

1. Please provide, as an attachment to your answers, a current organizational chart for your agency with the number of vacant and filled FTEs marked in each box. Include the names of all senior personnel. Also include the effective date on the chart. For senior level positions, indicate the date that the position was filled.

**See Attachment #1**

2. Please provide, as an attachment, a Schedule A for your agency which identifies all employees by title/position, current salary, fringe benefits, and program office as of January 31. The Schedule A also should indicate all vacant positions in the agency. Please do not include Social Security numbers.

**See Attachment #2**

3. Please indicate for which of the following positions a vacancy currently exists. For each, please include the date the position became vacant and when the position was most recently filled: a) Executive Director, b) Chief Operating Officer, c) Chief Financial Officer, d) Chief Investment Officer, e) Chief Benefits Officer, f) Pension Administrator, g) Chief Procurement Officer (or senior ranking contract specialist), h) Chief Technology Officer (or Director of IT), i) Director of Human Resource, j) Director of Internal Audit, k) Director of Risk and Investment compliance, l) General Counsel, and m) Freedom of Information Act Officer.

	Title	Current Status	Date position became vacant	Date most recently filled
<b>a)</b>	Executive Director	Filled	3/26/2021	9/7/2021
<b>b)</b>	Chief Operating Officer*	TBD	10/1/2017	N/A
<b>c)</b>	Chief Financial Officer	Open	11/03/2023	11/02/2023
<b>d)</b>	Chief Investment Officer	Filled	7/30/21	10/11/2021
<b>e)</b>	Chief Benefits Officer	Filled	5/28/2021	10/10/2021
<b>f)</b>	Pension Administrator	Filled	Same position as above	Same position as above
<b>g)</b>	Chief Procurement Officer** (or senior ranking contract specialist)	N/A	10/07/2022	N/A
<b>h)</b>	Chief Technology Officer (or Director of IT)	Filled	4/17/2018	10/15/2019
<b>i)</b>	Director of Human Resources	Filled	5/22/2011	5/23/2011
<b>j)</b>	Director of Internal Audit	Filled	12/06/2019	01/17/2023
<b>k)</b>	Director of Risk and Investment Compliance***	TBD	8/31/2022	N/A
<b>l)</b>	General Counsel****	Filled	8/25/2023	8/28/2023
<b>m)</b>	Freedom of Information Act Officer	Filled	N/A	N/A

**\* As the result of internal assessment of agency operations in 2022, the District of Columbia Retirement Board (DCRB) determined that there is not a near-term need for a COO function.**

**\*\* As the result of departmental realignment in 2023, DCRB moved its procurement function into the agency's Finance department.**

**\*\*\*The agency is assessing the need for this role. Currently, investment compliance and risk-mitigation functions are performed by a specialist attorney in DCRB's Legal department.**

**\*\*\* This role is currently performed on an interim basis; DCRB anticipates filling the role on a permanent basis by mid-year 2024.**

4. Please list as of January 31 all employees detailed to or from your agency, if any, anytime this fiscal year. For each employee identified, please provide the name of the agency the employee is detailed to or from, the reason for the detail, the date the detail began, and the employee's actual or projected date of return.

**None.**

5. (a) For fiscal year 2023, please list each employee whose annual salary was \$125,000 or more. For each employee listed provide the name, position title, salary, and amount of any overtime and any bonus pay.

Name	Title	Salary	Overtime	Bonus
Balestrieri, Gianpiero	Executive Director	\$275,000	\$0	\$23,992
Musara, Munetsi	Chief Financial Officer	\$244,007	\$0	
Bowie, Emily	General Counsel (Interim)	\$236,000	\$0	
Sahm, Patrick	Chief Investment Officer	\$232,000	\$0	\$18,000
Hernandez, Daniel	Pension Administrator	\$231,501	\$0	\$12,000
King, Leslie Ann	Senior Counsel	\$224,039	\$0	\$10,000
van der Bunt, Samantha	Sr. Investment Funds & Compliance Counsel	\$224,000	\$0	
Gormley, Paul	Director Internal Audit	\$220,000	\$0	
Murthy, Ram	Director of Information Technology	\$208,711	\$0	\$12,000
Edwards, Jaininne	Deputy Chief Benefits Officer	\$198,500	\$0	\$12,000
Morgan, Lori P	Director of Finance	\$196,730	\$0	\$15,000
Valentine, Lawrence	Director of Human Resources	\$193,640	\$0	\$12,000
West, Leslie	Controller	\$192,000	\$0	
Smith, Lauren	Communications & PR Director	\$185,000	\$0	
Canlas, Jamia	Sr. Investments Strategist	\$175,000	\$0	\$12,000
Tolani, Deepak	Sr. Investments Strategist	\$175,000	\$0	\$12,000
Mobley, David	Financial Manager	\$162,000	\$0	
Burnett, Michaela	Sr. IT Specialist/Applications & Data Services	\$160,680	\$0	\$8,000
Thomas, Jacqueline	Manager Quality Compliance &	\$157,988	\$0	\$6,000
Treadwell, Sylvia	Retirement Services Manager	\$157,988	\$0	
Harley, Jacques	Sr. Budget Analyst	\$145,229	\$0	
Reaves, Deborah Vines	Business Operations Manager	\$142,997	\$0	\$5,000
O'Neal, Rhonda	Sr. Accountant	\$141,491	\$0	\$10,000
Andrade, Diego	Sr. IT Specialist/Systems & Network Services	\$139,996	\$0	\$8,000
Shafie, Farahnaz	Information Systems Security Officer	\$136,000	\$0	
Oliver, Jacqueline	Member Services Manager	\$134,930	\$0	\$6,000
Marshall, Tiffany	Sr. Budget Analyst	\$134,277	\$0	

