%	84.0	69.8%	11.9% *	2,768,915	328,527	2,440,388	0.90	2,201,934
2016/17	60.0	61.7%	72.0	65.7%	10.5% *	2,476,963	259,047	2,217,916	0.90	1,994,305
2017/18	48.0	56.3%	60.0	61.7%	12.2% *	3,675,706	450,243	3,225,463	0.89	2,886,702
2018/19	36.0	49.4%	48.0	56.3%	13.7% *	5,844,684	798,967	5,045,717	0.89	4,507,201
2019/20	24.0	38.0%	36.0	49.4%	18.4% *	6,366,314	1,170,719	5,195,595	0.89	4,641,840
2020/21	12.0	15.5%	24.0	38.0%	26.6% *	11,334,153	3,017,228	8,316,925	0.90	7,475,996
2021/22	0.0	0.0%	12.0	15.5%	15.5% *	14,368,000	2,228,670	12,139,330	0.91	11,073,037
Total						\$75,615,559	\$14,488,492	\$61,127,067		\$56,214,013

* - Limited to a maximum of 30% per actuarial judgment.

(3) and (5) are from Exhibit WC-2.

(7) to 2020/21 is from Exhibit WC-12, (9). The amount for 2021/22 is from Exhibit WC-10.

(10) is based on a 1.75% interest rate and the payout pattern in Exhibit WC-2.

Loss Rate and Severity Trend

I. Benefit Level Changes

Effective Date (1)	Benefit Level Change (2)	Cumulative Benefit Level Change (3)
01/01/14	1.002	1.002
01/01/15	1.002	1.004
01/01/16	1.001	1.005
01/01/17	1.002	1.007
10/01/17	1.001	1.008
01/01/18	1.004	1.012

II. Loss Rate and Severity Trend

Claim Period (1)	Benefit Trend (2020/21 = 1.000) (2)	Residual Trend (2020/21 = 1.000) (3)	Retention Index (2020/21 = 1.000) (4)	Loss Rate Trend (2020/21 = 1.000) (2)X(3)X(4) (5)	Wage Trend (2020/21 = 1.000) (6)	Severity Trend (2020/21 = 1.000) (5)X(6) (7)
2010/11	1.012	1.219	1.000	1.234	1.344	1.658
2011/12	1.012	1.195	1.000	1.210	1.305	1.578
2012/13	1.012	1.172	1.000	1.186	1.267	1.502
2013/14	1.010	1.149	1.000	1.160	1.230	1.427
2014/15	1.009	1.126	1.000	1.136	1.194	1.356
2015/16	1.007	1.104	1.000	1.112	1.159	1.289
2016/17	1.006	1.082	1.000	1.088	1.126	1.225
2017/18	1.001	1.061	1.000	1.062	1.093	1.161
2018/19	1.000	1.040	1.000	1.040	1.061	1.104
2019/20	1.000	1.020	1.000	1.020	1.030	1.051
2020/21	1.000	1.000	1.000	1.000	1.000	1.000
2021/22	1.000	0.980	1.000	0.980	0.971	0.952
2022/23	1.000	0.961	1.000	0.961	0.943	0.906

Section I, (2) and (3) reflect data published by the NCCI.

Section II, (2) is based on Section I, (2).

Section II, (3) is based on 2% trend per actuarial judgment.

Section II, (4) is based on industry statistics and actuarial judgment.

Section II, (6) is based on 3% trend.

DISTRICT OF COLUMBIA
WORKERS' COMPENSATION

Exhibit WC-15

List of Large Claims
Reported Incurred Losses Greater Than \$750,000

Claim Number (1)	Date of Loss (2)	Claim Period (3)	Specific Self-Insured Retention (4)	Unlimited Paid Losses 9/30/20 (5)	Unlimited Case Reserves 9/30/20 (6)	Unlimited Reported Incurred Losses 9/30/20 (7)
0468-WC-70-0500005	08/03/70	to 1991/92	Unlimited	\$338,574	\$510,523	\$849,097
0468-WC-76-0500001	10/01/75	to 1991/92	Unlimited	736,454	316,056	1,052,510
0468-WC-76-0500007	08/26/76	to 1991/92	Unlimited	470,851	345,175	816,026
0468-WC-77-0500001	11/10/76	to 1991/92	Unlimited	349,192	442,746	791,938
0468-WC-79-0500010	02/21/79	to 1991/92	Unlimited	1,062,014	143,342	1,205,356
0468-WC-80-0500017	04/25/80	to 1991/92	Unlimited	544,309	355,672	899,981
0468-WC-81-0500001	11/14/80	to 1991/92	Unlimited	825,644	296,016	1,121,660
0468-WC-81-0500013	08/07/81	to 1991/92	Unlimited	584,399	248,202	832,601
0468-WC-82-0500005	11/18/81	to 1991/92	Unlimited	499,736	422,683	922,419
0468-WC-84-0500015	05/16/84	to 1991/92	Unlimited	539,926	304,584	844,510
0468-WC-84-0500017	06/01/84	to 1991/92	Unlimited	834,424	1,903,059	2,737,483
0468-WC-90-0500011	01/26/90	to 1991/92	Unlimited	1,063,948	57,113	1,121,061
0468-WC-90-0500023	05/15/90	to 1991/92	Unlimited	732,958	58,572	791,529
0468-WC-90-0500025	05/24/90	to 1991/92	Unlimited	1,031,305	33,489	1,064,793
0468-WC-92-0500010	02/06/92	to 1991/92	Unlimited	655,383	285,659	941,043
0468-WC-92-0500019	06/12/92	to 1991/92	Unlimited	574,940	245,722	820,662
0468-WC-93-0500036	09/28/93	1992/93	Unlimited	739,355	355,636	1,094,991
0468-WC-95-0500006	01/07/95	1994/95	Unlimited	751,960	28,591	780,551
0468-WC-95-0500023	05/17/95	1994/95	Unlimited	781,975	67,861	849,835
0468-WC-95-0500024	05/19/95	1994/95	Unlimited	858,665	31,315	889,980
0468-WC-97-0500009	12/17/96	1996/97	Unlimited	781,216	45,952	827,169
0468-WC-97-0500025	03/07/97	1996/97	Unlimited	683,074	934,430	1,617,503
0468-WC-97-0500030	04/28/97	1996/97	Unlimited	490,808	414,104	904,912
0468-WC-98-0500041	08/03/98	1997/98	Unlimited	728,494	84,039	812,533
0468-WC-00-0500061	08/30/00	1999/00	Unlimited	730,551	74,323	804,874
0468-WC-01-0500032	01/08/01	2000/01	Unlimited	819,027	42,932	861,959
0468-WC-02-0500116	05/10/02	2001/02	Unlimited	792,906	66,010	858,917
0468-WC-03-0500040	12/11/02	2002/03	Unlimited	942,840	106,299	1,049,139
0468-WC-03-0500052	01/01/03	2002/03	Unlimited	989,276	1,918	991,194
0468-WC-03-0500091	02/23/03	2002/03	Unlimited	868,761	49,389	918,149
0468-WC-03-0500175	05/17/03	2002/03	Unlimited	773,550	29,557	803,107
0468-WC-04-0500318	09/08/04	2003/04	Unlimited	891,093	0	891,093
0468-WC-05-0500986	10/13/04	2004/05	Unlimited	797,315	13,022	810,337
0468-WC-05-0501211	12/09/04	2004/05	Unlimited	929,612	27,799	957,411
0468-WC-05-0500146	04/05/05	2004/05	Unlimited	933,291	0	933,291
0468-WC-06-0500703	11/06/05	2005/06	Unlimited	818,439	131,584	950,023
0468-WC-07-0501199	06/18/07	2006/07	Unlimited	868,188	57,131	925,319
0468-WC-09-0500539	03/28/09	2008/09	Unlimited	688,569	78,589	767,158
0468-WC-15-0000096	10/24/14	2014/15	Unlimited	837,626	0	837,626
WC-19-00027	12/25/18	2018/19	Unlimited	543,202	462,084	1,005,286

Amounts are net of recoveries.

Size of Loss Distribution

I. Reported Claim Count

Claim Size (1)	Prior (2)	2015/16 (3)	2016/17 (4)	2017/18 (5)	2018/19 (6)	2019/20 (7)	Total (2)...(7) (8)	Non-Zero Claim Cumulative Total (9)	Non-Zero Claim Cumulative % of Total (10)
0	125	5	0	0	0	0	130		
0.01 - 5,000	7,118	591	385	278	398	400	9,170	9,170	70.9%
5,000 - 10,000	712	32	36	32	50	20	882	10,052	77.7%
10,000 - 25,000	759	57	47	45	55	42	1,005	11,057	85.5%
25,000 - 50,000	544	16	25	29	30	19	663	11,720	90.6%
50,000 - 100,000	355	18	7	17	18	12	427	12,147	94.0%
100,000 - 250,000	302	18	15	10	8	3	356	12,503	96.7%
250,000 - 500,000	289	3	2	0	0	0	294	12,797	99.0%
500,000 - 750,000	92	0	0	0	0	0	92	12,889	99.7%
750,000 - 1,000,000	30	0	0	0	0	0	30	12,919	99.9%
Over 1,000,000	9	0	0	0	1	0	10	12,929	100.0%
Total	10,335	740	517	411	560	496	13,059	12,929	

II. Total Reported Incurred Losses

Claim Size (1)	Prior (2)	2015/16 (3)	2016/17 (4)	2017/18 (5)	2018/19 (6)	2019/20 (7)	Total (2)...(7) (8)	Non-Zero Claim Cumulative Total (9)	Non-Zero Claim Cumulative % of Total (10)
0	\$0	\$0	\$0	\$0	\$0	\$0	\$0		
0.01 - 5,000	7,469,372	400,858	317,203	309,625	488,001	846,797	9,831,856	9,831,856	2.9%
5,000 - 10,000	4,996,691	231,726	255,722	240,543	351,516	160,140	6,236,338	16,068,194	4.7%
10,000 - 25,000	12,268,196	918,015	707,112	770,089	844,543	680,611	16,188,566	32,256,760	9.4%
25,000 - 50,000	19,203,958	537,200	903,622	1,048,228	1,085,778	626,208	23,404,993	55,661,753	16.2%
50,000 - 100,000	25,041,545	1,313,362	559,403	1,192,243	1,270,100	853,219	30,229,871	85,891,624	25.0%
100,000 - 250,000	48,520,369	3,170,128	2,131,645	1,460,693	1,052,197	535,035	56,870,067	142,761,691	41.5%
250,000 - 500,000	104,754,070	1,042,809	727,446	0	0	0	106,524,324	249,286,016	72.5%
500,000 - 750,000	55,376,514	0	0	0	0	0	55,376,514	304,662,529	88.7%
750,000 - 1,000,000	25,885,244	0	0	0	0	0	25,885,244	330,547,773	96.2%
Over 1,000,000	12,064,496	0	0	0	1,005,286	0	13,069,782	343,617,554	100.0%
Total	\$315,580,453	\$7,614,097	\$5,602,152	\$5,021,421	\$6,097,422	\$3,702,010	\$343,617,554	\$343,617,554	

Amounts are net of recoveries.

Data was provided by DC Office of Risk Management.

DCORM_01_WC_093020.xlsx

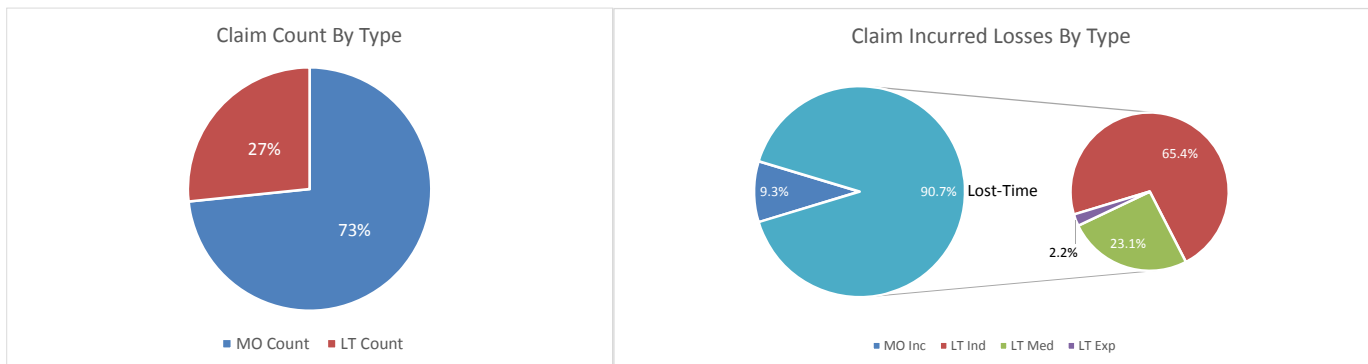
Loss Distribution by Type

I. Claim Counts and Incurred Losses

Claim Period (1)	Reported Paid Claims > \$0 as of 9/30/20			Unlimited Paid Losses 9/30/20					
	Medical Only (2)	Claims w/ Indemnity (3)	Total (2)...(3) (4)	Claims w/ Indemnity					
				Medical Only (5)	Indemnity (6)	Medical (7)	Expense (8)	Total (6)...(8) (9)	Total (5) + (9) (10)
2010/11	593	283	876	\$886,773	\$9,110,665	\$3,103,469	\$312,616	\$12,526,750	\$13,413,523
2011/12	583	217	800	740,104	3,184,806	1,191,224	177,462	4,553,492	5,293,596
2012/13	447	186	633	511,695	3,669,917	1,096,602	115,504	4,882,023	5,393,718
2013/14	465	164	629	511,431	3,947,289	1,102,120	102,361	5,151,769	5,663,200
2014/15	473	176	649	544,650	5,026,443	1,929,072	97,021	7,052,536	7,597,186
2015/16	583	162	745	499,384	4,606,950	1,326,770	95,062	6,028,782	6,528,166
2016/17	380	149	529	311,584	2,936,356	1,353,082	93,753	4,383,191	4,694,775
2017/18	310	134	444	342,227	2,441,472	669,825	86,573	3,197,870	3,540,097
2018/19	485	134	619	560,119	1,582,398	1,087,683	133,505	2,803,586	3,363,705
2019/20	349	88	437	383,650	603,334	268,829	26,932	899,096	1,282,746
2012/13	4,668	1,693	6,361	\$5,291,617	\$37,109,630	\$13,128,675	\$1,240,790	\$51,479,095	\$56,770,712

II. Percentages

Claim Period (1)	Reported Paid Claims > \$0 as of 9/30/20			Unlimited Paid Losses 9/30/20					
	Medical Only (2)/(4) (11)	Claims w/ Indemnity (3)/(4) (12)	Total (10)...(11) (13)	Claims w/ Indemnity					
				Medical Only (5)/(9) (14)	Indemnity (6)/(9) (15)	Medical (7)/(9) (16)	Expense (8)/(9) (17)	Total (9) / (10) (18)	Total (14) + (18) (19)
2010/11	67.7%	32.3%	100.0%	6.6%	67.9%	23.1%	2.3%	93.4%	100.0%
2011/12	72.9%	27.1%	100.0%	14.0%	60.2%	22.5%	3.4%	86.0%	100.0%
2012/13	70.6%	29.4%	100.0%	9.5%	68.0%	20.3%	2.1%	90.5%	100.0%
2013/14	73.9%	26.1%	100.0%	9.0%	69.7%	19.5%	1.8%	91.0%	100.0%
2014/15	72.9%	27.1%	100.0%	7.2%	66.2%	25.4%	1.3%	92.8%	100.0%
2015/16	78.3%	21.7%	100.0%	7.6%	70.6%	20.3%	1.5%	92.4%	100.0%
2016/17	71.8%	28.2%	100.0%	6.6%	62.5%	28.8%	2.0%	93.4%	100.0%
2017/18	69.8%	30.2%	100.0%	9.7%	69.0%	18.9%	2.4%	90.3%	100.0%
2018/19	78.4%	21.6%	100.0%	16.7%	47.0%	32.3%	4.0%	83.3%	100.0%
2019/20	79.9%	20.1%	100.0%	29.9%	47.0%	21.0%	2.1%	70.1%	100.0%
2012/13	73.4%	26.6%	100.0%	9.3%	65.4%	23.1%	2.2%	90.7%	100.0%



Data was provided by DC Office of Risk Management. Case reserves were not available separately for indemnity, medical, and ALAE.

Amounts are gross of recoveries.

Medical Only includes claims with \$0 paid indemnity and total paid > \$0.

Metropolitan Police Department
Indemnity Case and IBNR Loss Reserve Estimate as of September 30, 2020

Officers on POD											
Injury Month (1)	Closed Claims 9/30/20 (2)	Open Claims 9/30/20 (3)	Reported Claims 9/30/20 (2) + (3) (4)	Open Claim Hours Used (5)	Estimated Additional Open Hours for Open Claims (6)	Case Reserve (7)	Maximum IBNR Reserve for Open Claims (8)	Estimated Percent Necessary for IBNR (9)	Estimated IBNR Reserve for Open Claims (8) x (9) (10)	Number of New Claims Expected to be Reported (11)	Average Hourly Rate for All Workers on POD (12)
to 9/1/19 - 9/30/19	63	4	67	3,377	1,120	\$45,970	\$61,902	25%	\$15,475	0	40.729
10/1/19 - 10/31/19	75	2	77	2,139	380	13,717	19,619	25%	4,905	0	37.992
11/1/19 - 11/30/19	61	2	63	1,744	0	0	0	25%	0	0	37.589
12/1/19 - 12/31/19	82	1	83	1,636	480	22,454	0	25%	0	0	38.726
1/1/20 - 1/31/20	66	4	70	3,876	600	27,154	10,116	25%	2,529	0	39.705
2/1/20 - 2/29/20	54	5	59	5,750	640	25,891	4,538	25%	1,135	0	38.515
3/1/20 - 3/31/20	62	4	66	2,412	1,280	54,417	85,003	25%	21,251	0	40.498
4/1/20 - 4/30/20	39	2	41	1,354	320	11,171	10,264	25%	2,566	0	36.294
5/1/20 - 5/31/20	101	14	115	5,660	2,280	93,026	213,789	25%	53,447	0	37.912
6/1/20 - 6/30/20	75	11	86	4,478	1,160	47,476	145,225	25%	36,306	0	39.546
7/1/20 - 7/31/20	67	17	84	4,494	1,968	101,307	414,474	50%	207,237	0	41.969
8/1/20 - 8/31/20	57	17	74	3,092	3,920	168,130	540,544	50%	270,272	0	41.241
9/1/20 - 9/30/20	51	17	68	504	1,470	56,546	569,120	50%	284,560	6	38.607
Total	853	100	953	40,516	15,618	\$667,258	\$2,074,594		\$899,683	6	39.345

(13) Indemnity Case Reserve for Open POD Claims (column (7))	\$667,258
(14) Estimated Indemnity IBNR Reserve for Open POD Claims (column (10))	899,683
(15) Estimated Indemnity IBNR Reserve for New Claims Expected to be Reported (11) x 416 x (12)	94,016
(16) Total Indemnity Case and IBNR Reserve as of September 30, 2020 (13) + (14) + (15)	\$1,660,957

(2) and (12) were provided by DC Office of Risk Management. (2) includes claims that were closed subsequent to September 30, 2020.

(3) and (5) through (8) are from Exhibit PF-2.

(8) assumes that, on average, the open claims will attain 1,376 hours (172 days x 8 hours per day).

(9) assigns a probability to each month that the maximum IBNR calculation in (8) is necessary in the calculation of the estimated IBNR for open claims.

(11) is the number of new POD claims with injury date prior to 10/1/20 and reported after 9/30/20. It is assumed that there are 74 claims per month, based on the reported claims for October 2019 to August 2020. It is assumed that newly reported claims will only occur for injury dates in September 2020.

(15) assumes that the average claim is for 416 hours. This average is based on claims that have been paid for over 20 days (160 hours). The average in the prior study was 460 hours.

Fire Department
Indemnity Case and IBNR Loss Reserve Estimate as of September 30, 2020

Workers on POD											
Injury Month (1)	Closed Claims 9/30/20 (2)	Open Claims 9/30/20 (3)	Reported Claims 9/30/20 (2) + (3) (4)	Open Claim Hours Used (5)	Estimated Additional Open Hours for Open Claims (6)	Case Reserve (7)	Maximum IBNR Reserve for Open Claims (8)	Estimated Percent Necessary for IBNR (9)	Estimated IBNR Reserve for Open Claims (8) x (9) (10)	Number of New Claims Expected to be Reported (11)	Average Hourly Rate for All Workers on POD (12)
to 9/1/19 - 9/30/19	42	4	46	7,340	3,104	\$108,023	\$0	25%	\$0	0	41.992
10/1/19 - 10/31/19	37	3	40	4,646	800	30,282	0	25%	0	0	36.149
11/1/19 - 11/30/19	28	1	29	1,674	84	3,400	0	25%	0	0	38.191
12/1/19 - 12/31/19	40	2	42	3,076	240	10,642	0	25%	0	0	38.031
1/1/20 - 1/31/20	21	1	22	1,392	240	9,845	0	25%	0	0	39.609
2/1/20 - 2/29/20	18	2	20	2,604	320	15,594	0	25%	0	0	37.932
3/1/20 - 3/31/20	20	6	26	4,759	1,628	60,274	108,164	25%	27,041	0	38.532
4/1/20 - 4/30/20	14	2	16	1,440	960	42,042	30,561	25%	7,640	0	41.858
5/1/20 - 5/31/20	12	1	13	492	240	6,530	21,877	25%	5,469	0	40.468
6/1/20 - 6/30/20	25	5	30	2,622	892	35,376	86,653	25%	21,663	0	37.188
7/1/20 - 7/31/20	30	6	36	2,427	672	29,802	144,788	50%	72,394	0	34.689
8/1/20 - 8/31/20	22	12	34	2,746	2,216	79,003	375,522	50%	187,761	0	34.334
9/1/20 - 9/30/20	14	17	31	1,002	2,496	88,801	367,864	50%	183,932	0	34.608
Total	323	62	385	36,220	13,892	\$519,612	\$1,135,430		\$505,900	0	37.709

(13) Indemnity Case Reserve for Open POD Claims (column (7)) \$519,612

(14) Estimated Indemnity IBNR Reserve for Open POD Claims (column (10)) 505,900

(15) Estimated Indemnity IBNR Reserve for New Claims Expected to be Reported (11) x 540 x (12) 0

(16) Total Indemnity Case and IBNR Reserve as of September 30, 2020 (13) + (14) + (15) \$1,025,512

(2) and (12) were provided by DC Office of Risk Management. (2) includes claims that were closed subsequent to September 30, 2020.

(3) and (5) through (8) are from Exhibit PF-3.

(8) assumes that, on average, the open claims will attain 1,536 hours (192 days x 8 hours per day).

(9) assigns a probability to each month that the maximum IBNR calculation in (8) is necessary in the calculation of the estimated IBNR for open claims.

(11) is the number of new POD claims with injury date prior to 10/1/20 and reported after 9/30/20. It is assumed that there are 30 claims per month, based on the reported claims for October 2019 to August 2020. It is assumed that newly reported claims will only occur for injury dates in September 2020.

(15) assumes that the average claim is for 540 hours. This average is based on claims that have been paid for over 20 days (160 hours). The average in the prior study was 494 hours.

DISTRICT OF COLUMBIA
WORKERS' COMPENSATION

Exhibit PF-2 (page 1)

Metropolitan Police Department
Indemnity Case and IBNR Loss Reserve Estimate on Open Claims as of September 30, 2020

Claim Number (1)	Date of Loss (2)	Claim Hours Used as of 9/30/20 (3)	Estimated Additional Hours (4)	Maximum IBNR Hours (5)	Case Reserves as of 9/30/20 (6)	Hourly Rate (6) / (4) (7)	Maximum IBNR Reserves as of 9/30/20 (5) x (7) (8)
13-028-889	03/05/13	0	40	1,336	\$1,697	\$42.43	\$56,686
18-180-053	10/23/18	1,052	320	4	13,107	40.96	164
19-020-756	02/05/19	771	480	125	19,397	40.41	5,051
19-137-127	08/04/19	1,554	280	0	11,768	42.03	0
19-185-262	10/16/19	494	320	562	11,171	34.91	19,619
19-186-474	10/18/19	1,645	60	0	2,546	42.43	0
19-202-488	11/10/19	640	0	736	0	0	0
19-202-045	11/11/19	1,104	0	272	0	0	0
19-217-142	12/05/19	1,636	480	0	22,454	46.78	0
20-005-960	01/10/20	652	0	724	0	0	0
20-006-701	01/11/20	1,032	120	224	5,419	45.16	10,116
20-009-256	01/16/20	928	0	448	0	0	0
20-013-360	01/22/20	1,264	480	0	21,734	45.28	0
20-027-075	02/13/20	1,100	320	0	14,720	46.00	0
20-027-075	02/13/20	1,166	80	130	2,793	34.91	4,538
20-027-784	02/14/20	1,282	240	0	8,378	34.91	0
20-027-784	02/14/20	1,074	0	302	0	0	0
20-027-784	02/14/20	1,128	0	248	0	0	0
20-042-610	03/10/20	896	400	80	13,964	34.91	2,793
20-046-373	03/16/20	36	320	1,020	14,256	44.55	45,441
20-050-678	03/25/20	430	160	786	7,485	46.78	36,769
20-052-788	03/30/20	1,050	400	0	18,712	46.78	0
20-063-324	04/25/20	762	320	294	11,171	34.91	10,264
20-064-977	04/28/20	592	0	784	0	0	0
20-069-714	05/09/20	712	120	544	5,092	42.43	23,082
20-070-989	05/12/20	502	0	874	0	0	0
20-070-989	05/12/20	776	240	360	8,798	36.66	13,198
20-071-704	05/13/20	766	280	330	9,775	34.91	11,520
20-072-787	05/16/20	0	0	1,376	0	0	0
02-060-001	05/18/20	0	480	896	23,976	49.95	44,755
20-074-606	05/20/20	476	320	580	14,970	46.78	27,132
20-074-606	05/20/20	0	0	1,376	0	0	0
20-075-038	05/20/20	704	120	552	4,189	34.91	19,270
20-076-601	05/24/20	632	80	664	3,394	42.43	28,174
20-080-230	05/30/20	78	0	1,298	0	0	0
20-080-130	05/31/20	644	320	412	13,107	40.96	16,876
20-080-130	05/31/20	76	320	980	9,725	30.39	29,782
20-080-710	05/31/20	294	0	1,082	0	0	0
20-082-148	06/03/20	512	0	864	0	0	0
20-088-956	06/16/20	608	320	448	12,931	40.41	18,104
20-089-803	06/17/20	490	320	566	11,731	36.66	20,750
20-097-769	06/18/20	408	40	928	1,888	47.21	43,811
20-092-375	06/23/20	488	240	648	11,227	46.78	30,313
20-092-105	06/23/20	408	0	968	0	0	0
20-092-902	06/25/20	432	0	944	0	0	0
20-093-327	06/26/20	400	0	976	0	0	0
20-093-186	06/26/20	0	0	1,376	0	0	0
20-093-186	06/26/20	338	240	798	9,698	40.41	32,247
20-093-625	06/27/20	394	0	982	0	0	0
20-096-969	07/04/20	410	160	806	6,466	40.41	32,570
20-097-612	07/04/20	0	0	1,376	0	0	0
20-097-070	07/09/20	200	24	1,152	1,018	42.43	48,879
20-087-432	07/11/20	328	0	1,048	0	0	0
20-100-893	07/13/20	166	0	1,210	0	0	0
20-101-626	07/15/20	120	24	1,232	1,123	46.78	57,633
20-102-388	07/16/20	412	40	924	1,806	45.16	41,728
20-000-428	07/17/20	262	320	794	14,970	46.78	37,143
20-103-701	07/19/20	318	0	1,058	0	0	0
20-104-435	07/21/20	400	320	656	19,648	61.40	40,278
20-104-507	07/21/20	399	200	777	9,356	46.78	36,348
20-105-493	07/23/20	312	0	1,064	0	0	0
20-106-148	07/24/20	260	0	1,116	0	0	0
20-107-813	07/28/20	172	200	1,004	9,356	46.78	46,967
20-107-716	07/28/20	64	0	1,312	0	0	0
20-108-908	07/30/20	320	200	856	9,628	48.14	41,208
20-109-250	07/30/20	351	480	545	27,936	58.20	31,719
20-110-615	08/01/20	0	0	1,376	0	0	0
20-111-555	08/05/20	254	200	922	10,978	54.89	50,609
20-112-205	08/06/20	296	240	840	6,946	28.94	24,310
20-112-551	08/07/20	158	320	898	9,725	30.39	27,290
20-115-434	08/12/20	252	280	844	13,098	46.78	39,482
20-115-434	08/12/20	280	280	816	13,098	46.78	38,172
20-116-164	08/14/20	256	240	880	8,378	34.91	30,721
20-117-975	08/18/20	128	0	1,248	0	0	0
20-117-974	08/18/20	240	480	656	23,894	49.78	32,656
20-118-408	08/19/20	188	0	1,188	0	0	0
20-118-665	08/19/20	10	320	1,046	11,171	34.91	36,516
20-122-049	08/26/20	208	320	848	18,624	58.20	49,354

DISTRICT OF COLUMBIA
WORKERS' COMPENSATION

Exhibit PF-2 (page 2)

Metropolitan Police Department
Indemnity Case and IBNR Loss Reserve Estimate on Open Claims as of September 30, 2020

Claim Number (1)	Date of Loss (2)	Claim Hours Used as of 9/30/20 (3)	Estimated Additional Hours (4)	Maximum IBNR Hours (5)	Case Reserves as of 9/30/20 (6)	Hourly Rate (6) / (4) (7)	Maximum IBNR Reserves as of 9/30/20 (5) x (7) (8)
20-122-399	08/27/20	182	80	1,114	3,564	44.55	49,629
20-122-632	08/27/20	184	320	872	14,970	46.78	40,792
20-122-510	08/28/20	144	480	752	17,597	36.66	27,568
20-122-814	08/28/20	192	160	1,024	7,600	47.50	48,640
20-123-785	08/29/20	120	200	1,056	8,486	42.43	44,806
20-125-518	09/02/20	10	240	1,126	7,980	33.25	37,440
20-128-614	09/08/20	90	320	966	11,731	36.66	35,414
20-128-287	09/08/20	0	0	1,376	0		0
20-129-437	09/10/20	152	120	1,104	5,614	46.78	51,645
20-130-545	09/12/20	90	320	966	12,314	38.48	37,172
20-130-795	09/12/20	110	0	1,266	0		0
20-131-573	09/14/20	0	0	1,376	0		0
20-134-738	09/21/20	0	40	1,336	1,396	34.91	46,640
20-135-378	09/21/20	0	80	1,296	3,233	40.41	52,371
20-135-001	09/21/20	0	80	1,296	3,685	46.06	59,694
20-069-169	09/21/20	40	200	1,136	8,192	40.96	46,531
20-135-818	09/23/20	0	10	1,366	515	51.53	70,390
20-136-346	09/23/20	2	0	1,374	0		0
20-137-007	09/25/20	0	10	1,366	304	30.39	41,513
20-137-072	09/25/20	10	10	1,356	367	36.66	49,711
20-138-729	09/28/20	0	0	1,376	0		0
20-140-677	09/29/20	0	40	1,336	1,216	30.39	40,601
Total		40,516	15,618	83,625	\$667,258		\$2,074,594

(1) through (4) and (6) were provided by DC Office of Risk Management.

(5) = $1,376 - [(3) + (4)]$, subject to a minimum of 0.

DISTRICT OF COLUMBIA
WORKERS' COMPENSATION

Exhibit PF-3

Fire Department
Indemnity Case and IBNR Loss Reserve Estimate on Open Claims as of September 30, 2020

PeopleSoft ID # (1)	Date of Loss (2)	Claim Hours Used as of 9/30/20 (3)	Estimated Additional Hours (4)	Maximum IBNR Hours (5)	Case Reserves as of 9/30/20 (6)	Hourly Rate (6) / (4) (7)	Maximum IBNR Reserves as of 9/30/20 (5) x (7) (8)
00073745	08/02/17	2,232	2,184	0	\$66,044	\$30.24	\$0
00037105	07/19/19	1,840	320	0	11,146	34.83	0
0007814	07/26/19	1,980	320	0	19,686	61.52	0
00040424	08/16/19	1,288	280	0	11,147	39.81	0
00004449	10/07/19	1,788		0	0		0
00017509	10/10/19	1,158	480	0	19,690	41.02	0
0003635	10/14/19	1,700	320	0	10,592	33.10	0
00013698	11/26/19	1,674	84	0	3,400	40.48	0
00006680	12/16/19	1,560	240	0	10,642	44.34	0
00023423	12/27/19	1,516		20	0		0
00027354	01/16/20	1,392	240	0	9,845	41.02	0
00004911	02/18/20	1,284	320	0	15,594	48.73	0
00023861	02/25/20	1,320		216	0		0
00040408	03/17/20	972	160	404	5,886	36.79	14,863
00063765	03/23/20	1,112	168	256	5,561	33.10	8,474
00018633	03/25/20	137	80	1,319	3,547	44.34	58,484
00006766	03/29/20	1,048	420	68	16,447	39.16	2,663
00013764	03/30/20	1,080	480	0	19,430	40.48	0
00096786	03/31/20	410	320	806	9,402	29.38	23,680
00073169	04/07/20	480	640	416	20,480	32.00	13,312
00027417	04/21/20	960	320	256	21,562	67.38	17,249
00103836	05/26/20	492	240	804	6,530	27.21	21,877
00022439	06/01/20	720		816	0		0
00073174	06/08/20	456		1,080	0		0
00023897	06/16/20	612	320	604	15,427	48.21	29,119
00090575	06/17/20	210	320	1,006	10,080	31.50	31,689
00007459	06/17/20	624	252	660	9,868	39.16	25,846
00073177	07/06/20	266		1,270	0		0
00064646	07/07/20	500	96	940	3,072	32.00	30,080
00027130	07/08/20	504	320	712	17,216	53.80	38,306
00035366	07/10/20	456		1,080	0		0
00091068	07/22/20	293	16	1,227	564	35.28	43,289
00037134	07/23/20	408	240	888	8,950	37.29	33,114
00003689	08/03/20	303		1,233	0		0
00102620	08/03/20	360	80	1,096	2,278	28.47	31,203
00022960	08/13/20	294		1,242	0		0
00107983	08/14/20	288	96	1,152	2,491	25.95	29,894
00108235	08/15/20	117	240	1,179	7,188	29.95	35,311
00096795	08/17/20	264	320	952	9,110	28.47	27,103
00018101	08/23/20	224	240	1,072	9,845	41.02	43,973
00040463	08/27/20	180	192	1,164	7,402	38.55	44,872
00027630	08/27/20	184	240	1,112	9,398	39.16	43,546
00071700	08/28/20	204	168	1,164	4,571	27.21	31,672
00012599	08/31/20	144	320	1,072	14,189	44.34	47,532
00023973	08/31/20	184	320	1,032	12,531	39.16	40,413
00023788	09/05/20	164	240	1,132	8,950	37.29	42,212
00106824	09/07/20	143	320	1,073	8,304	25.95	27,844
00001368	09/15/20	92		1,444	0		0
00063748	09/15/20	80	240	1,216	8,623	35.93	43,691
00017287	09/16/20	80	240	1,216	11,069	46.12	56,082
00004111	09/17/20	96	640	800	25,062	39.16	31,328
00112562	09/18/20	64	240	1,232	6,350	26.46	32,599
00063755	09/21/20	60	240	1,236	8,105	33.77	41,740
00003919	09/22/20	63		1,473	0		0
00090574	09/23/20	36		1,500	0		0
00113824	09/24/20	0	0	1,536	0		0
00004902	09/28/20	24		1,512	0		0
00043811	09/28/20	24	240	1,272	9,434	39.31	50,002
00093402	09/28/20	39	96	1,401	2,903	30.24	42,366
00035179	09/29/20	14		1,522	0		0
00035181	09/29/20	23		1,513	0		0
00113825	09/30/20	0	0	1,536	0		0
Total		36,220	13,892	50,932	\$519,612		\$1,135,430

(1) through (4) and (6) were provided by DC Office of Risk Management.

(5) = 1,536 - [(3) + (4)], subject to a minimum of 0.

Data Summary as of September 30, 2020
Unlimited Losses

Claim Period (1)	Specific Self-Insured Retention (2)	Aggregate Retention (3)	Months of Development 9/30/20 (4)	Payroll (000) (5)	Reported Claims 9/30/20 (6)	Open Claims 9/30/20 (7)	Unlimited Paid Losses 9/30/20 (8)	Unlimited Case Reserves 9/30/20 (9)	Unlimited Reported Incurred Losses 9/30/20 (10)
to 2000/01	Unlimited	None	240.0	Not Provided	2	0	\$17,674	\$0	\$17,674
2001/02	Unlimited	None	228.0	Not Provided	7	0	481,652	0	481,652
2002/03	Unlimited	None	216.0	Not Provided	31	0	317,220	0	317,220
2003/04	Unlimited	None	204.0	1,689,505	70	0	1,444,738	0	1,444,738
2004/05	Unlimited	None	192.0	1,771,286	142	0	1,414,592	0	1,414,592
2005/06	Unlimited	None	180.0	1,915,930	66	0	428,809	0	428,809
2006/07	Unlimited	None	168.0	2,009,447	85	0	1,592,767	0	1,592,767
2007/08	Unlimited	None	156.0	2,096,929	103	0	457,403	0	457,403
2008/09	Unlimited	None	144.0	2,247,761	60	0	206,315	0	206,315
2009/10	Unlimited	None	132.0	2,036,925	51	0	290,810	0	290,810
2010/11	Unlimited	None	120.0	1,979,225	47	0	299,079	0	299,079
2011/12	Unlimited	None	108.0	2,109,455	62	0	381,507	0	381,507
2012/13	Unlimited	None	96.0	2,156,439	56	0	617,639	0	617,639
2013/14	Unlimited	None	84.0	2,256,429	53	1	954,659	21,913	976,571
2014/15	Unlimited	None	72.0	2,442,007	69	0	239,084	0	239,084
2015/16	Unlimited	None	60.0	2,620,064	49	0	99,034	0	99,034
2016/17	Unlimited	None	48.0	2,740,690	75	0	203,133	0	203,133
2017/18	Unlimited	None	36.0	2,890,437	105	23	125,130	319,489	444,619
2018/19	Unlimited	None	24.0	2,997,794	122	67	199,407	637,288	836,695
2019/20	Unlimited	None	12.0	3,203,740	181	161	34,090	1,001,630	1,035,720
Total					1,436	252	\$9,804,741	\$1,980,320	\$11,785,061

Data includes all tort claims except those with "Claim Type" field Auto Bodily Injury, Auto Physical Damage, or Auto Property Damage, as provided by DC Office of Risk Management. Such claims are included in the AL exhibit series.

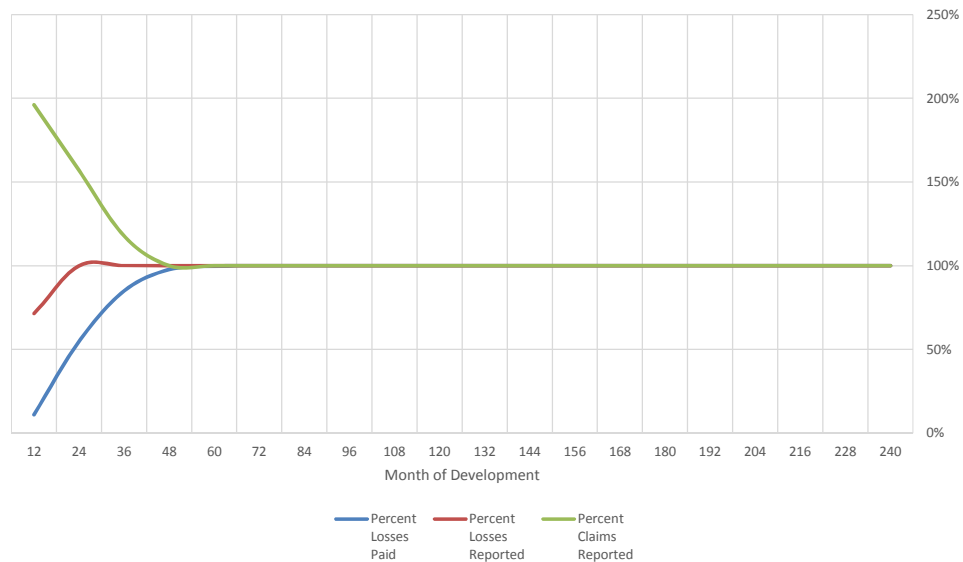
(6) and (7) are on an occurrence basis and exclude claims with \$0 incurred.

(8), (9) and (10) are unlimited.

Data was provided by DC Office of Risk Management.

Summary of Percent Losses Paid, Losses Reported and Claims Reported

Months of Development (1)	Percent Losses Paid (2)	Percent Losses Reported (3)	Percent Claims Reported (4)
360.0	100.0%	100.0%	100.0%
348.0	100.0%	100.0%	100.0%
336.0	100.0%	100.0%	100.0%
324.0	100.0%	100.0%	100.0%
312.0	100.0%	100.0%	100.0%
300.0	100.0%	100.0%	100.0%
288.0	100.0%	100.0%	100.0%
276.0	100.0%	100.0%	100.0%
264.0	100.0%	100.0%	100.0%
252.0	100.0%	100.0%	100.0%
240.0	100.0%	100.0%	100.0%
228.0	100.0%	100.0%	100.0%
216.0	100.0%	100.0%	100.0%
204.0	100.0%	100.0%	100.0%
192.0	100.0%	100.0%	100.0%
180.0	100.0%	100.0%	100.0%
168.0	100.0%	100.0%	100.0%
156.0	100.0%	100.0%	100.0%
144.0	100.0%	100.0%	100.0%
132.0	100.0%	100.0%	100.0%
120.0	100.0%	100.0%	100.0%
108.0	100.0%	100.0%	100.0%
96.0	100.0%	100.0%	100.0%
84.0	100.0%	100.0%	100.0%
72.0	100.0%	100.0%	100.0%
60.0	99.7%	100.0%	100.0%
48.0	97.7%	100.0%	100.0%
36.0	85.0%	100.0%	117.6%
24.0	54.8%	100.0%	156.9%
12.0	11.0%	71.4%	196.1%



(2) is from Exhibit GL-2 (page 2).

(3) is from Exhibit GL-2 (page 3).

(4) is from Exhibit GL-2 (page 4).

DISTRICT OF COLUMBIA
GENERAL LIABILITY

Exhibit GL-2 (page 2)

Historical Unlimited Paid Losses (\$000) and Unlimited Paid Loss Development

I. Historical Unlimited Paid Losses (\$000)

Claim Period	12	24	Months of Development:		60	72	84	96	108	120	132	144	156	168	180	192	204	216	228	240
			36	48																
to 2000/01																				
2001/02										482	482	482	482	482	482	482	482	482	482	482
2002/03									342	342	342	342	342	317	317	317	317	317	317	317
2003/04								1,453	1,453	1,453	1,453	1,453	1,445	1,445	1,445	1,445	1,445	1,445	1,445	1,445
2004/05							1,432	1,432	1,432	1,432	1,432	1,432	1,432	1,432	1,415	1,415	1,415	1,415	1,415	1,415
2005/06						394	394	394	394	429	429	429	429	429	429	429	429	429	429	429
2006/07					1,578	1,584	1,593	1,593	1,593	1,593	1,593	1,593	1,593	1,593	1,593	1,593	1,593	1,593	1,593	1,593
2007/08				410	438	455	457	457	457	457	457	457	457	457	457	457	457	457	457	457
2008/09			141	200	206	206	206	206	206	206	206	206	206	206	206	206	206	206	206	206
2009/10		167	255	271	291	291	291	291	291	291	291	291	291	291	291	291	291	291	291	291
2010/11	4	67	149	162	162	162	162	162	162	299	299	299	299	299	299	299	299	299	299	299
2011/12	25	157	352	386	381	382	382	382	382	382	382	382	382	382	382	382	382	382	382	382
2012/13	26	549	564	619	619	619	618	618	618	618	618	618	618	618	618	618	618	618	618	618
2013/14	19	210	338	419	419	419	419	419	419	419	419	419	419	419	419	419	419	419	419	419
2014/15	44	187	238	238	239	239	239	239	239	239	239	239	239	239	239	239	239	239	239	239
2015/16	13	56	70	91	99	99	99	99	99	99	99	99	99	99	99	99	99	99	99	99
2016/17	16	61	100	203																
2017/18	30	90	125																	
2018/19	35	199																		
2019/20	34																			

II. Unlimited Paid Loss Development

Claim Period	12-24	24-36	Months of Development:		60-72	72-84	84-96	96-108	108-120	120-132	132-144	144-156	156-168	168-180	180-192	192-204	204-216	216-228	228-240	240-Ult
			36-48	48-60																
to 2000/01																				
2001/02										1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2002/03									1.000	1.000	1.000	1.000	0.927	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2003/04								1.000	1.000	1.000	1.000	0.994	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2004/05							1.000	1.000	1.000	1.000	1.000	1.000	1.000	0.988	1.000	1.000	1.000	1.000	1.000	1.000
2005/06						1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2006/07					1.004	1.005	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2007/08				1.067	1.039	1.005	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2008/09			1.412	1.033	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2009/10		1.529	1.063	1.074	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2010/11	17.384	2.234	1.084	1.000	1.000	1.000	1.000	1.000	1.000	1.851	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2011/12	6.263	2.241	1.095	0.987	1.002	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2012/13	21.284	1.027	1.097	1.000	1.000	0.998	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2013/14	11.227	1.613	1.238	1.000	1.000	2.278	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2014/15	4.211	1.269	1.002	1.004	1.000															
2015/16	4.212	1.254	1.291	1.092																
2016/17	3.692	1.658	2.023																	
2017/18	2.991	1.385																		
2018/19	5.682																			
2019/20																				
Average																				
All	8.550	1.579	1.256	1.029	1.005	1.143	1.000	1.000	1.104	1.000	1.000	0.999	0.988	0.998	1.000	1.000	1.000	1.000	1.000	1.000
Wtd 3	4.288	1.430	1.303	1.012	1.000	1.377	1.000	1.000	1.209	1.000	1.000	1.000	1.000	0.995	1.000	1.000	1.000	1.000	1.000	1.000
Last 3	4.122	1.432	1.439	1.032	1.000	1.425	1.000	1.000	1.284	1.000	1.000	1.000	1.000	0.996	1.000	1.000	1.000	1.000	1.000	1.000
Last 5	4.038	1.423	1.209	1.001	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
x-hi,low																				
Similar	2.750	1.750	1.450	1.245	1.135	1.080	1.060	1.033	1.025	1.017	1.013	1.010	1.007	1.006	1.004	1.003	1.002	1.002	1.005	1.000
Previous	5.000	1.600	1.095	1.020	1.003	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Selected	5.000	1.550	1.150	1.020	1.003	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Cumulative	9.118	1.824	1.177	1.023	1.003	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Percent	11.0%	54.8%	85.0%	97.7%	99.7%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%

Amounts as of 9/30/11 through 9/30/13 include subrogation claims which were excluded from prior valuations.

Amounts are unlimited.

Data was provided by DC Office of Risk Management.

DISTRICT OF COLUMBIA
GENERAL LIABILITY

Exhibit GL-2 (page 3)

Historical Unlimited Reported Incurred Losses (\$000) and Unlimited Reported Incurred Loss Development

I. Historical Unlimited Reported Incurred Losses (\$000)

Claim Period	12	24	Months of Development:		60	72	84	96	108	120	132	144	156	168	180	192	204	216	228	240
			36	48																
to 2000/01																				
2001/02										482	482	482	482	482	482	482	482	482	482	482
2002/03										342	342	342	342	342	317	317	317	317	317	317
2003/04								1,453	1,453	1,453	1,453	1,453	1,453	1,545	1,445	1,445	1,445	1,445	1,445	1,445
2004/05							1,487	1,467	1,432	1,432	1,432	1,432	1,432	1,432	1,432	1,415	1,415	1,415	1,415	1,415
2005/06						1,082	439	399	434	434	434	434	434	429	429	429	429	429	429	429
2006/07					2,162	1,788	1,593	1,593	1,593	1,593	1,593	1,593	1,593	1,593	1,593	1,593	1,593	1,593	1,593	1,593
2007/08				2,270	1,272	461	458	458	458	458	458	458	457	457	457	457	457	457	457	457
2008/09			574	559	212	211	211	211	211	211	206	206								
2009/10		816	892	317	291	291	291	291	291	291	291	291								
2010/11	665	549	334	185	185	210	185	185	162	299										
2011/12	413	417	396	411	452	446	471	382												
2012/13	386	702	697	683	677	627	618	618												
2013/14	375	482	976	555	549	419	977													
2014/15	715	1,360	395	245	239	239														
2015/16	2,028	965	369	91	99															
2016/17	775	739	212	203																
2017/18	885	506	445																	
2018/19	573	837																		
2019/20	1,036																			

II. Unlimited Reported Incurred Loss Development

Claim Period	12-24	24-36	Months of Development:		60-72	72-84	84-96	96-108	108-120	120-132	132-144	144-156	156-168	168-180	180-192	192-204	204-216	216-228	228-240	240-Ult
			36-48	48-60																
to 2000/01																				
2001/02										1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2002/03									1.000	1.000	1.000	1.000	0.927	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2003/04								1.000	1.000	1.000	1.000	1.063	0.935	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2004/05							0.987	0.976	1.000	1.000	1.000	1.000	1.000	0.988	1.000					
2005/06						0.406	0.909	1.086	1.000	1.000	1.000	1.000	0.988	1.000						
2006/07					0.827	0.891	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000						
2007/08				0.560	0.362	0.995	1.000	1.000	1.000	1.000	0.998	1.000								
2008/09			0.972	0.379	0.995	1.000	1.000	1.000	1.000	0.980	1.000									
2009/10		1.093	0.355	0.919	1.000	1.000	1.000	1.000	1.000	1.000										
2010/11	0.825	0.609	0.554	1.000	1.135	0.881	1.000	0.872	1.851											
2011/12	1.009	0.949	1.038	1.101	0.986	1.056	0.811	1.000												
2012/13	1.817	0.993	0.981	0.991	0.926	0.985	1.000													
2013/14	1.287	2.024	0.569	0.988	0.764	2.330														
2014/15	1.903	0.290	0.620	0.977	1.000															
2015/16	0.476	0.383	0.245	1.092																
2016/17	0.954	0.286	0.960																	
2017/18	0.571	0.879																		
2018/19	1.460																			
2019/20																				
Average																				
All	1.145	0.834	0.699	0.890	0.888	1.060	0.967	0.993	1.095	0.998	1.000	1.009	0.975	0.998	1.000	1.000	1.000	1.000	1.000	1.000
Wtd 3	0.932	0.464	0.552	0.996	0.877	1.384	0.930	0.972	1.207	0.996	1.000	1.000	0.999	0.995	1.000	1.000	1.000	1.000	1.000	1.000
Last 3	0.995	0.516	0.609	1.019	0.897	1.457	0.937	0.957	1.284	0.993	0.999	1.000	0.996	0.996	1.000	1.000	1.000	1.000	1.000	1.000
Last 5	0.995	0.517	0.716	1.024	0.971	1.014	1.000	1.000	1.000	1.000	1.000	1.000	0.975	1.000						
x-hi,low																				
Similar	1.950	1.400	1.240	1.125	1.070	1.045	1.030	1.015	1.007	1.009	1.007	1.005	1.004	1.003	1.002	1.002	1.001	1.001	1.003	1.000
Previous	1.400	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Selected	1.400	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Cumulative	1.400	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Percent	71.4%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%

Amounts as of 9/30/11 through 9/30/13 include subrogation claims which were excluded from prior valuations.

Amounts are unlimited.

Data was provided by DC Office of Risk Management.

DISTRICT OF COLUMBIA
GENERAL LIABILITY

Exhibit GL-2 (page 4)

Historical Reported Claims and Reported Claim Development

I. Historical Reported Claims

Claim Period	12	24	Months of Development:		60	72	84	96	108	120	132	144	156	168	180	192	204	216	228	240
to 2000/01			36	48																
2001/02										7	7	7	7	7	7	7	7	7	7	7
2002/03										32	32	32	32	31	31	31	31	31	31	31
2003/04								71	71	71	71	71	71	70	70	70	70	70	70	70
2004/05								145	144	143	143	143	143	143	142	142	142	142	142	142
2005/06						78	72	65	67	67	67	67	67	66	66	66	66	66	66	66
2006/07					109	103	86	86	86	86	86	86	85	85	85	85	85	85	85	85
2007/08				176	175	105	105	105	105	105	105	103	103	103	103	103	103	103	103	103
2008/09			125	116	64	63	63	63	63	63	60	60	60	60	60	60	60	60	60	60
2009/10		170	137	64	52	51	53	51	52	51	51	51	51	51	51	51	51	51	51	51
2010/11	158	142	98	56	56	57	56	57	46	47	47	47	47	47	47	47	47	47	47	47
2011/12	139	108	71	68	69	67	69	62	62	62	62	62	62	62	62	62	62	62	62	62
2012/13	104	87	76	64	61	60	56	56	56	56	56	56	56	56	56	56	56	56	56	56
2013/14	63	82	80	59	58	52	53	53	53	53	53	53	53	53	53	53	53	53	53	53
2014/15	127	163	85	70	69	69	69	69	69	69	69	69	69	69	69	69	69	69	69	69
2015/16	241	133	71	44	49	49	49	49	49	49	49	49	49	49	49	49	49	49	49	49
2016/17	159	117	49	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75	75
2017/18	200	100	105																	
2018/19	159	122																		
2019/20	181																			

II. Reported Claim Development

Claim Period	12-24	24-36	Months of Development:		60-72	72-84	84-96	96-108	108-120	120-132	132-144	144-156	156-168	168-180	180-192	192-204	204-216	216-228	228-240	240-Ult
to 2000/01			36-48	48-60																
2001/02										1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2002/03									1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2003/04								1.000	1.000	1.000	1.000	1.000	0.986	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2004/05							0.993	0.993	1.000	1.000	1.000	1.000	1.000	0.993	1.000	1.000	1.000	1.000	1.000	1.000
2005/06						0.923	0.903	1.031	1.000	1.000	1.000	1.000	0.985	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2006/07					0.945	0.835	1.000	1.000	1.000	1.000	1.000	1.000	0.988	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2007/08				0.994	0.600	1.000	1.000	1.000	1.000	1.000	0.981	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2008/09			0.928	0.552	0.984	1.000	1.000	1.000	1.000	0.952	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2009/10		0.806	0.467	0.813	0.981	1.039	0.962	1.020	0.981	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2010/11	0.899	0.690	0.571	1.000	1.018	0.982	1.018	0.807	1.022	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2011/12	0.777	0.657	0.958	1.015	0.971	1.030	0.899	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2012/13	0.837	0.874	0.842	0.953	0.984	0.933	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2013/14	1.302	0.976	0.738	0.983	0.897	1.019	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2014/15	1.283	0.521	0.824	0.986	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2015/16	0.552	0.534	0.620	1.114	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2016/17	0.736	0.419	1.531																	
2017/18	0.500	1.050																		
2018/19	0.767																			
2019/20																				
Average																				
All	0.850	0.725	0.831	0.934	0.931	0.974	0.975	0.983	1.000	0.995	0.998	0.998	0.990	0.999	1.000	1.000	1.000	1.000	1.000	1.000
Wtd 3	0.654	0.643	0.922	1.017	0.963	0.994	0.967	0.941	1.000	0.986	0.992	0.996	0.997	0.996	1.000	1.000	1.000	1.000	1.000	1.000
Last 3	0.668	0.668	0.991	1.027	0.960	0.994	0.972	0.942	1.001	0.984	0.994	0.996	0.995	0.998	1.000	1.000	1.000	1.000	1.000	1.000
Last 5	0.685	0.677	0.801	0.994	0.985	1.011	0.987	1.000	1.000	1.000	1.000	1.000	0.990	1.000	1.000	1.000	1.000	1.000	1.000	1.000
x-hi,low																				
Similar	1.219	1.047	1.026	1.015	1.012	1.011	1.012	1.013	1.017	1.003	1.003	1.002	1.001	1.001	1.001	1.001	1.000	1.000	1.000	1.001
Previous	0.800	0.700	0.850	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Selected	0.800	0.750	0.850	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Cumulative	0.510	0.638	0.850	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Percent	196.1%	156.9%	117.6%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%

Counts are on an occurrence basis and exclude claims with \$0 incurred.

Data was provided by DC Office of Risk Management.

DISTRICT OF COLUMBIA
GENERAL LIABILITY

Exhibit GL-2 (page 5)

Historical Ratio of Unlimited Paid Losses and Unlimited Reported Incurred Losses

Claim Period	12	24	Months of Development:		60	72	84	96	108	120	132	144	156	168	180	192	204	216	228	240
			36	48																
to 2000/01																				
2001/02										100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
2002/03										100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
2003/04								100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
2004/05							96.3%	97.6%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
2005/06						36.5%	89.8%	98.7%	90.9%	98.8%	98.8%	98.8%	98.8%	100.0%	100.0%					
2006/07					73.0%	88.6%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%					
2007/08				18.1%	34.4%	98.8%	99.8%	99.8%	99.8%	99.8%	99.8%	99.8%	100.0%	100.0%						
2008/09			24.6%	35.8%	97.5%	98.0%	98.0%	98.0%	98.0%	98.0%	100.0%	100.0%								
2009/10		20.4%	28.6%	85.5%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%									
2010/11	0.6%	12.2%	44.6%	87.2%	87.2%	76.8%	87.2%	87.2%	100.0%	100.0%										
2011/12	6.1%	37.7%	89.1%	94.0%	84.2%	85.6%	81.1%	100.0%												
2012/13	6.7%	78.2%	81.0%	90.6%	91.4%	98.6%	100.0%	100.0%												
2013/14	5.0%	43.5%	34.7%	75.5%	76.4%	100.0%														
2014/15	6.2%	13.8%	60.2%	97.3%	100.0%	100.0%														
2015/16	0.7%	5.8%	19.0%		100.0%															
2016/17	2.1%	8.2%	47.5%	100.0%																
2017/18	3.4%	17.9%	28.1%																	
2018/19	6.1%	23.8%																		
2019/20	3.3%																			
Average																				
All	4.0%	26.1%	45.7%	78.4%	84.4%	88.3%	95.0%	98.1%	98.9%	99.7%	99.9%	99.9%	98.9%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Last 3	4.3%	16.6%	31.5%	99.1%	92.1%	99.5%	92.9%	95.7%	100.0%	99.3%	99.9%	100.0%	99.6%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Last 5	2.9%	13.3%	36.8%	96.0%	91.9%	94.8%	95.0%	99.3%	99.9%	99.9%	99.9%	100.0%	99.6%	100.0%	100.0%					
x-hi,low																				
Implicit	15.4%	54.8%	85.0%	97.7%	99.7%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Prior	15.6%	55.8%	89.3%	97.7%	99.7%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%

DISTRICT OF COLUMBIA
GENERAL LIABILITY

Exhibit GL-3

Developed Unlimited Paid Losses

Claim Period (1)	Months of Development 9/30/20 (2)	Unlimited Paid Losses 9/30/20 (3)	Percent Losses Paid (4)	Developed Unlimited Paid Losses (3)/(4) (5)
to 2000/01	240.0	\$17,674	100.0%	\$17,674
2001/02	228.0	481,652	100.0%	481,652
2002/03	216.0	317,220	100.0%	317,220
2003/04	204.0	1,444,738	100.0%	1,444,738
2004/05	192.0	1,414,592	100.0%	1,414,592
2005/06	180.0	428,809	100.0%	428,809
2006/07	168.0	1,592,767	100.0%	1,592,767
2007/08	156.0	457,403	100.0%	457,403
2008/09	144.0	206,315	100.0%	206,315
2009/10	132.0	290,810	100.0%	290,810
2010/11	120.0	299,079	100.0%	299,079
2011/12	108.0	381,507	100.0%	381,507
2012/13	96.0	617,639	100.0%	617,639
2013/14	84.0	954,659	100.0%	954,659
2014/15	72.0	239,084	100.0%	239,084
2015/16	60.0	99,034	99.7%	99,331
2016/17	48.0	203,133	97.7%	207,817
2017/18	36.0	125,130	85.0%	147,218
2018/19	24.0	199,407	54.8%	363,639
2019/20	12.0	34,090	11.0%	310,833
Total		\$9,804,741		\$10,272,786

(3) is from Exhibit GL-1.

(4) is from Exhibit GL-2.

Developed Unlimited Reported Incurred Losses

Claim Period (1)	Months of Development 9/30/20 (2)	Unlimited Reported Incurred Losses 9/30/20 (3)	Percent Losses Reported (4)	Developed Unlimited Reported Incurred Losses (3)/(4) (5)
to 2000/01	240.0	\$17,674	100.0%	\$17,674
2001/02	228.0	481,652	100.0%	481,652
2002/03	216.0	317,220	100.0%	317,220
2003/04	204.0	1,444,738	100.0%	1,444,738
2004/05	192.0	1,414,592	100.0%	1,414,592
2005/06	180.0	428,809	100.0%	428,809
2006/07	168.0	1,592,767	100.0%	1,592,767
2007/08	156.0	457,403	100.0%	457,403
2008/09	144.0	206,315	100.0%	206,315
2009/10	132.0	290,810	100.0%	290,810
2010/11	120.0	299,079	100.0%	299,079
2011/12	108.0	381,507	100.0%	381,507
2012/13	96.0	617,639	100.0%	617,639
2013/14	84.0	976,571	100.0%	976,571
2014/15	72.0	239,084	100.0%	239,084
2015/16	60.0	99,034	100.0%	99,034
2016/17	48.0	203,133	100.0%	203,133
2017/18	36.0	444,619	100.0%	444,619
2018/19	24.0	836,695	100.0%	836,695
2019/20	12.0	1,035,720	71.4%	1,450,008
Total		\$11,785,061		\$12,199,349

(3) is from Exhibit GL-1.

(4) is from Exhibit GL-2.

Developed Unlimited Case Reserves

Claim Period (1)	Months of Development 9/30/20 (2)	Percent Losses Paid (3)	Percent Losses Reported (4)	Percent Losses Reserved 9/30/20 [(4)-(3)]/ [100.0%-(3)] (5)	Unlimited Paid Losses 9/30/20 (6)	Unlimited Case Reserves 9/30/20 (7)	Developed Unlimited Case Reserves (6)+(7)/(5) (8)
to 2000/01	240.0	100.0%	100.0%	100.0%	\$17,674	\$0	\$17,674
2001/02	228.0	100.0%	100.0%	100.0%	481,652	0	481,652
2002/03	216.0	100.0%	100.0%	100.0%	317,220	0	317,220
2003/04	204.0	100.0%	100.0%	100.0%	1,444,738	0	1,444,738
2004/05	192.0	100.0%	100.0%	100.0%	1,414,592	0	1,414,592
2005/06	180.0	100.0%	100.0%	100.0%	428,809	0	428,809
2006/07	168.0	100.0%	100.0%	100.0%	1,592,767	0	1,592,767
2007/08	156.0	100.0%	100.0%	100.0%	457,403	0	457,403
2008/09	144.0	100.0%	100.0%	100.0%	206,315	0	206,315
2009/10	132.0	100.0%	100.0%	100.0%	290,810	0	290,810
2010/11	120.0	100.0%	100.0%	100.0%	299,079	0	299,079
2011/12	108.0	100.0%	100.0%	100.0%	381,507	0	381,507
2012/13	96.0	100.0%	100.0%	100.0%	617,639	0	617,639
2013/14	84.0	100.0%	100.0%	100.0%	954,659	21,913	976,571
2014/15	72.0	100.0%	100.0%	100.0%	239,084	0	239,084
2015/16	60.0	99.7%	100.0%	100.0%	99,034	0	99,034
2016/17	48.0	97.7%	100.0%	100.0%	203,133	0	203,133
2017/18	36.0	85.0%	100.0%	100.0%	125,130	319,489	444,619
2018/19	24.0	54.8%	100.0%	100.0%	199,407	637,288	836,695
2019/20	12.0	11.0%	71.4%	67.9%	34,090	1,001,630	1,509,048
Total					\$9,804,741	\$1,980,320	\$12,258,389

(3) and (4) are from Exhibit GL-2.

(6) and (7) are from Exhibit GL-1.

Preliminary Projected Ultimate Unlimited Losses to 2019/20

Claim Period (1)	Developed Unlimited Paid Losses (2)	Developed Unlimited Reported Incurred Losses (3)	Developed Unlimited Case Reserves (4)	Preliminary Projected Ultimate Unlimited Losses (5)
to 2000/01	\$17,674	\$17,674	\$17,674	\$17,674
2001/02	481,652	481,652	481,652	481,652
2002/03	317,220	317,220	317,220	317,220
2003/04	1,444,738	1,444,738	1,444,738	1,444,738
2004/05	1,414,592	1,414,592	1,414,592	1,414,592
2005/06	428,809	428,809	428,809	428,809
2006/07	1,592,767	1,592,767	1,592,767	1,592,767
2007/08	457,403	457,403	457,403	457,403
2008/09	206,315	206,315	206,315	206,315
2009/10	290,810	290,810	290,810	290,810
2010/11	299,079	299,079	299,079	299,079
2011/12	381,507	381,507	381,507	381,507
2012/13	617,639	617,639	617,639	617,639
2013/14	954,659	976,571	976,571	978,763
2014/15	239,084	239,084	239,084	239,084
2015/16	99,331	99,034	99,034	99,034
2016/17	207,817	203,133	203,133	203,133
2017/18	147,218	444,619	444,619	476,568
2018/19	363,639	836,695	836,695	900,424
2019/20	310,833	1,450,008	1,509,048	1,479,528
Total	\$10,272,786	\$12,199,349	\$12,258,389	\$12,326,738

(2) is from Exhibit GL-3.

(3) is from Exhibit GL-4.

(4) is from Exhibit GL-5.

(5) is based on (2) to (4) and actuarial judgment.

Bornhuetter - Ferguson Analysis

I. A-priori Loss Rate

Claim Period (1)	Preliminary Projected Ultimate Unlimited Losses (2)	Payroll (000) (3)	Unlimited Loss Rate per \$100 of Payroll (2)/(3)/10 (4)	Loss Rate Trend (2020/21 = 1.000) (5)	Trended Unlimited Loss Rate per \$100 of Payroll (4)X(5) (6)	Projected A-priori Loss Rate per \$100 of Payroll (7)/(5) (8)
2010/11	\$299,079	\$1,979,225	\$0.015	1.629	\$0.025	\$0.017
2011/12	381,507	2,109,455	0.018	1.551	0.028	0.018
2012/13	617,639	2,156,439	0.029	1.477	0.042	0.019
2013/14	978,763	2,256,429	0.043	1.407	0.061	0.020
2014/15	239,084	2,442,007	0.010	1.340	0.013	0.021
2015/16	99,034	2,620,064	0.004	1.276	0.005	0.022
2016/17	203,133	2,740,690	0.007	1.216	0.009	0.023
2017/18	476,568	2,890,437	0.016	1.158	0.019	0.025
2018/19	900,424	2,997,794	0.030	1.103	0.033	0.026
2019/20	1,479,528	3,203,740	0.046	1.050	0.048	0.027

(7) Projected 2020/21 a-priori loss rate per \$100 of Payroll \$0.028

II. Bornhuetter - Ferguson Analysis Based on Unlimited Paid Losses

Claim Period (1)	Unlimited Paid Losses 9/30/20 (2)	Percent Losses Paid (3)	Projected A-priori Loss Rate per \$100 of Payroll (4)	Payroll (000) (5)	B-F Unpaid Losses [100.0%-(3)] X(4)X(5)X10 (6)	B-F Ultimate Unlimited Paid Losses (2)+(6) (7)
2015/16	\$99,034	99.7%	\$0.022	\$2,620,064	\$1,742	\$100,776
2016/17	203,133	97.7%	0.023	2,740,690	14,417	217,549
2017/18	125,130	85.0%	0.025	2,890,437	106,267	231,397
2018/19	199,407	54.8%	0.026	2,997,794	348,354	547,761
2019/20	34,090	11.0%	0.027	3,203,740	770,598	804,688

III. Bornhuetter - Ferguson Analysis Based on Unlimited Reported Incurred Losses

Claim Period (1)	Unlimited Reported Incurred Losses 9/30/20 (2)	Percent Losses Reported (3)	Projected A-priori Loss Rate per \$100 of Payroll (4)	Payroll (000) (5)	B-F Unreported Losses [100.0%-(3)] X(4)X(5)X10 (6)	B-F Ultimate Unlimited Reported Losses (2)+(6) (7)
2015/16	\$99,034	100.0%	\$0.022	\$2,620,064	\$0	\$99,034
2016/17	203,133	100.0%	0.023	2,740,690	0	203,133
2017/18	444,619	100.0%	0.025	2,890,437	0	444,619
2018/19	836,695	100.0%	0.026	2,997,794	0	836,695
2019/20	1,035,720	71.4%	0.027	3,203,740	247,292	1,283,012

Section I, (2) is from Exhibit GL-6.

Section I, (3), Section II, (5) and Section III, (5) are from Exhibit GL-10.

Section I, (5) is based on a 5% trend.

Section I, (7) is based on Section I, (6) and actuarial judgment.

Sections II and III, (2) are from Exhibit GL-1.

Sections II and III, (3) are from Exhibit GL-2.

Sections II and III, (4) are from Section I, (8).

Frequency Times Severity Analysis

I. Projected Ultimate Claims

Claim Period (1)	Months of Development 9/30/20 (2)	Reported Claims 9/30/20 (3)	Percent Claims Reported (4)	Projected Ultimate Claims (3)/(4) (5)	Payroll (000) (6)	Frequency (per \$1M of Payroll) (5)/(6)X1,000 (7)
2010/11	120.0	47	100.0%	47	\$1,979,225	0.024
2011/12	108.0	62	100.0%	62	2,109,455	0.029
2012/13	96.0	56	100.0%	56	2,156,439	0.026
2013/14	84.0	53	100.0%	53	2,256,429	0.023
2014/15	72.0	69	100.0%	69	2,442,007	0.028
2015/16	60.0	49	100.0%	49	2,620,064	0.019
2016/17	48.0	75	100.0%	75	2,740,690	0.027
2017/18	36.0	105	117.6%	89	2,890,437	0.031
2018/19	24.0	122	156.9%	78	2,997,794	0.026
2019/20	12.0	181	196.1%	92	3,203,740	0.029

II. Frequency Times Severity

Claim Period (1)	Preliminary Projected Ultimate Unlimited Losses (2)	Projected Ultimate Claims (3)	Average Severity (2)/(3) (4)	Severity Trend (2020/21 = 1.000) (5)	Trended Average Claim Severity (4)X(5) (6)	De-Trended Projected 2020/21 Average Claim Severity (7)/(5) (8)	Frequency Times Severity (3)X(8) (9)
2010/11	\$299,079	47	\$6,363	2.189	\$13,930	\$5,888	\$276,737
2011/12	381,507	62	6,153	2.024	12,455	6,368	394,809
2012/13	617,639	56	11,029	1.872	20,642	6,887	385,665
2013/14	978,763	53	18,467	1.731	31,959	7,448	394,752
2014/15	239,084	69	3,465	1.600	5,544	8,055	555,807
2015/16	99,034	49	2,021	1.480	2,990	8,712	426,872
2016/17	203,133	75	2,708	1.368	3,705	9,422	706,626
2017/18	476,568	89	5,355	1.265	6,774	10,190	906,870
2018/19	900,424	78	11,544	1.170	13,502	11,020	859,560
2019/20	1,479,528	92	16,082	1.082	17,392	11,918	1,096,467

(7) Projected 2020/21 average claim severity

\$12,889

Section I, (3) is from Exhibit GL-1.

Section I, (4) is from Exhibit GL-2.

Section I, (6) is from Exhibit GL-10.

Section II, (2) is from Exhibit GL-6.

Section II, (3) is from Section I, (5).

Section II, (5) is based on a 8.2% trend.

Section II, (7) is based on (6) and actuarial judgment.

Projected Ultimate Unlimited Losses to 2019/20

Claim Period (1)	Developed Unlimited Paid Losses (2)	Developed Unlimited Reported Incurred Losses (3)	Developed Unlimited Case Reserves (4)	B-F Ultimate Unlimited Paid Losses (5)	B-F Ultimate Unlimited Reported Losses (6)	Frequency Times Severity (7)	Projected Ultimate Unlimited Losses (8)
to 2000/01	\$17,674	\$17,674	\$17,674				\$17,674
2001/02	481,652	481,652	481,652				481,652
2002/03	317,220	317,220	317,220				317,220
2003/04	1,444,738	1,444,738	1,444,738				1,444,738
2004/05	1,414,592	1,414,592	1,414,592				1,414,592
2005/06	428,809	428,809	428,809				428,809
2006/07	1,592,767	1,592,767	1,592,767				1,592,767
2007/08	457,403	457,403	457,403				457,403
2008/09	206,315	206,315	206,315				206,315
2009/10	290,810	290,810	290,810				290,810
2010/11	299,079	299,079	299,079				299,079
2011/12	381,507	381,507	381,507				381,507
2012/13	617,639	617,639	617,639				617,639
2013/14	954,659	976,571	976,571				979,000
2014/15	239,084	239,084	239,084				239,084
2015/16	99,331	99,034	99,034	100,776	99,034	426,872	99,034
2016/17	207,817	203,133	203,133	217,549	203,133	706,626	203,133
2017/18	147,218	444,619	444,619	231,397	444,619	906,870	477,000
2018/19	363,639	836,695	836,695	547,761	836,695	859,560	900,424
2019/20	310,833	1,450,008	1,509,048	804,688	1,283,012	1,096,467	1,223,000

(2) is from Exhibit GL-3.

(3) is from Exhibit GL-4.

(4) is from Exhibit GL-5.

(5) and (6) are from Exhibit GL-7.

(7) is from Exhibit GL-8.

(8) is based on (2) to (7) and actuarial judgment.

Projected Ultimate Unlimited Losses for 2020/21 and Subsequent

Claim Period (1)	Projected Ultimate Unlimited Losses (2)	Payroll (000) (3)	Unlimited Loss Rate per \$100 of Payroll (2)/(3)/10 (4)	Loss Rate Trend (2020/21 = 1.000) (5)	Trended Unlimited Loss Rate per \$100 of Payroll (4)X(5) (6)
2010/11	\$299,079	\$1,979,225	\$0.015	1.629	\$0.025
2011/12	381,507	2,109,455	0.018	1.551	0.028
2012/13	617,639	2,156,439	0.029	1.477	0.042
2013/14	979,000	2,256,429	0.043	1.407	0.061
2014/15	239,084	2,442,007	0.010	1.340	0.013
2015/16	99,034	2,620,064	0.004	1.276	0.005
2016/17	203,133	2,740,690	0.007	1.216	0.009
2017/18	477,000	2,890,437	0.017	1.158	0.019
2018/19	900,424	2,997,794	0.030	1.103	0.033
2019/20	1,223,000	3,203,740	0.038	1.050	0.040
Total	\$5,418,899	\$25,396,280	\$0.021		\$0.028

Claim Period (1)	Projected Unlimited Loss Rate per \$100 of Payroll (7)	Projected Payroll (000) (8)	Projected Ultimate Unlimited Losses (7)X(8)X10 (9)	Present Value Factor (10)	Present Value of Projected Unlimited Loss Rate per \$100 of Payroll (7)X(10) (11)	Present Value of Projected Ultimate Unlimited Losses (8)X(11)X10 (12)
2020/21	\$0.028	\$3,265,593	\$899,000	0.97	\$0.027	\$868,000
2021/22	0.029	3,428,873	991,000	0.97	0.028	957,000

(2) is from Exhibit GL-9.

(3) was provided by DC Office of Risk Management.

(5) is based on a 5% trend.

(7) 2020/21 is based on (6) and actuarial judgment.
Other period(s) based on 2020/21 plus a 5% trend.

(8) to 2020/21 was provided by DC Office of Risk Management. Other claim periods are based on a 5% trend.

(10) is based on a 1.75% interest rate and the payout pattern in Exhibit GL-2.

Estimated Outstanding Losses as of September 30, 2020

Claim Period (1)	Unlimited Paid Losses 9/30/20 (2)	Unlimited Case Reserves 9/30/20 (3)	Unlimited Reported Incurred Losses 9/30/20 (4)	Projected Ultimate Unlimited Losses (5)	Estimated IBNR 9/30/20 (5)-(4) (6)	Estimated Outstanding Losses 9/30/20 (3)+(6) (7)	Present Value Factor (8)	Present Value of Estimated Outstanding Losses 9/30/20 (7)X(8) (9)
to 2000/01	\$17,674	\$0	\$17,674	\$17,674	\$0	\$0	1.00	\$0
2001/02	481,652	0	481,652	481,652	0	0	1.00	0
2002/03	317,220	0	317,220	317,220	0	0	1.00	0
2003/04	1,444,738	0	1,444,738	1,444,738	0	0	1.00	0
2004/05	1,414,592	0	1,414,592	1,414,592	0	0	1.00	0
2005/06	428,809	0	428,809	428,809	0	0	1.00	0
2006/07	1,592,767	0	1,592,767	1,592,767	0	0	1.00	0
2007/08	457,403	0	457,403	457,403	0	0	1.00	0
2008/09	206,315	0	206,315	206,315	0	0	1.00	0
2009/10	290,810	0	290,810	290,810	0	0	1.00	0
2010/11	299,079	0	299,079	299,079	0	0	1.00	0
2011/12	381,507	0	381,507	381,507	0	0	1.00	0
2012/13	617,639	0	617,639	617,639	0	0	1.00	0
2013/14	954,659	21,913	976,571	979,000	2,429	24,342	1.00	24,342
2014/15	239,084	0	239,084	239,084	0	0	1.00	0
2015/16	99,034	0	99,034	99,034	0	0	0.99	0
2016/17	203,133	0	203,133	203,133	0	0	0.99	0
2017/18	125,130	319,489	444,619	477,000	32,381	351,870	0.99	347,812
2018/19	199,407	637,288	836,695	900,424	63,729	701,017	0.98	690,329
2019/20	34,090	1,001,630	1,035,720	1,223,000	187,280	1,188,910	0.98	1,164,441
Total	\$9,804,741	\$1,980,320	\$11,785,061	\$12,070,879	\$285,819	\$2,266,139		\$2,226,924

(2), (3) and (4) are net of specific self-insured retention and aggregate retention.

(5) is from Exhibit GL-9.

(8) is based on a 1.75% interest rate and the payout pattern in Exhibit GL-2.

Projected Losses Paid October 1, 2020 to September 30, 2021

Claim Period (1)	Months of Development 9/30/20 (2)	Percent Losses Paid (3)	Months of Development 9/30/21 (4)	Percent Losses Paid (5)	Percent Outstanding Losses Paid 10/1/20 to 9/30/21 [(5)-(3)]/ [100.0%-(3)] (6)	Estimated Outstanding Losses 9/30/20 (7)	Projected Losses Paid (6)X(7) (8)	Estimated Outstanding Losses 9/30/21 (7)-(8) (9)	Present Value Factor (10)	Present Value of Estimated Outstanding Losses 9/30/21 (9)X(10) (11)
to 2000/01	240.0	100.0%	252.0	100.0%	100.0%	\$0	\$0	\$0	1.00	\$0
2001/02	228.0	100.0%	240.0	100.0%	100.0%	0	0	0	1.00	0
2002/03	216.0	100.0%	228.0	100.0%	100.0%	0	0	0	1.00	0
2003/04	204.0	100.0%	216.0	100.0%	100.0%	0	0	0	1.00	0
2004/05	192.0	100.0%	204.0	100.0%	100.0%	0	0	0	1.00	0
2005/06	180.0	100.0%	192.0	100.0%	100.0%	0	0	0	1.00	0
2006/07	168.0	100.0%	180.0	100.0%	100.0%	0	0	0	1.00	0
2007/08	156.0	100.0%	168.0	100.0%	100.0%	0	0	0	1.00	0
2008/09	144.0	100.0%	156.0	100.0%	100.0%	0	0	0	1.00	0
2009/10	132.0	100.0%	144.0	100.0%	100.0%	0	0	0	1.00	0
2010/11	120.0	100.0%	132.0	100.0%	100.0%	0	0	0	1.00	0
2011/12	108.0	100.0%	120.0	100.0%	100.0%	0	0	0	1.00	0
2012/13	96.0	100.0%	108.0	100.0%	100.0%	0	0	0	1.00	0
2013/14	84.0	100.0%	96.0	100.0%	100.0%	24,342	24,342	0	1.00	0
2014/15	72.0	100.0%	84.0	100.0%	100.0%	0	0	0	1.00	0
2015/16	60.0	99.7%	72.0	100.0%	100.0%	0	0	0	1.00	0
2016/17	48.0	97.7%	60.0	99.7%	86.7%	0	0	0	0.99	0
2017/18	36.0	85.0%	48.0	97.7%	85.0%	351,870	299,007	52,863	0.99	52,287
2018/19	24.0	54.8%	36.0	85.0%	66.8%	701,017	468,137	232,880	0.99	230,194
2019/20	12.0	11.0%	24.0	54.8%	49.3%	1,188,910	585,813	603,097	0.98	593,902
2020/21	0.0	0.0%	12.0	11.0%	11.0%	899,000	98,596	800,404	0.98	783,931
Total						\$3,165,139	\$1,475,895	\$1,689,244		\$1,660,314

(3) and (5) are from Exhibit GL-2.

(7) to 2019/20 is from Exhibit GL-11. The amount for 2020/21 is from Exhibit GL-10.

(10) is based on a 1.75% interest rate and the payout pattern in Exhibit GL-2.

Projected Losses Paid October 1, 2021 to September 30, 2022

Claim Period (1)	Months of Development 9/30/21 (2)	Percent Losses Paid (3)	Months of Development 9/30/22 (4)	Percent Losses Paid (5)	Percent Outstanding Losses Paid 10/1/21 to 9/30/22 [(5)-(3)]/ [100.0%-(3)] (6)	Estimated Outstanding Losses 9/30/21 (7)	Projected Losses Paid (6)X(7) (8)	Estimated Outstanding Losses 9/30/22 (7)-(8) (9)	Present Value Factor (10)	Present Value of Estimated Outstanding Losses 9/30/22 (9)X(10) (11)
to 2000/01	252.0	100.0%	264.0	100.0%	100.0%	\$0	\$0	\$0	1.00	\$0
2001/02	240.0	100.0%	252.0	100.0%	100.0%	0	0	0	1.00	0
2002/03	228.0	100.0%	240.0	100.0%	100.0%	0	0	0	1.00	0
2003/04	216.0	100.0%	228.0	100.0%	100.0%	0	0	0	1.00	0
2004/05	204.0	100.0%	216.0	100.0%	100.0%	0	0	0	1.00	0
2005/06	192.0	100.0%	204.0	100.0%	100.0%	0	0	0	1.00	0
2006/07	180.0	100.0%	192.0	100.0%	100.0%	0	0	0	1.00	0
2007/08	168.0	100.0%	180.0	100.0%	100.0%	0	0	0	1.00	0
2008/09	156.0	100.0%	168.0	100.0%	100.0%	0	0	0	1.00	0
2009/10	144.0	100.0%	156.0	100.0%	100.0%	0	0	0	1.00	0
2010/11	132.0	100.0%	144.0	100.0%	100.0%	0	0	0	1.00	0
2011/12	120.0	100.0%	132.0	100.0%	100.0%	0	0	0	1.00	0
2012/13	108.0	100.0%	120.0	100.0%	100.0%	0	0	0	1.00	0
2013/14	96.0	100.0%	108.0	100.0%	100.0%	0	0	0	1.00	0
2014/15	84.0	100.0%	96.0	100.0%	100.0%	0	0	0	1.00	0
2015/16	72.0	100.0%	84.0	100.0%	100.0%	0	0	0	1.00	0
2016/17	60.0	99.7%	72.0	100.0%	100.0%	0	0	0	1.00	0
2017/18	48.0	97.7%	60.0	99.7%	86.7%	52,863	45,848	7,015	0.99	6,954
2018/19	36.0	85.0%	48.0	97.7%	85.0%	232,880	197,894	34,986	0.99	34,605
2019/20	24.0	54.8%	36.0	85.0%	66.8%	603,097	402,746	200,351	0.99	198,040
2020/21	12.0	11.0%	24.0	54.8%	49.3%	800,404	394,384	406,020	0.98	399,830
2021/22	0.0	0.0%	12.0	11.0%	11.0%	991,000	108,686	882,314	0.98	864,155
Total						\$2,680,244	\$1,149,558	\$1,530,686		\$1,503,584

(3) and (5) are from Exhibit GL-2.

(7) to 2020/21 is from Exhibit GL-12, (9). The amount for 2021/22 is from Exhibit GL-10.

(10) is based on a 1.75% interest rate and the payout pattern in Exhibit GL-2.

List of Large Claims
Reported Incurred Losses Greater Than \$50,000

Claim Number (1)	Date of Loss (2)	Claim Period (3)	Specific Self-Insured Retention (4)	Unlimited Paid Losses 9/30/20 (5)	Unlimited Case Reserves 9/30/20 (6)	Unlimited Reported Incurred Losses 9/30/20 (7)
0200101-GL	02/10/02	2001/02	Unlimited	\$450,000	\$0	\$450,000
0300804-GL	04/07/03	2002/03	Unlimited	55,000	0	55,000
0301469-GL	07/01/03	2002/03	Unlimited	106,560	0	106,560
0000021-GL	10/16/03	2003/04	Unlimited	127,000	0	127,000
0401911-GL	07/12/04	2003/04	Unlimited	1,000,000	0	1,000,000
0401128-GL	10/01/04	2004/05	Unlimited	250,000	0	250,000
0400983-GL	11/05/04	2004/05	Unlimited	150,000	0	150,000
0500607-GL	02/07/05	2004/05	Unlimited	60,000	0	60,000
0500554-GL	03/12/05	2004/05	Unlimited	175,000	0	175,000
0500740-GL	07/07/05	2004/05	Unlimited	61,000	0	61,000
0501286-GL	08/25/05	2004/05	Unlimited	170,000	0	170,000
0501919-GL	12/15/05	2005/06	Unlimited	95,000	0	95,000
0601198-GL	11/17/06	2006/07	Unlimited	118,602	0	118,602
0700229-GL	03/17/07	2006/07	Unlimited	745,000	0	745,000
0700787-GL	04/21/07	2006/07	Unlimited	300,000	0	300,000
GL-20-001409	12/22/10	2010/11	Unlimited	137,500	0	137,500
1200774-GL	04/03/12	2011/12	Unlimited	52,000	0	52,000
1300358-GL	03/10/13	2012/13	Unlimited	150,000	0	150,000
1300783-GL	07/15/13	2012/13	Unlimited	87,500	0	87,500
1301017-GL	12/25/13	2013/14	Unlimited	74,500	0	74,500
1400900-GL	04/11/14	2013/14	Unlimited	59,500	0	59,500
1400749-GL	04/11/14	2013/14	Unlimited	65,000	0	65,000
GL-19-005837	09/03/14	2013/14	Unlimited	535,529	21,913	557,442
1800450-GL	01/10/18	2017/18	Unlimited	0	100,000	100,000
GL-18-00960	05/03/18	2017/18	Unlimited	0	100,000	100,000
GL-19-005835	07/17/19	2018/19	Unlimited	60,000	0	60,000
GL-20-001628	04/13/20	2019/20	Unlimited	0	100,000	100,000
GL-20-001627	04/13/20	2019/20	Unlimited	0	100,000	100,000

Amounts are unlimited.

Size of Loss Distribution

I. Reported Claim Count

Claim Size (1)	Prior (2)	2015/16 (3)	2016/17 (4)	2017/18 (5)	2018/19 (6)	2019/20 (7)	Total (2)...(7) (8)	Non-Zero Claim Cumulative Total (9)	Non-Zero Claim Cumulative % of Total (10)
0	0	0	0	0	0	0	0		
0.01 - 5,000	626	43	66	91	94	127	1,047	1,047	72.9%
5,000 - 10,000	146	5	6	9	16	37	219	1,266	88.2%
10,000 - 25,000	92	1	2	3	5	15	118	1,384	96.4%
25,000 - 50,000	17	0	1	0	6	0	24	1,408	98.1%
50,000 - 100,000	9	0	0	2	1	2	14	1,422	99.0%
100,000 - 250,000	9	0	0	0	0	0	9	1,431	99.7%
250,000 - 500,000	2	0	0	0	0	0	2	1,433	99.8%
500,000 - 750,000	2	0	0	0	0	0	2	1,435	99.9%
750,000 - 1,000,000	1	0	0	0	0	0	1	1,436	100.0%
Over 1,000,000	0	0	0	0	0	0	0	1,436	100.0%
Total	904	49	75	105	122	181	1,436	1,436	

II. Total Reported Incurred Losses

Claim Size (1)	Prior (2)	2015/16 (3)	2016/17 (4)	2017/18 (5)	2018/19 (6)	2019/20 (7)	Total (2)...(7) (8)	Non-Zero Claim Cumulative Total (9)	Non-Zero Claim Cumulative % of Total (10)
0	\$0	\$0	\$0	\$0	\$0	\$0	\$0		
0.01 - 5,000	971,700	44,253	70,029	122,785	221,673	196,798	1,627,237	1,627,237	13.8%
5,000 - 10,000	1,095,358	37,781	43,604	75,000	145,999	320,198	1,717,941	3,345,177	28.4%
10,000 - 25,000	1,401,982	17,000	39,500	46,834	118,500	318,724	1,942,541	5,287,718	44.9%
25,000 - 50,000	650,216	0	50,000	0	290,523	0	990,739	6,278,457	53.3%
50,000 - 100,000	609,500	0	0	200,000	60,000	200,000	1,069,500	7,347,957	62.3%
100,000 - 250,000	1,384,662	0	0	0	0	0	1,384,662	8,732,619	74.1%
250,000 - 500,000	750,000	0	0	0	0	0	750,000	9,482,619	80.5%
500,000 - 750,000	1,302,442	0	0	0	0	0	1,302,442	10,785,061	91.5%
750,000 - 1,000,000	1,000,000	0	0	0	0	0	1,000,000	11,785,061	100.0%
Over 1,000,000	0	0	0	0	0	0	0	11,785,061	100.0%
Total	\$9,165,860	\$99,034	\$203,133	\$444,619	\$836,695	\$1,035,720	\$11,785,061	\$11,785,061	

Amounts are unlimited

Data was summarized on an occurrence basis and excludes claims with \$0 incurred.

Data was provided by DC Office of Risk Management.

DCORM_02_GL_093020.xlsx

Data Summary as of September 30, 2020
Unlimited Losses

Claim Period (1)	Specific Self-Insured Retention (2)	Aggregate Retention (3)	Months of Development 9/30/20 (4)	Vehicles (5)	Reported Claims 9/30/20 (6)	Open Claims 9/30/20 (7)	Unlimited Paid Losses 9/30/20 (8)	Unlimited Case Reserves 9/30/20 (9)	Unlimited Reported Incurred Losses 9/30/20 (10)
to 2000/01	Unlimited	None	240.0	Not Provided	3	0	\$49,178	\$0	\$49,178
2001/02	Unlimited	None	228.0	Not Provided	11	0	76,129	0	76,129
2002/03	Unlimited	None	216.0	Not Provided	65	0	238,091	0	238,091
2003/04	Unlimited	None	204.0	Not Provided	160	0	496,524	0	496,524
2004/05	Unlimited	None	192.0	Not Provided	167	0	488,550	0	488,550
2005/06	Unlimited	None	180.0	Not Provided	268	0	739,164	0	739,164
2006/07	Unlimited	None	168.0	Not Provided	290	0	740,792	0	740,792
2007/08	Unlimited	None	156.0	Not Provided	315	0	893,108	0	893,108
2008/09	Unlimited	None	144.0	Not Provided	365	0	1,124,224	0	1,124,224
2009/10	Unlimited	None	132.0	6,302	501	0	1,572,750	0	1,572,750
2010/11	Unlimited	None	120.0	6,456	317	0	1,063,190	0	1,063,190
2011/12	Unlimited	None	108.0	6,398	340	0	1,802,986	0	1,802,986
2012/13	Unlimited	None	96.0	6,737	294	0	1,550,368	0	1,550,368
2013/14	Unlimited	None	84.0	6,500	421	0	1,936,363	0	1,936,363
2014/15	Unlimited	None	72.0	5,314	363	0	1,409,483	0	1,409,483
2015/16	Unlimited	None	60.0	5,287	355	0	991,026	0	991,026
2016/17	Unlimited	None	48.0	6,003	322	1	1,034,743	22,000	1,056,743
2017/18	Unlimited	None	36.0	5,044	412	7	1,092,347	37,827	1,130,174
2018/19	Unlimited	None	24.0	5,629	545	54	1,084,226	287,965	1,372,191
2019/20	Unlimited	None	12.0	4,267 +	265	133	288,631	404,207	692,839
Total					5,779	195	\$18,671,871	\$751,999	\$19,423,871

+ based on the 2019/20 vehicles and a risk adjustment factor of 0.8 to reflect the impact of reduced exposure due to COVID-19.

Data includes all tort claims with "Claim Type" field Auto Bodily Injury, Auto Physical Damage, or Auto Property Damage, as provided by DC Office of Risk Management.

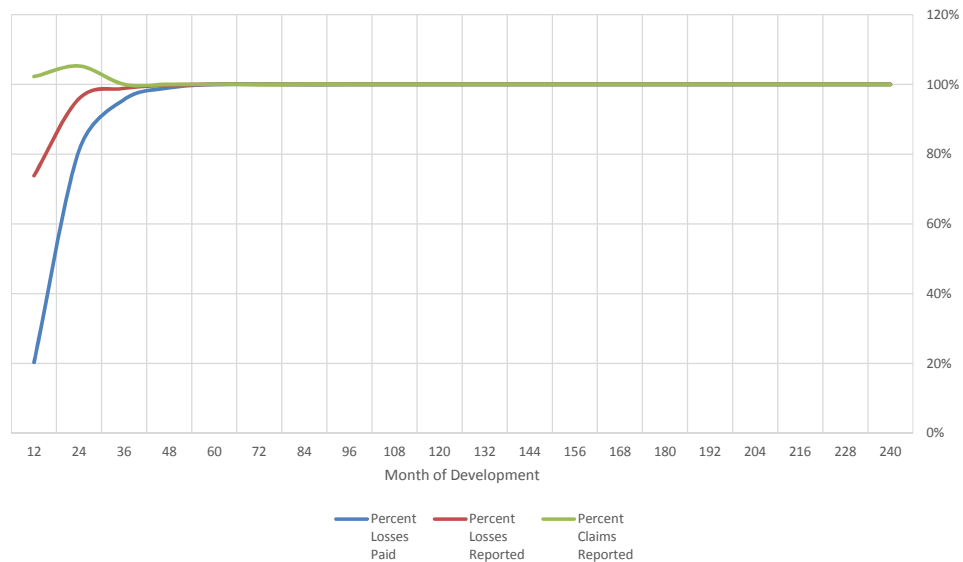
(6) and (7) are on an occurrence basis and exclude claims with \$0 incurred.

(8), (9) and (10) are unlimited.

Data was provided by DC Office of Risk Management.

Summary of Percent Losses Paid, Losses Reported and Claims Reported

Months of Development (1)	Percent Losses Paid (2)	Percent Losses Reported (3)	Percent Claims Reported (4)
360.0	100.0%	100.0%	100.0%
348.0	100.0%	100.0%	100.0%
336.0	100.0%	100.0%	100.0%
324.0	100.0%	100.0%	100.0%
312.0	100.0%	100.0%	100.0%
300.0	100.0%	100.0%	100.0%
288.0	100.0%	100.0%	100.0%
276.0	100.0%	100.0%	100.0%
264.0	100.0%	100.0%	100.0%
252.0	100.0%	100.0%	100.0%
240.0	100.0%	100.0%	100.0%
228.0	100.0%	100.0%	100.0%
216.0	100.0%	100.0%	100.0%
204.0	100.0%	100.0%	100.0%
192.0	100.0%	100.0%	100.0%
180.0	100.0%	100.0%	100.0%
168.0	100.0%	100.0%	100.0%
156.0	100.0%	100.0%	100.0%
144.0	100.0%	100.0%	100.0%
132.0	100.0%	100.0%	100.0%
120.0	100.0%	100.0%	100.0%
108.0	100.0%	100.0%	100.0%
96.0	100.0%	100.0%	100.0%
84.0	100.0%	100.0%	100.0%
72.0	100.0%	100.0%	100.0%
60.0	100.0%	100.0%	100.0%
48.0	99.0%	99.8%	100.0%
36.0	95.7%	98.8%	100.0%
24.0	81.1%	95.9%	105.3%
12.0	20.3%	73.8%	102.2%



(2) is from Exhibit AL-2 (page 2).

(3) is from Exhibit AL-2 (page 3).

(4) is from Exhibit AL-2 (page 4).

DISTRICT OF COLUMBIA
AUTOMOBILE LIABILITY

Exhibit AL-2 (page 2)

Historical Unlimited Paid Losses (\$000) and Unlimited Paid Loss Development

I. Historical Unlimited Paid Losses (\$000)

Claim Period	Months of Development:																			
	12	24	36	48	60	72	84	96	108	120	132	144	156	168	180	192	204	216	228	240
to 2000/01																				
2001/02										76	76	76	76	76	76	76	76	76	76	76
2002/03									213	213	213	213	213	238	238	238	238	238	238	238
2003/04								489	489	489	489	489	497	497	497	497	497	497	497	497
2004/05							489	489	489	489	489	489	489	489	489	489	489	489	489	489
2005/06						739	739	736	739	739	739	739	739	739	739	739	739	739	739	739
2006/07					727	730	730	741	741	741	741	741	741	741	741	741	741	741	741	741
2007/08				853	878	894	897	897	897	897	897	897	897	893	893	893	893	893	893	893
2008/09			997	1,080	1,119	1,125	1,125	1,125	1,125	1,125	1,125	1,124	1,124	1,124	1,124	1,124	1,124	1,124	1,124	1,124
2009/10		864	1,371	1,515	1,573	1,573	1,573	1,573	1,573	1,573	1,573	1,573	1,573	1,573	1,573	1,573	1,573	1,573	1,573	1,573
2010/11	144	709	990	1,058	1,063	1,063	1,063	1,063	1,063	1,063	1,063	1,063	1,063	1,063	1,063	1,063	1,063	1,063	1,063	1,063
2011/12	152	878	1,729	1,800	1,804	1,805	1,805	1,803	1,803	1,803	1,803	1,803	1,803	1,803	1,803	1,803	1,803	1,803	1,803	1,803
2012/13	154	1,267	1,495	1,550	1,550	1,550	1,550	1,550	1,550	1,550	1,550	1,550	1,550	1,550	1,550	1,550	1,550	1,550	1,550	1,550
2013/14	387	1,500	1,896	1,932	1,937	1,936	1,936	1,936	1,936	1,936	1,936	1,936	1,936	1,936	1,936	1,936	1,936	1,936	1,936	1,936
2014/15	220	1,124	1,401	1,409	1,409	1,409	1,409	1,409	1,409	1,409	1,409	1,409	1,409	1,409	1,409	1,409	1,409	1,409	1,409	1,409
2015/16	362	933	969	999	991	991	991	991	991	991	991	991	991	991	991	991	991	991	991	991
2016/17	315	884	995	1,035																
2017/18	285	998	1,092																	
2018/19	480	1,084																		
2019/20	289																			

II. Unlimited Paid Loss Development

Claim Period	Months of Development:																			
	12-24	24-36	36-48	48-60	60-72	72-84	84-96	96-108	108-120	120-132	132-144	144-156	156-168	168-180	180-192	192-204	204-216	216-228	228-240	240-Ult
to 2000/01																				
2001/02										1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000		
2002/03									1.000	1.000	1.000	1.000	1.117	1.000	1.000	1.000	1.000	1.000		
2003/04								1.000	1.000	1.000	1.000	1.016	1.000	1.000	1.000	1.000	1.000			
2004/05							1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000				
2005/06						1.000	0.996	1.004	1.000	1.000	1.000	1.000	1.000	1.000	1.000					
2006/07					1.005	1.000	1.014	1.000	1.000	1.000	1.000	1.000	1.000	1.000						
2007/08				1.029	1.019	1.003	1.000	1.000	1.000	1.000	1.000	0.996		1.000						
2008/09			1.083	1.037	1.006	1.000	0.999	1.001	1.000	1.000	0.999									
2009/10		1.586	1.105	1.038	1.000	1.000	1.000	1.000	1.000	1.000										
2010/11	4.922	1.396	1.069	1.005	1.000	1.000	1.000	1.000	1.000	1.000										
2011/12	5.787	1.970	1.041	1.003	1.000	1.000	0.999	1.000												
2012/13	8.232	1.180	1.037	1.000	1.000	1.001	1.000													
2013/14	3.876	1.264	1.019	1.003	1.000	1.000														
2014/15	5.100	1.247	1.006	1.000	1.000															
2015/16	2.577	1.039	1.031	0.992																
2016/17	2.807	1.125	1.040																	
2017/18	3.507	1.094																		
2018/19	2.261																			
2019/20																				
Average																				
All	4.341	1.322	1.048	1.012	1.003	1.000	1.001	1.000	1.000	1.000	1.000	1.002	1.020	1.000	1.000	1.000	1.000	1.000		
Wtd 3	2.749	1.085	1.023	0.999	1.000	1.000	1.000	1.000	1.000	1.000	1.000	0.999	1.000	1.000	1.000	1.000	1.000			
Last 3	2.858	1.086	1.026	0.998	1.000	1.000	1.000	1.000	1.000	1.000	1.000	0.999	1.000	1.000	1.000	1.000				
Last 5	2.964	1.155	1.029	1.001	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000						
x-hi,low																				
Similar	2.270	1.495	1.270	1.135	1.055	1.026	1.012	1.006	1.004	1.002	1.001	1.001	1.001	1.001	1.000	1.000	1.000	1.000	1.001	
Previous	4.250	1.200	1.035	1.015	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Selected	4.000	1.180	1.035	1.010	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Cumulative	4.934	1.234	1.045	1.010	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Percent	20.3%	81.1%	95.7%	99.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%

Amounts as of 9/30/11 through 9/30/13 include subrogation claims which were excluded from prior valuations.

Amounts are unlimited.

Data was provided by DC Office of Risk Management.

DISTRICT OF COLUMBIA
AUTOMOBILE LIABILITY

Exhibit AL-2 (page 3)

Historical Unlimited Reported Incurred Losses (\$000) and Unlimited Reported Incurred Loss Development

I. Historical Unlimited Reported Incurred Losses (\$000)

Claim Period	12	24	Months of Development:				60	72	84	96	108	120	132	144	156	168	180	192	204	216	228	240
			36	48																		
to 2000/01																						
2001/02											76	76	76	76	76	76	76	76	76	76	76	76
2002/03											213	213	213	213	213	238	238	238	238	238	238	238
2003/04										489	489	489	489	489	497	497	497	497	497	497	497	497
2004/05									489	489	489	489	489	489	489	489	489	489	489	489	489	489
2005/06								745	742	739	742	742	742	742	742	739	739	739	739	739	739	739
2006/07						760	754	734	745	745	745	745	745	745	741	741	741	741	741	741	741	741
2007/08				1,062		1,042	894	897	897	897	897	897	897	897	893	893	893	893	893	893	893	893
2008/09			1,272			1,259	1,125	1,131	1,131	1,130	1,131	1,131	1,125	1,124								
2009/10		1,710	1,708	1,554		1,575	1,575	1,575	1,575	1,575	1,575	1,575	1,573	1,573								
2010/11	842	1,155	1,134	1,083		1,083	1,083	1,083	1,083	1,083	1,063	1,063										
2011/12	1,193	1,492	1,818	1,831		1,833	1,834	1,834	1,834	1,803	1,803											
2012/13	593	1,476	1,522	1,573		1,573	1,560	1,550	1,550													
2013/14	1,131	2,024	1,946	1,958		1,960	1,936															
2014/15	1,135	1,427	1,438	1,413		1,409	1,409															
2015/16	1,366	1,149	1,006		991																	
2016/17	1,238	1,179	1,045	1,057																		
2017/18	1,123	1,119	1,130																			
2018/19	1,226	1,372																				
2019/20	693																					

II. Unlimited Reported Incurred Loss Development

Claim Period	12-24	24-36	Months of Development:		60-72	72-84	84-96	96-108	108-120	120-132	132-144	144-156	156-168	168-180	180-192	192-204	204-216	216-228	228-240	240-Ult
			36-48	48-60																
to 2000/01																				
2001/02										1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2002/03									1.000	1.000	1.000	1.000	1.117	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2003/04								1.000	1.000	1.000	1.000	1.016	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2004/05							1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2005/06							0.996	0.996	1.004	1.000	1.000	1.000	0.997	1.000						
2006/07					0.992	0.973	1.014	1.000	1.000	1.000	1.000	1.000	0.995	1.000						
2007/08				0.982	0.858	1.003	1.000	1.000	1.000	1.000	1.000	0.996								
2008/09			0.990	0.894	1.006	1.000	0.999	1.001	1.000	0.995	0.999									
2009/10		0.999	0.910	1.013	1.000	1.000	1.000	1.000	0.999	1.000										
2010/11	1.372	0.981	0.955	1.000	1.000	1.000	1.000	0.981	1.000											
2011/12	1.251	1.218	1.007	1.001	1.000	1.000	0.983	1.000												
2012/13	2.488	1.031	1.033	1.000	0.992	0.994	1.000													
2013/14	1.789	0.962	1.006	1.001	0.988	1.000														
2014/15	1.257	1.008	0.983	0.998	1.000															
2015/16	0.841	0.875	0.994	0.992																
2016/17	0.953	0.886	1.011																	
2017/18	0.997	1.010																		
2018/19	1.119																			
2019/20																				
Average																				
All	1.341	0.997	0.988	0.987	0.982	0.996	0.999	0.998	1.000	0.999	1.000	1.001	1.019	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Wtd 3	1.023	0.923	0.994	0.998	0.993	0.998	0.993	0.995	1.000	0.998	1.000	0.997	0.999	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Last 3	1.023	0.924	0.996	0.997	0.993	0.998	0.994	0.994	1.000	0.998	1.000	0.997	0.999	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Last 5	1.023	0.952	1.004	1.000	0.997	1.000	1.000	1.000	1.000	1.000	1.000	0.999	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
x-hi,low																				
Similar	1.435	1.185	1.095	1.045	1.016	1.007	1.004	1.002	1.001	1.001	1.001	1.001	1.001	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Previous	1.350	1.040	1.010	1.005	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Selected	1.300	1.030	1.010	1.002	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Cumulative	1.355	1.042	1.012	1.002	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Percent	73.8%	95.9%	98.8%	99.8%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%

Amounts as of 9/30/11 through 9/30/13 include subrogation claims which were excluded from prior valuations.

Amounts are unlimited.

Data was provided by DC Office of Risk Management.

DISTRICT OF COLUMBIA
AUTOMOBILE LIABILITY

Exhibit AL-2 (page 4)

Historical Reported Claims and Reported Claim Development

I. Historical Reported Claims

Claim Period	12	24	Months of Development:		36	48	60	72	84	96	108	120	132	144	156	168	180	192	204	216	228	240
to 2000/01																						
2001/02												11	11	11	11	11	11	11	11	11	11	11
2002/03											64	64	64	64	64	65	65	65	65	65	65	65
2003/04										159	159	159	159	159	160	160	160	160	160	160	160	160
2004/05									167	167	167	167	167	167	167	167	167	167	167	167	167	167
2005/06								270	269	268	269	269	269	269	269	268	268	268	268	268	268	268
2006/07					293	295	290	291	291	291	291	291	291	291	290	290	290	290	290	290	290	290
2007/08				337	329	314	315	315	315	315	315	315	322	315	315	315	315	315	315	315	315	315
2008/09			451	410	369	369	369	368	369	368	369	378	365	365								
2009/10		658	578	511	506	506	507	506	509	501	501											
2010/11	373	426	378	330	330	330	330	333	317	317												
2011/12	348	347	361	347	345	347	347	347	340	340												
2012/13	189	298	295	297	297	296	294	294														
2013/14	358	433	425	423	426	421																
2014/15	361	399	370	365	363																	
2015/16	535	388	358	360	355																	
2016/17	423	377	356	322																		
2017/18	478	433	412																			
2018/19	544	545																				
2019/20	265																					

II. Reported Claim Development

Claim Period	12-24	24-36	Months of Development:		36-48	48-60	60-72	72-84	84-96	96-108	108-120	120-132	132-144	144-156	156-168	168-180	180-192	192-204	204-216	216-228	228-240	240-Ult
to 2000/01																						
2001/02												1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2002/03											1.000	1.000	1.000	1.000	1.016	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2003/04										1.000	1.000	1.000	1.000	1.006	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2004/05									1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2005/06								0.996	0.996	1.004	1.000	1.000	1.000	1.000	0.996	1.000						
2006/07						1.007	0.983	1.003	1.000	1.000	1.000	1.000	1.000	1.000	0.997	1.000						
2007/08				0.976	0.954	1.003	1.000	1.000	1.000	1.000	1.022	0.978	1.000									
2008/09			0.909	0.900	1.000	1.000	0.997	1.003	1.024	0.966	1.000											
2009/10		0.878	0.884	0.990	1.000	1.002	0.998	1.006	0.984	1.000												
2010/11	1.142	0.887	0.873	1.000	1.000	1.000	1.009	0.952	1.000													
2011/12	0.997	1.040	0.961	0.994	1.006	1.000	0.980	1.000														
2012/13	1.577	0.990	1.007	1.000	0.997	0.993	1.000															
2013/14	1.209	0.982	0.995	1.007	0.988	1.000																
2014/15	1.105	0.927	0.986	0.995	1.000																	
2015/16	0.725	0.923	1.006	0.986																		
2016/17	0.891	0.944	0.904																			
2017/18	0.906	0.952																				
2018/19	1.002																					
2019/20																						
Average																						
All	1.062	0.947	0.947	0.983	0.995	0.998	0.998	0.996	1.001	0.999	0.997	1.000	1.002	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Wtd 3	0.938	0.940	0.966	0.997	0.994	0.998	0.996	0.989	1.001	0.995	0.993	0.999	0.999	0.999	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Last 3	0.933	0.939	0.966	0.996	0.995	0.998	0.996	0.986	1.003	0.996	0.993	0.999	0.999	0.999	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Last 5	0.933	0.941	0.996	0.996	0.999	1.000	0.998	1.001	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
x-hi,low																						
Similar	1.077	1.009	1.004	1.002	1.002	1.002	1.002	1.001	1.001	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Previous	1.050	0.950	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Selected	1.030	0.950	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Cumulative	0.979	0.950	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Percent	102.2%	105.3%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%

Data excludes claims with \$0 incurred.

Data was provided by DC Office of Risk Management.

DISTRICT OF COLUMBIA
AUTOMOBILE LIABILITY

Exhibit AL-2 (page 5)

Historical Ratio of Unlimited Paid Losses and Unlimited Reported Incurred Losses

Claim Period	12	24	Months of Development:		60	72	84	96	108	120	132	144	156	168	180	192	204	216	228	240
			36	48																
to 2000/01																				
2001/02										100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
2002/03										100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
2003/04								100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
2004/05								100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
2005/06						99.3%		99.7%	99.7%	99.7%	99.7%	99.7%	99.7%	100.0%	100.0%					
2006/07					95.6%	96.8%		99.5%	99.5%	99.5%	99.5%	99.5%	99.5%	100.0%	100.0%					
2007/08				80.3%	84.2%	100.0%		100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%					
2008/09			78.3%	85.8%	99.5%	99.5%		99.5%	99.5%	99.5%	99.5%	100.0%								
2009/10		50.6%	80.2%	97.5%	99.9%	99.9%		99.9%	99.9%	99.9%	100.0%									
2010/11	17.1%	61.4%	87.3%	97.7%	98.1%	98.1%		98.1%	100.0%	100.0%										
2011/12	12.7%	58.8%	95.1%	98.3%	98.4%	98.4%		98.4%	100.0%											
2012/13	25.9%	85.8%	98.2%	98.5%	98.5%	99.3%		100.0%	100.0%											
2013/14	34.2%	74.1%	97.4%	98.6%	98.8%	100.0%		100.0%												
2014/15	19.4%	78.7%	97.5%	99.8%	100.0%	100.0%														
2015/16	26.5%	81.2%	96.3%	100.0%	100.0%															
2016/17	25.4%	75.0%	95.2%	97.9%																
2017/18	25.4%	89.2%	96.7%																	
2018/19	39.1%	79.0%																		
2019/20	41.7%																			
Average																				
All	26.7%	73.4%	92.2%	95.4%	97.3%	99.1%	99.5%	99.7%	99.9%	99.9%	99.9%	99.9%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Last 3	35.4%	81.1%	96.0%	99.2%	99.6%	99.8%	99.5%	99.4%	100.0%	99.8%	100.0%	99.8%	99.9%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Last 5	30.4%	79.6%	96.8%	99.0%	99.1%	99.2%	99.4%	99.8%	100.0%	99.8%	99.9%	99.9%	100.0%	100.0%	100.0%					
x-hi,low																				
Implicit	27.5%	84.5%	96.8%	99.2%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Prior	26.6%	83.7%	96.6%	99.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%

DISTRICT OF COLUMBIA
AUTOMOBILE LIABILITY

Exhibit AL-3

Developed Unlimited Paid Losses

Claim Period (1)	Months of Development 9/30/20 (2)	Unlimited Paid Losses 9/30/20 (3)	Percent Losses Paid (4)	Developed Unlimited Paid Losses (3)/(4) (5)
to 2000/01	240.0	\$49,178	100.0%	\$49,178
2001/02	228.0	76,129	100.0%	76,129
2002/03	216.0	238,091	100.0%	238,091
2003/04	204.0	496,524	100.0%	496,524
2004/05	192.0	488,550	100.0%	488,550
2005/06	180.0	739,164	100.0%	739,164
2006/07	168.0	740,792	100.0%	740,792
2007/08	156.0	893,108	100.0%	893,108
2008/09	144.0	1,124,224	100.0%	1,124,224
2009/10	132.0	1,572,750	100.0%	1,572,750
2010/11	120.0	1,063,190	100.0%	1,063,190
2011/12	108.0	1,802,986	100.0%	1,802,986
2012/13	96.0	1,550,368	100.0%	1,550,368
2013/14	84.0	1,936,363	100.0%	1,936,363
2014/15	72.0	1,409,483	100.0%	1,409,483
2015/16	60.0	991,026	100.0%	991,026
2016/17	48.0	1,034,743	99.0%	1,045,090
2017/18	36.0	1,092,347	95.7%	1,141,885
2018/19	24.0	1,084,226	81.1%	1,337,407
2019/20	12.0	288,631	20.3%	1,424,122
Total		\$18,671,871		\$20,120,428

(3) is from Exhibit AL-1.

(4) is from Exhibit AL-2.

Developed Unlimited Reported Incurred Losses

Claim Period (1)	Months of Development 9/30/20 (2)	Unlimited Reported Incurred Losses 9/30/20 (3)	Percent Losses Reported (4)	Developed Unlimited Reported Incurred Losses (3)/(4) (5)
to 2000/01	240.0	\$49,178	100.0%	\$49,178
2001/02	228.0	76,129	100.0%	76,129
2002/03	216.0	238,091	100.0%	238,091
2003/04	204.0	496,524	100.0%	496,524
2004/05	192.0	488,550	100.0%	488,550
2005/06	180.0	739,164	100.0%	739,164
2006/07	168.0	740,792	100.0%	740,792
2007/08	156.0	893,108	100.0%	893,108
2008/09	144.0	1,124,224	100.0%	1,124,224
2009/10	132.0	1,572,750	100.0%	1,572,750
2010/11	120.0	1,063,190	100.0%	1,063,190
2011/12	108.0	1,802,986	100.0%	1,802,986
2012/13	96.0	1,550,368	100.0%	1,550,368
2013/14	84.0	1,936,363	100.0%	1,936,363
2014/15	72.0	1,409,483	100.0%	1,409,483
2015/16	60.0	991,026	100.0%	991,026
2016/17	48.0	1,056,743	99.8%	1,058,856
2017/18	36.0	1,130,174	98.8%	1,143,759
2018/19	24.0	1,372,191	95.9%	1,430,345
2019/20	12.0	692,839	73.8%	938,862
Total		\$19,423,871		\$19,743,746

(3) is from Exhibit AL-1.

(4) is from Exhibit AL-2.

Developed Unlimited Case Reserves

Claim Period (1)	Months of Development 9/30/20 (2)	Percent Losses Paid (3)	Percent Losses Reported (4)	Percent Losses Reserved 9/30/20 [(4)-(3)]/ [100.0%-(3)] (5)	Unlimited Paid Losses 9/30/20 (6)	Unlimited Case Reserves 9/30/20 (7)	Developed Unlimited Case Reserves (6)+(7)/(5) (8)
to 2000/01	240.0	100.0%	100.0%	100.0%	\$49,178	\$0	\$49,178
2001/02	228.0	100.0%	100.0%	100.0%	76,129	0	76,129
2002/03	216.0	100.0%	100.0%	100.0%	238,091	0	238,091
2003/04	204.0	100.0%	100.0%	100.0%	496,524	0	496,524
2004/05	192.0	100.0%	100.0%	100.0%	488,550	0	488,550
2005/06	180.0	100.0%	100.0%	100.0%	739,164	0	739,164
2006/07	168.0	100.0%	100.0%	100.0%	740,792	0	740,792
2007/08	156.0	100.0%	100.0%	100.0%	893,108	0	893,108
2008/09	144.0	100.0%	100.0%	100.0%	1,124,224	0	1,124,224
2009/10	132.0	100.0%	100.0%	100.0%	1,572,750	0	1,572,750
2010/11	120.0	100.0%	100.0%	100.0%	1,063,190	0	1,063,190
2011/12	108.0	100.0%	100.0%	100.0%	1,802,986	0	1,802,986
2012/13	96.0	100.0%	100.0%	100.0%	1,550,368	0	1,550,368
2013/14	84.0	100.0%	100.0%	100.0%	1,936,363	0	1,936,363
2014/15	72.0	100.0%	100.0%	100.0%	1,409,483	0	1,409,483
2015/16	60.0	100.0%	100.0%	100.0%	991,026	0	991,026
2016/17	48.0	99.0%	99.8%	79.8%	1,034,743	22,000	1,062,298
2017/18	36.0	95.7%	98.8%	72.6%	1,092,347	37,827	1,144,435
2018/19	24.0	81.1%	95.9%	78.5%	1,084,226	287,965	1,450,953
2019/20	12.0	20.3%	73.8%	67.1%	288,631	404,207	890,716
Total					\$18,671,871	\$751,999	\$19,720,325

(3) and (4) are from Exhibit AL-2.

(6) and (7) are from Exhibit AL-1.

Preliminary Projected Ultimate Unlimited Losses to 2019/20

Claim Period (1)	Developed Unlimited Paid Losses (2)	Developed Unlimited Reported Incurred Losses (3)	Developed Unlimited Case Reserves (4)	Preliminary Projected Ultimate Unlimited Losses (5)
to 2000/01	\$49,178	\$49,178	\$49,178	\$49,178
2001/02	76,129	76,129	76,129	76,129
2002/03	238,091	238,091	238,091	238,091
2003/04	496,524	496,524	496,524	496,524
2004/05	488,550	488,550	488,550	488,550
2005/06	739,164	739,164	739,164	739,164
2006/07	740,792	740,792	740,792	740,792
2007/08	893,108	893,108	893,108	893,108
2008/09	1,124,224	1,124,224	1,124,224	1,124,224
2009/10	1,572,750	1,572,750	1,572,750	1,572,750
2010/11	1,063,190	1,063,190	1,063,190	1,063,190
2011/12	1,802,986	1,802,986	1,802,986	1,802,986
2012/13	1,550,368	1,550,368	1,550,368	1,550,368
2013/14	1,936,363	1,936,363	1,936,363	1,936,363
2014/15	1,409,483	1,409,483	1,409,483	1,409,483
2015/16	991,026	991,026	991,026	991,026
2016/17	1,045,090	1,058,856	1,062,298	1,059,201
2017/18	1,141,885	1,143,759	1,144,435	1,143,655
2018/19	1,337,407	1,430,345	1,450,953	1,420,001
2019/20	1,424,122	938,862	890,716	968,129
Total	\$20,120,428	\$19,743,746	\$19,720,325	\$19,762,909

(2) is from Exhibit AL-3.

(3) is from Exhibit AL-4.

(4) is from Exhibit AL-5.

(5) is based on (2) to (4) and actuarial judgment.

Bornhuetter - Ferguson Analysis

I. A-priori Loss Rate

Claim Period (1)	Preliminary Projected Ultimate Unlimited Losses (2)	Vehicles (3)	Unlimited Loss Rate per Vehicle (2)/(3) (4)	Loss Rate Trend (2020/21 = 1.000) (5)	Trended Unlimited Loss Rate per Vehicle (4)X(5) (6)	Projected A-priori Loss Rate per Vehicle (7)/(5) (8)
2010/11	\$1,063,190	6,456	\$164.68	1.480	\$243.77	\$198.32
2011/12	1,802,986	6,398	281.80	1.423	401.10	206.25
2012/13	1,550,368	6,737	230.13	1.369	314.95	214.50
2013/14	1,936,363	6,500	297.90	1.316	392.02	223.08
2014/15	1,409,483	5,314	265.24	1.265	335.61	232.00
2015/16	991,026	5,287	187.45	1.217	228.06	241.28
2016/17	1,059,201	6,003	176.45	1.170	206.42	250.93
2017/18	1,143,655	5,044	226.74	1.125	255.05	260.97
2018/19	1,420,001	5,629	252.27	1.082	272.85	271.41
2019/20	968,129	4,267	226.88	1.040	235.95	282.26

(7) Projected 2020/21 a-priori loss rate per Vehicle

\$293.55

II. Bornhuetter - Ferguson Analysis Based on Unlimited Paid Losses

Claim Period (1)	Unlimited Paid Losses 9/30/20 (2)	Percent Losses Paid (3)	Projected A-priori Loss Rate per Vehicle (4)	Vehicles (5)	B-F Unpaid Losses [100.0%-(3)] X(4)X(5) (6)	B-F Ultimate Unlimited Paid Losses (2)+(6) (7)
2015/16	\$991,026	100.0%	\$241.28	5,287	\$0	\$991,026
2016/17	1,034,743	99.0%	250.93	6,003	14,914	1,049,657
2017/18	1,092,347	95.7%	260.97	5,044	57,106	1,149,453
2018/19	1,084,226	81.1%	271.41	5,629	289,215	1,373,441
2019/20	288,631	20.3%	282.26	4,267	960,363	1,248,994

III. Bornhuetter - Ferguson Analysis Based on Unlimited Reported Incurred Losses

Claim Period (1)	Unlimited Reported Incurred Losses 9/30/20 (2)	Percent Losses Reported (3)	Projected A-priori Loss Rate per Vehicle (4)	Vehicles (5)	B-F Unreported Losses [100.0%-(3)] X(4)X(5) (6)	B-F Ultimate Unlimited Reported Losses (2)+(6) (7)
2015/16	\$991,026	100.0%	\$241.28	5,287	\$0	\$991,026
2016/17	1,056,743	99.8%	250.93	6,003	3,007	1,059,750
2017/18	1,130,174	98.8%	260.97	5,044	15,634	1,145,809
2018/19	1,372,191	95.9%	271.41	5,629	62,115	1,434,306
2019/20	692,839	73.8%	282.26	4,267	315,627	1,008,465

Section I, (2) is from Exhibit AL-6.

Section I, (3), Section II, (5) and Section III, (5) are from Exhibit AL-10.

Section I, (5) is based on a 4% trend.

Section I, (7) is based on Section I, (6) and actuarial judgment.

Sections II and III, (2) are from Exhibit AL-1.

Sections II and III, (3) are from Exhibit AL-2.

Sections II and III, (4) are from Section I, (8).

Frequency Times Severity Analysis

I. Projected Ultimate Claims

Claim Period (1)	Months of Development 9/30/20 (2)	Reported Claims 9/30/20 (3)	Percent Claims Reported (4)	Projected Ultimate Claims (3)/(4) (5)	Vehicles (6)	Frequency (per Vehicle) (5)/(6) (7)
2010/11	120.0	317	100.0%	317	6,456	0.05
2011/12	108.0	340	100.0%	340	6,398	0.05
2012/13	96.0	294	100.0%	294	6,737	0.04
2013/14	84.0	421	100.0%	421	6,500	0.06
2014/15	72.0	363	100.0%	363	5,314	0.07
2015/16	60.0	355	100.0%	355	5,287	0.07
2016/17	48.0	322	100.0%	322	6,003	0.05
2017/18	36.0	412	100.0%	412	5,044	0.08
2018/19	24.0	545	105.3%	518	5,629	0.09
2019/20	12.0	265	102.2%	259	4,267	0.06

II. Frequency Times Severity

Claim Period (1)	Preliminary Projected Ultimate Unlimited Losses (2)	Projected Ultimate Claims (3)	Average Severity (2)/(3) (4)	Severity Trend (2020/21 = 1.000) (5)	Trended Average Claim Severity (4)X(5) (6)	De-Trended Projected 2020/21 Average Claim Severity (7)/(5) (8)	Frequency Times Severity (3)X(8) (9)
2010/11	\$1,063,190	317	\$3,354	1.480	\$4,965	\$3,224	\$1,021,985
2011/12	1,802,986	340	5,303	1.423	7,548	3,353	1,139,981
2012/13	1,550,368	294	5,273	1.369	7,217	3,487	1,025,178
2013/14	1,936,363	421	4,599	1.316	6,053	3,626	1,526,749
2014/15	1,409,483	363	3,883	1.265	4,913	3,772	1,369,069
2015/16	991,026	355	2,792	1.217	3,396	3,922	1,392,453
2016/17	1,059,201	322	3,289	1.170	3,848	4,079	1,313,534
2017/18	1,143,655	412	2,776	1.125	3,122	4,242	1,747,898
2018/19	1,420,001	518	2,741	1.082	2,965	4,412	2,285,503
2019/20	968,129	259	3,738	1.040	3,887	4,589	1,188,462

(7) Projected 2020/21 average claim severity

\$4,772

Section I, (3) is from Exhibit AL-1.

Section I, (4) is from Exhibit AL-2.

Section I, (6) is from Exhibit AL-10.

Section II, (2) is from Exhibit AL-6.

Section II, (3) is from Section I, (5).

Section II, (5) is based on a 4% trend.

Section II, (7) is based on (6) and actuarial judgment.

Projected Ultimate Unlimited Losses to 2019/20

Claim Period (1)	Developed Unlimited Paid Losses (2)	Developed Unlimited Reported Incurred Losses (3)	Developed Unlimited Case Reserves (4)	B-F Ultimate Unlimited Paid Losses (5)	B-F Ultimate Unlimited Reported Losses (6)	Frequency Times Severity (7)	Projected Ultimate Unlimited Losses (8)
to 2000/01	\$49,178	\$49,178	\$49,178				\$49,178
2001/02	76,129	76,129	76,129				76,129
2002/03	238,091	238,091	238,091				238,091
2003/04	496,524	496,524	496,524				496,524
2004/05	488,550	488,550	488,550				488,550
2005/06	739,164	739,164	739,164				739,164
2006/07	740,792	740,792	740,792				740,792
2007/08	893,108	893,108	893,108				893,108
2008/09	1,124,224	1,124,224	1,124,224				1,124,224
2009/10	1,572,750	1,572,750	1,572,750				1,572,750
2010/11	1,063,190	1,063,190	1,063,190				1,063,190
2011/12	1,802,986	1,802,986	1,802,986				1,802,986
2012/13	1,550,368	1,550,368	1,550,368				1,550,368
2013/14	1,936,363	1,936,363	1,936,363				1,936,363
2014/15	1,409,483	1,409,483	1,409,483				1,409,483
2015/16	991,026	991,026	991,026	991,026	991,026	1,392,453	991,026
2016/17	1,045,090	1,058,856	1,062,298	1,049,657	1,059,750	1,313,534	1,059,000
2017/18	1,141,885	1,143,759	1,144,435	1,149,453	1,145,809	1,747,898	1,144,000
2018/19	1,337,407	1,430,345	1,450,953	1,373,441	1,434,306	2,285,503	1,419,000
2019/20	1,424,122	938,862	890,716	1,248,994	1,008,465	1,188,462	1,076,000

(2) is from Exhibit AL-3.

(3) is from Exhibit AL-4.

(4) is from Exhibit AL-5.

(5) and (6) are from Exhibit AL-7.

(7) is from Exhibit AL-8.

(8) is based on (2) to (7) and actuarial judgment.

Projected Ultimate Unlimited Losses for 2020/21 and Subsequent

Claim Period (1)	Projected Ultimate Unlimited Losses (2)	Vehicles (3)	Unlimited Loss Rate per Vehicle (2)/(3) (4)	Loss Rate Trend (2020/21 = 1.000) (5)	Trended Unlimited Loss Rate per Vehicle (4)X(5) (6)
2010/11	\$1,063,190	6,456	\$164.68	1.480	\$243.77
2011/12	1,802,986	6,398	281.80	1.423	401.10
2012/13	1,550,368	6,737	230.13	1.369	314.95
2013/14	1,936,363	6,500	297.90	1.316	392.02
2014/15	1,409,483	5,314	265.24	1.265	335.61
2015/16	991,026	5,287	187.45	1.217	228.06
2016/17	1,059,000	6,003	176.41	1.170	206.38
2017/18	1,144,000	5,044	226.80	1.125	255.12
2018/19	1,419,000	5,629	252.09	1.082	272.66
2019/20	1,076,000	4,267	252.16	1.040	262.24
Total	\$13,451,416	57,635	\$233.39		\$291.19

Claim Period (1)	Projected Unlimited Loss Rate per Vehicle (7)	Projected Vehicles (8)	Projected Ultimate Unlimited Losses (7)X(8) (9)	Present Value Factor (10)	Present Value of Projected Unlimited Loss Rate per Vehicle (7)X(10) (11)	Present Value of Projected Ultimate Unlimited Losses (8)X(11) (12)
2020/21	\$296.46	5,268	\$1,562,000	0.97	\$288.67	\$1,521,000
2021/22	308.32	5,268	1,624,000	0.97	300.22	1,582,000

(2) is from Exhibit AL-9.

(3) was provided by DC Office of Risk Management.

(5) is based on a 4% trend.

(7) 2020/21 is based on (6) and actuarial judgment.
Other period(s) based on 2020/21 plus a 4% trend.

(8) to 2020/21 was provided by DC Office of Risk Management. Other claim periods are based on a 0% trend.

(10) is based on a 1.75% interest rate and the payout pattern in Exhibit AL-2.

Estimated Outstanding Losses as of September 30, 2020

Claim Period (1)	Unlimited Paid Losses 9/30/20 (2)	Unlimited Case Reserves 9/30/20 (3)	Unlimited Reported Incurred Losses 9/30/20 (4)	Projected Ultimate Unlimited Losses (5)	Estimated IBNR 9/30/20 (5)-(4) (6)	Estimated Outstanding Losses 9/30/20 (3)+(6) (7)	Present Value Factor (8)	Present Value of Estimated Outstanding Losses 9/30/20 (7)X(8) (9)
to 2000/01	\$49,178	\$0	\$49,178	\$49,178	\$0	\$0	1.00	\$0
2001/02	76,129	0	76,129	76,129	0	0	1.00	0
2002/03	238,091	0	238,091	238,091	0	0	1.00	0
2003/04	496,524	0	496,524	496,524	0	0	1.00	0
2004/05	488,550	0	488,550	488,550	0	0	1.00	0
2005/06	739,164	0	739,164	739,164	0	0	1.00	0
2006/07	740,792	0	740,792	740,792	0	0	1.00	0
2007/08	893,108	0	893,108	893,108	0	0	1.00	0
2008/09	1,124,224	0	1,124,224	1,124,224	0	0	1.00	0
2009/10	1,572,750	0	1,572,750	1,572,750	0	0	1.00	0
2010/11	1,063,190	0	1,063,190	1,063,190	0	0	1.00	0
2011/12	1,802,986	0	1,802,986	1,802,986	0	0	1.00	0
2012/13	1,550,368	0	1,550,368	1,550,368	0	0	1.00	0
2013/14	1,936,363	0	1,936,363	1,936,363	0	0	1.00	0
2014/15	1,409,483	0	1,409,483	1,409,483	0	0	1.00	0
2015/16	991,026	0	991,026	991,026	0	0	1.00	0
2016/17	1,034,743	22,000	1,056,743	1,059,000	2,257	24,257	0.99	24,047
2017/18	1,092,347	37,827	1,130,174	1,144,000	13,826	51,653	0.99	51,006
2018/19	1,084,226	287,965	1,372,191	1,419,000	46,809	334,774	0.99	330,281
2019/20	288,631	404,207	692,839	1,076,000	383,161	787,368	0.99	776,501
Total	\$18,671,871	\$751,999	\$19,423,871	\$19,869,924	\$446,053	\$1,198,052		\$1,181,835

(2), (3) and (4) are net of specific self-insured retention and aggregate retention.

(5) is from Exhibit AL-9.

(8) is based on a 1.75% interest rate and the payout pattern in Exhibit AL-2.

Projected Losses Paid October 1, 2020 to September 30, 2021

Claim Period (1)	Months of Development 9/30/20 (2)	Percent Losses Paid (3)	Months of Development 9/30/21 (4)	Percent Losses Paid (5)	Percent Outstanding Losses Paid 10/1/20 to 9/30/21 [(5)-(3)]/ [100.0%-(3)] (6)	Estimated Outstanding Losses 9/30/20 (7)	Projected Losses Paid (6)X(7) (8)	Estimated Outstanding Losses 9/30/21 (7)-(8) (9)	Present Value Factor (10)	Present Value of Estimated Outstanding Losses 9/30/21 (9)X(10) (11)
to 2000/01	240.0	100.0%	252.0	100.0%	100.0%	\$0	\$0	\$0	1.00	\$0
2001/02	228.0	100.0%	240.0	100.0%	100.0%	0	0	0	1.00	0
2002/03	216.0	100.0%	228.0	100.0%	100.0%	0	0	0	1.00	0
2003/04	204.0	100.0%	216.0	100.0%	100.0%	0	0	0	1.00	0
2004/05	192.0	100.0%	204.0	100.0%	100.0%	0	0	0	1.00	0
2005/06	180.0	100.0%	192.0	100.0%	100.0%	0	0	0	1.00	0
2006/07	168.0	100.0%	180.0	100.0%	100.0%	0	0	0	1.00	0
2007/08	156.0	100.0%	168.0	100.0%	100.0%	0	0	0	1.00	0
2008/09	144.0	100.0%	156.0	100.0%	100.0%	0	0	0	1.00	0
2009/10	132.0	100.0%	144.0	100.0%	100.0%	0	0	0	1.00	0
2010/11	120.0	100.0%	132.0	100.0%	100.0%	0	0	0	1.00	0
2011/12	108.0	100.0%	120.0	100.0%	100.0%	0	0	0	1.00	0
2012/13	96.0	100.0%	108.0	100.0%	100.0%	0	0	0	1.00	0
2013/14	84.0	100.0%	96.0	100.0%	100.0%	0	0	0	1.00	0
2014/15	72.0	100.0%	84.0	100.0%	100.0%	0	0	0	1.00	0
2015/16	60.0	100.0%	72.0	100.0%	100.0%	0	0	0	1.00	0
2016/17	48.0	99.0%	60.0	100.0%	100.0%	24,257	24,257	0	1.00	0
2017/18	36.0	95.7%	48.0	99.0%	77.2%	51,653	39,864	11,789	0.99	11,687
2018/19	24.0	81.1%	36.0	95.7%	77.1%	334,774	258,056	76,718	0.99	75,757
2019/20	12.0	20.3%	24.0	81.1%	76.3%	787,368	600,425	186,943	0.99	184,434
2020/21	0.0	0.0%	12.0	20.3%	20.3%	1,562,000	316,576	1,245,424	0.99	1,228,235
Total						\$2,760,052	\$1,239,178	\$1,520,874		\$1,500,113

(3) and (5) are from Exhibit AL-2.

(7) to 2019/20 is from Exhibit AL-11. The amount for 2020/21 is from Exhibit AL-10.

(10) is based on a 1.75% interest rate and the payout pattern in Exhibit AL-2.

Projected Losses Paid October 1, 2021 to September 30, 2022

Claim Period (1)	Months of Development 9/30/21 (2)	Percent Losses Paid (3)	Months of Development 9/30/22 (4)	Percent Losses Paid (5)	Percent Outstanding Losses Paid 10/1/21 to 9/30/22 [(5)-(3)]/ [100.0%-(3)] (6)	Estimated Outstanding Losses 9/30/21 (7)	Projected Losses Paid (6)X(7) (8)	Estimated Outstanding Losses 9/30/22 (7)-(8) (9)	Present Value Factor (10)	Present Value of Estimated Outstanding Losses 9/30/22 (9)X(10) (11)
to 2000/01	252.0	100.0%	264.0	100.0%	100.0%	\$0	\$0	\$0	1.00	\$0
2001/02	240.0	100.0%	252.0	100.0%	100.0%	0	0	0	1.00	0
2002/03	228.0	100.0%	240.0	100.0%	100.0%	0	0	0	1.00	0
2003/04	216.0	100.0%	228.0	100.0%	100.0%	0	0	0	1.00	0
2004/05	204.0	100.0%	216.0	100.0%	100.0%	0	0	0	1.00	0
2005/06	192.0	100.0%	204.0	100.0%	100.0%	0	0	0	1.00	0
2006/07	180.0	100.0%	192.0	100.0%	100.0%	0	0	0	1.00	0
2007/08	168.0	100.0%	180.0	100.0%	100.0%	0	0	0	1.00	0
2008/09	156.0	100.0%	168.0	100.0%	100.0%	0	0	0	1.00	0
2009/10	144.0	100.0%	156.0	100.0%	100.0%	0	0	0	1.00	0
2010/11	132.0	100.0%	144.0	100.0%	100.0%	0	0	0	1.00	0
2011/12	120.0	100.0%	132.0	100.0%	100.0%	0	0	0	1.00	0
2012/13	108.0	100.0%	120.0	100.0%	100.0%	0	0	0	1.00	0
2013/14	96.0	100.0%	108.0	100.0%	100.0%	0	0	0	1.00	0
2014/15	84.0	100.0%	96.0	100.0%	100.0%	0	0	0	1.00	0
2015/16	72.0	100.0%	84.0	100.0%	100.0%	0	0	0	1.00	0
2016/17	60.0	100.0%	72.0	100.0%	100.0%	0	0	0	1.00	0
2017/18	48.0	99.0%	60.0	100.0%	100.0%	11,789	11,789	0	1.00	0
2018/19	36.0	95.7%	48.0	99.0%	77.2%	76,718	59,209	17,509	0.99	17,358
2019/20	24.0	81.1%	36.0	95.7%	77.1%	186,943	144,102	42,841	0.99	42,304
2020/21	12.0	20.3%	24.0	81.1%	76.3%	1,245,424	949,726	295,698	0.99	291,730
2021/22	0.0	0.0%	12.0	20.3%	20.3%	1,624,000	329,141	1,294,859	0.99	1,276,988
Total						\$3,144,874	\$1,493,967	\$1,650,907		\$1,628,380

(3) and (5) are from Exhibit AL-2.

(7) to 2020/21 is from Exhibit AL-12, (9). The amount for 2021/22 is from Exhibit AL-10.

(10) is based on a 1.75% interest rate and the payout pattern in Exhibit AL-2.

List of Large Claims
Reported Incurred Losses Greater Than \$50,000

Claim Number (1)	Date of Loss (2)	Claim Period (3)	Specific Self-Insured Retention (4)	Unlimited Paid Losses 9/30/20 (5)	Unlimited Case Reserves 9/30/20 (6)	Unlimited Reported Incurred Losses 9/30/20 (7)
0300140-AL	12/14/03	2003/04	Unlimited	\$55,000	\$0	\$55,000
1100350-AL	04/25/11	2010/11	Unlimited	55,000	0	55,000
1200029-AL	12/02/11	2011/12	Unlimited	147,500	0	147,500
1300211-AL	04/26/13	2012/13	Unlimited	65,984	0	65,984
1300837-AL	11/16/13	2013/14	Unlimited	55,000	0	55,000
1300939-AL	12/19/13	2013/14	Unlimited	145,000	0	145,000
1400249-AL	04/02/14	2013/14	Unlimited	95,000	0	95,000
1400516-AL	05/04/14	2013/14	Unlimited	65,000	0	65,000
1500521-AL	05/13/15	2014/15	Unlimited	169,953	0	169,953
AL-19-00514	01/10/19	2018/19	Unlimited	65,000	0	65,000

Amounts are unlimited.

Size of Loss Distribution

I. Reported Claim Count

Claim Size (1)	Prior (2)	2015/16 (3)	2016/17 (4)	2017/18 (5)	2018/19 (6)	2019/20 (7)	Total (2)...(7) (8)	Non-Zero Claim Cumulative Total (9)	Non-Zero Claim Cumulative % of Total (10)
0	0	0	0	0	0	0	0		
0.01 - 5,000	3,172	299	265	353	474	230	4,793	4,793	82.9%
5,000 - 10,000	379	36	29	38	46	26	554	5,347	92.5%
10,000 - 25,000	269	18	26	19	23	8	363	5,710	98.8%
25,000 - 50,000	51	2	2	2	1	1	59	5,769	99.8%
50,000 - 100,000	6	0	0	0	1	0	7	5,776	99.9%
100,000 - 250,000	3	0	0	0	0	0	3	5,779	100.0%
250,000 - 500,000	0	0	0	0	0	0	0	5,779	100.0%
500,000 - 750,000	0	0	0	0	0	0	0	5,779	100.0%
750,000 - 1,000,000	0	0	0	0	0	0	0	5,779	100.0%
Over 1,000,000	0	0	0	0	0	0	0	5,779	100.0%
Total	3,880	355	322	412	545	265	5,779	5,779	

II. Total Reported Incurred Losses

Claim Size (1)	Prior (2)	2015/16 (3)	2016/17 (4)	2017/18 (5)	2018/19 (6)	2019/20 (7)	Total (2)...(7) (8)	Non-Zero Claim Cumulative Total (9)	Non-Zero Claim Cumulative % of Total (10)
0	\$0	\$0	\$0	\$0	\$0	\$0	\$0		
0.01 - 5,000	5,053,312	453,419	419,783	517,699	634,126	355,488	7,433,826	7,433,826	38.3%
5,000 - 10,000	2,700,994	246,803	208,187	262,350	313,562	182,671	3,914,567	11,348,393	58.4%
10,000 - 25,000	3,848,207	237,684	359,274	279,382	333,976	128,019	5,186,541	16,534,934	85.1%
25,000 - 50,000	1,724,948	53,120	69,500	70,744	25,527	26,661	1,970,500	18,505,434	95.3%
50,000 - 100,000	390,984	0	0	0	65,000	0	455,984	18,961,418	97.6%
100,000 - 250,000	462,453	0	0	0	0	0	462,453	19,423,871	100.0%
250,000 - 500,000	0	0	0	0	0	0	0	19,423,871	100.0%
500,000 - 750,000	0	0	0	0	0	0	0	19,423,871	100.0%
750,000 - 1,000,000	0	0	0	0	0	0	0	19,423,871	100.0%
Over 1,000,000	0	0	0	0	0	0	0	19,423,871	100.0%
Total	\$14,180,898	\$991,026	\$1,056,743	\$1,130,174	\$1,372,191	\$692,839	\$19,423,871	\$19,423,871	

Amounts are unlimited

Data was summarized on an occurrence basis and excludes claims with \$0 incurred.

Data was provided by DC Office of Risk Management.

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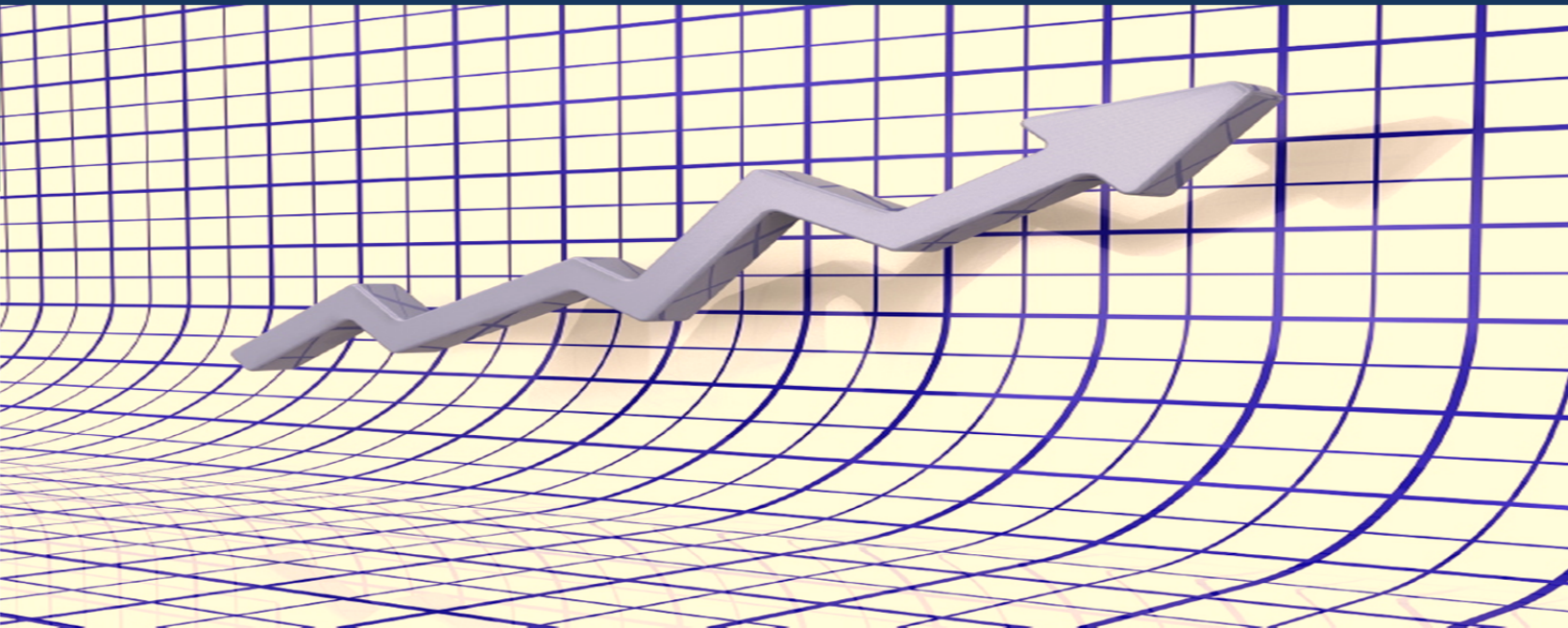
**Actuarial Valuation of the Self-Insured Workers Comp and Liability
Programs (as of September 30, 2019)**

ACTUARIAL VALUATION OF THE SELF-INSURED WORKERS COMPENSATION & LIABILITY PROGRAMS

as of September 30, 2019

District of Columbia
Office of Risk Management
Contract #: CW47450

January 2020



Transmittal Letter

January 8, 2020

District of Columbia Office of Risk Management
441 4th Street NW, Suite 800 South
Washington, D.C. 20001

Attn: Mr. Jed Ross, Esq.
Chief Risk Officer
DC Office of Risk Management
Executive Office of the Mayor

**Actuarial Study of the
Self-Insured Workers' Compensation,
General Liability and
Automobile Liability Programs
as of September 30, 2019**

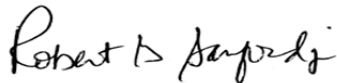
This study has been completed for the District of Columbia Office of Risk Management for the specific objectives listed in the study. It contains the background, summary, analysis, and conclusions of our work. Each section and appendix of the study is an integral part of the whole. We recommend a review of the entire study prior to reliance upon this study.

No key PRM or AON personnel have a relationship with the District of Columbia Office of Risk Management that may impair our objectivity.

Please call if you have any questions. Thank you for the opportunity to be of service.

Respectfully submitted,

Project Manager:



Robert G. Sanford, Jr., MAAA, FSA, EA
PRM Consulting, Inc.

Certification Actuary:



Mujtaba Datto, ACAS, MAAA, FCA
Aon Risk Solutions

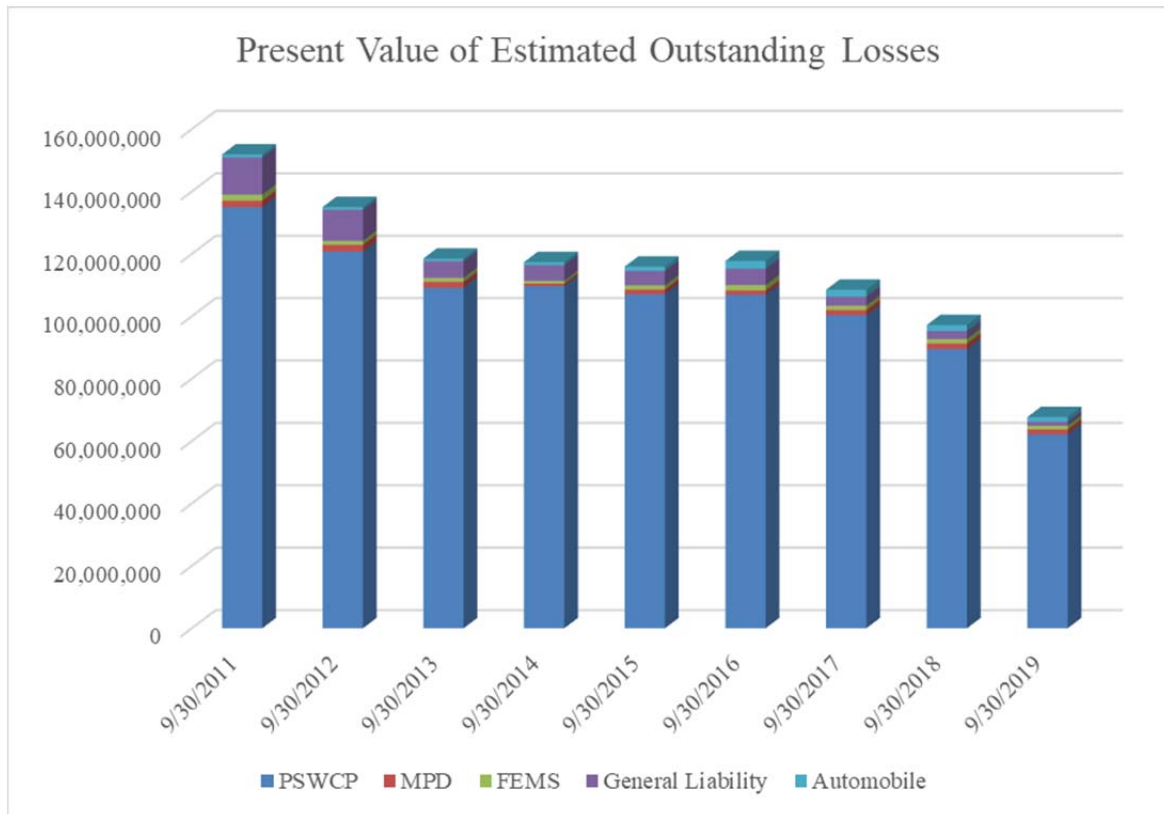


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I. Introduction/Highlights

PRM Consulting Group and Aon Consulting have prepared annual Actuarial Valuations of the Self-Insured Workers' Compensation & Liability Programs (the "Programs") for nine fiscal years, since the valuation that was performed as of September 30, 2011. Over this period, the Programs have, in the aggregate, shown a steady decline in the Present Value of Outstanding Losses, as illustrated in the following graph:



Similar graphs are included in this report, which provide a greater level of detail for the individual programs, i.e. PSWCP, MPD, FEMS, General Liability and Automobile Liability. The summary graph above, however, is included in this Introduction to graphically highlight the progress that has been made with the Programs over the past nine years.

The decrease in the amount of Outstanding Losses can occur due to a number of factors, including a reduction in numbers of claims, amount of claims, and shortened periods over which claims are paid/settled. Obviously, the efforts of the District Office of Risk Management staff to increase the efficiency of claims management have resulted in improvements in some or all these areas and has thus resulted in reduced outstanding liabilities for the Programs. The fiscal year ending September 30, 2019 represents the first full fiscal year since the inception of in-house claims administration rather than reliance on a third-party administrator (TPA). As can be seen below, the value of outstanding losses has decreased significantly during this most recent fiscal year. The Case Reserves alone have decreased by over 30%.

I. Introduction/Highlights

A Summary of Study Results is provided in the following table:

	Case Reserves 9/30/2019	Case Reserves 9/30/2018	Estimated IBNR 9/30/2019	Estimated IBNR 9/30/2018	Estimated Outstanding Losses 9/30/2019	Estimated Outstanding Losses 9/30/2018	Present Value of Estimated Outstanding Losses 9/30/2019	Present Value of Estimated Outstanding Losses 9/30/2018	% Change
Workers Compensation									
PSWCP	\$36,207,171	\$52,182,100	\$31,180,051	\$45,282,645	\$67,387,222	\$97,464,745	\$62,186,611	\$89,482,236	-30.5%
MPD	\$459,853	\$718,795	\$1,087,476	\$1,091,880	\$1,547,329	\$1,810,675	\$1,547,329	\$1,810,675	-14.5%
FEMS	\$807,752	\$772,128	\$379,144	\$640,105	\$1,186,896	\$1,412,233	\$1,186,896	\$1,412,233	-16.0%
Sub Total	\$37,474,776	\$53,673,023	\$32,646,671	\$47,014,630	\$70,121,447	\$100,687,653	\$64,920,836	\$92,705,144	-30.0%
Liability									
General Liability	\$1,064,319	\$2,105,639	\$248,968	\$521,923	\$1,313,287	\$2,627,562	\$1,291,056	\$2,586,140	-50.1%
Automobile Liability	\$917,290	\$1,269,908	\$611,950	\$666,326	\$1,529,240	\$1,936,234	\$1,507,550	\$1,909,030	-21.0%
Sub Total	\$1,981,609	\$3,375,547	\$860,918	\$1,188,249	\$2,842,527	\$4,563,796	\$2,798,606	\$4,495,170	-37.7%
Total, excluding ULAE	39,456,385	57,048,570	33,507,589	48,202,879	72,963,974	105,251,449	67,719,442	97,200,314	-30.3%
ULAE					8,139,738	12,263,650	7,561,083	11,335,367	-33.3%
Total, including ULAE					81,103,712	117,515,099	75,280,525	108,535,681	-30.6%

Assumes an interest rate of 1.75%, where applicable

The results for each of the individual programs, i.e. PSWCP, MPD, FEMS, General Liability and Automobile Liability are discussed in Sections III – VI of this report.

II. Background

The FY 2019 report provides:

1. An estimate of outstanding losses, including allocated loss adjustment expenses (ALAE), as of September 30, 2019. The estimated outstanding losses are the cost of unpaid claims. The estimated outstanding losses include case reserves, the development of known claims and incurred but not reported (IBNR) claims. ALAE are the direct settlement expenses for specific claims.
2. An estimate of the outstanding unallocated loss adjustment expenses (ULAE) as of September 30, 2019.

The estimates include workers' compensation, general (non-auto) liability, and automobile liability for the Government of the District of Columbia. The workers' compensation program has two components:

1. The civilian employees (non-uniform) known as the Public-Sector Workers' Compensation Program (PSWCP)
2. The uniform (sworn) employees consisting of police and fire and emergency medical services personnel known as the Work Injury Program (WIP).

For this report, the Office of Risk Management (ORM) provided:

- Non Uniform Medical and indemnity workers' compensation claims,
- General (non-automotive) claims, and
- Automotive liability claims.

The ORM operates within the executive branch of the District of Columbia with direct oversight by the City Administrator. ORM became an official agency in the District of Columbia government structure in fiscal year 2003, with a mission to reduce the probability, occurrence and cost of risk to the District of Columbia government through the provision of risk identification and insurance analysis and support to District agencies, and by efficiently and fairly administering the District's public workers' compensation, tort liability and captive insurance programs.

ORM, through the Tort Liability Program, receives and investigates pre-litigation notices of property and liability claims against the District. ORM's Public Sector Workers' Compensation Program (PSWCP) administers, through a Third-Party Administrator, the worker's compensation program for District government employees.

The PSWCP is a self-insured program of the ORM, administered by a Third-Party Claims Administrator (TPA) under Title XXIII of the CMPA. This program currently excludes uniformed police and firefighters covered under the Police and Firefighters Disability Act. It also excludes Secret Service and Park Police uniform workers who are covered under a federal workers' compensation program.

Adjudicating property, auto and liability claims is the responsibility of in-house ORM claims adjuster staff. They conduct investigations and make liability determinations with the goal of achieving settlements prior to lawsuits being filed. The Settlements and Judgments Fund (S&J Fund) is used to provide the fiscal resources to settle claims and lawsuits and pay judgments in

II. Background

most types of civil cases or pre-litigation claims filed against the District that result in settlements or judgments over \$10,000 or for claims that are over two years old. Claims that are under two years old and settled for less than \$10,000 are paid for by the responsible agency. If a lawsuit is filed, the litigation and resolution of suit claims fall under the Office of the Attorney General. Nonetheless, DCORM makes all final decisions about the use of the S&J Fund.

The ORM workers' compensation, general liability, automobile and property programs are completely self-insured with no excess insurance policy for all policy years.

In 1970, the District of Columbia Metropolitan Police Department (MPDC) assumed responsibility for the then Police and Fire Clinic (Clinic). In 1997, the Congress of the United States mandated that the District of Columbia government privatize the Clinic. Since then, the medical and occupational health programs and services at the Clinic have been administered by the Police and Fire Clinic Associates, L.L.C. (PFC) through a contract with the District of Columbia government. The contract with PFC provides a program of integrated, managed medical and occupational health services for several participating member agencies including: MPDC, the District of Columbia Fire and Emergency Medical Services Department (DCFEMS), certain members of the United States Secret Service (USSS), certain services for the United States Park Police (USPP), certain services for the District of Columbia Housing Authority Police Department (DCHAP), and certain services for hazardous duty or law enforcement officers or other specifically identified District of Columbia agencies. The annual cost of the program is based on a capitated rate and fee for services basis which is adjusted each year.

The day-to-day responsibility for the operation of the Police and Fire Clinic is the responsibility of the MPDC Director of the Medical Services Division. Liaison services and performance of duty determinations for members of the MPDC are provided by the Director of the Medical Services Division. DCFEMS has senior personnel assigned to the Clinic to provide liaison services for its members and the staff of the Clinic and also to make performance of duty determinations for its members. In addition, the Clinic monitors the duty status of those members who are not injured in the performance of duty.

A sworn member of the MPDC or DCFEMS must report an injury /illness to the Watch Commander or Officer in Charge whenever the member incurs an injury/illness while on duty or the member detects an injury/illness that he or she believes was incurred while on duty. The member must then immediately report to the Clinic (or the designated local emergency departments or urgent care centers if the Clinic is not open, for emergency or urgent cases only) for a medical evaluation on the day that the injury is detected, if physically able to do so. The member then must submit to a Certifying Official an "Injury or Illness Report" form (MPDC PD Form 42 or DCFEMS FD Form 44) within 24 hours of the occurrence or the detection of his/her injury/illness. These forms describe the symptoms being reported and states when and how the member was injured or how the illness was incurred. If the member is unable to prepare either the PD Form 42 or FD 44 Form, the member's MPDC or DCFEMS supervisor shall complete the appropriate form.

Statutes Governing the Disability Compensation for Police Officers and Fire Fighters

The statutes that govern the Disability Compensation for Police Officers and Fire Fighters are:

- District of Columbia Official Code § 5-631 et seq.

II. Background

- District of Columbia Official Code § 5-701 et seq.
- The Police and Firefighters' Retirement and Disability Act as amended by Public Law 96-122. Claims Process for District Government Police Officers and Firefighters

The District of Columbia enacted Law 19-331 in May 2013. This law was implemented on October 1, 2016. Law 19-331 is the Fire and Emergency Medical Services Employee Presumptive Disability Amendment Act. This act applies only to sworn members of DCFEMS. This act created a presumption of Performance of Duty (POD) injury, illness or death for three categories of illnesses. Under the law, the District will cover the full cost of any medical treatment related to a POD injury or illness. The funding stream for the Presumptive Disability Amendment Act is through annually locally appropriated funds.

The illnesses covered under D.C. Law 19-331 include:

- Cancer: breast, pancreatic, rectal, testicular, throat or ovarian cancers or leukemia;
- Chronic Diseases: heart disease, hypertension, or respiratory disease; and
- Communicable Diseases: hepatitis, meningococcal meningitis, tuberculosis or human immunodeficiency virus (HIV).

A sworn FEMS member will be presumed to have suffered from one of these illness in the line of duty if the member is diagnosed with the illness, and if the member underwent a pre-employment physical at the Clinic that did not indicate any sign of the illness, and if the member had agreed to receiving a physical examination every year. Additionally, if this presumed POD injury or illness renders the member unable to perform his or her duties or causes the member's death, then the member would be eligible for early retirement benefits. During Fiscal Year 2019, three new claims were filed and accepted and two claims carried over from Fiscal Year 2018. These five claims resulted in a Fiscal Year 2019 cost of \$3,441.35.

Duty Status and Wage and Tax Information

It is the policy of MPDC and DCFEMS to meet the occupational health needs of their members by ensuring that members who sustain POD injuries and illnesses receive quality health care, and continue to receive income, consistent with governing statutes, while recovering from duty-related injuries and/or illnesses. In addition, both agencies monitor the status of their members who become injured or ill as a result of a Non-Performance of Duty (Non-POD) incident or condition. This is done in an effort to monitor their recovery and to determine when the member may return to work in a limited or full duty status.

When a member incurs a POD injury or illness, he/she must report to the Clinic to receive care for his/her POD injury or illness. When a member incurs a Non-POD injury or illness, he/she must report to the Clinic where the medical staff monitors his/her Non-POD injury or illness and determines his/her medical duty status, while he/she receives treatment from his/her personal physician.

A member who is injured or becomes ill and is placed in a limited duty status or immediately placed off from work on sick leave is initially charged his/her personal accumulated sick leave until a determination is made that the injury or illness is POD. This determination must be made within 30 days of the member's report of injury/illness. If the determination is not made within

II. Background

this time frame, the member is presumed to have been injured in the performance of duty. When it is determined that the injury or illness is POD, the member will be placed in a non-chargeable (POD) sick leave status and his/her personal sick leave will be restored. Placing a member on non-chargeable (POD) sick leave alerts the agency fiscal officer's payroll unit that the member's injury or illness was determined to have been incurred in the performance of duty. The member's time and attendance records are audited to reimburse him/her for any affected personal sick leave taken. While on non-chargeable (POD) sick leave, the member continues to accrue personal sick and annual leave, and salary increases. Additionally, upon receiving a POD determination, the member will receive his/her full salary; it is not prorated.

At the end of the year, the agency fiscal officer sends a tax form to the member that indicates the member's salary for that year. The member is instructed to report the portion of the salary paid while on non-chargeable (POD) sick leave as disability compensation.

The District of Columbia Fire and Emergency Medical Services Department gives the member at the end of the year a DCFEMS Department Form 158. The DCFEMS Department Form 158 notifies the employee, the IRS, and State Tax official that the employee was on POD Injury/Illness (non-chargeable) Sick Leave and delineates the total amount of hours and the member's hourly rate. The salary for the year is only found on the W-2 Tax Form, not on the DC FEMS Department Form 158.

Contract Financial Information

During the FY 2006 Actuary Study, it was settled that the contract between MPDC and PFC is fully-insured and self-insured. The District of Columbia's disability (workers') compensation program for the city's police officers and firefighters is unlike any traditional disability (workers') compensation program. The PFC operates like an HMO providing occupational health and managed care services for covered employees of the MPDC, DCFEMS and USSS. The PFC, through the contract, bears the full financial risk of insuring covered employees and actually delivers medical treatment to the employees covered under the contract.

The workers' compensation indemnity compensation benefits for uniformed workers are paid by the appropriate agency of the injured employee and are not considered to be a payroll payment. The indemnity compensation benefits are self-funded; and thus, their liabilities are considered in the loss reserve estimate.

The council passed the Omnibus Public Safety Agency Reform Amendment Act of 2004 (effective October 1, 2004) which requires police officers who spend 172 cumulative work days in less than full duty status for any single injury or illness over any two-year period be processed for disability retirement. FEMS employees have a 192 cumulative work days requirement to be processed for disability retirement.

III. Workers' Compensation

Overall (inclusive of Workers' Compensation for PSWCP and MPD and FEMS, as well as general liability and automotive), there is a 30.3% decrease in the present value of outstanding losses from FY2018 (\$97.2M) to FY2019 (\$67.7M).

PSWCP

PSWCP is responsible for the overwhelming majority of the present value of outstanding losses (92% or \$62.2M) for FY2019 and represents a decrease of 30.5% from FY2018. The case loss and loss expense reserves were \$36M with an estimated IBNR reserve of \$31M. For FY2018 the case loss and loss expense reserves were \$52M with an estimated IBNR reserve of \$45M. The present value of outstanding losses in FY2018 was \$89.5M. The ratio of estimated IBNR reserve to case reserves in FY2019 is 0.86, representing a decrease from 0.87 in FY2018.

MPD

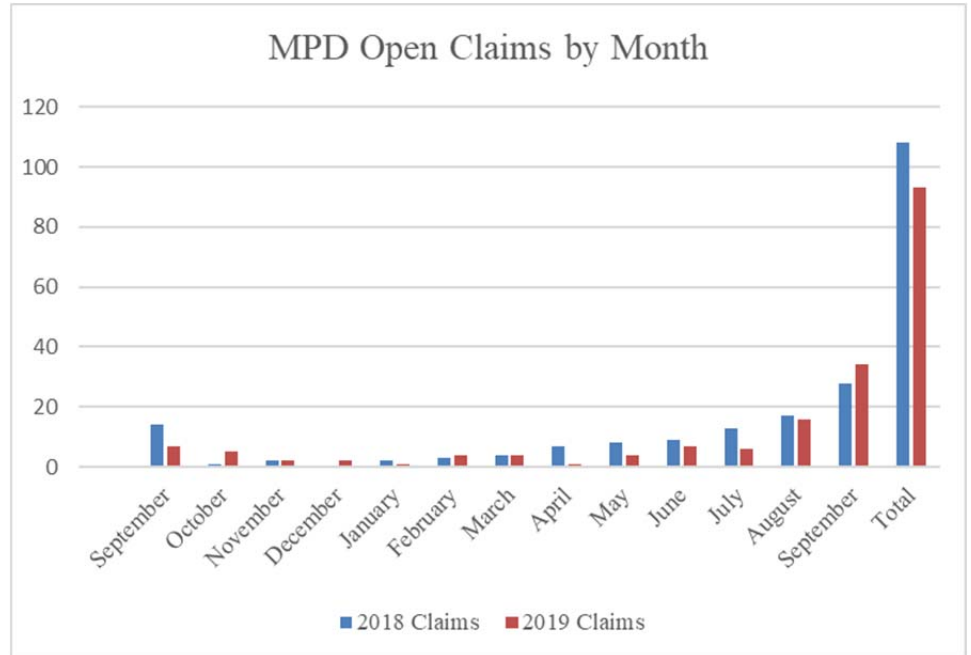
The present value of outstanding losses for MPD workers' compensation is \$1.5M for FY2019 and represents a decrease of 14.5% from FY2018. The case loss and loss expense reserves were \$0.5M with an estimated IBNR reserve of \$1.1M. For FY2018 the case loss and loss expense reserves were \$0.7M with an estimated IBNR reserve of \$1.1M. The present value of outstanding losses in FY2018 was \$1.8M.

The following exhibits provide details regarding the MPD program for FY2019 and FY2018:

<i>Metropolitan (MPD) Disability Compensation Claims</i>		
	9/30/2019	9/30/2018
Number of Open Claims	93	108
Number of Closed Claims	907	949
Total Claims	1,000	1,057
<i>MPD Sick Leave Disability Compensation</i>		
Sick Leave for Open Claims	\$1,105,097	\$1,693,328
Sick Leave for Closed Claims	\$3,153,660	\$2,645,331
Total Sick Leave	\$4,258,757	\$4,338,659
<i>Total # of MPD Sick Leave Hours</i>		
Sick Leave Hours for Open Claims	28,523	43,721
Sick Leave Hours for Closed Claims	80,254	71,035
Total Claims	108,777	114,756
<i>Estimated MPD Sick Leave Hours & Disability Compensation</i>		
Estimated Sick Leave Hours	11,340	19,920
Estimated Sick Leave Compensation	\$459,853	\$743,263

III. Workers' Compensation

Open Claims	2018 Claims	2019 Claims
September	14	7
October	1	5
November	2	2
December	0	2
January	2	1
February	3	4
March	4	4
April	7	1
May	8	4
June	9	7
July	13	6
August	17	16
September	28	34
Total	108	93



FEMS

Workers' compensation present value of outstanding losses for FEMS is \$1.19M for FY2019 and represents a decrease of 16% from FY2018. The present value of outstanding losses in FY2018 was \$1.41M.

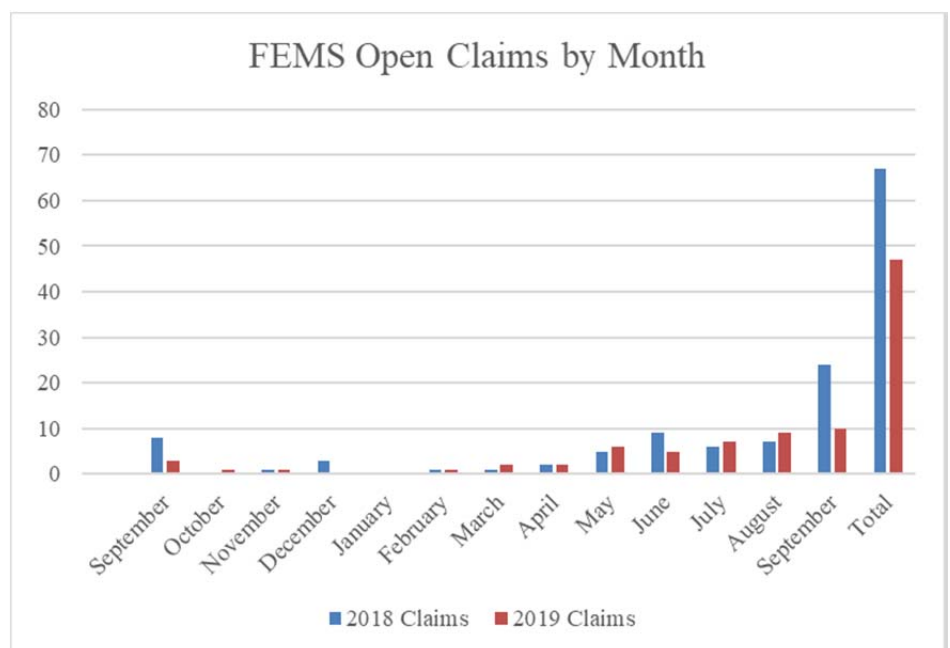
It is our understanding that the existing payroll budget for FEMS already includes a provision for compensating an employee who is called into service to replace any employee who is off duty due becoming eligible for workers' compensation. The existing payroll budget for FEMS already provides funding for compensation to approximately 1.2 employees for every position in the department. Given this situation, while the liabilities disclosed in this report represent our estimate of current and future liabilities associated with FEMS workers' compensation claims, it is our understanding that these liabilities should not be considered additional liabilities for which the District is responsible, as these liabilities are already provided for in the general compensation budget.