Jackson Sr., Mark	IT Specialist/Systems & Network Services	\$129,840	\$0	
Gebremeskel, Wukyanos	IT Specialist/Applications & Data Services	\$129,355	\$0	
Carson, Rabinai	HR/Compliance Specialist	\$128,750	\$0	\$10,000
Romero, Anthony	Sr. IT Specialist/Program Management Services	\$128,750	\$0	

(b) For fiscal year 2024 (as of January 31), please list each employee whose salary is or was \$125,000 or more. For each employee listed provide the name, position title, salary, and amount of any overtime and any bonus pay as of the date of your response.

Name	Title	Salary	Overtime	Bonus
Balestrieri, Gianpiero	Executive Director	\$275,000	\$0	
Bowie, Emily	General Counsel (Interim)	\$243,080	\$0	
Sahm, Patrick	Chief Investment Officer	\$238,960	\$0	
Hernandez, Daniel	Pension Administrator	\$238,446	\$0	
van der Bunt, Samantha	Sr. Investment Funds & Compliance Counsel	\$224,000	\$0	
Gormley, Paul	Director Internal Audit	\$220,000	\$0	
Murthy, Ram	Director of Information Technology	\$214,972	\$0	
Edwards, Jaininne	Deputy Chief Benefits Officer	\$204,455	\$0	
Morgan, Lori	Director of Finance	\$202,632	\$0	
Valentine, Lawrence	Director of Human Resources	\$199,449	\$0	
West, Leslie	Controller	\$197,760	\$0	
Smith, Lauren	Communications & PR Director	\$190,550	\$0	
Canlas, Jamia	Sr. Investments Strategist	\$180,250	\$0	
Tolani, Deepak	Sr. Investments Strategist	\$180,250	\$0	
Robinson, Kate	Staff Attorney	\$169,000	\$0	
Mobley, David	Financial Manager	\$166,860	\$0	
Burnett, Michaela	Sr. IT Specialist/Applications & Data Services	\$165,500	\$0	
Thomas, Jacqueline	Manager Quality Compliance & Projects	\$162,728	\$0	
O'Neal, Rhonda	Sr. Accountant	\$145,736	\$0	
Harley, Jacques	Sr. Budget Analyst	\$145,229	\$0	
Andrade, Diego	Sr. IT Specialist/Systems & Network Services	\$144,196	\$0	
Reaves, Deborah	Business Operations Manager	\$142,997	\$0	
Shafie, Farahnaz	Information Systems Security Officer	\$140,080	\$0	
Oliver, Jacqueline	Member Services Manager	\$138,978	\$0	
Marshall, Tiffany	Sr. Budget Analyst	\$138,305	\$0	
Ashford, Pamela	Retirement Services Manager	\$135,960	\$0	
Jackson Sr., Mark	IT Specialist/Systems & Network Services	\$133,735	\$0	
Gebremeskel, Wukyanos	IT Specialist/Applications & Data Services	\$133,236	\$0	
Carson, Rabinai	HR/Compliance Specialist	\$132,613	\$0	
Romero, Anthony	Sr. IT Specialist/Program Mgmt. Services	\$132,613	\$0	

Zeinali, Matta	Accountant III	\$127,182	\$0
Puscas, Ovidiu	Contract Specialist	\$127,000	\$0

6. Please list, in descending order, the top 15 overtime earners in your agency for the fiscal year 2023. For each, state the employee's name, position or title, salary, and aggregate overtime pay.

**In FY2023, only 14 employees recorded overtime hours.**

Name	Title	Salary	Overtime
Murphy, Shaun	Member Services Representative	\$76,220	\$12,509
Lewis, Cornell	Records Management Specialist	\$68,959	\$12,054
Walker, Shaiasia	Lead Member Services Represent	\$73,130	\$8,042
Morgan, Dennis	Records Management Specialist	\$68,959	\$5,762
Hurt, Vanessa	Member Services Representative	\$73,130	\$1,486
Pierce, Shelly*	Member Services Representative	\$57,289	\$865
Meagher, Dylan	Project Analyst	\$63,840	\$805
Carroll-Adams, Tamika	Member Services Representative	\$61,800	\$736
Thomas, Jacqueline E.**	Manager, Quality & Compliance	\$162,728	\$517
Williams, Jacara N.	Administrative Assistant	\$53,560	\$502
LaPrade, India N.	Retirement Analyst	\$78,507	\$495
Perez- Mendez, Max	Member Services Representative	\$61,800	\$208
Hall, Kimbra	Retirement Analyst	\$88,580	\$151
McSears, Denice	Operations Administrator	\$68,959	\$145

**\*PS record transferred; Last reported pay at DCRB**

**\*\*Correction pending.**

7. (a) For fiscal years 2022, 2023, and 2024 (as of January 31), please provide a list of employee bonuses or special award pay granted that identifies the employee receiving the bonus or special pay, the amount received, and the reason for the bonus or special pay.