III. Workers' Compensation

The following exhibits provide details regarding the FEMS program for FY2019 and FY2018:

<i>Fire Department (FEMS) Disability Compensation Claims</i>		
	9/30/2019	9/30/2018
Number of Open Claims	47	67
Number of Closed Claims	398	400
Total Claims	445	467
<i>FEMS Sick Leave Disability Compensation</i>		
Sick Leave for Open Claims	\$995,406	\$1,341,851
Sick Leave for Closed Claims	\$2,862,933	\$2,225,949
Total Sick Leave	\$3,858,339	\$3,567,800
<i>Total # of FEMS Sick Leave Hours</i>		
Sick Leave Hours for Open Claims	25,414	34,664
Sick Leave Hours for Closed Claims	72,610	61,890
Total Claims	98,024	96,554
<i>Estimated FEMS Sick Leave Hours & Disability Compensation</i>		
Estimated Sick Leave Hours	19,784	21,106
Estimated Sick Leave Compensation	\$807,752	\$772,128

Open Claims	2018 Claims	2019 Claims
September	8	3
October	0	1
November	1	1
December	3	0
January	0	0
February	1	1
March	1	2
April	2	2
May	5	6
June	9	5
July	6	7
August	7	9
September	24	10
Total	67	47



IV. Tort Liability

GENERAL LIABILITY

General Liability has a present value of outstanding losses of \$1.3M for FY2019 and represents a 50.1% decrease over FY2018 of \$2.6M. As shown below the District has substantially decreased their Case Reserves from last year (1.06M versus 2.11M).

	Paid Losses		Case Reserves		Outstanding Losses		Present Value of Losses	
Claim Period	2019	2018	2019	2018	2019	2018	2019	2018
Prior to 2004-05	2,261,284	2,331,347	0	5,000	0	5,500	0	5,500
2004-2005	1,414,592	1,432,391	0	0	0	0	0	0
2005-2006	428,809	428,809	0	5,000	0	5,500	0	5,500
2006-2007	1,592,767	1,592,767	0	100	0	233	0	233
2007-2008	457,403	457,403	0	750	0	825	0	825
2008-2009	206,315	206,315	0	4,250	0	4,685	0	4,685
2009-2010	290,810	290,810	0	25	0	190	0	190
2010-2011	161,579	161,579	0	23,725	0	26,421	0	26,421
2011-2012	381,507	381,507	0	89,048	0	97,953	0	97,953
2012-2013	617,639	618,664	0	8,500	0	9,350	0	9,350
2013-2014	419,129	419,129	0	129,565	0	142,871	0	141,637
2014-2015	239,084	238,117	0	6,500	0	10,883	0	10,762
2015-2016	90,676	70,222	0	299,173	0	345,778	0	340,847
2016-2017	100,417	60,565	111,115	678,701	122,583	746,571	121,028	735,698
2017-2018	90,327	30,197	415,270	855,302	456,797	1,230,802	450,519	1,206,539
2018-2019	35,092		537,934		733,907		719,509	
Total	8,787,430	8,719,823	1,064,319	2,105,639	1,313,287	2,627,562	1,291,056	2,586,140

AUTOMOTIVE LIABILITY

Automotive Liability has a present value of outstanding losses of \$1.5M for FY2019 and represents a 21% decrease over FY2018 of \$1.9M. As shown below, the District has decreased their Cases Reserves from last year (.92M versus 1.27M).

	Paid Losses		Case Reserves		Outstanding Losses		Present Value of Losses	
Claim Period	2019	2018	2019	2018	2019	2018	2019	2018
Prior to 2004-05	859,922	859,922	0	0	0	0	0	0
2004-2005	488,550	488,550	0	0	0	0	0	0
2005-2006	739,164	739,164	0	2,500	0	2,836	0	2,836
2006-2007	740,792	740,792	0	3,800	0	4,208	0	4,208
2007-2008	896,578	896,578	0	175	0	422	0	422
2008-2009	1,125,398	1,125,398	0	6,014	0	6,615	0	6,615
2009-2010	1,572,750	1,572,750	0	1,897	0	2,250	0	2,250
2010-2011	1,063,190	1,063,190	0	20,204	0	22,224	0	22,224
2011-2012	1,802,986	1,805,190	0	28,924	0	31,816	0	31,816
2012-2013	1,550,368	1,549,564	0	10,511	0	11,562	0	11,562
2013-2014	1,936,363	1,937,149	0	23,182	0	25,851	0	25,851
2014-2015	1,409,483	1,409,483	0	3,074	0	9,517	0	9,435
2015-2016	999,384	968,981	0	36,931	0	58,018	0	57,178
2016-2017	994,725	884,391	50,511	294,845	65,275	403,609	64,369	397,979
2017-2018	998,459	284,695	120,508	837,850	202,541	1,357,305	199,746	1,336,654
2018-2019	479,576		746,271		1,261,424		1,243,435	
Total	17,657,686	16,325,795	917,290	1,269,908	1,529,240	1,936,233	1,507,550	1,909,030

V. *ULAE*

The present value of outstanding ULAE is estimated to be \$7.6M for 2019. In 2018 the ULAE was estimated to be \$11.3M, resulting in a decrease of 33.3%.

	Estimated Outstanding ULAE 9/30/2019	Estimated Outstanding ULAE 9/30/2018	Present Value of Estimated Outstanding ULAE 9/30/2019	Present Value of Estimated Outstanding ULAE 9/30/2018	% Change
Workers Compensation					
PSWCP	\$7,412,594	\$11,208,446	\$6,840,527	\$10,290,457	-33.5%
Police	\$170,206	\$208,228	\$170,206	\$208,228	-18.3%
Fire	\$130,559	\$162,407	\$130,559	\$162,407	-19.6%
Sub Total	\$7,713,358	\$11,579,081	\$7,141,292	\$10,661,092	-33.0%
Liability					
General Liability	\$196,993	\$394,134	\$193,658	\$387,921	-50.1%
Automobile Liability	\$229,386	\$290,435	\$226,133	\$286,355	-21.0%
Sub Total	\$426,379	\$684,569	\$419,791	\$674,276	-37.7%
Total	8,139,738	12,263,651	7,561,083	11,335,367	-33.3%

Assumes an interest rate of 1.75%.

VI. Results & Data Analysis

As part of the annual actuarial study, a thorough review is undertaken of the claims data received from ORM, MPD and FEMS. The changes in claims data continue to appear to reflect better claims management and the continued efforts to improve management of the workers' compensation and tort liability program processes. These changes have at least partially come about due to ORM's bringing the claims administration process in-house versus the prior practice of utilizing TPA services.

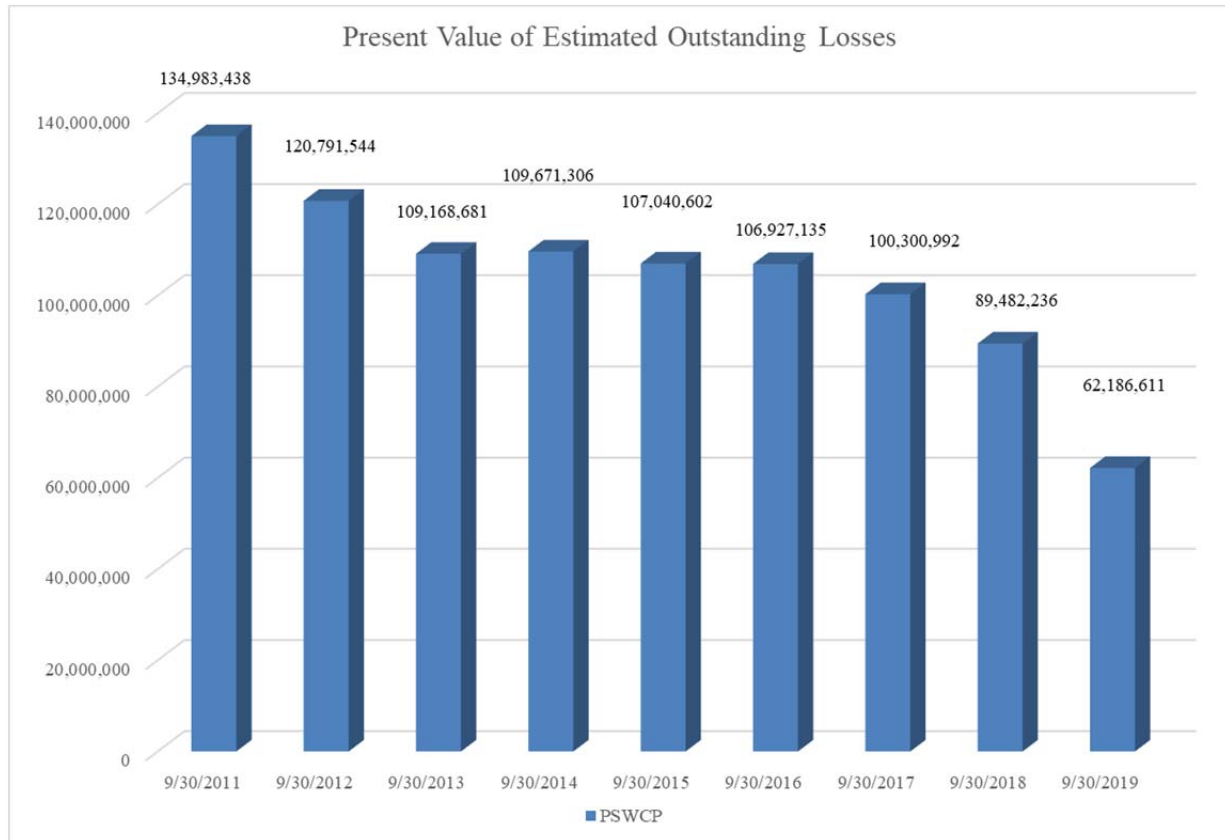
To illustrate this, we have included below three charts showing the historical results from 9/30/2011 to 9/30/2019 for both workers' compensation and tort liability:

1. Chart 1 shows the workers' compensation present value of outstanding losses for Public-Sector Workers' Compensation Program (PSWCP) for periods 9/30/2011 through 9/30/2019.
2. Chart 2 shows the workers' compensation present value of outstanding losses for the uniform (sworn) employees consisting of police (MPD) and fire and emergency medical services personnel (FEMS) for periods 9/30/2011 through 9/30/2019.
3. Chart 3 shows the present value of outstanding losses for general and automobile tort liability for periods for 9/30/2011 through 9/30/2019.

VI. Results & Data Analysis

Chart 1

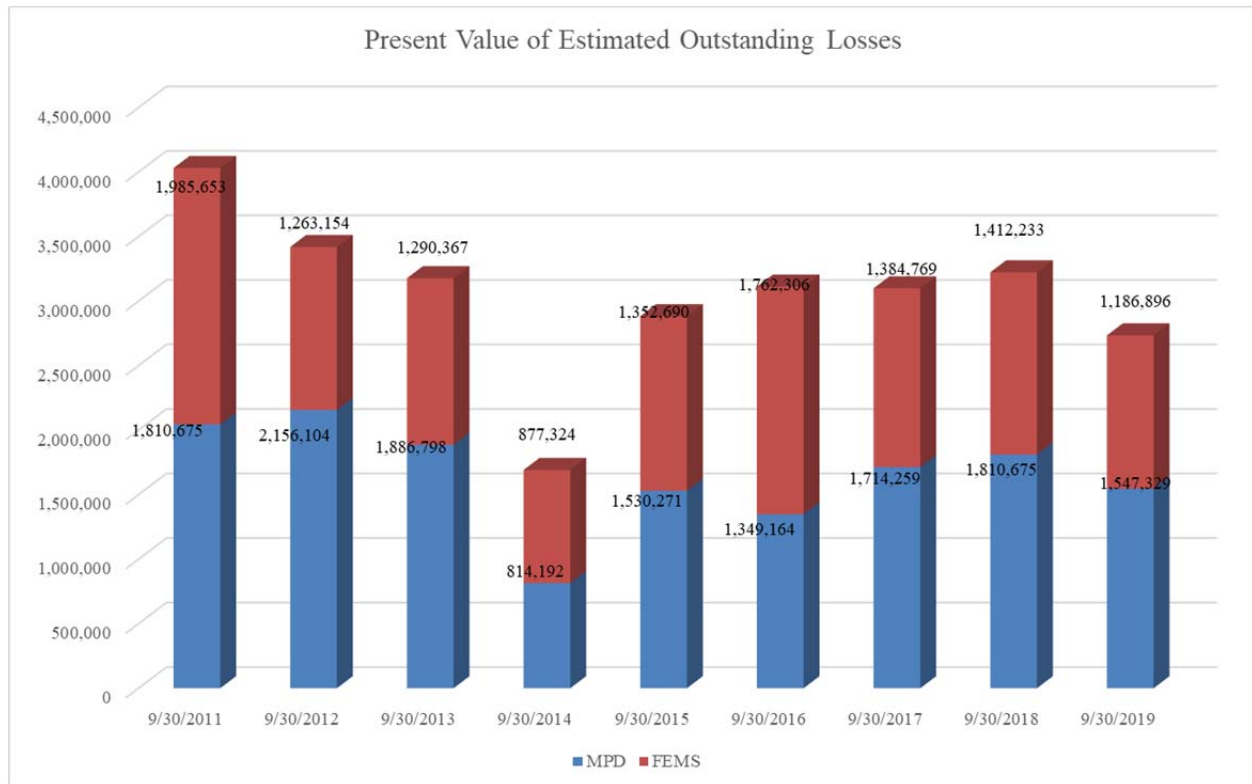
Workers' Compensation



VI. Results & Data Analysis

Chart 2

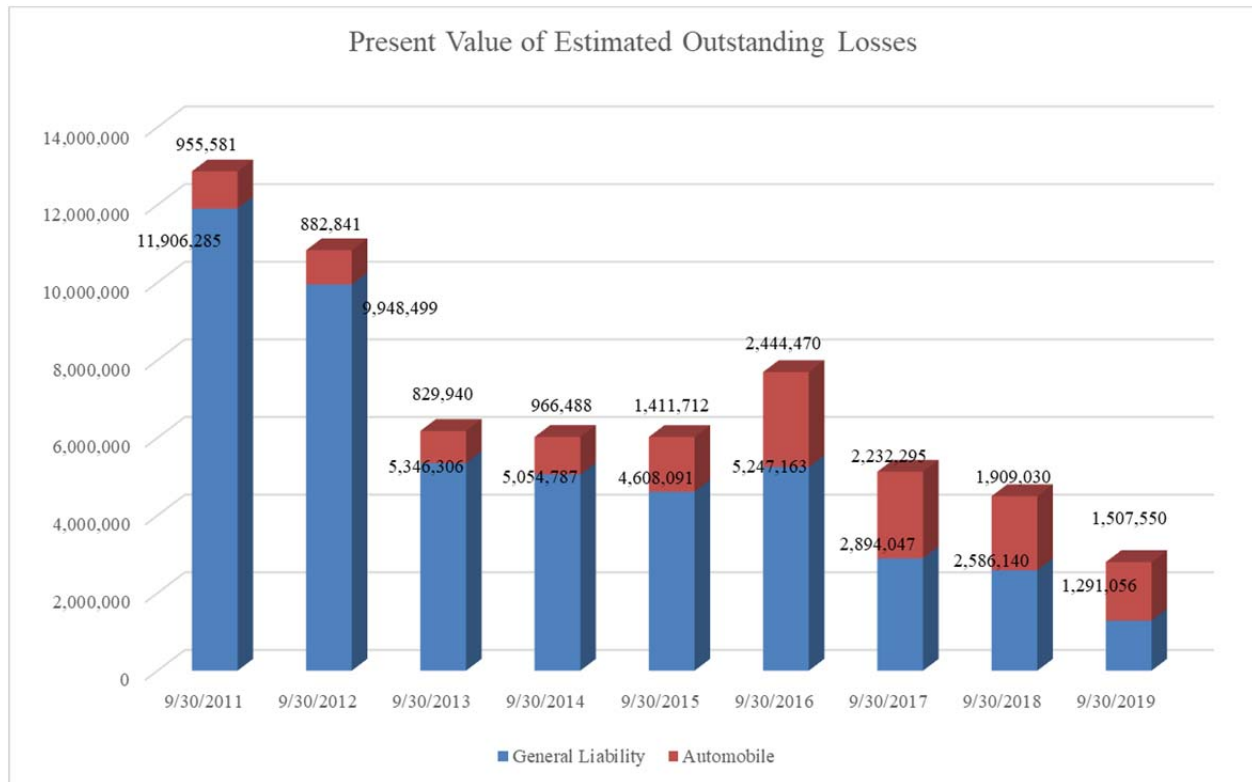
Workers' Compensation



VI. Results & Data Analysis

Chart 3

Tort Liability



**District of Columbia
Office of Risk Management**

**Actuarial Study of the
Self-Insured Workers Compensation
and Liability Programs
as of September 30, 2019**

January 7, 2020

January 7, 2020

District of Columbia
Office of Risk Management
441 4th Street NW, Suite 800 South
Washington, DC 20001

Attn: Mr. Jed Ross
Chief Risk Officer and Director

**Actuarial Study of the
Self-Insured Workers Compensation
and Liability Programs
as of September 30, 2019**

This study has been completed for the District of Columbia Office of Risk Management for the specific objectives listed in the study. It contains the analysis and conclusions of our work.

Each section and appendix of the study is an integral part of the whole. We recommend a review of the entire study prior to reliance upon this study.

No key personnel have a relationship with the District of Columbia Office of Risk Management that may impair our objectivity.

Please call if you have any questions. Thank you for the opportunity to be of service.

Respectfully submitted,

Aon Global Risk Consulting

By Mujtaba Datto

Mujtaba Datto, ACAS, MAAA, FCA
Actuarial Practice Leader

Tracy Fleck

Tracy Fleck, ACAS, MAAA
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MD:bc

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II.	Objectives	4
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Appendices

A	Conditions and Limitations
B	Glossary of Actuarial Terms
C	Exhibits

I. Background

The District of Columbia Office of Risk Management (ORM) is self-insured for workers compensation, general liability, and automobile liability. These programs are completely self-insured with no excess insurance for all years.

The Public Sector Workers Compensation Program (PSWCP) provides workers compensation coverage for civilian District employees. The Work Injury Program (WIP) covers sworn District employees, primarily police and fire and emergency personnel, providing 100% indemnity compensation for performance of duty injuries.

PSWCP claims were handled by a third-party administrator (TPA) until August 1, 2018, when ORM began administering claims in-house. General liability, automobile liability, and police and fire claims are also handled by their respective in-house staff.

Data/Analysis

Data

Loss data valued as of September 30, 2019 was provided to us by ORM. Separate files were provided for the PSWCP, WIP, general liability, and automobile liability programs. WIP claims were further divided between the Metropolitan Police Department (MPD) and the Fire and Emergency Medical Services Department (FEMS).

ORM also provided payroll (for all agencies that used the Disability Compensation Program) and vehicle counts for years through 2019/20. Vehicle projections for 2020/21 are based on a 0% trend. Payroll for 2019/20 is based on the actual 2018/19 payroll and the trend in budgeted payroll provided by DCORM from 2018/19 to 2019/20 (a trend of 5%). The estimated payroll for 2020/21 was projected based on the 2019/20 payroll and an assumed 5% trend, which was selected based on historical actual payroll.

In conducting this analysis, we relied upon the provided data without audit or independent verification; however, we reviewed it for reasonableness and consistency. Any inaccuracies in quantitative data or qualitative representations could have a significant effect on the results of our review and analysis. Any material discrepancies discovered in the loss or exposure data by ORM or any other parties should be reported to us immediately, and if warranted, we will make appropriate amendments to the report.

➤ PSWCP

As noted above, ORM began self-administering PSWCP claims on August 1, 2018. Per discussion with ORM, we understand there is continued focus on claims review and closure, resulting in reductions in both open claim counts and case reserves.

We have included the following diagnostic exhibits in Exhibit WC-2:

- Average severity per claim on page 6.

- Average case reserves on page 7.
- Closed claims ratio on page 8.

A comparison of reported incurred amounts as of September 30, 2018 and September 30, 2019 for claims that closed since the prior study is provided in Table III-1C.

We were advised by ORM that the PSWCP data may be incomplete for claims incurred prior to 2004/05. It is our understanding that substantial improvements were made in the recordkeeping systems for PSWCP beginning with the 2004/05 fiscal year. In prior actuarial studies, the estimated outstanding losses for 2003/04 and prior were based on the reported case reserves and the selected payment and reporting patterns. Due to the age of these years as of September 30, 2019, this additional analysis was no longer necessary and we have relied on the results of standard actuarial methods.

Chapter 1 Amendments

We understand that effective July 7, 2017, amendments to Chapter 1 (Public Sector Workers' Compensation Benefits) of Title 7 (Employment Benefits) of the District of Columbia Municipal Regulations were adopted. The published Notice of Final Rulemaking states that "The changes made in the final rulemaking do not substantially change the intent, meaning, or application of the proposed rules as published with the notice of proposed rulemaking, or impose new obligations or requirements on claimants. Rather, the changes serve to clarify application of the rules by lessening restrictions and decreasing burdens on claimants, and correct language or grammar errors." We have reviewed the clarifications adopted, and find that the changes do not have a material impact on the results of our analysis, and any associated costs cannot be readily estimated. No adjustment to our analysis has been made for the Chapter 1 amendments.

➤ WIP

The MPD and FEMS data consisted of indemnity payments and other claim detail for claimants who were on or completed injury leave during fiscal year ending September 30, 2019. We understand medical expenses related to these claims are fully-insured by a medical contract program and are thus not included in our study results.

The Omnibus Public Safety Agency Reform Amendment Act of 2004, which became effective October 1, 2004, requires police officers who spend 172 cumulative work days at less than full-duty status for any single injury or illness over any two-year period be processed for disability retirement. A corresponding threshold of 192 days applies to FEMS employees.

The estimated outstanding losses for WIP claims were based on the average hourly rate for employees currently on disability, the actual historical and estimated future payments for such employees, as well as the projected number of incurred but not yet reported claims. In the prior actuarial study, we multiplied the estimated additional hours for open claims (provided by ORM) by the average hourly rate to estimate the case reserve. In

the current study, we have relied on the case reserve provided by ORM, and included exhibits listing all open claims for MPD and FEMS.

Due to the two-year limitation of benefits and the uncertainty in the loss estimates for the WIP, the outstanding losses are provided at full-value only without any discounting for future investment income.

II. Objectives

The specific objectives of this study are:

1. **Estimate Outstanding Losses.** Estimate outstanding losses (including allocated loss adjustment expenses [ALAE]) as of September 30, 2019.

The estimated outstanding losses are the cost of unpaid claims. The estimated outstanding losses include case reserves, the development of known claims and incurred but not reported (IBNR) claims. ALAE are the direct expenses for settling specific claims.

2. **Estimate Outstanding Unallocated Loss Adjustment Expenses.** Estimate outstanding unallocated loss adjustment expenses [ULAE] as of September 30, 2019.

Estimated outstanding ULAE are the indirect expenses to settle unpaid claims.

3. **Project Ultimate Losses.** Project ultimate losses (including ALAE) for 2019/20 and 2020/21.

The projected ultimate losses are the accrual value of losses with accident dates during 2019/20 and 2020/21, regardless of report or payment date.

4. **Project Losses Paid.** Project losses paid during 2019/20 and 2020/21.

The projected losses paid are the claim disbursements during 2019/20 and 2020/21, regardless of accident or report date.

5. **Compare to Previous Actuarial Study.** Compare to the previous actuarial study valued as of September 30, 2018.

6. **Size of Loss Distribution Analysis.** Analyze the distribution of losses in various layers.

7. **Affirm GASB Statement No. 10 Compliance.** Provide a statement affirming the conclusions of this report are consistent with Governmental Accounting Standards Board (GASB) Statement No. 10.

III. Conclusions

We have reached the following conclusions:

1. Estimate Outstanding Losses

We estimate outstanding losses as of September 30, 2019 to be as shown in Table III-1A.

Table III-1A
Estimated Outstanding Losses
September 30, 2019

Program (1)	Estimated Outstanding Losses (2)	Present Value of Estimated Outstanding Losses (3)
(A) Workers compensation		
i) PSWCP	\$67,387,221	\$62,186,611
ii) Police	1,547,329	1,547,329
iii) Fire	1,186,896	1,186,896
Subtotal	\$70,121,446	\$64,920,836
(B) General liability	1,313,287	1,291,056
(C) Automobile liability	1,529,240	1,507,550
(D) Total (A) ... (C)	\$72,963,973	\$67,719,442

Note: (Ai) is from Exhibit WC-11.
(Aii) and (Aiii) are from Exhibit PF-1.
(B) is from Exhibit GL-11.
(C) is from Exhibit AL-11.

The total estimated outstanding workers compensation losses as of September 30, 2019 (\$70.1 million) are approximately \$30.6 million less than the estimate as of September 30, 2018 in the prior report (\$100.7 million). The estimated outstanding workers compensation losses for PSWCP as of September 30, 2019 (\$67.4 million) are \$30.1 million lower than the estimate as of September 30, 2018 in the prior report (\$97.5 million). The outstanding losses for Police and Fire decreased by \$0.3 million and \$0.2 million, respectively.

There was a decrease in the estimated outstanding general liability losses as of September 30, 2019 (\$1.3 million), which are \$1.3 million lower than the estimate as of September 30, 2018 in the prior report (\$2.6 million). This change is primarily due

several large claims (combined case reserves of \$0.8 million as of September 30, 2018), which have each closed for \$0 since the prior report.

There was also a decrease in the estimated outstanding automobile liability losses as of September 30, 2019 (\$1.5 million), which are approximately \$0.4 million lower than the estimate as of September 30, 2018 in the prior report (\$1.9 million). This change is primarily due to a decrease in the ultimate loss for 2016/17 and 2017/18, reflecting lower than anticipated paid and incurred development for those years.

A reconciliation from the previous study to the current study is shown in Table III-1B.

Table III-1B
Reconciliation of Outstanding Losses
as of September 30, 2018 and September 30, 2019

Claim Period (1)	Item (2)	PSWCP (3)	General Liability (4)	Automobile Liability (5)	Total (6)
(A) Through 2017/18	(i) Estimated outstanding losses as of 9/30/18	\$97,464,748	\$2,627,563	\$1,936,236	\$102,028,547
	(ii) Losses paid during 2018/19	(15,285,061)	(32,515)	(852,316)	(16,169,891)
	(iii) Change in projected ultimate limited losses for claim periods 2017/18 and prior: • (Aiii) is -7.1% of the total projected ultimate losses	(26,117,398)	(2,015,667)	(816,104)	(28,949,169)
	(iv) Estimated outstanding losses as of 9/30/19 (Ai) + (Aii) + (Aiii)	\$56,062,288	\$579,382	\$267,816	\$56,909,486
(B) 2018/19	(i) Projected ultimate limited losses	\$12,798,000	\$769,000	\$1,741,000	\$15,308,000
	(ii) Losses paid during 2018/19	(1,473,062)	(35,092)	(479,576)	(1,987,730)
	(iii) Estimated outstanding losses as of 9/30/19 (Bi) + (Bii)	\$11,324,938	\$733,908	\$1,261,424	\$13,320,270
(C) Total (Aiv) + (Biii)	(i) Estimated outstanding losses as of 9/30/19	\$67,387,226	\$1,313,289	\$1,529,240	\$70,229,756

Note: (Ai) is from the September 30, 2018 actuarial study (report dated January 4, 2019).
(Aii) is based on data provided as September 30, 2018 and September 30, 2019.
(Aiii) is based on Exhibits WC-11, GL-11, AL-11, and the previous actuarial study.
(Bi) is from Exhibits WC-10, GL-10 and AL-10.
(Bii) is based on Exhibits WC-11, GL-11 and AL-11.

For PSWCP, the decrease in ultimate losses for 2017/18 and prior can be attributed to many claims which closed for less than their incurred value as of September 30, 2018. There were 698 PSWCP claims closed between October 1, 2018 and September 30, 2019. Table III-1C summarizes these claims based on their incurred value as of September 30, 2018. For example, there were 275 claims with incurred less than \$5,000 as of September 30, 2018 that closed since the prior actuarial study, and the incurred amount on these claims decreased by \$212,093. In total, claims that closed since the prior study had a \$13.3 million decrease in their reported incurred value.

Table III-1C
Comparison of Reported Incurred Losses for Claims Closed After 9/30/18
(Workers Compensation – PSWCP Claims Only)

Claim Size at 9/30/18 (1)		Claims Closed Between 10/1/18 and 9/30/19 (2)	Reported Incurred Losses at 9/30/18 (3)	Reported Incurred Losses at 9/30/19 (4)	Change (4)-(3) (5)
(A)	\$1 to \$5,000	275	\$425,432	\$213,339	(\$212,093)
(B)	\$5,000 to \$10,000	52	375,244	225,635	(149,609)
(C)	\$10,000 to \$25,000	97	1,591,306	750,661	(840,645)
(D)	\$25,000 to \$50,000	71	2,654,966	1,466,237	(1,188,729)
(E)	\$50,000 to \$100,000	61	4,306,983	2,995,817	(1,311,167)
(F)	\$100,000 to \$250,000	51	7,924,605	6,465,135	(1,459,470)
(G)	\$250,000 to \$500,000	61	21,458,006	18,473,325	(2,984,681)
(H)	\$500,000 to \$750,000	19	11,490,867	9,401,883	(2,088,984)
(I)	\$750,000 to \$1,000,000	8	7,044,759	5,810,481	(1,234,278)
(J)	Over \$1,000,000	3	3,393,712	1,613,176	(1,780,536)
(K)	Total (A) ... (J)	698	\$60,665,880	\$47,415,689	(\$13,250,191)

Note: (2) through (5) are based on data provided by DC ORM.

The estimated outstanding losses as of September 30, 2019 at various confidence levels are as shown in Tables III-1D and III-1E.

Table III-1D
Estimated Outstanding Losses
at Various Confidence Levels
September 30, 2019
(Full Value)

Program (1)	Expected (2)	70% (3)	90% (4)
(A) Workers compensation			
i) PSWCP	\$67,387,221	\$74,125,943	\$87,603,387
ii) Police	1,547,329	1,547,329	1,547,329
iii) Fire	1,186,896	1,186,896	1,186,896
Subtotal	\$70,121,446	\$76,860,168	\$90,337,612
(B) General liability	1,313,287	1,313,287	1,313,287
(C) Automobile liability	1,529,240	1,529,240	1,529,240
(D) Total (A) ... (C)	\$72,963,973	\$79,702,695	\$93,180,139

Note: (2) is from Table III-1A, Column (2).
(3) and (4) are based on (2) and actuarial judgment.

Table III-1E
Estimated Outstanding Losses
at Various Confidence Levels
September 30, 2019
(Present Value)

Program (1)	Expected (2)	70% (3)	90% (4)
(A) Workers compensation			
i) PSWCP	\$62,186,611	\$68,405,272	\$80,842,594
ii) Police	1,547,329	1,547,329	1,547,329
iii) Fire	1,186,896	1,186,896	1,186,896
Subtotal	\$64,920,836	\$71,139,497	\$83,576,819
(B) General liability	1,291,056	1,291,056	1,291,056
(C) Automobile liability	1,507,550	1,507,550	1,507,550
(D) Total (A) ... (C)	\$67,719,442	\$73,938,103	\$86,375,425

Note: (2) is from Table III-1A, Column (3).
(3) and (4) are based on (2) and actuarial judgment.

The present value of the estimated outstanding losses is the estimated outstanding losses discounted to reflect future investment earnings. It is based on a 1.75% interest rate.

2. Estimate Outstanding ULAE

We estimate the outstanding unallocated loss adjustment expenses (ULAE) as of September 30, 2019 as shown in Table III-2.

Table III-2
Estimated Outstanding ULAE
September 30, 2019

Program (1)	Estimated Outstanding ULAE (2)	Present Value of Estimated Outstanding ULAE (3)
(A) Workers compensation		
i) PSWCP	\$7,412,594	\$6,840,527
ii) Police	170,206	170,206
iii) Fire	130,559	130,559
Subtotal	\$7,713,359	\$7,141,292
(B) General liability	196,993	193,658
(C) Automobile liability	229,386	226,133
(D) Total (A) ... (C)	\$8,139,738	\$7,561,083

Note: (Ai) is based on Table III-1A and Summary Exhibit 2.
 (Aii) is based on Table III-1A and a selected ratio of 11.0%.
 (Aiii) is based on Table III-1A and a selected ratio of 11.0%.
 (B) is based on Table III-1A and Summary Exhibit 3.

3. Project Ultimate Losses

The projected ultimate losses for 2019/20 and 2020/21 are provided in the following tables.

We project ultimate losses for 2019/20 to be as shown in Table III-3Ai.

Table III-3Ai
Projected Ultimate Losses
2019/20

Program (1)	Exposure (2)	Loss Rate (3)	Projected Ultimate Limited Losses (4)	Present Value of Loss Rate (5)	Present Value of Projected Ultimate Limited Losses (6)
(A) Workers compensation	\$3,181,624	\$0.48	\$15,399,000	\$0.44	\$14,058,000
(B) General liability	3,181,624	0.03	807,000	0.02	780,000
(C) Automobile liability	5,575	312.42	1,742,000	303.98	1,695,000
(D) Total (A) ... (C)			\$17,948,000		\$16,533,000

Note: (A) is from Exhibit WC-10. Exposure is payroll (\$000s). Loss rates are per \$100 payroll.
 (B) is from Exhibit GL-10. Exposure is payroll (\$000s). Loss rates are per \$100 payroll.
 (C) is from Exhibit AL-10. Exposure is vehicles. Loss rates are per vehicle.

The projected ultimate losses for 2019/20 at various confidence levels are as shown in Tables III-3Aii and III-3Aiii.

Table III-3Aii
Projected Ultimate Losses
at Various Confidence Levels
2019/20
(Full Value)

Program (1)	Expected (2)	70% (3)	90% (4)
(A) Workers compensation	\$15,399,000	\$17,708,850	\$23,868,450
(B) General liability	807,000	928,050	1,331,550
(C) Automobile liability	1,742,000	1,916,200	2,351,700
(D) Total (A) ... (C)	\$17,948,000	\$20,553,100	\$27,551,700

Note: (2) is from Table III-2Ai, Column (2).
 (3) and (4) are based on (2) and actuarial judgment.

Table III-3Aiii
Projected Ultimate Losses
at Various Confidence Levels
2019/20
(Present Value)

Program (1)	Expected (2)	70% (3)	90% (4)
(A) Workers compensation	\$14,058,000	\$16,166,700	\$21,789,900
(B) General liability	780,000	897,000	1,287,000
(C) Automobile liability	1,695,000	1,864,500	2,288,250
(D) Total (A) ... (C)	\$16,533,000	\$18,928,200	\$25,365,150

Note: (2) is from Table III-2Ai, Column (3).
(3) and (4) are based on (2) and actuarial judgment.

We project ultimate losses for 2020/21 to be as shown in Table III-3Bi.

Table III-3Bi
Projected Ultimate Losses
2020/21

Program (1)	Exposure (2)	Loss Rate (3)	Projected Ultimate Limited Losses (4)	Present Value of Loss Rate (5)	Present Value of Projected Ultimate Limited Losses (6)
(A) Workers compensation	\$3,340,705	\$0.49	\$16,492,000	\$0.45	\$15,056,000
(B) General liability	3,340,705	0.03	890,000	0.03	860,000
(C) Automobile liability	5,575	328.04	1,829,000	319.18	1,779,000
(D) Total (A) ... (C)			\$19,211,000		\$17,695,000

Note: (A) is from Exhibit WC-10. Exposure is payroll (\$000s). Loss rates are per \$100 payroll.
(B) is from Exhibit GL-10. Exposure is payroll (\$000s). Loss rates are per \$100 payroll.
(C) is from Exhibit AL-10. Exposure is vehicles. Loss rates are per vehicle.

The projected ultimate losses for 2020/21 at various confidence levels are as shown in Tables III-2Bii and III-2Biii.

Table III-3Bii
Projected Ultimate Losses
at Various Confidence Levels
2020/21
(Full Value)

Program (1)	Expected (2)	70% (3)	90% (4)
(A) Workers compensation	\$16,492,000	\$18,965,800	\$25,562,600
(B) General liability	890,000	1,023,500	1,468,500
(C) Automobile liability	1,829,000	2,011,900	2,469,150
(D) Total (A) ... (C)	\$19,211,000	\$22,001,200	\$29,500,250

Note: (2) is from Table III-2Bi, Column (2).
(3) and (4) are based on (2) and actuarial judgment.

Table III-3Biii
Projected Ultimate Losses
at Various Confidence Levels
2020/21
(Present Value)

Program (1)	Expected (2)	70% (3)	90% (4)
(A) Workers compensation	\$15,056,000	\$17,314,400	\$23,336,800
(B) General liability	860,000	989,000	1,419,000
(C) Automobile liability	1,779,000	1,956,900	2,401,650
(D) Total (A) ... (C)	\$17,695,000	\$20,260,300	\$27,157,450

Note: (2) is from Table III-2Bi, Column (3).
(3) and (4) are based on (2) and actuarial judgment.

The present value of the projected ultimate losses is the amount of money, discounted for anticipated investment income, required to meet claims. It is calculated based on a 1.75% yield on investments.

All costs other than claims are additional.

4. Project Losses Paid

We project losses paid during 2019/20 and 2020/21 to be as shown in Table III-4.

Table III-4
Projected Losses Paid
2019/20 and 2020/21

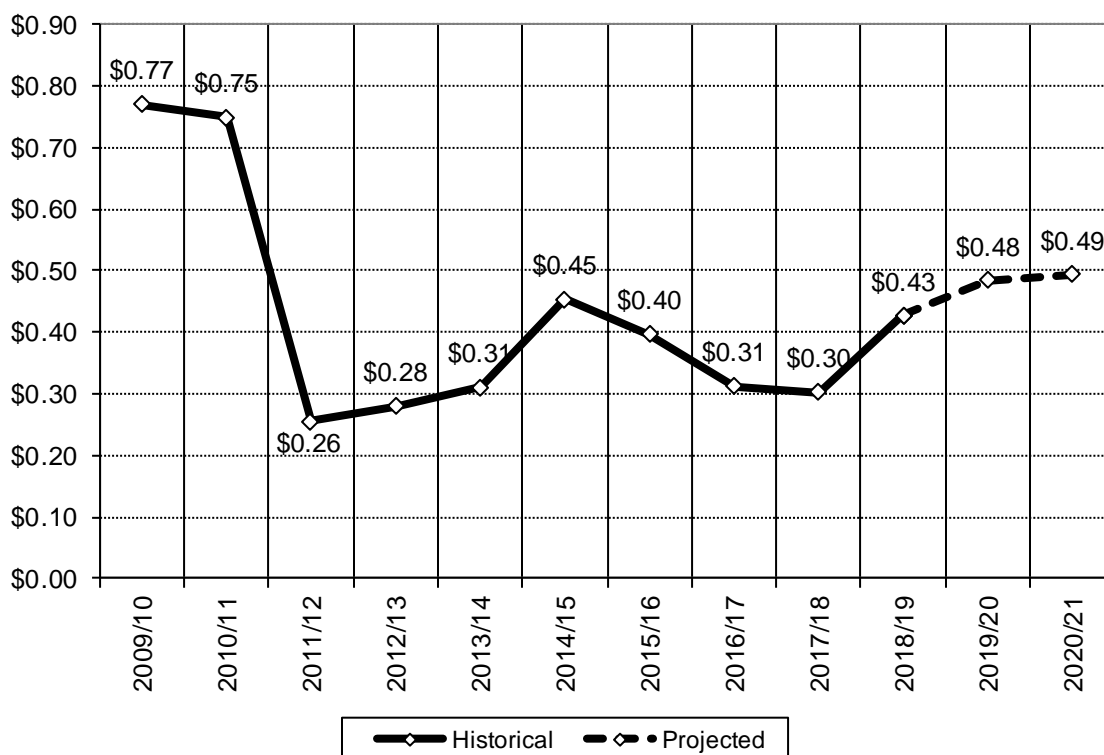
Program (1)	2019/20 (2)	2020/21 (3)
(A) Workers compensation	\$14,253,528	\$15,917,444
(B) General liability	901,478	845,971
(C) Automobile liability	1,466,566	1,696,834
(D) Total (A) ... (C)	\$16,621,572	\$18,460,249

Note: (2) is from Exhibits WC-12, GL-12 and AL-12.
(3) is from Exhibits WC-13, GL-13 and AL-13.

Loss Experience Trends

Graphs III-1A, III-1B and III-1C show loss experience trends for workers compensation, general liability and automobile liability, respectively. For workers compensation and general liability, the graphs show loss rates per \$100 of payroll. The graph for automobile liability shows loss rates per vehicle.

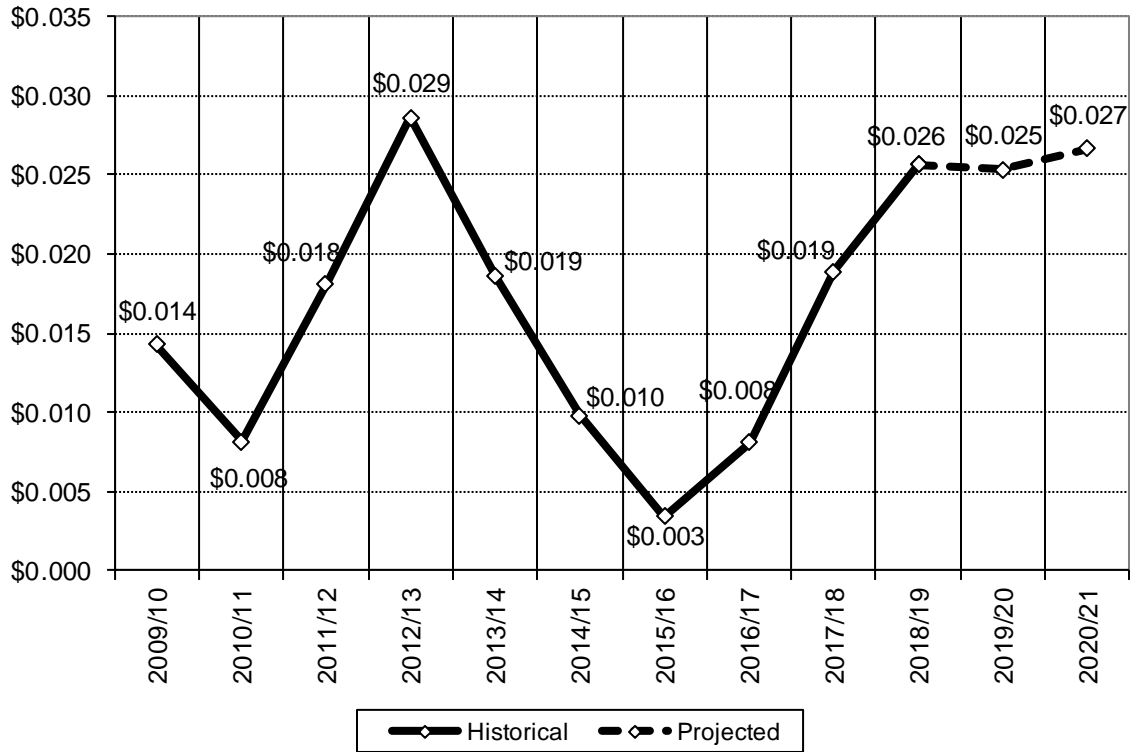
Graph III-1A
Loss Rate per \$100 of Payroll
(Workers Compensation – PSWCP Claims Only)



Note: Loss rates are from Exhibit WC-10, columns (4) and (7).

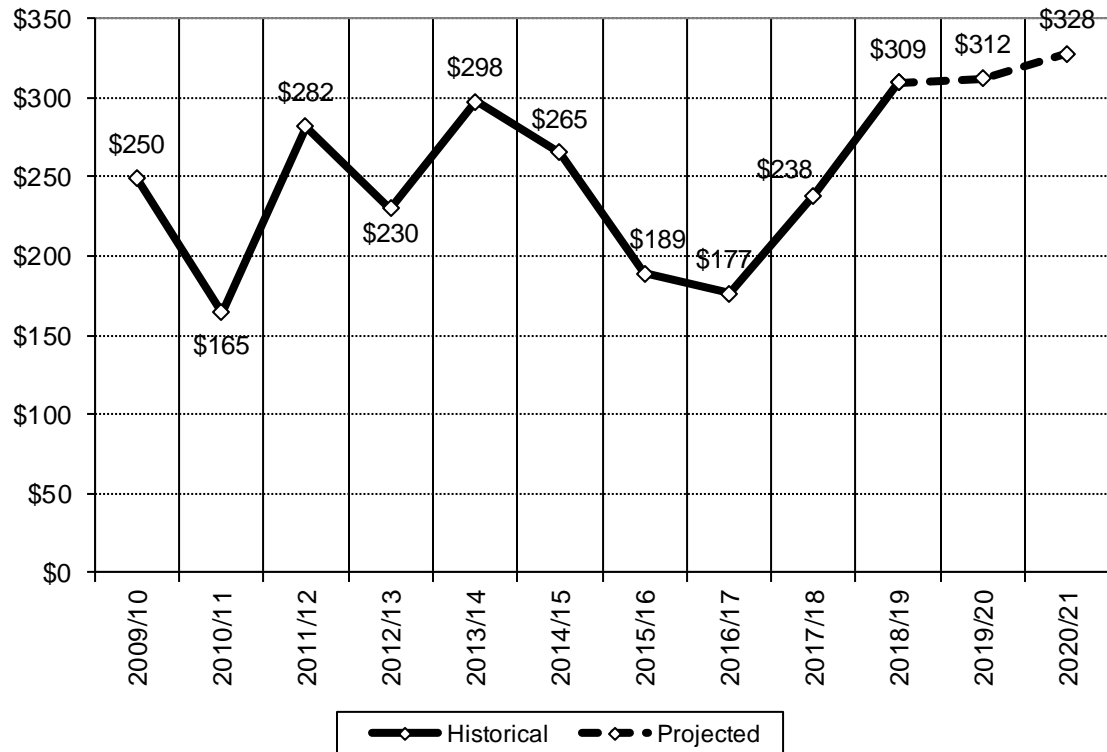
The indicated loss rates for 2011/12 and subsequent are lower than for 2008/09 through 2010/11. We note fewer claims above \$100,000 for 2011/12 and subsequent. Additional information is provided in Section 6 (*Size of Loss Distribution Analysis*) below.

Graph III-1B
Loss Rate per \$100 of Payroll
(General Liability)



Note: Loss rates are from Exhibit GL-10, columns (4) and (7).

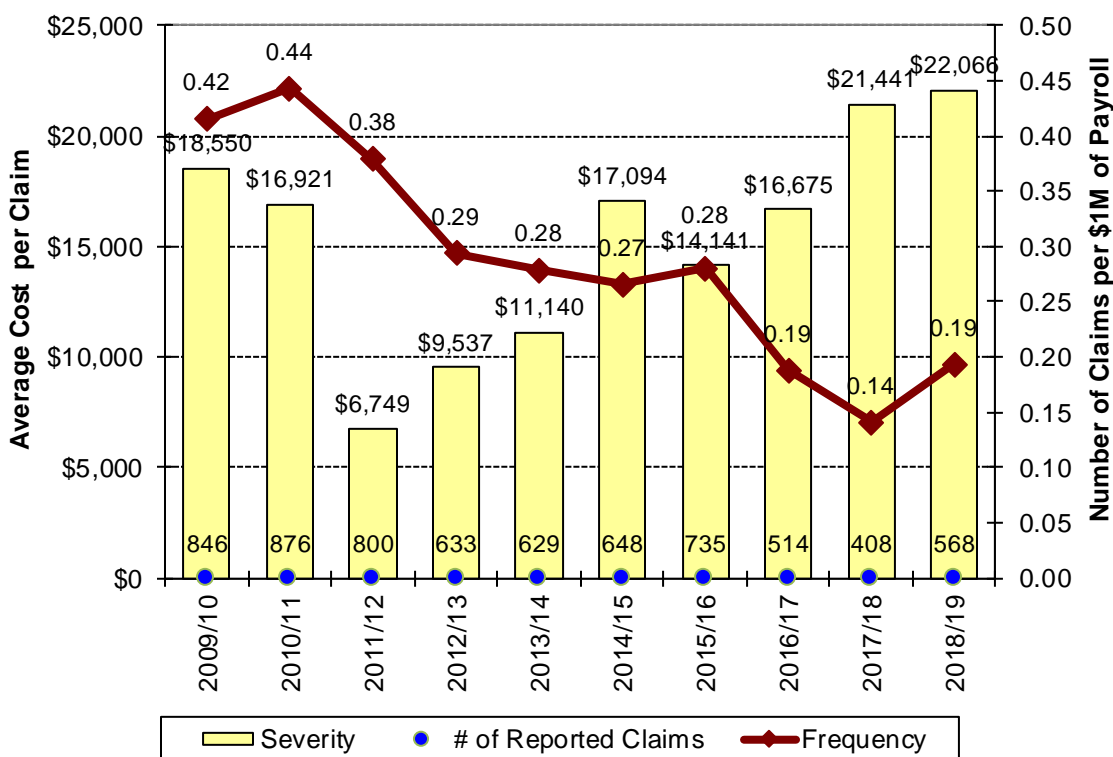
Graph III-1C
Loss Rate per Vehicle
(Automobile Liability)



Note: Loss rates are from Exhibit AL-10, columns (4) and (7).

Graphs III-2A, III-2B and III-2C show loss experience trends for workers compensation, general liability and automobile liability, respectively, as measured by frequency and severity.

Graph III-2A
Frequency and Severity
(Workers Compensation – PSWCP Claims Only)



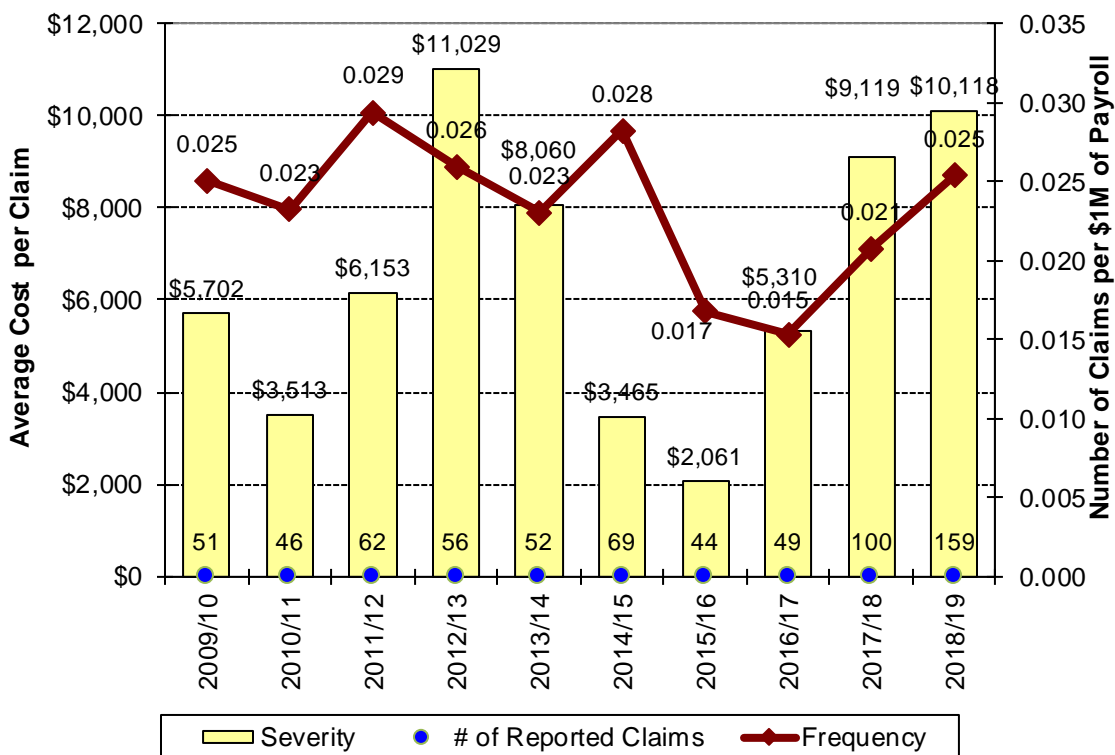
Note: Frequency amounts are from Exhibit WC-8, Section I, column (7). Claims with \$0 incurred are excluded.
Severity amounts are based on the projected claim counts in Exhibit WC-8 and the projected ultimate losses in Exhibit WC-9.

The PSWCP severities for 2011/12 through 2013/14 are relatively low due to the absence large claims in each of these years, as noted above.

The severities for 2016/17 through 2018/19 are relatively high due to a lower number of reported claims (through September 30, 2019).

There has been a downward trend in frequency (number of claims per \$1 million payroll) over the last eight years.

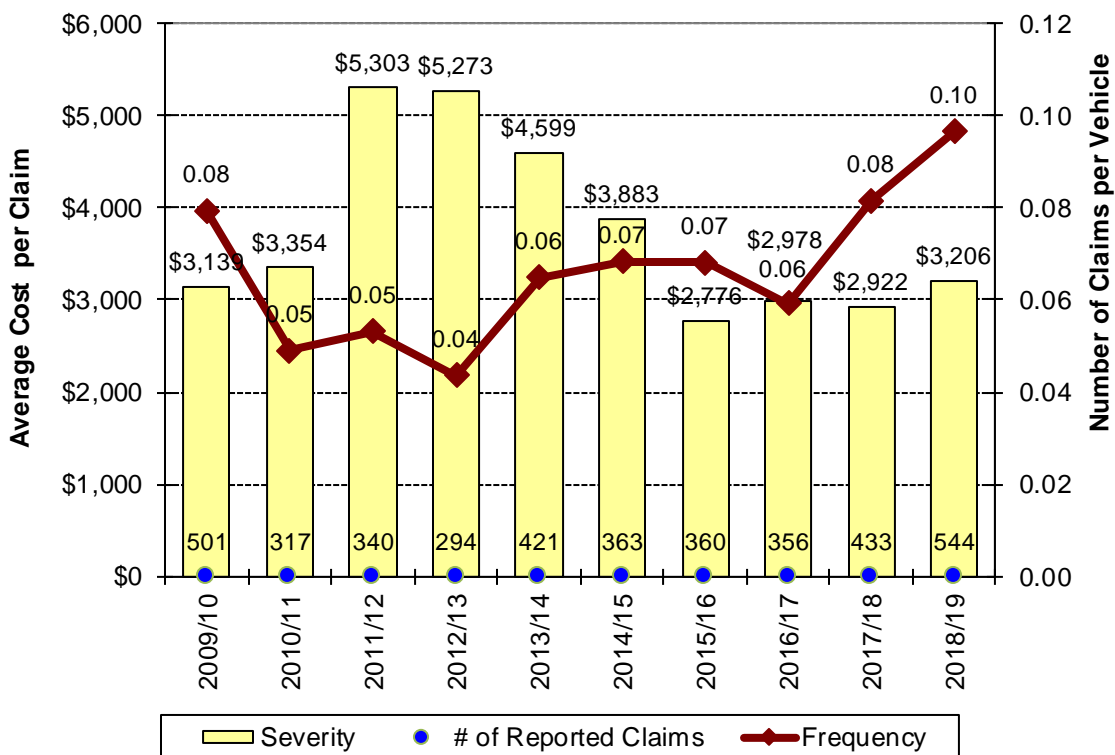
**Graph III-2B
Frequency and Severity
(General Liability)**



Note: Frequency amounts are from Exhibit GL-8, Section I, column (7).
Severity amounts are based on the projected claim counts in Exhibit GL-8 and the projected ultimate losses in Exhibit GL-9.

The relatively high frequency for 2017/18 and 2018/19 is due to the high number of reported claims greater than \$0 for that year. However, we note that many claims close for less than their initial reserve amount, so this frequency may decrease.

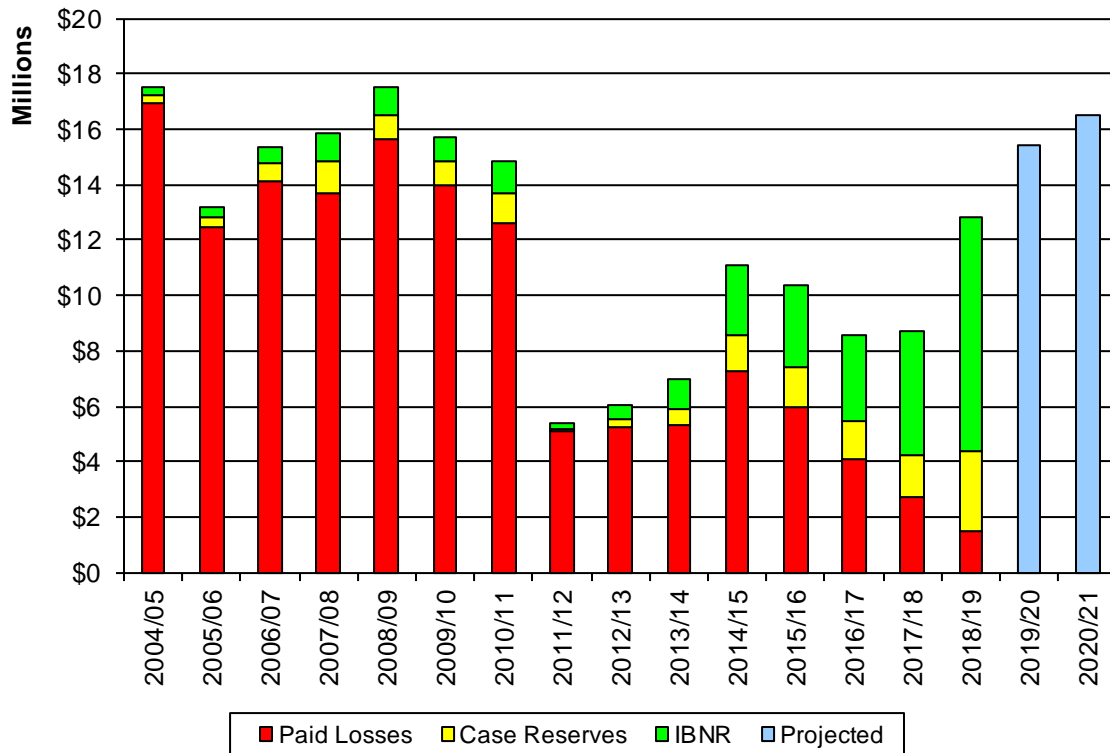
Graph III-2C
Frequency and Severity
(Automobile Liability)



Note: Frequency amounts are from Exhibit AL-8, Section I, column (7).
Severity amounts are based on the projected claim counts in Exhibit AL-8 and the projected ultimate losses in Exhibit AL-9.

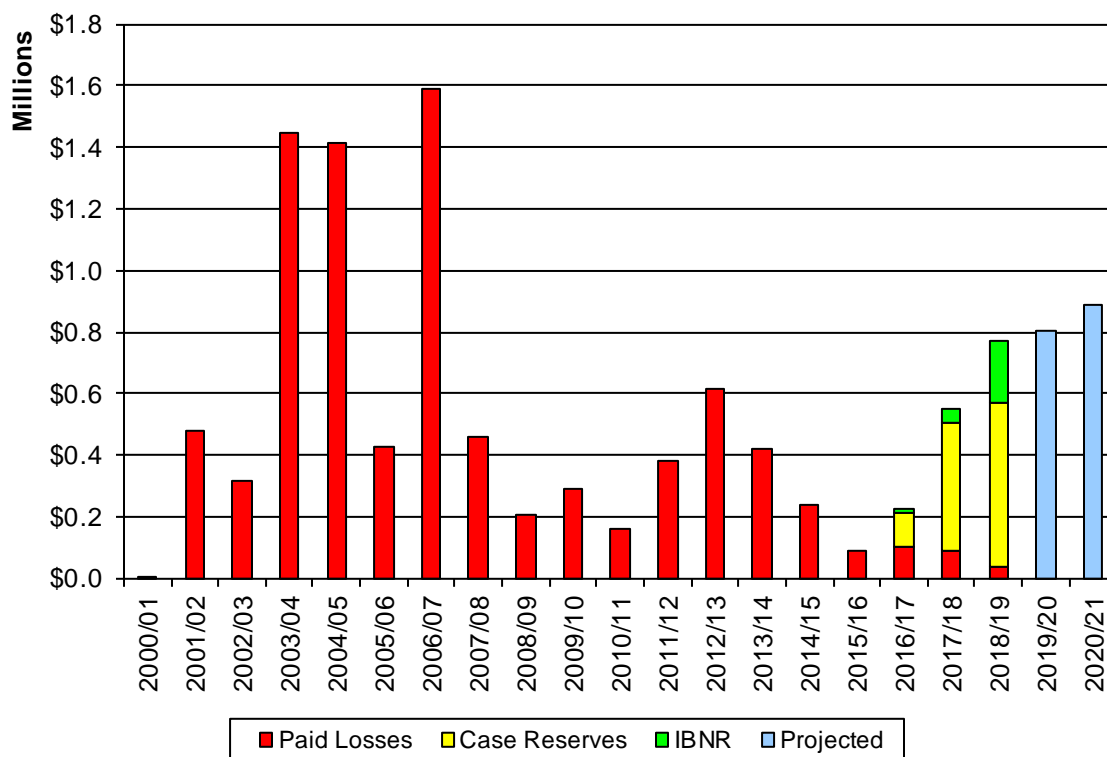
Graphs III-3A, III-3B and III-3C show the composition of the projected ultimate losses for workers compensation, general liability and automobile liability, respectively.

Graph III-3A
Composition of Projected Ultimate Losses
(Workers Compensation – PSWCP Claims Only)



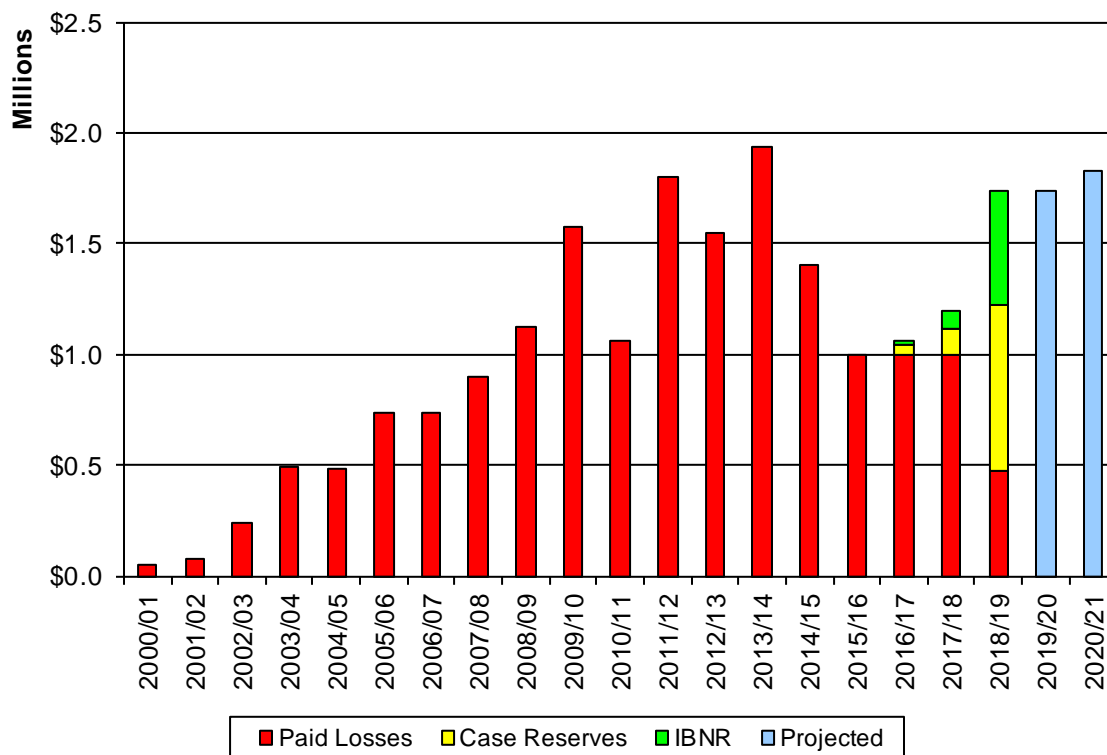
Note: Amounts through 2018/19 are from Exhibit WC-11.
Amounts for 2019/20 and 2020/21 are from Exhibit WC-10.

Graph III-3B
Composition of Projected Ultimate Losses
(General Liability)



Note: Amounts through 2018/19 are from Exhibit GL-11.
Amounts for 2019/20 and 2020/21 are from Exhibit GL-10.

Graph III-3C
Composition of Projected Ultimate Losses
(Automobile Liability)

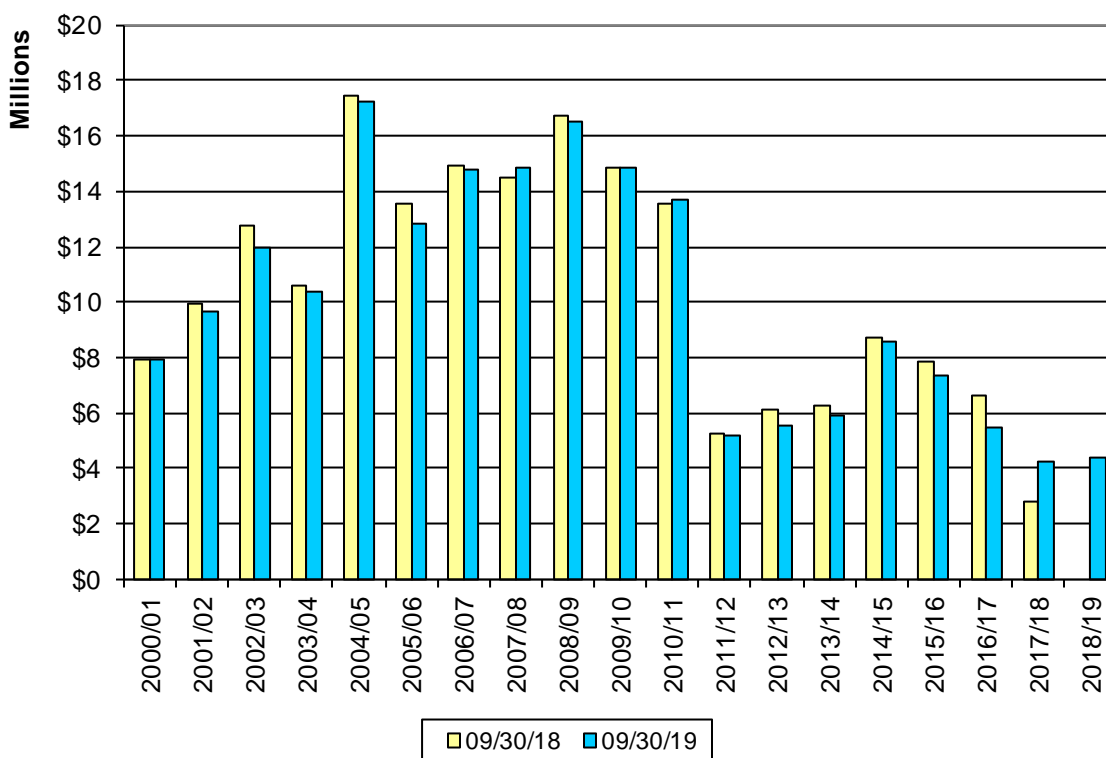


Note: Amounts through 2018/19 are from Exhibit AL-11.
Amounts for 2019/20 and 2020/21 are from Exhibit AL-10.

5. Compare to Previous Actuarial Study

Graphs III-4 and III-5 are graphical comparisons of the reported incurred losses and projected ultimate losses, respectively, by fiscal year of occurrence of the workers compensation program from the previous study (report dated January 4, 2019) to the current study.

Graph III-4
Comparison of Reported Incurred Losses
as of September 30, 2018 and September 30, 2019
(Workers Compensation – PSWCP Claims Only)

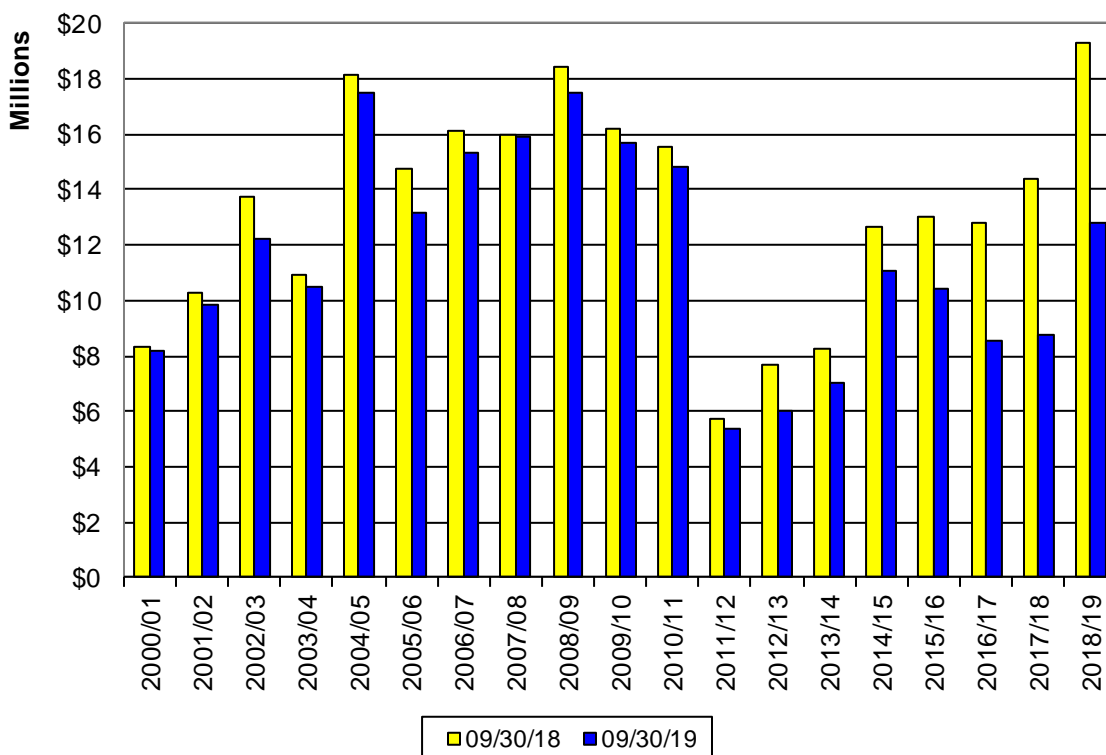


Note: Amounts as of September 30, 2018 are from the previous actuarial study.
Amounts as of September 30, 2019 are from Exhibit WC-1.

As noted below Graph III-1A, there are fewer claims above \$100,000 for 2011/12 and subsequent, resulting in lower ultimate losses for these years as compared to earlier years.

Graph III-5 is a comparison of the projected ultimate losses for workers compensation by year of occurrence from the previous study to the current study.

Graph III-5
Comparison of Projected Ultimate Losses
as of September 30, 2018 and September 30, 2019
(Workers Compensation – PSWCP Claims Only)



Note: Amounts as of September 30, 2018 are from the previous actuarial study.
Amounts as of September 30, 2019 are from Exhibit WC-9.

For all claims through 2017/18, the change in the projected ultimate limited losses from September 30, 2018 to September 30, 2019 was -10.4%.

The ultimate loss for 2018/19 was based on a projected loss rate and projected payroll (provided by ORM) in the prior study. Actual experience as of September 30, 2019 for this year emerged less than anticipated.

Actual paid workers compensation loss experience for 2012/13 and subsequent was overall less than anticipated in the prior actuarial study, as shown in Table III-5.

Table III-5
Comparison of Actual and Expected Experience
September 30, 2018 to September 30, 2019
(Workers Compensation – PSWCP Claims Only)

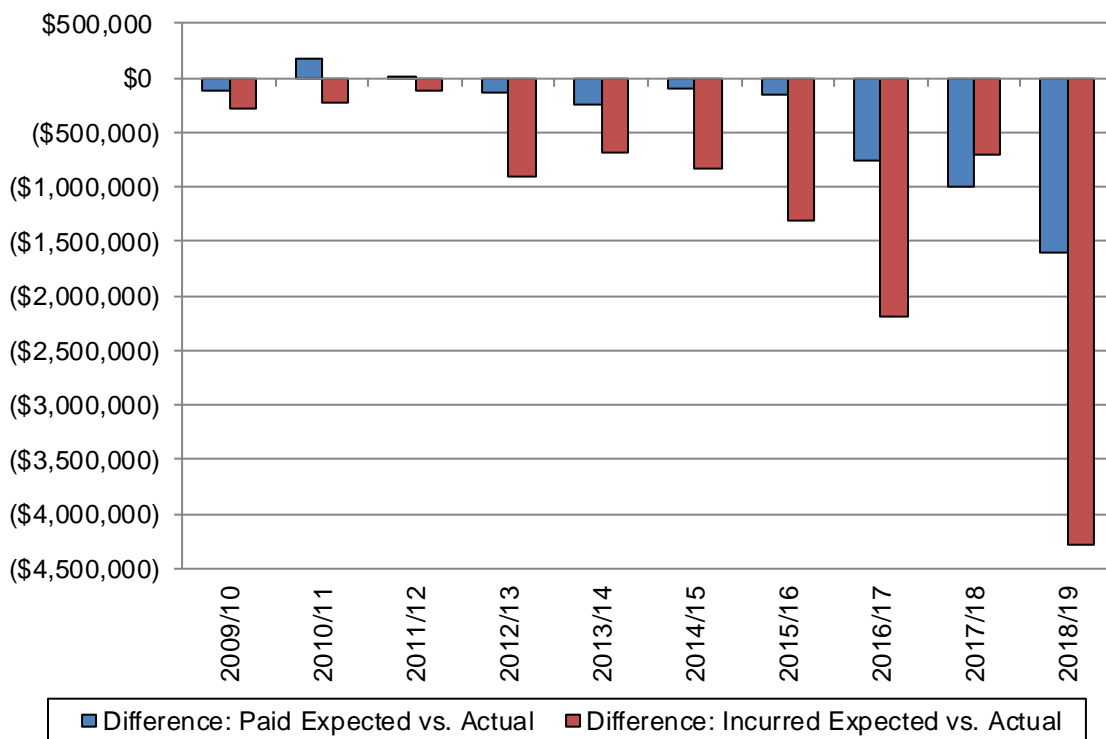
Claim Period (1)	Paid Losses in the Period			Incurred Losses in the Period		
	Paid Expected (2)	Paid Actual (3)	Difference (3) - (2) (4)	Incurred Expected (5)	Incurred Actual (6)	Difference (6) - (5) (7)
1999/00	\$96,536	\$223,470	\$126,934	\$27,619	\$128,462	\$100,844
2000/01	53,137	237,436	184,299	111,515	24,795	(86,721)
2001/02	80,917	184,068	103,151	84,908	(237,912)	(322,820)
2002/03	224,707	408,478	183,771	196,686	(828,701)	(1,025,387)
2003/04	75,942	169,068	93,125	68,439	(211,514)	(279,953)
2004/05	222,870	499,238	276,368	126,927	(155,362)	(282,289)
2005/06	175,312	361,846	186,535	211,700	(660,393)	(872,093)
2006/07	285,969	349,025	63,056	202,437	(171,418)	(373,855)
2007/08	546,767	576,586	29,819	352,457	365,698	13,241
2008/09	660,545	501,792	(158,753)	348,681	(164,587)	(513,268)
2009/10	471,189	359,775	(111,414)	243,756	(46,284)	(290,040)
2010/11	556,027	730,123	174,097	321,447	100,492	(220,955)
2011/12	123,139	140,361	17,223	78,886	(41,213)	(120,099)
2012/13	371,523	235,714	(135,810)	321,741	(585,807)	(907,547)
2013/14	386,861	145,502	(241,359)	358,411	(335,441)	(693,852)
2014/15	754,966	647,731	(107,234)	671,120	(168,813)	(839,933)
2015/16	1,058,641	905,453	(153,188)	857,609	(460,267)	(1,317,876)
2016/17	1,618,432	866,381	(752,050)	1,032,630	(1,157,884)	(2,190,514)
2017/18	2,677,911	1,678,500	(999,411)	2,142,806	1,447,246	(695,559)
2018/19	3,077,565	1,473,062	(1,604,503)	8,668,826	4,391,957	(4,276,869)
Total	\$13,518,955	\$10,693,609	(\$2,825,346)	\$16,428,602	\$1,233,056	(\$15,195,545)

Note: (2) and (5) are actual experience from September 30, 2018 to September 30, 2019.
(3) and (6) are the expected amounts from September 30, 2018 to September 30, 2019.
All amounts are gross of recoveries.

As part of our analysis, we project ultimate losses by year using paid loss development and incurred loss development (these are defined in the attached Glossary). Table III-4 shows how the paid and incurred claims emerged twelve months later based on loss development factors we selected in the September 30, 2018 actuarial study. This analysis provides a peek into how the claims are emerging based on underlying loss development factors. This table shows favorable (i.e., less than anticipated) paid and

incurred development for 2012/13 and subsequent from September 30, 2018, to September 30, 2019. This development is depicted in Graph III-6.

Graph III-6
Difference of Actual and Expected Experience
from September 30, 2018 to September 30, 2019
(Workers Compensation – PSWCP Claims Only)



6. Size of Loss Distribution Analysis

Table III-6A shows the distribution of losses in various layers for workers compensation.

Table III-6A
Size of Loss Distribution
(Workers Compensation – PSWCP Claims Only)

Claim Size (1)	Total Reported Claims (2)	Percent of Total (2)/Total(2) (3)	Cumulative Percent of Total (4)	Total Reported Incurred Losses (5)	Percent of Total (5)/Total(5) (6)	Cumulative Percent of Total (7)
(A) \$1 to \$5,000	8,763	70.5%	70.5%	\$9,296,708	2.8%	2.8%
(B) \$5,000 to \$10,000	882	7.1%	77.7%	6,170,510	1.9%	4.7%
(C) \$10,000 to \$25,000	957	7.7%	85.4%	15,523,372	4.7%	9.3%
(D) \$25,000 to \$50,000	642	5.2%	90.5%	22,573,376	6.8%	16.1%
(E) \$50,000 to \$100,000	412	3.3%	93.8%	29,102,282	8.8%	24.9%
(F) \$100,000 to \$250,000	354	2.9%	96.7%	56,664,155	17.1%	41.9%
(G) \$250,000 to \$500,000	280	2.3%	98.9%	100,371,732	30.2%	72.2%
(H) \$500,000 to \$750,000	93	0.7%	99.7%	55,466,126	16.7%	88.9%
(I) \$750,000 to \$1,000,000	28	0.2%	99.9%	23,898,079	7.2%	96.1%
(J) Over \$1,000,000	10	0.1%	100.0%	13,076,602	3.9%	100.0%
(K) Total (A) ... (J)	12,421	100%		\$332,142,942	100%	

Note: See Exhibit WC-17.

The average cost per claim (excluding claims with \$0 incurred) is about \$19,300. About 85% of the non-zero claims reported are below the average of \$25,000 and represent about 9% of the incurred amounts. The remaining 15% of the claims consume about 91% of the incurred amounts.

Similar tables are included for general liability in Exhibit GL-15 and automobile liability in Exhibit AL-15.

For PSWPC, we note that the number of incurred claims greater than \$100,000 and the total losses associated with those claims are lower for the eight most recent years (2011/12 through 2018/19) than for prior years. The percentage of incurred losses and reported claims with an incurred amount greater than \$100,000 for the last 10 years is shown in Table III-6B.

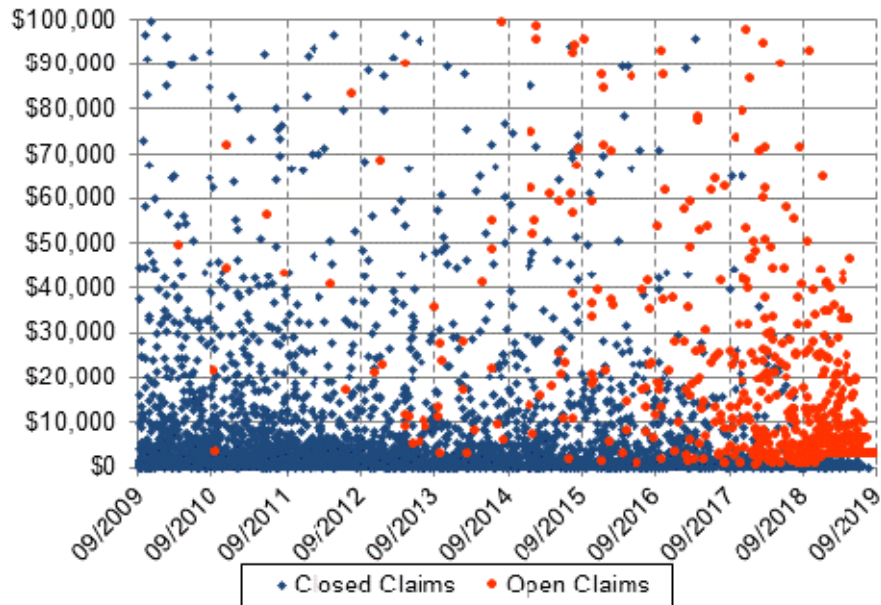
Table III-6B
Percentage of Incurred Losses and Reported Claims Over \$100,000
(Workers Compensation – PSWCP Claims Only)

Year (1)	Reported Incurred Losses			Reported Non-Zero Claims		
	Claims Greater than \$100,000 (2)	All Claims (3)	Percentage Greater than \$100,000 (2) / (3) (4)	Claims Greater than \$100,000 (5)	All Claims (6)	Percentage Greater than \$100,000 (2) / (3) (7)
2009/10	\$8,939,087	\$14,820,896	60%	40	846	4.7%
2010/11	8,690,858	13,689,390	63%	30	876	3.4%
2011/12	1,619,440	5,209,068	31%	10	800	1.3%
2012/13	2,105,035	5,530,865	38%	12	633	1.9%
2013/14	2,635,540	5,933,823	44%	17	629	2.7%
2014/15	4,484,661	8,570,120	52%	19	648	2.9%
2015/16	4,021,131	7,383,630	54%	22	735	3.0%
2016/17	2,353,496	5,465,484	43%	15	514	2.9%
2017/18	423,533	4,250,587	10%	3	408	0.7%
2018/19	1,137,649	4,391,957	26%	2	568	0.4%

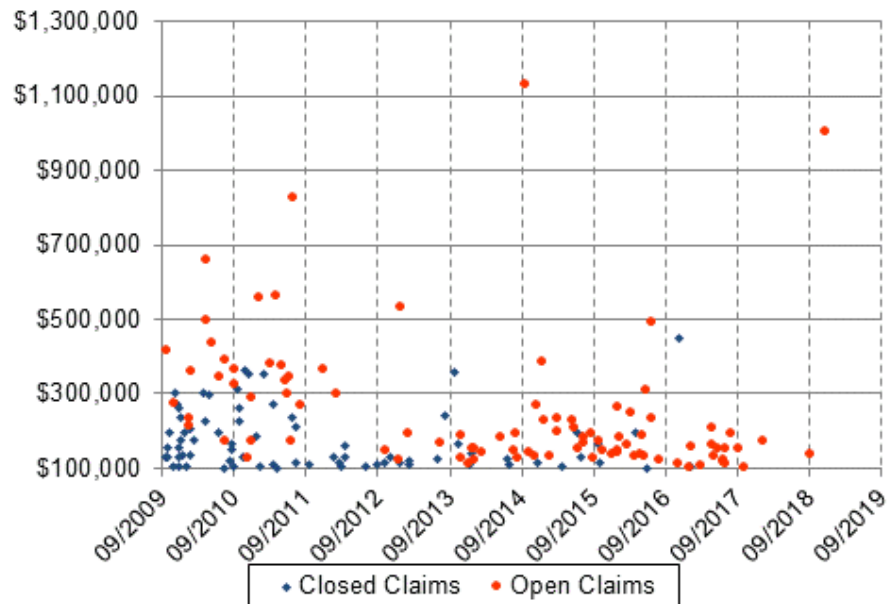
Note: (2) through (7) are based on data provided by DC ORM.

The reported workers compensation claim experience underlying our analysis is shown below in Graphs III-7 and III-8, with each point representing one claim.