**Fiscal Year 2022**

**All bonuses listed were based on FY22 performance.**

Employee	FY22 Bonus	Employee	FY22 Bonus
Andrade, Diego	\$5,000	McSears, Denice	\$3,000
Ashford, Pamela	\$5,000	Morgan, Dennis	\$1,500

Burnett, Michaela	\$2,500	Morgan, Lori	\$12,000
Carson, Rabinai	\$5,000	Morgan, Ricardo	\$5,000
Dentz, Morgan	\$2,500	Murthy, Ram	\$10,000
Gebremeskel, Wukyanos	\$2,000	Musara, Munetsi	\$15,000
Greene, Joyce	\$2,500	Nichols, Ortencia	\$2,500
Harris, Johniece	\$3,000	Oliver, Jacqueline	\$5,000
Hernandez, Daniel	\$10,000	O'Neal, Rhonda	\$5,000
Jackson, Mark	\$2,500	Reaves, Deborah	\$3,000
John, Thomas	\$1,500	Rivers, Bonnie	\$5,000
Johnson, Bridgette	\$1,500	Romero, Anthony	\$4,000
Jordan Richardson, Lisa	\$1,500	Ross, Anita	\$1,500
King, Leslie	\$15,000	Sahm, Patrick	\$12,000
Kusi, Augustine	\$3,000	Thomas, Jacqueline	\$5,000
LaPrade, India	\$1,500	Thomas, Lovely	\$1,500
Lewis, Cornell	\$1,500	Treadwell, Sylvia	\$5,000
Marshmon, Giovanni	\$1,500	Valentine, Vernon	\$12,000
Maynard, Paul	\$1,500	Zeinali, Matta	\$3,000

**Fiscal Year 2023**

**All bonuses listed were based on FY23 performance.**

<b>Employee</b>	<b>FY23 Bonus</b>	<b>Employee</b>	<b>FY23 Bonus</b>
Ashford, Pamela	\$9,000	Morgan, Dennis	\$2,700
Bridgers, Nina	\$2,500	Morgan, Lori P.	\$15,000
Canlas, Jamia	\$12,000	Morgan, Ricardo	\$7,500
Carson, Rabinai	\$10,000	Murthy, Ram	\$12,000
Diego Andrade	\$8,000	Oliver, Jacqueline	\$6,000
Edwards, Jaininne	\$12,000	O'Neal, Rhonda	\$10,000
Greene, Joyce	\$7,500	Oyundelger, Bolor	\$5,000
Harris, Johniece	\$5,000	Reaves, Deborah	\$5,000
Hernandez, Daniel	\$12,000	Rivers, Bonnie	\$7,500
John, Thomas	\$5,400	Sahm, Patrick	\$18,000
King, Leslie	\$10,000	Thomas, Jacqueline	\$6,000
Lewis, Cornell	\$2,700	Tolani, Deepak	\$12,000
McSears, Denice	\$5,000	Valentine, Vernon	\$12,000
Meagher, Dylan T.	\$5,000	Walker Jr., Albert J.	\$7,500
Meredith, Matthew	\$7,500	Zeinali, Matta	\$5,000
Michaela Burnett	\$8,000		

**Fiscal Year 2024 (as of January 31, 2024)**

**No bonuses or special pay have been paid to employees in FY24.**

(b) For fiscal years 2022, 2023, and 2024 (as of January 31), please provide a list of employees receiving administrative allowance. What is an “administrative allowance”? What is the basis for this?

**An additional income allowance (“AIA”) is an authorized amount or rate of additional compensation paid to an employee who occupies a position determined by the Chair of the Board or the Executive Director of DCRB, to have a significant recruitment and retention problem. An AIA allowance may not exceed 15% of the maximum rate payable for the DCRB established grade of the position in question. Authority for this program is covered under Section 1-711 (k) of the District of Columbia Official Code that allows for creation of independent classification and compensation policies in fiscal year 2022, 2023 and 2024.**

(c) Do any employees have a deferred compensation plan? Who? What is the legal authority for this? For fiscal years 2022, 2023, and 2024, did any employee receive a deferred compensation plan payment? For each receiving this, is any further, future payment to be made?

**No current DCRB employee has a special deferred compensation plan. (Most DCRB employees participate in the District’s 457(b) deferred compensation plan.)**

8. For fiscal years 2023 and 2024 (as of January 31), please list each employee separated from the agency with separation pay. State the amount and number of weeks of pay. Also, for each, state the reason for the separation.

**DCRB did not make any separation payments during this period.**

9. For fiscal years 2022 2023, and 2024 (as of January 31), please state the total number of employees receiving worker’s compensation payments.

**DCRB did not have any employees receiving workers’ compensation payments during this period.**

10. Please provide the name of each employee who was or is on administrative leave in fiscal years 2023 and 2024 (as of January 31). In addition, for each employee identified, please provide: (1) their position; (2) a brief description of the reason they were placed on leave; (3) the dates they were/are on administrative leave; (4) whether the leave was/is paid or unpaid; and (5) their current status (as of January 31, 2024).

**DCRB did not have any employees on administrative leave in fiscal years 2023 or 2024.**

11. For fiscal years 2023 and 2024 (as of January 31), please list, in chronological order, all intra-District transfers to or from the agency. Give the date, amount, and reason for the transfer.

**DCRB does not make intra-district transfers because DCRB expenditures are not captured in DIFS. As an independent agency, DCRB has a separate system of record. DCRB sends funds to other District agencies via wire transfers in lieu of an intra-district transfer. The list below details the wire transfers between DCRB and District agencies.**

<b>FY 2023</b>					
<b>Agency</b>	<b>Date</b>	<b>Reason</b>	<b>Amount</b>	<b>Paid/Received</b>	
DC Office of the Chief Technology Officer	12/20/2022	Migrate and host DCRB IT infrastructure to OCTO	\$239,137.00	Paid	
DC Office of the Chief Technology Officer	4/19/2023	IT Applications & Data Services	\$240,760.00	Paid	
DC Office of Finance and Resource Mgmt	4/11/2023	AT&T charges	\$1,971.20	Paid	
DC Office of Finance and Resource Mgmt	4/11/2023	AT&T charges	\$1,487.05	Paid	
DC Office of Finance and Resource Mgmt	7/12/2023	AT&T charges	\$1,653.22	Paid	
DC Office of Finance and Resource Mgmt	7/12/2023	AT&T charges	\$1,257.38	Paid	
DC Department of Human Resources	2/8/2023	HR Services	\$57,626.00	Paid	
District of Columbia Agencies - DCNET	9/30/2023	OCTO-DCNET Voice Srvs Maintenance	\$93,192.13	Paid	
<b>FY 2024 (as of January 31)</b>					
<b>Agency</b>	<b>Date</b>	<b>Reason</b>	<b>Amount</b>	<b>Paid/Received</b>	
DC Office of the Chief Technology Officer	12/21/2023	Website Re-design and Launch	\$30,000.00	Paid	
DC Department of Human Resources	11/20/2023	HR Services	\$52,980.00	Paid	
DC Office of Finance and Resource Mgmt	1/17/2024	AT&T charges	\$11,910.51	Paid	
DC Office of Finance and Resource Mgmt	1/17/2024	AT&T charges	\$9,058.69	Paid	

12. Please list, in chronological order, every reprogramming of funds into or out of the agency for fiscal years 2022, 2023, and 2024 (as of January 31). Include a “bottom line” that explains the revised final budget for your agency. For each reprogramming, list the reprogramming number (if submitted to the Council for approval), the date, the amount, and the rationale.

**DCRB did not have reprogramming of funds into or out of the agency for fiscal years 2022, 2023 or 2024.**

13. Please list, in chronological order, every reprogramming within your agency during fiscal year 2024 to date. Also, include both known and anticipated interagency reprogramming. For each, give the date, amount, and rationale.

**There are no reprogrammings within DCRB in FY2024 to date.**

14. For fiscal years 2023 and 2024 (as of January 31), please identify each special purpose revenue fund maintained by, used by, or available for use by your agency. For each fund identified,

provide: (1) the revenue source name and code; (2) the source of funding; (3) a description of the programs that generates the funds; (4) the amount of funds generated annually by each source or program; and (5) expenditures of funds, including the purpose of each expenditure. For (4) and (5) give starting and ending balances. You may wish to present this information first as a list (for numbers 1-5) and then as separate tables for numbers 4 and 5.

**In the fiscal years 2023 and 2024, DCRB did not maintain any special purpose revenue funds.**

15. Please provide a table showing your agency’s Council-approved original budget, revised budget (after reprogrammings, etc.) for fiscal years 2022, 2023, and the first quarter of 2024. In addition, please explain the variances between fiscal year appropriations and actual expenditures for fiscal years 2022 and 2023.

Dept/Program Activity		FY 2022				FY 2023				Actual Expenditures (thru Dec. 31, 2023)	
		Budget	Revised Budget	Actual Expenditures	Variance	Budget	Revised Budget	Actual Expenditures	Variance	Budget	Actual Expenditures
		FY 2022	FY 2022	FY 2022	FY 2022	FY 2023	FY 2023	FY 2023	FY 2023	FY 2024	FY 2024
3001	EXECUTIVE	1,906	2,376	2,035	341	2,936	3,610	2,804	806	2,971	359
3002	INVESTMENTS	20,313	20,774	21,982	(1,208)	4,600	4,371	2,564	1,807	4,318	404
3003	TRUSTEES	1,134	1,384	973	411	1,130	1,130	340	790	557	27
3004	LEGAL & COMPLIANCE	3,102	2,849	1,724	1,125	3,038	3,103	1,698	1,405	3,513	406
3005	BENEFITS	4,435	4,571	3,309	1,262	7,977	7,899	3,706	4,193	6,969	914
3006	OPERATIONS / FINANCE	5,411	5,972	3,305	2,667	8,689	8,869	5,664	3,205	8,720	1,269
3007	INFORMATION TECHNOLOGY	12,602	10,977	4,929	6,048	14,409	13,797	5,664	8,133	12,643	407
<b>TOTAL</b>		<b>44,099</b>	<b>48,903</b>	<b>38,257</b>	<b>5,842</b>	<b>42,779</b>	<b>42,779</b>	<b>22,440</b>	<b>20,339</b>	<b>39,691</b>	<b>3,786</b>