Graph III-7
Distribution of Losses
Workers Compensation - PSWCP Claims Only
Incurred Less Than \$100,000
2009/10 through 2018/19



Graph III-8
Distribution of Losses
Workers Compensation - PSWCP Claims Only
Incurred Greater Than \$100,000
2009/10 through 2018/19



7. Affirm GASB Statement No. 10 Compliance

We affirm the conclusions in this actuarial study are consistent with the disclosure requirements of GASB Statement No. 10.

Conditions and Limitations

It is important to understand the conditions and limitations listed below. Each chapter and section is an integral part of the whole study. If there are questions, please contact Aon for clarification.

- **Data Quality.** In conducting this analysis, we relied upon the provided data without audit or independent verification; however, we reviewed it for reasonableness and consistency. Any inaccuracies in quantitative data or qualitative representations could have a significant effect on the results of our review and analysis. Any material discrepancies discovered in the loss data by the organization or any other parties should be reported to us immediately, and if warranted, we will make appropriate amendments to the report.
- **Economic Environment.** Unless otherwise stated, we assumed the current economic conditions will continue in the foreseeable future.
- **Insurance Coverage.** Unless otherwise stated, we assumed no insurance coverage changes (including coverage provided by the organization to others) subsequent to the date this study was prepared. This includes coverage language, self-insured retention, limitations and similar issues.
- **Insurance Solvency.** Unless otherwise stated, we assumed all insurance purchased by the organization is from solvent sources payable in accordance with terms of the coverage document.
- **Interest Rate.** The exhibits specify the annual interest rate used.
- **Methodology.** In this study, different actuarial methods were applied. In some instances, the methods yield significantly disparate results. The estimates, projections and recommendations in this study reflect our judgments as to the best method or combination of methods that are most reliable and reflective of the exposure to loss.
- **Reproduction.** This study may only be reproduced in its entirety.
- **Risk and Variability.** Insurance is an inherently risky enterprise. Actual losses may vary significantly from our estimates, projections and recommendations. They may emerge higher or lower.

- **Statutory and Judicial Changes.** Legislatures and judiciaries may change statutes that govern indemnification. This includes benefit levels for workers compensation, immunities and limitations for liability, and other similar issues. Unless otherwise stated, we assumed no statutory changes subsequent to the date this study was prepared.
- **Supplemental Data.** In addition to the data provided by the organization, we supplemented our analysis with data from similar organizations and insurance industry statistics, as we deemed appropriate.
- **Usage.** This study has been prepared for the usage of the organization shown on the transmittal page. It was not prepared for and may not be appropriate for use by other organizations. Other organizations should obtain written permission from Aon prior to use of this study.

Glossary of Actuarial Terms

Actuarial Methods (Most Common)

A major objective of an actuarial study is to statistically project ultimate losses. The following actuarial methods are the most common:

- Developed Paid Losses
- Developed Reported Incurred Losses
- Developed Case Reserves
- Frequency Times Severity Analysis
- Loss Rate Analysis

The following describes each method:

1. **Developed Paid Losses.** Paid losses represent the amounts actually paid to claimants (less excess insurance recoveries). As time goes on, loss payments continue until all claims are closed and there are no remaining payments expected. At this time, the ultimate losses for the claim period are known. This common process is called “paid loss development.”

Paid loss development is an extrapolation of actual dollars paid. It does not depend on case reserve estimates. A potential shortcoming of utilizing this method is that only a small fraction of total payments have been made for the most recent claim periods. Extrapolating ultimate losses based on small amounts of actual payments may be speculative. A second potential shortcoming is that payment patterns can change over time.

2. **Developed Reported Incurred Losses.** Reported incurred losses are paid losses plus case reserves. In most programs, total reported incurred losses underestimate the ultimate losses. Over time, as more information about a body of claims becomes known, they are adjusted either up or down until they are closed. Though many individual claims settle for less than what was estimated, these decreases are generally more than offset by increases in the cost of other claims for which new information has emerged.

The net effect is that total estimated costs are often revised upward over time. This normal process is called “reported incurred loss development.” Actuaries typically review the development patterns of the recent past to make projections of the expected future loss development and, therefore, estimations of ultimate losses.

3. **Developed Case Reserves.** A case reserve is an estimate of the unpaid amount established by claims adjusters for which a particular claim will ultimately be settled or adjudicated. The developed case reserves method is a hybrid of the paid loss development and reported incurred loss development methods. It relies on the historical adequacy of case reserves to predict ultimate losses.
4. **Frequency Times Severity Analysis.** The frequency times severity analysis is an actuarial method that uses a preliminary projection of ultimate losses to project claims severity. The claims severity times the number of claims is a predictor of ultimate losses. The focus of the frequency times severity analysis is that ultimate losses each period are dependent on the number of claims.
5. **Loss Rate Analysis.** The loss rate analysis is based on the historical loss rates per exposure unit (such as payroll, vehicles or property value). The loss rates (projected ultimate losses divided by exposure units) are trended to reflect the effect of claim cost inflation and retention changes. The trended loss rates represent the rates that one would see if all of the claims had been handled in the claim cost environment that will be present in the upcoming period. The trended loss rate times the projected exposure units is a predictor of losses.
6. **Bornhuetter-Ferguson Method (B-F).** The B-F method is an actuarial method that weights a preliminary projection of ultimate losses with projections of ultimate losses determined by other actuarial methods (usually the developed paid losses and developed reported incurred losses methods). For less mature claim periods, the B-F method leans more heavily to the preliminary projection. It gradually converges to the projections of ultimate losses determined by the other actuarial methods as the claim periods mature.

Actuary

A specialist trained in mathematics, statistics, and finance who is responsible for rate, reserve, and dividend calculations and other statistical studies.

Allocated Loss Adjustment Expenses

Allocated loss adjustment expenses (ALAE) are the direct expenses to settle specific claims. These expenses are primarily legal expenses.

Governmental Accounting Standards Board (GASB) Statement No. 10 requires that ALAE be included in financial statements and that they be calculated by actuarial methods.

American Academy of Actuaries

A society concerned with the development of education in the field of actuarial science and with the enhancement of standards in the actuarial field. Members may use the designation MAAA (Member, American Academy of Actuaries).

Benefits

The financial reimbursement and other services provided insureds by insurers under the terms of an insurance contract. An example would be the benefits listed under a life or health insurance policy or benefits as prescribed by a workers compensation law.

Casualty Actuarial Society

A professional society for actuaries in areas of property and casualty insurance work. This society grants the designation of Associate of the Casualty Actuarial Society (ACAS) and Fellow of the Casualty Actuarial Society (FCAS).

Claim

Demand by an individual or entity to recover for a loss.

Claims Made

A policy written on this basis covers only those claims that are made during the policy period. Coverage for prior acts is provided back to what is known as the retroactive date, which is the effective date of the original claims made policy with the same insurer.

Composite Rate

A single rate with a single basis of premium (e.g., payroll or sales). For this single rate the insured is covered for a variety of hazards, such as premises and operations, completed operations, products liability, and automobile. Its primary value is to compute premium simply.

Confidence Level

A confidence level is the statistical certainty that an actuary believes funding will be sufficient. For example, an 80% confidence level means that the actuary believes funding will be sufficient in eight years out of ten.

Confidence levels are determined based on mathematical models. Coverages that are low frequency and high severity (such as excess liability) are subject to greater risk than coverages that are high frequency and low severity (such as automobile physical damage). Therefore, they need a greater margin to attain a given confidence level.

GASB Statement No. 10 requires public entities to use “expected” amounts as a liability in financial statements. Expected corresponds to approximately a 55% confidence level. Amounts above expected are prudent, but should be considered equity (not a liability).

Coverage

The scope of the protection provided under a contract of insurance.

Credibility

Credibility is the belief that the sample data is an accurate reflection of the larger population. Credibility is highest when the sample data is large and the standard deviation (discussed later) of the larger population is low.

Dates

There are at least three milestone dates in a claim. They are the date of injury or accident, the date of report and the date of closure. It is best if each of these dates is recorded. Some organizations may also keep the date a claim becomes a lawsuit, as opposed to a demand. Aon recommends this additional level of detail, especially if the data is to be used for litigation management.

Deductible

The portion of an insured loss to be borne by the insured before he is entitled to recovery from the insurer. Deductibles may be expressed as a dollar amount, percentage or waiting period.

Disability

A condition that curtails a person's ability to carry on his normal pursuits. A disability may be partial or total, and temporary or permanent.

Dividend (Policyholder)

The return of part of the premium paid for a policy issued on a participating basis by either a mutual or a stock insurer.

Estimated Outstanding Losses

Estimated outstanding losses are the cost of claims that have occurred but have not yet been paid. They typically include indemnification and allocated loss adjustment expenses (ALAE), but not unallocated loss adjustment expenses (ULAE).

Estimated outstanding losses are calculated as projected ultimate losses less paid losses. Alternatively, they are the sum of case reserves and incurred but not reported (IBNR) claims.

Estimated outstanding losses are usually the largest single item listed as a liability on the balance sheet of a public entity's financial statement. GASB Statement No. 10 requires they be calculated by actuarial methods. Other common names for estimated outstanding losses are outstanding claims liabilities and unpaid claims.

Experience Rating

A method of adjusting the premium for a risk based on past loss experience for that risk compared to loss experience for an average risk.

Exposure Data

Exposure data refers to the activities of the organization. For example, payroll is the most common exposure measure for workers compensation. Aon suggests collecting exposure data with the following characteristics:

- **Readily Available.** The exposure data should be easily obtained. It is best if it is a byproduct of other activities, although this is not always possible. If getting data is arduous, it may discourage collection.

- **Vary With Losses.** The exposure data should correlate directly with losses. The ideal situation is where exposure and expected losses move in tandem. The exposure base needs to be fitting to the coverage. For example, the number of employees may vary with property losses (more employees = more office space = more losses), but property value is a clearly superior exposure base for property losses.

Generally Accepted Accounting Principles (GAAP)

These principles are intended to produce financial results (in the insurance industry) consistent with those of other industries and to assure consistency in financial reporting.

Incurred But Not Reported

IBNR is really comprised of two distinct items. These are the development of known case reserves (incurred but not enough reported [IBNER] and incurred but not yet reported [IBNYR]).

IBNER are the actuary's estimate of the inadequacy of case reserves. Most claims settle at amounts close to what is set by the claims administrator. Some claims close favorably and some emerge as more expensive. On balance, case reserves tend to be too low (especially for recent years). IBNER is the actuary's estimate of the amount total case reserves will rise upon closure.

IBNYR refers to those claims that have occurred, but have not yet been reported. A classic example is medical malpractice claim reported several years after the medical procedure was performed.

Insurance Services Office (ISO)

An organization of the property and casualty insurance business designed to gather statistics, promulgate rates, and develop policy forms.

Investment Income

The return received by entities from their investment portfolios, including interest, dividends and realized capital gains on stocks. Realized capital gains means the profit realized on assets that have actually been sold for more their purchase price.

Limited

Most programs purchase excess insurance for catastrophic claims. For example, they may purchase coverage for claims above a \$500,000 per occurrence self-insured retention. “Limited” refers to an estimate or projection being limited to the self-insured retention. In contrast, “unlimited” means a loss projection not limited to the self-insured retention.

Other common names for limited are net of excess insurance or capped losses.

Loss Development

The difference between the amount of losses initially estimated by the insurer and the amount reported in an evaluation on a later date. Loss development is typically measured for paid losses, reported incurred losses and claim counts.

Manual Rates

Usually, the published rate for some unit of insurance. An example is in the workers compensation manual, where the rates shown apply to each \$100 of the payroll of the insured, \$100 being the “unit.”

National Council on Compensation Insurance (NCCI)

An association of workers compensation insurance companies whose main functions are collecting statistics and calculating rates, establishing policy wording, developing experience and retrospective rating plans, and serving as the filing organization for member companies.

Net

Many pooling programs assign deductibles to members. For example, each member may have a \$5,000 per claim deductible. “Net” refers to a loss estimate or projection that excludes amounts below member deductibles.

Occurrence

An event that results in an insured loss. In some lines of insurance, such as general liability, it is distinguished from accident in that the loss does not have to be sudden and fortuitous and can result from continuous or repeated exposure that results in bodily injury or property damage neither expected nor intended by the insured.

Pool

An organization of entities through which particular types of risks are written with the premiums, losses, and expenses shared in agreed amounts among the members belonging to the organization.

Premium

The price of insurance protection for a specified risk for a specified period of time.

Present Value

The amount of money that future amounts receivable are currently worth. For example, a Life Insurance policy may provide for payments to be made monthly for ten years. The present value of that money would be less than the total amount of the regular periodic payments for 10 years because of the amount of interest that a present lump sum could earn during the term than the payments otherwise would have been made.

Probability

The probability is the likelihood of an event. It is a measure of how likely a value or event is to occur. It can be measured from data by calculating the number of occurrences of the value or event divided by the total number of occurrences. This calculation can be converted to a percentage. For example, tossing a coin has a 50% probability of heads or tails.

Projected Losses Paid

Projected losses paid are the projected claims disbursements in a period, regardless of when the claim occurred. They typically include indemnification and ALAE, but not unallocated loss adjustment expenses (ULAE).

“Projected losses paid” is a cash-flow analysis that can be used in making investment decisions.

Projected Ultimate Losses

Projected ultimate losses are the accrual value of claims. They are the total amount that is expected to be paid in a particular claim period after all claims are closed. Projected ultimate losses are the total loss costs for a particular period. They typically include indemnification and ALAE, but not ULAE.

Other common names for projected ultimate losses are expected losses, ultimate losses and total losses.

Rate

The cost of a given unit of insurance. For example, in life insurance, it is the price of \$1,000 of the face amount. In property insurance, it is the rate per \$100 of value to be insured. The premium is the rate multiplied by the number of units of insurance purchased.

Retrospective Rating

A method for which the final premium is not determined until the end of the coverage period, and is based on the insured's own loss experience for that same period. It is usually subject to a maximum and minimum premium. A plan of this type can be used in various types of insurance, especially workers compensation and liability, and is usually elected by only very large insureds.

Salvage

Property taken over by an entity to reduce its loss. Automobile physical damage losses can be reduced by the sale of recovered vehicles.

Schedule Rating

The application of debits or credits within established ranges for various characteristics of a risk according to an established schedule of items. Under liability and automobile insurance, the schedule rating plan allows credits and debits for various good or bad features of a particular commercial risk. An example in automobile schedule rating would be allowing credits for driver training classes or fleet maintenance programs.

Self-Insurance Retention (SIR)

That portion of a risk or potential loss assumed by an insured. It is often in the form of a per occurrence deductible.

Society of Actuaries (SOA)

A professional society for actuaries in areas of pensions, and life and health insurance work. The SOA grants the designation Associate of the Society of Actuaries (ASA) and Fellow of the Society of Actuaries (FSA).

Standard Premium

Most often used in connection with retrospective rating for Workers Compensation and General Liability Insurance. It is the premium of which the basic premium is a percentage and is developed by applying the regular rates to an insured's payroll.

State Fund

A fund set up by a state government to finance a mandatory insurance system, such as Workers Compensation or non-occupational disability benefits. Such a fund may be monopolistic, i.e., purchasers of the type of insurance required must place it in the state fund; or it may be competitive, i.e., an alternative to private insurance if the purchaser desires to use it.

Statutory Accounting Principles (SAP)

Those principles required by statute that must be followed by an insurance company or other similar entity when submitting its financial statement to the state insurance department. Such principles differ from (GAAP) in some important respects. For one thing SAP requires that expenses must be recorded immediately and cannot be deferred to track with premiums as they are earned and taken into revenue.

Unallocated Loss Adjustment Expenses

Unallocated loss adjustment expenses (ULAE) are the indirect expenses to settle claims. These expenses are primarily administration and claims handling expenses.

GASB Statement No. 10 requires that ULAE be included in financial statements and that they be calculated by actuarial methods.

Exhibits

The attached exhibits detail our analysis.

Estimated Outstanding Losses as of September 30, 2019

Coverage (1)	Unlimited Paid Losses 9/30/19 (2)	Unlimited Case Reserves 9/30/19 (3)	Unlimited Reported Incurred Losses 9/30/19 (4)	Projected Ultimate Unlimited Losses (5)	Estimated IBNR 9/30/19 (6)	Estimated Outstanding Losses 9/30/19 (7)	Present Value of Estimated Outstanding Losses 9/30/19 (8)
1. Workers Compensation							
PSWCP	\$295,935,771	\$36,207,171	\$332,142,942	\$363,322,996	\$31,180,051	\$67,387,221	\$62,186,611
Police	N/A	459,853	N/A	N/A	1,087,476	1,547,329	1,547,329
Fire	N/A	807,752	N/A	N/A	379,144	1,186,896	1,186,896
Subtotal		\$37,474,776			\$32,646,671	\$70,121,446	\$64,920,836
2. Liability							
General Liability	\$8,787,430	\$1,064,319	\$9,851,749	\$10,100,718	\$248,968	\$1,313,287	\$1,291,056
Automobile Liability	17,657,686	917,290	18,574,976	19,186,926	611,950	1,529,240	1,507,550
Subtotal	\$26,445,116	\$1,981,609	\$28,426,725	\$29,287,644	\$860,918	\$2,842,527	\$2,798,606
3. Subtotal		\$39,456,385			\$33,507,589	\$72,963,973	\$67,719,442
4. ULAE							
PSWCP						\$7,412,594	\$6,840,527
Police						170,206	170,206
Fire						130,559	130,559
General Liability						196,993	193,658
Automobile Liability						229,386	226,133
Subtotal						\$8,139,738	\$7,561,083
5. Total						\$81,103,711	\$75,280,524

(8) assumes an interest rate of 1.75%.

Section 4 is based on Columns (7) and (8) of Sections 1 and 2, and the selected ULAE ratios in Summary Exhibits 2 and 3. A ratio of 11.0% is selected for Police and Fire.

Estimated Outstanding Unallocated Loss Adjustment Expenses
Workers Compensation

Fiscal Period (1)	Total Paid Losses During Fiscal Period (2)	ULAE- Other Operating Expenses (3)	Ratio (3)/(2) (4)
2010/11	\$22,236,574	\$3,849,946	17.3%
2011/12	21,921,559	3,790,632	17.3%
2012/13	17,152,307	3,813,662	22.2%
2013/14	15,821,997	3,999,781	25.3%
2014/15	19,897,894	3,908,573	19.6%
2015/16	16,274,159	4,343,560	26.7%
2016/17	20,254,485	4,517,109	22.3%
2017/18	15,734,745	4,354,619	27.7%
2018/19	16,842,155	3,583,689	21.3%
Total/Average	\$166,135,875	\$36,161,570	21.8%
(5) Selected Paid-to-Paid Ratio			22.0%

	Amount (a)	Estimated ULAE (b)
(6) Case Reserves as of 9/30/19	\$36,207,171	\$3,982,789
(7) (i) Estimated Pure IBNR	\$264,786	\$58,253
(ii) Case Reserve Development	30,915,265	3,400,679
(iii) Estimated IBNR as of 9/30/19	\$31,180,051	\$3,458,932
(8) Outstanding Losses as of 9/30/19 (6)+(7iii)	\$67,387,222	\$7,441,721
(9) Ratio of ULAE to Outstanding Losses (8b)/(8a)		11.0%
(10) Selected Ratio of ULAE to Outstanding Losses		11.0%

(2) and (3) were provided by . (3) includes amounts for the TPA contract and salaries of ORM employees who oversee the program. Salaries were provided for 2012/13 and subsequent. Salaries for 2010/11 and 2011/12 are assumed equal to 2012/13.

(5) is based on (4) and actuarial judgment.

(6a) is from Summary Exhibit 1.

(6b) is 50% of (6a) multiplied by (5).

(7ia) is based on estimated late reported claims multiplied by the average severity from Exhibit WC-8.

(7ib) is (7ia) multiplied by (5).

(7iia) is (7iia) minus (7ia).

(7iib) is 50% of (7iia) multiplied by (5).

(7iia) is from Summary Exhibit 1.

(7iib) is (7ib) plus (7iib).

(10) is based on (9) and actuarial judgment.

Estimated Outstanding Unallocated Loss Adjustment Expenses
Tort Liability

Fiscal Period (1)	Total Paid Losses During Fiscal Period (2)	ULAE- Other Operating Expenses (3)	Ratio (3)/(2) (4)
2010/11	\$2,416,012	386,509	16.0%
2011/12	1,577,582	386,509	24.5%
2012/13	1,646,403	386,509	23.5%
2013/14	3,271,293	384,670	11.8%
2014/15	1,954,803	555,789	28.4%
2015/16	2,054,441	880,113	42.8%
2016/17	1,392,431	941,419	67.6%
2017/18	948,561	925,933	97.6%
2018/19	1,399,498	1,040,965	74.4%
Total/Average	\$16,661,025	\$5,888,416	35.3%
(5) Selected Paid-to-Paid Ratio			30.0%

	Amount (a)	Estimated ULAE (b)
(6) Case Reserves as of 9/30/19	\$1,981,609	\$297,241
(7) (i) Estimated Pure IBNR	\$0	\$0
(ii) Case Reserve Development	860,918	129,138
(iii) Estimated IBNR as of 9/30/19	\$860,918	\$129,138
(8) Outstanding Losses as of 9/30/19 (6)+(7iii)	\$2,842,527	\$426,379
(9) Ratio of ULAE to Outstanding Losses (8b)/(8a)		15.0%
(10) Selected Ratio of ULAE to Outstanding Losses		15.0%

(2) and (3) were provided by . (3) includes amounts for the database contract and salaries of ORM employees who oversee the program. Salaries were provided for 2012/13 and subsequent. Salaries for 2010/11 and 2011/12 are assumed equal to 2012/13.

(5) is based on (4) and actuarial judgment.

(6a) is from Summary Exhibit 1.

(6b) is 50% of (6a) multiplied by (5).

(7ia) is assumed to be \$0.

(7ib) is (7ia) multiplied by (5).

(7iia) is (7iia) minus (7ia).

(7iib) is 50% of (7iia) multiplied by (5).

(7iia) is from Summary Exhibit 1.

(7iiib) is (7ib) plus (7iib).

(10) is based on (9) and actuarial judgment.

DISTRICT OF COLUMBIA
WORKERS' COMPENSATION

Exhibit WC-1

Data Summary as of September 30, 2019
Net of Recoveries

Claim Period (1)	Specific Self-Insured Retention (2)	Aggregate Retention (3)	Months of Development 9/30/19 (4)	Payroll (000) (5)	Reported Claims 9/30/19 (6)	Open Claims 9/30/19 (7)	Net Unlimited Paid Losses 9/30/19 (8)	Net Unlimited Case Reserves 9/30/19 (9)	Net Unlimited Reported Incurred Losses 9/30/19 (10)
to 1990/91	Unlimited	None	348.0	Not Provided	365	109	\$69,005,586	\$11,209,576	\$80,215,162
1991/92	Unlimited	None	336.0	Not Provided	24	6	4,289,040	1,106,773	5,395,813
1992/93	Unlimited	None	324.0	Not Provided	30	9	4,683,365	1,607,522	6,290,887
1993/94	Unlimited	None	312.0	Not Provided	41	7	5,293,495	644,931	5,938,427
1994/95	Unlimited	None	300.0	Not Provided	37	10	8,600,351	839,299	9,439,651
1995/96	Unlimited	None	288.0	Not Provided	34	9	4,261,724	647,875	4,909,599
1996/97	Unlimited	None	276.0	Not Provided	48	11	7,605,640	1,115,533	8,721,174
1997/98	Unlimited	None	264.0	Not Provided	40	7	5,425,606	562,748	5,988,355
1998/99	Unlimited	None	252.0	Not Provided	34	8	6,303,702	780,217	7,083,919
1999/00	Unlimited	None	240.0	Not Provided	62	8	6,352,836	355,359	6,708,196
2000/01	Unlimited	None	228.0	Not Provided	108	10	6,834,126	1,097,897	7,932,024
2001/02	Unlimited	None	216.0	Not Provided	140	8	9,306,914	376,168	9,683,082
2002/03	Unlimited	None	204.0	Not Provided	234	7	11,297,442	649,128	11,946,571
2003/04	Unlimited	None	192.0	1,689,505	609	6	10,182,285	177,099	10,359,384
2004/05	Unlimited	None	180.0	1,771,286	892	11	16,925,403	334,129	17,259,532
2005/06	Unlimited	None	168.0	1,915,930	836	9	12,466,133	395,643	12,861,777
2006/07	Unlimited	None	156.0	2,009,447	802	9	14,110,035	658,571	14,768,606
2007/08	Unlimited	None	144.0	2,096,929	766	17	13,704,721	1,144,525	14,849,246
2008/09	Unlimited	None	132.0	2,247,761	792	22	15,617,066	928,656	16,545,721
2009/10	Unlimited	None	120.0	2,036,925	846	12	13,963,437	857,459	14,820,896
2010/11	Unlimited	None	108.0	1,979,225	876	21	12,586,985	1,102,405	13,689,390
2011/12	Unlimited	None	96.0	2,109,455	800	5	5,089,719	119,350	5,209,068
2012/13	Unlimited	None	84.0	2,156,439	633	16	5,213,062	317,803	5,530,865
2013/14	Unlimited	None	72.0	2,256,429	629	28	5,302,727	631,096	5,933,823
2014/15	Unlimited	None	60.0	2,442,007	648	40	7,259,476	1,310,644	8,570,120
2015/16	Unlimited	None	48.0	2,620,064	735	49	5,952,635	1,430,995	7,383,630
2016/17	Unlimited	None	36.0	2,740,690	514	73	4,120,255	1,345,229	5,465,484
2017/18	Unlimited	None	24.0	2,890,437	408	159	2,708,942	1,541,645	4,250,587
2018/19	Unlimited	None	12.0	2,997,794	568	400	1,473,062	2,918,895	4,391,957
Total					12,551	1,086	\$295,935,771	\$36,207,171	\$332,142,942

(6) and (7) exclude claims with \$0 incurred.

(8), (9) and (10) are net of recoveries.

We were advised by PRM Consulting that claim data for 2003/04 and prior may not be complete.

Data was provided by DC Office of Risk Management.

DISTRICT OF COLUMBIA
WORKERS' COMPENSATION

Exhibit WC-1 (page 2)

Data Summary as of September 30, 2019
Gross of Recoveries

Claim Period (1)	Specific Self-Insured Retention (2)	Aggregate Retention (3)	Months of Development 9/30/19 (4)	Payroll (000) (5)	Reported Claims 9/30/19 (6)	Open Claims 9/30/19 (7)	Unlimited Paid Losses 9/30/19 (8)	Unlimited Case Reserves 9/30/19 (9)	Unlimited Reported Incurred Losses 9/30/19 (10)
to 1990/91	Unlimited	None	348.0	Not Provided	365	109	\$69,039,965	\$11,209,576	\$80,249,541
1991/92	Unlimited	None	336.0	Not Provided	24	6	4,289,415	1,106,773	5,396,188
1992/93	Unlimited	None	324.0	Not Provided	30	9	4,711,229	1,607,522	6,318,751
1993/94	Unlimited	None	312.0	Not Provided	41	7	5,293,495	644,931	5,938,427
1994/95	Unlimited	None	300.0	Not Provided	37	10	8,643,457	839,299	9,482,756
1995/96	Unlimited	None	288.0	Not Provided	34	9	4,263,099	647,875	4,910,974
1996/97	Unlimited	None	276.0	Not Provided	48	11	7,605,640	1,115,533	8,721,174
1997/98	Unlimited	None	264.0	Not Provided	40	7	5,425,606	562,748	5,988,355
1998/99	Unlimited	None	252.0	Not Provided	34	8	6,350,095	780,217	7,130,312
1999/00	Unlimited	None	240.0	Not Provided	62	8	6,364,013	355,359	6,719,373
2000/01	Unlimited	None	228.0	Not Provided	108	10	6,851,069	1,097,897	7,948,966
2001/02	Unlimited	None	216.0	Not Provided	140	8	9,315,455	376,168	9,691,623
2002/03	Unlimited	None	204.0	Not Provided	234	7	11,527,935	649,128	12,177,063
2003/04	Unlimited	None	192.0	1,689,505	609	6	10,242,223	177,099	10,419,322
2004/05	Unlimited	None	180.0	1,771,286	892	11	17,114,492	334,129	17,448,621
2005/06	Unlimited	None	168.0	1,915,930	836	9	12,597,861	395,643	12,993,504
2006/07	Unlimited	None	156.0	2,009,447	802	9	14,175,149	658,571	14,833,720
2007/08	Unlimited	None	144.0	2,096,929	766	17	13,787,814	1,144,525	14,932,339
2008/09	Unlimited	None	132.0	2,247,761	792	22	15,927,461	928,656	16,856,117
2009/10	Unlimited	None	120.0	2,036,925	846	12	14,386,865	857,459	15,244,323
2010/11	Unlimited	None	108.0	1,979,225	876	21	12,857,447	1,102,405	13,959,852
2011/12	Unlimited	None	96.0	2,109,455	800	5	5,222,641	119,350	5,341,991
2012/13	Unlimited	None	84.0	2,156,439	633	16	5,277,996	317,803	5,595,799
2013/14	Unlimited	None	72.0	2,256,429	629	28	5,330,909	631,096	5,962,004
2014/15	Unlimited	None	60.0	2,442,007	648	40	7,318,456	1,310,644	8,629,100
2015/16	Unlimited	None	48.0	2,620,064	735	49	5,998,789	1,430,995	7,429,785
2016/17	Unlimited	None	36.0	2,740,690	514	73	4,132,963	1,345,229	5,478,193
2017/18	Unlimited	None	24.0	2,890,437	408	159	2,719,000	1,541,645	4,260,644
2018/19	Unlimited	None	12.0	2,997,794	568	400	1,478,533	2,918,895	4,397,428
Total					12,551	1,086	\$298,249,071	\$36,207,171	\$334,456,243

(6) and (7) exclude claims with \$0 incurred.

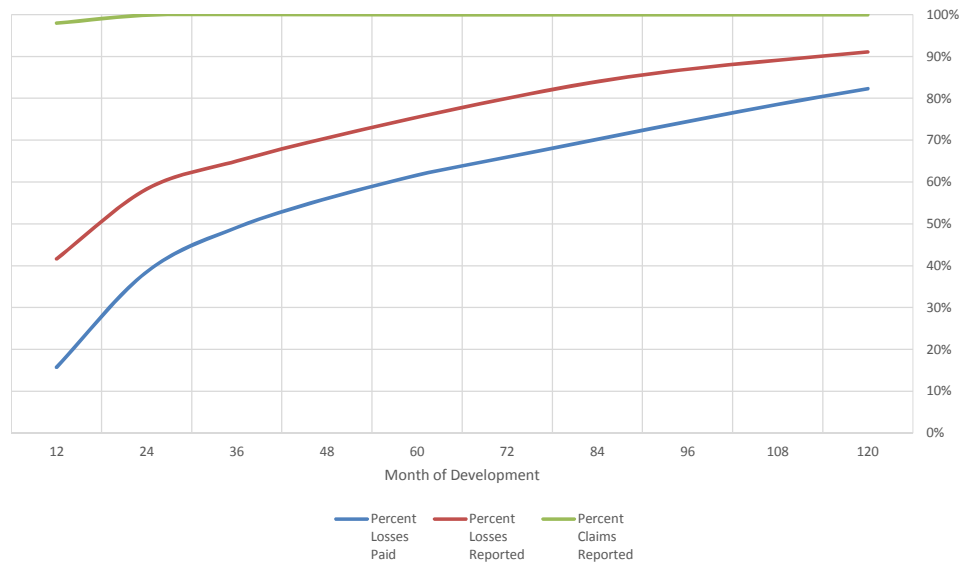
(8), (9) and (10) are gross of recoveries.

We were advised by PRM Consulting that claim data for 2003/04 and prior may not be complete.

Data was provided by DC Office of Risk Management.

Summary of Percent Losses Paid, Losses Reported and Claims Reported

Months of Development (1)	Percent Losses Paid (2)	Percent Losses Reported (3)	Percent Claims Reported (4)
360.0	99.4%	100.0%	100.0%
348.0	99.2%	100.0%	100.0%
336.0	99.1%	100.0%	100.0%
324.0	98.9%	100.0%	100.0%
312.0	98.6%	100.0%	100.0%
300.0	98.4%	100.0%	100.0%
288.0	98.0%	100.0%	100.0%
276.0	97.6%	100.0%	100.0%
264.0	97.1%	100.0%	100.0%
252.0	96.5%	99.9%	100.0%
240.0	95.7%	99.2%	100.0%
228.0	94.8%	98.9%	100.0%
216.0	94.5%	98.5%	100.0%
204.0	93.9%	98.0%	100.0%
192.0	93.3%	97.5%	100.0%
180.0	92.7%	97.0%	100.0%
168.0	91.5%	96.3%	100.0%
156.0	90.2%	95.5%	100.0%
144.0	88.6%	94.6%	100.0%
132.0	86.0%	92.9%	100.0%
120.0	82.3%	91.1%	100.0%
108.0	78.5%	89.1%	100.0%
96.0	74.4%	86.9%	100.0%
84.0	70.2%	84.0%	100.0%
72.0	65.9%	80.0%	100.0%
60.0	61.6%	75.5%	100.0%
48.0	56.0%	70.5%	100.0%
36.0	49.1%	65.0%	100.0%
24.0	38.5%	58.3%	99.9%
12.0	15.7%	41.6%	97.9%



(2) is from Exhibit WC-2 (page 2).

(3) is from Exhibit WC-2 (page 3).

(4) is from Exhibit WC-2 (page 4).

DISTRICT OF COLUMBIA
WORKERS' COMPENSATION

Exhibit WC-2 (page 2)

Historical Unlimited Paid Losses (\$000) and Unlimited Paid Loss Development

I. Historical Unlimited Paid Losses (\$000)

Claim Period	12	24	Months of Development:		36	48	60	72	84	96	108	120	132	144	156	168	180	192	204	216	228	240
1999/00								\$761	\$1,855	\$2,413	\$3,020	\$3,612	\$4,052	\$4,401	\$4,914	\$4,953	\$5,194	\$5,460	\$5,653	\$5,907	\$6,136	\$6,364
2000/01							902	1,746	2,165	2,981	3,707	4,180	4,589	5,033	5,292	5,503	5,841	6,122	6,399	6,611	6,851	
2001/02						1,060	2,142	3,013	3,972	4,703	5,365	5,930	6,379	7,127	7,503	7,971	8,317	8,719	9,131	9,315		
2002/03					1,547	3,393	4,315	5,188	6,160	7,117	7,769	8,511	8,850	9,167	9,725	10,267	10,756	11,119	11,528			
2003/04		2,754			4,794	5,690	6,277	6,668	7,556	8,004	8,373	8,699	8,939	9,268	9,493	9,799	10,073	10,242				
2004/05	2,329	6,286	7,831	9,113	10,335	11,651	12,619	13,477	14,011	14,489	15,102	15,573	16,110	16,615	17,114							
2005/06	2,347	5,374	6,567	7,739	8,599	9,263	9,773	10,256	10,643	11,168	11,560	11,908	12,235	12,598								
2006/07	2,024	5,109	6,845	8,274	9,470	10,317	10,949	11,753	12,395	12,869	13,429	13,824	14,175									
2007/08	2,037	5,264	7,018	8,193	9,144	9,876	10,554	11,491	11,997	12,642	13,210	13,788										
2008/09	2,618	6,500	8,535	10,231	11,286	12,088	12,948	13,623	14,725	15,425												
2009/10	3,093	6,949	8,936	10,066	11,005	12,029	12,690	13,555	14,027	14,387												
2010/11	2,758	6,370	7,946	8,805	9,796	10,593	11,423	12,127														
2011/12	1,893	3,524	4,124	4,598	4,726	4,978	5,082	5,223														
2012/13	1,528	2,920	3,663	4,304	4,725	5,039	5,278															
2013/14	1,479	3,435	4,253	4,833	5,168	5,331																
2014/15	1,811	4,456	6,002	6,674	7,318																	
2015/16	1,366	3,880	5,120	5,999																		
2016/17	1,864	3,259	4,133																			
2017/18	1,033	2,719																				
2018/19	1,479																					

II. Unlimited Paid Loss Development

Claim Period	12-24	24-36	Months of Development:		36-48	48-60	60-72	72-84	84-96	96-108	108-120	120-132	132-144	144-156	156-168	168-180	180-192	192-204	204-216	216-228	228-240	240-Ult
1999/00								2.438	1.301	1.252	1.196	1.122	1.086	1.117	1.008	1.049	1.051	1.035	1.045	1.039	1.037	
2000/01							1.936	1.240	1.377	1.244	1.128	1.098	1.097	1.051	1.040	1.061	1.048	1.045	1.033	1.036		
2001/02						2.021	1.407	1.318	1.184	1.141	1.105	1.076	1.117	1.053	1.062	1.043	1.048	1.047	1.020			
2002/03					2.193	1.272	1.202	1.187	1.155	1.092	1.096	1.016	1.060	1.061	1.056	1.048	1.034	1.037				
2003/04		1.741			1.187	1.103	1.062	1.133	1.059	1.046	1.039	1.028	1.037	1.024	1.032	1.028	1.017					
2004/05	2.699	1.246	1.164	1.134	1.127	1.083	1.068	1.040	1.034	1.042	1.031	1.034	1.031	1.030								
2005/06	2.290	1.222	1.178	1.111	1.077	1.055	1.049	1.038	1.049	1.035	1.030	1.027	1.030									
2006/07	2.524	1.340	1.209	1.145	1.089	1.061	1.073	1.055	1.038	1.044	1.029	1.025										
2007/08	2.584	1.333	1.167	1.116	1.080	1.069	1.089	1.044	1.054	1.045	1.044											
2008/09	2.483	1.313	1.199	1.103	1.071	1.071	1.052	1.081	1.048	1.033												
2009/10	2.247	1.286	1.126	1.093	1.093	1.055	1.068	1.035	1.026													
2010/11	2.310	1.247	1.108	1.113	1.081	1.078	1.062	1.060														
2011/12	1.862	1.170	1.115	1.028	1.053	1.021	1.028															
2012/13	1.911	1.254	1.175	1.098	1.066	1.047																
2013/14	2.323	1.238	1.136	1.069	1.032																	
2014/15	2.461	1.347	1.112	1.097																		
2015/16	2.840	1.320	1.172																			
2016/17	1.748	1.268																				
2017/18	2.633																					
2018/19																						
Average																						
All	2.351	1.309	1.232	1.179	1.170	1.204	1.120	1.094	1.074	1.054	1.059	1.049	1.037	1.043	1.040	1.041	1.033	1.038	1.037			
Wtd 3	2.313	1.316	1.139	1.089	1.050	1.057	1.059	1.059	1.042	1.040	1.035	1.029	1.031	1.034	1.032	1.042	1.031					
Last 3	2.407	1.312	1.140	1.088	1.050	1.049	1.052	1.059	1.042	1.040	1.034	1.029	1.031	1.035	1.033	1.043	1.033					
Last 5	2.472	1.281	1.141	1.088	1.067	1.058	1.061	1.053	1.045	1.040	1.033	1.029	1.040	1.040	1.043							
x-hi,low																						
Similar	2.434	1.422	1.217	1.130	1.084	1.050	1.038	1.033	1.023	1.022	1.017	1.013	1.012	1.013	1.006	1.007	1.006	1.003	1.055			
Previous	2.400	1.275	1.140	1.100	1.080	1.070	1.065	1.050	1.048	1.045	1.030	1.015	1.007	1.013	1.007	1.006	1.005	1.002	1.063			
Selected	2.450	1.275	1.140	1.100	1.070	1.065	1.060	1.055	1.048	1.045	1.030	1.018	1.015	1.013	1.006	1.007	1.006	1.003	1.055			
Cumulative	6.356	2.594	2.035	1.785	1.623	1.516	1.424	1.343	1.273	1.215	1.163	1.129	1.109	1.092	1.078	1.072	1.065	1.058	1.055			
Percent	15.7%	38.5%	49.1%	56.0%	61.6%	65.9%	70.2%	74.4%	78.5%	82.3%	86.0%	88.6%	90.2%	91.5%	92.7%	93.3%	93.9%	94.5%	94.8%			

We were advised by PRM Consulting that claim data for 2003/04 and prior may not be complete. As such, we did not rely on the indicated development factors for these years.

Amounts are unlimited and gross of recoveries.

Data was provided by DC Office of Risk Management.

Historical Unlimited Reported Incurred Losses (\$000) and Unlimited Reported Incurred Loss Development

I. Historical Unlimited Reported Incurred Losses (\$000)

Claim Period	12	24	Months of Development:		60	72	84	96	108	120	132	144	156	168	180	192	204	216	228	240
			36	48																
1999/00						\$1,712	\$2,671	\$2,901	\$4,306	\$5,143	\$5,212	\$5,916	\$6,258	\$6,214	\$6,376	\$6,613	\$6,619	\$6,389	\$6,586	\$6,719
2000/01					1,815	2,700	3,208	4,144	6,977	7,115	6,633	7,019	7,147	7,009	7,278	7,661	7,745	7,922	7,949	
2001/02				1,986	2,965	4,194	5,592	6,446	7,907	8,140	8,239	8,759	9,003	9,057	9,392	9,559	9,930	9,692		
2002/03			3,103	4,737	7,110	8,895	10,878	11,888	12,026	12,222	12,012	12,386	11,855	12,415	12,713	13,006	12,177			
2003/04		5,682	7,048	7,894	7,796	8,143	9,920	10,038	9,358	9,307	9,455	9,893	10,217	10,532	10,631	10,419				
2004/05	6,580	9,102	9,273	11,699	13,106	15,236	15,833	15,874	15,659	16,000	16,730	17,225	17,160	17,604	17,449					
2005/06	7,050	7,380	8,306	10,057	11,331	11,867	11,657	11,895	12,148	12,649	13,027	13,222	13,652	12,994						
2006/07	8,117	8,220	9,670	12,109	12,187	12,616	12,920	13,404	14,077	14,158	14,495	15,003	14,834							
2007/08	6,987	8,439	9,254	9,847	10,246	10,801	11,525	12,686	13,216	13,976	14,565	14,932								
2008/09	11,153	10,477	11,564	12,478	13,550	14,168	14,811	15,514	16,684	17,020	16,856									
2009/10	9,116	10,040	11,028	11,712	12,670	13,980	14,769	15,160	15,290	15,244										
2010/11	7,114	8,443	9,569	10,518	11,646	12,480	13,316	13,859	13,960											
2011/12	4,338	4,620	5,382	5,677	5,678	5,491	5,383	5,342												
2012/13	4,069	5,627	6,164	6,811	6,400	6,178	5,596													
2013/14	6,963	8,519	8,306	7,587	6,280	5,962														
2014/15	5,581	9,190	10,193	8,801	8,629															
2015/16	5,568	8,427	7,917	7,430																
2016/17	5,485	6,629	5,478																	
2017/18	2,806	4,261																		
2018/19	4,397																			

II. Unlimited Reported Incurred Loss Development

Claim Period	12-24	24-36	Months of Development:		60-72	72-84	84-96	96-108	108-120	120-132	132-144	144-156	156-168	168-180	180-192	192-204	204-216	216-228	228-240	240-Ult
			36-48	48-60																
1999/00						1.560	1.086	1.484	1.194	1.013	1.135	1.058	0.993	1.026	1.037	1.001	0.965	1.031	1.020	
2000/01					1.488	1.188	1.292	1.684	1.020	0.932	1.058	1.018	0.981	1.038	1.053	1.011	1.023	1.003		
2001/02				1.493	1.415	1.333	1.153	1.227	1.029	1.012	1.063	1.028	1.006	1.037	1.018	1.039	0.976			
2002/03			1.527	1.501	1.251	1.223	1.093	1.012	1.016	0.983	1.031	0.957	1.047	1.024	1.023	0.936				
2003/04		1.240	1.120	0.988	1.045	1.218	1.012	0.932	0.995	1.016	1.046	1.033	1.031	1.009	0.980					
2004/05	1.383	1.019	1.262	1.120	1.163	1.039	1.003	0.986	1.022	1.046	1.030	0.996	1.026	0.991						
2005/06	1.047	1.125	1.211	1.127	1.047	0.982	1.020	1.021	1.041	1.030	1.015	1.033	0.952							
2006/07	1.013	1.176	1.252	1.006	1.035	1.024	1.037	1.050	1.006	1.024	1.035	0.989								
2007/08	1.208	1.097	1.064	1.041	1.054	1.067	1.101	1.042	1.058	1.042	1.025									
2008/09	0.939	1.104	1.079	1.086	1.046	1.045	1.047	1.075	1.020	0.990										
2009/10	1.101	1.098	1.062	1.082	1.103	1.056	1.026	1.009	0.997											
2010/11	1.187	1.133	1.099	1.107	1.072	1.067	1.041	1.007												
2011/12	1.065	1.165	1.055	1.000	0.967	0.980	0.992													
2012/13	1.383	1.095	1.105	0.940	0.965	0.906														
2013/14	1.223	0.975	0.913	0.828	0.949															
2014/15	1.647	1.109	0.863	0.980																
2015/16	1.513	0.939	0.939																	
2016/17	1.209	0.826																		
2017/18	1.519																			
2018/19																				
Average																				
All	1.245	1.079	1.111	1.093	1.114	1.121	1.070	1.127	1.036	1.009	1.049	1.014	1.005	1.021	1.022	0.997	0.988	1.017	1.020	
Wtd 3	1.394	0.973	0.902	0.918	0.960	1.006	1.027	1.031	1.023	1.017	1.025	1.004	1.002	1.006	1.008	0.988	0.988			
Last 3	1.414	0.958	0.905	0.916	0.961	0.984	1.020	1.030	1.025	1.019	1.025	1.006	1.003	1.008	1.007	0.995	0.988			
Last 5	1.419	1.003	0.969	0.973	1.001	1.027	1.038	1.034	1.022	1.032	1.030	1.006	1.021	1.023	1.026					
x-hi,low																				
Similar	1.455	1.164	1.089	1.061	1.031	1.027	1.016	1.007	1.008	1.004	1.008	0.992	1.009	0.999	1.000	0.999	1.000	1.001	1.004	
Previous	1.300	1.115	1.085	1.070	1.060	1.050	1.035	1.025	1.022	1.020	1.018	1.010	1.008	1.007	1.006	1.005	1.005	1.004	1.003	1.008
Selected	1.400	1.115	1.085	1.070	1.060	1.050	1.035	1.025	1.022	1.020	1.018	1.010	1.008	1.007	1.006	1.005	1.005	1.004	1.003	1.008
Cumulative	2.401	1.715	1.538	1.418	1.325	1.250	1.190	1.150	1.122	1.098	1.076	1.057	1.047	1.039	1.031	1.025	1.020	1.015	1.011	1.008
Percent	41.6%	58.3%	65.0%	70.5%	75.5%	80.0%	84.0%	86.9%	89.1%	91.1%	92.9%	94.6%	95.5%	96.3%	97.0%	97.5%	98.0%	98.5%	98.9%	99.2%

We were advised by PRM Consulting that claim data for 2003/04 and prior may not be complete. As such, we did not rely on the indicated development factors for these years.

Amounts are unlimited and gross of recoveries.

Data was provided by DC Office of Risk Management.

DISTRICT OF COLUMBIA
WORKERS' COMPENSATION

Exhibit WC-2 (page 4)

Historical Reported Claims and Reported Claim Development

I. Historical Reported Claims

Claim Period	12	24	Months of Development:																	
			36	48	60	72	84	96	108	120	132	144	156	168	180	192	204	216	228	240
1999/00						54	62	62	64	69	69	69	69	68	68	68	68	68	68	69
2000/01					91	139	138	142	143	143	143	143	143	143	143	143	142	142	142	
2001/02				105	176	188	188	188	188	189	189	190	190	190	190	190	190	190	190	
2002/03			229	319	329	332	330	330	330	330	329	329	329	329	329	329	329	329	329	
2003/04		781	895	893	893	891	892	892	892	892	893	893	893	893	893	893	893	893	893	
2004/05	973	1,489	1,488	1,488	1,448	1,448	1,448	1,448	1,448	1,448	1,448	1,448	1,448	1,449	1,448					
2005/06	1,467	1,524	1,525	1,487	1,487	1,487	1,487	1,487	1,487	1,487	1,487	1,487	1,487	1,487	1,487					
2006/07	1,494	1,533	1,372	1,374	1,374	1,374	1,374	1,374	1,375	1,375	1,375	1,375	1,375	1,375	1,375					
2007/08	1,544	1,344	1,345	1,347	1,348	1,348	1,348	1,348	1,348	1,348	1,348	1,348	1,348							
2008/09	1,339	1,389	1,389	1,389	1,389	1,390	1,390	1,390	1,390	1,390	1,390	1,390								
2009/10	1,383	1,438	1,440	1,441	1,442	1,442	1,442	1,442	1,442	1,442										
2010/11	1,203	1,259	1,258	1,258	1,258	1,258	1,258	1,258	1,258											
2011/12	1,175	1,214	1,213	1,216	1,216	1,216	1,216	1,216												
2012/13	1,071	1,116	1,119	1,120	1,119	1,119	1,119													
2013/14	1,096	1,114	1,114	1,113	1,113	1,113														
2014/15	1,148	1,170	1,167	1,167	1,168															
2015/16	1,194	1,207	1,209	1,204																
2016/17	1,015	1,002	1,001																	
2017/18	831	828																		
2018/19	809																			

II. Reported Claim Development

Claim Period	12-24	24-36	Months of Development:																	
			36-48	48-60	60-72	72-84	84-96	96-108	108-120	120-132	132-144	144-156	156-168	168-180	180-192	192-204	204-216	216-228	228-240	240-Ult
1999/00						1.148	1.000	1.032	1.078	1.000	1.000	1.000	0.986	1.000	1.000	1.000	1.000	1.000	1.015	
2000/01					1.527	0.993	1.029	1.007	1.000	1.000	1.000	1.000	1.000	1.000	1.000	0.993	1.000	1.000		
2001/02				1.676	1.068	1.000	1.000	1.000	1.005	1.000	1.005	1.000	1.000	1.000	1.000	1.000	1.000	1.000		
2002/03			1.393	1.031	1.009	0.994	1.000	1.000	1.000	0.997	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000		
2003/04		1.146	0.998	1.000	0.998	1.001	1.000	1.000	1.000	1.001	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000		
2004/05	1.530	0.999	1.000	0.973	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.001	0.999						
2005/06	1.039	1.001	0.975	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000							
2006/07	1.026	0.895	1.001	1.000	1.000	1.000	1.000	1.001	1.000	1.000	1.001	0.999								
2007/08	0.870	1.001	1.001	1.001	1.000	1.000	1.000	1.000	1.000	1.000	1.000									
2008/09	1.037	1.000	1.000	1.000	1.001	1.000	1.000	1.000	1.000	1.000										
2009/10	1.040	1.001	1.001	1.001	1.000	1.000	1.000	1.000	1.000											
2010/11	1.047	0.999	1.000	1.000	1.000	1.000	1.000	1.000												
2011/12	1.033	0.999	1.002	1.000	1.000	1.000	1.000													
2012/13	1.042	1.003	1.001	0.999	1.000	1.000														
2013/14	1.016	1.000	0.999	1.000	1.000															
2014/15	1.019	0.997	1.000	1.001																
2015/16	1.011	1.002	0.996																	
2016/17	0.987	0.999																		
2017/18	0.996																			
2018/19																				
Average																				
All	1.050	1.003	1.026	1.049	1.043	1.010	1.002	1.003	1.008	1.000	1.001	1.000	0.998	1.000	1.000	0.998	1.000	1.000	1.015	
Wtd 3	0.999	0.999	0.998	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	0.998	1.000			
Last 3	0.998	0.999	0.998	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	0.998	1.000			
Last 5	1.008	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000					
x-hi,low																				
Similar	1.131	1.019	1.010	1.007	1.007	1.005	1.005	1.006	1.006	1.001	1.001	1.001	1.001	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Previous	1.020	1.001	1.001	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Selected	1.020	1.001	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Cumulative	1.021	1.001	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Percent	97.9%	99.9%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%

Count triangle includes claims with \$0 incurred.

We were advised by PRM Consulting that claim data for 2003/04 and prior may not be complete. As such, we did not rely on the indicated development factors for these years.

Data was provided by DC Office of Risk Management.

DISTRICT OF COLUMBIA
WORKERS' COMPENSATION

Exhibit WC-2 (page 5)

Historical Ratio of Unlimited Paid Losses and Unlimited Reported Incurred Losses

Claim Period	12	24	Months of Development:		60	72	84	96	108	120	132	144	156	168	180	192	204	216	228	240
			36	48																
1999/00						44.5%	69.4%	83.2%	70.1%	70.2%	77.7%	74.4%	78.5%	79.7%	81.5%	82.6%	85.4%	92.5%	93.2%	94.7%
2000/01					49.7%	64.7%	67.5%	71.9%	53.1%	58.7%	69.2%	71.7%	74.0%	78.5%	80.3%	79.9%	82.6%	83.5%	86.2%	
2001/02				53.4%	72.2%	71.8%	71.0%	73.0%	67.9%	72.9%	77.4%	81.4%	83.3%	88.0%	88.6%	91.2%	92.0%	96.1%		
2002/03			49.9%	71.6%	60.7%	58.3%	56.6%	59.9%	64.6%	69.6%	72.0%	74.0%	82.0%	82.7%	84.6%	85.5%	94.7%			
2003/04		48.5%	68.0%	72.1%	80.5%	81.9%	76.2%	79.7%	89.5%	93.5%	94.5%	93.7%	92.9%	93.0%	94.8%	98.3%				
2004/05	35.4%	69.1%	84.4%	77.9%	78.9%	76.5%	79.7%	84.9%	89.5%	90.6%	90.3%	90.4%	93.9%	94.4%	98.1%					
2005/06	33.3%	72.8%	79.1%	77.0%	75.9%	78.1%	83.8%	86.2%	87.6%	88.3%	88.7%	90.1%	89.6%	97.0%						
2006/07	24.9%	62.2%	70.8%	68.3%	77.7%	81.8%	84.7%	87.7%	88.1%	90.9%	92.6%	92.1%	95.6%							
2007/08	29.2%	62.4%	75.8%	83.2%	89.2%	91.4%	91.6%	90.6%	90.8%	90.5%	90.7%	92.3%								
2008/09	23.5%	62.0%	73.8%	82.0%	83.3%	85.3%	87.4%	87.8%	88.3%	90.6%	94.5%									
2009/10	33.9%	69.2%	81.0%	85.9%	86.9%	86.0%	85.9%	89.4%	91.7%	94.4%										
2010/11	38.8%	75.4%	83.0%	83.7%	84.1%	84.9%	85.8%	87.5%	92.1%											
2011/12	43.6%	76.3%	76.6%	81.0%	83.2%	90.7%	94.4%	97.8%												
2012/13	37.6%	51.9%	59.4%	63.2%	73.8%	81.6%	94.3%													
2013/14	21.2%	40.3%	51.2%	63.7%	82.3%	89.4%														
2014/15	32.4%	48.5%	58.9%		84.8%															
2015/16	24.5%	46.0%	64.7%	80.7%																
2016/17	34.0%	49.2%																		
2017/18	36.8%	63.8%																		
2018/19	33.6%																			
Average																				
All	32.2%	59.8%	70.1%	74.6%	77.6%	77.8%	80.6%	83.0%	81.1%	82.7%	84.8%	84.5%	86.2%	87.6%	88.0%	87.5%	88.7%	90.7%	89.7%	94.7%
Last 3	34.8%	53.0%	66.3%	73.4%	80.3%	87.2%	91.5%	91.6%	90.7%	91.8%	92.6%	91.5%	93.0%	94.8%	92.5%	91.7%	89.8%	90.7%		
Last 5	33.4%	47.9%	61.0%	73.4%	83.2%	86.8%	89.2%	89.3%	90.3%	90.7%	91.2%	91.6%	92.1%	91.8%	89.3%	86.4%				
x-hi,low																				
Implicit	37.8%	66.1%	75.6%	79.4%	81.7%	82.4%	83.6%	85.6%	88.1%	90.4%	92.6%	93.7%	94.4%	95.1%	95.6%	95.6%	95.8%	95.9%	95.8%	

DISTRICT OF COLUMBIA
WORKERS' COMPENSATION

Exhibit WC-2 (page 6)

Historical Paid Severity and Paid Severity Development

I. Historical Paid Severity

Claim Period	12	24	Months of Development:		60	72	84	96	108	120	132	144	156	168	180	192	204	216	228	240
			36	48																
1999/00						14,093	29,919	38,919	47,188	52,348	58,725	63,783	71,217	72,838	76,382	80,294	83,132	86,868	90,235	92,232
2000/01					9,912	12,561	15,688	20,993	25,923	29,231	32,091	35,196	37,007	38,483	40,846	42,811	45,063	46,559	48,247	
2001/02				10,095	12,170	16,027	21,128	25,016	28,537	31,376	33,751	37,511	39,489	41,953	43,774	45,889	48,060	49,029		
2002/03			6,755	10,636	13,116	15,627	18,667	21,567	23,542	25,791	26,292	27,863	29,559	31,207	32,693	33,797	35,039			
2003/04		3,526	5,356	6,372	7,029	7,484	8,471	8,973	9,367	9,752	10,010	10,378	10,630	10,973	11,280	11,469				
2004/05	2,394	4,222	5,263	6,124	7,137	8,046	8,715	9,307	9,676	10,006	10,430	10,755	11,126	11,467	11,819					
2005/06	1,600	3,526	4,306	5,204	5,783	6,229	6,572	6,897	7,157	7,510	7,774	8,008	8,228	8,472						
2006/07	1,355	3,333	4,989	6,022	6,892	7,509	7,969	8,554	9,015	9,359	9,767	10,047	10,309							
2007/08	1,319	3,917	5,218	6,082	6,783	7,326	7,829	8,524	8,900	9,378	9,800	10,228								
2008/09	1,955	4,680	6,145	7,366	8,125	8,696	9,315	9,801	10,594	11,097	11,459									
2009/10	2,236	4,832	6,206	6,985	7,632	8,342	8,800	9,400	9,727	9,977										
2010/11	2,293	5,060	6,316	6,999	7,787	8,421	9,080	9,640	10,221											
2011/12	1,611	2,903	3,400	3,781	3,887	4,094	4,179	4,295												
2012/13	1,427	2,616	3,273	3,843	4,223	4,503	4,717													
2013/14	1,349	3,083	3,818	4,342	4,643	4,790														
2014/15	1,578	3,809	5,143	5,719	6,266															
2015/16	1,144	3,215	4,235	4,982																
2016/17	1,836	3,253	4,129																	
2017/18	1,243	3,284																		
2018/19	1,828																			

II. Paid Severity Development

Claim Period	12-24	24-36	Months of Development:		60-72	72-84	84-96	96-108	108-120	120-132	132-144	144-156	156-168	168-180	180-192	192-204	204-216	216-228	228-240	240-Ult
			36-48	48-60																
1999/00						2,123	1,301	1,212	1,109	1,122	1,086	1,117	1,023	1,049	1,051	1,035	1,045	1,039	1,022	
2000/01					1,267	1,249	1,338	1,235	1,128	1,098	1,097	1,051	1,040	1,061	1,048	1,053	1,033	1,036		
2001/02				1,206	1,317	1,318	1,184	1,141	1,099	1,076	1,111	1,053	1,062	1,043	1,048	1,047	1,020			
2002/03			1,574	1,233	1,191	1,195	1,155	1,092	1,096	1,019	1,060	1,061	1,056	1,048	1,034	1,037				
2003/04		1,519	1,190	1,103	1,065	1,132	1,059	1,046	1,039	1,026	1,037	1,024	1,032	1,028	1,017					
2004/05	1,764	1,247	1,164	1,165	1,127	1,083	1,068	1,040	1,034	1,042	1,031	1,034	1,031	1,031						
2005/06	2,204	1,221	1,209	1,111	1,077	1,055	1,049	1,038	1,049	1,035	1,030	1,027	1,030							
2006/07	2,460	1,497	1,207	1,145	1,089	1,061	1,073	1,054	1,038	1,044	1,029	1,026								
2007/08	2,969	1,332	1,166	1,115	1,080	1,069	1,089	1,044	1,054	1,045	1,044									
2008/09	2,393	1,313	1,199	1,103	1,070	1,071	1,052	1,081	1,048	1,033										
2009/10	2,161	1,284	1,126	1,093	1,093	1,055	1,068	1,035	1,026											
2010/11	2,207	1,248	1,108	1,113	1,081	1,078	1,062	1,060												
2011/12	1,802	1,171	1,112	1,028	1,053	1,021	1,028													
2012/13	1,834	1,251	1,174	1,099	1,066	1,047														
2013/14	2,285	1,238	1,137	1,069	1,032															
2014/15	2,414	1,350	1,112	1,096																
2015/16	2,810	1,317	1,177																	
2016/17	1,771	1,269																		
2017/18	2,642																			
2018/19																				
Average																				
All	2,265	1,304	1,190	1,120	1,115	1,183	1,117	1,090	1,065	1,054	1,058	1,049	1,039	1,043	1,040	1,043	1,033	1,038	1,022	
Wtd 3	2,309	1,314	1,140	1,088	1,050	1,056	1,058	1,059	1,042	1,040	1,034	1,030	1,031	1,040	1,039	1,046	1,035			
Last 3	2,408	1,312	1,142	1,088	1,050	1,049	1,052	1,059	1,042	1,040	1,034	1,029	1,031	1,035	1,033	1,046	1,033			
Last 5	2,447	1,279	1,141	1,088	1,067	1,058	1,061	1,053	1,045	1,040	1,033	1,029	1,040	1,041	1,043					
x-hi,low																				

We were advised by PRM Consulting that claim data for 2003/04 and prior may not be complete.

Data was provided by DC Office of Risk Management.

DISTRICT OF COLUMBIA
WORKERS' COMPENSATION

Exhibit WC-2 (page 7)

Historical Average Case Reserves and Average Case Reserve Development

I. Historical Average Case Reserves

Claim Period	12	24	Months of Development:		60	72	84	96	108	120	132	144	156	168	180	192	204	216	228	240
			36	48																
1999/00						20,674	22,667	21,217												
2000/01					11,557	15,639	29,800	40,103												
2001/02				10,894	14,696	35,788	55,862	62,250												
2002/03			8,147	17,920	62,111	95,051	157,267	176,704												
2003/04		5,101	20,306	38,000	33,022	40,972	73,875	70,138												
2004/05	5,013	12,571	15,021	20,855	49,482	73,163	71,422	70,500												
2005/06	7,051	14,432	14,863	39,288	66,634	93,000	72,462	68,292												
2006/07	9,260	9,814	26,157	51,824	52,250	52,250	57,971	45,861												
2007/08	5,756	17,255	21,094	23,296	25,628	25,000	26,243	32,297												
2008/09	13,212	21,042	28,575	33,044	42,717	40,000	42,341	45,024												
2009/10	8,806	15,152	17,433	19,831	18,920	25,338	30,574	34,891												
2010/11	7,129	10,797	15,457	16,314	19,892	21,690	39,438	44,411												
2011/12	5,010	7,942	10,397	12,261	12,205	15,545	13,678	23,870												
2012/13	4,373	8,057	8,932	10,760	14,955	16,512	19,863													
2013/14	7,983	11,174	13,074	19,532	22,241	22,539														
2014/15	4,766	9,282	14,353	25,941	32,766															
2015/16	5,137	11,659	18,770	29,204																
2016/17	7,004	19,254	18,428																	
2017/18	5,523	9,696																		
2018/19	7,297																			

II. Average Case Reserve Development

Claim Period	12-24	24-36	Months of Development:		60-72	72-84	84-96	96-108	108-120	120-132	132-144	144-156	156-168	168-180	180-192	192-204	204-216	216-228	228-240	240-Ult
			36-48	48-60																
1999/00						1.096	0.936													
2000/01					1.353	1.905	1.346													
2001/02				1.349	2.435	1.561	1.114													
2002/03			2.200	3.466	1.530	1.655	1.124													
2003/04		3.981	1.871	0.869	1.241	1.803	0.949													
2004/05	2.508	1.195	1.388	2.373	1.479	0.976	0.987													
2005/06	2.047	1.030	2.643	1.696	1.396	0.779	0.942													
2006/07	1.060	2.665	1.981	1.008	1.000	1.109	0.791													
2007/08	2.998	1.222	1.104	1.100	0.975	1.050	1.231													
2008/09	1.593	1.358	1.156	1.293	0.936	1.059	1.063													
2009/10	1.721	1.151	1.138	0.954	1.339	1.207	1.141													
2010/11	1.514	1.432	1.055	1.219	1.090	1.818	1.126													
2011/12	1.585	1.309	1.179	0.995	1.274	0.880	1.745													
2012/13	1.842	1.109	1.205	1.390	1.104	1.203														
2013/14	1.400	1.170	1.494	1.139	1.013															
2014/15	1.948	1.546	1.807	1.263																
2015/16	2.270	1.610	1.556																	
2016/17	2.749	0.957																		
2017/18	1.756																			
2018/19																				
Average																				
All	1.928	1.552	1.556	1.437	1.298	1.293	1.115													
Wtd 3	2.299	1.282	1.617	1.244	1.105	1.358	1.233													
Last 3	2.258	1.371	1.619	1.264	1.130	1.300	1.337													
Last 5	1.991	1.275	1.418	1.207	1.156	1.156	1.166													
x-hi,low																				

We were advised by PRM Consulting that claim data for 2003/04 and prior may not be complete.

Data was provided by DC Office of Risk Management.

DISTRICT OF COLUMBIA
WORKERS' COMPENSATION

Exhibit WC-2 (page 8)

Historical Closed Claims and Claims Closure Rate

I. Historical Closed Claims

Claim Period	12	24	Months of Development:		60	72	84	96	108	120	132	144	156	168	180	192	204	216	228	240
			36	48																
1999/00						8	26	39												
2000/01					12	78	103	113												
2001/02				20	120	155	159	160												
2002/03			38	244	284	293	300	303												
2003/04		207	784	835	847	855	860	863												
2004/05	125	1,265	1,392	1,364	1,392	1,399	1,403	1,414												
2005/06	800	1,385	1,408	1,428	1,446	1,459	1,461	1,463												
2006/07	836	1,216	1,264	1,300	1,322	1,330	1,340	1,338												
2007/08	684	1,160	1,239	1,276	1,305	1,311	1,311	1,311												
2008/09	693	1,200	1,283	1,321	1,336	1,338	1,346	1,348												
2009/10	699	1,234	1,320	1,358	1,354	1,365	1,374	1,396												
2010/11	592	1,067	1,153	1,153	1,165	1,171	1,210	1,219												
2011/12	687	1,076	1,092	1,128	1,138	1,183	1,194	1,211												
2012/13	490	780	839	887	1,007	1,050	1,103													
2013/14	409	659	804	972	1,063	1,085														
2014/15	357	660	875	1,085	1,128															
2015/16	376	817	1,060	1,155																
2016/17	498	827	928																	
2017/18	510	669																		
2018/19	409																			

II. Closed / Reported Count

Claim Period	12-24	24-36	Months of Development:		60-72	72-84	84-96	96-108	108-120	120-132	132-144	144-156	156-168	168-180	180-192	192-204	204-216	216-228	228-240	240-Ult
			36-48	48-60																
1999/00						15%	42%	63%												
2000/01					13%	56%	75%	80%												
2001/02				19%	68%	82%	85%	85%												
2002/03			17%	76%	86%	88%	91%	92%												
2003/04		27%	88%	94%	95%	96%	96%	97%												
2004/05	13%	85%	94%	92%	96%	97%	97%	98%												
2005/06	55%	91%	92%	96%	97%	98%	98%	98%												
2006/07	56%	79%	92%	95%	96%	97%	98%	97%												
2007/08	44%	86%	92%	95%	97%	97%	97%	97%												
2008/09	52%	86%	92%	95%	96%	96%	97%	97%												
2009/10	51%	86%	92%	94%	94%	95%	95%	97%												
2010/11	49%	85%	92%	92%	93%	93%	96%	97%												
2011/12	58%	89%	90%	93%	94%	97%	98%	100%												
2012/13	46%	70%	75%	79%	90%	94%	99%													
2013/14	37%	59%	72%	87%	96%	97%														
2014/15	31%	56%	75%	93%	97%															
2015/16	31%	68%	88%	96%																
2016/17	49%	83%	93%																	
2017/18	61%	81%																		
2018/19	51%																			
Average All	46%	75%	83%	86%	87%	87%	90%	92%												
Last 3	54%	77%	85%	92%	94%	96%	98%	98%												
Last 5	44%	69%	79%	91%	94%	95%	97%	97%												
x-hi,low																				

Count triangle includes claims with \$0 incurred.

We were advised by PRM Consulting that claim data for 2003/04 and prior may not be complete.

Data was provided by DC Office of Risk Management.

DISTRICT OF COLUMBIA
WORKERS' COMPENSATION

Exhibit WC-3

Developed Unlimited Paid Losses

Claim Period (1)	Months of Development 9/30/19 (2)	Net Unlimited Paid Losses 9/30/19 (3)	Percent Losses Paid (4)	Developed Unlimited Paid Losses (3)/(4) (5)
to 1990/91	348.0	\$69,005,586	99.2%	\$69,535,071
1991/92	336.0	4,289,040	99.1%	4,328,996
1992/93	324.0	4,683,365	98.9%	4,736,354
1993/94	312.0	5,293,495	98.6%	5,366,267
1994/95	300.0	8,600,351	98.4%	8,744,082
1995/96	288.0	4,261,724	98.0%	4,348,361
1996/97	276.0	7,605,640	97.6%	7,793,866
1997/98	264.0	5,425,606	97.1%	5,589,221
1998/99	252.0	6,303,702	96.5%	6,535,604
1999/00	240.0	6,352,836	95.7%	6,638,348
2000/01	228.0	6,834,126	94.8%	7,210,003
2001/02	216.0	9,306,914	94.5%	9,848,251
2002/03	204.0	11,297,442	93.9%	12,026,285
2003/04	192.0	10,182,285	93.3%	10,915,059
2004/05	180.0	16,925,403	92.7%	18,252,310
2005/06	168.0	12,466,133	91.5%	13,618,210
2006/07	156.0	14,110,035	90.2%	15,645,246
2007/08	144.0	13,704,721	88.6%	15,469,357
2008/09	132.0	15,617,066	86.0%	18,156,777
2009/10	120.0	13,963,437	82.3%	16,964,768
2010/11	108.0	12,586,985	78.5%	16,026,497
2011/12	96.0	5,089,719	74.4%	6,836,961
2012/13	84.0	5,213,062	70.2%	7,422,806
2013/14	72.0	5,302,727	65.9%	8,041,260
2014/15	60.0	7,259,476	61.6%	11,779,148
2015/16	48.0	5,952,635	56.0%	10,624,550
2016/17	36.0	4,120,255	49.1%	8,383,593
2017/18	24.0	2,708,942	38.5%	7,027,746
2018/19	12.0	1,473,062	15.7%	9,362,750
Total		\$295,935,771		\$347,227,748

(3) is from Exhibit WC-1.

(4) is from Exhibit WC-2.

DISTRICT OF COLUMBIA
WORKERS' COMPENSATION

Exhibit WC-4

Developed Unlimited Reported Incurred Losses

Claim Period (1)	Months of Development 9/30/19 (2)	Net Unlimited Reported Incurred Losses 9/30/19 (3)	Percent Losses Reported (4)	Developed Unlimited Reported Incurred Losses (3)/(4) (5)
to 1990/91	348.0	\$80,215,162	100.0%	\$80,215,162
1991/92	336.0	5,395,813	100.0%	5,395,813
1992/93	324.0	6,290,887	100.0%	6,290,887
1993/94	312.0	5,938,427	100.0%	5,938,427
1994/95	300.0	9,439,651	100.0%	9,439,656
1995/96	288.0	4,909,599	100.0%	4,909,619
1996/97	276.0	8,721,174	100.0%	8,721,407
1997/98	264.0	5,988,355	100.0%	5,989,424
1998/99	252.0	7,083,919	99.9%	7,092,362
1999/00	240.0	6,708,196	99.2%	6,761,861
2000/01	228.0	7,932,024	98.9%	8,019,466
2001/02	216.0	9,683,082	98.5%	9,828,988
2002/03	204.0	11,946,571	98.0%	12,187,216
2003/04	192.0	10,359,384	97.5%	10,620,898
2004/05	180.0	17,259,532	97.0%	17,801,406
2005/06	168.0	12,861,777	96.3%	13,358,439
2006/07	156.0	14,768,606	95.5%	15,461,612
2007/08	144.0	14,849,246	94.6%	15,701,497
2008/09	132.0	16,545,721	92.9%	17,810,255
2009/10	120.0	14,820,896	91.1%	16,272,679
2010/11	108.0	13,689,390	89.1%	15,361,004
2011/12	96.0	5,209,068	86.9%	5,991,277
2012/13	84.0	5,530,865	84.0%	6,584,045
2013/14	72.0	5,933,823	80.0%	7,416,920
2014/15	60.0	8,570,120	75.5%	11,354,860
2015/16	48.0	7,383,630	70.5%	10,467,636
2016/17	36.0	5,465,484	65.0%	8,406,922
2017/18	24.0	4,250,587	58.3%	7,290,078
2018/19	12.0	4,391,957	41.6%	10,545,553
Total		\$332,142,942		\$361,235,369

(3) is from Exhibit WC-1.

(4) is from Exhibit WC-2.

Developed Unlimited Case Reserves

Claim Period (1)	Months of Development 9/30/19 (2)	Percent Losses Paid (3)	Percent Losses Reported (4)	Percent Losses Reserved 9/30/19 [(4)-(3)]/ [100.0%-(3)] (5)	Net Unlimited Paid Losses 9/30/19 (6)	Net Unlimited Case Reserves 9/30/19 (7)	Developed Unlimited Case Reserves (6)+(7)/(5) (8)
to 1990/91	348.0	99.2%	100.0%	100.0%	\$69,005,586	\$11,209,576	\$80,215,163
1991/92	336.0	99.1%	100.0%	100.0%	4,289,040	1,106,773	5,395,813
1992/93	324.0	98.9%	100.0%	100.0%	4,683,365	1,607,522	6,290,888
1993/94	312.0	98.6%	100.0%	100.0%	5,293,495	644,931	5,938,431
1994/95	300.0	98.4%	100.0%	100.0%	8,600,351	839,299	9,439,681
1995/96	288.0	98.0%	100.0%	100.0%	4,261,724	647,875	4,909,730
1996/97	276.0	97.6%	100.0%	99.9%	7,605,640	1,115,533	8,722,412
1997/98	264.0	97.1%	100.0%	99.4%	5,425,606	562,748	5,991,809
1998/99	252.0	96.5%	99.9%	96.6%	6,303,702	780,217	7,111,005
1999/00	240.0	95.7%	99.2%	81.5%	6,352,836	355,359	6,788,608
2000/01	228.0	94.8%	98.9%	79.1%	6,834,126	1,097,897	8,222,384
2001/02	216.0	94.5%	98.5%	73.0%	9,306,914	376,168	9,822,253
2002/03	204.0	93.9%	98.0%	67.4%	11,297,442	649,128	12,260,275
2003/04	192.0	93.3%	97.5%	63.3%	10,182,285	177,099	10,461,959
2004/05	180.0	92.7%	97.0%	58.1%	16,925,403	334,129	17,500,217
2005/06	168.0	91.5%	96.3%	56.1%	12,466,133	395,643	13,171,990
2006/07	156.0	90.2%	95.5%	54.3%	14,110,035	658,571	15,322,357
2007/08	144.0	88.6%	94.6%	52.4%	13,704,721	1,144,525	15,888,182
2008/09	132.0	86.0%	92.9%	49.2%	15,617,066	928,656	17,503,013
2009/10	120.0	82.3%	91.1%	49.6%	13,963,437	857,459	15,693,181
2010/11	108.0	78.5%	89.1%	49.3%	12,586,985	1,102,405	14,823,367
2011/12	96.0	74.4%	86.9%	48.9%	5,089,719	119,350	5,333,724
2012/13	84.0	70.2%	84.0%	46.3%	5,213,062	317,803	5,899,942
2013/14	72.0	65.9%	80.0%	41.3%	5,302,727	631,095	6,831,374
2014/15	60.0	61.6%	75.5%	36.1%	7,259,476	1,310,644	10,891,683
2015/16	48.0	56.0%	70.5%	33.0%	5,952,635	1,430,995	10,289,134
2016/17	36.0	49.1%	65.0%	31.2%	4,120,255	1,345,229	8,432,207
2017/18	24.0	38.5%	58.3%	32.2%	2,708,942	1,541,645	7,503,438
2018/19	12.0	15.7%	41.6%	30.8%	1,473,062	2,918,895	10,964,589
Total					\$295,935,771	\$36,207,171	\$357,618,809

(3) and (4) are from Exhibit WC-2.

(6) and (7) are from Exhibit WC-1.

DISTRICT OF COLUMBIA
WORKERS' COMPENSATION

Exhibit WC-6

Preliminary Projected Ultimate Unlimited Losses to 2018/19

Claim Period (1)	Developed Unlimited Paid Losses (2)	Developed Unlimited Reported Incurred Losses (3)	Developed Unlimited Case Reserves (4)	Preliminary Projected Ultimate Unlimited Losses (5)
to 1990/91	\$69,535,071	\$80,215,162	\$80,215,163	\$81,336,120
1991/92	4,328,996	5,395,813	5,395,813	5,506,490
1992/93	4,736,354	6,290,887	6,290,888	6,451,639
1993/94	5,366,267	5,938,427	5,938,431	6,002,920
1994/95	8,744,082	9,439,656	9,439,681	9,523,580
1995/96	4,348,361	4,909,619	4,909,730	4,974,386
1996/97	7,793,866	8,721,407	8,722,412	8,832,727
1997/98	5,589,221	5,989,424	5,991,809	6,044,630
1998/99	6,535,604	7,092,362	7,111,005	7,161,941
1999/00	6,638,348	6,761,861	6,788,608	6,788,608
2000/01	7,210,003	8,019,466	8,222,384	8,222,384
2001/02	9,848,251	9,828,988	9,822,253	9,822,253
2002/03	12,026,285	12,187,216	12,260,275	12,260,275
2003/04	10,915,059	10,620,898	10,461,959	10,461,959
2004/05	18,252,310	17,801,406	17,500,217	17,500,217
2005/06	13,618,210	13,358,439	13,171,990	13,171,990
2006/07	15,645,246	15,461,612	15,322,357	15,322,357
2007/08	15,469,357	15,701,497	15,888,182	15,888,182
2008/09	18,156,777	17,810,255	17,503,013	17,503,013
2009/10	16,964,768	16,272,679	15,693,181	15,693,181
2010/11	16,026,497	15,361,004	14,823,367	14,823,367
2011/12	6,836,961	5,991,277	5,333,724	5,399,479
2012/13	7,422,806	6,584,045	5,899,942	6,036,763
2013/14	8,041,260	7,416,920	6,831,374	7,007,038
2014/15	11,779,148	11,354,860	10,891,683	11,076,954
2015/16	10,624,550	10,467,636	10,289,134	10,394,076
2016/17	8,383,593	8,406,922	8,432,207	8,412,370
2017/18	7,027,746	7,290,078	7,503,438	7,322,956
2018/19	9,362,750	10,545,553	10,964,589	10,594,887
Total	\$347,227,748	\$361,235,369	\$357,618,809	\$359,536,741

(2) is from Exhibit WC-3.

(3) is from Exhibit WC-4.

(4) is from Exhibit WC-5.

(5) is based on (2) to (4) and actuarial judgment.

Bornhuetter - Ferguson Analysis

I. A-priori Loss Rate

Claim Period (1)	Preliminary Projected Ultimate Unlimited Losses (2)	Payroll (000) (3)	Unlimited Loss Rate per \$100 of Payroll (2)/(3)/10 (4)	Loss Rate Trend (2019/20 = 1.000) (5)	Trended Unlimited Loss Rate per \$100 of Payroll (4)X(5) (6)	Projected A-priori Loss Rate per \$100 of Payroll (7)/(5) (8)
2009/10	\$15,693,181	\$2,036,925	\$0.77	1.228	\$0.95	\$0.38
2010/11	14,823,367	1,979,225	0.75	1.203	0.90	0.39
2011/12	5,399,479	2,109,455	0.26	1.180	0.30	0.40
2012/13	6,036,763	2,156,439	0.28	1.157	0.32	0.41
2013/14	7,007,038	2,256,429	0.31	1.132	0.35	0.42
2014/15	11,076,954	2,442,007	0.45	1.108	0.50	0.42
2015/16	10,394,076	2,620,064	0.40	1.085	0.43	0.43
2016/17	8,412,370	2,740,690	0.31	1.062	0.33	0.44
2017/18	7,322,956	2,890,437	0.25	1.040	0.26	0.45
2018/19	10,594,887	2,997,794	0.35	1.020	0.36	0.46

(7) Projected 2019/20 a-priori loss rate per \$100 of Payroll \$0.47

II. Bornhuetter - Ferguson Analysis Based on Unlimited Paid Losses

Claim Period (1)	Net Unlimited Paid Losses 9/30/19 (2)	Percent Losses Paid (3)	Projected A-priori Loss Rate per \$100 of Payroll (4)	Payroll (000) (5)	B-F Unpaid Losses [100.0%-(3)] X(4)X(5)X10 (6)	B-F Ultimate Unlimited Paid Losses (2)+(6) (7)
2014/15	\$7,259,476	61.6%	\$0.42	\$2,442,007	\$3,981,145	\$11,240,621
2015/16	5,952,635	56.0%	0.43	2,620,064	4,999,283	10,951,918
2016/17	4,120,255	49.1%	0.44	2,740,690	6,179,449	10,299,703
2017/18	2,708,942	38.5%	0.45	2,890,437	8,037,099	10,746,041
2018/19	1,473,062	15.7%	0.46	2,997,794	11,658,606	13,131,668

III. Bornhuetter - Ferguson Analysis Based on Unlimited Reported Incurred Losses

Claim Period (1)	Net Unlimited Reported Incurred Losses 9/30/19 (2)	Percent Losses Reported (3)	Projected A-priori Loss Rate per \$100 of Payroll (4)	Payroll (000) (5)	B-F Unreported Losses [100.0%-(3)] X(4)X(5)X10 (6)	B-F Ultimate Unlimited Reported Losses (2)+(6) (7)
2014/15	\$8,570,120	75.5%	\$0.42	\$2,442,007	\$2,544,590	\$11,114,711
2015/16	7,383,630	70.5%	0.43	2,620,064	3,349,577	10,733,207
2016/17	5,465,484	65.0%	0.44	2,740,690	4,251,604	9,717,088
2017/18	4,250,587	58.3%	0.45	2,890,437	5,452,813	9,703,400
2018/19	4,391,957	41.6%	0.46	2,997,794	8,073,277	12,465,234

Section I, (2) is from Exhibit WC-6.

Section I, (3), Section II, (5) and Section III, (5) are from Exhibit WC-10.

Section I, (5) is from Exhibit WC-14.

Section I, (7) is based on Section I, (6) and actuarial judgment.

Sections II and III, (2) are from Exhibit WC-1.

Sections II and III, (3) are from Exhibit WC-2.

Sections II and III, (4) are from Section I, (8).

Frequency Times Severity Analysis

I. Projected Ultimate Claims

Claim Period (1)	Months of Development 9/30/19 (2)	Reported Claims 9/30/19 (3)	Percent Claims Reported (4)	Projected Ultimate Claims (3)/(4) (5)	Payroll (000) (6)	Frequency (per \$1M of Payroll) (5)/(6)X1,000 (7)
2009/10	120.0	846	100.0%	846	\$2,036,925	0.42
2010/11	108.0	876	100.0%	876	1,979,225	0.44
2011/12	96.0	800	100.0%	800	2,109,455	0.38
2012/13	84.0	633	100.0%	633	2,156,439	0.29
2013/14	72.0	629	100.0%	629	2,256,429	0.28
2014/15	60.0	648	100.0%	648	2,442,007	0.27
2015/16	48.0	735	100.0%	735	2,620,064	0.28
2016/17	36.0	514	100.0%	514	2,740,690	0.19
2017/18	24.0	408	99.9%	408	2,890,437	0.14
2018/19	12.0	568	97.9%	580	2,997,794	0.19

II. Frequency Times Severity

Claim Period (1)	Preliminary Projected Ultimate Unlimited Losses (2)	Projected Ultimate Claims (3)	Average Severity (2)/(3) (4)	Severity Trend (2019/20 = 1.000) (5)	Trended Average Claim Severity (4)X(5) (6)	De-Trended Projected 2019/20 Average Claim Severity (7)/(5) (8)	Frequency Times Severity (3)X(8) (9)
2009/10	\$15,693,181	846	\$18,550	1.650	\$30,602	\$11,705	\$9,902,683
2010/11	14,823,367	876	16,922	1.570	26,572	12,298	10,772,686
2011/12	5,399,479	800	6,749	1.495	10,088	12,920	10,335,876
2012/13	6,036,763	633	9,537	1.423	13,567	13,574	8,592,082
2013/14	7,007,038	629	11,140	1.352	15,062	14,282	8,983,230
2014/15	11,076,954	648	17,094	1.284	21,956	15,034	9,742,311
2015/16	10,394,076	735	14,142	1.221	17,267	15,815	11,623,983
2016/17	8,412,370	514	16,366	1.160	18,988	16,644	8,555,132
2017/18	7,322,956	408	17,948	1.104	19,811	17,495	7,138,042
2018/19	10,594,887	580	18,267	1.051	19,191	18,380	10,660,665
(7) Projected 2019/20 average claim severity					\$19,311		

Section I, (3) is from Exhibit WC-1.