**FY2022: Information Technology (IT) budgeted \$5.5M for BAPS project that was delayed. These funds were reallocated to Investments to cover the cost of increased investment fees.**

**FY2023: DCRB had a surplus in PS of \$5.1M due to vacancies, reduction in benefits and bonus pay. DCRB had a surplus in NPS of \$15.2M. This surplus included \$9.0M in Contractual Services for uncommitted spending for new systems and STAR Reimbursement of \$6.3M and \$2.7M for projects that were initiated at year-end and will be continued in FY24. The NPS surplus also included \$1M for Legal Services due to a decrease in the need for legal consultants as well as \$2M in Professional Service Fees due to projects that were initiated at year-end and will be continued in FY24. Investments had a surplus of \$1M in Investment Consultant fees due to a reduction in Consultant Services. There is also a surplus of \$1M in equipment/rental and \$1.2M for the decreased need for travel, supplies, training, and other services and charges.**

16. Please list all memoranda of understanding (MOU) either entered into by your agency or in effect during fiscal years 2023 and 2024 (as of January 31). For each, describe its purpose, indicate the date entered, and provide the actual or anticipated termination date.



<b>FY2023</b>				
<b>Agency</b>	<b>Purpose</b>	<b>Start Date</b>	<b>End Date</b>	
OCTO DC-NET	Wide Area Network (WAN), phone and call center solutions including VPN access, voice, desktop and web conferencing services	10/1/2022	9/30/2023	
U.S. Dept. of Treasury, Office of DC Pensions (ODCP)	Payment of administrative expenses related to administration of Federal and District benefit payments	10/1/2022	9/30/2023	
U.S. Department of the Treasury, Office of D.C. Pensions (ODCP)	Interconnection and enabling access to systems between ODCP and DCRB	1/14/2020	1/13/2023	
OCTO	IT Applications & Data Services	10/1/2022	9/30/2023	
DCHR	Staffing support across a range of HR areas (recruiting, retirement, training and benefits)	10/1/2022	9/30/2023	
<b>FY2024 (as of January 31)</b>				
<b>Agency</b>	<b>Purpose</b>	<b>Start Date</b>	<b>End Date</b>	
OCTO	To provide services to redesign, develop and launch new website	10/1/2023	9/30/2024	
DCHR	Staffing support across a range of HR areas (recruiting, retirement, training and benefits)	10/1/2023	9/30/2024	
U.S. Dept. of Treasury, Office of DC Pensions (ODCP)	Payment of administrative expenses related to administration of Federal and District benefit payments	10/1/2023	9/30/2024	

17. D.C. Law requires the Mayor and the Chief Financial Officer to submit to the Council, simultaneously with a proposed budget submission, actual copies of all agency budget enhancements requests, such as the “Form B” for all District agencies (See D.C. Code § 47-318.05a). In order to help the Committee understand agency needs, and the cost of those needs for your agency, please provide, as an attachment to your answers, all budget enhancement requests submitted by your agency to the Mayor or Chief Financial Officer as part of the budget process for fiscal years 2022, 2023, and 2024.

**DCRB did not submit budget enhancements for fiscal years 2022, 2023, or 2024.**

18. Please list all currently open capital projects for your agency (as of January 31st) including those projects that are managed or overseen by another agency or entity. Include a brief description of each, the total estimated cost, expenditures to date, the start and completion dates, and the current status of the project. Also, indicate which projects are experiencing delays and which require additional funding.

**DCRB has no open capital projects.**

19. Please list all pending lawsuits that name your agency (including the Board) as a party, for that your agency (or the Board) is defending. Please identify which cases on the list are lawsuits that potentially expose the city to significant liability in terms of money and/or change in practices. The Committee is not asking for your judgment as to the city's liability; rather, we are asking about the extent of the claim. For those claims identified, please include an explanation about the issues for each case.

**There are two civil actions pending against the District of Columbia Retirement Board that do not expose the city to significant liability in terms of money and/or change in practices:**

*Pearson v. District of Columbia Retirement Board et al.*, No. 1:23-cv-03597 (D.D.C. 2023): DCRB, along with Mayor Bowser and Chairman Mendelson, are named as defendants in a lawsuit seeking damages arising out of DCRB's alleged interference with a member's enrollment in a Medicare Advantage plan. The Plaintiff filed the Complaint *pro se*. DCRB has not been properly served with the Complaint and is not involved in its member's Medicare health plan enrollments.

*Erie Sampson v. District of Columbia Retirement Board et al.*, No. 2021 CA 004942B (D.C. Super. Ct. 2021), No. 22-CV-0385 (D.C. Ct. App. 2022). This civil lawsuit poses no potential significant liability to the city in terms of money or change in practices. This action involves a current employee's whistleblower and negligence claims against the defendants. DCRB's motion to dismiss the complaint was granted. Plaintiff has appealed dismissal of her whistleblower claims only. Plaintiff's appeal has been fully briefed and argued and is pending a decision with the D.C. Court of Appeals.