Section I, (4) is from Exhibit WC-2.

Section I, (6) is from Exhibit WC-10.

Section II, (2) is from Exhibit WC-6.

Section II, (3) is from Section I, (5).

Section II, (5) is from Exhibit WC-14.

Section II, (7) is based on (6) and actuarial judgment.

Projected Ultimate Unlimited Losses to 2018/19

Claim Period (1)	Developed Unlimited Paid Losses (2)	Developed Unlimited Reported Incurred Losses (3)	Developed Unlimited Case Reserves (4)	B-F Ultimate Unlimited Paid Losses (5)	B-F Ultimate Unlimited Reported Losses (6)	Frequency Times Severity (7)	Projected Ultimate Unlimited Losses (8)
to 1990/91	\$69,535,071	\$80,215,162	\$80,215,163				\$81,336,120
1991/92	4,328,996	5,395,813	5,395,813				5,506,490
1992/93	4,736,354	6,290,887	6,290,888				6,452,000
1993/94	5,366,267	5,938,427	5,938,431				6,003,000
1994/95	8,744,082	9,439,656	9,439,681				9,524,000
1995/96	4,348,361	4,909,619	4,909,730				4,974,386
1996/97	7,793,866	8,721,407	8,722,412				8,833,000
1997/98	5,589,221	5,989,424	5,991,809				6,045,000
1998/99	6,535,604	7,092,362	7,111,005				7,162,000
1999/00	6,638,348	6,761,861	6,788,608				6,789,000
2000/01	7,210,003	8,019,466	8,222,384				8,222,000
2001/02	9,848,251	9,828,988	9,822,253				9,822,000
2002/03	12,026,285	12,187,216	12,260,275				12,260,000
2003/04	10,915,059	10,620,898	10,461,959				10,462,000
2004/05	18,252,310	17,801,406	17,500,217				17,500,000
2005/06	13,618,210	13,358,439	13,171,990				13,172,000
2006/07	15,645,246	15,461,612	15,322,357				15,322,000
2007/08	15,469,357	15,701,497	15,888,182				15,888,000
2008/09	18,156,777	17,810,255	17,503,013				17,503,000
2009/10	16,964,768	16,272,679	15,693,181				15,693,000
2010/11	16,026,497	15,361,004	14,823,367				14,823,000
2011/12	6,836,961	5,991,277	5,333,724				5,399,000
2012/13	7,422,806	6,584,045	5,899,942				6,037,000
2013/14	8,041,260	7,416,920	6,831,374				7,007,000
2014/15	11,779,148	11,354,860	10,891,683	11,240,621	11,114,711	9,742,311	11,077,000
2015/16	10,624,550	10,467,636	10,289,134	10,951,918	10,733,207	11,623,983	10,394,000
2016/17	8,383,593	8,406,922	8,432,207	10,299,703	9,717,088	8,555,132	8,571,000
2017/18	7,027,746	7,290,078	7,503,438	10,746,041	9,703,400	7,138,042	8,748,000
2018/19	9,362,750	10,545,553	10,964,589	13,131,668	12,465,234	10,660,665	12,798,000

(2) is from Exhibit WC-3.

(3) is from Exhibit WC-4.

(4) is from Exhibit WC-5.

(5) and (6) are from Exhibit WC-7.

(7) is from Exhibit WC-8.

(8) is based on (2) to (7) and actuarial judgment.

Projected Ultimate Unlimited Losses for 2019/20 and Subsequent

Claim Period (1)	Projected Ultimate Unlimited Losses (2)	Payroll (000) (3)	Unlimited Loss Rate per \$100 of Payroll (2)/(3)/10 (4)	Loss Rate Trend (2019/20 = 1.000) (5)	Trended Unlimited Loss Rate per \$100 of Payroll (4)X(5) (6)
2009/10	\$15,693,000	\$2,036,925	\$0.77	1.228	\$0.95
2010/11	14,823,000	1,979,225	0.75	1.203	0.90
2011/12	5,399,000	2,109,455	0.26	1.180	0.30
2012/13	6,037,000	2,156,439	0.28	1.157	0.32
2013/14	7,007,000	2,256,429	0.31	1.132	0.35
2014/15	11,077,000	2,442,007	0.45	1.108	0.50
2015/16	10,394,000	2,620,064	0.40	1.085	0.43
2016/17	8,571,000	2,740,690	0.31	1.062	0.33
2017/18	8,748,000	2,890,437	0.30	1.040	0.31
2018/19	12,798,000	2,997,794	0.43	1.020	0.44
Total	\$100,547,000	\$24,229,464	\$0.41		\$0.48

Claim Period (1)	Projected Unlimited Loss Rate per \$100 of Payroll (7)	Projected Payroll (000) (8)	Projected Ultimate Unlimited Losses (7)X(8)X10 (9)	Present Value Factor (10)	Present Value of Projected Unlimited Loss Rate per \$100 of Payroll (7)X(10) (11)	Present Value of Projected Ultimate Unlimited Losses (8)X(11)X10 (12)
2019/20	\$0.48	\$3,181,624	\$15,399,000	0.91	\$0.44	\$14,058,000
2020/21	0.49	3,340,705	16,492,000	0.91	0.45	15,056,000

(2) is from Exhibit WC-9.

(3) was provided by DC Office of Risk Management.

(5) is from Exhibit WC-14.

(7) 2019/20 is based on (6) and actuarial judgment.
Other period(s) based on 2019/20 plus the trend in Exhibit WC-14.

(8) to 2019/20 was provided by DC Office of Risk Management. Other claim periods are based on a 5% trend.

(10) is based on a 1.75% interest rate and the payout pattern in Exhibit WC-2.

DISTRICT OF COLUMBIA
WORKERS' COMPENSATION

Exhibit WC-11

Estimated Outstanding Losses as of September 30, 2019

Claim Period (1)	Net Unlimited Paid Losses 9/30/19 (2)	Net Unlimited Case Reserves 9/30/19 (3)	Net Unlimited Reported Incurred Losses 9/30/19 (4)	Projected Ultimate Unlimited Losses (5)	Estimated IBNR 9/30/19 (5)-(4) (6)	Estimated Outstanding Losses 9/30/19 (3)+(6) (7)	Present Value Factor (8)	Present Value of Estimated Outstanding Losses 9/30/19 (7)X(8) (9)
to 1990/91	\$69,005,586	\$11,209,576	\$80,215,162	\$81,336,120	\$1,120,958	\$12,330,534	0.98	\$12,050,588
1991/92	4,289,040	1,106,773	5,395,813	5,506,490	110,677	1,217,450	0.97	1,175,924
1992/93	4,683,365	1,607,522	6,290,887	6,452,000	161,113	1,768,635	0.96	1,691,953
1993/94	5,293,495	644,931	5,938,427	6,003,000	64,573	709,504	0.95	673,423
1994/95	8,600,351	839,299	9,439,651	9,524,000	84,349	923,648	0.94	871,061
1995/96	4,261,724	647,875	4,909,599	4,974,386	64,787	712,662	0.94	668,574
1996/97	7,605,640	1,115,533	8,721,174	8,833,000	111,826	1,227,359	0.93	1,146,525
1997/98	5,425,606	562,748	5,988,355	6,045,000	56,645	619,393	0.93	576,593
1998/99	6,303,702	780,217	7,083,919	7,162,000	78,081	858,298	0.93	796,734
1999/00	6,352,836	355,359	6,708,196	6,789,000	80,805	436,164	0.93	403,950
2000/01	6,834,126	1,097,897	7,932,024	8,222,000	289,976	1,387,873	0.92	1,282,969
2001/02	9,306,914	376,168	9,683,082	9,822,000	138,918	515,086	0.91	470,164
2002/03	11,297,442	649,128	11,946,571	12,260,000	313,429	962,557	0.91	871,939
2003/04	10,182,285	177,099	10,359,384	10,462,000	102,616	279,715	0.90	251,774
2004/05	16,925,403	334,129	17,259,532	17,500,000	240,468	574,597	0.89	512,999
2005/06	12,466,133	395,643	12,861,777	13,172,000	310,223	705,866	0.89	630,668
2006/07	14,110,035	658,571	14,768,606	15,322,000	553,394	1,211,965	0.89	1,083,151
2007/08	13,704,721	1,144,525	14,849,246	15,888,000	1,038,754	2,183,279	0.89	1,952,165
2008/09	15,617,066	928,656	16,545,721	17,503,000	957,279	1,885,935	0.90	1,696,467
2009/10	13,963,437	857,459	14,820,896	15,693,000	872,104	1,729,563	0.91	1,567,899
2010/11	12,586,985	1,102,405	13,689,390	14,823,000	1,133,610	2,236,015	0.91	2,031,594
2011/12	5,089,719	119,350	5,209,068	5,399,000	189,932	309,282	0.91	281,050
2012/13	5,213,062	317,803	5,530,865	6,037,000	506,135	823,938	0.91	747,312
2013/14	5,302,727	631,096	5,933,823	7,007,000	1,073,177	1,704,273	0.90	1,540,632
2014/15	7,259,476	1,310,644	8,570,120	11,077,000	2,506,880	3,817,524	0.90	3,435,798
2015/16	5,952,635	1,430,995	7,383,630	10,394,000	3,010,370	4,441,365	0.90	3,988,967
2016/17	4,120,255	1,345,229	5,465,484	8,571,000	3,105,516	4,450,745	0.90	3,994,081
2017/18	2,708,942	1,541,645	4,250,587	8,748,000	4,497,413	6,039,058	0.90	5,440,181
2018/19	1,473,062	2,918,895	4,391,957	12,798,000	8,406,043	11,324,938	0.91	10,351,476
Total	\$295,935,771	\$36,207,171	\$332,142,942	\$363,322,996	\$31,180,051	\$67,387,221		\$62,186,611

(2), (3) and (4) are net of specific self-insured retention and aggregate retention.

(5) is from Exhibit WC-9.

(8) is based on a 1.75% interest rate and the payout pattern in Exhibit WC-2.

Projected Losses Paid October 1, 2019 to September 30, 2020

Claim Period (1)	Months of Development 9/30/19 (2)	Percent Losses Paid (3)	Months of Development 9/30/20 (4)	Percent Losses Paid (5)	Percent Outstanding Losses Paid 10/1/19 to 9/30/20 [(5)-(3)]/ [100.0%-(3)] (6)	Estimated Outstanding Losses 9/30/19 (7)	Projected Losses Paid (6)X(7) (8)	Estimated Outstanding Losses 9/30/20 (7)-(8) (9)	Present Value Factor (10)	Present Value of Estimated Outstanding Losses 9/30/20 (9)X(10) (11)
to 1990/91	348.0	99.2%	360.0	99.4%	17.5% *	\$12,330,534	\$2,157,843	\$10,172,691	0.99	\$10,084,831
1991/92	336.0	99.1%	348.0	99.2%	17.5% *	1,217,450	213,054	1,004,396	0.98	981,593
1992/93	324.0	98.9%	336.0	99.1%	17.5% *	1,768,635	309,511	1,459,124	0.97	1,409,355
1993/94	312.0	98.6%	324.0	98.9%	17.5% *	709,504	124,163	585,341	0.96	559,963
1994/95	300.0	98.4%	312.0	98.6%	17.5% *	923,648	161,638	762,010	0.95	723,258
1995/96	288.0	98.0%	300.0	98.4%	17.5% *	712,662	124,716	587,946	0.94	554,472
1996/97	276.0	97.6%	288.0	98.0%	17.5% *	1,227,359	214,788	1,012,571	0.94	949,930
1997/98	264.0	97.1%	276.0	97.6%	17.5% *	619,393	108,394	510,999	0.93	477,345
1998/99	252.0	96.5%	264.0	97.1%	17.5% *	858,298	150,202	708,096	0.93	659,166
1999/00	240.0	95.7%	252.0	96.5%	17.5% *	436,164	76,329	359,835	0.93	334,025
2000/01	228.0	94.8%	240.0	95.7%	17.5% *	1,387,873	242,878	1,144,995	0.93	1,060,427
2001/02	216.0	94.5%	228.0	94.8%	5.2% *	515,086	26,567	488,519	0.92	451,594
2002/03	204.0	93.9%	216.0	94.5%	9.3% *	962,557	89,521	873,036	0.91	796,897
2003/04	192.0	93.3%	204.0	93.9%	9.7% *	279,715	27,208	252,507	0.91	228,735
2004/05	180.0	92.7%	192.0	93.3%	7.7% *	574,597	43,976	530,621	0.90	477,617
2005/06	168.0	91.5%	180.0	92.7%	14.1% *	705,866	99,292	606,574	0.89	541,548
2006/07	156.0	90.2%	168.0	91.5%	13.8% *	1,211,965	167,086	1,044,879	0.89	933,565
2007/08	144.0	88.6%	156.0	90.2%	14.0% *	2,183,279	305,208	1,878,071	0.89	1,678,460
2008/09	132.0	86.0%	144.0	88.6%	18.4% *	1,885,935	347,907	1,538,028	0.89	1,375,218
2009/10	120.0	82.3%	132.0	86.0%	20.9% *	1,729,563	362,099	1,367,464	0.90	1,230,084
2010/11	108.0	78.5%	120.0	82.3%	17.6% *	2,236,015	392,772	1,843,243	0.91	1,670,953
2011/12	96.0	74.4%	108.0	78.5%	16.0% *	309,282	49,552	259,730	0.91	235,985
2012/13	84.0	70.2%	96.0	74.4%	14.2% *	823,938	116,626	707,312	0.91	642,748
2013/14	72.0	65.9%	84.0	70.2%	12.6% *	1,704,273	214,503	1,489,770	0.91	1,351,221
2014/15	60.0	61.6%	72.0	65.9%	11.2% *	3,817,524	429,218	3,388,306	0.90	3,062,967
2015/16	48.0	56.0%	60.0	61.6%	12.7% *	4,441,365	565,888	3,875,477	0.90	3,487,956
2016/17	36.0	49.1%	48.0	56.0%	13.5% *	4,450,745	602,192	3,848,553	0.90	3,456,539
2017/18	24.0	38.5%	36.0	49.1%	17.2% *	6,039,058	1,041,689	4,997,369	0.90	4,484,619
2018/19	12.0	15.7%	24.0	38.5%	27.1% *	11,324,938	3,065,950	8,258,988	0.90	7,439,966
2019/20	0.0	0.0%	12.0	15.7%	15.7% *	15,399,000	2,422,758	12,976,242	0.91	11,860,838
Total						\$82,786,221	\$14,253,528	\$68,532,693		\$63,201,875

* - Limited to a maximum of 30% per actuarial judgment.

(3) and (5) are from Exhibit WC-2.

(7) to 2018/19 is from Exhibit WC-11. The amount for 2019/20 is from Exhibit WC-10.

(10) is based on a 1.75% interest rate and the payout pattern in Exhibit WC-2.

Projected Losses Paid October 1, 2020 to September 30, 2021

Claim Period (1)	Months of Development 9/30/20 (2)	Percent Losses Paid (3)	Months of Development 9/30/21 (4)	Percent Losses Paid (5)	Percent Outstanding Losses Paid 10/1/20 to 9/30/21 [(5)-(3)]/ [100.0%-(3)] (6)	Estimated Outstanding Losses 9/30/20 (7)	Projected Losses Paid (6)X(7) (8)	Estimated Outstanding Losses 9/30/21 (7)-(8) (9)	Present Value Factor (10)	Present Value of Estimated Outstanding Losses 9/30/21 (9)X(10) (11)
to 1990/91	360.0	99.4%	372.0	100.0%	30.0% *	\$10,172,691	\$3,051,807	\$7,120,884	1.00	\$7,120,884
1991/92	348.0	99.2%	360.0	99.4%	17.5% *	1,004,396	175,769	828,627	0.99	821,470
1992/93	336.0	99.1%	348.0	99.2%	17.5% *	1,459,124	255,347	1,203,777	0.98	1,176,447
1993/94	324.0	98.9%	336.0	99.1%	17.5% *	585,341	102,435	482,906	0.97	466,435
1994/95	312.0	98.6%	324.0	98.9%	17.5% *	762,010	133,352	628,658	0.96	601,402
1995/96	300.0	98.4%	312.0	98.6%	17.5% *	587,946	102,891	485,055	0.95	460,388
1996/97	288.0	98.0%	300.0	98.4%	17.5% *	1,012,571	177,200	835,371	0.94	787,810
1997/98	276.0	97.6%	288.0	98.0%	17.5% *	510,999	89,425	421,574	0.94	395,494
1998/99	264.0	97.1%	276.0	97.6%	17.5% *	708,096	123,917	584,179	0.93	545,705
1999/00	252.0	96.5%	264.0	97.1%	17.5% *	359,835	62,971	296,864	0.93	276,350
2000/01	240.0	95.7%	252.0	96.5%	17.5% *	1,144,995	200,374	944,621	0.93	876,865
2001/02	228.0	94.8%	240.0	95.7%	17.5% *	488,519	85,491	403,028	0.93	373,261
2002/03	216.0	94.5%	228.0	94.8%	5.2% *	873,036	45,029	828,007	0.92	765,421
2003/04	204.0	93.9%	216.0	94.5%	9.3% *	252,507	23,484	229,023	0.91	209,049
2004/05	192.0	93.3%	204.0	93.9%	9.7% *	530,621	51,613	479,008	0.91	433,913
2005/06	180.0	92.7%	192.0	93.3%	7.7% *	606,574	46,423	560,151	0.90	504,197
2006/07	168.0	91.5%	180.0	92.7%	14.1% *	1,044,879	146,980	897,899	0.89	801,642
2007/08	156.0	90.2%	168.0	91.5%	13.8% *	1,878,071	258,919	1,619,152	0.89	1,446,659
2008/09	144.0	88.6%	156.0	90.2%	14.0% *	1,538,028	215,006	1,323,022	0.89	1,182,405
2009/10	132.0	86.0%	144.0	88.6%	18.4% *	1,367,464	252,262	1,115,202	0.89	997,151
2010/11	120.0	82.3%	132.0	86.0%	20.9% *	1,843,243	385,899	1,457,344	0.90	1,310,934
2011/12	108.0	78.5%	120.0	82.3%	17.6% *	259,730	45,623	214,107	0.91	194,094
2012/13	96.0	74.4%	108.0	78.5%	16.0% *	707,312	113,322	593,990	0.91	539,686
2013/14	84.0	70.2%	96.0	74.4%	14.2% *	1,489,770	210,873	1,278,897	0.91	1,162,157
2014/15	72.0	65.9%	84.0	70.2%	12.6% *	3,388,306	426,459	2,961,847	0.91	2,686,395
2015/16	60.0	61.6%	72.0	65.9%	11.2% *	3,875,477	435,734	3,439,743	0.90	3,109,465
2016/17	48.0	56.0%	60.0	61.6%	12.7% *	3,848,553	490,356	3,358,197	0.90	3,022,401
2017/18	36.0	49.1%	48.0	56.0%	13.5% *	4,997,369	676,151	4,321,218	0.90	3,881,059
2018/19	24.0	38.5%	36.0	49.1%	17.2% *	8,258,988	1,424,609	6,834,379	0.90	6,133,145
2019/20	12.0	15.7%	24.0	38.5%	27.1% *	12,976,242	3,513,000	9,463,242	0.90	8,524,797
2020/21	0.0	0.0%	12.0	15.7%	15.7% *	16,492,000	2,594,723	13,897,277	0.91	12,702,703
Total						\$85,024,693	\$15,917,444	\$69,107,249		\$63,509,784

* - Limited to a maximum of 30% per actuarial judgment.

(3) and (5) are from Exhibit WC-2.

(7) to 2019/20 is from Exhibit WC-12, (9). The amount for 2020/21 is from Exhibit WC-10.

(10) is based on a 1.75% interest rate and the payout pattern in Exhibit WC-2.

Loss Rate and Severity Trend

I. Benefit Level Changes

Effective Date (1)	Benefit Level Change (2)	Cumulative Benefit Level Change (3)
01/01/10	1.002	1.002
01/01/14	1.002	1.004
01/01/15	1.002	1.006
01/01/16	1.001	1.007
01/01/17	1.002	1.009

II. Loss Rate and Severity Trend

Claim Period (1)	Benefit Trend (2019/20 = 1.000) (2)	Residual Trend (2019/20 = 1.000) (3)	Retention Index (2019/20 = 1.000) (4)	Loss Rate Trend (2019/20 = 1.000) (2)X(3)X(4) (5)	Wage Trend (2019/20 = 1.000) (6)	Severity Trend (2019/20 = 1.000) (5)X(6) (7)
2009/10	1.007	1.219	1.000	1.228	1.344	1.650
2010/11	1.007	1.195	1.000	1.203	1.305	1.570
2011/12	1.007	1.172	1.000	1.180	1.267	1.495
2012/13	1.007	1.149	1.000	1.157	1.230	1.423
2013/14	1.006	1.126	1.000	1.132	1.194	1.352
2014/15	1.004	1.104	1.000	1.108	1.159	1.284
2015/16	1.002	1.082	1.000	1.085	1.126	1.221
2016/17	1.001	1.061	1.000	1.062	1.093	1.160
2017/18	1.000	1.040	1.000	1.040	1.061	1.104
2018/19	1.000	1.020	1.000	1.020	1.030	1.051
2019/20	1.000	1.000	1.000	1.000	1.000	1.000
2020/21	1.000	0.980	1.000	0.980	0.971	0.952

Section I, (2) and (3) reflect data published by the NCCI.

Section II, (2) is based on Section I, (2).

Section II, (3) is based on 2% trend per actuarial judgment.

Section II, (4) is based on industry statistics and actuarial judgment.

Section II, (6) is based on 3% trend.

DISTRICT OF COLUMBIA
WORKERS' COMPENSATION

Exhibit WC-15

List of Large Claims
Reported Incurred Losses Greater Than \$750,000

Claim Number (1)	Date of Loss (2)	Claim Period (3)	Specific Self-Insured Retention (4)	Unlimited Paid Losses 9/30/19 (5)	Unlimited Case Reserves 9/30/19 (6)	Unlimited Reported Incurred Losses 9/30/19 (7)
0468-WC-76-0500001	10/01/75	to 1990/91	Unlimited	\$694,955	\$509,542	\$1,204,497
0468-WC-76-0500007	08/26/76	to 1990/91	Unlimited	436,840	379,186	816,026
0468-WC-77-0500008	05/19/77	to 1990/91	Unlimited	568,077	272,730	840,807
0468-WC-79-0500010	02/21/79	to 1990/91	Unlimited	1,002,090	203,501	1,205,591
0468-WC-81-0500001	11/14/80	to 1990/91	Unlimited	785,702	0	785,702
0468-WC-81-0500013	08/07/81	to 1990/91	Unlimited	584,299	248,302	832,601
0468-WC-82-0500005	11/18/81	to 1990/91	Unlimited	460,966	456,453	917,419
0468-WC-84-0500017	06/01/84	to 1990/91	Unlimited	772,000	1,935,526	2,707,526
0468-WC-90-0500011	01/26/90	to 1990/91	Unlimited	989,149	1,804	990,953
0468-WC-90-0500024	05/16/90	to 1990/91	Unlimited	618,901	174,425	793,326
0468-WC-90-0500025	05/24/90	to 1990/91	Unlimited	1,031,305	33,489	1,064,793
0468-WC-92-0500010	02/06/92	1991/92	Unlimited	602,892	343,149	946,040
0468-WC-93-0500023	05/26/93	1992/93	Unlimited	419,095	599,045	1,018,140
0468-WC-93-0500036	09/28/93	1992/93	Unlimited	685,520	409,470	1,094,991
0468-WC-95-0500001	10/26/94	1994/95	Unlimited	724,264	46,097	770,362
0468-WC-95-0500006	01/07/95	1994/95	Unlimited	719,327	61,789	781,116
0468-WC-95-0500023	05/17/95	1994/95	Unlimited	688,171	83,093	771,265
0468-WC-95-0500024	05/19/95	1994/95	Unlimited	810,769	7,375	818,144
0468-WC-97-0500009	12/17/96	1996/97	Unlimited	733,874	93,295	827,169
0468-WC-97-0500025	03/07/97	1996/97	Unlimited	593,544	733,291	1,326,836
0468-WC-98-0500041	08/03/98	1997/98	Unlimited	673,240	139,293	812,533
0468-WC-01-0500009	10/16/00	2000/01	Unlimited	484,051	838,016	1,322,067
0468-WC-01-0500032	01/08/01	2000/01	Unlimited	771,692	47,388	819,079
0468-WC-02-0500116	05/10/02	2001/02	Unlimited	775,769	83,148	858,917
0468-WC-03-0500040	12/11/02	2002/03	Unlimited	877,928	61,117	939,045
0468-WC-03-0500052	01/01/03	2002/03	Unlimited	921,569	0	921,569
0468-WC-03-0500091	02/23/03	2002/03	Unlimited	832,595	148,051	980,646
0468-WC-03-0500175	05/17/03	2002/03	Unlimited	707,749	49,695	757,445
0468-WC-04-0500318	09/08/04	2003/04	Unlimited	891,093	0	891,093
0468-WC-05-0500986	10/13/04	2004/05	Unlimited	790,784	61,266	852,050
0468-WC-05-0501211	12/09/04	2004/05	Unlimited	854,398	1,359	855,758
0468-WC-05-0500146	04/05/05	2004/05	Unlimited	932,049	0	932,049
0468-WC-05-0500700	08/17/05	2004/05	Unlimited	707,849	62,798	770,647
0468-WC-06-0500703	11/06/05	2005/06	Unlimited	803,449	146,559	950,008
0468-WC-07-0501199	06/18/07	2006/07	Unlimited	811,083	29,319	840,402
0468-WC-11-0501441	08/03/11	2010/11	Unlimited	415,986	409,923	825,908
0468-WC-15-0000096	10/24/14	2014/15	Unlimited	837,610	292,765	1,130,376
WC-19-00027	12/25/18	2018/19	Unlimited	321,243	680,544	1,001,786

Amounts are net of recoveries.

Size of Loss Distribution

I. Reported Claim Count

Claim Size (1)	Prior (2)	2014/15 (3)	2015/16 (4)	2016/17 (5)	2017/18 (6)	2018/19 (7)	Total (2)...(7) (8)	Non-Zero Claim Cumulative Total (9)	Non-Zero Claim Cumulative % of Total (10)
0	122	3	5	0	0	0	130		
0.01 - 5,000	6,622	492	586	380	270	413	8,763	8,763	70.5%
5,000 - 10,000	667	42	32	35	31	75	882	9,645	77.7%
10,000 - 25,000	713	40	56	48	53	47	957	10,602	85.4%
25,000 - 50,000	518	25	18	20	33	28	642	11,244	90.5%
50,000 - 100,000	332	27	16	16	18	3	412	11,656	93.8%
100,000 - 250,000	301	16	19	14	3	1	354	12,010	96.7%
250,000 - 500,000	274	2	3	1	0	0	280	12,290	98.9%
500,000 - 750,000	93	0	0	0	0	0	93	12,383	99.7%
750,000 - 1,000,000	28	0	0	0	0	0	28	12,411	99.9%
Over 1,000,000	8	1	0	0	0	1	10	12,421	100.0%
Total	9,678	648	735	514	408	568	12,551	12,421	

II. Total Reported Incurred Losses

Claim Size (1)	Prior (2)	2014/15 (3)	2015/16 (4)	2016/17 (5)	2017/18 (6)	2018/19 (7)	Total (2)...(7) (8)	Non-Zero Claim Cumulative Total (9)	Non-Zero Claim Cumulative % of Total (10)
0	\$0	\$0	\$0	\$0	\$0	\$0	\$0		
0.01 - 5,000	7,040,096	428,189	393,480	319,235	291,896	823,813	9,296,708	9,296,708	2.8%
5,000 - 10,000	4,693,447	290,349	229,285	246,773	227,975	482,681	6,170,510	15,467,218	4.7%
10,000 - 25,000	11,541,087	627,039	920,934	739,636	917,362	777,314	15,523,372	30,990,590	9.3%
25,000 - 50,000	18,311,533	852,488	621,558	688,634	1,136,491	962,672	22,573,376	53,563,966	16.1%
50,000 - 100,000	23,438,778	1,887,395	1,197,242	1,117,711	1,253,329	207,828	29,102,282	82,666,248	24.9%
100,000 - 250,000	48,529,852	2,709,001	2,961,024	1,904,882	423,533	135,863	56,664,155	139,330,403	41.9%
250,000 - 500,000	98,217,727	645,284	1,060,107	448,613	0	0	100,371,732	239,702,135	72.2%
500,000 - 750,000	55,466,126	0	0	0	0	0	55,466,126	295,168,261	88.9%
750,000 - 1,000,000	23,898,079	0	0	0	0	0	23,898,079	319,066,340	96.1%
Over 1,000,000	10,944,440	1,130,376	0	0	0	1,001,786	13,076,602	332,142,942	100.0%
Total	\$302,081,164	\$8,570,120	\$7,383,630	\$5,465,484	\$4,250,587	\$4,391,957	\$332,142,942	\$332,142,942	

Amounts are gross of excess insurance and net of other recoveries.

Data was provided by DC Office of Risk Management.

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Loss Distribution by Type

I. Claim Counts and Incurred Losses

Claim Period (1)	Reported Paid Claims > \$0 as of 9/30/19			Unlimited Paid Losses 9/30/19					
	Medical Only (2)	Claims w/ Indemnity (3)	Total (2)...(3) (4)	Claims with Indemnity					Total (5) + (9) (10)
				Medical Only (5)	Indemnity (6)	Medical (7)	ALAE (8)	Total (6)...(8) (9)	
2009/10	502	344	846	\$839,222	\$10,313,671	\$2,947,073	\$286,898	\$13,547,643	\$14,386,865
2010/11	593	283	876	886,773	8,617,239	3,070,155	283,280	11,970,674	12,857,447
2011/12	584	216	800	750,808	3,117,185	1,182,960	171,689	4,471,834	5,222,641
2012/13	447	186	633	511,695	3,564,148	1,088,195	113,958	4,766,300	5,277,996
2013/14	466	163	629	511,237	3,657,890	1,090,491	71,290	4,819,672	5,330,909
2014/15	472	176	648	543,502	4,813,188	1,895,873	65,894	6,774,954	7,318,456
2015/16	578	157	735	520,357	4,245,829	1,169,682	62,921	5,478,432	5,998,789
2016/17	365	144	509	305,801	2,533,666	1,230,156	63,340	3,827,163	4,132,963
2017/18	278	120	398	303,230	1,917,477	454,333	43,960	2,415,770	2,719,000
2018/19	397	55	452	414,110	591,273	437,441	35,710	1,064,423	1,478,533
2011/12	4,682	1,844	6,526	\$5,586,734	\$43,371,566	\$14,566,358	\$1,198,940	\$59,136,864	\$64,723,598

II. Percentages

Claim Period (1)	Reported Paid Claims > \$0 as of 9/30/19			Unlimited Paid Losses 9/30/19					
	Medical Only (2) / (4) (11)	Claims w/ Indemnity (3) / (4) (12)	Total (11) + (12) (13)	Claims with Indemnity					Total (14) + (18) (19)
				Medical Only (5) / (10) (14)	Indemnity (6) / (10) (15)	Medical (7) / (10) (16)	ALAE (8) / (10) (17)	Total (9) / (10) (18)	
2009/10	59.3%	40.7%	100.0%	5.8%	71.7%	20.5%	2.0%	94.2%	100.0%
2010/11	67.7%	32.3%	100.0%	6.9%	67.0%	23.9%	2.2%	93.1%	100.0%
2011/12	73.0%	27.0%	100.0%	14.4%	59.7%	22.7%	3.3%	85.6%	100.0%
2012/13	70.6%	29.4%	100.0%	9.7%	67.5%	20.6%	2.2%	90.3%	100.0%
2013/14	74.1%	25.9%	100.0%	9.6%	68.6%	20.5%	1.3%	90.4%	100.0%
2014/15	72.8%	27.2%	100.0%	7.4%	65.8%	25.9%	0.9%	92.6%	100.0%
2015/16	78.6%	21.4%	100.0%	8.7%	70.8%	19.5%	1.0%	91.3%	100.0%
2016/17	71.7%	28.3%	100.0%	7.4%	61.3%	29.8%	1.5%	92.6%	100.0%
2017/18	69.8%	30.2%	100.0%	11.2%	70.5%	16.7%	1.6%	88.8%	100.0%
2018/19	87.8%	12.2%	100.0%	28.0%	40.0%	29.6%	2.4%	72.0%	100.0%
2011/12	71.7%	28.3%	100.0%	8.6%	67.0%	22.5%	1.9%	91.4%	100.0%

Data was provided by DC Office of Risk Management. Case reserves were not available separately for indemnity, medical, and ALAE.

Amounts are gross of recoveries.

Medical Only includes claims with \$0 paid indemnity and total paid > \$0.

DISTRICT OF COLUMBIA
WORKERS' COMPENSATION

Exhibit PF-1 (page 1)

Metropolitan Police Department
Indemnity Case and IBNR Loss Reserve Estimate as of September 30, 2019

Officers on POD											
Injury Month (1)	Closed Claims 9/30/19 (2)	Open Claims 9/30/19 (3)	Reported Claims 9/30/19 (2) + (3) (4)	Open Claim Hours Used (5)	Estimated Additional Open Hours for Open Claims (6)	Case Reserve (7)	Maximum IBNR Reserve for Open Claims (8)	Estimated Percent Necessary for IBNR (9)	Estimated IBNR Reserve for Open Claims (8) x (9) (10)	Number of New Claims Expected to be Reported (11)	Average Hourly Rate for All Workers on POD (12)
to 9/1/18 - 9/30/18	139	7	146	3,732	1,080	\$41,177	\$147,998	25%	\$37,000	0	38.598
10/1/18 - 10/31/18	69	5	74	5,616	920	38,385	0	25%	0	0	37.574
11/1/18 - 11/30/18	53	2	55	2,888	560	23,802	328	25%	82	0	37.812
12/1/18 - 12/31/18	72	2	74	2,186	120	4,048	6,813	25%	1,703	0	38.503
1/1/19 - 1/31/19	54	1	55	1,040	0	0	0	25%	0	0	37.638
2/1/19 - 2/28/19	54	4	58	3,110	280	11,426	14,645	25%	3,661	0	36.414
3/1/19 - 3/31/19	68	4	72	2,246	200	7,084	4,675	25%	1,169	0	37.727
4/1/19 - 4/30/19	67	1	68	232	0	0	0	25%	0	0	36.449
5/1/19 - 5/31/19	76	4	80	1,204	20	675	26,444	25%	6,611	0	36.105
6/1/19 - 6/30/19	58	7	65	2,454	1,160	45,999	125,683	25%	31,421	0	37.274
7/1/19 - 7/31/19	68	6	74	1,400	1,720	76,571	236,882	50%	118,441	0	38.873
8/1/19 - 8/31/19	71	16	87	1,710	1,600	59,469	388,273	50%	194,136	0	36.000
9/1/19 - 9/30/19	58	34	92	705	3,680	151,218	1,386,504	50%	693,252	0	38.374
Total	907	93	1,000	28,523	11,340	\$459,853	\$2,338,247		\$1,087,476	0	37.625

(13) Indemnity Case Reserve for Open POD Claims (column (7))	\$459,853
(14) Estimated Indemnity IBNR Reserve for Open POD Claims (column (10))	1,087,476
(15) Estimated Indemnity IBNR Reserve for New Claims Expected to be Reported (11) x 460 x (12)	0
(16) Total Indemnity Case and IBNR Reserve as of September 30, 2019 (13) + (14) + (15)	\$1,547,329

(2) and (12) were provided by DC Office of Risk Management. (2) includes claims that were closed subsequent to September 30, 2019.

(3) and (5) through (8) are from Exhibit PF-2.

(8) assumes that, on average, the open claims will attain 1,376 hours (172 days x 8 hours per day).

(9) assigns a probability to each month that the maximum IBNR calculation in (8) is necessary in the calculation of the estimated IBNR for open claims.

(11) is the number of new POD claims with injury date prior to 10/1/19 and reported after 9/30/19. It is assumed that there are 69 claims per month, based on the reported claims for October 2018 to August 2019. It is assumed that newly reported claims will only occur for injury dates in September 2019.

(15) assumes that the average claim is for 460 hours. This average is based on claims that have been paid for over 20 days (160 hours). The average in the prior study was 466 hours.

Fire Department
Indemnity Case and IBNR Loss Reserve Estimate as of September 30, 2019

Workers on POD											
Injury Month (1)	Closed Claims 9/30/19 (2)	Open Claims 9/30/19 (3)	Reported Claims 9/30/19 (2) + (3) (4)	Open Claim Hours Used (5)	Estimated Additional Open Hours for Open Claims (6)	Case Reserve (7)	Maximum IBNR Reserve for Open Claims (8)	Estimated Percent Necessary for IBNR (9)	Estimated IBNR Reserve for Open Claims (8) x (9) (10)	Number of New Claims Expected to be Reported (11)	Average Hourly Rate for All Workers on POD (12)
to 9/1/18 - 9/30/18	60	3	63	6,264	2,824	\$86,579	\$0	25%	\$0	0	39.530
10/1/18 - 10/31/18	40	1	41	1,434	0	0	0	25%	0	0	36.572
11/1/18 - 11/30/18	33	1	34	1,649	1,632	61,690	0	25%	0	0	37.422
12/1/18 - 12/31/18	26	0	26	0	0	0	0	25%	0	0	37.069
1/1/19 - 1/31/19	24	0	24	0	0	0	0	25%	0	0	38.230
2/1/19 - 2/28/19	25	1	26	1,293	336	11,347	0	25%	0	0	39.934
3/1/19 - 3/31/19	29	2	31	1,892	1,080	38,372	0	25%	0	0	34.970
4/1/19 - 4/30/19	19	2	21	1,775	624	22,859	39,732	25%	9,933	0	39.224
5/1/19 - 5/31/19	25	6	31	3,843	1,144	49,405	110,251	25%	27,563	0	38.966
6/1/19 - 6/30/19	30	5	35	2,107	384	16,239	147,275	25%	36,819	0	38.124
7/1/19 - 7/31/19	43	7	50	2,774	5,160	259,011	36,223	50%	18,112	0	37.759
8/1/19 - 8/31/19	27	9	36	1,741	3,312	135,401	121,914	50%	60,957	0	34.911
9/1/19 - 9/30/19	17	10	27	642	3,288	126,849	255,418	50%	127,709	5	35.358
Total	398	47	445	25,414	19,784	\$807,752	\$710,812		\$281,093	5	37.635

(13) Indemnity Case Reserve for Open POD Claims (column (7)) \$807,752

(14) Estimated Indemnity IBNR Reserve for Open POD Claims (column (10)) 281,093

(15) Estimated Indemnity IBNR Reserve for New Claims Expected to be Reported (11) x 494 x (12) 98,051

(16) Total Indemnity Case and IBNR Reserve as of September 30, 2019 (13) + (14) + (15) \$1,186,896

(2) and (12) were provided by DC Office of Risk Management. (2) includes claims that were closed subsequent to September 30, 2019.

(3) and (5) through (8) are from Exhibit PF-3.

(8) assumes that, on average, the open claims will attain 1,536 hours (192 days x 8 hours per day).

(9) assigns a probability to each month that the maximum IBNR calculation in (8) is necessary in the calculation of the estimated IBNR for open claims.

(11) is the number of new POD claims with injury date prior to 10/1/19 and reported after 9/30/19. It is assumed that there are 32 claims per month, based on the reported claims for October 2018 to August 2019. It is assumed that newly reported claims will only occur for injury dates in September 2019.

(15) assumes that the average claim is for 494 hours. This average is based on claims that have been paid for over 20 days (160 hours). The average in the prior study was 427 hours.

DISTRICT OF COLUMBIA
WORKERS' COMPENSATION

Exhibit PF-2 (page 1)

Metropolitan Police Department
Indemnity Case and IBNR Loss Reserve Estimate on Open Claims as of September 30, 2019

Claim Number (1)	Date of Loss (2)	Claim Hours Used as of 9/30/19 (3)	Estimated Additional Hours (4)	Maximum IBNR Hours (5)	Case Reserves as of 9/30/19 (6)	Hourly Rate (6) / (4) (7)	Maximum IBNR Reserves as of 9/30/19 (5) x (7) (8)
90-490-875	09/01/90	0	0	1,376	\$0		\$0
02-168-294	11/11/02	0	40	1,336	1,842	46.06	61,536
13-028-889	03/05/13	288	120	968	4,460	37.17	35,981
19-078-839	05/02/18	0	280	1,096	12,897	46.06	50,482
18-123-869	07/27/18	32	0	1,344	0		0
18-134-693	08/13/18	1,522	160	0	6,560	41.00	0
18-150-719	09/07/18	1,890	480	0	15,418	32.12	0
18-168-146	10/04/18	1,882	480	0	20,664	43.05	0
18-168-146	10/04/18	0	0	1,376	0		0
18-168-146	10/04/18	0	0	1,376	0		0
18-175-972	10/17/18	1,910	280	0	12,054	43.05	0
18-177-828	10/20/18	1,824	160	0	5,667	35.42	0
18-191-368	11/10/18	1,760	320	0	13,962	43.63	0
18-194-985	11/16/18	1,128	240	8	9,840	41.00	328
18-212-362	12/15/18	1,054	120	202	4,048	33.73	6,813
18-220-173	12/28/18	1,132	0	244	0		0
19-004-132	01/07/19	1,040	0	336	0		0
19-018-597	02/01/19	972	80	324	3,616	45.20	14,645
19-020-756	02/05/19	962	0	414	0		0
19-020-756	02/05/19	0	0	1,376	0		0
19-023-310	02/08/19	1,176	200	0	7,810	39.05	0
19-037-908	03/05/19	378	0	998	0		0
91-003-010	03/13/19	1,044	200	132	7,084	35.42	4,675
19-046-207	03/19/19	308	0	1,068	0		0
19-049-916	03/25/19	516	0	860	0		0
19-072-750	04/29/19	232	0	1,144	0		0
19-079-823	05/09/19	152	0	1,224	0		0
19-808-185	05/10/19	572	20	784	675	33.73	26,444
19-086-615	05/20/19	0	0	1,376	0		0
19-088-931	05/23/19	480	0	896	0		0
19-096-203	06/03/19	120	80	1,176	3,280	41.00	48,216
19-098-949	06/07/19	624	400	352	18,080	45.20	15,910
19-105-761	06/17/19	568	320	488	12,496	39.05	19,056
19-105-761	06/17/19	560	240	576	8,095	33.73	19,428
19-105-761	06/17/19	0	0	1,376	0		0
19-107-051	06/19/19	572	120	684	4,048	33.73	23,071
19-108-338	06/21/19	10	0	1,366	0		0
19-124-118	07/15/19	374	280	722	12,457	44.49	32,122
19-116-706	07/15/19	0	80	1,296	3,616	45.20	58,579
19-128-150	07/19/19	0	320	1,056	16,490	51.53	54,416
19-126-309	07/19/19	312	480	584	16,190	33.73	19,698
19-128-950	07/23/19	384	320	672	16,970	53.03	35,636
19-128-863	07/23/19	330	240	806	10,848	45.20	36,431
19-135-293	08/01/19	192	80	1,104	3,124	39.05	43,111
19-135-341	08/01/19	264	0	1,112	0		0
19-135-717	08/02/19	312	200	864	9,040	45.20	39,053
19-136-095	08/02/19	50	0	1,326	0		0
19-137-418	08/04/19	40	120	1,216	4,048	33.73	41,016
19-137-127	08/04/19	30	120	1,226	4,686	39.05	47,875
19-140-716	08/09/19	0	0	1,376	0		0
19-143-444	08/14/19	0	80	1,296	4,735	59.19	76,710
19-143-444	08/14/19	0	0	1,376	0		0
19-144-733	08/16/19	152	0	1,224	0		0
19-147-287	08/20/19	232	0	1,144	0		0
19-150-129	08/24/19	152	320	904	10,278	32.12	29,036
19-152-827	08/28/19	112	480	784	16,190	33.73	26,444
91-008-013	08/28/19	76	120	1,180	4,920	41.00	48,380
19-131-065	08/30/19	0	0	1,376	0		0
19-154-875	08/31/19	98	80	1,198	2,447	30.59	36,647
19-156-881	09/04/19	40	80	1,256	3,280	41.00	51,496
19-160-055	09/08/19	86	360	930	15,019	41.72	38,800
19-160-965	09/10/19	0	120	1,256	4,618	38.48	48,331
19-162-233	09/12/19	107	80	1,189	3,032	37.90	45,063
19-162-891	09/12/19	0	240	1,136	12,746	53.11	60,333
19-162-189	09/12/19	100	360	916	12,143	33.73	30,897
19-162-891	09/12/19	0	200	1,176	6,078	30.39	35,739
19-164-068	09/14/19	80	120	1,176	4,048	33.73	39,666
19-165-507	09/16/19	0	240	1,136	10,320	43.00	48,848
19-165-507	09/16/19	0	0	1,376	0		0
19-165-507	09/16/19	0	240	1,136	8,378	34.91	39,658
19-165-186	09/16/19	60	0	1,316	0		0
19-165-507	09/16/19	30	60	1,286	2,343	39.05	50,218
19-165-507	09/16/19	0	240	1,136	11,870	49.46	56,187
19-165-507	09/16/19	0	40	1,336	1,396	34.91	46,640
19-165-186	09/16/19	64	80	1,232	3,616	45.20	55,686
19-166-103	09/17/19	40	0	1,336	0		0
19-166-240	09/18/19	0	80	1,296	2,933	36.66	47,511
19-166-240	09/18/19	10	120	1,246	5,424	45.20	56,319

DISTRICT OF COLUMBIA
WORKERS' COMPENSATION

Exhibit PF-2 (page 1)

Metropolitan Police Department
Indemnity Case and IBNR Loss Reserve Estimate on Open Claims as of September 30, 2019

Claim Number (1)	Date of Loss (2)	Claim Hours Used as of 9/30/19 (3)	Estimated Additional Hours (4)	Maximum IBNR Hours (5)	Case Reserves as of 9/30/19 (6)	Hourly Rate (6) / (4) (7)	Maximum IBNR Reserves as of 9/30/19 (5) x (7) (8)
19-166-724	09/18/19	0	240	1,136	11,590	48.29	54,857
19-166-250	09/18/19	50	40	1,286	1,417	35.42	45,550
19-166-240	09/18/19	30	180	1,166	8,266	45.92	53,543
19-167-178	09/19/19	8	0	1,368	0		0
91-010-005	09/24/19	0	0	1,376	0		0
19-170-633	09/24/19	0	160	1,216	7,370	46.06	56,009
19-170-875	09/25/19	0	20	1,356	999	49.95	67,732
19-171-859	09/26/19	0	0	1,376	0		0
19-172-525	09/27/19	0	20	1,356	733	36.66	49,711
19-173-966	09/28/19	0	80	1,296	2,793	34.91	45,243
19-173-256	09/28/19	0	40	1,336	1,396	34.91	46,640
19-173-855	09/29/19	0	80	1,296	2,793	34.91	45,243
19-173-745	09/29/19	0	80	1,296	2,933	36.66	47,511
19-174-224	09/30/19	0	40	1,336	1,842	46.06	61,536
19-174-224	09/30/19	0	40	1,336	1,842	46.06	61,536
Total		28,523	11,340	92,517	\$459,853		\$2,338,247

(1) through (4) and (6) were provided by DC Office of Risk Management.

(5) = $1,376 - [(3) + (4)]$, subject to a minimum of 0.

DISTRICT OF COLUMBIA
WORKERS' COMPENSATION

Exhibit PF-3

Fire Department
Indemnity Case and IBNR Loss Reserve Estimate on Open Claims as of September 30, 2019

PeopleSoft ID # (1)	Date of Loss (2)	Claim Hours Used as of 9/30/19 (3)	Estimated Additional Hours (4)	Maximum IBNR Hours (5)	Case Reserves as of 9/30/19 (6)	Hourly Rate (6) / (4) (7)	Maximum IBNR Reserves as of 9/30/19 (5) x (7) (8)
00073745	08/02/17	2,184	2,184	0	\$62,138	\$28.45	\$0
00026264	07/20/18	1,992	240	0	6,833	28.47	0
00001672	09/19/18	2,088	400	0	17,608	44.02	0
0000771	10/25/18	1,434	0	102			0
00036370	11/08/18	1,649	1,632	0	61,690	37.80	0
00063795	02/25/19	1,293	336	0	11,347	33.77	0
00037131	03/04/19	1,258	1,080	0	38,372	35.53	0
00014341	03/26/19	634	0	902			0
00013853	04/09/19	960	24	552	1,541	64.19	35,433
00035278	04/25/19	815	600	121	21,318	35.53	4,299
00035374	05/02/19	727	168	641	7,017	41.77	26,775
00014694	05/08/19	743	160	633	10,267	64.17	40,620
00008380	05/10/19	645	0	891			0
00006695	05/16/19	480	240	816	10,642	44.34	36,181
00084651	05/24/19	781	576	179	21,479	37.29	6,675
00071343	05/28/19	467	0	1,069			0
00056606	06/14/19	564	192	780	7,970	41.51	32,378
00000449	06/17/19	648	168	720	7,266	43.25	31,140
00007109	06/18/19	559	16	961	806	50.35	48,386
00000625	06/21/19	240	0	1,296			0
00099419	06/25/19	96	8	1,432	198	24.70	35,370
00073331	07/13/19	424	768	344	24,092	31.37	10,791
00078082	07/15/19	475	0	1,061			0
00037105	07/19/19	448	960	128	34,109	35.53	4,548
00005789	07/22/19	428	1,080	28	69,109	63.99	1,792
00004759	07/24/19	191	0	1,345			0
00034319	07/26/19	400	624	512	23,269	37.29	19,092
0007814	07/26/19	408	1,728	0	108,432	62.75	0
00023973	08/01/19	384	1,080	72	42,293	39.16	2,820
00040408	08/06/19	324	672	540	24,239	36.07	19,478
00001072	08/13/19	213	120	1,203	4,928	41.07	49,407
00007048	08/15/19	108	0	1,428			0
00040424	08/16/19	88	0	1,448			0
00014660	08/22/19	187	960	389	46,282	48.21	18,754
00093147	08/25/19	164	0	1,372			0
00055411	08/28/19	201	480	855	17,659	36.79	31,455
00036333	08/30/19	72	0	1,464	0		0
00084610	09/03/19	72	0	1,464			0
00106964	09/09/19	72	0	1,464			0
00006236	09/11/19	132	144	1,260	10,081	70.01	88,213
RECRUIT	09/12/19	0	0	1,536			0
00006363	09/13/19	120	72	1,344	2,995	41.60	55,910
00014882	09/14/19	111	912	513	41,879	45.92	23,557
00102311	09/18/19	72	0	1,464			0
00107985	09/23/19	4	960	572	25,402	26.46	15,135
00034306	09/24/19	48	1,080	408	41,634	38.55	15,728
00023662	09/30/19	11	120	1,405	4,858	40.48	56,874
Total		25,414	19,784	34,714	\$807,752		\$710,812

(1) through (4) and (6) were provided by DC Office of Risk Management.

(5) = 1,536 - [(3) + (4)], subject to a minimum of 0.

Data Summary as of September 30, 2019
Unlimited Losses

Claim Period (1)	Specific Self-Insured Retention (2)	Aggregate Retention (3)	Months of Development 9/30/19 (4)	Payroll (000) (5)	Reported Claims 9/30/19 (6)	Open Claims 9/30/19 (7)	Unlimited Paid Losses 9/30/19 (8)	Unlimited Case Reserves 9/30/19 (9)	Unlimited Reported Incurred Losses 9/30/19 (10)
to 1999/00	Unlimited	None	240.0	Not Provided	1	0	\$11,424	\$0	\$11,424
2000/01	Unlimited	None	228.0	Not Provided	1	0	6,250	0	6,250
2001/02	Unlimited	None	216.0	Not Provided	7	0	481,652	0	481,652
2002/03	Unlimited	None	204.0	Not Provided	31	0	317,220	0	317,220
2003/04	Unlimited	None	192.0	1,689,505	70	0	1,444,738	0	1,444,738
2004/05	Unlimited	None	180.0	1,771,286	142	0	1,414,592	0	1,414,592
2005/06	Unlimited	None	168.0	1,915,930	66	0	428,809	0	428,809
2006/07	Unlimited	None	156.0	2,009,447	85	0	1,592,767	0	1,592,767
2007/08	Unlimited	None	144.0	2,096,929	103	0	457,403	0	457,403
2008/09	Unlimited	None	132.0	2,247,761	60	0	206,315	0	206,315
2009/10	Unlimited	None	120.0	2,036,925	51	0	290,810	0	290,810
2010/11	Unlimited	None	108.0	1,979,225	46	0	161,579	0	161,579
2011/12	Unlimited	None	96.0	2,109,455	62	0	381,507	0	381,507
2012/13	Unlimited	None	84.0	2,156,439	56	0	617,639	0	617,639
2013/14	Unlimited	None	72.0	2,256,429	52	0	419,129	0	419,129
2014/15	Unlimited	None	60.0	2,442,007	69	0	239,084	0	239,084
2015/16	Unlimited	None	48.0	2,620,064	44	0	90,676	0	90,676
2016/17	Unlimited	None	36.0	2,740,690	49	10	100,417	111,115	211,532
2017/18	Unlimited	None	24.0	2,890,437	100	44	90,327	415,270	505,597
2018/19	Unlimited	None	12.0	2,997,794	159	137	35,092	537,934	573,027
Total					1,254	191	\$8,787,430	\$1,064,319	\$9,851,749

Data includes all tort claims except those with "Claim Type" field Auto Bodily Injury, Auto Physical Damage, or Auto Property Damage, as provided by DC Office of Risk Management. Such claims are included in the AL exhibit series.

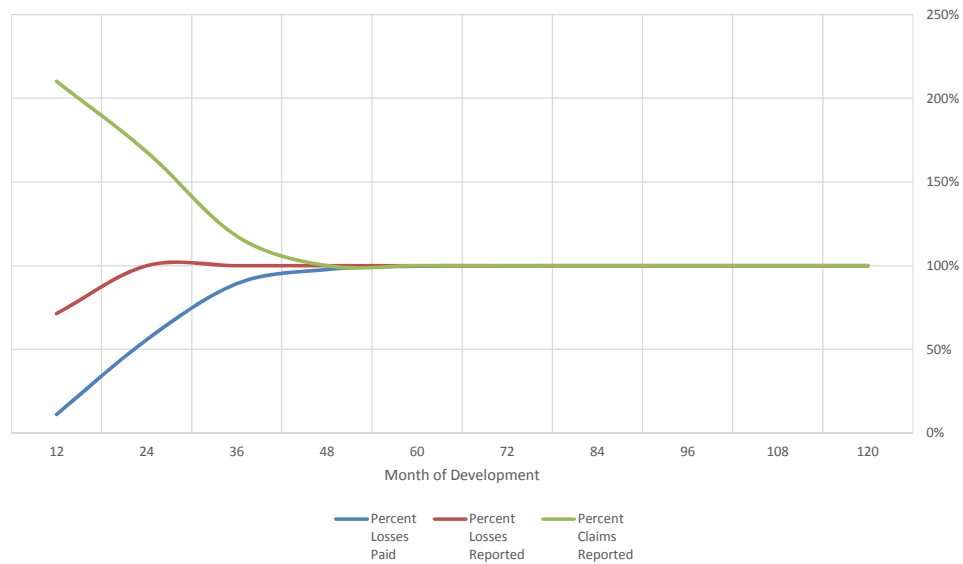
(6) and (7) are on an occurrence basis and exclude claims with \$0 incurred.

(8), (9) and (10) are unlimited.

Data was provided by DC Office of Risk Management.

Summary of Percent Losses Paid, Losses Reported and Claims Reported

Months of Development (1)	Percent Losses Paid (2)	Percent Losses Reported (3)	Percent Claims Reported (4)
360.0	100.0%	100.0%	100.0%
348.0	100.0%	100.0%	100.0%
336.0	100.0%	100.0%	100.0%
324.0	100.0%	100.0%	100.0%
312.0	100.0%	100.0%	100.0%
300.0	100.0%	100.0%	100.0%
288.0	100.0%	100.0%	100.0%
276.0	100.0%	100.0%	100.0%
264.0	100.0%	100.0%	100.0%
252.0	100.0%	100.0%	100.0%
240.0	100.0%	100.0%	100.0%
228.0	100.0%	100.0%	100.0%
216.0	100.0%	100.0%	100.0%
204.0	100.0%	100.0%	100.0%
192.0	100.0%	100.0%	100.0%
180.0	100.0%	100.0%	100.0%
168.0	100.0%	100.0%	100.0%
156.0	100.0%	100.0%	100.0%
144.0	100.0%	100.0%	100.0%
132.0	100.0%	100.0%	100.0%
120.0	100.0%	100.0%	100.0%
108.0	100.0%	100.0%	100.0%
96.0	100.0%	100.0%	100.0%
84.0	100.0%	100.0%	100.0%
72.0	100.0%	100.0%	100.0%
60.0	99.7%	100.0%	100.0%
48.0	97.7%	100.0%	100.0%
36.0	89.3%	100.0%	117.6%
24.0	55.8%	100.0%	168.1%
12.0	11.2%	71.4%	210.1%



(2) is from Exhibit GL-2 (page 2).

(3) is from Exhibit GL-2 (page 3).

(4) is from Exhibit GL-2 (page 4).

DISTRICT OF COLUMBIA
GENERAL LIABILITY

Exhibit GL-2 (page 2)

Historical Unlimited Paid Losses (\$000) and Unlimited Paid Loss Development

I. Historical Unlimited Paid Losses (\$000)

Claim Period	12	24	Months of Development:		36	48	60	72	84	96	108	120	132	144	156	168	180	192	204	216	228	240
to 1999/00																						
2000/01													52	52	52	52	52	52	52	52	52	6
2001/02												482	482	482	482	482	482	482	482	482	482	
2002/03											342	342	342	342	342	317	317	317	317	317		
2003/04										1,453	1,453	1,453	1,453	1,453	1,445	1,445	1,445	1,445	1,445			
2004/05									1,432	1,432	1,432	1,432	1,432	1,432	1,432	1,432	1,432	1,415				
2005/06								394	394	394	394	429	429	429	429	429						
2006/07						1,578	1,584	1,593	1,593	1,593	1,593	1,593	1,593	1,593	1,593							
2007/08					410	438	455	457	457	457	457	457	457	457								
2008/09				141	200	206	206	206	206	206	206	206	206									
2009/10		167	255	271	291	291	291	291	291	291	291											
2010/11	4	67	149	162	162	162	162	162	162	162												
2011/12	25	157	352	386	381	382	382	382	382													
2012/13	26	549	564	619	619	619	619	618														
2013/14	19	210	338	419	419	419																
2014/15	44	187	238	238	239																	
2015/16	13	56	70	91																		
2016/17	16	61	100																			
2017/18	30	90																				
2018/19	35																					

II. Unlimited Paid Loss Development

Claim Period	12-24	24-36	Months of Development:		36-48	48-60	60-72	72-84	84-96	96-108	108-120	120-132	132-144	144-156	156-168	168-180	180-192	192-204	204-216	216-228	228-240	240-Ult
to 1999/00																						
2000/01													1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	0.121	
2001/02												1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000		
2002/03											1.000	1.000	1.000	1.000	0.927	1.000	1.000	1.000				
2003/04										1.000	1.000	1.000	1.000	0.994	1.000	1.000	1.000					
2004/05									1.000	1.000	1.000	1.000	1.000	1.000	0.988							
2005/06								1.000	1.000	1.000	1.087	1.000	1.000	1.000	1.000							
2006/07						1.004	1.005	1.000	1.000	1.000	1.000	1.000	1.000	1.000								
2007/08				1.067	1.039	1.005	1.000	1.000	1.000	1.000	1.000	1.000	1.000									
2008/09			1.412	1.033	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000										
2009/10		1.529	1.063	1.074	1.000	1.000	1.000	1.000	1.000	1.000	1.000											
2010/11	17.384	2.234	1.084	1.000	1.000	1.000	1.000	1.000	1.000													
2011/12	6.263	2.241	1.095	0.987	1.002	1.000	1.000															
2012/13	21.284	1.027	1.097	1.000	1.000	0.998																
2013/14	11.227	1.613	1.238	1.000	1.000																	
2014/15	4.211	1.269	1.002	1.004																		
2015/16	4.212	1.254	1.291																			
2016/17	3.692	1.658																				
2017/18	2.991																					
2018/19																						
Average																						
All	8.908	1.603	1.160	1.021	1.006	1.001	1.000	1.000	1.011	1.000	1.000	1.000	0.999	0.988	0.998	1.000	1.000	1.000	1.000	0.121		
Wtd 3	3.454	1.344	1.157	1.001	1.001	0.999	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	0.994	1.000	1.000	1.000				
Last 3	3.632	1.394	1.177	1.001	1.001	0.999	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	0.996	1.000	1.000					
Last 5	4.038	1.379	1.143	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000							
x-hi,low																						
Similar	2.650	1.730	1.425	1.245	1.130	1.080	1.060	1.038	1.030	1.019	1.015	1.011	1.008	1.006	1.005	1.004	1.003	1.002	1.006			
Previous	7.500	1.600	1.095	1.030	1.005	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	
Selected	5.000	1.600	1.095	1.020	1.003	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Cumulative	8.962	1.792	1.120	1.023	1.003	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Percent	11.2%	55.8%	89.3%	97.7%	99.7%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%

Amounts as of 9/30/11 through 9/30/13 include subrogation claims which were excluded from prior valuations.

Amounts are unlimited.

Data was provided by DC Office of Risk Management.

DISTRICT OF COLUMBIA
GENERAL LIABILITY

Exhibit GL-2 (page 3)

Historical Unlimited Reported Incurred Losses (\$000) and Unlimited Reported Incurred Loss Development

I. Historical Unlimited Reported Incurred Losses (\$000)

Claim Period	12	24	Months of Development:		60	72	84	96	108	120	132	144	156	168	180	192	204	216	228	240
			36	48																
to 1999/00																				
2000/01											52	52	52	52	52	52	52	52	52	6
2001/02										482	482	482	482	482	482	482	482	482	482	
2002/03									342	342	342	342	342	317	317	317	317	317	317	
2003/04								1,453	1,453	1,453	1,453	1,453	1,453	1,445	1,445	1,445	1,445	1,445	1,445	
2004/05							1,487	1,467	1,432	1,432	1,432	1,432	1,432	1,432	1,432	1,432	1,415	1,415	1,415	
2005/06						1,082	439	399	434	434	434	434	434	429	429	429	429	429	429	
2006/07					2,162	1,788	1,593	1,593	1,593	1,593	1,593	1,593	1,593	1,593	1,593	1,593	1,593	1,593	1,593	
2007/08				2,270	1,272	461	458	458	458	458	458	457	457	457	457	457	457	457	457	
2008/09			574	559	212	211	211	211	211	211	211	206	206	206	206	206	206	206	206	
2009/10		816	892	317	291	291	291	291	291	291	291	291	291	291	291	291	291	291	291	
2010/11	665	549	334	185	185	210	185	185	162	162	162	162	162	162	162	162	162	162	162	
2011/12	413	417	396	411	452	446	471	382	382	382	382	382	382	382	382	382	382	382	382	
2012/13	386	702	697	683	677	627	618	618	618	618	618	618	618	618	618	618	618	618	618	
2013/14	375	482	976	555	549	419	419	419	419	419	419	419	419	419	419	419	419	419	419	
2014/15	715	1,360	395	245	239	239	239	239	239	239	239	239	239	239	239	239	239	239	239	
2015/16	2,028	965	369	91	91	91	91	91	91	91	91	91	91	91	91	91	91	91	91	
2016/17	775	739	212																	
2017/18	885	506																		
2018/19	573																			

II. Unlimited Reported Incurred Loss Development

Claim Period	12-24	24-36	Months of Development:		60-72	72-84	84-96	96-108	108-120	120-132	132-144	144-156	156-168	168-180	180-192	192-204	204-216	216-228	228-240	240-Ult
			36-48	48-60																
to 1999/00																				
2000/01											1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	
2001/02										1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	
2002/03									1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	
2003/04								1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	
2004/05							0.987	0.976	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	
2005/06						0.406	0.909	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	
2006/07					0.827	0.891	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	
2007/08				0.560	0.362	0.995	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	
2008/09			0.972	0.379	0.995	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	
2009/10		1.093	0.355	0.919	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	
2010/11	0.825	0.609	0.554	1.000	1.135	0.881	1.000	0.872	0.872	0.872	0.872	0.872	0.872	0.872	0.872	0.872	0.872	0.872	0.872	
2011/12	1.009	0.949	1.038	1.101	0.986	1.056	0.811	0.811	0.811	0.811	0.811	0.811	0.811	0.811	0.811	0.811	0.811	0.811	0.811	
2012/13	1.817	0.993	0.981	0.991	0.926	0.985	0.985	0.985	0.985	0.985	0.985	0.985	0.985	0.985	0.985	0.985	0.985	0.985	0.985	
2013/14	1.287	2.024	0.569	0.988	0.764	0.764	0.764	0.764	0.764	0.764	0.764	0.764	0.764	0.764	0.764	0.764	0.764	0.764	0.764	
2014/15	1.903	0.290	0.620	0.977																
2015/16	0.476	0.383	0.245																	
2016/17	0.954	0.286																		
2017/18	0.571																			
2018/19																				
Average																				
All	1.105	0.828	0.667	0.864	0.874	0.902	0.963	0.992	1.000	0.997	1.000	1.009	0.975	0.998	1.000	1.000	1.000	1.000	1.000	
Wtd 3	0.599	0.318	0.512	0.988	0.889	0.993	0.906	0.965	1.000	0.998	1.000	1.000	0.969	0.994	1.000	1.000	1.000	1.000	1.000	
Last 3	0.667	0.320	0.478	0.985	0.892	0.974	0.937	0.957	1.000	0.993	0.999	1.000	0.975	0.996	1.000	1.000	1.000	1.000	1.000	
Last 5	0.937	0.555	0.723	0.993	0.971	0.995	1.000	1.000	1.000	1.000	1.000	1.000	0.975	1.000	1.000	1.000	1.000	1.000	1.000	
x-hi,low																				
Similar	1.850	1.400	1.220	1.120	1.063	1.045	1.025	1.023	1.014	1.011	1.008	1.006	1.005	1.004	1.003	1.002	1.002	1.001	1.003	
Previous	1.600	1.130	1.040	1.015	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Selected	1.400	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Cumulative	1.400	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Percent	71.4%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%

Amounts as of 9/30/11 through 9/30/13 include subrogation claims which were excluded from prior valuations.

Amounts are unlimited.

Data was provided by DC Office of Risk Management.

DISTRICT OF COLUMBIA
GENERAL LIABILITY

Exhibit GL-2 (page 4)

Historical Reported Claims and Reported Claim Development

I. Historical Reported Claims

Claim Period	12	24	Months of Development:		36	48	60	72	84	96	108	120	132	144	156	168	180	192	204	216	228	240
to 1999/00																						
2000/01													3	3	3	3	3	3	3	3	3	1
2001/02												7	7	7	7	7	7	7	7	7		
2002/03											32	32	32	32	32	31	31	31	31	31		
2003/04										71	71	71	71	71	71	70	70	70				
2004/05									145	144	143	143	143	143	143	143	142					
2005/06							78	72	65	67	67	67	67	67	67	66						
2006/07					109	103	86	86	86	86	86	86	86	86	85							
2007/08				176	175	105	105	105	105	105	105	105	105	103								
2008/09			125	116	64	63	63	63	63	63	63	63	60									
2009/10		170	137	64	52	51	53	51	52	51												
2010/11	158	142	98	56	56	57	56	57	46													
2011/12	139	108	71	68	69	67	69	62														
2012/13	104	87	76	64	61	60	56															
2013/14	63	82	80	59	58	52																
2014/15	127	163	85	70	69																	
2015/16	241	133	71	44																		
2016/17	159	117	49																			
2017/18	200	100																				
2018/19	159																					

II. Reported Claim Development

Claim Period	12-24	24-36	Months of Development:		36-48	48-60	60-72	72-84	84-96	96-108	108-120	120-132	132-144	144-156	156-168	168-180	180-192	192-204	204-216	216-228	228-240	240-Ult
to 1999/00																						
2000/01													1.000	1.000	1.000	1.000	1.000	1.000	1.000	0.333		
2001/02												1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000			
2002/03											1.000	1.000	1.000	1.000	0.969	1.000	1.000	1.000				
2003/04										1.000	1.000	1.000	1.000	1.000	0.986	1.000	1.000					
2004/05								0.993	0.993	1.000	1.000	1.000	1.000	1.000	1.000	0.993						
2005/06							0.923	0.903	1.031	1.000	1.000	1.000	1.000	1.000	0.985							
2006/07						0.945	0.835	1.000	1.000	1.000	1.000	1.000	1.000	0.988								
2007/08				0.994	0.600	1.000	1.000	1.000	1.000	1.000	1.000	1.000	0.981									
2008/09			0.928	0.552	0.984	1.000	1.000	1.000	1.000	1.000	0.952											
2009/10		0.806	0.467	0.813	0.981	1.039	0.962	1.020	0.981													
2010/11	0.899	0.690	0.571	1.000	1.018	0.982	1.018	0.807														
2011/12	0.777	0.657	0.958	1.015	0.971	1.030	0.899															
2012/13	0.837	0.874	0.842	0.953	0.984	0.933																
2013/14	1.302	0.976	0.738	0.983	0.897																	
2014/15	1.283	0.521	0.824	0.986																		
2015/16	0.552	0.534	0.620																			
2016/17	0.736	0.419																				
2017/18	0.500																					
2018/19																						
Average																						
All	0.861	0.685	0.743	0.912	0.922	0.968	0.972	0.981	0.998	0.994	0.998	0.998	0.998	0.990	0.999	1.000	1.000	1.000	1.000	0.333		
Wtd 3	0.583	0.496	0.733	0.974	0.952	0.984	0.955	0.942	0.995	0.988	0.992	0.997	0.993	0.996	0.996	1.000	1.000	1.000				
Last 3	0.596	0.491	0.727	0.974	0.950	0.982	0.960	0.942	0.994	0.984	0.994	0.994	0.996	0.990	0.998	1.000	1.000					
Last 5	0.857	0.643	0.801	0.990	0.978	1.004	0.987	1.000	1.000	1.000	1.000	1.000	1.000	0.990	1.000							
x-hi,low																						
Similar	1.219	1.047	1.026	1.015	1.012	1.011	1.012	1.013	1.017	1.003	1.003	1.002	1.001	1.001	1.001	1.001	1.001	1.000	1.000	1.000	1.000	1.001
Previous	0.900	0.800	0.850	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Selected	0.800	0.700	0.850	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Cumulative	0.476	0.595	0.850	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Percent	210.1%	168.1%	117.6%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%

Amounts as of 9/30/11 through 9/30/13 include subrogation claims which were excluded from prior valuations.

Counts are on an occurrence basis and exclude claims with \$0 incurred.

Data was provided by DC Office of Risk Management.

DISTRICT OF COLUMBIA
GENERAL LIABILITY

Exhibit GL-2 (page 5)

Historical Ratio of Unlimited Paid Losses and Unlimited Reported Incurred Losses

Claim Period	12	24	Months of Development:		60	72	84	96	108	120	132	144	156	168	180	192	204	216	228	240
			36	48																
to 1999/00																				
2000/01											100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
2001/02										100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
2002/03									100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
2003/04								100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
2004/05							96.3%	97.6%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
2005/06						36.5%	88.6%	89.8%	98.7%	90.9%	98.8%	98.8%	98.8%	98.8%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
2006/07					73.0%	88.6%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
2007/08				18.1%	34.4%	98.8%	99.8%	99.8%	99.8%	99.8%	99.8%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
2008/09			24.6%	35.8%	97.5%	98.0%	98.0%	98.0%	98.0%	98.0%	98.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
2009/10		20.4%	28.6%	85.5%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
2010/11	0.6%	12.2%	44.6%	87.2%	87.2%	76.8%	87.2%	87.2%	87.2%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
2011/12	6.1%	37.7%	89.1%	94.0%	84.2%	85.6%	81.1%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
2012/13	6.7%	78.2%	81.0%	90.6%	91.4%	98.6%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
2013/14	5.0%	43.5%	34.7%	75.5%	76.4%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
2014/15	6.2%	13.8%	60.2%	97.3%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
2015/16	0.7%	5.8%	19.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
2016/17	2.1%	8.2%	47.5%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
2017/18	3.4%	17.9%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
2018/19	6.1%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Average																				
All	4.1%	26.4%	47.7%	76.0%	82.7%	87.0%	94.7%	97.9%	98.7%	99.6%	99.9%	99.9%	98.9%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Last 3	3.9%	10.6%	42.2%	90.9%	89.2%	94.8%	89.4%	95.7%	99.3%	99.3%	99.9%	99.6%	99.6%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Last 5	3.9%	13.3%	47.5%	94.0%	87.6%	94.8%	95.1%	99.3%	99.9%	99.6%	99.9%	100.0%	99.6%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
x-hi,low																				
Implicit	15.6%	55.8%	89.3%	97.7%	99.7%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%

DISTRICT OF COLUMBIA
GENERAL LIABILITY

Exhibit GL-3

Developed Unlimited Paid Losses

Claim Period (1)	Months of Development 9/30/19 (2)	Unlimited Paid Losses 9/30/19 (3)	Percent Losses Paid (4)	Developed Unlimited Paid Losses (3)/(4) (5)
to 1999/00	240.0	\$11,424	100.0%	\$11,424
2000/01	228.0	6,250	100.0%	6,250
2001/02	216.0	481,652	100.0%	481,652
2002/03	204.0	317,220	100.0%	317,220
2003/04	192.0	1,444,738	100.0%	1,444,738
2004/05	180.0	1,414,592	100.0%	1,414,592
2005/06	168.0	428,809	100.0%	428,809
2006/07	156.0	1,592,767	100.0%	1,592,767
2007/08	144.0	457,403	100.0%	457,403
2008/09	132.0	206,315	100.0%	206,315
2009/10	120.0	290,810	100.0%	290,810
2010/11	108.0	161,579	100.0%	161,579
2011/12	96.0	381,507	100.0%	381,507
2012/13	84.0	617,639	100.0%	617,639
2013/14	72.0	419,129	100.0%	419,129
2014/15	60.0	239,084	99.7%	239,801
2015/16	48.0	90,676	97.7%	92,767
2016/17	36.0	100,417	89.3%	112,492
2017/18	24.0	90,327	55.8%	161,901
2018/19	12.0	35,092	11.2%	314,499
Total		\$8,787,430		\$9,153,294

(3) is from Exhibit GL-1.

(4) is from Exhibit GL-2.

Developed Unlimited Reported Incurred Losses

Claim Period (1)	Months of Development 9/30/19 (2)	Unlimited Reported Incurred Losses 9/30/19 (3)	Percent Losses Reported (4)	Developed Unlimited Reported Incurred Losses (3)/(4) (5)
to 1999/00	240.0	\$11,424	100.0%	\$11,424
2000/01	228.0	6,250	100.0%	6,250
2001/02	216.0	481,652	100.0%	481,652
2002/03	204.0	317,220	100.0%	317,220
2003/04	192.0	1,444,738	100.0%	1,444,738
2004/05	180.0	1,414,592	100.0%	1,414,592
2005/06	168.0	428,809	100.0%	428,809
2006/07	156.0	1,592,767	100.0%	1,592,767
2007/08	144.0	457,403	100.0%	457,403
2008/09	132.0	206,315	100.0%	206,315
2009/10	120.0	290,810	100.0%	290,810
2010/11	108.0	161,579	100.0%	161,579
2011/12	96.0	381,507	100.0%	381,507
2012/13	84.0	617,639	100.0%	617,639
2013/14	72.0	419,129	100.0%	419,129
2014/15	60.0	239,084	100.0%	239,084
2015/16	48.0	90,676	100.0%	90,676
2016/17	36.0	211,532	100.0%	211,532
2017/18	24.0	505,597	100.0%	505,597
2018/19	12.0	573,027	71.4%	802,237
Total		\$9,851,749		\$10,080,960

(3) is from Exhibit GL-1.

(4) is from Exhibit GL-2.

Developed Unlimited Case Reserves

Claim Period (1)	Months of Development 9/30/19 (2)	Percent Losses Paid (3)	Percent Losses Reported (4)	Percent Losses Reserved 9/30/19 [(4)-(3)]/ [100.0%-(3)] (5)	Unlimited Paid Losses 9/30/19 (6)	Unlimited Case Reserves 9/30/19 (7)	Developed Unlimited Case Reserves (6)+(7)/(5) (8)
to 1999/00	240.0	100.0%	100.0%	100.0%	\$11,424	\$0	\$11,424
2000/01	228.0	100.0%	100.0%	100.0%	6,250	0	6,250
2001/02	216.0	100.0%	100.0%	100.0%	481,652	0	481,652
2002/03	204.0	100.0%	100.0%	100.0%	317,220	0	317,220
2003/04	192.0	100.0%	100.0%	100.0%	1,444,738	0	1,444,738
2004/05	180.0	100.0%	100.0%	100.0%	1,414,592	0	1,414,592
2005/06	168.0	100.0%	100.0%	100.0%	428,809	0	428,809
2006/07	156.0	100.0%	100.0%	100.0%	1,592,767	0	1,592,767
2007/08	144.0	100.0%	100.0%	100.0%	457,403	0	457,403
2008/09	132.0	100.0%	100.0%	100.0%	206,315	0	206,315
2009/10	120.0	100.0%	100.0%	100.0%	290,810	0	290,810
2010/11	108.0	100.0%	100.0%	100.0%	161,579	0	161,579
2011/12	96.0	100.0%	100.0%	100.0%	381,507	0	381,507
2012/13	84.0	100.0%	100.0%	100.0%	617,639	0	617,639
2013/14	72.0	100.0%	100.0%	100.0%	419,129	0	419,129
2014/15	60.0	99.7%	100.0%	100.0%	239,084	0	239,084
2015/16	48.0	97.7%	100.0%	100.0%	90,676	0	90,676
2016/17	36.0	89.3%	100.0%	100.0%	100,417	111,115	211,532
2017/18	24.0	55.8%	100.0%	100.0%	90,327	415,270	505,597
2018/19	12.0	11.2%	71.4%	67.8%	35,092	537,934	828,037
Total					\$8,787,430	\$1,064,319	\$10,106,759

(3) and (4) are from Exhibit GL-2.

(6) and (7) are from Exhibit GL-1.

Preliminary Projected Ultimate Unlimited Losses to 2018/19

Claim Period (1)	Developed Unlimited Paid Losses (2)	Developed Unlimited Reported Incurred Losses (3)	Developed Unlimited Case Reserves (4)	Preliminary Projected Ultimate Unlimited Losses (5)
to 1999/00	\$11,424	\$11,424	\$11,424	\$11,424
2000/01	6,250	6,250	6,250	6,250
2001/02	481,652	481,652	481,652	481,652
2002/03	317,220	317,220	317,220	317,220
2003/04	1,444,738	1,444,738	1,444,738	1,444,738
2004/05	1,414,592	1,414,592	1,414,592	1,414,592
2005/06	428,809	428,809	428,809	428,809
2006/07	1,592,767	1,592,767	1,592,767	1,592,767
2007/08	457,403	457,403	457,403	457,403
2008/09	206,315	206,315	206,315	206,315
2009/10	290,810	290,810	290,810	290,810
2010/11	161,579	161,579	161,579	161,579
2011/12	381,507	381,507	381,507	381,507
2012/13	617,639	617,639	617,639	617,639
2013/14	419,129	419,129	419,129	419,129
2014/15	239,801	239,084	239,084	239,084
2015/16	92,767	90,676	90,676	90,676
2016/17	112,492	211,532	211,532	222,643
2017/18	161,901	505,597	505,597	547,124
2018/19	314,499	802,237	828,037	715,009
Total	\$9,153,294	\$10,080,960	\$10,106,759	\$10,046,370

(2) is from Exhibit GL-3.

(3) is from Exhibit GL-4.

(4) is from Exhibit GL-5.

(5) is based on (2) to (4) and actuarial judgment.

Bornhuetter - Ferguson Analysis

I. A-priori Loss Rate

Claim Period (1)	Preliminary Projected Ultimate Unlimited Losses (2)	Payroll (000) (3)	Unlimited Loss Rate per \$100 of Payroll (2)/(3)/10 (4)	Loss Rate Trend (2019/20 = 1.000) (5)	Trended Unlimited Loss Rate per \$100 of Payroll (4)X(5) (6)	Projected A-priori Loss Rate per \$100 of Payroll (7)/(5) (8)
2009/10	\$290,810	\$2,036,925	\$0.014	1.629	\$0.023	\$0.015
2010/11	161,579	1,979,225	0.008	1.551	0.013	0.016
2011/12	381,507	2,109,455	0.018	1.477	0.027	0.017
2012/13	617,639	2,156,439	0.029	1.407	0.040	0.018
2013/14	419,129	2,256,429	0.019	1.340	0.025	0.019
2014/15	239,084	2,442,007	0.010	1.276	0.012	0.020
2015/16	90,676	2,620,064	0.003	1.216	0.004	0.021
2016/17	222,643	2,740,690	0.008	1.158	0.009	0.022
2017/18	547,124	2,890,437	0.019	1.103	0.021	0.023
2018/19	715,009	2,997,794	0.024	1.050	0.025	0.024

(7) Projected 2019/20 a-priori loss rate per \$100 of Payroll \$0.025

II. Bornhuetter - Ferguson Analysis Based on Unlimited Paid Losses

Claim Period (1)	Unlimited Paid Losses 9/30/19 (2)	Percent Losses Paid (3)	Projected A-priori Loss Rate per \$100 of Payroll (4)	Payroll (000) (5)	B-F Unpaid Losses [100.0%-(3)] X(4)X(5)X10 (6)	B-F Ultimate Unlimited Paid Losses (2)+(6) (7)
2014/15	\$239,084	99.7%	\$0.020	\$2,442,007	\$1,434	\$240,518
2015/16	90,676	97.7%	0.021	2,620,064	12,173	102,849
2016/17	100,417	89.3%	0.022	2,740,690	63,670	164,087
2017/18	90,327	55.8%	0.023	2,890,437	290,381	380,707
2018/19	35,092	11.2%	0.024	2,997,794	635,481	670,573

III. Bornhuetter - Ferguson Analysis Based on Unlimited Reported Incurred Losses

Claim Period (1)	Unlimited Reported Incurred Losses 9/30/19 (2)	Percent Losses Reported (3)	Projected A-priori Loss Rate per \$100 of Payroll (4)	Payroll (000) (5)	B-F Unreported Losses [100.0%-(3)] X(4)X(5)X10 (6)	B-F Ultimate Unlimited Reported Losses (2)+(6) (7)
2014/15	\$239,084	100.0%	\$0.020	\$2,442,007	\$0	\$239,084
2015/16	90,676	100.0%	0.021	2,620,064	0	90,676
2016/17	211,532	100.0%	0.022	2,740,690	0	211,532
2017/18	505,597	100.0%	0.023	2,890,437	0	505,597
2018/19	573,027	71.4%	0.024	2,997,794	204,370	777,396

Section I, (2) is from Exhibit GL-6.

Section I, (3), Section II, (5) and Section III, (5) are from Exhibit GL-10.

Section I, (5) is based on a 5% trend.

Section I, (7) is based on Section I, (6) and actuarial judgment.

Sections II and III, (2) are from Exhibit GL-1.

Sections II and III, (3) are from Exhibit GL-2.

Sections II and III, (4) are from Section I, (8).

Frequency Times Severity Analysis

I. Projected Ultimate Claims

Claim Period (1)	Months of Development 9/30/19 (2)	Reported Claims 9/30/19 (3)	Percent Claims Reported (4)	Projected Ultimate Claims (3)/(4) (5)	Payroll (000) (6)	Frequency (per \$1M of Payroll) (5)/(6)X1,000 (7)
2009/10	120.0	51	100.0%	51	\$2,036,925	0.025
2010/11	108.0	46	100.0%	46	1,979,225	0.023
2011/12	96.0	62	100.0%	62	2,109,455	0.029
2012/13	84.0	56	100.0%	56	2,156,439	0.026
2013/14	72.0	52	100.0%	52	2,256,429	0.023
2014/15	60.0	69	100.0%	69	2,442,007	0.028
2015/16	48.0	44	100.0%	44	2,620,064	0.017
2016/17	36.0	49	117.6%	42	2,740,690	0.015
2017/18	24.0	100	168.1%	60	2,890,437	0.021
2018/19	12.0	159	210.1%	76	2,997,794	0.025

II. Frequency Times Severity

Claim Period (1)	Preliminary Projected Ultimate Unlimited Losses (2)	Projected Ultimate Claims (3)	Average Severity (2)/(3) (4)	Severity Trend (2019/20 = 1.000) (5)	Trended Average Claim Severity (4)X(5) (6)	De-Trended Projected 2019/20 Average Claim Severity (7)/(5) (8)	Frequency Times Severity (3)X(8) (9)
2009/10	\$290,810	51	\$5,702	2.189	\$12,483	\$5,289	\$269,735
2010/11	161,579	46	3,513	2.024	7,110	5,720	263,119
2011/12	381,507	62	6,153	1.872	11,517	6,186	383,541
2012/13	617,639	56	11,029	1.731	19,087	6,690	374,658
2013/14	419,129	52	8,060	1.600	12,897	7,236	376,250
2014/15	239,084	69	3,465	1.480	5,127	7,825	539,945
2015/16	90,676	44	2,061	1.368	2,819	8,463	372,374
2016/17	222,643	42	5,301	1.265	6,706	9,153	384,417
2017/18	547,124	60	9,119	1.170	10,666	9,899	593,924
2018/19	715,009	76	9,408	1.082	10,175	10,705	813,617

(7) Projected 2019/20 average claim severity

\$11,578

Section I, (3) is from Exhibit GL-1.