**There is one civil action pending against the District of Columbia and DCRB through which a purported class seeks significant monetary damages:**

*Clark v. District of Columbia et al.*, Case No. 1:23-cv-01564-RDM (D.D.C. 2023). A purported class of lateral EMT/firefighters sued the District of Columbia challenging the allegedly discriminatory nature of the legislation that allowed these employees to transfer their service history from their former District retirement plans to the D.C. Police Officers and Firefighters' Retirement Plan when their former EMS agency merged with the D.C. Fire & EMS Department in 2006. Most of the claims are asserted against the District, but the Plaintiffs assert one fiduciary breach count against DCRB and its Board alleging that the Board should have lobbied for more favorable legislation that would have benefited the purported class and afforded the purported class members a more favorable retirement calculation for their prior District service history. The purported class allegedly seeks at least \$100,000,000 in damages.

20. (a) Please list and describe any investigations, studies, audits, or reports on your agency or any employee of your agency that were completed at any time in fiscal years 2023 or 2024 (as of January 31).

<b>Name:</b>	<b>Purpose:</b>	<b>Completed:</b>
<b>Actuarial Valuations as of October 1, 2022, for Fiscal Year 2024 Employer Contributions</b>	<b>To fulfill the requirements of D.C. Code § 1-907.02(a) and (c), 1-907.03(a) and 1.907.04.</b>	<b>12/2022</b>
<b>Actuarial Valuations as of October 1, 2023, for Fiscal Year 2024 Employer Contributions</b>	<b>To fulfill the requirements of D.C. Code § 1-907.02(a) and (c), 1-907.03(a) and 1.907.04.</b>	<b>12/2023</b>
<b>Audited Financial Statements for Fiscal Year 2022</b>	<b>To express an opinion on the FY 2022 financial statements and to obtain reasonable assurance on whether the financial statements are free of material misstatements.</b>	<b>12/2022</b>
<b>Audited Financial Statements for Fiscal Year 2023</b>	<b>To express an opinion on the FY 2023 financial statements and to obtain reasonable assurance on whether the financial statements are free of material misstatements.</b>	<b>12/2023</b>

(b) Please list and describe any ongoing investigations, audits, or reports of your agency or any employee of your agency.

**None.**

21. How many grievances have been filed by employees or labor unions against agency management? Please list each of them by year for fiscal years 2022, 2023, and 2024 (as of January 31). Give a brief description of each grievance, and the outcome as of January 31, 2023. Include on the chronological list any earlier grievance that is still pending in any judicial forum.

**In fiscal years 2023 and 2024, no grievances were filed against agency management. In fiscal year 2022, a grievance was filed by an employee challenging her enforced paid administrative leave and eventual removal for misconduct. The OEA overturned the initial decision of a Hearing Officer affirming DCRB's action. DCRB appealed the OEA's decision on appeal to the D.C. Superior Court (D.C. Super. Ct. No. 2023-CAB-005159). DCRB's appeal is now pending.**

22. (a) Please describe the agency's procedures for investigating allegations of sexual harassment committed by or against its employees.

**DCRB adheres to the process for investigating allegations of sexual harassment in Mayor's Order 2023-131. Generally, employees are to report allegations of sexual harassment to a supervisor, DCRB/HR, or DCRB's designated Sexual Harassment Officer. Employees also have the right to report allegations of sexual harassment directly to the DC Office of Human Rights. Upon receiving an allegation, DCRB/HR (or the Sexual Harassment Officer) will listen confidentially to the facts of the allegation. If warranted, confidential interviews may be conducted with the involved parties, with findings presented to senior management, to achieve an appropriate resolution and agency response.**

- (b) If different, please describe the agency's procedures for investigating allegations of misconduct.

**Allegations of misconduct are directed to DCRB/HR. Upon receiving an allegation, DCRB/HR (or appropriate parties) will listen confidentially to the facts of the allegation. If warranted, confidential interviews may be conducted with the involved parties, with findings presented to senior management, to achieve an appropriate resolution and agency response.**

- (c) List chronologically and describe each allegation of sexual harassment and misconduct received by the agency in FY 2023 and FY 2024 (as of January 31) and the resolution of each as of the date of your answer.

**DCRB received no allegations of sexual harassment or misconduct in fiscal years 2023 or 2024.**

23. In table format, please list the following for fiscal years 2023 and 2024 (as of January 31, 2024) regarding the agency's use of SmartPay (credit) cards for agency purchases: (1) individuals (by name and title/position) authorized to use the cards; (2) purchase limits (per person, per day, etc.); and (3) total spent (by person and for the agency).

<u>Employee/Title</u>	<u>Fiscal Year Expense</u>	
	<u>2023</u>	<u>2024 (thru January 31)</u>
<b>Procurement Card</b>		
Diego Andrade/Senior IT Specialist	9,091	-
Nina Bridgers/Administrative Specialist	27,380	2,213
Rabinai Carson/HR Compliance Specialist	10,078	2,327
Shaquja Clark/Administrative Assistant II	263	812
Wukyanos Gebremeskel/Database Admin.	11,855	2,649
Joyce Greene/Investment Office Administrator	5,023	1,301
Johniece Harris/Administrative Specialist	13,853	2,344
Denice McSears/ Administrative Coordinator	37,738	17,466
Bonnie Rivers/Financial Specialist	6,195	1,962
Jacara Williams/Benefits Assistant	51	-
<b>Procurement Card Total</b>	<b>\$ 121,528</b>	<b>\$ 31,074</b>
<b>Travel Card</b>		
Nina Bridgers/Administrative Specialist	21,950	496
Joyce Greene/Investment Office Administrator	6,879	5,844
Johniece Harris/Administrative Specialist	9,871	-
Bonnie Rivers/Financial Assistant	1,571	-
Jacara Williams/Benefits Assistant	1,076	-
<b>Travel Card Total</b>	<b>\$ 41,348</b>	<b>\$ 6,340</b>
<b>Grand Total</b>	<b>\$ 162,875</b>	<b>\$ 37,413</b>

**DCRB's daily aggregate purchasing limit is \$5,000.**

24. Please provide a list of all procurements for goods or services for use by your agency over \$25,000 for fiscal years 2023 and 2024 (as of January 31). Give a brief explanation of each, including the name of the contractor, purpose of the contract, and the total dollar amount of the contract. Exclude from this answer purchase card (SmartPay) purchases.

**FY2023**

<b>Vendor Name</b>	<b>Description</b>	<b>Contract \$ Amount</b>
Abel Noser Solutions LLC	Investment Consulting Services	118,800
Bolton Partners, Inc.	Actuarial Services	124,181
CAPITOL DOCUMENT SOLUTIONS LLC	Photocopier supplies, office supplies & services	39,166
CEM Benchmarking Inc.	Investment Consulting Services	82,000
Colmore, Inc.	Investment Fee Validation	255,938
Crowe LLP	Accounting Services	130,930
Diligent Corporation	Software licenses, Maintenance & Support	39,401
DOCUMENT SYSTEMS INC	IT Software Maintenance	25,612
EVESTMENT ALLIANCE, LLC	Investment Consulting Services	30,000
Frederick Funston dba Funston Advisory Services LLC	DCRB Fiduciary Audit	147,332
Globalscape, Inc.	IT Software Maintenance	33,850
GROOM LAW GROUP, CHARTERED	Legal Professional Services	102,525
Hamilton Business Interiors, LLC	Equipment & Furniture	27,339
MEKETA INVESTMENT GROUP	Investment Consulting Services	828,000
Metropolitan Life Insurance Company	STD/LTD insurance agreement	58,563
Midtown Personnel, Inc. dba The Midtown Group	Temporary Staffing Services	106,614
MORGAN, LEWIS & BOCKIUS, LLP	Legal Professional Services	729,967
Networking for Future, Inc. dba NFF, Inc.	IT Software Maintenance	25,130
RSM US LLP	IT Software Maintenance	88,939
The Seaprompt Corporation	IT Software Maintenance	55,093
vTech Solutions Inc.	Temporary Staffing Services	90,777
WASHINGTON METRO AREA TRANSIT AUTHORITY	Local transit for employee benefits	57,070
WatsonRice LLP (formerly BCA Watson Rice LLP)	Financial Audit Services	144,642

**FY2024 (thru January 31, 2024)**

<b>Vendor Name</b>	<b>Description</b>	<b>Contract \$ Amount</b>
Abel Noser Solutions LLC	Investment Consulting Services	99,000
Bolton Partners, Inc.	Actuarial Services	165,000
CAPITOL DOCUMENT SOLUTIONS LLC	Photocopier supplies, office supplies & services	41,385
CEM Benchmarking Inc.	Investment Consulting Services	44,000
Colmore, Inc.	Investment Fee Validation	153,563
Crowe LLP	Accounting Services	56,500
Diligent Corporation	Software licenses, Maintenance & Support	62,000
Formost Advanced Creations, LLC	Graphics (Postcards & Newsletters), printing services	85,795
GROOM LAW GROUP, CHARTERED	Legal Professional Services	550,000
IRON MOUNTAIN	Document Storage/Removal Services	85,409
MEKETA INVESTMENT GROUP, Inc.	Investment Consulting Services	850,500
Metropolitan Life Insurance Company	STD/LTD insurance agreement	65,556
Midtown Personnel, Inc. dba The Midtown Group	Temporary Staffing Services	80,000
MORGAN, LEWIS & BOCKIUS, LLP	Legal Professional Services	200,000
RSM US LLP	IT Software Maintenance	135,144
Supretech, Inc.	IT Computer/Equipment purchase	76,044
vTech Solution Inc.	Temporary Staffing Services	53,000
WASHINGTON METRO AREA TRANSIT AUTHORITY	Local transit for employee benefits	139,224

25. (a) Please describe how your agency manages and limits its mobile, voice, and data costs, including cellular phones and mobile devices.