Section I, (4) is from Exhibit GL-2.

Section I, (6) is from Exhibit GL-10.

Section II, (2) is from Exhibit GL-6.

Section II, (3) is from Section I, (5).

Section II, (5) is based on a 8.2% trend.

Section II, (7) is based on (6) and actuarial judgment.

Projected Ultimate Unlimited Losses to 2018/19

Claim Period (1)	Developed Unlimited Paid Losses (2)	Developed Unlimited Reported Incurred Losses (3)	Developed Unlimited Case Reserves (4)	B-F Ultimate Unlimited Paid Losses (5)	B-F Ultimate Unlimited Reported Losses (6)	Frequency Times Severity (7)	Projected Ultimate Unlimited Losses (8)
to 1999/00	\$11,424	\$11,424	\$11,424				\$11,424
2000/01	6,250	6,250	6,250				6,250
2001/02	481,652	481,652	481,652				481,652
2002/03	317,220	317,220	317,220				317,220
2003/04	1,444,738	1,444,738	1,444,738				1,444,738
2004/05	1,414,592	1,414,592	1,414,592				1,414,592
2005/06	428,809	428,809	428,809				428,809
2006/07	1,592,767	1,592,767	1,592,767				1,592,767
2007/08	457,403	457,403	457,403				457,403
2008/09	206,315	206,315	206,315				206,315
2009/10	290,810	290,810	290,810				290,810
2010/11	161,579	161,579	161,579				161,579
2011/12	381,507	381,507	381,507				381,507
2012/13	617,639	617,639	617,639				617,639
2013/14	419,129	419,129	419,129				419,129
2014/15	239,801	239,084	239,084	240,518	239,084	539,945	239,084
2015/16	92,767	90,676	90,676	102,849	90,676	372,374	90,676
2016/17	112,492	211,532	211,532	164,087	211,532	384,417	223,000
2017/18	161,901	505,597	505,597	380,707	505,597	593,924	547,124
2018/19	314,499	802,237	828,037	670,573	777,396	813,617	769,000

(2) is from Exhibit GL-3.

(3) is from Exhibit GL-4.

(4) is from Exhibit GL-5.

(5) and (6) are from Exhibit GL-7.

(7) is from Exhibit GL-8.

(8) is based on (2) to (7) and actuarial judgment.

Projected Ultimate Unlimited Losses for 2019/20 and Subsequent

Claim Period (1)	Projected Ultimate Unlimited Losses (2)	Payroll (000) (3)	Unlimited Loss Rate per \$100 of Payroll (2)/(3)/10 (4)	Loss Rate Trend (2019/20 = 1.000) (5)	Trended Unlimited Loss Rate per \$100 of Payroll (4)X(5) (6)
2009/10	\$290,810	\$2,036,925	\$0.014	1.629	\$0.023
2010/11	161,579	1,979,225	0.008	1.551	0.013
2011/12	381,507	2,109,455	0.018	1.477	0.027
2012/13	617,639	2,156,439	0.029	1.407	0.040
2013/14	419,129	2,256,429	0.019	1.340	0.025
2014/15	239,084	2,442,007	0.010	1.276	0.012
2015/16	90,676	2,620,064	0.003	1.216	0.004
2016/17	223,000	2,740,690	0.008	1.158	0.009
2017/18	547,124	2,890,437	0.019	1.103	0.021
2018/19	769,000	2,997,794	0.026	1.050	0.027
Total	\$3,739,548	\$24,229,464	\$0.015		\$0.020

Claim Period (1)	Projected Unlimited Loss Rate per \$100 of Payroll (7)	Projected Payroll (000) (8)	Projected Ultimate Unlimited Losses (7)X(8)X10 (9)	Present Value Factor (10)	Present Value of Projected Unlimited Loss Rate per \$100 of Payroll (7)X(10) (11)	Present Value of Projected Ultimate Unlimited Losses (8)X(11)X10 (12)
2019/20	\$0.025	\$3,181,624	\$807,000	0.97	\$0.025	\$780,000
2020/21	0.027	3,340,705	890,000	0.97	0.026	860,000

(2) is from Exhibit GL-9.

(3) was provided by DC Office of Risk Management.

(5) is based on a 5% trend.

(7) 2019/20 is based on (6) and actuarial judgment.
Other period(s) based on 2019/20 plus a 5% trend.

(8) to 2019/20 was provided by DC Office of Risk Management. Other claim periods are based on a 5% trend.

(10) is based on a 1.75% interest rate and the payout pattern in Exhibit GL-2.

Estimated Outstanding Losses as of September 30, 2019

Claim Period (1)	Unlimited Paid Losses 9/30/19 (2)	Unlimited Case Reserves 9/30/19 (3)	Unlimited Reported Incurred Losses 9/30/19 (4)	Projected Ultimate Unlimited Losses (5)	Estimated IBNR 9/30/19 (5)-(4) (6)	Estimated Outstanding Losses 9/30/19 (3)+(6) (7)	Present Value Factor (8)	Present Value of Estimated Outstanding Losses 9/30/19 (7)X(8) (9)
to 1999/00	\$11,424	\$0	\$11,424	\$11,424	\$0	\$0	1.00	\$0
2000/01	6,250	0	6,250	6,250	0	0	1.00	0
2001/02	481,652	0	481,652	481,652	0	0	1.00	0
2002/03	317,220	0	317,220	317,220	0	0	1.00	0
2003/04	1,444,738	0	1,444,738	1,444,738	0	0	1.00	0
2004/05	1,414,592	0	1,414,592	1,414,592	0	0	1.00	0
2005/06	428,809	0	428,809	428,809	0	0	1.00	0
2006/07	1,592,767	0	1,592,767	1,592,767	0	0	1.00	0
2007/08	457,403	0	457,403	457,403	0	0	1.00	0
2008/09	206,315	0	206,315	206,315	0	0	1.00	0
2009/10	290,810	0	290,810	290,810	0	0	1.00	0
2010/11	161,579	0	161,579	161,579	0	0	1.00	0
2011/12	381,507	0	381,507	381,507	0	0	1.00	0
2012/13	617,639	0	617,639	617,639	0	0	1.00	0
2013/14	419,129	0	419,129	419,129	0	0	1.00	0
2014/15	239,084	0	239,084	239,084	0	0	0.99	0
2015/16	90,676	0	90,676	90,676	0	0	0.99	0
2016/17	100,417	111,115	211,532	223,000	11,468	122,583	0.99	121,028
2017/18	90,327	415,270	505,597	547,124	41,527	456,797	0.99	450,519
2018/19	35,092	537,934	573,027	769,000	195,973	733,907	0.98	719,509
Total	\$8,787,430	\$1,064,319	\$9,851,749	\$10,100,718	\$248,968	\$1,313,287		\$1,291,056

(2), (3) and (4) are net of specific self-insured retention and aggregate retention.

(5) is from Exhibit GL-9.

(8) is based on a 1.75% interest rate and the payout pattern in Exhibit GL-2.

Projected Losses Paid October 1, 2019 to September 30, 2020

Claim Period (1)	Months of Development 9/30/19 (2)	Percent Losses Paid (3)	Months of Development 9/30/20 (4)	Percent Losses Paid (5)	Percent Outstanding Losses Paid 10/1/19 to 9/30/20 [(5)-(3)]/ [100.0%-(3)] (6)	Estimated Outstanding Losses 9/30/19 (7)	Projected Losses Paid (6)X(7) (8)	Estimated Outstanding Losses 9/30/20 (7)-(8) (9)	Present Value Factor (10)	Present Value of Estimated Outstanding Losses 9/30/20 (9)X(10) (11)
to 1999/00	240.0	100.0%	252.0	100.0%	100.0%	\$0	\$0	\$0	1.00	\$0
2000/01	228.0	100.0%	240.0	100.0%	100.0%	0	0	0	1.00	0
2001/02	216.0	100.0%	228.0	100.0%	100.0%	0	0	0	1.00	0
2002/03	204.0	100.0%	216.0	100.0%	100.0%	0	0	0	1.00	0
2003/04	192.0	100.0%	204.0	100.0%	100.0%	0	0	0	1.00	0
2004/05	180.0	100.0%	192.0	100.0%	100.0%	0	0	0	1.00	0
2005/06	168.0	100.0%	180.0	100.0%	100.0%	0	0	0	1.00	0
2006/07	156.0	100.0%	168.0	100.0%	100.0%	0	0	0	1.00	0
2007/08	144.0	100.0%	156.0	100.0%	100.0%	0	0	0	1.00	0
2008/09	132.0	100.0%	144.0	100.0%	100.0%	0	0	0	1.00	0
2009/10	120.0	100.0%	132.0	100.0%	100.0%	0	0	0	1.00	0
2010/11	108.0	100.0%	120.0	100.0%	100.0%	0	0	0	1.00	0
2011/12	96.0	100.0%	108.0	100.0%	100.0%	0	0	0	1.00	0
2012/13	84.0	100.0%	96.0	100.0%	100.0%	0	0	0	1.00	0
2013/14	72.0	100.0%	84.0	100.0%	100.0%	0	0	0	1.00	0
2014/15	60.0	99.7%	72.0	100.0%	100.0%	0	0	0	1.00	0
2015/16	48.0	97.7%	60.0	99.7%	86.7%	0	0	0	0.99	0
2016/17	36.0	89.3%	48.0	97.7%	79.0%	122,583	96,843	25,740	0.99	25,459
2017/18	24.0	55.8%	36.0	89.3%	75.7%	456,797	345,883	110,914	0.99	109,507
2018/19	12.0	11.2%	24.0	55.8%	50.2%	733,907	368,705	365,202	0.99	360,183
2019/20	0.0	0.0%	12.0	11.2%	11.2%	807,000	90,047	716,953	0.98	702,888
Total						\$2,120,287	\$901,478	\$1,218,809		\$1,198,037

(3) and (5) are from Exhibit GL-2.

(7) to 2018/19 is from Exhibit GL-11. The amount for 2019/20 is from Exhibit GL-10.

(10) is based on a 1.75% interest rate and the payout pattern in Exhibit GL-2.

Projected Losses Paid October 1, 2020 to September 30, 2021

Claim Period (1)	Months of Development 9/30/20 (2)	Percent Losses Paid (3)	Months of Development 9/30/21 (4)	Percent Losses Paid (5)	Percent Outstanding Losses Paid 10/1/20 to 9/30/21 [(5)-(3)]/ [100.0%-(3)] (6)	Estimated Outstanding Losses 9/30/20 (7)	Projected Losses Paid (6)X(7) (8)	Estimated Outstanding Losses 9/30/21 (7)-(8) (9)	Present Value Factor (10)	Present Value of Estimated Outstanding Losses 9/30/21 (9)X(10) (11)
to 1999/00	252.0	100.0%	264.0	100.0%	100.0%	\$0	\$0	\$0	1.00	\$0
2000/01	240.0	100.0%	252.0	100.0%	100.0%	0	0	0	1.00	0
2001/02	228.0	100.0%	240.0	100.0%	100.0%	0	0	0	1.00	0
2002/03	216.0	100.0%	228.0	100.0%	100.0%	0	0	0	1.00	0
2003/04	204.0	100.0%	216.0	100.0%	100.0%	0	0	0	1.00	0
2004/05	192.0	100.0%	204.0	100.0%	100.0%	0	0	0	1.00	0
2005/06	180.0	100.0%	192.0	100.0%	100.0%	0	0	0	1.00	0
2006/07	168.0	100.0%	180.0	100.0%	100.0%	0	0	0	1.00	0
2007/08	156.0	100.0%	168.0	100.0%	100.0%	0	0	0	1.00	0
2008/09	144.0	100.0%	156.0	100.0%	100.0%	0	0	0	1.00	0
2009/10	132.0	100.0%	144.0	100.0%	100.0%	0	0	0	1.00	0
2010/11	120.0	100.0%	132.0	100.0%	100.0%	0	0	0	1.00	0
2011/12	108.0	100.0%	120.0	100.0%	100.0%	0	0	0	1.00	0
2012/13	96.0	100.0%	108.0	100.0%	100.0%	0	0	0	1.00	0
2013/14	84.0	100.0%	96.0	100.0%	100.0%	0	0	0	1.00	0
2014/15	72.0	100.0%	84.0	100.0%	100.0%	0	0	0	1.00	0
2015/16	60.0	99.7%	72.0	100.0%	100.0%	0	0	0	1.00	0
2016/17	48.0	97.7%	60.0	99.7%	86.7%	25,740	22,324	3,416	0.99	3,386
2017/18	36.0	89.3%	48.0	97.7%	79.0%	110,914	87,624	23,290	0.99	23,036
2018/19	24.0	55.8%	36.0	89.3%	75.7%	365,202	276,528	88,674	0.99	87,549
2019/20	12.0	11.2%	24.0	55.8%	50.2%	716,953	360,187	356,766	0.99	351,863
2020/21	0.0	0.0%	12.0	11.2%	11.2%	890,000	99,308	790,692	0.98	775,180
Total						\$2,108,809	\$845,971	\$1,262,838		\$1,241,014

(3) and (5) are from Exhibit GL-2.

(7) to 2019/20 is from Exhibit GL-12, (9). The amount for 2020/21 is from Exhibit GL-10.

(10) is based on a 1.75% interest rate and the payout pattern in Exhibit GL-2.

DISTRICT OF COLUMBIA
GENERAL LIABILITY

Exhibit GL-14

List of Large Claims
Reported Incurred Losses Greater Than \$50,000

Claim Number (1)	Date of Loss (2)	Claim Period (3)	Specific Self-Insured Retention (4)	Unlimited Paid Losses 9/30/19 (5)	Unlimited Case Reserves 9/30/19 (6)	Unlimited Reported Incurred Losses 9/30/19 (7)
0200101-GL	02/10/02	2001/02	Unlimited	\$450,000	\$0	\$450,000
0300804-GL	04/07/03	2002/03	Unlimited	55,000	0	55,000
0301469-GL	07/01/03	2002/03	Unlimited	106,560	0	106,560
0000021-GL	10/16/03	2003/04	Unlimited	127,000	0	127,000
0401911-GL	07/12/04	2003/04	Unlimited	1,000,000	0	1,000,000
0401128-GL	10/01/04	2004/05	Unlimited	250,000	0	250,000
0400983-GL	11/05/04	2004/05	Unlimited	150,000	0	150,000
0500607-GL	02/07/05	2004/05	Unlimited	60,000	0	60,000
0500554-GL	03/12/05	2004/05	Unlimited	175,000	0	175,000
0500740-GL	07/07/05	2004/05	Unlimited	61,000	0	61,000
0501286-GL	08/25/05	2004/05	Unlimited	170,000	0	170,000
0501919-GL	12/15/05	2005/06	Unlimited	95,000	0	95,000
0601198-GL	11/17/06	2006/07	Unlimited	118,602	0	118,602
0700229-GL	03/17/07	2006/07	Unlimited	745,000	0	745,000
0700787-GL	04/21/07	2006/07	Unlimited	300,000	0	300,000
0800111-GL	04/01/08	2007/08	Unlimited	50,000	0	50,000
1200774-GL	04/03/12	2011/12	Unlimited	52,000	0	52,000
1300358-GL	03/10/13	2012/13	Unlimited	150,000	0	150,000
1300783-GL	07/15/13	2012/13	Unlimited	87,500	0	87,500
1301017-GL	12/25/13	2013/14	Unlimited	74,500	0	74,500
1400164-GL	03/12/14	2013/14	Unlimited	50,000	0	50,000
1400900-GL	04/11/14	2013/14	Unlimited	59,500	0	59,500
1400749-GL	04/11/14	2013/14	Unlimited	65,000	0	65,000
1700604-GL	06/09/17	2016/17	Unlimited	0	50,000	50,000
1800450-GL	01/10/18	2017/18	Unlimited	0	100,000	100,000
GL-18-00960	05/03/18	2017/18	Unlimited	0	100,000	100,000

Amounts are unlimited.

Size of Loss Distribution

I. Reported Claim Count

Claim Size (1)	Prior (2)	2014/15 (3)	2015/16 (4)	2016/17 (5)	2017/18 (6)	2018/19 (7)	Total (2)...(7) (8)	Non-Zero Claim Cumulative Total (9)	Non-Zero Claim Cumulative % of Total (10)
0	0	0	0	0	0	0	0		
0.01 - 5,000	574	52	39	39	81	140	925	925	73.8%
5,000 - 10,000	135	11	4	7	13	14	184	1,109	88.4%
10,000 - 25,000	87	5	1	2	4	4	103	1,212	96.7%
25,000 - 50,000	16	1	0	1	0	1	19	1,231	98.2%
50,000 - 100,000	9	0	0	0	2	0	11	1,242	99.0%
100,000 - 250,000	8	0	0	0	0	0	8	1,250	99.7%
250,000 - 500,000	2	0	0	0	0	0	2	1,252	99.8%
500,000 - 750,000	1	0	0	0	0	0	1	1,253	99.9%
750,000 - 1,000,000	1	0	0	0	0	0	1	1,254	100.0%
Over 1,000,000	0	0	0	0	0	0	0	1,254	100.0%
Total	833	69	44	49	100	159	1,254	1,254	

II. Total Reported Incurred Losses

Claim Size (1)	Prior (2)	2014/15 (3)	2015/16 (4)	2016/17 (5)	2017/18 (6)	2018/19 (7)	Total (2)...(7) (8)	Non-Zero Claim Cumulative Total (9)	Non-Zero Claim Cumulative % of Total (10)
0	\$0	\$0	\$0	\$0	\$0	\$0	\$0		
0.01 - 5,000	917,667	54,033	42,248	56,802	131,763	325,220	1,527,732	1,527,732	15.5%
5,000 - 10,000	1,015,449	79,909	31,428	57,074	112,000	127,784	1,423,644	2,951,376	30.0%
10,000 - 25,000	1,326,841	75,142	17,000	47,656	61,834	79,500	1,607,972	4,559,348	46.3%
25,000 - 50,000	620,216	30,000	0	50,000	0	40,523	740,739	5,300,087	53.8%
50,000 - 100,000	609,500	0	0	0	200,000	0	809,500	6,109,587	62.0%
100,000 - 250,000	1,247,162	0	0	0	0	0	1,247,162	7,356,749	74.7%
250,000 - 500,000	750,000	0	0	0	0	0	750,000	8,106,749	82.3%
500,000 - 750,000	745,000	0	0	0	0	0	745,000	8,851,749	89.8%
750,000 - 1,000,000	1,000,000	0	0	0	0	0	1,000,000	9,851,749	100.0%
Over 1,000,000	0	0	0	0	0	0	0	9,851,749	100.0%
Total	\$8,231,834	\$239,084	\$90,676	\$211,532	\$505,597	\$573,027	\$9,851,749	\$9,851,749	

Amounts are unlimited

Data was summarized on an occurrence basis and excludes claims with \$0 incurred.

Data was provided by DC Office of Risk Management.

DCORM_02_GL_093019.xlsx

Data Summary as of September 30, 2019
Unlimited Losses

Claim Period (1)	Specific Self-Insured Retention (2)	Aggregate Retention (3)	Months of Development 9/30/19 (4)	Vehicles (5)	Reported Claims 9/30/19 (6)	Open Claims 9/30/19 (7)	Unlimited Paid Losses 9/30/19 (8)	Unlimited Case Reserves 9/30/19 (9)	Unlimited Reported Incurred Losses 9/30/19 (10)
to 1999/00	Unlimited	None	240.0	Not Provided	0	0	\$0	\$0	\$0
2000/01	Unlimited	None	228.0	Not Provided	3	0	49,178	0	49,178
2001/02	Unlimited	None	216.0	Not Provided	11	0	76,129	0	76,129
2002/03	Unlimited	None	204.0	Not Provided	65	0	238,091	0	238,091
2003/04	Unlimited	None	192.0	Not Provided	160	0	496,524	0	496,524
2004/05	Unlimited	None	180.0	Not Provided	167	0	488,550	0	488,550
2005/06	Unlimited	None	168.0	Not Provided	268	0	739,164	0	739,164
2006/07	Unlimited	None	156.0	Not Provided	290	0	740,792	0	740,792
2007/08	Unlimited	None	144.0	Not Provided	315	0	896,578	0	896,578
2008/09	Unlimited	None	132.0	Not Provided	365	0	1,125,398	0	1,125,398
2009/10	Unlimited	None	120.0	6,302	501	0	1,572,750	0	1,572,750
2010/11	Unlimited	None	108.0	6,456	317	0	1,063,190	0	1,063,190
2011/12	Unlimited	None	96.0	6,398	340	0	1,802,986	0	1,802,986
2012/13	Unlimited	None	84.0	6,737	294	0	1,550,368	0	1,550,368
2013/14	Unlimited	None	72.0	6,500	421	0	1,936,363	0	1,936,363
2014/15	Unlimited	None	60.0	5,314	363	0	1,409,483	0	1,409,483
2015/16	Unlimited	None	48.0	5,287	360	0	999,384	0	999,384
2016/17	Unlimited	None	36.0	6,003	356	10	994,725	50,511	1,045,236
2017/18	Unlimited	None	24.0	5,044	433	24	998,459	120,508	1,118,967
2018/19	Unlimited	None	12.0	5,629	544	248	479,576	746,271	1,225,847
Total					5,573	282	\$17,657,686	\$917,290	\$18,574,976

Data includes all tort claims with "Claim Type" field Auto Bodily Injury, Auto Physical Damage, or Auto Property Damage, as provided by DC Office of Risk Management.

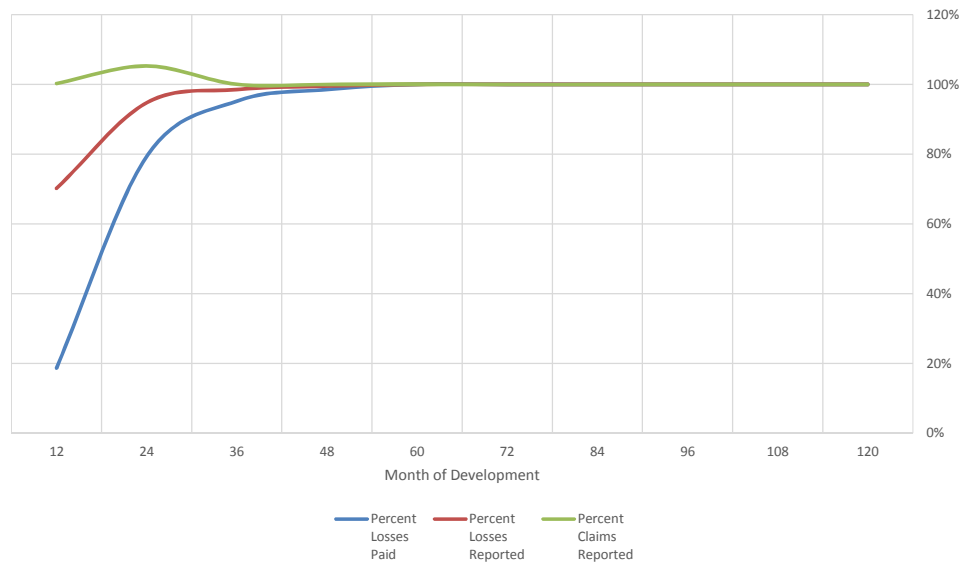
(6) and (7) are on an occurrence basis and exclude claims with \$0 incurred.

(8), (9) and (10) are unlimited.

Data was provided by DC Office of Risk Management.

Summary of Percent Losses Paid, Losses Reported and Claims Reported

Months of Development (1)	Percent Losses Paid (2)	Percent Losses Reported (3)	Percent Claims Reported (4)
360.0	100.0%	100.0%	100.0%
348.0	100.0%	100.0%	100.0%
336.0	100.0%	100.0%	100.0%
324.0	100.0%	100.0%	100.0%
312.0	100.0%	100.0%	100.0%
300.0	100.0%	100.0%	100.0%
288.0	100.0%	100.0%	100.0%
276.0	100.0%	100.0%	100.0%
264.0	100.0%	100.0%	100.0%
252.0	100.0%	100.0%	100.0%
240.0	100.0%	100.0%	100.0%
228.0	100.0%	100.0%	100.0%
216.0	100.0%	100.0%	100.0%
204.0	100.0%	100.0%	100.0%
192.0	100.0%	100.0%	100.0%
180.0	100.0%	100.0%	100.0%
168.0	100.0%	100.0%	100.0%
156.0	100.0%	100.0%	100.0%
144.0	100.0%	100.0%	100.0%
132.0	100.0%	100.0%	100.0%
120.0	100.0%	100.0%	100.0%
108.0	100.0%	100.0%	100.0%
96.0	100.0%	100.0%	100.0%
84.0	100.0%	100.0%	100.0%
72.0	100.0%	100.0%	100.0%
60.0	100.0%	100.0%	100.0%
48.0	98.5%	99.5%	100.0%
36.0	95.2%	98.5%	100.0%
24.0	79.3%	94.7%	105.3%
12.0	18.7%	70.2%	100.3%



(2) is from Exhibit AL-2 (page 2).

(3) is from Exhibit AL-2 (page 3).

(4) is from Exhibit AL-2 (page 4).

DISTRICT OF COLUMBIA
AUTOMOBILE LIABILITY

Exhibit AL-2 (page 2)

Historical Unlimited Paid Losses (\$000) and Unlimited Paid Loss Development

I. Historical Unlimited Paid Losses (\$000)

Claim Period	12	24	Months of Development:		60	72	84	96	108	120	132	144	156	168	180	192	204	216	228	240
			36	48																
to 1999/00												\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2000/01											49	49	49	49	49	49	49	49	49	49
2001/02										76	76	76	76	76	76	76	76	76	76	76
2002/03									213	213	213	213	213	238	238	238	238	238	238	238
2003/04								489	489	489	489	489	489	497	497	497	497	497	497	497
2004/05							489	489	489	489	489	489	489	489	489	489	489	489	489	489
2005/06						739	739	736	739	739	739	739	739	739	739	739	739	739	739	739
2006/07					727	730	730	741	741	741	741	741	741	741	741	741	741	741	741	741
2007/08				853	878	894	897	897	897	897	897	897	897	897	897	897	897	897	897	897
2008/09			997	1,080	1,119	1,125	1,125	1,125	1,125	1,125	1,125	1,125	1,125	1,125	1,125	1,125	1,125	1,125	1,125	1,125
2009/10		864	1,371	1,515	1,573	1,573	1,573	1,573	1,573	1,573	1,573	1,573	1,573	1,573	1,573	1,573	1,573	1,573	1,573	1,573
2010/11	144	709	990	1,058	1,063	1,063	1,063	1,063	1,063	1,063	1,063	1,063	1,063	1,063	1,063	1,063	1,063	1,063	1,063	1,063
2011/12	152	878	1,729	1,800	1,804	1,805	1,805	1,803	1,803	1,803	1,803	1,803	1,803	1,803	1,803	1,803	1,803	1,803	1,803	1,803
2012/13	154	1,267	1,495	1,550	1,550	1,550	1,550	1,550	1,550	1,550	1,550	1,550	1,550	1,550	1,550	1,550	1,550	1,550	1,550	1,550
2013/14	387	1,500	1,896	1,932	1,937	1,936	1,936	1,936	1,936	1,936	1,936	1,936	1,936	1,936	1,936	1,936	1,936	1,936	1,936	1,936
2014/15	220	1,124	1,401	1,409	1,409	1,409	1,409	1,409	1,409	1,409	1,409	1,409	1,409	1,409	1,409	1,409	1,409	1,409	1,409	1,409
2015/16	362	933	969	999	999	999	999	999	999	999	999	999	999	999	999	999	999	999	999	999
2016/17	315	884	995																	
2017/18	285	998																		
2018/19	480																			

II. Unlimited Paid Loss Development

Claim Period	12-24	24-36	Months of Development:		60-72	72-84	84-96	96-108	108-120	120-132	132-144	144-156	156-168	168-180	180-192	192-204	204-216	216-228	228-240	240-Ult
			36-48	48-60																
to 1999/00																				
2000/01											1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2001/02										1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2002/03									1.000	1.000	1.000	1.000	1.117	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2003/04								1.000	1.000	1.000	1.000	1.016	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2004/05							1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2005/06						1.000	0.996	1.004	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2006/07					1.005	1.000	1.014	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2007/08				1.029	1.019	1.003	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2008/09			1.083	1.037	1.006	1.000	0.999	1.001	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2009/10		1.586	1.105	1.038	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2010/11	4.922	1.396	1.069	1.005	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2011/12	5.787	1.970	1.041	1.003	1.000	1.000	0.999	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2012/13	8.232	1.180	1.037	1.000	1.000	1.001	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2013/14	3.876	1.264	1.019	1.003	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2014/15	5.100	1.247	1.006	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2015/16	2.577	1.039	1.031	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2016/17	2.807	1.125																		
2017/18	3.507																			
2018/19																				
Average																				
All	4.601	1.351	1.049	1.014	1.004	1.000	1.001	1.001	1.000	1.000	1.000	1.002	1.020	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Wtd 3	2.928	1.144	1.018	1.001	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Last 3	2.964	1.137	1.019	1.001	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Last 5	3.397	1.184	1.029	1.002	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
x-hi,low																				
Similar	2.200	1.450	1.255	1.125	1.050	1.023	1.011	1.006	1.002	1.001	1.001	1.001	1.001	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Previous	4.500	1.250	1.040	1.020	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Selected	4.250	1.200	1.035	1.015	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Cumulative	5.358	1.261	1.051	1.015	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Percent	18.7%	79.3%	95.2%	98.5%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%

Amounts as of 9/30/11 through 9/30/13 include subrogation claims which were excluded from prior valuations.

Amounts are unlimited.

Data was provided by DC Office of Risk Management.

DISTRICT OF COLUMBIA
AUTOMOBILE LIABILITY

Exhibit AL-2 (page 3)

Historical Unlimited Reported Incurred Losses (\$000) and Unlimited Reported Incurred Loss Development

I. Historical Unlimited Reported Incurred Losses (\$000)

Claim Period	12	24	Months of Development:		60	72	84	96	108	120	132	144	156	168	180	192	204	216	228	240
			36	48																
to 1999/00												\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2000/01											49	49	49	49	49	49	49	49	49	49
2001/02										76	76	76	76	76	76	76	76	76	76	76
2002/03									213	213	213	213	213	238	238	238	238	238	238	238
2003/04								489	489	489	489	489	497	497	497	497	497	497	497	497
2004/05							489	489	489	489	489	489	489	489	489	489	489	489	489	489
2005/06						745	745	739	742	742	742	742	742	739	739	739	739	739	739	739
2006/07					760	754	734	745	745	745	745	745	745	741	741	741	741	741	741	741
2007/08				1,062	1,042	894	897	897	897	897	897	897	897	897	897	897	897	897	897	897
2008/09			1,272	1,259	1,125	1,131	1,131	1,130	1,131	1,131	1,131	1,125	1,125	1,125	1,125	1,125	1,125	1,125	1,125	1,125
2009/10		1,710	1,708	1,554	1,575	1,575	1,575	1,575	1,575	1,575	1,575	1,575	1,575	1,575	1,575	1,575	1,575	1,575	1,575	1,575
2010/11	842	1,155	1,134	1,083	1,083	1,083	1,083	1,083	1,083	1,083	1,063	1,063	1,063	1,063	1,063	1,063	1,063	1,063	1,063	1,063
2011/12	1,193	1,492	1,818	1,831	1,833	1,834	1,834	1,803	1,803	1,803	1,803	1,803	1,803	1,803	1,803	1,803	1,803	1,803	1,803	1,803
2012/13	593	1,476	1,522	1,573	1,573	1,560	1,560	1,560	1,560	1,560	1,560	1,560	1,560	1,560	1,560	1,560	1,560	1,560	1,560	1,560
2013/14	1,131	2,024	1,946	1,958	1,960	1,936	1,936	1,936	1,936	1,936	1,936	1,936	1,936	1,936	1,936	1,936	1,936	1,936	1,936	1,936
2014/15	1,135	1,427	1,438	1,413	1,409	1,409	1,409	1,409	1,409	1,409	1,409	1,409	1,409	1,409	1,409	1,409	1,409	1,409	1,409	1,409
2015/16	1,366	1,149	1,006	999	999	999	999	999	999	999	999	999	999	999	999	999	999	999	999	999
2016/17	1,238	1,179	1,045	1,045	1,045	1,045	1,045	1,045	1,045	1,045	1,045	1,045	1,045	1,045	1,045	1,045	1,045	1,045	1,045	1,045
2017/18	1,123	1,119	1,119	1,119	1,119	1,119	1,119	1,119	1,119	1,119	1,119	1,119	1,119	1,119	1,119	1,119	1,119	1,119	1,119	1,119
2018/19	1,226	1,226	1,226	1,226	1,226	1,226	1,226	1,226	1,226	1,226	1,226	1,226	1,226	1,226	1,226	1,226	1,226	1,226	1,226	1,226

II. Unlimited Reported Incurred Loss Development

Claim Period	12-24	24-36	Months of Development:		60-72	72-84	84-96	96-108	108-120	120-132	132-144	144-156	156-168	168-180	180-192	192-204	204-216	216-228	228-240	240-Ult
			36-48	48-60																
to 1999/00																				
2000/01											1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2001/02										1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2002/03									1.000	1.000	1.000	1.000	1.117	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2003/04								1.000	1.000	1.000	1.000	1.016	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2004/05							1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2005/06					0.992	0.996	0.996	1.004	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2006/07					0.992	0.973	1.014	1.000	1.000	1.000	1.000	0.995	0.997	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2007/08				0.982	0.858	1.003	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2008/09			0.990	0.894	1.006	1.000	0.999	1.001	1.000	0.995	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2009/10		0.999	0.910	1.013	1.000	1.000	1.000	1.000	0.999	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2010/11	1.372	0.981	0.955	1.000	1.000	1.000	1.000	0.981	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2011/12	1.251	1.218	1.007	1.001	1.000	1.000	0.983	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2012/13	2.488	1.031	1.033	1.000	0.992	0.994	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2013/14	1.789	0.962	1.006	1.001	0.988	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2014/15	1.257	1.008	0.983	0.998	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2015/16	0.841	0.875	0.994	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2016/17	0.953	0.886	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2017/18	0.997	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2018/19	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Average																				
All	1.369	0.995	0.985	0.986	0.979	0.996	0.999	0.998	1.000	0.999	1.000	1.002	1.019	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Wtd 3	0.925	0.929	0.996	1.000	0.993	0.998	0.993	0.995	1.000	0.998	1.000	0.998	0.999	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Last 3	0.930	0.923	0.994	1.000	0.993	0.998	0.994	0.994	1.000	0.998	1.000	0.998	0.999	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Last 5	1.069	0.952	1.002	1.000	0.997	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
x-hi,low																				
Similar	1.410	1.175	1.085	1.039	1.015	1.006	1.003	1.003	1.001	1.001	1.001	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Previous	1.400	1.050	1.015	1.005	1.001	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Selected	1.350	1.040	1.010	1.005	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Cumulative	1.425	1.056	1.015	1.005	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Percent	70.2%	94.7%	98.5%	99.5%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%

Amounts as of 9/30/11 through 9/30/13 include subrogation claims which were excluded from prior valuations.

Amounts are unlimited.

Data was provided by DC Office of Risk Management.

Historical Reported Claims and Reported Claim Development

I. Historical Reported Claims

Claim Period	12	24	Months of Development:		36	48	60	72	84	96	108	120	132	144	156	168	180	192	204	216	228	240
to 1999/00														0	0	0	0	0	0	0	0	0
2000/01												3	3	3	3	3	3	3	3	3	3	3
2001/02											11	11	11	11	11	11	11	11	11	11	11	11
2002/03											64	64	64	64	64	65	65	65	65	65	65	65
2003/04										159	159	159	159	159	160	160	160	160	160	160	160	160
2004/05									167	167	167	167	167	167	167	167	167	167	167	167	167	167
2005/06								270	269	268	269	269	269	269	269	268	268	268	268	268	268	268
2006/07							293	295	290	291	291	291	291	291	291	290	290	290	290	290	290	290
2007/08							329	314	315	315	315	315	322	315								
2008/09				451	410	369	369	369	368	369	378	365										
2009/10		658	578	511	506	506	507	506	509	501												
2010/11	373	426	378	330	330	330	330	333	340													
2011/12	348	347	361	347	345	347	347	340														
2012/13	189	298	295	297	297	296	294															
2013/14	358	433	425	423	426	421																
2014/15	361	399	370	365	363																	
2015/16	535	388	358	360																		
2016/17	423	377	356																			
2017/18	478	433																				
2018/19	544																					

II. Reported Claim Development

Claim Period	12-24	24-36	Months of Development:		36-48	48-60	60-72	72-84	84-96	96-108	108-120	120-132	132-144	144-156	156-168	168-180	180-192	192-204	204-216	216-228	228-240	240-Ult
to 1999/00																						
2000/01													1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2001/02												1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2002/03											1.000	1.000	1.000	1.000	1.016	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2003/04										1.000	1.000	1.000	1.000	1.006	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2004/05								1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
2005/06							0.996	0.996	1.004	1.000	1.000	1.000	1.000	1.000	0.996							
2006/07						1.007	0.983	1.003	1.000	1.000	1.000	1.000	1.000	0.997								
2007/08				0.976	0.954	1.003	1.000	1.000	1.000	1.000	1.022	0.978										
2008/09			0.909	0.900	1.000	1.000	1.000	0.997	1.003	1.024	0.966											
2009/10		0.878	0.884	0.990	1.000	1.002	0.998	1.006	0.984													
2010/11	1.142	0.887	0.873	1.000	1.000	1.000	1.000	0.952														
2011/12	0.997	1.040	0.961	0.994	1.006	1.000	0.980															
2012/13	1.577	0.990	1.007	1.000	0.997	0.993																
2013/14	1.209	0.982	0.995	1.007	0.988																	
2014/15	1.105	0.927	0.986	0.995																		
2015/16	0.725	0.923	1.006																			
2016/17	0.891	0.944																				
2017/18	0.906																					
2018/19																						
Average																						
All	1.069	0.946	0.953	0.983	0.994	0.997	0.998	0.996	1.001	0.998	0.997	1.000	1.002	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Wtd 3	0.834	0.931	0.996	1.001	0.996	0.998	0.996	0.990	1.001	0.994	0.992	0.999	0.998	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Last 3	0.841	0.931	0.996	1.001	0.997	0.998	0.996	0.987	1.003	0.996	0.983	0.999	0.999	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Last 5	0.967	0.951	0.996	0.998	0.999	1.000	0.998	1.001	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
x-hi,low																						
Similar	1.077	1.009	1.004	1.002	1.002	1.002	1.002	1.001	1.001	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Previous	1.100	0.950	0.990	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Selected	1.050	0.950	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Cumulative	0.998	0.950	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
Percent	100.3%	105.3%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%

Amounts as of 9/30/11 through 9/30/13 include subrogation claims which were excluded from prior valuations.

Counts are on an occurrence basis and exclude claims with \$0 incurred.

Data was provided by DC Office of Risk Management.

DISTRICT OF COLUMBIA
AUTOMOBILE LIABILITY

Historical Ratio of Unlimited Paid Losses and Unlimited Reported Incurred Losses

Claim Period	12	24	Months of Development:		60	72	84	96	108	120	132	144	156	168	180	192	204	216	228	240
			36	48																
to 1999/00																				
2000/01											100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
2001/02										100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
2002/03									100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
2003/04								100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
2004/05							100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
2005/06						99.3%	99.7%	99.7%	99.7%	99.7%	99.7%	99.7%	99.7%	99.7%	100.0%					
2006/07					95.6%	96.8%	99.5%	99.5%	99.5%	99.5%	99.5%	99.5%	99.5%	100.0%						
2007/08				80.3%	84.2%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%							
2008/09			78.3%	85.8%	99.5%	99.5%	99.5%	99.5%	99.5%	99.5%	99.5%	100.0%								
2009/10		50.6%	80.2%	97.5%	99.9%	99.9%	99.9%	99.9%	99.9%	100.0%										
2010/11	17.1%	61.4%	87.3%	97.7%	98.1%	98.1%	98.1%	98.1%	100.0%											
2011/12	12.7%	58.8%	95.1%	98.3%	98.4%	98.4%	98.4%	100.0%												
2012/13	25.9%	85.8%	98.2%	98.5%	98.5%	99.3%	100.0%													
2013/14	34.2%	74.1%	97.4%	98.6%	98.8%	100.0%														
2014/15	19.4%	78.7%	97.5%	99.8%	100.0%															
2015/16	26.5%	81.2%	96.3%	100.0%																
2016/17	25.4%	75.0%	95.2%																	
2017/18	25.4%	89.2%																		
2018/19	39.1%																			
Average																				
All	25.1%	72.8%	91.7%	95.2%	97.0%	99.0%	99.5%	99.6%	99.8%	99.8%	99.9%	99.9%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Last 3	30.0%	81.8%	96.3%	99.5%	99.1%	99.2%	98.9%	99.3%	99.8%	99.8%	99.8%	99.7%	99.9%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Last 5	25.8%	78.3%	97.1%	99.0%	98.6%	99.2%	99.3%	99.8%	99.8%	99.7%	99.9%	99.9%	100.0%	100.0%	100.0%					
x-hi,low																				
Implicit	26.6%	83.7%	96.6%	99.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%

Developed Unlimited Paid Losses

Claim Period (1)	Months of Development 9/30/19 (2)	Unlimited Paid Losses 9/30/19 (3)	Percent Losses Paid (4)	Developed Unlimited Paid Losses (3)/(4) (5)
to 1999/00	240.0	\$0	100.0%	\$0
2000/01	228.0	49,178	100.0%	49,178
2001/02	216.0	76,129	100.0%	76,129
2002/03	204.0	238,091	100.0%	238,091
2003/04	192.0	496,524	100.0%	496,524
2004/05	180.0	488,550	100.0%	488,550
2005/06	168.0	739,164	100.0%	739,164
2006/07	156.0	740,792	100.0%	740,792
2007/08	144.0	896,578	100.0%	896,578
2008/09	132.0	1,125,398	100.0%	1,125,398
2009/10	120.0	1,572,750	100.0%	1,572,750
2010/11	108.0	1,063,190	100.0%	1,063,190
2011/12	96.0	1,802,986	100.0%	1,802,986
2012/13	84.0	1,550,368	100.0%	1,550,368
2013/14	72.0	1,936,363	100.0%	1,936,363
2014/15	60.0	1,409,483	100.0%	1,409,483
2015/16	48.0	999,384	98.5%	1,014,374
2016/17	36.0	994,725	95.2%	1,044,984
2017/18	24.0	998,459	79.3%	1,258,688
2018/19	12.0	479,576	18.7%	2,569,413
Total		\$17,657,686		\$20,073,000

(3) is from Exhibit AL-1.

(4) is from Exhibit AL-2.

Developed Unlimited Reported Incurred Losses

Claim Period (1)	Months of Development 9/30/19 (2)	Unlimited Reported Incurred Losses 9/30/19 (3)	Percent Losses Reported (4)	Developed Unlimited Reported Incurred Losses (3)/(4) (5)
to 1999/00	240.0	\$0	100.0%	\$0
2000/01	228.0	49,178	100.0%	49,178
2001/02	216.0	76,129	100.0%	76,129
2002/03	204.0	238,091	100.0%	238,091
2003/04	192.0	496,524	100.0%	496,524
2004/05	180.0	488,550	100.0%	488,550
2005/06	168.0	739,164	100.0%	739,164
2006/07	156.0	740,792	100.0%	740,792
2007/08	144.0	896,578	100.0%	896,578
2008/09	132.0	1,125,398	100.0%	1,125,398
2009/10	120.0	1,572,750	100.0%	1,572,750
2010/11	108.0	1,063,190	100.0%	1,063,190
2011/12	96.0	1,802,986	100.0%	1,802,986
2012/13	84.0	1,550,368	100.0%	1,550,368
2013/14	72.0	1,936,363	100.0%	1,936,363
2014/15	60.0	1,409,483	100.0%	1,409,483
2015/16	48.0	999,384	99.5%	1,004,381
2016/17	36.0	1,045,236	98.5%	1,060,967
2017/18	24.0	1,118,967	94.7%	1,181,240
2018/19	12.0	1,225,847	70.2%	1,746,991
Total		\$18,574,976		\$19,179,121

(3) is from Exhibit AL-1.

(4) is from Exhibit AL-2.

Developed Unlimited Case Reserves

Claim Period (1)	Months of Development 9/30/19 (2)	Percent Losses Paid (3)	Percent Losses Reported (4)	Percent Losses Reserved 9/30/19 [(4)-(3)]/ [100.0%-(3)] (5)	Unlimited Paid Losses 9/30/19 (6)	Unlimited Case Reserves 9/30/19 (7)	Developed Unlimited Case Reserves (6)+(7)/(5) (8)
to 1999/00	240.0	100.0%	100.0%	100.0%	\$0	\$0	\$0
2000/01	228.0	100.0%	100.0%	100.0%	49,178	0	49,178
2001/02	216.0	100.0%	100.0%	100.0%	76,129	0	76,129
2002/03	204.0	100.0%	100.0%	100.0%	238,091	0	238,091
2003/04	192.0	100.0%	100.0%	100.0%	496,524	0	496,524
2004/05	180.0	100.0%	100.0%	100.0%	488,550	0	488,550
2005/06	168.0	100.0%	100.0%	100.0%	739,164	0	739,164
2006/07	156.0	100.0%	100.0%	100.0%	740,792	0	740,792
2007/08	144.0	100.0%	100.0%	100.0%	896,578	0	896,578
2008/09	132.0	100.0%	100.0%	100.0%	1,125,398	0	1,125,398
2009/10	120.0	100.0%	100.0%	100.0%	1,572,750	0	1,572,750
2010/11	108.0	100.0%	100.0%	100.0%	1,063,190	0	1,063,190
2011/12	96.0	100.0%	100.0%	100.0%	1,802,986	0	1,802,986
2012/13	84.0	100.0%	100.0%	100.0%	1,550,368	0	1,550,368
2013/14	72.0	100.0%	100.0%	100.0%	1,936,363	0	1,936,363
2014/15	60.0	100.0%	100.0%	100.0%	1,409,483	0	1,409,483
2015/16	48.0	98.5%	99.5%	66.3%	999,384	0	999,384
2016/17	36.0	95.2%	98.5%	69.2%	994,725	50,511	1,067,748
2017/18	24.0	79.3%	94.7%	74.5%	998,459	120,508	1,160,213
2018/19	12.0	18.7%	70.2%	63.3%	479,576	746,271	1,658,083
Total					\$17,657,686	\$917,290	\$19,070,969

(3) and (4) are from Exhibit AL-2.

(6) and (7) are from Exhibit AL-1.

Preliminary Projected Ultimate Unlimited Losses to 2018/19

Claim Period (1)	Developed Unlimited Paid Losses (2)	Developed Unlimited Reported Incurred Losses (3)	Developed Unlimited Case Reserves (4)	Preliminary Projected Ultimate Unlimited Losses (5)
to 1999/00	\$0	\$0	\$0	\$0
2000/01	49,178	49,178	49,178	49,178
2001/02	76,129	76,129	76,129	76,129
2002/03	238,091	238,091	238,091	238,091
2003/04	496,524	496,524	496,524	496,524
2004/05	488,550	488,550	488,550	488,550
2005/06	739,164	739,164	739,164	739,164
2006/07	740,792	740,792	740,792	740,792
2007/08	896,578	896,578	896,578	896,578
2008/09	1,125,398	1,125,398	1,125,398	1,125,398
2009/10	1,572,750	1,572,750	1,572,750	1,572,750
2010/11	1,063,190	1,063,190	1,063,190	1,063,190
2011/12	1,802,986	1,802,986	1,802,986	1,802,986
2012/13	1,550,368	1,550,368	1,550,368	1,550,368
2013/14	1,936,363	1,936,363	1,936,363	1,936,363
2014/15	1,409,483	1,409,483	1,409,483	1,409,483
2015/16	1,014,374	1,004,381	999,384	999,384
2016/17	1,044,984	1,060,967	1,067,748	1,060,483
2017/18	1,258,688	1,181,240	1,160,213	1,188,318
2018/19	2,569,413	1,746,991	1,658,083	1,702,537
Total	\$20,073,000	\$19,179,121	\$19,070,969	\$19,136,264

(2) is from Exhibit AL-3.

(3) is from Exhibit AL-4.

(4) is from Exhibit AL-5.

(5) is based on (2) to (4) and actuarial judgment.

Bornhuetter - Ferguson Analysis

I. A-priori Loss Rate

Claim Period (1)	Preliminary Projected Ultimate Unlimited Losses (2)	Vehicles (3)	Unlimited Loss Rate per Vehicle (2)/(3) (4)	Loss Rate Trend (2019/20 = 1.000) (5)	Trended Unlimited Loss Rate per Vehicle (4)X(5) (6)	Projected A-priori Loss Rate per Vehicle (7)/(5) (8)
2009/10	\$1,572,750	6,302	\$249.56	1.629	\$406.51	\$191.04
2010/11	1,063,190	6,456	164.68	1.551	255.48	200.59
2011/12	1,802,986	6,398	281.80	1.477	416.35	210.62
2012/13	1,550,368	6,737	230.13	1.407	323.81	221.15
2013/14	1,936,363	6,500	297.90	1.340	399.22	232.21
2014/15	1,409,483	5,314	265.24	1.276	338.52	243.82
2015/16	999,384	5,287	189.03	1.216	229.76	256.01
2016/17	1,060,483	6,003	176.66	1.158	204.50	268.81
2017/18	1,188,318	5,044	235.59	1.103	259.74	282.26
2018/19	1,702,537	5,629	302.46	1.050	317.58	296.37

(7) Projected 2019/20 a-priori loss rate per Vehicle

\$311.19

II. Bornhuetter - Ferguson Analysis Based on Unlimited Paid Losses

Claim Period (1)	Unlimited Paid Losses 9/30/19 (2)	Percent Losses Paid (3)	Projected A-priori Loss Rate per Vehicle (4)	Vehicles (5)	B-F Unpaid Losses [100.0%-(3)] X(4)X(5) (6)	B-F Ultimate Unlimited Paid Losses (2)+(6) (7)
2014/15	\$1,409,483	100.0%	\$243.82	5,314	\$0	\$1,409,483
2015/16	999,384	98.5%	256.01	5,287	20,003	1,019,387
2016/17	994,725	95.2%	268.81	6,003	77,611	1,072,336
2017/18	998,459	79.3%	282.26	5,044	294,343	1,292,802
2018/19	479,576	18.7%	296.37	5,629	1,356,879	1,836,455

III. Bornhuetter - Ferguson Analysis Based on Unlimited Reported Incurred Losses

Claim Period (1)	Unlimited Reported Incurred Losses 9/30/19 (2)	Percent Losses Reported (3)	Projected A-priori Loss Rate per Vehicle (4)	Vehicles (5)	B-F Unreported Losses [100.0%-(3)] X(4)X(5) (6)	B-F Ultimate Unlimited Reported Losses (2)+(6) (7)
2014/15	\$1,409,483	100.0%	\$243.82	5,314	\$0	\$1,409,483
2015/16	999,384	99.5%	256.01	5,287	6,734	1,006,118
2016/17	1,045,236	98.5%	268.81	6,003	23,926	1,069,162
2017/18	1,118,967	94.7%	282.26	5,044	75,055	1,194,022
2018/19	1,225,847	70.2%	296.37	5,629	497,657	1,723,504

Section I, (2) is from Exhibit AL-6.

Section I, (3), Section II, (5) and Section III, (5) are from Exhibit AL-10.

Section I, (5) is based on a 5% trend.

Section I, (7) is based on Section I, (6) and actuarial judgment.

Sections II and III, (2) are from Exhibit AL-1.

Sections II and III, (3) are from Exhibit AL-2.

Sections II and III, (4) are from Section I, (8).

Frequency Times Severity Analysis

I. Projected Ultimate Claims

Claim Period (1)	Months of Development 9/30/19 (2)	Reported Claims 9/30/19 (3)	Percent Claims Reported (4)	Projected Ultimate Claims (3)/(4) (5)	Vehicles (6)	Frequency (per Vehicle) (5)/(6) (7)
2009/10	120.0	501	100.0%	501	6,302	0.08
2010/11	108.0	317	100.0%	317	6,456	0.05
2011/12	96.0	340	100.0%	340	6,398	0.05
2012/13	84.0	294	100.0%	294	6,737	0.04
2013/14	72.0	421	100.0%	421	6,500	0.06
2014/15	60.0	363	100.0%	363	5,314	0.07
2015/16	48.0	360	100.0%	360	5,287	0.07
2016/17	36.0	356	100.0%	356	6,003	0.06
2017/18	24.0	433	105.3%	411	5,044	0.08
2018/19	12.0	544	100.3%	543	5,629	0.10

II. Frequency Times Severity

Claim Period (1)	Preliminary Projected Ultimate Unlimited Losses (2)	Projected Ultimate Claims (3)	Average Severity (2)/(3) (4)	Severity Trend (2019/20 = 1.000) (5)	Trended Average Claim Severity (4)X(5) (6)	De-Trended Projected 2019/20 Average Claim Severity (7)/(5) (8)	Frequency Times Severity (3)X(8) (9)
2009/10	\$1,572,750	501	\$3,139	1.629	\$5,113	\$3,045	\$1,525,429
2010/11	1,063,190	317	3,354	1.551	5,203	3,197	1,013,452
2011/12	1,802,986	340	5,303	1.477	7,835	3,357	1,141,332
2012/13	1,550,368	294	5,273	1.407	7,420	3,525	1,036,262
2013/14	1,936,363	421	4,599	1.340	6,164	3,701	1,558,094
2014/15	1,409,483	363	3,883	1.276	4,956	3,886	1,410,612
2015/16	999,384	360	2,776	1.216	3,374	4,080	1,468,902
2016/17	1,060,483	356	2,979	1.158	3,448	4,284	1,525,210
2017/18	1,188,318	411	2,891	1.103	3,188	4,499	1,848,888
2018/19	1,702,537	543	3,135	1.050	3,292	4,723	2,564,826

(7) Projected 2019/20 average claim severity

\$4,960

Section I, (3) is from Exhibit AL-1.

Section I, (4) is from Exhibit AL-2.

Section I, (6) is from Exhibit AL-10.

Section II, (2) is from Exhibit AL-6.

Section II, (3) is from Section I, (5).

Section II, (5) is based on a 5% trend.

Section II, (7) is based on (6) and actuarial judgment.

Projected Ultimate Unlimited Losses to 2018/19

Claim Period (1)	Developed Unlimited Paid Losses (2)	Developed Unlimited Reported Incurred Losses (3)	Developed Unlimited Case Reserves (4)	B-F Ultimate Unlimited Paid Losses (5)	B-F Ultimate Unlimited Reported Losses (6)	Frequency Times Severity (7)	Projected Ultimate Unlimited Losses (8)
to 1999/00	\$0	\$0	\$0				\$0
2000/01	49,178	49,178	49,178				49,178
2001/02	76,129	76,129	76,129				76,129
2002/03	238,091	238,091	238,091				238,091
2003/04	496,524	496,524	496,524				496,524
2004/05	488,550	488,550	488,550				488,550
2005/06	739,164	739,164	739,164				739,164
2006/07	740,792	740,792	740,792				740,792
2007/08	896,578	896,578	896,578				896,578
2008/09	1,125,398	1,125,398	1,125,398				1,125,398
2009/10	1,572,750	1,572,750	1,572,750				1,572,750
2010/11	1,063,190	1,063,190	1,063,190				1,063,190
2011/12	1,802,986	1,802,986	1,802,986				1,802,986
2012/13	1,550,368	1,550,368	1,550,368				1,550,368
2013/14	1,936,363	1,936,363	1,936,363				1,936,363
2014/15	1,409,483	1,409,483	1,409,483	1,409,483	1,409,483	1,410,612	1,409,483
2015/16	1,014,374	1,004,381	999,384	1,019,387	1,006,118	1,468,902	999,384
2016/17	1,044,984	1,060,967	1,067,748	1,072,336	1,069,162	1,525,210	1,060,000
2017/18	1,258,688	1,181,240	1,160,213	1,292,802	1,194,022	1,848,888	1,201,000
2018/19	2,569,413	1,746,991	1,658,083	1,836,455	1,723,504	2,564,826	1,741,000

(2) is from Exhibit AL-3.

(3) is from Exhibit AL-4.

(4) is from Exhibit AL-5.

(5) and (6) are from Exhibit AL-7.

(7) is from Exhibit AL-8.

(8) is based on (2) to (7) and actuarial judgment.

Projected Ultimate Unlimited Losses for 2019/20 and Subsequent

Claim Period (1)	Projected Ultimate Unlimited Losses (2)	Vehicles (3)	Unlimited Loss Rate per Vehicle (2)/(3) (4)	Loss Rate Trend (2019/20 = 1.000) (5)	Trended Unlimited Loss Rate per Vehicle (4)X(5) (6)
2009/10	\$1,572,750	6,302	\$249.56	1.629	\$406.51
2010/11	1,063,190	6,456	164.68	1.551	255.48
2011/12	1,802,986	6,398	281.80	1.477	416.35
2012/13	1,550,368	6,737	230.13	1.407	323.81
2013/14	1,936,363	6,500	297.90	1.340	399.22
2014/15	1,409,483	5,314	265.24	1.276	338.52
2015/16	999,384	5,287	189.03	1.216	229.76
2016/17	1,060,000	6,003	176.58	1.158	204.41
2017/18	1,201,000	5,044	238.10	1.103	262.51
2018/19	1,741,000	5,629	309.29	1.050	324.76
Total	\$14,336,524	59,670	\$240.26		\$316.13

Claim Period (1)	Projected Unlimited Loss Rate per Vehicle (7)	Projected Vehicles (8)	Projected Ultimate Unlimited Losses (7)X(8) (9)	Present Value Factor (10)	Present Value of Projected Unlimited Loss Rate per Vehicle (7)X(10) (11)	Present Value of Projected Ultimate Unlimited Losses (8)X(11) (12)
2019/20	\$312.42	5,575	\$1,742,000	0.97	\$303.98	\$1,695,000
2020/21	328.04	5,575	1,829,000	0.97	319.18	1,779,000

(2) is from Exhibit AL-9.

(3) was provided by DC Office of Risk Management.

(5) is based on a 5% trend.

(7) 2019/20 is based on (6) and actuarial judgment.
Other period(s) based on 2019/20 plus a 5% trend.

(8) to 2019/20 was provided by DC Office of Risk Management. Other claim periods are based on a 0% trend.

(10) is based on a 1.75% interest rate and the payout pattern in Exhibit AL-2.

Estimated Outstanding Losses as of September 30, 2019

Claim Period (1)	Unlimited Paid Losses 9/30/19 (2)	Unlimited Case Reserves 9/30/19 (3)	Unlimited Reported Incurred Losses 9/30/19 (4)	Projected Ultimate Unlimited Losses (5)	Estimated IBNR 9/30/19 (5)-(4) (6)	Estimated Outstanding Losses 9/30/19 (3)+(6) (7)	Present Value Factor (8)	Present Value of Estimated Outstanding Losses 9/30/19 (7)X(8) (9)
to 1999/00	\$0	\$0	\$0	\$0	\$0	\$0	1.00	\$0
2000/01	49,178	0	49,178	49,178	0	0	1.00	0
2001/02	76,129	0	76,129	76,129	0	0	1.00	0
2002/03	238,091	0	238,091	238,091	0	0	1.00	0
2003/04	496,524	0	496,524	496,524	0	0	1.00	0
2004/05	488,550	0	488,550	488,550	0	0	1.00	0
2005/06	739,164	0	739,164	739,164	0	0	1.00	0
2006/07	740,792	0	740,792	740,792	0	0	1.00	0
2007/08	896,578	0	896,578	896,578	0	0	1.00	0
2008/09	1,125,398	0	1,125,398	1,125,398	0	0	1.00	0
2009/10	1,572,750	0	1,572,750	1,572,750	0	0	1.00	0
2010/11	1,063,190	0	1,063,190	1,063,190	0	0	1.00	0
2011/12	1,802,986	0	1,802,986	1,802,986	0	0	1.00	0
2012/13	1,550,368	0	1,550,368	1,550,368	0	0	1.00	0
2013/14	1,936,363	0	1,936,363	1,936,363	0	0	1.00	0
2014/15	1,409,483	0	1,409,483	1,409,483	0	0	1.00	0
2015/16	999,384	0	999,384	999,384	0	0	0.99	0
2016/17	994,725	50,511	1,045,236	1,060,000	14,764	65,275	0.99	64,369
2017/18	998,459	120,508	1,118,967	1,201,000	82,033	202,541	0.99	199,746
2018/19	479,576	746,271	1,225,847	1,741,000	515,153	1,261,424	0.99	1,243,435
Total	\$17,657,686	\$917,290	\$18,574,976	\$19,186,926	\$611,950	\$1,529,240		\$1,507,550

(2), (3) and (4) are net of specific self-insured retention and aggregate retention.

(5) is from Exhibit AL-9.

(8) is based on a 1.75% interest rate and the payout pattern in Exhibit AL-2.

Projected Losses Paid October 1, 2019 to September 30, 2020

Claim Period (1)	Months of Development 9/30/19 (2)	Percent Losses Paid (3)	Months of Development 9/30/20 (4)	Percent Losses Paid (5)	Percent Outstanding Losses Paid 10/1/19 to 9/30/20 [(5)-(3)]/ [100.0%-(3)] (6)	Estimated Outstanding Losses 9/30/19 (7)	Projected Losses Paid (6)X(7) (8)	Estimated Outstanding Losses 9/30/20 (7)-(8) (9)	Present Value Factor (10)	Present Value of Estimated Outstanding Losses 9/30/20 (9)X(10) (11)
to 1999/00	240.0	100.0%	252.0	100.0%	100.0%	\$0	\$0	\$0	1.00	\$0
2000/01	228.0	100.0%	240.0	100.0%	100.0%	0	0	0	1.00	0
2001/02	216.0	100.0%	228.0	100.0%	100.0%	0	0	0	1.00	0
2002/03	204.0	100.0%	216.0	100.0%	100.0%	0	0	0	1.00	0
2003/04	192.0	100.0%	204.0	100.0%	100.0%	0	0	0	1.00	0
2004/05	180.0	100.0%	192.0	100.0%	100.0%	0	0	0	1.00	0
2005/06	168.0	100.0%	180.0	100.0%	100.0%	0	0	0	1.00	0
2006/07	156.0	100.0%	168.0	100.0%	100.0%	0	0	0	1.00	0
2007/08	144.0	100.0%	156.0	100.0%	100.0%	0	0	0	1.00	0
2008/09	132.0	100.0%	144.0	100.0%	100.0%	0	0	0	1.00	0
2009/10	120.0	100.0%	132.0	100.0%	100.0%	0	0	0	1.00	0
2010/11	108.0	100.0%	120.0	100.0%	100.0%	0	0	0	1.00	0
2011/12	96.0	100.0%	108.0	100.0%	100.0%	0	0	0	1.00	0
2012/13	84.0	100.0%	96.0	100.0%	100.0%	0	0	0	1.00	0
2013/14	72.0	100.0%	84.0	100.0%	100.0%	0	0	0	1.00	0
2014/15	60.0	100.0%	72.0	100.0%	100.0%	0	0	0	1.00	0
2015/16	48.0	98.5%	60.0	100.0%	100.0%	0	0	0	1.00	0
2016/17	36.0	95.2%	48.0	98.5%	69.3%	65,275	45,218	20,057	0.99	19,884
2017/18	24.0	79.3%	36.0	95.2%	76.7%	202,541	155,424	47,117	0.99	46,463
2018/19	12.0	18.7%	24.0	79.3%	74.6%	1,261,424	940,783	320,641	0.99	316,216
2019/20	0.0	0.0%	12.0	18.7%	18.7%	1,742,000	325,141	1,416,859	0.99	1,396,653
Total						\$3,271,240	\$1,466,566	\$1,804,674		\$1,779,216

(3) and (5) are from Exhibit AL-2.

(7) to 2018/19 is from Exhibit AL-11. The amount for 2019/20 is from Exhibit AL-10.

(10) is based on a 1.75% interest rate and the payout pattern in Exhibit AL-2.

Projected Losses Paid October 1, 2020 to September 30, 2021

Claim Period (1)	Months of Development 9/30/20 (2)	Percent Losses Paid (3)	Months of Development 9/30/21 (4)	Percent Losses Paid (5)	Percent Outstanding Losses Paid 10/1/20 to 9/30/21 [(5)-(3)]/ [100.0%-(3)] (6)	Estimated Outstanding Losses 9/30/20 (7)	Projected Losses Paid (6)X(7) (8)	Estimated Outstanding Losses 9/30/21 (7)-(8) (9)	Present Value Factor (10)	Present Value of Estimated Outstanding Losses 9/30/21 (9)X(10) (11)
to 1999/00	252.0	100.0%	264.0	100.0%	100.0%	\$0	\$0	\$0	1.00	\$0
2000/01	240.0	100.0%	252.0	100.0%	100.0%	0	0	0	1.00	0
2001/02	228.0	100.0%	240.0	100.0%	100.0%	0	0	0	1.00	0
2002/03	216.0	100.0%	228.0	100.0%	100.0%	0	0	0	1.00	0
2003/04	204.0	100.0%	216.0	100.0%	100.0%	0	0	0	1.00	0
2004/05	192.0	100.0%	204.0	100.0%	100.0%	0	0	0	1.00	0
2005/06	180.0	100.0%	192.0	100.0%	100.0%	0	0	0	1.00	0
2006/07	168.0	100.0%	180.0	100.0%	100.0%	0	0	0	1.00	0
2007/08	156.0	100.0%	168.0	100.0%	100.0%	0	0	0	1.00	0
2008/09	144.0	100.0%	156.0	100.0%	100.0%	0	0	0	1.00	0
2009/10	132.0	100.0%	144.0	100.0%	100.0%	0	0	0	1.00	0
2010/11	120.0	100.0%	132.0	100.0%	100.0%	0	0	0	1.00	0
2011/12	108.0	100.0%	120.0	100.0%	100.0%	0	0	0	1.00	0
2012/13	96.0	100.0%	108.0	100.0%	100.0%	0	0	0	1.00	0
2013/14	84.0	100.0%	96.0	100.0%	100.0%	0	0	0	1.00	0
2014/15	72.0	100.0%	84.0	100.0%	100.0%	0	0	0	1.00	0
2015/16	60.0	100.0%	72.0	100.0%	100.0%	0	0	0	1.00	0
2016/17	48.0	98.5%	60.0	100.0%	100.0%	20,057	20,057	0	1.00	0
2017/18	36.0	95.2%	48.0	98.5%	69.3%	47,117	32,639	14,478	0.99	14,353
2018/19	24.0	79.3%	36.0	95.2%	76.7%	320,641	246,051	74,590	0.99	73,555
2019/20	12.0	18.7%	24.0	79.3%	74.6%	1,416,859	1,056,708	360,151	0.99	355,181
2020/21	0.0	0.0%	12.0	18.7%	18.7%	1,829,000	341,379	1,487,621	0.99	1,466,406
Total						\$3,633,674	\$1,696,834	\$1,936,840		\$1,909,495

(3) and (5) are from Exhibit AL-2.

(7) to 2019/20 is from Exhibit AL-12, (9). The amount for 2020/21 is from Exhibit AL-10.

(10) is based on a 1.75% interest rate and the payout pattern in Exhibit AL-2.

List of Large Claims
Reported Incurred Losses Greater Than \$50,000

Claim Number (1)	Date of Loss (2)	Claim Period (3)	Specific Self-Insured Retention (4)	Unlimited Paid Losses 9/30/19 (5)	Unlimited Case Reserves 9/30/19 (6)	Unlimited Reported Incurred Losses 9/30/19 (7)
0300140-AL	12/14/03	2003/04	Unlimited	\$55,000	\$0	\$55,000
0402307-AL	11/16/04	2004/05	Unlimited	50,000	0	50,000
1000702-AL	04/21/10	2009/10	Unlimited	50,000	0	50,000
1100350-AL	04/25/11	2010/11	Unlimited	55,000	0	55,000
1200029-AL	12/02/11	2011/12	Unlimited	147,500	0	147,500
1101074-AL	12/05/11	2011/12	Unlimited	50,000	0	50,000
1200771-AL	08/30/12	2011/12	Unlimited	50,000	0	50,000
1300211-AL	04/26/13	2012/13	Unlimited	65,984	0	65,984
1300837-AL	11/16/13	2013/14	Unlimited	55,000	0	55,000
1300939-AL	12/19/13	2013/14	Unlimited	145,000	0	145,000
1400249-AL	04/02/14	2013/14	Unlimited	95,000	0	95,000
1400516-AL	05/04/14	2013/14	Unlimited	65,000	0	65,000
1500521-AL	05/13/15	2014/15	Unlimited	169,953	0	169,953
AL-19-00514	01/10/19	2018/19	Unlimited	0	65,000	65,000

Amounts are unlimited.

Size of Loss Distribution

I. Reported Claim Count

Claim Size (1)	Prior (2)	2014/15 (3)	2015/16 (4)	2016/17 (5)	2017/18 (6)	2018/19 (7)	Total (2)...(7) (8)	Non-Zero Claim Cumulative Total (9)	Non-Zero Claim Cumulative % of Total (10)
0	0	0	0	0	0	0	0		
0.01 - 5,000	2,874	297	303	300	375	488	4,637	4,637	83.2%
5,000 - 10,000	353	27	37	30	38	43	528	5,165	92.7%
10,000 - 25,000	236	33	18	25	18	11	341	5,506	98.8%
25,000 - 50,000	46	5	2	1	2	1	57	5,563	99.8%
50,000 - 100,000	6	0	0	0	0	1	7	5,570	99.9%
100,000 - 250,000	2	1	0	0	0	0	3	5,573	100.0%
250,000 - 500,000	0	0	0	0	0	0	0	5,573	100.0%
500,000 - 750,000	0	0	0	0	0	0	0	5,573	100.0%
750,000 - 1,000,000	0	0	0	0	0	0	0	5,573	100.0%
Over 1,000,000	0	0	0	0	0	0	0	5,573	100.0%
Total	3,517	363	360	356	433	544	5,573	5,573	

II. Total Reported Incurred Losses

Claim Size (1)	Prior (2)	2014/15 (3)	2015/16 (4)	2016/17 (5)	2017/18 (6)	2018/19 (7)	Total (2)...(7) (8)	Non-Zero Claim Cumulative Total (9)	Non-Zero Claim Cumulative % of Total (10)
0	\$0	\$0	\$0	\$0	\$0	\$0	\$0		
0.01 - 5,000	4,647,021	405,730	455,423	461,246	530,140	686,097	7,185,656	7,185,656	38.7%
5,000 - 10,000	2,509,709	196,490	253,156	214,717	265,202	300,790	3,740,064	10,925,720	58.8%
10,000 - 25,000	3,345,997	502,210	237,684	337,274	252,882	148,434	4,824,480	15,750,200	84.8%
25,000 - 50,000	1,589,849	135,099	53,120	32,000	70,744	25,527	1,906,339	17,656,539	95.1%
50,000 - 100,000	390,984	0	0	0	0	65,000	455,984	18,112,523	97.5%
100,000 - 250,000	292,500	169,953	0	0	0	0	462,453	18,574,976	100.0%
250,000 - 500,000	0	0	0	0	0	0	0	18,574,976	100.0%
500,000 - 750,000	0	0	0	0	0	0	0	18,574,976	100.0%
750,000 - 1,000,000	0	0	0	0	0	0	0	18,574,976	100.0%
Over 1,000,000	0	0	0	0	0	0	0	18,574,976	100.0%
Total	\$12,776,059	\$1,409,483	\$999,384	\$1,045,236	\$1,118,967	\$1,225,847	\$18,574,976	\$18,574,976	

Amounts are unlimited

Data was summarized on an occurrence basis and excludes claims with \$0 incurred.

Data was provided by DC Office of Risk Management.

DCORM_03_AL_093019.xlsx

**DC Captive Insurance Agency Financial and Annual Statements and
Reserve Report (as of September 30, 2020)**

LICENSE NO. DC078

**DISTRICT OF COLUMBIA CAPTIVE INSURANCE COMPANY
ANNUAL STATEMENT FOR THE PERIOD ENDED
September 30, 2020**

District of Columbia Captive Insurance Agency

COMPANY NAME

INCORPORATED DATE: May 28, 2008

COMMENCED BUSINESS DATE: July 18, 2008

DC HOME OFFICE ADDRESS: 441 4th Street NW, Suite 800S; Washington, DC 20001

COMPANY CONTACT: Jed Ross (202) 727-8600
NAME PHONE

CAPTIVE MANAGER: Jane Waters (202) 727-8600
NAME PHONE

OFFICERS*

TITLE	NAME	TITLE	NAME	TITLE	NAME
President	<u> </u>	Vice President	<u> </u>		<u> </u>
Secretary	<u> </u>	Vice President	<u> </u>		<u> </u>
Treasurer	<u> </u>	Vice President	<u> </u>		<u> </u>
	<u> </u>		<u> </u>		<u> </u>
	<u> </u>		<u> </u>		<u> </u>
	<u> </u>		<u> </u>		<u> </u>

DIRECTORS*

NAME	NAME	NAME
<u>Jed Ross</u>	<u> </u>	<u> </u>
<u> </u>	<u> </u>	<u> </u>
<u> </u>	<u> </u>	<u> </u>
<u> </u>	<u> </u>	<u> </u>

State of District of Columbia
County of

 - President
 - Secretary
 - Treasurer

of the..... District of Columbia Captive Insurance Agency, being duly sworn, each for himself deposes and says that they are the above described officers of the said insurer, and that on the last day of the period presented, all of the herein described assets were the absolute property of the said insurer, free and clear from any liens or claims thereon, except as stated, and that this annual statement, together with related exhibits, schedules, and explanations therein contained, annexed or referred to are a full and true statement of all the assets and liabilities and of the condition and affairs of the said insurer as of the date presented, and of its income and deductions therefrom for the year ended on that date, according to the best of their information, knowledge and belief, respectively.

Jed Ross N/A N/A
Chief Risk Officer Secretary Treasurer**
N/A
Other Executive Officer

Subscribed and sworn to before me this 26th day of February, 2021

*Show full name and indicate by number sign (#) those officers and directors who did not occupy the indicated position in the previous annual statement.

**Or corresponding person having charge of the accounts of the insurer.

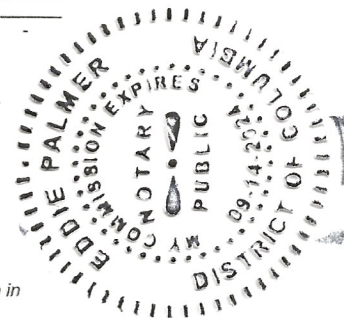


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11. INVESTMENT SCHEDULE

12. CROSS CHECK

These templates may be used for other lines of business written if necessary.

These templates may be used for other lines of business written if necessary.

BALANCE SHEET		
ASSETS		
	09/30/2020	09/20/2019
1. Bonds		
2. Stocks		
3. Cash	5,404,754	1,322,800
4. Savings and Certificate of Deposit		
5. Other Invested Assets		
a)	1,530,859	1,405,072
b)		
6. Subtotal, Cash and Invested Assets (lines 1 to 5)	6,935,613	2,727,872
7. Investment Income Due and Accrued		
8. Accounts and Premiums Receivable		
9. Investments In and Advances to Affiliates		
10. Deferred Tax Asset		
11. Deferred Acquisition Costs		
12. Reins. Recoverable on Unpaid Losses & LAE		
13. Reins. Recoverable on Paid Losses & LAE		
14. Funds Held by Ceding Reinsurers		
15. Prepaid Reinsurance Premiums	-	-
16. Deposits With Reinsurer		
17. Letters of Credit		
18. Other Assets		
a) Loan to Parent		
b) Accrued Interest on Loan to Parent		
c)		
19. Total Assets (lines 6 to 18)	6,935,613	2,727,872
LIABILITIES, CAPITAL AND SURPLUS		
	09/30/2020	09/20/2019
20. Losses	315,121	578,827
21. Loss Adjustment Expenses		
22. Reins. Payable on Paid Losses & LAE		
23. Deposits Held Pursuant to Insurance Contracts		
24. Commissions, Expenses and Fees		
25. Federal Taxes Payable		
26. Unearned Premium		
27. Deferred Tax Liability		
28. Reinsurance Balances Payable		
29. Loans and Notes Payable		
30. Amounts Due to Affiliates		
31. Funds Held Under Reinsurance Contracts		
32. Dividends Payable		
33. Other Liabilities		
a)		
b)		
c)		
34. Total Liabilities (lines 20 to 33)	315,121	578,827
35. Capital and Surplus:		
a) Common Stock		
b) Preferred Stock		
c) Contributed Surplus	4,655,957	4,094,002
d) Unrealized Gain (Loss) on Investments		
36. Surplus (Accumulated Earnings)	1,964,535	(1,944,957)
37. Total Capital and Surplus (page 3, line 27)	6,620,492	2,149,045
38. Total (lines 34 and 37)	6,935,613	2,727,872

District of Columbia Captive Insurance Agency

STATEMENT OF INCOME		
	09/30/2020	09/20/2019
Underwriting Income:		
1. Net Premiums Written <i>(page 5, column 6)</i>	51,568	73,029
2. Net (Increase) Decrease In Unearned Premiums		
3. Net Premiums Earned	51,568	73,029
4. Other Insurance Income	4,011,825	593,124
5. Total Income <i>(lines 3 and 4)</i>	4,063,393	666,153
Underwriting Expenses:		
6. Net Losses Incurred	(263,706)	(108,290)
7. Net Loss Adjustment Expenses Incurred	-	-
8. Commissions and Brokerage		
9. General and Administrative	436,167	409,864
10. Other Underwriting Expenses		
11. Total Underwriting Expenses <i>(lines 6 to 10)</i>	172,461	301,574
12. Underwriting Profit (Loss) <i>(line 5 minus 11)</i>	3,890,932	364,579
13. Investment Income - Net	18,560	21,326
14. Other Income		
15. Other Expenses		
16. Income Before Dividends and Taxes <i>(lines 12 to 14 minus 15)</i>	3,909,492	385,905
17. Dividends to Policyholders		
18. Taxes <i>(Federal)</i>		
19. Net Income <i>(line 16 minus lines 17 and 18)</i>	3,909,492	385,905

CAPITAL AND SURPLUS ACCOUNT		
20. Capital & Surplus, end of previous year	2,149,045	2,659,635
21. Net Income	3,909,492	385,905
22. Net Unrealized Capital Gains or Losses <i>(including equity income (loss) on subsidiaries)</i>		
23. Capital Changes:		
a) Paid in		
b) Transferred from Surplus <i>(Stock Dividend)</i>		
c) Transferred to Surplus		
24. Surplus Adjustments:		
a) Paid in		
b) Transferred to Capital <i>(Stock Dividend)</i>		
c) Transferred from Capital		
25. Dividends <i>(Show as negative)</i>		
26. Other <i>(Show reductions in surplus as negatives) :</i>		
a) Removal of prior year appropriation funding	3,422,217	(2,991,450)
c) Addition of FY19 appropriation		2,094,955
c) Addition of FY20 appropriation	(2,860,262)	-
27. Capital & Surplus, end of current year <i>(lines 20 to 26; page 2, line 37)</i>	6,620,492	2,149,045

ASSETS

QUESTIONNAIRE

1.1 What is the name of the individual(s), corporation(s), association, or other entities who directly or indirectly own or control the insurer? Please list individual owners and percentage of ownership.

The Captive is a subordinate agency of the District of Columbia

1.2

CAPITAL STOCK OF CAPTIVE

Class	Number Shares Authorized	Number Shares Outstanding	Par Value
Preferred			
Common			

2. What is the name and address of approved Manager (include firm name)?

Jane Waters, Insurance Program Administer, Office of Risk Management
441 4th Street, NW, Suite 800S; Washington, DC 20001

3. What is the approved appointed actuary's name and the address of the actuarial firm?

Mark Cain, FCAS, MAAA
17035 W. Wisconsin Ave, Suite 105; Brookfield, WI 53005

4. What is the name of the approved CPA partner and the address of the independent CPA firm?

Not applicable - Captive is not required to have a separate stand-alone audit since it is already audited as part of the overall DC audit.

5. What is the name and address of resident registered agent?

Mayor of the District of Columbia, Office of the Mayor
1350 Pennsylvania, Ave, NW; Washington, DC 20004

5a. What is the name and address of the Company's D.C. attorney?

Michael Krainak, General Counsel, Office of Risk Management
441 4th Street, NW, Suite 800S; Washington, DC 20001

6. Have all transactions of the captive of which notice was received at the home office on or before the close of business on the date shown been truthfully and accurately entered on its books?

☒ YES

☐ NO

7. What is the largest "net" amount insured in any one risk:

Per occurrence? **1,000,000** Aggregate?

3,000,000

8. Has the "net" aggregate increased over last year's?

☐ YES

☒ NO

If yes, by what amount?

9. Has any change been made during the year of this statement in the charter, by-laws or articles of association?

☐ YES

☒ NO

10.1. Identify the basis of accounting utilized to prepare the statement (e.g., Generally Accepted Accounting Principles (GAAP) or Statutory Accounting Principles (SAP))?

GAAP

10.2. If a basis other than GAAP utilized, was approval granted by the Department?

☒ YES

☐ NO

10.3. Have all assets been valued in accordance with GAAP or SAP as applicable?

☒ YES

☐ NO

District of Columbia Captive Insurance Agency

QUESTIONNAIRE (continued)

- 11.1 Are the officers, directors and key employees of the reporting entity subject to a code of ethics, adopted by the captive insurer, which includes, at a minimum, the following standards?
- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the captive with the Department;
- (c) Compliance with all applicable District laws, regulations and orders of the Commissioner;
- (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code of ethics; and
- (e) Accountability for adherence to the code of ethics.

☒ YES ☐ NO

11.1(a) If the response to 11.1 is No, please explain: Not applicable

- 11.2 Has each director, officer and key employee certified in writing annually that he or she is in compliance with the captive's code of ethics and a record of such certification is maintained by the captive insurer for review by the Department upon request?

☒ YES ☐ NO

11.2(a) If the response to 11.2 is No, please explain: Not applicable

- 11.3 Has the code of ethics been amended?

☐ YES ☒ NO

11.4 If the response to 11.3 is Yes, provide information related to amendment(s): Not applicable

- 11.5 Have any provisions of the code of ethics been waived for any officers, directors and key employees?

☐ YES ☒ NO

11.6 If the response to 11.5 is Yes, provide the nature of any waivers(s)
Not applicable

12. Has the company changed its plan of operation during the year? If yes, please explain.

☐ YES ☒ NO

Not applicable

13. Have losses been discounted? ☐ YES ☒ NO
If yes, what interest rate was used Not applicable
What was the total amount of the discount Not applicable

14. Were any of the assets of the company pledged as collateral at any time during the year?

☐ YES ☒ NO

If yes, attach a description of the transactions as a supplement to this filing.

Not applicable

15. Is the company writing or assuming unrelated business? ☐ YES ☒ NO

16. If answer to 15 above is yes, what is the percentage to the total business written and assumed?
Not applicable

17. What other services does the approved independent CPA firm provide to the Captive or Parent Corporation?
Not applicable

18. Did a quorum of the Board of Directors meet at least once during calendar year 2020 in the District of Columbia, in compliance with DCMR 3709.1 and 3709.2?

☐ YES ☒ NO

QUESTIONNAIRE (continued)

19. Has the company changed its auditors or actuaries from the previous year?

☐ YES☒ NO

If yes, why?
20. Does the company have funds on deposit with the Insurance Commissioner of the District of Columbia for the protection of policyholders (Not letters of credit)?

☐ YES☒ NO
21. Does the company issue assessable policies?

☐ YES☒ NO
22. For fiscal year filers which have received permission to file using fiscal years other than the calender year enter the first fiscal year end after the election was granted.

September 30, 2009
23. List the company's top five service providers and their function(s)?

Provider	RCM&D
Function(s)	Insurance broker
Provider	IRMS
Function(s)	Actuarial services and loss certification
Provider	
Function(s)	
Provider	
Function(s)	
Provider	
Function(s)	
- 24a. Has the company entered into any retroactive reinsurance or financial insurance or financial reinsurance contracts?

☐ YES☒ NO
- 24b. If yes, describe the arrangement including amounts received, paid, imputed interest, and companies involved

Not applicable

PREMIUM SCHEDULE

(p.3, line 1)

**This column is designated for direct and assumed premiums accounted for as deposits where there is inadequate risk transfer*

District of Columbia Captive Insurance Agency

REINSURANCE ASSUMED						
*NAME AND STATE OF CEDING INSURER				(1) REINSURANCE PAYABLE ON PAID &UNPAID LOSSES&LAE		(2) PREMIUM ASSUMED
				PAID LOSSES & LAE	UNPAID LOSSES & LAE	(3) UNEARNED PREMIUMS
AFFILIATES:						
NAME	NAIC #	STATE				
NON-AFFILIATES:						
NAME	NAIC #	STATE				
TOTAL				-	-	-

(p.5,col.2)

REINSURANCE CEDED						
*NAME AND STATE OF REINSURER				(4) REINSURANCE RECEIVABLE ON PAID &UNPAID LOSSES&LAE		(5) PREMIUM CEDED
				PAID LOSSES & LAE	UNPAID LOSSES & LAE	(6) UNEARNED PREMIUMS
AFFILIATES:						
NAME	NAIC #	STATE				
NON-AFFILIATES:						
NAME	NAIC #	STATE				
TOTAL				-	-	-

(p.2, line 12+13)

(p.5, col.4-5)

*Authorized companies or unauthorized companies with the Commissioner's prior approval.

District of Columbia Captive Insurance Agency

UNPAID LOSSES & LAE						
UNPAID LOSSES						
<u>LINES OF BUSINESS</u>	(1) <u>CASE BASIS</u> <u>DIRECT &</u> <u>ASSUMED</u>	(2) <u>CASE BASIS</u> <u>REINSURANCE</u> <u>RECOVERABLE</u>	(3) <u>IBNR</u>	(4) <u>IBNR</u> <u>REINSURANCE</u> <u>RECOVERABLE</u>	(5) <u>DISCOUNT</u>	(6) <u>NET LOSSES</u> <u>UNPAID</u> <u>1-2+3-4-5</u>
1. Automobile Liability						-
2. General & Product Liability						-
3. Professional Liability			315,121			315,121
4. Other Liability						-
5. Workers' Compensation						-
6. Property Liability						-
7. All Other Lines						-
TOTALS	-	-	315,121	-	-	315,121

(col. 1 + 3 = p.2, line 20)

(p.8, col. 5)

UNPAID LAE							
<u>LINES OF BUSINESS</u>	(7) <u>CASE BASIS</u> <u>DIRECT &</u> <u>ASSUMED</u>	(8) <u>CASE BASIS</u> <u>REINSURANCE</u> <u>RECOVERABLE</u>	(9) <u>IBNR</u>	(10) <u>IBNR</u> <u>REINSURANCE</u> <u>RECOVERABLE</u>	(11) <u>DISCOUNT</u>	(12) <u>NET LAE</u> <u>UNPAID</u> <u>7-8+9-10-11</u>	(13) <u>LOSS TO LAE</u> <u>DISCOUNT %</u> <u>10 ÷ 5</u>
1. Automobile Liability						-	
2. General & Product Liability						-	
3. Professional Liability						-	
4. Other Liability						-	
5. Workers' Compensation						-	
6. Property Liability						-	
7. All Other Lines						-	
TOTALS	-	-	-	-	-	-	

(col. 7 + 8 = p.2, line 21)

(col. 2 + 4 + 8 + 9 = p.2, line 12)

(p. 8, col. 13)

District of Columbia Captive Insurance Agency

LOSS & LAE PAID AND INCURRED								
LOSSES								
LINES OF BUSINESS	LOSSES PAID LESS SALVAGE				(5)	(6)	(7)	(8)
	(1) DIRECT BUSINESS	(2) REINSURANCE ASSUMED	(3) REINSURANCE RECOVERED	(4) NET PAYMENTS 1+2-3	NET LOSSES UNPAID CURRENT YEAR	NET LOSSES UNPAID PRIOR YEAR	NET LOSSES INCURRED 4+5-6	RATIO OF LOSSES INCURRED TO PREMIUMS EARNED
1. Automobile Liability				-			-	#DIV/0!
2. General & Product Liability				-			-	#DIV/0!
3. Professional Liability				-	315,121	578,827	(263,706)	-3.610976461
4. Other Liability				-			-	#DIV/0!
5. Workers' Compensation				-			-	#DIV/0!
6. Property Liability				-			-	#DIV/0!
7. All Other Lines				-			-	#DIV/0!
TOTALS	-	-	-	-	315,121	578,827	(263,706)	-511.38%
					(p.7, col.6)	(p.3, line 6)		

NET LOSSES & LAE SUMMARY OF ALL LINES OF BUSINESS					
YEARS IN WHICH LOSSES WERE INCURRED	PAID LOSSES AND LOSS ADJUSTMENT EXPENSES AT END OF YEAR				
	2016 & PRIOR	2017	2018	2019	2020
2016 & PRIOR	621,319	621,319	621,319	621,319	621,319
2017		-	-	-	-
2018			-	-	-
2019				-	-
2020					-

YEARS IN WHICH LOSSES WERE INCURRED	OUTSTANDING LOSSES AND LOSS ADJUSTMENT EXPENSE AT END OF YEAR				
	2016 & PRIOR	2017	2018	2019	2020
2016 & PRIOR	-	-	-	-	-
2017		-	-	-	-
2018			-	-	-
2019				-	-
2020					-

YEARS IN WHICH LOSSES WERE INCURRED	IBNR LOSSES AND LOSS ADJUSTMENT EXPENSES AT END OF YEAR				
	2016 & PRIOR	2017	2018	2019	2020
2016 & PRIOR	796,181	550,076	404,509	276,451	144,297
2017		186,115	137,500	120,338	64,442
2018			145,108	123,722	26,175
2019				58,316	36,906
2020					43,301

AUTOMOBILE LIABILITY NET LOSSES & LAE					
YEAR IN WHICH LOSSES WERE INCURRED	PAID LOSSES AND LOSS ADJUSTMENT EXPENSES AT END OF YEAR				
	2016 & PRIOR	2017	2018	2019	2020
2016 & PRIOR					
2017					
2018					
2019					
2020					

YEAR IN WHICH LOSSES WERE INCURRED	OUTSTANDING LOSSES AND LOSS ADJUSTMENT EXPENSE AT END OF YEAR				
	2016 & PRIOR	2017	2018	2019	2020
2016 & PRIOR					
2017					
2018					
2019					
2020					

YEAR IN WHICH LOSSES WERE INCURRED	IBNR LOSSES AND LOSS ADJUSTMENT EXPENSES AT END OF YEAR				
	2016 & PRIOR	2017	2018	2019	2020
2016 & PRIOR					
2017					
2018					
2019					
2020					

District of Columbia Captive Insurance Agency

GENERAL & PRODUCT LIABILITY NET LOSSES AND LAE					
YEAR IN WHICH LOSSES WERE INCURRED	PAID LOSSES AND LOSS ADJUSTMENT EXPENSES AT END OF YEAR				
	2016 & PRIOR	2017	2018	2019	2020
2016 & PRIOR					
2017					
2018					
2019					
2020					

YEAR IN WHICH LOSSES WERE INCURRED	OUTSTANDING LOSSES AND LOSS ADJUSTMENT EXPENSE AT END OF YEAR				
	2016 & PRIOR	2017	2018	2019	2020
2016 & PRIOR					
2017					
2018					
2019					
2020					

YEAR IN WHICH LOSSES WERE INCURRED	IBNR LOSSES AND LOSS ADJUSTMENT EXPENSES AT END OF YEAR				
	2016 & PRIOR	2017	2018	2019	2020
2016 & PRIOR					
2017					
2018					
2019					
2020					

PROFESSIONAL LIABILITY NET LOSSES AND LAE					
YEAR IN WHICH LOSSES WERE INCURRED	PAID LOSSES AND LOSS ADJUSTMENT EXPENSES AT END OF YEAR				
	2016 & PRIOR	2017	2018	2019	2020
2016 & PRIOR	621,319	621,319	621,319	621,319	621,319
2017					
2018					
2019					
2020					

YEAR IN WHICH LOSSES WERE INCURRED	OUTSTANDING LOSSES AND LOSS ADJUSTMENT EXPENSE AT END OF YEAR				
	2016 & PRIOR	2017	2018	2019	2020
2016 & PRIOR					
2017					
2018					
2019					
2020					

YEAR IN WHICH LOSSES WERE INCURRED	IBNR LOSSES AND LOSS ADJUSTMENT EXPENSES AT END OF YEAR				
	2016 & PRIOR	2017	2018	2019	2020
2016 & PRIOR	796,181	550,076	404,509	276,451	144,297
2017		186,115	137,500	120,338	64,442
2018			145,108	123,722	26,175
2019				58,316	36,906
2020					43,301

INSERT NAME OF ADDITIONAL LINE HERE NET LOSSES AND LAE					
YEAR IN WHICH LOSSES WERE INCURRED	PAID LOSSES AND LOSS ADJUSTMENT EXPENSES AT END OF YEAR				
	2016 & PRIOR	2017	2018	2019	2020
2016 & PRIOR					
2017					
2018					
2019					
2020					

YEAR IN WHICH LOSSES WERE INCURRED	OUTSTANDING LOSSES AND LOSS ADJUSTMENT EXPENSE AT END OF YEAR				
	2016 & PRIOR	2017	2018	2019	2020
2016 & PRIOR					
2017					
2018					
2019					
2020					

YEAR IN WHICH LOSSES WERE INCURRED	IBNR LOSSES AND LOSS ADJUSTMENT EXPENSES AT END OF YEAR				
	2016 & PRIOR	2017	2018	2019	2020
2016 & PRIOR					
2017					
2018					
2019					
2020					

INSERT NAME OF ADDITIONAL LINE HERE NET LOSSES AND LAE					
YEAR IN WHICH LOSSES WERE INCURRED	PAID LOSSES AND LOSS ADJUSTMENT EXPENSES AT END OF YEAR				
	2016 & PRIOR	2017	2018	2019	2020
2016 & PRIOR					
2017					
2018					
2019					
2020					

YEAR IN WHICH LOSSES WERE INCURRED	OUTSTANDING LOSSES AND LOSS ADJUSTMENT EXPENSE AT END OF YEAR				
	2016 & PRIOR	2017	2018	2019	2020
2016 & PRIOR					
2017					
2018					
2019					
2020					

YEAR IN WHICH LOSSES WERE INCURRED	IBNR LOSSES AND LOSS ADJUSTMENT EXPENSES AT END OF YEAR				
	2016 & PRIOR	2017	2018	2019	2020
2016 & PRIOR					
2017					
2018					
2019					
2020					

INSERT NAME OF ADDITIONAL LINE HERE - NET LOSSES AND LAE					
YEAR IN WHICH LOSSES WERE INCURRED	PAID LOSSES AND LOSS ADJUSTMENT EXPENSES AT END OF YEAR				
	2016 & PRIOR	2017	2018	2019	2020
2016 & PRIOR					
2017					
2018					
2019					
2020					

YEAR IN WHICH LOSSES WERE INCURRED	OUTSTANDING LOSSES AND LOSS ADJUSTMENT EXPENSE AT END OF YEAR				
	2016 & PRIOR	2017	2018	2019	2020
2016 & PRIOR					
2017					
2018					
2019					
2020					

YEAR IN WHICH LOSSES WERE INCURRED	IBNR LOSSES AND LOSS ADJUSTMENT EXPENSES AT END OF YEAR				
	2016 & PRIOR	2017	2018	2019	2020
2016 & PRIOR					
2017					
2018					
2019					
2020					

INSERT NAME OF ADDITIONAL LINE HERE - NET LOSSES AND LAE					
YEAR IN WHICH LOSSES WERE INCURRED	PAID LOSSES AND LOSS ADJUSTMENT EXPENSES AT END OF YEAR				
	2016 & PRIOR	2017	2018	2019	2020
2016 & PRIOR					
2017					
2018					
2019					
2020					

YEAR IN WHICH LOSSES WERE INCURRED	OUTSTANDING LOSSES AND LOSS ADJUSTMENT EXPENSE AT END OF YEAR				
	2016 & PRIOR	2017	2018	2019	2020
2016 & PRIOR					
2017					
2018					
2019					
2020					

YEAR IN WHICH LOSSES WERE INCURRED	IBNR LOSSES AND LOSS ADJUSTMENT EXPENSES AT END OF YEAR				
	2016 & PRIOR	2017	2018	2019	2020
2016 & PRIOR					
2017					
2018					
2019					
2020					

INSERT NAME OF ADDITIONAL LINE HERE - NET LOSSES AND LAE					
YEAR IN WHICH LOSSES WERE INCURRED	PAID LOSSES AND LOSS ADJUSTMENT EXPENSES AT END OF YEAR				
	2016 & PRIOR	2017	2018	2019	2020
2016 & PRIOR					
2017					
2018					
2019					
2020					

YEAR IN WHICH LOSSES WERE INCURRED	OUTSTANDING LOSSES AND LOSS ADJUSTMENT EXPENSE AT END OF YEAR				
	2016 & PRIOR	2017	2018	2019	2020
2016 & PRIOR					
2017					
2018					
2019					
2020					

YEAR IN WHICH LOSSES WERE INCURRED	IBNR LOSSES AND LOSS ADJUSTMENT EXPENSES AT END OF YEAR				
	2016 & PRIOR	2017	2018	2019	2020
2016 & PRIOR					
2017					
2018					
2019					
2020					

District of Columbia Captive Insurance Agency

LOSS DEVELOPMENT SUMMARY OF ALL LINES OF BUSINESS									
YEAR IN WHICH LOSSES WERE INCURRED	NET INCURRED LOSSES AND LAE EXPENSE REPORTED AT END OF YEAR					*LOSS		(3) NET PREMIUMS EARNED	(4) %CURRENT YEAR LOSSES INCURRED TO PREMIUM EARNED
	2016 & PRIOR	2017	2018	2019	2020	(1) 1 YEAR	(2) 2 YEAR		
2016 & PRIOR	3,446,680	550,076	404,509	276,451	144,297	(132,154)	(260,212)	795,560	433.24%
2017		186,115	137,500	120,338	64,442	(55,896)	(73,058)	75,460	246.64%
2018			145,108	123,722	26,175	(97,547)	(118,933)	73,903	196.35%
2019				58,316	36,906	(21,410)		73,029	79.85%
2020					43,301			51,568	83.97%
Totals						(307,007)	(452,203)		

* For instruction reference refer to Sched. P Part-2 Summary of the NAIC Annual Statement Instructions

District of Columbia Captive Insurance Agency

AUTOMOBILE LIABILITY LOSS DEVELOPMENT									
YEAR IN WHICH LOSSES WERE INCURRED	NET INCURRED LOSSES AND LOSS EXPENSE REPORTED AT END OF YEAR					*Loss Development		(3) NET PREMIUMS EARNED	(4) %CURRENT YEAR LOSSES INCURRED TO PREMIUM EARNED
	2016 & PRIOR	2017	2018	2019	2020	(1) 1 YEAR	(2) 2 YEAR		
2016 & PRIOR						-	-		
2017						-	-		
2018						-	-		
2019						-			
2020									
Totals						-	-		

* For instruction reference refer to Sched. P Part-2 Summary of the NAIC Annual Statement Instructions

GENERAL & PRODUCT LIABILITY LOSS DEVELOPMENT									
YEAR IN WHICH LOSSES WERE INCURRED	NET INCURRED LOSSES AND LOSS EXPENSE REPORTED AT END OF YEAR					*Loss Development		(3) NET PREMIUMS EARNED	(4) %CURRENT YEAR LOSSES INCURRED TO PREMIUM EARNED
	2016 & PRIOR	2017	2018	2019	2020	(1) 1 YEAR	(2) 2 YEAR		
2016 & PRIOR						-	-		
2017						-	-		
2018						-	-		
2019						-			
2020									
Totals						-	-		

* For instruction reference refer to Sched. P Part-2 Summary of the NAIC Annual Statement Instructions

PROFESSIONAL LIABILITY LOSS DEVELOPMENT									
YEAR IN WHICH LOSSES WERE INCURRED	NET INCURRED LOSSES AND LOSS EXPENSE REPORTED AT END OF YEAR					*Loss Development		(3) NET PREMIUMS EARNED	(4) %CURRENT YEAR LOSSES INCURRED TO PREMIUM EARNED
	2016 & PRIOR	2017	2018	2019	2020	(1) 1 YEAR	(2) 2 YEAR		
2016 & PRIOR	3,446,680	550,076	404,509	276,451	144,297	(132,154)	(260,212)	795,560	433.24%
2017		186,115	137,500	120,338	64,442	(55,896)	(73,058)	75,460	246.64%
2018			145,108	123,722	26,175	(97,547)	(118,933)	73,903	196.35%
2019				58,316	36,906	(21,410)		73,029	79.85%
2020					43,301			51,568	83.97%
Totals						(307,007)	(452,203)		

* For instruction reference refer to Sched. P Part-2 Summary of the NAIC Annual Statement Instructions

District of Columbia Captive Insurance Agency

INSERT NAME OF ADDITIONAL LINE HERE LOSS DEVELOPMENT									
YEAR IN WHICH LOSSES WERE INCURRED	NET INCURRED LOSSES AND LOSS EXPENSE REPORTED AT END OF YEAR					*Loss Development		(3) NET PREMIUMS EARNED	(4) %CURRENT YEAR LOSSES INCURRED TO PREMIUM EARNED
	2016 & PRIOR	2017	2018	2019	2020	(1) 1 YEAR	(2) 2 YEAR		
2016 & PRIOR						-	-		
2017						-	-		
2018						-	-		
2019						-			
2020									
Totals						-	-		

* For instruction reference refer to Sched. P Part-2 Summary of the NAIC Annual Statement Instructions

INSERT NAME OF ADDITIONAL LINE HERE LOSS DEVELOPMENT									
YEAR IN WHICH LOSSES WERE INCURRED	NET INCURRED LOSSES AND LOSS EXPENSE REPORTED AT END OF YEAR					*Loss Development		(3) NET PREMIUMS EARNED	(4) %CURRENT YEAR LOSSES INCURRED TO PREMIUM EARNED
	2016 & PRIOR	2017	2018	2019	2020	(1) 1 YEAR	(2) 2 YEAR		
2016 & PRIOR						-	-		
2017						-	-		
2018						-	-		
2019						-			
2020									
Totals						-	-		

* For instruction reference refer to Sched. P Part-2 Summary of the NAIC Annual Statement Instructions

INSERT NAME OF ADDITIONAL LINE HERE LOSS DEVELOPMENT									
YEAR IN WHICH LOSSES WERE INCURRED	NET INCURRED LOSSES AND LOSS EXPENSE REPORTED AT END OF YEAR					*Loss Development		(3) NET PREMIUMS EARNED	(4) %CURRENT YEAR LOSSES INCURRED TO PREMIUM EARNED
	2016 & PRIOR	2017	2018	2019	2020	(1) 1 YEAR	(2) 2 YEAR		
2016 & PRIOR						-	-		
2017						-	-		
2018						-	-		
2019						-			
2020									
Totals						-	-		

* For instruction reference refer to Sched. P Part-2 Summary of the NAIC Annual Statement Instructions

INSERT NAME OF ADDITIONAL LINE HERE LOSS DEVELOPMENT									
YEAR IN WHICH LOSSES WERE INCURRED	NET INCURRED LOSSES AND LOSS EXPENSE REPORTED AT END OF YEAR					*Loss Development		(3) NET PREMIUMS EARNED	(4) %CURRENT YEAR LOSSES INCURRED TO PREMIUM EARNED
	2016 & PRIOR	2017	2018	2019	2020	(1) 1 YEAR	(2) 2 YEAR		
2016 & PRIOR						-	-		
2017						-	-		
2018						-	-		
2019						-			
2020									
Totals						-	-		

* For instruction reference refer to Sched. P Part-2 Summary of the NAIC Annual Statement Instructions

INSERT NAME OF ADDITIONAL LINE HERE LOSS DEVELOPMENT									
YEAR IN WHICH LOSSES WERE INCURRED	NET INCURRED LOSSES AND LOSS EXPENSE REPORTED AT END OF YEAR					*Loss Development		(3) NET PREMIUMS EARNED	(4) %CURRENT YEAR LOSSES INCURRED TO PREMIUM EARNED
	2016 & PRIOR	2017	2018	2019	2020	(1) 1 YEAR	(2) 2 YEAR		
2016 & PRIOR						-	-		
2017						-	-		
2018						-	-		
2019						-			
2020									
Totals						-	-		

* For instruction reference refer to Sched. P Part-2 Summary of the NAIC Annual Statement Instructions

* *Include cash bank accounts. All investments included in lines 1-5 of the Company's balance sheet shall be individually listed in this schedule. The total of this schedule shall correspond to line 6 of the Company's balance sheet.*

District of Columbia Captive Insurance Agency

Cross Check	
1 (p.2, line 19 Assets) - (p.2, line 38, Liab. Capital & Surplus)	-
2 (p.2, line 19 Assets Prior Year) - (p.2, line 38, Liab. Capital & Surplus Prior Year)	-
3 (p.2, line 20 Losses) = (p.7,C1+C3 Direct Loss and IBNR)	-
4 (p.2, line 21 LAE) = (p.7,C6+C8 Direct LAE & IBNR)	-
5 (p.2, line 12 Reins. Recoverable) = (p.7,C2+C4+C7+C10 Reins Recoverable)	-
6 (p.2, line 12 Reins. Recov unpaid) = (p.6 Recov unpaid)	-
7 (p.2, line 13 Reins Recov pd) = (p.6 Recov Pd)	-
8 (p.2, line 15 Prepaid reins prem) = (p.6 Prepaid Reinsurance)	-
9 (p.2, line 37 Capital & Surplus) = (p.3, line 27 Capital & Surplus current)	-
10 (p.2, line 37 Capital & Surplus Prior Year) = (p.3, line 27 Capital & Surplus Prior Year)	-
11 (p.2, line 37 Capital & Surplus Prior Year) = (p.3, line 20 Capital & Surplus Prior Year)	-
12 (p.2, line 26 U/P) = (p.2, line 26, C2 - p.3, line 2, C1 + p.2, line 15, C1 - p.2, line 15, C2)	-
13 (p.3, line 1 Net Premiums Written) = (p.5, C6 Net Premiums Written)	-
14 (p.3, line 6 Net losses incurred) = (p.8, C7 Net Losses Incurred)	-
15 (p.3, line 7 Net LAE incurred) = (p.8, C15 Net LAE incurred)	-
16 (p.3, line 19 Net Income) = (p.3, line 21 Net Income current)	-
17 (p.3, line 19, C2 Net Income prior year) = (p.3, line 21, C2 net income prior year)	-
18 (p.3, line 20, C1 C&S prior year) = (p.3, line 27, C2 C&S prior year)	-
19 (p.6 Reinsurance Recoverable unpaid loss & lae) = (p.7, C2+C4+C8+C10)	-
20 (p.6, C5 Premium Ceded) = (p.5, C4 Premium ceded)	-
21 (p.5, C2 Reinsurance Assumed) = (p.6, C2 Premium Assumed)	-
22 (p.7, line1, C1-C2+C7-C8 Auto liability) = (p.9b Outstanding loss current yr)	-
23 (p.7, line1, C3-C4+C9-C10 Auto liability) = (p.9b IBNR loss and lae current yr)	-
24 (p.7, line1, C6 Auto liability) = (p.8, line1, C5 Net losses unpaid)	-
25 (p.7, line1, C12 Auto liability) = (p.8, line1, C13 Net LAE unpaid)	-
26 (p.7, line 2, C1-C2+C7-C8 General & Product Liab) = (p.9c Outstanding loss & lae current yr)	-
27 (p.7, line2, C3-C4+C9-C10 Gen & Product Liab) = (p.9c IBNR loss & lae current yr)	-
28 (p.7, line2, C6 Gen & Product Liab) = (p.8, line2, C5 Net losses unpaid)	-
29 (p.7, line2, C12 Gen & Product Liab) = (p.8, line2, C13 Net lae unpaid)	-
30 (p.7, line3, C1-C2+C7-C8 Professional liab) = (p.9d Outstanding loss & lae current yr)	-
31 (p.7, line3, C3-C4+C9-C10 Professional liab) = (p.9d IBNR loss & lae current yr)	-
32 (p.7, line3, C6 Professional liab) = (p.8, line3, C5 Net losses unpaid)	-
33 (p.7, line3, C12 Professional liab) = (p.8, line3, C13 Net lae unpaid)	-
34 (p.7, line4, C6 Other Liability) = (p.8, line4, C5 Net losses unpaid)	-
35 (p.7, line4, C12 Other Liability) = (p.8, line4, C13 Net lae unpaid)	-
36 (p.7, line5, C6 Workers' Comp) = (p.8, line5, C5 Net losses unpaid)	-
37 (p.7, line5, C12 Workers' Comp) = (p.8, line5, C13 Net lae unpaid)	-
38 (p.7, line6, C6 Property Liability) = (p.8, line6, C5 Net losses unpaid)	-
39 (p.7, line6, C12 Property Liability) = (p.8, line6, C13 Net lae unpaid)	-
40 (p.7, line7, C6 All Other Lines) = (p.8, line7, C5 Net losses unpaid)	-
41 (p.7, line7, C12 All Other Lines) = (p.8, line7, C13 Net lae unpaid)	-
42 (p.7, line4,5,6,7, C1-C2+C7-C8 Addtl Lines)=(p.9e,9f,9g,9h,9i Outstnding loss&lae current yr)	-
43 (p.7, line4,5,6,7, C3-C4+C9-C10 Addtl Lines)=(p.9e,9f,9g,9h,9i IBNR loss & lae current yr)	-
44 (p.10a, C3 Net Premium Earned) = (p.3, line3, C1 Premiums Earned current)	-
45 (p.10a, C3 Net Premium Earned) = (p.3, line3, C2 Premiums Earned prior year)	-

D.C. Captive Insurance Agency

Financial Statements

September 30, 2020

Unaudited Report

Prepared by:

Rachel Listwan

Rachel Listwan

Reviewed by:

Brandon Gretz

Brandon Gretz

D.C. Captive Insurance Agency

Property Insurance

DC Code authorizing the establishment of the captive (Title 1, Chapter 3, Subchapter IV, Part D-i) was amended in October, 2013.

Captive's name has changed from District of Columbia Medical Liability Captive Insurance Agency to District of Columbia Captive Insurance Agency.

In addition to issuing policies of medical malpractice, Captive can obtain and issue policies of property insurance, in accordance with the requirement of the plan of operation under § 1-307.87.

Captive continues to issue the medical malpractice policies for the select clinics operating in DC. [Captive does not issue a property policy but operates as an agency to obtain the property insurance.](#)

The funding Captive receives each year contains the premium for the property coverage and Captive is to pay for the premium from the fund.

	2019	2020
Total Local Funding (0100) Rcvd [A]	2,094,954.41	3,422,217.00
Premium/Brokerage Fee/Taxes Paid [B]	(2,991,450.35)	(2,860,262.00)
[A] - [B]	(896,495.94)	561,955.00

Although the beginning FY 15 & FY 16 appropriations have been set below the property insurance obligated costs, the fund is always sufficiently funded once the prior years unexpended budget balances are rolled over to the subsequent fiscal year budget. (per email dated 12/2/2016 from Delmar Parks)

Property Insurance policy was issued by Lexington Insurance Company, along with the Terrorism cover and NCBR Terrorism cover.

			Term	Premium	Brokerage Svc Fee	Taxes	Engineering Fees	TOTAL		
Property	Limit		12/1/2019 - 12/1/2020	1,935,646.00	225,000.00	-		2,160,646.00		
NCBR Terrorism	\$ 500,000,000.00	per occurrence, in excess of \$0	12/1/2019 - 12/1/2020	99,500.00	28,350.00	-		127,850.00		
Terrorism	\$ 10,000,000.00	per occurrence, in excess of \$250,000	12/1/2019 - 12/1/2020	177,956.00	53,550.00	-		231,506.00		
Fine Arts	\$ 500,000,000.00	per occurrence, in excess of \$500,000	12/1/2019 - 12/1/2020	30,931.20	3,628.80			34,560.00		
Builders Risk			12/1/2019 - 12/1/2020	-		-		-		
Cyber Liability			12/1/2019 - 12/1/2020	255,616.00	50,084.00	-		305,700.00		
				\$ 2,499,649.20	\$360,612.80	\$ -	\$ -	\$ 2,860,262.00	\$	-

	<u>Dr.</u>	<u>Cr.</u>
Contributed Surplus	2,860,262.00	
Property Insurance Payable		2,860,262.00
Property Insurance Payable	2,860,262.00	
Contributed Surplus		2,860,262.00

D.C. Captive Insurance Agency

Financial Statements

September 30, 2020

Balance Sheet

Statement of Operations

Statement of Cash Flow

Schedule of General & Administrative Expenses

Schedule A

Schedule of Premiums Receivable

Schedule B

Schedule of Premiums

Schedule C

Schedule of Loss Reserves

Schedule D

Accrued Expenses

Schedule E

DC Medical Liability Captive Insurance Company
Fund Balance Summary
September 30, 2020

O-Type Fund	5,404,756.83	5,338,254.85	66,501.98
Local Fund 0100	<u>1,530,859.37</u>	3,126,049.74	1,595,190.37
Total Fund Balance from Operations	6,935,616.20		

O-Type Fund Sept 2019	1,322,803.15
Change during 2019 - 2020	<u>4,081,953.68</u>
Sept 2020 Ending Balance	5,404,756.83

****O-Type fund balance varies by \$66,502 has been carried forward from 2011.

****Local Fund 0100 (\$1,611,817.47) variance due to 2017 Financial Adjustment
\$1,512.09 variance due to 2018 ending balance to 2019 beinning balance variance
\$15,115.01 variance due to 2019 ending balance to 2020 beinning balance variance

D.C. Captive Insurance Agency

Statements of Cash Flows

For the periods ended September 30, 2020 and September 30, 2019

	Current Year Ended September 30, 2020	Prior Year Ended September 30, 2019
Operating Activities:		
Net income/(loss)	\$ 3,909,491.65	\$ 385,905.26
(Increase) decrease in assets:		
Premiums receivable	-	-
Fund receivable	-	-
Increase (decrease) in liabilities:		
Accrued expenses	-	-
Outstanding loss reserves	(263,706.00)	(108,289.00)
Net cash provided by/(used in) operating activities:	3,645,785.65	277,616.26
Investing activities:		
Net cash from investing activities:	-	-
Financing activities:		
(Decrease) increase in contributed surplus	561,955.00	(896,495.94)
Net cash (used in)/provided by financing activities:	561,955.00	(896,495.94)
NET DECREASE IN FUND BALANCE	\$ 4,207,740.65	\$ (618,879.68)
Fund Balance at beginning of year	\$ 2,727,872.46	\$ 3,346,752.14
Fund Balance at end of period	\$ 6,935,613.11	\$ 2,727,872.46

D.C. Captive Insurance Agency

Balance Sheet

For the periods ended September 30, 2020 and September 30, 2019

<u>Assets</u>	<u>Schedule</u>	<u>Current Year Ended September 30, 2020</u>	<u>Prior Year Ended September 30, 2019</u>
Fund Balance		\$ -	\$ -
O-Type Fund		5,404,753.93	1,322,800.15
Local Fund		1,530,859.37	1,405,072.50
Premiums Receivable	B	-	-
Total Assets		<u>\$ 6,935,613.30</u>	<u>\$ 2,727,872.65</u>
<u>Liabilities</u>			
Reserve for Outstanding Losses	D	315,121.49	578,827.49
Total Liabilities		<u>315,121.49</u>	<u>578,827.49</u>
<u>Stockholder's Equity</u>			
Contributed Surplus		\$ 4,655,957.50	\$ 4,094,002.50
Retained Earnings		(1,944,957.34)	(2,330,862.79)
Net Income/(Loss)		3,909,491.65	385,905.26
Total Stockholder's Equity		<u>6,620,491.81</u>	<u>2,149,044.97</u>
Total Liabilities and Stockholder's Equity		<u>\$ 6,935,613.30</u>	<u>\$ 2,727,872.46</u>

D.C. Captive Insurance Agency

Income Statement

For the periods ended September 30, 2020 and September 30, 2019

<u>Revenues:</u>	<u>Schedule</u>	<u>Current Year Ended September 30, 2020</u>	<u>Prior Year Ended September 30, 2019</u>
Direct Written Premium	C	\$ 51,568.00	\$ 73,028.85
Reinsurance Premium Ceded		-	-
Net Premiums Written		51,568.00	73,028.85
Net Earned Premium		\$ 51,568.00	\$ 73,028.85
<u>Underwriting Expenses:</u>			
Subrogation funding	D	(4,011,825.30)	(593,124.79)
Change in loss reserves	D	(263,706.00)	(108,289.00)
Total Underwriting Income		(4,275,531.30)	(701,413.79)
Net Underwriting Profit		\$ 4,327,099.30	\$ 774,442.64
General & Administrative Expenses	A	436,168.13	409,863.19
Operating Profit/(Loss)		\$ 3,890,931.17	\$ 364,579.45
Interest Income		18,560.48	21,325.81
Net Investment Income		18,560.48	21,325.81
Income/(Loss) Before Tax		3,909,491.65	385,905.26
Federal Income Tax Provision		-	-
Net Income/(Loss)		\$ 3,909,491.65	\$ 385,905.26

D.C. Captive Insurance Agency**Schedule of General & Administrative Expenses****Schedule A****For the periods ended September 30, 2020 and September 30, 2019**

	Current Year Ended	Prior Year Ended
	<u>September 30, 2020</u>	<u>September 30, 2019</u>
Management, consulting, risk management & actuarial fees	\$ 24,914.40	\$ 23,600.00
Legal Fees	-	-
Consulting fees	-	-
Dues & subscriptions	-	-
Education & training	-	-
Travel expenses	-	452.62
Advertisement Expenses	599.00	-
Office Supplies	1,845.00	-
Photocopy	-	-
Misc. Expenses	-	-
Salaries (DC Office of Risk Management)	408,809.73	385,810.57
	<hr/>	<hr/>
Total	<u>\$ 436,168.13</u>	<u>\$ 409,863.19</u>

September 30, 2020

Information comes from ORM revenue report which ties to the DC Office of Risk Management premium letters for each entity. Since these are issued at the beginning of the fiscal year they should be available now. The letters would be dated Sep 2016 and cover Oct 2016-Sep2017 policy period

<u>Subrogation Receivable</u>						
Property Damage	10/1/2019	9/30/2020	-	4,011,825.30	(4,011,825.30)	-
			\$	-	\$	4,011,825.30
			\$	(4,011,825.30)	\$	-

D.C. Captive Insurance Agency

Premiums

Schedule C

September 30, 2020

<u>PREMIUMS</u>									
<u>Policy</u>	<u>Policy Period</u>	<u>2019/2020 Adjustments</u>	<u>2019/2020 Premium</u>	<u>09/30/19 Unearned Premiums</u>	<u>CY 2020 Premiums Written</u>	<u>Change in Unearned Premium</u>	<u>Earned Premium</u>	<u>Unearned Premium</u>	
<u>Direct:</u>									
Hospital Professional Liability	10/1/2019 9/30/2020	-	51,568.00	-	51,568.00	0.00	51,568.00	-	
		\$ -	\$ 51,568.00	\$ -	\$ 51,568.00	\$ -	\$ 51,568.00	\$ -	

D.C. Captive Insurance Agency

Outstanding Loss Reserves

Schedule D

September 30, 2020

Exhibit 4.3 [5]				Cumulative		Cumulative				
Line of Business	Policy Period	Ultimates @ 09/30/19	Ultimates 9/30/20	2020 Chg Ultimates	PAIDS @ 09/30/19	2020 PAIDS	PAIDS 9/30/20	IBNR @ 09/30/19	IBNR 9/30/20	2020 Change in IBNR
HPL - (1M po, 3M facility agg, 10M total agg)	06/30/2008 - 06/30/2009	-	-	-	-	-	-	-	-	-
HPL - (1M po, 3M facility agg, 10M total agg)	06/30/2009 - 06/30/2010	578,887.00	578,887.00	-	578,886.96	-	578,886.96	0.04	0.04	-
HPL - (1M po, 3M facility agg, 10M total agg)	06/30/2010 - 09/30/2011	2,432.00	2,432.00	-	2,431.55	-	2,431.55	0.45	0.45	-
HPL - (1M po, 3M facility agg, 10M total agg)	09/30/2011 - 09/30/2012	31,642.00	12,952.00	(18,690.00)	-	-	-	31,642.00	12,952.00	(18,690.00)
HPL - (1M po, 3M facility agg, 10M total agg)	09/30/2012 - 09/30/2013	72,392.00	60,450.00	(11,942.00)	40,000.00	-	40,000.00	32,392.00	20,450.00	(11,942.00)
HPL - (1M po, 3M facility agg, 10M total agg)	09/30/2013 - 09/30/2014	47,230.00	35,622.00	(11,608.00)	-	-	-	47,230.00	35,622.00	(11,608.00)
HPL - (1M po, 3M facility agg, 10M total agg)	09/30/2014 - 09/30/2015	79,649.00	31,396.00	(48,253.00)	-	-	-	79,649.00	31,396.00	(48,253.00)
HPL - (1M po, 3M facility agg, 10M total agg)	09/30/2015 - 09/30/2016	85,538.00	43,877.00	(41,661.00)	-	-	-	85,538.00	43,877.00	(41,661.00)
HPL - (1M po, 3M facility agg, 10M total agg)	09/30/2016 - 09/30/2017	120,338.00	64,442.00	(55,896.00)	-	-	-	120,338.00	64,442.00	(55,896.00)
HPL - (1M po, 3M facility agg, 10M total agg)	09/30/2017 - 09/30/2018	123,722.00	26,175.00	(97,547.00)	-	-	-	123,722.00	26,175.00	(97,547.00)
HPL - (1M po, 3M facility agg, 10M total agg)	09/30/2018 - 09/30/2019	58,316.00	36,906.00	(21,410.00)	-	-	-	58,316.00	36,906.00	(21,410.00)
HPL - (1M po, 3M facility agg, 10M total agg)	09/30/2019 - 09/30/2020	-	43,301.00	43,301.00	-	-	-	-	43,301.00	43,301.00
Medical Liability Tail cover - prior acts	6/30/2008	-	-	-	-	-	-	-	-	-
TOTALS		1,200,146.00	936,440.00	(263,706.00)	621,318.51	-	621,318.51	578,827.49	315,121.49	(263,706.00)

D.C. Captive Insurance Agency

Accrued Expenses

Schedule E

September 30, 2020

Accruals

	<u>Balance</u> <u>09/30/19</u>	<u>Incr / (decr)</u>	<u>Payments /</u> <u>Transfers</u>	<u>Balance</u> <u>09/30/20</u>
Aon Risk Services 10/1/17 - 9/30/18	-	-	-	-
Captive mgmt, accounting & consulting	-	24,914.40	24,914.40	-
Legal fees	-	-	-	-
Claims Handling (TPA)	-	-	-	-
Risk assessment consulting	-	-	-	-
Claims management consulting	-	-	-	-
Salary & Benefit		408,809.73	408,809.73	-
Accrued Expenses	0.00	433,724.13	433,724.13	0.00

DC Purchase Card

	<u>Balance</u> <u>09/30/19</u>	<u>Incr/ (decr)</u>	<u>Payments /</u> <u>Transfers</u>	<u>Balance</u> <u>09/30/20</u>
Photocopy and Microfilm	-	-	-	-
Advertisement	-	599.00	599.00	-
Education & Training	-	-	-	-
Travel	-	-	-	-
Stationery, Office Supply	-	1,845.00	1,845.00	-
Dues & Subscription	-	-	-	-
Misc. Expenses	-	-	-	-
Total Purch Card payable	0.00	2,444.00	2,444.00	-

Total Accrued Expenses

-

-

Losses Payable

	<u>Balance</u> <u>09/30/19</u>	<u>Incr/ (decr)</u>	<u>Payments /</u> <u>Transfers</u>	<u>Balance</u> <u>09/30/20</u>
Subrogations (0600)	-	-	-	-
Subrogations (0100)	-	4,011,825.30	4,011,825.30	-
Paid Loss Adj. Expenses	-	-	-	-
	-			
Total Purch Card payable	0.00	4,011,825.30	4,011,825.30	-

Total Losses Payable

-

-

D.C. Captive Insurance Agency**Underwriting Analysis - Current Year****September 30, 2020**

	Hospital Prof Liability 6/30/08 - 6/30/09	Hospital Prof Liability 6/30/09 - 6/30/10	Hospital Prof Liability 6/30/10 - 9/30/11	Hospital Prof Liability 9/30/11 - 9/30/12	Hospital Prof Liability 10/1/12 - 9/30/13	Hospital Prof Liability 10/1/13 - 9/30/14	Hospital Prof Liability 10/1/14 - 9/30/15	Hospital Prof Liability 10/1/15 - 9/30/16	Hospital Prof Liability 10/1/16 - 9/30/17	Hospital Prof Liability 10/1/17 - 9/30/18	Hospital Prof Liability 10/1/18 - 9/30/19	Hospital Prof Liability 10/1/19 - 9/30/20	HPL Tail Coverage 6/30/2008	TOTAL
<u>UNDERWRITING INCOME</u>														
Direct Premium Earned											-	51,568.00	M -	51,568.00
Subrogation Received											0.00	4,011,825.30	P -	4,011,825.30
Reinsurance Premium Expensed	-	-	-	-	-	-	-	-	-	-	-	-	-	-
TOTAL UNDERWRITING INCOME	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 4,063,393.30	\$ -	\$ 4,063,393.30
<u>UNDERWRITING EXPENSES</u>														
Loss & Loss Expenses Paid	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Change in case reserves	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Change in IBNR	-	-	-	(18,690.00)	(11,942.00)	(11,608.00)	(48,253.00)	(41,661.00)	(55,896.00)	(97,547.00)	(21,410.00)	43,301.00	-	(263,706.00)
TOTAL EXPENSES	\$ -	\$ -	\$ -	\$ (18,690.00)	\$ (11,942.00)	\$ (11,608.00)	\$ (48,253.00)	\$ (41,661.00)	\$ (55,896.00)	\$ (97,547.00)	\$ (21,410.00)	\$ 43,301.00	\$ -	\$ (263,706.00)
UNDERWRITING PROFIT (LOSS)	\$ -	\$ -	\$ -	\$ 18,690.00	\$ 11,942.00	\$ 11,608.00	\$ 48,253.00	\$ 41,661.00	\$ 55,896.00	\$ 97,547.00	\$ 21,410.00	\$ 4,020,092.30	\$ -	\$ 4,327,099.30

DC Medical Liability Captive Insurance Company**Underwriting Analysis - Inception to Date****September 30, 2019**

	Hospital Prof Liability 6/30/08 - 6/30/09	Hospital Prof Liability 6/30/09 - 6/30/10	Hospital Prof Liability 6/30/10 - 9/30/11	Hospital Prof Liability 9/30/11 - 9/30/12	Hospital Prof Liability 10/1/12 - 9/30/13	Hospital Prof Liability 10/1/13 - 9/30/14	Hospital Prof Liability 10/1/14 - 9/30/15	Hospital Prof Liability 10/1/15 - 9/30/16	Hospital Prof Liability 10/1/16 - 9/30/17	Hospital Prof Liability 10/1/17 - 9/30/18	Hospital Prof Liability 10/1/18 - 9/30/19	Hospital Prof Liability 10/1/19 - 9/30/20	HPL Tail Coverage 6/30/2008	TOTAL
<u>UNDERWRITING INCOME</u>														
Direct Premium Earned	294,192.27	132,028.00	194,869.29	66,092.48	55,418.00	62,837.00	73,485.80	67,100.15	75,460.00	73,903.00	73,028.85	51,568.00	M -	1,219,982.84
Reinsurance Premium Expensed	-	-	-	-	-	-	-	-	-	-	-	-	-	0.00
TOTAL UNDERWRITING INCOME	\$ 294,192.27	\$ 132,028.00	\$ 194,869.29	\$ 66,092.48	\$ 55,418.00	\$ 62,837.00	\$ 73,485.80	\$ 67,100.15	\$ 75,460.00	\$ 73,903.00	\$ 73,028.85	\$ 51,568.00	\$ -	\$ 1,219,982.84
<u>UNDERWRITING EXPENSES</u>														
Loss & Loss Expenses Paid	-	578,886.96	2,431.55	-	40,000.00	-	-	-	-	-	-	-	-	621,318.51
Change in case reserves	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Change in IBNR	-	(578,886.92)	(2,431.10)	12,952.00	(19,550.00)	35,622.00	31,396.00	43,877.00	64,442.00	26,175.00	36,906.00	43,301.00	-	(306,197.02)
TOTAL EXPENSES	\$ -	\$ 0.04	\$ 0.45	\$ 12,952.00	\$ 20,450.00	\$ 35,622.00	\$ 31,396.00	\$ 43,877.00	\$ 64,442.00	\$ 26,175.00	\$ 36,906.00	\$ 43,301.00	\$ -	\$ 315,121.49
UNDERWRITING PROFIT (LOSS)	\$ 294,192.27	\$ 132,027.96	\$ 194,868.84	\$ 53,140.48	\$ 34,968.00	\$ 27,215.00	\$ 42,089.80	\$ 23,223.15	\$ 11,018.00	\$ 47,728.00	\$ 36,122.85	\$ 8,267.00	\$ -	\$ 904,861.35

D.C. Captive Insurance Agency

Accrued Interest / Interest Income

September 30, 2020

<u>Transactions</u>	<u>Beg Stmt Date</u>	<u>End Stmt Date</u>	<u>Interest earned</u>		<u>Interest Received</u>	<u>Accrued Interest</u>
			<u>O-Type Fund #0600</u>	<u>Local Fund #0100</u>		
Balance brought forward from 09/30/19						-
Fund balance interest	10/01/19	10/31/19	2,049.84		(2,049.84)	-
Fund balance interest	11/01/19	11/30/19	1,941.26		(1,941.26)	-
Fund balance interest	12/01/19	12/31/19	1,986.30		(1,986.30)	-
Fund balance interest	01/01/20	01/31/20	2,043.73		(2,043.73)	-
Fund balance interest	02/01/20	02/28/20	2,060.62		(2,060.62)	-
Fund balance interest	03/01/20	03/31/20	1,324.77		(1,324.77)	-
Fund balance interest	04/01/20	04/30/20	433.59		(433.59)	-
Fund balance interest	05/01/20	05/31/20	778.97		(778.97)	-
Fund balance interest	06/01/20	06/30/20	599.89		(599.89)	-
Fund balance interest	07/01/20	07/31/20	473.18		(473.18)	-
Fund balance interest	08/01/20	08/31/20	4,658.54		(4,658.54)	-
Fund balance interest	09/01/20	09/30/20	209.79		(209.79)	-
			18,560.48	-	(18,560.48)	-

D.C. Captive Insurance Agency

Capital

September 30, 2020

From Fund Balance Register

CONTRIBUTED SURPLUS - Start up contribution		8,600,000.00
Eliminated Startup Contribution	2010	(8,411,004.16)
Netted against 2008 & 2009 G&A expenses		
Budget Support Act - Special Bed Tax	2010	1,909,500.00
Local Funds by Council	2010	2,100,000.00
Eliminated Startup Contribution	2011	(1,909,500.00)
Local Funds by Council	2011	(1,865,860.99)
Local Funds by Council	2011	2,500,000.00
Eliminated 2011 Contribution	2012	(2,325,107.27)
Local Funds by Council	2012	3,034,114.31
Eliminated 2012 Contribution	2013	(2,835,971.37)
Local Funds by Council	2013	5,287,213.85
Eliminated 2013 Contribution	2014	(4,789,365.35)
Local Funds by Council	2014	6,542,436.52
Eliminated 2014 Contribution	2015	(5,613,917.41)
Local Funds by Council	2015	7,221,960.33
Property Premium	2015	(2,116,275.00)
Funds Adjustment	2015	(705,926.91)
Local Funds by Council - 0100	2016	1,421,533.00
Property Premium	2016	(1,965,078.62)
Local Funds by Council - 0100	2017	2,071,533.00
Property Premium	2017	(1,757,997.00)
Eliminated 2017 Contribution	2017	(1,611,817.45)
Local Funds by Council - 0100	2018	2,122,921.00
Property Premium	2018	(1,912,892.04)
Eliminated 2018 Contribution	2018	0.00
Local Funds by Council - 0100	2019	2,094,954.41
Property Premium	2019	(2,991,450.35)
Eliminated 2018 Contribution	2019	0.00
Local Funds by Council - 0100	2020	3,422,217.00
Property Premium	2020	(2,860,262.00)
Eliminated 2018 Contribution	2020	0.00
Total Contributed Surplus		4,655,957.50

From Income Statement

NET LOSS	2008	(273,227.11)
NET LOSS	2009	(86,415.38)
NET LOSS	2010	(300,301.55)
NET LOSS	2011	(26,229.24)
NET LOSS	2012	(201,329.81)
NET LOSS	2013	(428,515.73)
NET LOSS	2014	(840,723.68)
NET LOSS	2015	(1,494.09)
NET INCOME	2016	48,008.06
NET INCOME	2017	53,714.00
NET LOSS	2018	(274,348.42)
NET INCOME	2019	385,905.26
NET INCOME	2020	3,909,491.65

Total Retained Earnings 1,964,533.96

Total Capital (Stockholders Equity) 6,620,491.46

D.C. Captive Insurance Agency

Deferred Acquisition Costs

September 30, 2020

<u>Description</u>	<u>Policy Period</u>	<u>09/30/18 Deferred Prem Taxes</u>	<u>Premiums Written</u>		<u>Premium Tax Payable</u>	<u>Expensed</u>	<u>Deferred Prem Tax</u>
<i>Direct:</i>							
Hospital Professional Liability	10/1/2019 9/30/2020	0.00	51,568.00	0.25%	0.00	0.00	0.00
<hr/>							
		0.00	51,568.00		0.00	0.00	0.00

Premium tax waived

D.C. Captive Insurance Agency

Internal Statements

2020

GL #		PY		PY			
		September 30, 2019		Yearly Activity		September 30, 2020	
		DR	CR	DR	CR	DR	CR
1000	Fund Balance	-	-	-	-	-	-
1001	Local Fund 0100	1,405,072.50	-	3,422,217.00	3,296,430.13	1,530,859.37	-
1002	O-Type Fund 0600	1,322,800.15	-	4,081,953.78	-	5,404,753.93	-
1200	Accrued Interest	-	-	-	-	-	-
1300	Premiums Receivable	-	-	51,568.00	51,568.00	-	-
	Fund Receivable	-	-	-	-	-	-
		-	-	-	-	-	-
2000	Accounts Payable	-	-	436,168.13	436,168.13	-	-
	Property Insurance Payable	-	-	-	-	-	-
2100	Property Insurance Payable	-	-	2,860,262.00	2,860,262.00	-	-
2300	Losses Payable	-	-	-	-	-	-
2500	Reserve for Unearned Premium	-	-	51,568.00	51,568.00	-	-
2600	Reserve for Outstanding Loss	-	578,827.49	263,706.00	-	-	315,121.49
3000	Common Stock	-	-	-	-	-	-
3200	Contributed Surplus	-	4,094,002.50	2,860,262.00	3,422,217.00	-	4,655,957.50
3500	Retained Earnings	2,330,862.60	-	-	-	1,944,957.34	-
4000	Gross Written Premiums	-	-	-	51,568.00	-	51,568.00
4005	Change in UEP- Gross- SIP	-	-	51,568.00	51,568.00	-	-
4300	Losses Paid	-	-	-	4,011,825.30	-	4,011,825.30
4500	LAE Paid	-	-	-	-	-	-
4700	Change in Loss Reserves	-	-	-	263,706.00	-	263,706.00
5000	Premium Taxes	-	-	-	-	-	-
		-	-	-	-	-	-
6000	Interest Income	-	-	-	18,560.48	-	18,560.48
7000	Captive Management Fees	-	-	24,914.40	-	24,914.40	-
7010	Education & Training	-	-	-	-	-	-
7100	Legal Fees	-	-	-	-	-	-
7200	Travel Expenses	-	-	-	-	-	-
7300	Consulting Fees	-	-	-	-	-	-
7830	Dues & Subscriptions	-	-	-	-	-	-
8400	Advertisement Expense	-	-	599.00	-	599.00	-
8402	Office Supplies	-	-	1,845.00	-	1,845.00	-
8403	Photocopy	-	-	-	-	-	-
8500	Misc. Expense	-	-	-	-	-	-
8501	Salaries	-	-	408,809.73	-	408,809.73	-
8600	Uncollectible Receivables	-	-	-	-	-	-
9000	FIT- Current	-	-	-	-	-	-
9100	FIT- Deferred	-	-	-	-	-	-
9200	FIT- Prior Year Adj	-	-	-	-	-	-
		5,058,735.25	4,672,829.99	14,515,441.04	14,515,441.04	9,316,738.77	9,316,738.77
	Variance	-	-	-	-	-	-

Net Income (Loss)

385,905.26

3,909,491.65

**DC Captive Insurance Agency Financial and Annual Statements and
Reserve Report (as of September 30, 2019)**

LICENSE NO. DC078

**DISTRICT OF COLUMBIA CAPTIVE INSURANCE COMPANY
ANNUAL STATEMENT FOR THE PERIOD ENDED
September 30, 2019**

District of Columbia Captive Insurance Agency

COMPANY NAME

INCORPORATED DATE: May 29, 2008

COMMENCED BUSINESS DATE: May 29, 2008

DC HOME OFFICE ADDRESS: 441 4th Street NW, Suite 800S; Washington, DC 20001

COMPANY CONTACT: Jed Ross (202) 727-8600
NAME PHONE

CAPTIVE MANAGER: Angela Dignan (410) 339-7263
NAME PHONE

OFFICERS*

TITLE	NAME	TITLE	NAME	TITLE	NAME
President	<u> </u>	Vice President	<u> </u>		<u> </u>
Secretary	<u> </u>	Vice President	<u> </u>		<u> </u>
Treasurer	<u> </u>	Vice President	<u> </u>		<u> </u>
	<u> </u>		<u> </u>		<u> </u>
	<u> </u>		<u> </u>		<u> </u>

DIRECTORS*

NAME	NAME	NAME
<u>Jed Ross</u>	<u> </u>	<u> </u>
<u> </u>	<u> </u>	<u> </u>
<u> </u>	<u> </u>	<u> </u>
<u> </u>	<u> </u>	<u> </u>

State of District of Columbia
County of

<u> </u>	-	President
<u> </u>	-	Secretary
<u> </u>	-	Treasurer

of the..... District of Columbia Captive Insurance Agency, being duly sworn, each for himself deposes and says that they are the above described officers of the said insurer, and that on the last day of the period presented, all of the herein described assets were the absolute property of the said insurer, free and clear from any liens or claims thereon, except as stated, and that this annual statement, together with related exhibits, schedules, and explanations therein contained, annexed or referred to are a full and true statement of all the assets and liabilities and of the condition and affairs of the said insurer as of the date presented, and of its income and deductions therefrom for the year ended on that date, according to the best of their information, knowledge and belief, respectively.

Jed Ross Secretary Treasurer**
Chief Risk Officer

Other Executive Officer

Subscribed and sworn to before me this 13th day of February 2020

Susana Suarez

Susana Suarez
Notary Public, District of Columbia
My Commission Expires 8/14/2020

*Show full name and indicate by number sign (#) those officers and directors who did not occupy the indicated position in the previous annual statement.

**Or corresponding person having charge of the accounts of the insurer.



ANNUAL STATEMENT FOR THE PESeptember 30, 2019
District of Columbia Captive Insurance Agency

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BALANCE SHEET		
ASSETS		
	09/30/2019	09/30/2018
1. Bonds		
2. Stocks		
3. Cash	1,322,800	635,321
4. Savings and Certificate of Deposit		-
5. Other Invested Assets		-
a)	1,405,072	2,711,431
b)		
6. Subtotal, Cash and Invested Assets (lines 1 to 5)	2,727,872	3,346,752
7. Investment Income Due and Accrued		
8. Accounts and Premiums Receivable		
9. Investments In and Advances to Affiliates		
10. Deferred Tax Asset		
11. Deferred Acquisition Costs		
12. Reins. Recoverable on Unpaid Losses & LAE		
13. Reins. Recoverable on Paid Losses & LAE		
14. Funds Held by Ceding Reinsurers		
15. Prepaid Reinsurance Premiums	-	-
16. Deposits With Reinsurer		
17. Letters of Credit		
18. Other Assets		
a) Loan to Parent		
b) Accrued Interest on Loan to Parent		
c)		
19. Total Assets (lines 6 to 18)	2,727,872	3,346,752
LIABILITIES, CAPITAL AND SURPLUS		
	09/30/2019	09/30/2018
20. Losses	578,827	687,117
21. Loss Adjustment Expenses		-
22. Reins. Payable on Paid Losses & LAE		
23. Deposits Held Pursuant to Insurance Contracts		
24. Commissions, Expenses and Fees		
25. Federal Taxes Payable		
26. Unearned Premium		
27. Deferred Tax Liability		
28. Reinsurance Balances Payable		
29. Loans and Notes Payable		
30. Amounts Due to Affiliates		
31. Funds Held Under Reinsurance Contracts		
32. Dividends Payable		
33. Other Liabilities		
a)		
b)		
c)		
34. Total Liabilities (lines 20 to 33)	578,827	687,117
35. Capital and Surplus:		
a) Common Stock		
b) Preferred Stock		
c) Contributed Surplus	4,094,002	4,990,498
d) Unrealized Gain (Loss) on Investments		
36. Surplus (Accumulated Earnings)	(1,944,957)	(2,330,863)
37. Total Capital and Surplus (page 3, line 27)	2,149,045	2,659,635
38. Total (lines 34 and 37)	2,727,872	3,346,752

District of Columbia Captive Insurance Agency

STATEMENT OF INCOME		
	09/30/2019	09/30/2018
Underwriting Income:		
1. Net Premiums Written <i>(page 5, column 6)</i>	73,029	73,903
2. Net (Increase) Decrease In Unearned Premiums		
3. Net Premiums Earned	73,029	73,903
4. Other Insurance Income	593,124	103,364
5. Total Income <i>(lines 3 and 4)</i>	666,153	177,267
Underwriting Expenses:		
6. Net Losses Incurred	(108,290)	(49,075)
7. Net Loss Adjustment Expenses Incurred	-	-
8. Commissions and Brokerage		
9. General and Administrative	409,864	574,529
10. Other Underwriting Expenses		
11. Total Underwriting Expenses <i>(lines 6 to 10)</i>	301,574	525,454
12. Underwriting Profit (Loss) <i>(line 5 minus 11)</i>	364,579	(348,187)
13. Investment Income - Net	21,326	73,838
14. Other Income		
15. Other Expenses		
16. Income Before Dividends and Taxes <i>(lines 12 to 14 minus 15)</i>	385,905	(274,349)
17. Dividends to Policyholders		
18. Taxes <i>(Federal)</i>		
19. Net Income <i>(line 16 minus lines 17 and 18)</i>	385,905	(274,349)

CAPITAL AND SURPLUS ACCOUNT		
20. Capital & Surplus, end of previous year	2,659,635	2,723,955
21. Net Income	385,905	(274,349)
22. Net Unrealized Capital Gains or Losses <i>(including equity income (loss) on subsidiaries)</i>		
23. Capital Changes:		
a) Paid in		
b) Transferred from Surplus <i>(Stock Dividend)</i>		
c) Transferred to Surplus		
24. Surplus Adjustments:		
a) Paid in		
b) Transferred to Capital <i>(Stock Dividend)</i>		
c) Transferred from Capital		
25. Dividends <i>(Show as negative)</i>		
26. Other <i>(Show reductions in surplus as negatives) :</i>		
a) Removal of prior year appropriation funding	(2,991,450)	(1,912,892)
b) Addition of FY18 appropriation		2,122,921
c) Addition of FY19 appropriation	2,094,955	-
27. Capital & Surplus, end of current year <i>(lines 20 to 26; page 2, line 37)</i>	2,149,045	2,659,635

ASSETS

QUESTIONNAIRE

- 1.1 What is the name of the individual(s), corporation(s), association, or other entities who directly or indirectly own or control the insurer? Please list individual owners and percentage of ownership.

The Captive is a subordinate agency of the District of Columbia

- 1.2 CAPITAL STOCK OF CAPTIVE

Class	Number Shares Authorized	Number Shares Outstanding	Par Value
Preferred			
Common			

2. What is the name and address of approved Manager (include firm name)?

Angela Dignan, MBA, ACI - RCM&D

555 Fairmount Ave; Baltimore, MD 21286

3. What is the approved appointed actuary's name and the address of the actuarial firm?

Mark Cain, FCAS, MAAA

17035 W. Wisconsin Ave, Suite 105; Brookfield, WI 53005

4. What is the name of the approved CPA partner and the address of the independent CPA firm?

Not applicable - Captive is not required to have a separate stand-alone audit since it is already audited as part of the overall DC audit.

5. What is the name and address of resident registered agent?

Morris, Manning & Martin LLP

1401 H Street NW, Suite 760, Washington DC

- 5a. What is the name and address of the Company's D.C. attorney?

Morris, Manning & Martin LLP

1401 H Street NW, Suite 760, Washington DC

6. Have all transactions of the captive of which notice was received at the home office on or before the close of business on the date shown been truthfully and accurately entered on its books?

☒ YES

☐ NO

7. What is the largest "net" amount insured in any one risk:
Per occurrence? **1,000,000** Aggregate?

3,000,000

8. Has the "net" aggregate increased over last year's?
If yes, by what amount?

☐ YES

☒ NO

9. Has any change been made during the year of this statement in the charter, by-laws or articles of association?

☐ YES

☒ NO

- 10.1. Identify the basis of accounting utilized to prepare the statement (e.g., Generally Accepted Accounting Principles (GAAP) or Statutory Accounting Principles (SAP))?

GAAP

- 10.2. If a basis other than GAAP utilized, was approval granted by the Department?

☒ YES

☐ NO

- 10.3. Have all assets been valued in accordance with GAAP or SAP as applicable?

☒ YES

☐ NO

District of Columbia Captive Insurance Agency

QUESTIONNAIRE (continued)

- 11.1 Are the officers, directors and key employees of the reporting entity subject to a code of ethics, adopted by the captive insurer, which includes, at a minimum, the following standards?
- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the captive with the Department;
- (c) Compliance with all applicable District laws, regulations and orders of the Commissioner;
- (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code of ethics; and
- (e) Accountability for adherence to the code of ethics.
- ☒ YES ☐ NO
- 11.1(a) If the response to 11.1 is No, please explain: Not applicable
-
- 11.2 Has each director, officer and key employee certified in writing annually that he or she is in compliance with the captive's code of ethics and a record of such certification is maintained by the captive insurer for review by the Department upon request?
- ☒ YES ☐ NO
- 11.2(a) If the response to 11.2 is No, please explain: Not applicable
-
- 11.3 Has the code of ethics been amended?
- ☐ YES ☒ NO
- 11.4 If the response to 11.3 is Yes, provide information related to amendment(s): Not applicable
-
- 11.5 Have any provisions of the code of ethics been waived for any officers, directors and key employees?
- ☐ YES ☒ NO
- 11.6 If the response to 11.5 is Yes, provide the nature of any waivers(s)
Not applicable
-
12. Has the company changed its plan of operation during the year? If yes, please explain.
- ☐ YES ☒ NO
- Not applicable**
-
13. Have losses been discounted? ☐ YES ☒ NO
- If yes, what interest rate was used **Not applicable**
- What was the total amount of the discount **Not applicable**
-
14. Were any of the assets of the company pledged as collateral at any time during the year?
- ☐ YES ☒ NO
- If yes, attach a description of the transactions as a supplement to this filing.
Not applicable
-
15. Is the company writing or assuming unrelated business? ☐ YES ☒ NO
16. If answer to 15 above is yes, what is the percentage to the total business written and assumed?
Not applicable
-
17. What other services does the approved independent CPA firm provide to the Captive or Parent Corporation?
Not applicable
-
18. Did a quorum of the Board of Directors meet at least once during calendar year 2019 in the District of Columbia, in compliance with DCMR 3709.1 and 3709.2?

District of Columbia Captive Insurance Agency

QUESTIONNAIRE (continued)

19. Has the company changed its auditors or actuaries from the previous year?

☐ YES

☒ NO

If yes, why?

20. Does the company have funds on deposit with the Insurance Commissioner of the District of Columbia for the protection of policyholders (Not letters of credit)?

☐ YES

☒ NO

21. Does the company issue assessable policies?

☐ YES

☒ NO

22. For fiscal year filers which have received permission to file using fiscal years other than the calendar year enter the first fiscal year end after the election was granted.

September 30, 2009

23. List the company's top five service providers and their function(s)?

Provider

RCM&D

Function(s)

Insurance broker

Provider

RCM&D

Function(s)

Captive manager

Provider

IRMS

Function(s)

Actuarial services and loss certification

Provider

Function(s)

Provider

Function(s)

24a. Has the company entered into any retroactive reinsurance or financial insurance or financial reinsurance contracts?

☐ YES

☒ NO

24b. If yes, describe the arrangement including amounts received, paid, imputed interest, and companies involved

Not applicable

September 30, 2019

p.5

(p.3, line 1)

**This column is designated for direct and assumed premiums accounted for as deposits where there is inadequate risk transfer*

District of Columbia Captive Insurance Agency

[illegible]

(p.5,col.2)

[illegible]

(p.2, line 12+13)

(p.5, col.4-5)

**Authorized companies or unauthorized companies with the Commissioner's prior approval.*

UNPAID LOSSES & LAE						
UNPAID LOSSES						
LINES OF BUSINESS	(1) CASE BASIS DIRECT & ASSUMED	(2) CASE BASIS REINSURANCE RECOVERABLE	(3) IBNR	(4) IBNR REINSURANCE RECOVERABLE	(5) DISCOUNT	(6) NET LOSSES UNPAID 1-2+3-4-5
1. Automobile Liability						-
2. General & Product Liability						-
3. Professional Liability			578,827			578,827
4. Other Liability						-
5. Workers' Compensation						-
6. Property Liability						-
7. All Other Lines						-
TOTALS	-	-	578,827	-	-	578,827

(col. 1 + 3 = p.2, line 20)

(p.8, col. 5)

UNPAID LAE							
LINES OF BUSINESS	(7) CASE BASIS DIRECT & ASSUMED	(8) CASE BASIS REINSURANCE RECOVERABLE	(9) IBNR	(10) IBNR REINSURANCE RECOVERABLE	(11) DISCOUNT	(12) NET LAE UNPAID 7-8+9-10-11	(13) LOSS TO LAE DISCOUNT % 10 ÷ 5
1. Automobile Liability						-	
2. General & Product Liability						-	
3. Professional Liability						-	
4. Other Liability						-	
5. Workers' Compensation						-	
6. Property Liability						-	
7. All Other Lines						-	
TOTALS	-	-	-	-	-	-	

(col. 7 + 8 = p.2, line 21)

(col. 2 + 4 + 8 + 9 = p.2, line 12)

(p. 8, col.13)

LOSS & LAE PAID AND INCURRED								
LOSSES								
LINES OF BUSINESS	LOSSES PAID LESS SALVAGE				(5)	(6)	(7)	(8)
	(1) DIRECT BUSINESS	(2) REINSURANCE ASSUMED	(3) REINSURANCE RECOVERED	(4) NET PAYMENTS 1+2-3	NET LOSSES UNPAID CURRENT YEAR	NET LOSSES UNPAID PRIOR YEAR	NET LOSSES INCURRED 4+5-6	RATIO OF LOSSES INCURRED TO PREMIUMS EARNED
1. Automobile Liability				-			-	#DIV/0!
2. General & Product Liability				-			-	#DIV/0!
3. Professional Liability				-	578,827	687,117	(108,290)	-1.482835586
4. Other Liability				-			-	#DIV/0!
5. Workers' Compensation				-			-	#DIV/0!
6. Property Liability				-			-	#DIV/0!
7. All Other Lines				-			-	#DIV/0!
TOTALS	-	-	-	-	578,827	687,117	(108,290)	-148.28%

(p.7, col.6)

(p.3, line 6)

LAE								
LOSSES PAID LESS SALVAGE								
LINES OF BUSINESS	(9)	(10)	(11)	(12)	(13)	(14)	(15)	(16)
	DIRECT BUSINESS	REINSURANCE ASSUMED	REINSURANCE RECOVERED	NET PAYMENTS 9+10-11	NET LAE UNPAID CURRENT YEAR	NET LAE UNPAID PRIOR YEAR	NET LAE INCURRED 4+5-6	RATIO OF LAE INCURRED TO PREMIUMS EARNED
1. Automobile Liability				-			-	#DIV/0!
2. General & Product Liability				-			-	#DIV/0!
3. Professional Liability				-			-	0
4. Other Liability				-			-	#DIV/0!
5. Workers' Compensation				-			-	#DIV/0!
6. Property Liability				-			-	#DIV/0!
7. All Other Lines				-			-	#DIV/0!
TOTALS	-	-	-	-	-	-	-	0.00%

(p.7, col.12)

(p.3, line 7)

District of Columbia Captive Insurance Agency

NET LOSSES & LAE SUMMARY OF ALL LINES OF BUSINESS					
YEARS IN WHICH LOSSES WERE INCURRED	PAID LOSSES AND LOSS ADJUSTMENT EXPENSES AT END OF YEAR				
	2015 & PRIOR	2016	2017	2018	2019
2015 & PRIOR	621,319	621,319	621,319	621,319	621,319
2016		-	-	-	-
2017			-	-	-
2018				-	-
2019					-

YEARS IN WHICH LOSSES WERE INCURRED	OUTSTANDING LOSSES AND LOSS ADJUSTMENT EXPENSE AT END OF YEAR				
	2015 & PRIOR	2016	2017	2018	2019
2015 & PRIOR	-	-	-	-	-
2016		-	-	-	-
2017			-	-	-
2018				-	-
2019					-

YEARS IN WHICH LOSSES WERE INCURRED	IBNR LOSSES AND LOSS ADJUSTMENT EXPENSES AT END OF YEAR				
	2015 & PRIOR	2016	2017	2018	2019
2015 & PRIOR	818,681	671,181	394,163	279,755	190,913
2016		125,000	155,913	124,754	85,538
2017			186,115	137,500	120,338
2018				145,108	123,722
2019					58,316

ANNUAL STATEMENT FOR THE PERIOD ENDED:

September 30, 2019

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District of Columbia Captive Insurance Agency

AUTOMOBILE LIABILITY NET LOSSES & LAE					
YEAR IN WHICH LOSSES WERE INCURRED	PAID LOSSES AND LOSS ADJUSTMENT EXPENSES AT END OF YEAR				
	2015 & PRIOR	2016	2017	2018	2019
2015 & PRIOR					
2016					
2017					
2018					
2019					

YEAR IN WHICH LOSSES WERE INCURRED	OUTSTANDING LOSSES AND LOSS ADJUSTMENT EXPENSE AT END OF YEAR				
	2015 & PRIOR	2016	2017	2018	2019
2015 & PRIOR					
2016					
2017					
2018					
2019					

YEAR IN WHICH LOSSES WERE INCURRED	IGNR LOSSES AND LOSS ADJUSTMENT EXPENSES AT END OF YEAR				
	2015 & PRIOR	2016	2017	2018	2019
2015 & PRIOR					
2016					
2017					
2018					
2019					

District of Columbia Captive Insurance Agency

GENERAL & PRODUCT LIABILITY NET LOSSES AND LAE

YEAR IN WHICH LOSSES WERE INCURRED	PAID LOSSES AND LOSS ADJUSTMENT EXPENSES AT END OF YEAR				
	2015 & PRIOR	2016	2017	2018	2019
2015 & PRIOR					
2016					
2017					
2018					
2019					

YEAR IN WHICH LOSSES WERE INCURRED	OUTSTANDING LOSSES AND LOSS ADJUSTMENT EXPENSE AT END OF YEAR				
	2015 & PRIOR	2016	2017	2018	2019
2015 & PRIOR					
2016					
2017					
2018					
2019					

YEAR IN WHICH LOSSES WERE INCURRED	IBNR LOSSES AND LOSS ADJUSTMENT EXPENSES AT END OF YEAR				
	2015 & PRIOR	2016	2017	2018	2019
2015 & PRIOR					
2016					
2017					
2018					
2019					

District of Columbia Captive Insurance Agency

PROFESSIONAL LIABILITY NET LOSSES AND LAE					
YEAR IN WHICH LOSSES WERE INCURRED	PAID LOSSES AND LOSS ADJUSTMENT EXPENSES AT END OF YEAR				
	2015 & PRIOR	2016	2017	2018	2019
2015 & PRIOR	621,319	621,319	621,319	621,319	621,319
2016					
2017					
2018					
2019					

YEAR IN WHICH LOSSES WERE INCURRED	OUTSTANDING LOSSES AND LOSS ADJUSTMENT EXPENSE AT END OF YEAR				
	2015 & PRIOR	2016	2017	2018	2019
2015 & PRIOR					
2016					
2017					
2018					
2019					

YEAR IN WHICH LOSSES WERE INCURRED	INMP LOSSES AND LOSS ADJUSTMENT EXPENSES AT END OF YEAR				
	2015 & PRIOR	2016	2017	2018	2019
2015 & PRIOR	818,681	671,181	394,163	279,755	190,913
2016		125,000	155,913	124,754	85,538
2017			186,115	137,500	120,338
2018				145,108	123,722
2019					58,316

District of Columbia Captive Insurance Agency

YEAR IN WHICH LOSSES WERE INCURRED	PAID LOSSES AND LOSS ADJUSTMENT EXPENSES AT END OF YEAR				
	2015 & PRIOR	2016	2017	2018	2019
2015 & PRIOR					
2016					
2017					
2018					
2019					

YEAR IN WHICH LOSSES WERE INCURRED	OUTSTANDING LOSSES AND LOSS ADJUSTMENT EXPENSE AT END OF YEAR				
	2015 & PRIOR	2016	2017	2018	2019
2015 & PRIOR					
2016					
2017					
2018					
2019					

YEAR IN WHICH LOSSES WERE INCURRED	IBNR LOSSES AND LOSS ADJUSTMENT EXPENSES AT END OF YEAR				
	2015 & PRIOR	2016	2017	2018	2019
2015 & PRIOR					
2016					
2017					
2018					
2019					

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District of Columbia Captive Insurance Agency

District of Columbia Captive Insurance Agency					
<div style="display: flex; justify-content: space-between;"> INSERT NAME OF ADDITIONAL LINE HERE NET LOSSES AND LAE </div>					
YEAR IN WHICH LOSSES WERE INCURRED	PAID LOSSES AND LOSS ADJUSTMENT EXPENSES AT END OF YEAR				
	2015 & PRIOR	2016	2017	2018	2019
2015 & PRIOR					
2016					
2017					
2018					
2019					

YEAR IN WHICH LOSSES WERE INCURRED	OUTSTANDING LOSSES AND LOSS ADJUSTMENT EXPENSE AT END OF YEAR				
	2015 & PRIOR	2016	2017	2018	2019
2015 & PRIOR					
2016					
2017					
2018					
2019					

YEAR IN WHICH LOSSES WERE INCURRED	IBNR LOSSES AND LOSS ADJUSTMENT EXPENSES AT END OF YEAR				
	2015 & PRIOR	2016	2017	2018	2019
2015 & PRIOR					
2016					
2017					
2018					
2019					

District of Columbia Captive Insurance Agency

INSERT NAME OF ADDITIONAL LINE HERE - NET LOSSES AND LAE					
YEAR IN WHICH LOSSES WERE INCURRED	PAID LOSSES AND LOSS ADJUSTMENT EXPENSES AT END OF YEAR				
	2015 & PRIOR	2016	2017	2018	2019
2015 & PRIOR					
2016					
2017					
2018					
2019					

YEAR IN WHICH LOSSES WERE INCURRED	OUTSTANDING LOSSES AND LOSS ADJUSTMENT EXPENSE AT END OF YEAR				
	2015 & PRIOR	2016	2017	2018	2019
2015 & PRIOR					
2016					
2017					
2018					
2019					

YEAR IN WHICH LOSSES WERE INCURRED	IBNR LOSSES AND LOSS ADJUSTMENT EXPENSES AT END OF YEAR				
	2015 & PRIOR	2016	2017	2018	2019
2015 & PRIOR					
2016					
2017					
2018					
2019					

ANNUAL STATEMENT FOR THE PERIOD ENDED:

September 30, 2019

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District of Columbia Captive Insurance Agency

INSERT NAME OF ADDITIONAL LINE HERE - NET LOSSES AND LAE

YEAR IN WHICH LOSSES WERE INCURRED	PAID LOSSES AND LOSS ADJUSTMENT EXPENSES AT END OF YEAR				
	2015 & PRIOR	2016	2017	2018	2019
2015 & PRIOR					
2016					
2017					
2018					
2019					

YEAR IN WHICH LOSSES WERE INCURRED	OUTSTANDING LOSSES AND LOSS ADJUSTMENT EXPENSE AT END OF YEAR				
	2015 & PRIOR	2016	2017	2018	2019
2015 & PRIOR					
2016					
2017					
2018					
2019					

YEAR IN WHICH LOSSES WERE INCURRED	IBNR LOSSES AND LOSS ADJUSTMENT EXPENSES AT END OF YEAR				
	2015 & PRIOR	2016	2017	2018	2019
2015 & PRIOR					
2016					
2017					
2018					
2019					

District of Columbia Captive Insurance Agency

(INSERT NAME OF ADDITIONAL LINE HERE) - NET LOSSES AND LAE

YEAR IN WHICH LOSSES WERE INCURRED	PAID LOSSES AND LOSS ADJUSTMENT EXPENSES AT END OF YEAR				
	2015 & PRIOR	2016	2017	2018	2019
2015 & PRIOR					
2016					
2017					
2018					
2019					

YEAR IN WHICH LOSSES WERE INCURRED	OUTSTANDING LOSSES AND LOSS ADJUSTMENT EXPENSE AT END OF YEAR				
	2015 & PRIOR	2016	2017	2018	2019
2015 & PRIOR					
2016					
2017					
2018					
2019					

YEAR IN WHICH LOSSES WERE INCURRED	IBNR LOSSES AND LOSS ADJUSTMENT EXPENSES AT END OF YEAR				
	2015 & PRIOR	2016	2017	2018	2019
2015 & PRIOR					
2016					
2017					
2018					
2019					

District of Columbia Captive Insurance Agency

LOSS DEVELOPMENT SUMMARY OF ALL LINES OF BUSINESS									
YEAR IN WHICH LOSSES WERE INCURRED	NET INCURRED LOSSES AND LAE EXPENSE REPORTED AT END OF YEAR					*LOSS		(3) NET PREMIUMS EARNED	(4) %CURRENT YEAR LOSSES INCURRED TO PREMIUM EARNED
	2015 & PRIOR	2016	2017	2018	2019	(1) 1 YEAR	(2) 2 YEAR		
2015 & PRIOR	2,650,499	671,181	394,163	279,755	190,913	(88,842)	(203,250)	728,460	363.85%
2016		125,000	155,913	124,754	85,538	(39,216)	(70,375)	67,100	186.29%
2017			186,115	137,500	120,338	(17,162)	(65,777)	75,460	246.64%
2018				145,108	123,722	(21,386)		73,903	196.35%
2019					58,316			73,029	79.85%
Totals						(166,606)	(339,402)		

* For instruction reference refer to Sched. P Part-2 Summary of the NAIC Annual Statement Instructions

ANNUAL STATEMENT FOR THE PERIOD ENDED:

September 30, 2019

p.10b

District of Columbia Captive Insurance Agency

AUTOMOBILE LIABILITY LOSS DEVELOPMENT									
YEAR IN WHICH LOSSES WERE INCURRED	NET INCURRED LOSSES AND LOSS EXPENSE REPORTED AT END OF YEAR					*Loss Development		(3) NET PREMIUMS EARNED	(4) %CURRENT YEAR LOSSES INCURRED TO PREMIUM EARNED
	2015 & PRIOR	2016	2017	2018	2019	(1) 1 YEAR	(2) 2 YEAR		
2015 & PRIOR						-	-		
2016						-	-		
2017						-	-		
2018						-	-		
2019						-	-		
Totals						-	-		

* For instruction reference refer to Sched. P Part-2 Summary of the NAIC Annual Statement Instructions

ANNUAL STATEMENT FOR THE PERIOD ENDED:

September 30, 2019

p.10c

District of Columbia Captive Insurance Agency

GENERAL & PRODUCT LIABILITY LOSS DEVELOPMENT									
YEAR IN WHICH LOSSES WERE INCURRED	NET INCURRED LOSSES AND LOSS EXPENSE REPORTED AT END OF YEAR					*Loss Development		(3) NET PREMIUMS EARNED	(4) %CURRENT YEAR LOSSES INCURRED TO PREMIUM EARNED
	2015 & PRIOR	2016	2017	2018	2019	(1) 1 YEAR	(2) 2 YEAR		
2015 & PRIOR						-	-		
2016						-	-		
2017						-	-		
2018						-	-		
2019						-	-		
Totals						-	-		

* For instruction reference refer to Sched. P Part-2 Summary of the NAIC Annual Statement Instructions

District of Columbia Captive Insurance Agency

PROFESSIONAL LIABILITY LOSS DEVELOPMENT									
YEAR IN WHICH LOSSES WERE INCURRED	NET INCURRED LOSSES AND LOSS EXPENSE REPORTED AT END OF YEAR					*Loss Development		(3) NET PREMIUMS EARNED	(4) %CURRENT YEAR LOSSES INCURRED TO PREMIUM EARNED
	2015 & PRIOR	2016	2017	2018	2019	(1) 1 YEAR	(2) 2 YEAR		
2015 & PRIOR	2,650,499	671,181	394,163	279,755	190,913	(88,842)	(203,250)	728,460	363.85%
2016		125,000	155,913	124,754	85,538	(39,216)	(70,375)	67,100	186.29%
2017			186,115	137,500	120,338	(17,162)	(65,777)	75,460	246.64%
2018				145,108	123,722	(21,386)		73,903	196.35%
2019					58,316			73,029	79.85%
					Totals	(166,606)	(339,402)		

* For instruction reference refer to Sched P Part-2 Summary of the NAIC Annual Statement Instructions

ANNUAL STATEMENT FOR THE PERIOD ENDED:

September 30, 2019

p.10e

District of Columbia Captive Insurance Agency

INSERT NAME OF ADDITIONAL LINE HERE LOSS DEVELOPMENT									
YEAR IN WHICH LOSSES WERE INCURRED	NET INCURRED LOSSES AND LOSS EXPENSE REPORTED AT END OF YEAR					*Loss Development		(3) NET PREMIUMS EARNED	(4) %CURRENT YEAR LOSSES INCURRED TO PREMIUM EARNED
	2015 & PRIOR	2016	2017	2018	2019	(1) 1 YEAR	(2) 2 YEAR		
2015 & PRIOR						-	-		
2016						-	-		
2017						-	-		
2018						-	-		
2019						-	-		
Totals						-	-		

* For instruction reference refer to Sched. P Part-2 Summary of the NAIC Annual Statement Instructions

* For instruction reference refer to Sched. P Part-2 Summary of the NAIC Annual Statement Instructions

ANNUAL STATEMENT FOR THE PERIOD ENDED:

September 30, 2019

p.10g

District of Columbia Captive Insurance Agency

<div> <div>INSERT NAME OF ADDITIONAL LINE HERE</div> <div>LOSS DEVELOPMENT</div> </div>									
YEAR IN WHICH LOSSES WERE INCURRED	NET INCURRED LOSSES AND LOSS EXPENSE REPORTED AT END OF YEAR					*Loss Development		(3) NET PREMIUMS EARNED	(4) %CURRENT YEAR LOSSES INCURRED TO PREMIUM EARNED
	2015 & PRIOR	2016	2017	2018	2019	(1) 1 YEAR	(2) 2 YEAR		
2015 & PRIOR						-	-		
2016						-	-		
2017						-	-		
2018						-	-		
2019						-	-		
Totals						-	-		

* For instruction reference refer to Sched. P Part-2 Summary of the NAIC Annual Statement Instructions

ANNUAL STATEMENT FOR THE PERIOD ENDED:

September 30, 2019

District of Columbia Captive Insurance Agency

p.10h

INSERT NAME OF ADDITIONAL LINE HERE LOSS DEVELOPMENT									
YEAR IN WHICH LOSSES WERE INCURRED	NET INCURRED LOSSES AND LOSS EXPENSE REPORTED AT END OF YEAR					*Loss Development		(3) NET PREMIUMS EARNED	(4) %CURRENT YEAR LOSSES INCURRED TO PREMIUM EARNED
	2015 & PRIOR	2016	2017	2018	2019	(1) 1 YEAR	(2) 2 YEAR		
2015 & PRIOR						-	-		
2016						-	-		
2017						-	-		
2018						-	-		
2019						-	-		
Totals						-	-		

* For instruction reference refer to Sched. P Part-2 Summary of the NAIC Annual Statement Instructions

District of Columbia Captive Insurance Agency

<div> <div>INSERT NAME OF ADDITIONAL LINE HERE</div> <div>LOSS DEVELOPMENT</div> </div>									
YEAR IN WHICH LOSSES WERE INCURRED	NET INCURRED LOSSES AND LOSS EXPENSE REPORTED AT END OF YEAR					*Loss Development		(3) NET PREMIUMS EARNED	(4) %CURRENT YEAR LOSSES INCURRED TO PREMIUM EARNED
	2015 & PRIOR	2016	2017	2018	2019	(1) 1 YEAR	(2) 2 YEAR		
2015 & PRIOR						-	-		
2016						-	-		
2017						-	-		
2018						-	-		
2019						-	-		
Totals						-	-		

* For instruction reference refer to Sched. P Part-2 Summary of the NAIC Annual Statement Instructions

[illegible]

* Include cash bank accounts. All investments included in lines 1-5 of the Company's balance sheet shall be individually listed in this schedule. The total of this schedule shall correspond to line 6 of the Company's balance sheet.

District of Columbia Captive Insurance Agency

Cross Check	
1 (p.2, line 19 Assets) - (p.2, line 38, Liab. Capital & Surplus)	-
2 (p.2, line 19 Assets Prior Year) - (p.2, line 38, Liab. Capital & Surplus Prior Year)	-
3 (p.2, line 20 Losses) = (p.7, C1+C3 Direct Loss and IBNR)	-
4 (p.2, line 21 LAE) = (p.7, C6+C8 Direct LAE & IBNR)	-
5 (p.2, line 12 Reins. Recoverable) = (p.7, C2+C4+C7+C10 Reins Recoverable)	-
6 (p.2, line 12 Reins. Recov unpaid) = (p.6 Recov unpaid)	-
7 (p.2, line 13 Reins Recov pd) = (p.6 Recov Pd)	-
8 (p.2, line 15 Prepaid reins prem) = (p.6 Prepaid Reinsurance)	-
9 (p.2, line 37 Capital & Surplus) = (p.3, line 27 Capital & Surplus current)	0
10 (p.2, line 37 Capital & Surplus Prior Year) = (p.3, line 27 Capital & Surplus Prior Year)	-
11 (p.2, line 37 Capital & Surplus Prior Year) = (p.3, line 20 Capital & Surplus Prior Year)	-
12 (p.2, line 26 U/P) = (p.2, line 26, C2 - p.3, line 2, C1 + p.2, line 15, C1 - p.2, line 15, C2)	-
13 (p.3, line 1 Net Premiums Written) = (p.5, C6 Net Premiums Written)	-
14 (p.3, line 6 Net losses incurred) = (p.8, C7 Net Losses Incurred)	-
15 (p.3, line 7 Net LAE incurred) = (p.8, C15 Net LAE incurred)	-
16 (p.3, line 19 Net Income) = (p.3, line 21 Net Income current)	-
17 (p.3, line 19, C2 Net Income prior year) = (p.3, line 21, C2 net income prior year)	-
18 (p.3, line 20, C1 C&S prior year) = (p.3, line 27, C2 C&S prior year)	-
19 (p.6 Reinsurance Recoverable unpaid loss & lae) = (p.7, C2+C4+C8+C10)	-
20 (p.6, C5 Premium Ceded) = (p.5, C4 Premium ceded)	-
21 (p.5, C2 Reinsurance Assumed) = (p.6, C2 Premium Assumed)	-
22 (p.7, line1, C1-C2+C7-C8 Auto liability) = (p.9b Outstanding loss current yr)	-
23 (p.7, line1, C3-C4+C9-C10 Auto liability) = (p.9b IBNR loss and lae current yr)	-
24 (p.7, line1, C6 Auto liability) = (p.8, line1, C5 Net losses unpaid)	-
25 (p.7, line1, C12 Auto liability) = (p.8, line1, C13 Net LAE unpaid)	-
26 (p.7, line 2, C1-C2+C7-C8 General & Product Liab) = (p.9c Outstanding loss & lae current yr)	-
27 (p.7, line2, C3-C4+C9-C10 Gen & Product Liab) = (p.9c IBNR loss & lae current yr)	-
28 (p.7, line2, C6 Gen & Product Liab) = (p.8, line2, C5 Net losses unpaid)	-
29 (p.7, line2, C12 Gen & Product Liab) = (p.8, line2, C13 Net lae unpaid)	-
30 (p.7, line3, C1-C2+C7-C8 Professional liab) = (p.9d Outstanding loss & lae current yr)	-
31 (p.7, line3, C3-C4+C9-C10 Professional liab) = (p.9d IBNR loss & lae current yr)	-
32 (p.7, line3, C6 Professional liab) = (p.8, line3, C5 Net losses unpaid)	-
33 (p.7, line3, C12 Professional liab) = (p.8, line3, C13 Net lae unpaid)	-
34 (p.7, line4, C6 Other Liability) = (p.8, line4, C5 Net losses unpaid)	-
35 (p.7, line4, C12 Other Liability) = (p.8, line4, C13 Net lae unpaid)	-
36 (p.7, line5, C6 Workers' Comp) = (p.8, line5, C5 Net losses unpaid)	-
37 (p.7, line5, C12 Workers' Comp) = (p.8, line5, C13 Net lae unpaid)	-
38 (p.7, line6, C6 Property Liability) = (p.8, line6, C5 Net losses unpaid)	-
39 (p.7, line6, C12 Property Liability) = (p.8, line6, C13 Net lae unpaid)	-
40 (p.7, line7, C6 All Other Lines) = (p.8, line7, C5 Net losses unpaid)	-
41 (p.7, line7, C12 All Other Lines) = (p.8, line7, C13 Net lae unpaid)	-
42 (p.7, line4, 5, 6, 7, C1-C2+C7-C8 Addtl Lines) = (p.9e, 9f, 9g, 9h, 9i Outstndng loss & lae current yr)	-
43 (p.7, line4, 5, 6, 7, C3-C4+C9-C10 Addtl Lines) = (p.9e, 9f, 9g, 9h, 9i IBNR loss & lae current yr)	-
44 (p.10a, C3 Net Premium Earned) = (p.3, line3, C1 Premiums Earned current)	(0)
45 (p.10a, C3 Net Premium Earned) = (p.3, line3, C2 Premiums Earned prior year)	-

D.C. Captive Insurance Agency

Financial Statements

September 30, 2019

Unaudited Report

Prepared by:



Rachel Listwan

Reviewed by:



Kim Anderson

D.C. Captive Insurance Agency

Property Insurance

DC Code authorizing the establishment of the captive (Title 1, Chapter 3, Subchapter IV, Part D-I) was amended in October, 2013.

Captive's name has changed from District of Columbia Medical Liability Captive Insurance Agency to District of Columbia Captive Insurance Agency.

In addition to issuing policies of medical malpractice, Captive can obtain and issue policies of property insurance, in accordance with the requirement of the plan of operation under § 1-307.87.

Captive continues to issue the medical malpractice policies for the select clinics operating in DC. Captive does not issue a property policy but operates as an agency to obtain the property insurance.

The funding Captive receives each year contains the premium for the property coverage and Captive is to pay for the premium from the fund.

	2018	2019
Total Local Funding (0100) Rcvd [A]	2,122,921.00	2,094,954.41
Premium/Brokerage Fee/Taxes Paid [B]	(1,912,892.04)	(2,991,450.35)
[A] - [B]	210,028.96	(896,495.94)

Although the beginning FY 15 & FY 16 appropriations have been set below the property insurance obligated costs, the fund is always sufficiently funded once the prior years unexpended budget balances are rolled over to the subsequent fiscal year budget. (per email dated 12/2/2016 from Delmar Parks)

Property Insurance policy was issued by Lexington Insurance Company, along with the Terrorism cover and NCBR Terrorism cover.

	Limit		Term	Premium	Brokerage Svc Fee	Taxes	Engineering Fees	TOTAL
Property	\$500,000,000.00	per occurrence, in excess of \$0	12/1/2018 - 12/1/2019	2,022,844.00	276,000.00	-		2,298,844.00
NCBR Terrorism	\$ 10,000,000.00	per occurrence, in excess of \$250,000	12/1/2018 - 12/1/2019	133,356.00	36,000.00	-		169,356.00
Terrorism	\$500,000,000.00	per occurrence, in excess of \$500,000	12/1/2018 - 12/1/2019	246,327.25	68,000.00	-		314,327.25
Fine Arts			12/1/2018 - 12/1/2019	30,931.20	3,628.80			34,560.00
Builders Risk			12/1/2018 - 12/1/2019	1,353.10	-	-		1,353.10
Cyber Liability			12/1/2018 - 12/1/2019	173,010.00	-	-		173,010.00
				\$ 2,607,821.55	\$383,628.80	\$ -	\$ -	\$ 2,991,450.35

	Dr.	Cr.
Contributed Surplus	2,991,450.35	
Property Insurance Payable		2,991,450.35
Property Insurance Payable	2,991,450.35	
Contributed Surplus		2,991,450.35

2,991,450.36
3,522,344.53
(530,894.17)

D.C. Captive Insurance Agency

Financial Statements

September 30, 2019

Balance Sheet

Statement of Operations

Statement of Cash Flow

Schedule of General & Administrative Expenses

Schedule A

Schedule of Premiums Receivable

Schedule B

Schedule of Premiums

Schedule C

Schedule of Loss Reserves

Schedule D

Accrued Expenses

Schedule E

DC Medical Liability Captive Insurance Company
Fund Balance Summary
September 30, 2019

O-Type Fund 0600	1,322,803.15	1,256,301.17	66,501.98
Local Fund 0100	<u>1,405,072.50</u>	4,323,246.09	1,611,817.47
Total Fund Balance from Operations	2,727,875.65		

O-Type Fund Sept 2018	635,323.70
Change during 2018 - 2019	<u>687,479.45</u>
Sept 2019 Ending Balance	1,322,803.15

****O-Type fund balance varies by \$66,502 has been carried forward from 2011.

****Local Fund 0100 \$1,611,817.47 variance due to 2017 Financial Adjustment

D.C. Captive Insurance Agency

Statements of Cash Flows

For the periods ended September 30, 2019 and September 30, 2018

	Current Year Ended September 30, 2019	Prior Year Ended September 30, 2018
Operating Activities:		
Net income/(loss)	\$ 385,905.26	\$ (274,348.42)
(Increase) decrease in assets:		
Premiums receivable	-	-
Fund receivable	-	-
Increase (decrease) in liabilities:		
Accrued expenses	-	-
Outstanding loss reserves	(108,289.00)	(49,075.00)
Net cash provided by/(used in) operating activities:	277,616.26	(323,423.42)
Investing activities:		
Net cash from investing activities:	-	-
Financing activities:		
(Decrease) increase in contributed surplus	(896,495.94)	210,028.96
Net cash (used in)/provided by financing activities:	(896,495.94)	210,028.96
NET DECREASE IN FUND BALANCE	\$ (618,879.68)	\$ (113,394.46)
Fund Balance at beginning of year	\$ 3,346,752.14	\$ 3,460,146.60
Fund Balance at end of period	\$ 2,727,872.46	\$ 3,346,752.14

D.C. Captive Insurance Agency

Balance Sheet

For the periods ended September 30, 2019 and September 30, 2018

<u>Assets</u>	<u>Schedule</u>	<u>Current Year Ended September 30, 2019</u>	<u>Prior Year Ended September 30, 2018</u>
Fund Balance		\$ -	\$ -
O-Type Fund		1,322,800.15	635,320.70
Local Fund		1,405,072.50	2,711,431.63
Premiums Receivable	B	-	-
Total Assets		\$ 2,727,872.65	\$ 3,346,752.33
 <u>Liabilities</u>			
Reserve for Outstanding Losses	D	578,827.49	687,116.49
Total Liabilities		578,827.49	687,116.49
 <u>Stockholder's Equity</u>			
Contributed Surplus		\$ 4,094,002.50	\$ 4,990,498.44
Retained Earnings		(2,330,862.79)	(2,056,514.37)
Net Income/(Loss)		385,905.26	(274,348.42)
Total Stockholder's Equity		2,149,044.97	2,659,635.65
 Total Liabilities and Stockholder's Equity		 \$ 2,727,872.46	 \$ 3,346,752.14

D.C. Captive Insurance Agency

Income Statement

For the periods ended September 30, 2019 and September 30, 2018

<u>Revenues:</u>	<u>Schedule</u>	<u>Current Year Ended September 30, 2019</u>	<u>Prior Year Ended September 30, 2018</u>
Direct Written Premium	C	\$ 73,028.85	\$ 73,903.00
Reinsurance Premium Ceded		-	-
Net Premiums Written		<u>73,028.85</u>	<u>73,903.00</u>
Net Earned Premium		\$ 73,028.85	\$ 73,903.00
<u>Underwriting Expenses:</u>			
Subrogation funding	D	(593,124.79)	(103,363.96)
Change in loss reserves	D	<u>(108,289.00)</u>	<u>(49,075.00)</u>
Total Underwriting Income		(701,413.79)	(152,438.96)
Net Underwriting Profit		\$ 774,442.64	\$ 226,341.96
General & Administrative Expenses	A	<u>409,863.19</u>	<u>574,528.78</u>
Operating Profit/(Loss)		\$ 364,579.45	\$ (348,186.82)
Interest Income		<u>21,325.81</u>	<u>73,838.40</u>
Net Investment Income		21,325.81	73,838.40
Income/(Loss) Before Tax		385,905.26	(274,348.42)
Federal Income Tax Provision		<u>-</u>	<u>-</u>
Net Income/(Loss)		\$ 385,905.26	\$ (274,348.42)

D.C. Captive Insurance Agency**Schedule of General & Administrative Expenses****Schedule A****For the periods ended September 30, 2019 and September 30, 2018**

	Current Year Ended <u>September 30, 2019</u>	Prior Year Ended <u>September 30, 2018</u>
Management, consulting, risk management & actuarial fees	\$ 23,600.00	\$ 41,418.46
Legal Fees	-	47,585.75
Consulting fees	-	-
Dues & subscriptions	-	1,220.00
Education & training	-	-
Travel expenses	452.62	171.50
Advertisement Expenses	-	-
Office Supplies	-	-
Photocopy	-	-
Misc. Expenses	-	8,836.46
Salaries (DC Office of Risk Management)	385,810.57	475,296.61
	<hr/>	<hr/>
Total	<u>\$ 409,863.19</u>	<u>\$ 574,528.78</u>

D.C. Captive Insurance Agency**Premium Receivable****Schedule B****September 30, 2019**

<u>Description</u>	<u>Period</u>		<u>Outstanding Rec. 09/30/18</u>	<u>Premiums Written 2019</u>	<u>Amount (Rec'd)/Paid</u>	<u>Premium Receivable</u>
<u>Hospital Professional Liability</u>						
HPL - Bread for the City	10/1/2018	9/30/2019	-	10,120.00	(10,120.00)	-
HPL - So Others Might Eat	10/1/2018	9/30/2019	-	13,662.00	(13,662.00)	-
HPL - Family Medical Counseling Service	10/1/2018	9/30/2019	-	36,752.85	(36,752.85)	-
HPL -Metro Health Center (fka Carl Vogel Center	10/1/2018	9/30/2019	-	12,494.00	(12,494.00)	-
			\$ -	\$ 73,028.85	\$ (73,028.85)	\$ -

Information comes from ORM revenue report which ties to the DC Office of Risk Management premium letters for each entity. Since these are issued at the beginning of the fiscal year they should be available now. The letters would be dated Sep 2016 and cover Oct 2016-Sep2017 policy period

Subrogation Receivable

Property Damage	10/1/2018	9/30/2019	-	908,124.79	(908,124.79)	-
			\$ -	\$ 908,124.79	\$ (908,124.79)	\$ -

D.C. Captive Insurance Agency
Premiums
Schedule C
September 30, 2019

<u>PREMIUMS</u>									
<u>Policy</u>	<u>Period</u>	<u>2018/2019</u> <u>Adjustments</u>	<u>2018/2019</u> <u>Premium</u>	<u>09/30/18</u> <u>Unearned</u> <u>Premiums</u>	<u>CY 2019</u> <u>Premiums</u> <u>Written</u>	<u>Change in</u> <u>Unearned</u> <u>Premium</u>	<u>Earned</u> <u>Premium</u>	<u>Unearned</u> <u>Premium</u>	
<u>Direct:</u>									
Hospital Professional Liability	10/1/2018 9/30/2019	-	73,028.85	-	73,028.85	0.00	73,028.85	-	
		\$ -	\$ 73,028.85	\$ -	\$ 73,028.85	\$ -	\$ 73,028.85	\$ -	

D.C. Captive Insurance Agency
Outstanding Loss Reserves
Schedule D
September 30, 2019

Line of Business	Policy Period	Exhibit 4.3 [S]			Cumulative		Cumulative		2019		
		Ultimates	Ultimates	2019	PAIDS	2019	PAIDS	IBNR	IBNR	2019	
		@ 09/30/18	9/30/19	Chg Ultimates	@ 09/30/18	PAIDS	9/30/19	@ 09/30/18	9/30/19	Change in IBNR	
HPL - (1M po, 3M facility agg, 10M total agg)	06/30/2008 - 06/30/2009	-	-	-	-	-	-	-	-	-	
HPL - (1M po, 3M facility agg, 10M total agg)	06/30/2009 - 06/30/2010	578,887.00	578,887.00	-	578,886.96	-	578,886.96	0.04	0.04	-	
HPL - (1M po, 3M facility agg, 10M total agg)	06/30/2010 - 09/30/2011	2,432.00	2,432.00	-	2,431.55	-	2,431.55	0.45	0.45	-	
HPL - (1M po, 3M facility agg, 10M total agg)	09/30/2011 - 09/30/2012	43,236.00	31,642.00	(11,594.00)	-	-	-	43,236.00	31,642.00	(11,594.00)	
HPL - (1M po, 3M facility agg, 10M total agg)	09/30/2012 - 09/30/2013	85,609.00	72,392.00	(13,217.00)	40,000.00	-	40,000.00	45,609.00	32,392.00	(13,217.00)	
HPL - (1M po, 3M facility agg, 10M total agg)	09/30/2013 - 09/30/2014	68,967.00	47,230.00	(21,737.00)	-	-	-	68,967.00	47,230.00	(21,737.00)	
HPL - (1M po, 3M facility agg, 10M total agg)	09/30/2014 - 09/30/2015	121,942.00	79,649.00	(42,293.00)	-	-	-	121,942.00	79,649.00	(42,293.00)	
HPL - (1M po, 3M facility agg, 10M total agg)	09/30/2015 - 09/30/2016	124,754.00	85,538.00	(39,216.00)	-	-	-	124,754.00	85,538.00	(39,216.00)	
HPL - (1M po, 3M facility agg, 10M total agg)	09/30/2016 - 09/30/2017	137,500.00	120,338.00	(17,162.00)	-	-	-	137,500.00	120,338.00	(17,162.00)	
HPL - (1M po, 3M facility agg, 10M total agg)	09/30/2017 - 09/30/2018	145,108.00	123,722.00	(21,386.00)	-	-	-	145,108.00	123,722.00	(21,386.00)	
HPL - (1M po, 3M facility agg, 10M total agg)	09/30/2018 - 09/30/2019	-	58,316.00	58,316.00	-	-	-	-	58,316.00	58,316.00	
Medical Liability Tail cover - prior acts	6/30/2008	-	-	-	-	-	-	-	-	-	
TOTALS		1,308,435.00	1,200,146.00	(108,289.00)	621,318.51	-	621,318.51	687,116.49	578,827.49	(108,289.00)	

D.C. Captive Insurance Agency

Accrued Expenses

Schedule E

September 30, 2019

Accruals

	Balance 09/30/18	Incr/ (decr)	Payments / Transfers	Balance September 30, 2019
Aon Risk Services 10/1/17 - 9/30/18	-	-	-	-
Captive mgmt, accounting & consulting	-	23,600.00	23,600.00	-
Legal fees	-	-	-	-
Claims Handling (TPA)	-	-	-	-
Risk assessment consulting	-	-	-	-
Claims management consulting	-	-	-	-
Salary & Benefit		385,810.57	385,810.57	-
Accrued Expenses	0.00	409,410.57	409,410.57	0.00

DC Purchase Card

	Balance 09/30/18	Incr/ (decr)	Payments / Transfers	Balance September 30, 2019
Photocopy and Microfilm	-	-	-	-
Advertisement	-	-	-	-
Education & Training	-	-	-	-
Travel	-	452.62	452.62	-
Stationery, Office Supply	-	-	-	-
Dues & Subscription	-	-	-	-
Misc. Expenses	-	-	-	-
Total Purch Card payable	0.00	452.62	452.62	-

Total Accrued Expenses

-

-

Losses Payable

	Balance 09/30/18	Incr/ (decr)	Payments / Transfers	Balance September 30, 2019
Subrogations (0600)	-	(315,000.00)	(315,000.00)	-
Subrogations (0100)	-	908,124.79	908,124.79	-
Paid Loss Adj. Expenses	-	-	-	-
	-			
Total Purch Card payable	0.00	593,124.79	593,124.79	-

Total Losses Payable

-

-

D.C. Captive Insurance Agency
Underwriting Analysis - Current Year
September 30, 2018

	Hospital Prof Liability 6/30/08 - 6/30/09	Hospital Prof Liability 6/30/09 - 6/30/10	Hospital Prof Liability 6/30/10 - 9/30/11	Hospital Prof Liability 9/30/11 - 9/30/12	Hospital Prof Liability 10/1/12 - 9/30/13	Hospital Prof Liability 10/1/13 - 9/30/14	Hospital Prof Liability 10/1/14 - 9/30/15	Hospital Prof Liability 10/1/15 - 9/30/16	Hospital Prof Liability 10/1/16 - 9/30/17	Hospital Prof Liability 10/1/17 - 9/30/18	Hospital Prof Liability 10/1/18 - 9/30/19	HPL Tail Coverage 6/30/2008	TOTAL
UNDERWRITING INCOME													
Direct Premium Earned	-	-	-	-	-	-	-	-	-	-	73,028.85	-	73,028.85
Subrogation Received	-	-	-	-	-	-	-	-	-	-	593,124.79	-	593,124.79
Reinsurance Premium Expensed	-	-	-	-	-	-	-	-	-	-	-	-	-
TOTAL UNDERWRITING INCOME	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 666,153.64	\$ -	\$ 666,153.64
UNDERWRITING EXPENSES													
Loss & Loss Expenses Paid	-	-	-	-	-	-	-	-	-	-	-	-	-
Change in case reserves	-	-	-	-	-	-	-	-	-	-	-	-	-
Change in IBNR	-	-	-	(11,594.00)	(13,217.00)	(21,737.00)	(42,293.00)	(39,216.00)	(17,167.00)	(21,386.00)	38,316.00	-	(108,289.00)
TOTAL EXPENSES	\$ -	\$ -	\$ -	\$ (11,594.00)	\$ (13,217.00)	\$ (21,737.00)	\$ (42,293.00)	\$ (39,216.00)	\$ (17,167.00)	\$ (21,386.00)	\$ 38,316.00	\$ -	\$ (108,289.00)
UNDERWRITING PROFIT (LOSS)	\$ -	\$ -	\$ -	\$ 11,594.00	\$ 13,217.00	\$ 21,737.00	\$ 42,293.00	\$ 39,216.00	\$ 17,167.00	\$ 21,386.00	\$ 401,837.64	\$ -	\$ 774,442.64

DC Medical Liability Captive Insurance Company
Underwriting Analysis - Inception to Date
September 30, 2019

	Hospital Prof Liability 6/30/08 - 6/30/09	Hospital Prof Liability 6/30/09 - 6/30/10	Hospital Prof Liability 6/30/10 - 9/30/11	Hospital Prof Liability 9/30/11 - 9/30/12	Hospital Prof Liability 10/1/12 - 9/30/13	Hospital Prof Liability 10/1/13 - 9/30/14	Hospital Prof Liability 10/1/14 - 9/30/15	Hospital Prof Liability 10/1/15 - 9/30/16	Hospital Prof Liability 10/1/16 - 9/30/17	Hospital Prof Liability 10/1/17 - 9/30/18	Hospital Prof Liability 10/1/18 - 9/30/19	HPL Tail Coverage 6/30/2008	TOTAL
UNDERWRITING INCOME													
Direct Premium Earned	294,132.37	132,028.00	194,869.29	66,092.48	55,418.00	63,837.00	75,485.80	67,100.15	75,460.00	73,903.00	73,028.85	-	1,168,414.84
Reinsurance Premium Expensed	-	-	-	-	-	-	-	-	-	-	-	-	0.00
TOTAL UNDERWRITING INCOME	\$ 294,132.37	\$ 132,028.00	\$ 194,869.29	\$ 66,092.48	\$ 55,418.00	\$ 63,837.00	\$ 75,485.80	\$ 67,100.15	\$ 75,460.00	\$ 73,903.00	\$ 73,028.85	\$ -	\$ 1,168,414.84
UNDERWRITING EXPENSES													
Loss & Loss Expenses Paid	-	578,886.96	2,431.53	-	40,000.00	-	-	-	-	-	-	-	621,318.51
Change in case reserves	-	-	-	-	-	-	-	-	-	-	-	-	-
Change in IBNR	-	(578,886.93)	(2,431.10)	31,642.00	(7,608.00)	47,230.00	79,649.00	85,538.00	120,338.00	123,722.00	38,316.00	-	(42,491.02)
TOTAL EXPENSES	\$ -	\$ 0.04	\$ 0.45	\$ 31,642.00	\$ 32,392.00	\$ 47,230.00	\$ 79,649.00	\$ 85,538.00	\$ 120,338.00	\$ 123,722.00	\$ 38,316.00	\$ -	\$ 478,677.49
UNDERWRITING PROFIT (LOSS)	\$ 294,132.37	\$ 132,027.96	\$ 194,868.84	\$ 34,450.48	\$ 23,026.00	\$ 16,607.00	\$ (6,163.20)	\$ (18,437.85)	\$ (44,878.00)	\$ (49,819.00)	\$ 34,712.85	\$ -	\$ 589,737.35

D.C. Captive Insurance Agency

Accrued Interest / Interest Income

September 30, 2019

<u>Transactions</u>	<u>Beg Stmt Date</u>	<u>End Stmt Date</u>	<u>Interest earned</u>		<u>Interest Received</u>	<u>Accrued Interest</u>
			<u>O-Type Fund #0600</u>	<u>Local Fund #0100</u>		
Balance brought forward from 09/30/18						-
Fund balance interest	10/01/18	10/31/18	976.63		(976.63)	-
Fund balance interest	11/01/18	11/30/18	1,220.68		(1,220.68)	-
Fund balance interest	12/01/18	12/31/18	1,343.80		(1,343.80)	-
Fund balance interest	01/01/19	01/31/19	1,467.93		(1,467.93)	-
Fund balance interest	02/01/19	02/28/19	1,675.78		(1,675.78)	-
Fund balance interest	03/01/19	03/31/19	1,763.19		(1,763.19)	-
Fund balance interest	04/01/19	04/30/19	1,811.02		(1,811.02)	-
Fund balance interest	05/01/19	05/31/19	1,971.94		(1,971.94)	-
Fund balance interest	06/01/19	06/30/19	2,154.09		(2,154.09)	-
Fund balance interest	07/01/19	07/31/19	2,413.23		(2,413.23)	-
Fund balance interest	08/01/19	08/31/19	2,446.56		(2,446.56)	-
Fund balance interest	09/01/19	09/30/19	2,080.96		(2,080.96)	-
			21,325.81	-	(21,325.81)	-

Information comes from interest calculation schedules for funds 0100 Local and 0600 provided by Robert Preston titles start out as RJO - Interest Calculation - Fund

Deferred Acquisition Costs
September 30, 2019

Premium tax waived

D.C. Captive Insurance Agency**Capital****September 30, 2019**

		From Fund Balance Regi
CONTRIBUTED SURPLUS - Start up contribution		8,600,000.00
Eliminated Startup Contribution	2010	(8,411,004.16)
Netted against 2008 & 2009 G&A expenses		
Budget Support Act - Special Bed Tax	2010	1,909,500.00
Local Funds by Council	2010	2,100,000.00
Eliminated Startup Contribution	2011	(1,909,500.00)
Local Funds by Council	2011	(1,865,860.99)
Local Funds by Council	2011	2,500,000.00
Eliminated 2011 Contribution	2012	(2,325,107.27)
Local Funds by Council	2012	3,034,114.31
Eliminated 2012 Contribution	2013	(2,835,971.37)
Local Funds by Council	2013	5,287,213.85
Eliminated 2013 Contribution	2014	(4,789,365.35)
Local Funds by Council	2014	6,542,436.52
Eliminated 2014 Contribution	2015	(5,613,917.41)
Local Funds by Council	2015	7,221,960.33
Property Premium	2015	(2,116,275.00)
Funds Adjustment	2015	(705,926.91)
Local Funds by Council - 0100	2016	1,421,533.00
Property Premium	2016	(1,965,078.62)
Local Funds by Council - 0100	2017	2,071,533.00
Property Premium	2017	(1,757,997.00)
Eliminated 2017 Contribution	2017	(1,611,817.45)
Local Funds by Council - 0100	2018	2,122,921.00
Property Premium	2018	(1,912,892.04)
Eliminated 2018 Contribution	2018	0.00
Local Funds by Council - 0100	2019	2,094,954.41
Property Premium	2019	(2,991,450.35)
Eliminated 2018 Contribution	2019	0.00
Total Contributed Surplus		4,094,002.50

		From Income Statement
NET LOSS	2008	(273,227.11)
NET LOSS	2009	(86,415.38)
NET LOSS	2010	(300,301.55)
NET LOSS	2011	(26,229.24)
NET LOSS	2012	(201,329.81)
NET LOSS	2013	(428,515.73)
NET LOSS	2014	(840,723.68)
NET LOSS	2015	(1,494.09)
NET INCOME	2016	48,008.06
NET INCOME	2017	53,714.00
NET LOSS	2018	(274,348.42)
NET INCOME	2019	385,905.26
Total Retained Earnings		(1,944,957.69)

Total Capital (Stockholders Equity)	2,149,044.81
--	---------------------

D.C. Captive Insurance Agency

Internal Statements

2019

GL #		September 30, 2018		Yearly Activity		September 30, 2019	
		DR	CR	DR	CR	DR	CR
1000	Fund Balance	-	-	-	-	-	-
1001	Local Fund 0100	2,711,431.63	-	2,094,954.41	3,401,313.54	1,405,072.50	-
1002	O-Type Fund 0600	635,320.70	-	1,002,479.45	315,000.00	1,322,800.15	-
1200	Accrued Interest	-	-	-	-	-	-
1300	Premiums Receivable	-	-	73,028.85	73,028.85	-	-
	Fund Receivable	-	-	-	-	-	-
		-	-	-	-	-	-
2000	Accounts Payable	-	-	409,863.19	409,863.19	-	-
	Property Insurance Payable	-	-	-	-	-	-
2100	Property Insurance Payable	-	-	2,991,450.35	2,991,450.35	-	-
2300	Losses Payable	-	-	-	-	-	-
2500	Reserve for Unearned Premium	-	-	73,028.85	73,028.85	-	-
2600	Reserve for Outstanding Loss	-	687,116.49	108,289.00	-	-	578,827.49
3000	Common Stock	-	-	-	-	-	-
3200	Contributed Surplus	-	4,990,498.44	2,991,450.35	2,094,954.41	-	4,094,002.50
3500	Retained Earnings	2,056,514.37	-	-	-	2,330,862.79	-
4000	Gross Written Premiums	-	-	-	73,028.85	-	73,028.85
4005	Change in UEP- Gross- SIP	-	-	73,028.85	73,028.85	-	-
4300	Losses Paid	-	-	315,000.00	908,124.79	-	593,124.79
4500	LAE Paid	-	-	-	-	-	-
4700	Change in Loss Reserves	-	-	-	108,289.00	-	108,289.00
5000	Premium Taxes	-	-	-	-	-	-
		-	-	-	-	-	-
6000	Interest Income	-	-	-	21,325.81	-	21,325.81
7000	Captive Management Fees	-	-	23,600.00	3,150.00	20,450.00	-
7010	Education & Training	-	-	-	-	-	-
7100	Legal Fees	-	-	-	-	-	-
7200	Travel Expenses	-	-	452.62	-	452.62	-
7300	Consulting Fees	-	-	3,150.00	-	3,150.00	-
7830	Dues & Subscriptions	-	-	-	-	-	-
8400	Advertisement Expense	-	-	-	-	-	-
8402	Office Supplies	-	-	-	-	-	-
8403	Photocopy	-	-	-	-	-	-
8500	Misc. Expense	-	-	-	-	-	-
8501	Salaries	-	-	385,810.57	-	385,810.57	-
8600	Uncollectible Receivables	-	-	-	-	-	-
9000	FIT- Current	-	-	-	-	-	-
9100	FIT- Deferred	-	-	-	-	-	-
9200	FIT- Prior Year Adj	-	-	-	-	-	-
		5,403,266.70	5,677,614.93	10,545,586.49	10,545,586.49	5,468,598.63	5,468,598.44
	Variance		-		-		0.19

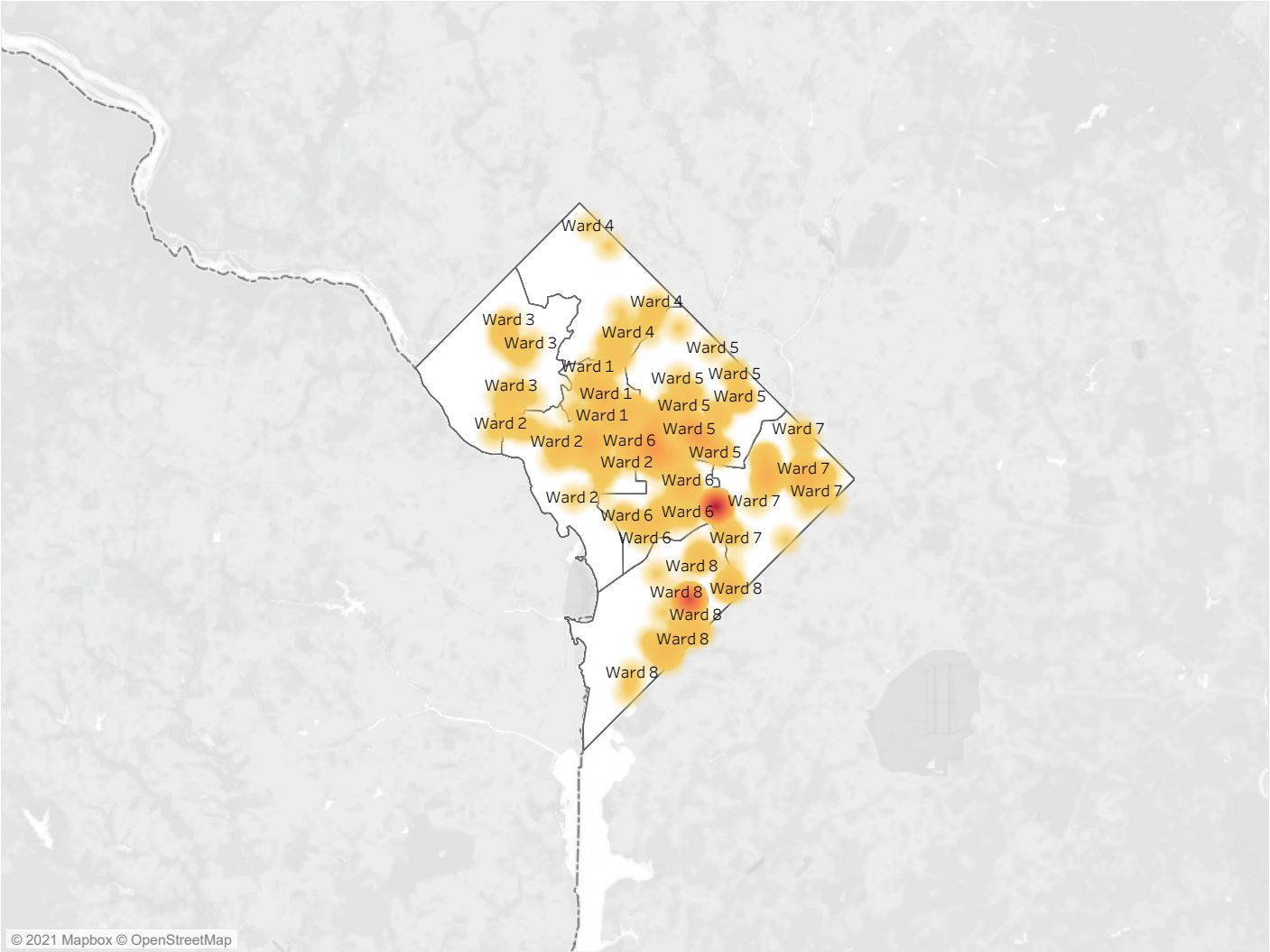
Net Income (Loss)

(274,348.23)

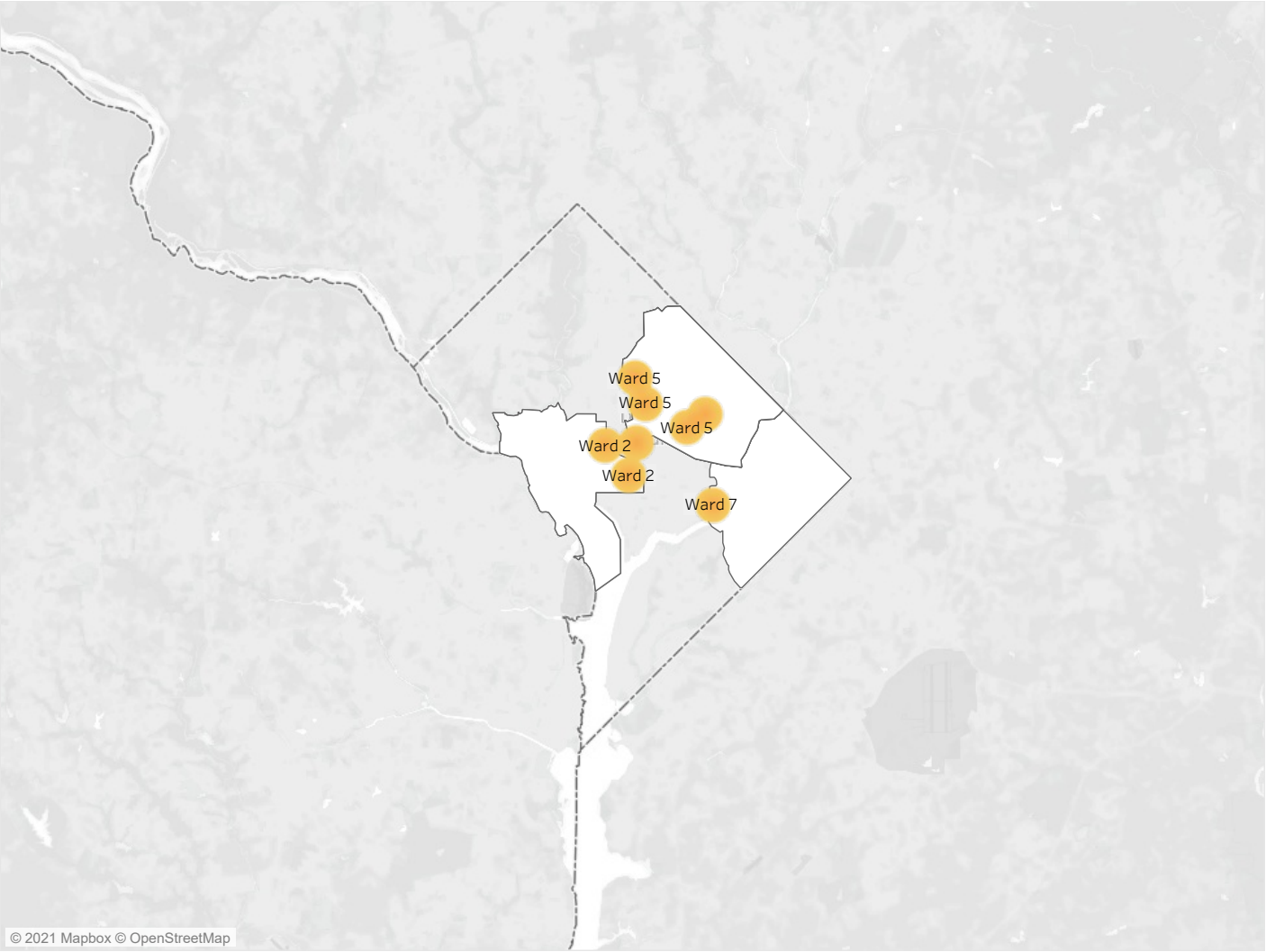
385,905.26

ATTACHMENT 16

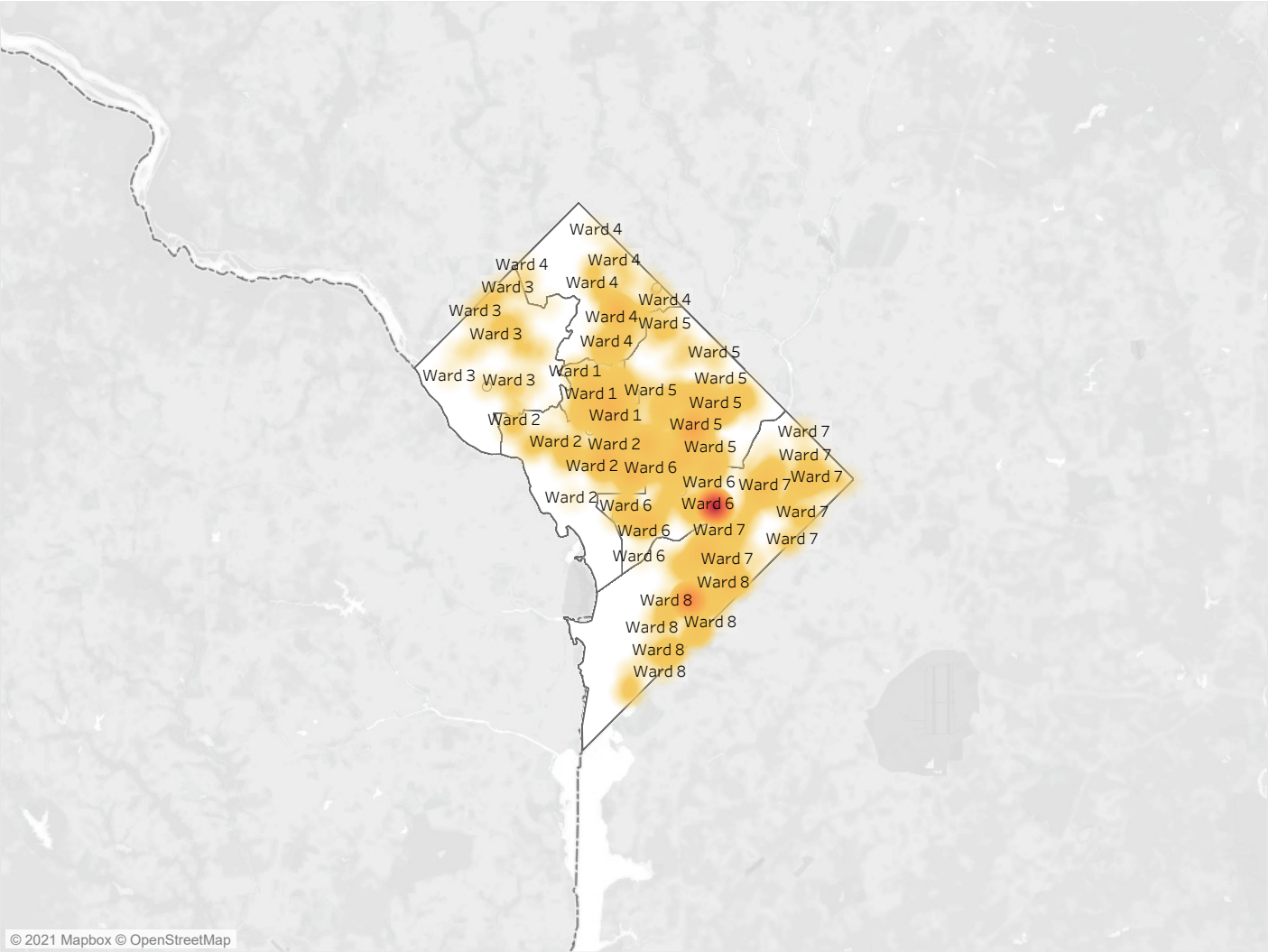
FY20 WC Claims



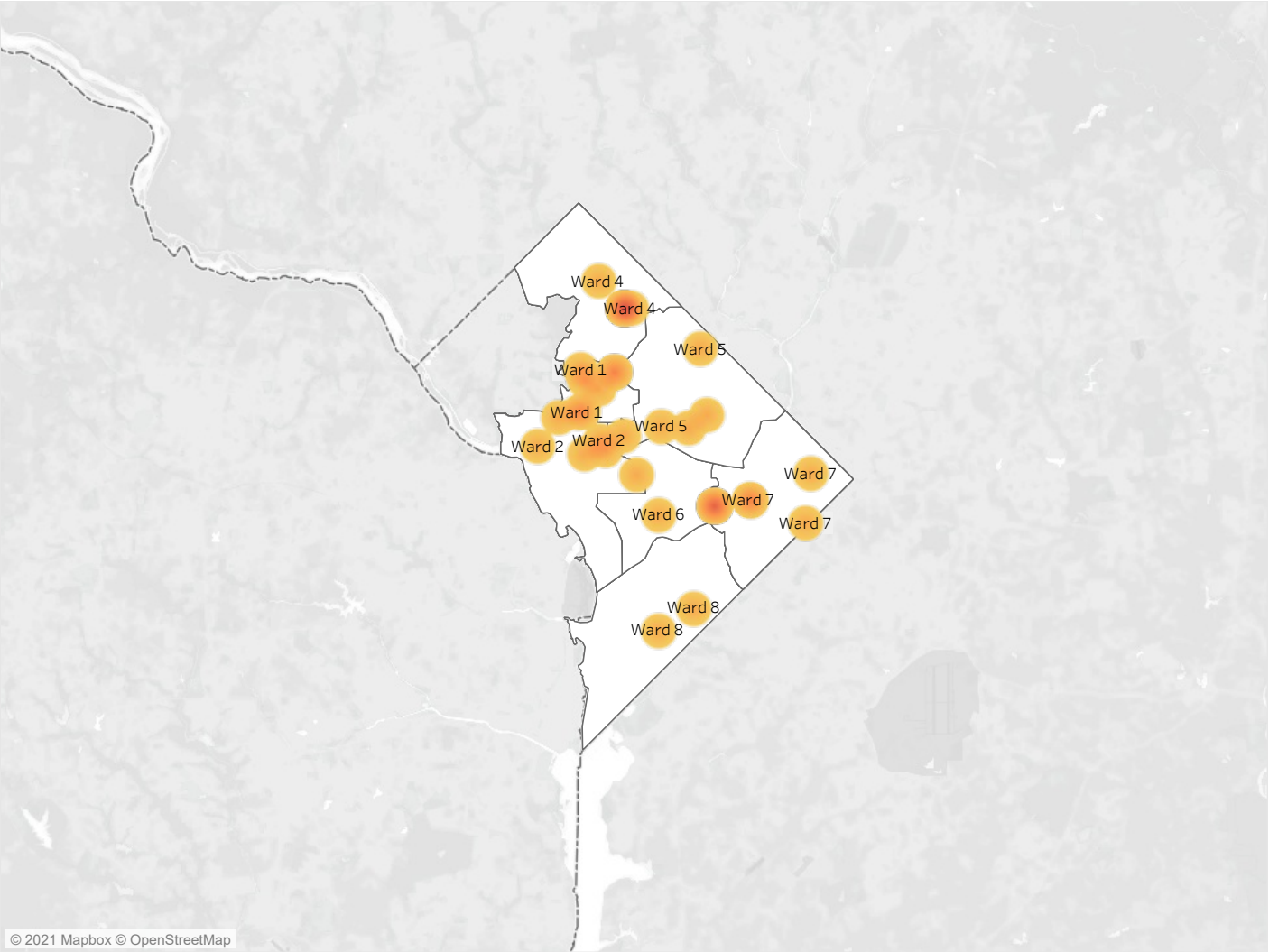
FY21 WC Claims



FY20 Tort Claims



FY21 Tort Claims



ATTACHMENT 17

**Risk Prevention and Safety Site Inspections
FY 20 and FY 21, to date**

Fiscal Year	Agency	Building Name	Address	Date of Inspection
FY 21	HA - Department of Parks and Recreation	Palisades Recreation Center	5200 Sherier Place NW	02/17/2021
FY 21	HA - Department of Parks and Recreation	Chevy Chase Community Center	5601 Connecticut Avenue NW	02/17/2021
FY 21	HA - Department of Parks and Recreation	Chevy Chase Recreation Center	5500 41st Street NW	02/17/2021
FY 21	HA - Department of Parks and Recreation	Jelleff Recreation Center	3265 S Street NW	02/17/2021
FY 21	HA - Department of Parks and Recreation	Macomb Recreation Center	3409 Macomb Street NW	02/17/2021
FY 21	CE - DC Public Library	Palisades Library	4901 V Street NW	02/10/2021
FY 21	FB - Fire & Emergency Medical Services Department	Fleet Maintenance	1103 Half Street SW	02/09/2021
FY 21	HA - Department of Parks and Recreation	Hillcrest Recreation Center	3100 Denver Street SE	02/05/2021
FY 21	FA - Metropolitan Police Department	Third District Headquarters Fleet Maintenance	1624 V Street NW	02/03/2021
FY 21	FA - Metropolitan Police Department	Third District Headquarters Fleet Maintenance	1624 V Street NW	02/03/2021
FY 21	FA - Metropolitan Police Department	4th District Police Headquarters (Sub Police Station)	6001 Georgia Avenue NW	02/03/2021
FY 21	CE - DC Public Library	DCPL - Northeast Library	330 7th Street NE	02/03/2021
FY 21	HA - Department of Parks and Recreation	Hillcrest Spray Park	3100 Denver Street SE	01/29/2021
FY 21	HA - Department of Parks and Recreation	Benning Stoddert Recreation Center	100 Stoddert Place SE	01/29/2021
FY 21	HA - Department of Parks and Recreation	Benning Stoddert Spray Park	100 Stoddert Place SE	01/29/2021
FY 21	HA - Department of Parks and Recreation	Deanwood Recreation Center	1350 49th Street NE	01/29/2021
FY 21	HA - Department of Parks and Recreation	Deanwood Recreation Center	1350 49th Street NE	01/29/2021
FY 21	HA - Department of Parks and Recreation	Raymond Recreation Center	3725 10th Street NW	01/28/2021
FY 21	HA - Department of Parks and Recreation	Harry Thomas Recreation Center	1743 Lincoln Road NE	01/28/2021
FY 21	HA - Department of Parks and Recreation	Upshur Recreation Center	4300 Arkansas Avenue NW	01/28/2021
FY 21	HA - Department of Parks and Recreation	Kalorama Park & Recreation Center	1875 Columbia Road NW	01/28/2021
FY 21	HA - Department of Parks and Recreation	Bald Eagle Recreation Center	100 Joliet Street SW	01/11/2021
FY 21	HA - Department of Parks and Recreation	Barry Farm Recreation Center	1230 Sumner Road SE	01/11/2021
FY 21	HA - Department of Parks and Recreation	Turkey Thicket Recreation Center	1100 Michigan Avenue NE	01/07/2021
FY 21	HA - Department of Parks and Recreation	North Michigan Recreation Center	1333 Emerson Street NE	01/07/2021
FY 21	HA - Department of Parks and Recreation	Lamond Recreation Center	20 Tuckerman Street NE	01/07/2021
FY 21	HA - Department of Parks and Recreation	Marvin Gaye Recreation Center	15 61st Street NE	01/04/2021
FY 21	HA - Department of Parks and Recreation	Benning Park Community Center	5100 Southern Avenue SE	01/04/2021
FY 21	HA - Department of Parks and Recreation	Brentwood Park Recreation Center	2311 14th Street NE	01/04/2021
FY 21	HA - Department of Parks and Recreation	Deanwood Recreation Center	1350 49th Street NE	01/04/2021
FY 21	HA - Department of Parks and Recreation	Kenilworth Recreation Center	4321 Ord Street NE	01/04/2021
FY 21	CE - DC Public Library	Watha T. Daniel Library (Shaw Library)	1630 7th Street NW	12/23/2020
FY 21	CE - DC Public Library	Bellevue (William O. Lockridge) Library	115 Atlantic Street SW	12/23/2020
FY 21	HA - Department of Parks and Recreation	Emery Heights Community Center	5801 Georgia Avenue	12/16/2020
FY 21	HA - Department of Parks and Recreation	North Michigan Recreation Center	1333 Emerson Street NE	12/16/2020
FY 21	HA - Department of Parks and Recreation	Fort Stevens Spray Park	1327 Van Buren Street NW	12/16/2020
FY 21	HA - Department of Parks and Recreation	Fort Stevens Recreation Center	1327 Van Buren Street NW	12/14/2020
FY 21	KA - District Department of Transportation	DDOT - Annex 8	280 McMillan Drive NW	12/10/2020
FY 21	KA - District Department of Transportation	DDOT - Annex 8 (Portable Office/ Lab)	280 McMillan Drive NW	12/10/2020
FY 21	HA - Department of Parks and Recreation	Takoma Recreation Center / Community Center	300 Van Buren Street NW	12/06/2020
FY 21	CE - DC Public Library	Dorothy L. Height / Benning Branch Library	3935 Benning Road NE	12/02/2020
FY 21	CE - DC Public Library	Francis Gregory Library	3660 Alabama Avenue SE	11/18/2020

**Risk Prevention and Safety Site Inspections
FY 20 and FY 21, to date**

Fiscal Year	Agency	Building Name	Address	Date of Inspection
FY 21	HA - Department of Parks and Recreation	Turkey Thicket recreation Center	1100 Michigan Avenue NE	10/20/2020
FY 21	HA - Department of Parks and Recreation	Arboretum Recreation Center	2412 Rand Place NE	10/20/2020
FY 21	HA - Department of Parks and Recreation	Lamond Recreation Center	20 Tuckerman Street NE	10/20/2020
FY 21	HA - Department of Parks and Recreation	Riggs-LaSalle Community Center	501B Riggs Road NE	10/20/2020
FY 21	HA - Department of Parks and Recreation	North Michigan Recreation Center	1333 Emerson Street NE	10/20/2020
FY 21	CE - DC Public Library	Georgetown Neighborhood Library	3260 R Street NW	10/19/2020
FY 21	CE - DC Public Library	West End Library	2301 L Street NW	10/19/2020
FY 21	HA - Department of Parks and Recreation	Georgetown Recreation Center/ Volta Park Recreation Center	1555 34th Street NW	10/19/2020
FY 21	HA - Department of Parks and Recreation	Mitchell Park Recreation Center	1801 23rd Street NW	10/07/2020
FY 21	HA - Department of Parks and Recreation	Rose Park Recreation Center	2609 Dumbarton Street NW	10/07/2020
FY 21	HA - Department of Parks and Recreation	Guy Mason Recreation Center	3600 Calvert Street NW	10/07/2020
FY 21	HA - Department of Parks and Recreation	Friendship Recreation Center	4500 Van Ness Street NW	10/07/2020
FY 21	HA - Department of Parks and Recreation	Hearst Recreation Center	3950 37th Street NW	10/07/2020
FY 21	HA - Department of Parks and Recreation	Kennedy Recreation Center	1401 7th Street NW	10/07/2020
FY 21	HA - Department of Parks and Recreation	Banneker Recreation Center	2500 Georgia Avenue NW	10/07/2020
FY 21	HA - Department of Parks and Recreation	Parkview Recreation Center	693 Otis Place NW	10/07/2020
FY 21	HA - Department of Parks and Recreation	Columbia Heights Community Center	1480 Girard Street NW	10/07/2020
FY 21	HA - Department of Parks and Recreation	Harrison Recreation Center	1330 V Street NW	10/07/2020
FY 20	HA - Department of Parks and Recreation	Petworth Recreation Center	801 Taylor Street NW	09/29/2020
FY 20	CE - DC Public Library	Petworth Library	4200 Kansas Avenue NW	09/29/2020
FY 20	HA - Department of Parks and Recreation	Twin Oaks Community Garden- Field House 1	4025 14TH Street NW	09/29/2020
FY 20	HA - Department of Parks and Recreation	Upshur Recreation Center	4300 Arkansas Avenue NW	09/29/2020
FY 20	HA - Department of Parks and Recreation	Upshur Pool	4300 Arkansas Avenue NW	09/29/2020
FY 20	HA - Department of Parks and Recreation	Portable #1	1350 Upshur Street NW	09/29/2020
FY 20	HA - Department of Parks and Recreation	Portable #2	1350 Upshur Street NW	09/29/2020
FY 20	HA - Department of Parks and Recreation	Hamilton Recreation Center	1340 Hamilton Street NW	09/29/2020
FY 20	HA - Department of Parks and Recreation	Joseph Cole Recreation Center	1299 Neal Street NE	09/25/2020
FY 20	HA - Department of Parks and Recreation	Edgewood Recreation Center	301 Franklin Street NE	09/24/2020
FY 20	HA - Department of Parks and Recreation	Emery Heights Community Center	5801 Georgia Avenue	09/24/2020
FY 20	HA - Department of Parks and Recreation	Hardy Recreation Center	4500 Q Street NW	09/24/2020
FY 20	JA - Department of Human Services	Emery Shelter	1725 Lincoln Road NE	09/24/2020
FY 20	HA - Department of Parks and Recreation	Glover Park Community Center	4001 Calvert Street NW	09/24/2020
FY 20	HA - Department of Parks and Recreation	Deanwood Recreation Center	1350 49th Street NE	09/24/2020
FY 20	HA - Department of Parks and Recreation	Emery Heights Community Center	5801 Georgia Avenue	09/23/2020
FY 20	KT - Department of Public Works	Salt Dome	401 Farragut Street NE	09/23/2020
FY 20	FA - Metropolitan Police Department	Impoundment Office Building	5001 Shepherd Parkway SW	09/22/2020
FY 20	FA - Metropolitan Police Department	First District Police Station	101 M Street SW	09/22/2020
FY 20	FA - Metropolitan Police Department	Police Station	801 Shepherd Street NW	09/22/2020
FY 20	FA - Metropolitan Police Department	6th District MPD HQ	5000 Hayes Street NE	09/22/2020
FY 20	FA - Metropolitan Police Department	Third District Headquarters	1624 V Street NW	09/22/2020
FY 20	HC - Department of Health	Department of Health	899 North Capitol St NE	09/21/2020

**Risk Prevention and Safety Site Inspections
FY 20 and FY 21, to date**

Fiscal Year	Agency	Building Name	Address	Date of Inspection
FY 20	HA - Department of Parks and Recreation	Benning Stoddert Recreation Center	100 Stoddert Place SE	09/21/2020
FY 20	HA - Department of Parks and Recreation	Ridge Road Community Center	830 Ridge Road SE	09/21/2020
FY 20	HA - Department of Parks and Recreation	Benning Park Community Center	5100 Southern Avenue SE	09/21/2020
FY 20	DC - Office of Lottery and Charitable Games	Lottery Board / DYRS	2235 Shannon Place, SE	09/17/2020
FY 20	CE - DC Public Library	Anacostia Branch Library	1800 Good Hope Road SE	09/17/2020
FY 20	HA - Department of Parks and Recreation	Anacostia Recreation Center	1800 Anacostia Drive SE	09/17/2020
FY 20	HA - Department of Parks and Recreation	Fort Stanton Recreation Center	1812 Erie Street SE	09/16/2020
FY 20	HA - Department of Parks and Recreation	Ridge Road Community Center	830 Ridge Road SE	09/16/2020
FY 20	HA - Department of Parks and Recreation	Hillcrest Recreation Center	3100 Denver Street SE	09/16/2020
FY 20	HA - Department of Parks and Recreation	Recreation Center	441 Mississippi Avenue SE	09/16/2020
FY 20	HA - Department of Parks and Recreation	Anacostia Recreation Center	1800 Anacostia Drive SE	09/15/2020
FY 20	HA - Department of Parks and Recreation	Watkins Recreation Center	420 12th Street SE	09/15/2020
FY 20	HA - Department of Parks and Recreation	Randall Recreation Center	820 South Capitol Street SW	09/11/2020
FY 20	HA - Department of Parks and Recreation	Congress Heights Recreation Center	611 Alabama Ave, SE	09/11/2020
FY 20	HA - Department of Parks and Recreation	Douglass Community Center	1898 Stanton Terrace SE	09/11/2020
FY 20	HA - Department of Parks and Recreation	Ferebee Hope Recreation Center	3999 8th Street SE	09/11/2020
FY 20	HA - Department of Parks and Recreation	Kenilworth Aquatic Center	4321 Ord Street NE	07/13/2020
FY 20	HA - Department of Parks and Recreation	Randall Pool	25 I Street SW	07/10/2020
FY 20	HA - Department of Parks and Recreation	Fort Stanton Pool	1800 ERIE STREET SE	07/10/2020
FY 20	HA - Department of Parks and Recreation	Rosedale Pool	1701 Gales Street NE	07/08/2020
FY 20	HA - Department of Parks and Recreation	Langdon Park Community Center Bathhouse	2860 Mills Avenue NE	07/08/2020
FY 20	HA - Department of Parks and Recreation	Upshur Pool	4300 Arkansas Avenue NW	07/07/2020
FY 20	HA - Department of Parks and Recreation	Oxon Run Pool	501 Mississippi Avenue SE	07/07/2020
FY 20	HA - Department of Parks and Recreation	Francis Pool	2435 N Street NW	07/06/2020
FY 20	HA - Department of Parks and Recreation	Banneker Recreation Center	2500 Georgia Avenue NW	07/06/2020
FY 20	HA - Department of Parks and Recreation	Francis Pool	2435 N Street NW	07/06/2020
FY 20	HA - Department of Parks and Recreation	Harry Thomas Sr. Pool	1743 Lincoln Road NE	07/06/2020
FY 20	FX - Office of the Chief Medical Examiner	Building 11 - Laboratory	1901 E Street SE	04/26/2020
FY 20	BA - Office of the Secretary	One Judiciary Square	441 4th Street NW	03/12/2020
FY 20	AG - Board of Ethics and Government Accountability	One Judiciary Square	441 4th Street NW	03/12/2020
FY 20	HA - Department of Parks and Recreation	Hearst Recreation Center	3950 37TH STREET NW	03/12/2020
FY 20	HA - Department of Parks and Recreation	Friendship Recreation Center	4500 VAN NESS STREET NW	03/12/2020
FY 20	HA - Department of Parks and Recreation	Macomb Recreation Center	3409 MACOMB STREET NW	03/12/2020
FY 20	HA - Department of Parks and Recreation	Takoma Recreation Center	300 VAN BUREN STREET NW	03/10/2020
FY 20	CB - Office of the Attorney General	One Judiciary Square	441 4th Street NW	03/09/2020
FY 20	HA - Department of Parks and Recreation	Guy Mason Recreation Center	3600 Calvert Street, NW	03/05/2020
FY 20	KV - Department of Motor Vehicles	DMV Southwest Service Center	95 M Street SW	03/02/2020
FY 20	KV - Department of Motor Vehicles	Motor Vehicle Inspection Station	1001 Half Street SW	03/02/2020
FY 20	JA - Department of Human Services	Building 12 - Families Shelter	1901 E Street SE	02/29/2020
FY 20	HC - Department of Health	Building 15 - Chest Clinic	1901 E Street SE	02/29/2020
FY 20	AM - Department of General Services	Building 7 - Boiler Plant	1901 E Street SE	02/29/2020
FY 20	HC - Department of Health	Building 8 - STD Clinic	1901 E Street SE	02/29/2020

**Risk Prevention and Safety Site Inspections
FY 20 and FY 21, to date**

Fiscal Year	Agency	Building Name	Address	Date of Inspection
FY 20	FL - Department of Corrections	Building 6 - Warehouse and Offices	1901 E Street SE	02/29/2020
FY 20	HA - Department of Parks and Recreation	Turkey Thicket Recreation Center	1100 Michigan Avenue NE	02/27/2020
FY 20	HA - Department of Parks and Recreation	North Michigan Recreation Center	1333 Emerson Street NE	02/27/2020
FY 20	HA - Department of Parks and Recreation	Lamond Riggs Recreation Center	20 Tuckerman Street NE	02/27/2020
FY 20	CR - Department of Consumer and Regulatory Affairs	DCGH Building NO.8	1900 Massachusetts Avenue SE	02/27/2020
FY 20	HA - Department of Parks and Recreation	DPR Headquarters	1275 First Street NE	02/25/2020
FY 20	JA - Department of Human Services	Harriet Tubman Emergency Women's Shelter - Catholic Charities	1910 Massachusetts Avenue SE	02/20/2020
FY 20	RM - Department of Behavioral Health	Building 14 - CPEP	1901 E Street SE	02/19/2020
FY 20	JA - Department of Human Services	Building 13 - Women Services	1901 E Street SE	02/19/2020
FY 20	AM - Department of General Services	Building 7 - Boiler Plant	1901 E Street SE	02/19/2020
FY 20	HA - Department of Parks and Recreation	Barry Farm Recreation Center	1230 Sumner Road SE	02/13/2020
FY 20	FA - Metropolitan Police Department	Impoundment Office Building	5001 Shepherd Parkway SW	02/13/2020
FY 20	JZ - Department of Youth Rehabilitation Services	Youth Rehabilitation Building	1000 Mount Olivet Road NE	02/04/2020
FY 20	BY - Department of Aging and Community Living	Pleasant Hill Group Home	2501 18th Street NE	01/30/2020
FY 20	RK - Office of Risk Management	One Judiciary Square	441 4th Street NW	01/30/2020
FY 20	HA - Department of Parks and Recreation	Bald Eagle Recreation Center	100 Joliet Street SW	01/28/2020
FY 20	BA - Office of the Secretary	District of Columbia Office of Public Records	1300 Naylor Court, NW	01/28/2020
FY 20	FA - Metropolitan Police Department	Fourth District Substation	750 Park Road NW	01/22/2020
FY 20	FA - Metropolitan Police Department	MPD Heliport	2200 South Capitol Street SE	01/22/2020
FY 20	FA - Metropolitan Police Department	Bundy Center	429 O Street NW	01/16/2020
FY 20	FA - Metropolitan Police Department	Peoples Building	64 New York Avenue NE	01/16/2020
FY 20	FA - Metropolitan Police Department	Police Station	801 Shepherd Street NW	01/14/2020
FY 20	UC - Office of Unified Communications	310 McMillan Drive NW	310 McMillan Drive NW	01/13/2020
FY 20	UC - Office of Unified Communications	310 McMillan Drive NW	310 McMillan Drive NW	01/13/2020
FY 20	HA - Department of Parks and Recreation	Mitchell Park Recreation Center	1801 23rd Street NW	01/08/2020
FY 20	CE - DC Public Library	West End Library	1101-1111 24th Street NW	01/07/2020
FY 20	CE - DC Public Library	330 7th Street, NE	330 7th Street NE	01/06/2020
FY 20	HA - Department of Parks and Recreation	Watkins Recreation Center	400 12th Street SE	01/06/2020
FY 20	AM - Department of General Services	Lottery Board, DYRS	2235 Shannon Place SE	12/21/2019
FY 20	CE - DC Public Library	Parkland-Turner Library	1547 Alabama Avenue SE	12/18/2019
FY 20	HA - Department of Parks and Recreation	Douglass Community Center & Pool	1898 Stanton Terrace SE	12/18/2019
FY 20	RM - Department of Behavioral Health	Peoples Building	64 New York Avenue NE	12/17/2019
FY 20	CE - DC Public Library	403 7th Street, SE	403 7th Street SE	12/10/2019
FY 20	HA - Department of Parks and Recreation	King Greenleaf recreation Center	201 N Street SW	12/10/2019
FY 20	RK - Office of Risk Management	One Judiciary Square	441 4th Street NW	12/04/2019
FY 20	JZ - Department of Youth Rehabilitation Services	Youth Services Agency	450 H Street NW	11/27/2019
FY 20	TC - Department of For-Hire Vehicles	Lottery Board / DYRS	2235 Shannon Place SE	11/22/2019
FY 20	DC - Office of Lottery and Charitable Games	Lottery Board / DYRS	2235 Shannon Place SE	11/21/2019
FY 20	HA - Department of Parks and Recreation	Deanwood Recreation Center	1350 49th Street NE	11/21/2019
FY 20	CE - DC Public Library	Deanwood Public Library	1350 49th Street NE	11/21/2019
FY 20	HA - Department of Parks and Recreation	Rose Park Recreation Center	2609 Dumbarton Street NW	11/20/2019
FY 20	BA - Office of the Secretary	District of Columbia Office of Public Records	1300 Naylor Court NW	11/15/2019

**Risk Prevention and Safety Site Inspections
FY 20 and FY 21, to date**

Fiscal Year	Agency	Building Name	Address	Date of Inspection
FY 20	JA - Department of Human Services	Patricia Handy Shelter	810 5th Street NW	11/14/2019
FY 20	HA - Department of Parks and Recreation	Trinidad Recreation Center	1310 Childress Street NE	11/07/2019
FY 20	HA - Department of Parks and Recreation	Kennedy Recreation Center	1401 7th Street NW	10/31/2019
FY 20	CE - DC Public Library	Watha T. Daniel Library	1630 7th Street NW	10/31/2019
FY 20	HA - Department of Parks and Recreation	Arboretum Recreation Center	2412 Rand Place NE	10/30/2019
FY 20	FA - Metropolitan Police Department	Franklin D. Reeves Center	2000 14th Street NW	10/22/2019
FY 20	CF - Department of Employment Services	Franklin D. Reeves Center	2000 14th Street NW	10/21/2019
FY 20	BY - Department of Aging and Community Living	Washington Senior Wellness Center	3001 Alabama Avenue SE	10/18/2019
FY 20	HA - Department of Parks and Recreation	William Rumsey Sr. Aquatic Center	635 North Carolina Avenue SE	10/17/2019
FY 20	CE - DC Public Library	Franklin D. Reeves Center	2000 14th Street NW	10/10/2019
FY 20	HA - Department of Parks and Recreation	Kalorama Recreation Center	1875 Columbia Road NW	10/10/2019
		DC Commission on the Arts and Humanities Office of Cable Television, Film, Music and Entertainment Child & Family Services Agency		
FY 20	CB - Office of the Attorney General	Office of Chief Technology Officer	200 I Street SE	10/09/2019

ATTACHMENT 18

Agency Risk Management Representatives (ARMRs)

AGENCY ABBREV /ACRONYM	AGENCY	ARMR	JOB TITLE
ABRA	Alcoholic Beverage Regulation Administration	Camille Robinson	Administrative Officer
DCBOE	Board of Elections	Sylvia Goldsberry-Adams	Deputy Director
BEGA	Board of Ethics and Government Accountability	Sandra Peterson	Administrative Officer
CFSA	Child and Family Services Agency	Sonya Williams	Risk and Compliance Manager
DCCA	Commission on the Arts and Humanities	Carolyn Parker	Office Manager
DCC	Council of the District of Columbia	Pending	
DCHA	DC Housing Authority	Pending	
DCNG	DC National Guard	Pending	
DCPL	DC Public Library	Matthew Johnson	Risk Manager
DCPS	DC Public Schools	Conchita Hudson-Hall	Deputy Chief Compliance and Policy
DCWater	DC Water	Tanya Deleon	Risk Manager
DACL	Department of Aging and Community Living	Tanya Reid	Administrative Services Supervisor
DBH	Department of Behavioral Health	Mary Campbell	Risk Manager & Special Services Coordinator
DCRA	Department of Consumer and Regulatory Affairs	Tania Williams	Risk Management Coordinator
DOC	Department of Corrections	Edson Ogunshakin	Risk Management Specialist
DDS	Department of Disability Services	William Davidson	Support Services Supervisor
DOES	Department of Employment Services	Roberta Collins	Associate Director for Administrative Services
DOEE	Department of Energy and Environment	Jayne Deichmeister	Environmental Emergency Program Coordinator
DFHV	Department of For-Hire Vehicles	John Richardson	Facility Operations Specialist
DFS	Department of Forensic Sciences	Dale Jennings	Safety and Occupational Health Officer
DGS	Department of General Services	Kasmin Holt (Interim)	Chief Special Projects Officer
DOH	Department of Health	Mildred Anderson	Risk Management Coordinator
DHCF	Department of Health Care Finance	Melanie Bell	Operations Manager
DHCD	Department of Housing and Community Development	Drew Hubbard	Deputy Director
DCHR	Department of Human Resources	Jeremy Grey	Paralegal Specialist
DHS	Department of Human Services	Lee Hagy	Supervisory Management Analyst
DISB	Department of Insurance, Securities and Banking	Katrice Purdie	Chief of Policy and Administration
DMV	Department of Motor Vehicles	Robert Johnson	Investigator
DPR	Department of Parks and Recreation	Michael Lightfoot	Risk Manager
DPW	Department of Public Works	Mark Cancelosi	Safety and Occupational Health Officer / Risk Manager
DSLBD	Department of Small & Local Business Development	Carolyn Smallwood	Staff Assistant
DYRS	Department of Youth Rehabilitation Services	Emmanuel Amaechi	Safety and Occupational Health Specialist
DME	Deputy Mayor for Education	Pending	
DDOT	District Department of Transportation	Natalie Jones-Best	Emergency Preparedness and Risk Manager
SBOE	District of Columbia State Board of Education	John-Paul Hayworth	Executive Director
EOM	Executive Office of the Mayor	Howard Etwaroo	Support Specialist
FEMS	Fire & Emergency Medical Services Department	Sean Egan	Deputy Fire Chief

Agency Risk Management Representatives (ARMRs)

AGENCY ABBREV /ACRONYM	AGENCY	ARMR	JOB TITLE
HBX	Health Benefit Exchange Authority	Pending	
HSEMA	Homeland Security & Emerg. Mgmt. Agency	Russell Gardner	Emergency Planning Officer
OAPIA	Mayor's Office on Asian and Pacific Islander Affairs	Ngoc Trinh	Special Assistant
MPD	Metropolitan Police Department	Kimberly M. Dickerson	Inspector
OAHA	Office of Administrative Hearings	Shawn Nolen	Attorney Advisor
OCTFME	Office of Cable Television, Film, Music & Entertainment	Pending	
OCF	Office of Campaign Finance	Pending	
OCPC	Office of Contracting and Procurement	Marvin Manassa	Assistant Director for Business
ODR	Office of Disability Rights	Susie Mcfadden-Resper	ADA Compliance Specialist
OFRM	Office of Finance and Resource Management	Pending	
OHR	Office of Human Rights	Josephine Ansah Brew	Administrative Support Specialist
DCLB	Office of Lottery and Charitable Games	Edwardo Jackson	Investigator
OP	Office of Planning	Rita Poindexter	Staff Assistant
OPC	Office of Police Complaints	Pending	
ORM	Office of Risk Management	Angela Mande	Risk Prevention and Safety Program Manager
OAG	Office of the Attorney General	Tarifah Coaxum	Supervisor Trial Attorney
OCFO	Office of the Chief Financial Officer	Pending	
OCME	Office of the Chief Medical Examiner	Beverly Fields	Chief of Staff
OCTO	Office of the Chief Technology Officer	Rosalyn McKine	Program Manager
OCA	Office of the City Administrator	Christina Murphy	Special Assistant
DMPED	Office of the Deputy Mayor for Planning & Economic Development	Sheila Cuthrell	Director of Operations
OIG	Office of the Inspector General	Pending	
OPC	Office of the People's Counsel	Erica Bright	Program Analyst
OS	Office of the Secretary	Lucky Barbieri	Program Support Specialist
OSSE	Office of the State Superintendent of Education	Bernita Carmichael	Risk Management Coordinator
OUC	Office of Unified Communications	Yolanda Taylor-Weems	Program Analyst
DCPSC	Public Service Commission	Pending	
UDC	University of the District of Columbia	Alex Bako	Director Risk Management

ATTACHMENT 19



GOVERNMENT OF THE DISTRICT OF COLUMBIA
OFFICE OF RISK MANAGEMENT



Jed Ross
Chief Risk Officer

Risk Management Council
Meeting Agenda

November 19, 2019
10:00 a.m. to 11:30 p.m.

I. Introductions

II. Office of Risk management Driver Training Program

Lead by RPS Staff

- a. Questions and Discussion**

III. Review of ORM Vehicle Operation Policy

- a. Questions and Discussion**

IV. Open Discussion



GOVERNMENT OF THE DISTRICT OF COLUMBIA
Office of Risk Management



Jed Ross
Chief Risk Officer

Risk Management Council Minutes – September 23, 2019

Risk Prevention and Safety (RPS) staff led a discussion on driver safety. The two main topics of discussion included:

1. The soon to be released “Drive to Zero” training program.
2. Proposed enhancements to the Office of Risk Management policies addressing vehicle operation.





DISTRICT OF COLUMBIA GOVERNMENT

DC OFFICE OF RISK MANAGEMENT

Phillip A. Lattimore, III
Chief Risk Officer

Risk Management Council Meeting Wednesday, August 14, 2019



Name	Agency	Signature	Email Address	Phone Number
John Richardson	DFHV		john.richardson@dc.gov	(202) 645-4431
Matthew Johnson	DCPL		matthew.johnson@dc.gov	202 478 1378
LEE Hays	DHS		LEE.Hays@dc.gov	202-671-4121
Bob Johnson	DMV		Robert.johnson5@dc.gov	729-7117
Tania Williams	DCRA		Tania.Williams@dc.gov	2/478-9272
Deborah Spencer	DOH		deborah.spencer@dc.gov	202/727-8946
Gulnarg Gabsalyamova	Codice		gulnarg.gabsalyamova@codice.feeb.com	202 321 6680
Shawn Nolen	OAH		Shawn.Nolen@dc.gov	



DISTRICT OF COLUMBIA GOVERNMENT
DC OFFICE OF RISK MANAGEMENT

Phillip A. Lattimore, III
Chief Risk Officer

Risk Management Council Meeting
Wednesday, August 14, 2019

Name	Agency	Signature	Email Address	Phone Number
Daniel Nwagbara	OCP			2/734-2227
Michael L. Lattimore	DIR		@dc.gov	2/997-3138



GOVERNMENT OF THE DISTRICT OF COLUMBIA
OFFICE OF RISK MANAGEMENT



Jed Ross
Chief Risk Officer

Risk Management Council
Meeting Agenda

February 11, 2020
10:00 a.m. to 11:30 p.m.

I. Introductions

II. District of Columbia Re-Opening Discussion

Lead by Mr. Dan Pham and Mr. Amir Farhangi from DCHR

- a. Access the Re-Opening Guide at: <http://returntowork.dc.gov>
- b. Questions and Discussion

III. Emergency Response Plans (ERPs)

- a. Transition to ERisk
- b. How to Guide
- c. FEMS Review
- d. Accessing ERisk

IV. Open Discussion





GOVERNMENT OF THE DISTRICT OF COLUMBIA
Office of Risk Management



Jed Ross
Chief Risk Officer

Risk Management Council Minutes – February 11, 2020

Building Emergency Response Team (BERT) was the main topic of discussion. Updates were provided on the ERisk modules:

1. Emergency Response Plans (ERPs) We are currently in a pilot program with OSSE, DPR, and DBH.
2. Driver Authorization – The Vehicle Accountability Policy process is now being completed in ERisk. If you have employees that operate vehicles on District business they must complete the process online through the Driver Authorization portal.





DISTRICT OF COLUMBIA GOVERNMENT
DC OFFICE OF RISK MANAGEMENT



Jed I. Ross
Chief Risk Officer

2nd Risk Council Meeting
Tuesday, February 11, 2020

Name	Agency	Signature	Email Address	Phone Number
Jayne Deichmeister	DOEE		jayne.deichmeister@dc.gov	202-369-3652
Tamisha Pedkosso Deputy of Katherine Freddie	DISD		tamisha.pedkosso@dc.gov	442 7780
Mary Carlier	DBH		mary.carlier@dc.gov	202-673-7680
John Richardson	DFHV		john.richardson@dc.gov	645-4431
Natalie Jones Best	DDOT		natalie.jonesbest@dc.gov	671-0539
Samantha Emminizer	ORM		samantha.emminizer@dc.gov	202-727-7768
Russell Gardner	HSEMA		russell.gardner@dc.gov	2) 481-3051
Erica Bright	OPC		ebright@opc-dc.gov	2) 727-3071



DISTRICT OF COLUMBIA GOVERNMENT
DC OFFICE OF RISK MANAGEMENT



Jed I. Ross
Chief Risk Officer

2nd Risk Council Meeting
Tuesday, February 11, 2020

Name	Agency	Signature	Email Address	Phone Number
Verene Philp ^{for} Beverly Fields	OCME	Verene Philp	verene.philp@dc.gov	202 698 9007
Gledion Goci	DMV		gledion.goci@dc.gov	202/ 729-7021
Kimberly Jones	DMPED	Kimberly Jones	Kimberly.jones@dc.gov	202 723-7516
Tamica Traugham	DCPS		tamica.traugham@dc.gov	202-32-9977
Matthew Johnson	DCPL		Matthew.johnson@dc.gov	202 478 1327
Carolyn Smallwood	DSLBD	Carolyn Smallwood	Carolyn.Smallwood@dc.gov	202/727-9000
LUCKY BARBIOM	ODAT (OS)		LUCKY.BARBIO@dc.gov	-
Shawn Nolen	OAH		Shawn.Nolen@dc.gov	442 9268



DISTRICT OF COLUMBIA GOVERNMENT
DC OFFICE OF RISK MANAGEMENT



Jed I. Ross
Chief Risk Officer

2nd Risk Council Meeting
Tuesday, February 11, 2020

Name	Agency	Signature	Email Address	Phone Number
Bob Johnson	Dmv		Robert.johnson5@dc.gov	2/729-7117
Mildred Anderson	DCHR		Mildred.Anderson@dc.gov	2/442-9606
Regina Gefer	DFS		regina.gefer@dc.gov	4/481-3418
Tania Williams	DCRA		Tania.Williams@dc.gov	2/478-9272
Brian Coz	MPD		—	645-7070
Rhoma Battle	SBOE		rhoma.battle@dc.gov	741-5842
Mark Cancelosi	DPW		Mark.Cancelosi@dc.gov	2/36939000
Sandra Petersen	BEGIA		Sandra.petersen@dc.gov	481-3409



DISTRICT OF COLUMBIA GOVERNMENT
DC OFFICE OF RISK MANAGEMENT



Jed I. Ross
Chief Risk Officer

2nd Risk Council Meeting
Tuesday, February 11, 2020

Name	Agency	Signature	Email Address	Phone Number
Rosalyn McKline	OCTO	R. McKline	Rosalyn.McKline@dc.gov	2/727-0002
Rita Poindexter	OP	R. Poindexter	Rita.poindexter	2/724-4777



DISTRICT OF COLUMBIA GOVERNMENT
DC OFFICE OF RISK MANAGEMENT



Jed I. Ross
Chief Risk Officer

2nd Risk Council Meeting
Tuesday, February 11, 2020

Name	Agency	Signature	Email Address	Phone Number
Cherry Belle	OPC	<i>Cherry Belle</i>	cbelle@opc-dc.gov	727-3071
Naomi Broady-Gillian	DHS	<i>Naomi Broady-Gillian</i>	naomi.gillan@dc.gov	671-4342
LEE Hagy	DHS	<i>LEE Hagy</i>	LEE.Hagy@dc.gov	2/671-4200
Susie McTadden	ODR	<i>Susie McTadden</i>	<i>Susie.McTadden@dc.gov</i>	2/481-3888



DISTRICT OF COLUMBIA GOVERNMENT
DC OFFICE OF RISK MANAGEMENT



Jed I. Ross
Chief Risk Officer

2nd Risk Council Meeting
Tuesday, February 11, 2020

Name	Agency	Signature	Email Address	Phone Number
Smya Williams CFSA			Smya.Williams2@dc.gov	202-727-7090



GOVERNMENT OF THE DISTRICT OF COLUMBIA
OFFICE OF RISK MANAGEMENT



Jed Ross
Chief Risk Officer

Risk Management Council
Meeting Agenda

Tuesday, June 30, 2020
1 p.m. to 3 p.m.

I. Introductions

II. District of Columbia Re-Opening Discussion

Lead by Mr. Dan Pham and Mr. Amir Farhangi from DCHR

- a. Access the Re-Opening Guide at: <http://returntowork.dc.gov>
- b. Questions and Discussion

III. Emergency Response Plans (ERPs)

- a. Transition to ERisk
- b. How to Guide
- c. FEMS Review
- d. Accessing ERisk

IV. Open Discussion



GOVERNMENT OF THE DISTRICT OF COLUMBIA
Office of Risk Management



Jed Ross
Chief Risk Officer

Risk Management Council Minutes – June 30, 2020

Dan Pham and Amir Farhangi (DCHR) joined the meeting to discuss the DCHR Return to Work Guide. The following topics were also discussed at today's meeting:

1. ERisk User Access Form – required to create ERisk user account.
2. ERisk User Non-disclosure Agreement – required to create an ERisk user account.
3. ERP User Guide.





GOVERNMENT OF THE DISTRICT OF COLUMBIA
OFFICE OF RISK MANAGEMENT



Jed Ross
Chief Risk Officer

Risk Management Council
Meeting Agenda

Wednesday, September 23, 2020
10 a.m. to 12 p.m.

I. Introductions

a. FEMS Office of the Fire Marshal, Lt. John Barnes and Inspector Byron Hoenig

- **ERP requirements**
 - **Site Maps**
 - **Floor Plans**
- **Outdated ERPs**
- **Denied ERPs**

b. BERT – Building Emergency Response Team

- **PowerPoint**

II. Open Discussion



GOVERNMENT OF THE DISTRICT OF COLUMBIA
Office of Risk Management



Jed Ross
Chief Risk Officer

Risk Management Council Minutes – September 23, 2020

Lieutenant Barnes and Inspector Hoenig joined the meeting to discuss Emergency Response Plans requirements. Items reviewed included:

1. FEMS Fire Code Review document
2. Fire Safety and Evacuations Checklists
3. SAMPLE Evacuation plan map
4. SAMPLE Maps and floor plans

Natalie Jones-Best (DDOT) provided a copy of their evacuation checklist, developed to supplement evacuation procedures while agencies are operating with reduced personnel.

Sonya Williams (CFSA) provided a Fire Prevention Bulletin which addresses fire drills during the COVID pandemic.

Susie McFadden -Resper (ODR) provided information on ADA Accommodations During the Public Health Emergency powerpoint, for those of you with questions about how to address reasonable accommodations while employees are teleworking.