**DCRB manages its data costs of issued iPhones, iPads and laptops by engaging in enterprise contracts with two carriers that offer data plans with discounted government pricing negotiated by the District. DCRB also leverages the OCTO data plan offered by the carrier with discounted government pricing for issued cellular phones.**

(b) In table format (if the answer is more than 20 lines as an attachment), please provide the following information for fiscal years 2023 and 2024 (as of January 31), regarding your agency's use of cellular phones and mobile devices: (1) individuals (by name and title/position) authorized to carry and use such devices; (2) total annual expense (FY) for each individual's use; and (3) justification for such use (per person). If the list is more than 20 individuals, group the answer by program, giving the total number of FTEs for that program as well as the number of cellular phones and mobile devices.

Name	FY 2023 (As of 9/30/2023)			FY 2024 (as of 01/31/2024)			Justification
	Total Number of Filled FTEs	Number of Mobile Devices	\$ Amount	Total Number of Filled FTEs	Number of Mobile Devices	\$ Amount	
Trustees	0	11	5,603	0	11	1,979	Board communication
Benefits	29	1	453	33	1	165	Improve productivity
Executive	9	5	2,929	9	6	1,064	
Finance	11	4	2,444	11	5	950	
HR	2	2	1,162	2	2	381	
Investment	6	1	784	6	2	320	
IT	8	8	5,026	8	10	1,956	
Legal	3	3	1,200	3	4	684	

26. (a) Does your agency have or use one or more government vehicles? If so, for fiscal years 2023 and 2024 (as of January 31), please list any vehicle the agency owns, leases, or has assigned to it. You may group the vehicles by category (e.g., 15 sedans, 33 pick-up trucks, three transport buses, etc.); and (b) what employee discipline resulted, if any.

**None.**

(b) Please list all vehicle accidents involving your agency's vehicles for fiscal years 2022, 2023, and 2024 (as of January 31). Provide: (1) a brief description of each accident; (2) the type of vehicle involved; (3) the name and title/position of the driver involved; (4) the

justification for using such vehicle; and (5) whether there was a finding of fault and, if so, who was determined to be at fault.

**None.**

27. Please list every lawsuit against the agency that was settled or decided by a trial court in FY 2023 and FY 2024 to date. Briefly describe each and the sanction, if any.

**In FY 2023 and FY 2024 to date, DCRB did not have any lawsuits decided by a trial court. In FY2023, DCRB reached a settlement agreement to settle an attorney fee claim in *Gerry Scott v. District of Columbia Retirement Board*, No. 2021 CVA 004206B (D.C. Super. Ct. 2021).**

28. D.C. Law requires the Mayor to pay certain settlements and judgements from agency operating budgets if the settlement is less than \$10,000 or results from an incident within the last two years (see D.C. Code § 2-402(a)(3)). Please itemize each charge-back to your agency in fiscal year 2022, 2023, 2024 (as of January 31) for a settlement or judgment pursuant to D.C. Code § 2-402.

**None.**

29. (a) D.C. Law prohibits chauffeurs, take-home vehicles, and the use of SUVs (see D.C. Code §§ 50-203 and 50-204). Is your agency in compliance with this law?

**Yes.**

(b) Please explain all exceptions, if any, and provide the following: (1) type of vehicle (make, model, year); (2) individuals (name/position) authorized to use the vehicle; (3) jurisdictional residence of the individual (e.g., Bowie, MD); and (4) justification for the chauffer or take-home status.

**None.**

30. In table format, please provide the following information for fiscal years 2023 and 2024 (as of January 31) regarding your agency's authorization of employee travel: (1) each trip outside the region on official business or agency expense; (2) individuals (by name and title/position) who traveled outside the region; (3) total expense for each trip (per person, per trip, etc.); (4) what agency or entity paid for the trips; and (5) justification for the travel (per person and trip).



FY 2023					
Employee Name	Title	\$ Amount	Agency	Justification	
Boone	Nathaniel	Lead Investment Analyst	\$277	DCRB	Advent International 2023 Limited Partners meeting
Bowie	Emily	Interim General Counsel	\$2,514	DCRB	NAPPA 2023 Winter seminar
Bowie	Emily	Interim General Counsel	\$2,844	DCRB	NAPPA 2023 Summer seminar
Canlas	Jamia	Sr. Investment Strategist	\$1,236	DCRB	BlackRock, Turning Rock and Silver Rock Due Diligence meetings
Canlas	Jamia	Sr. Investment Strategist	\$642	DCRB	One Rock Due Diligence meeting
Canlas	Jamia	Sr. Investment Strategist	\$899	DCRB	NCPERS Legislative conference
Canlas	Jamia	Sr. Investment Strategist	\$1,638	DCRB	ILPA Private Credit for the LP Comprehensive Course
Canlas	Jamia	Sr. Investment Strategist	\$1,106	DCRB	Centerbridge 2023 annual meeting and LPAC
Canlas	Jamia	Sr. Investment Strategist	\$673	DCRB	Due Diligence on Silver Point Capital
Canlas	Jamia	Sr. Investment Strategist	\$468	DCRB	Due Diligence on Altaris Healthcare Partners
Dentz	Morgan	Investment Analyst	\$1,929	DCRB	Annual conferences for EnCap, Ara Partners, and LimeRock
Edwards	Jainne	Deputy Chief Benefits Officer	\$2,787	DCRB	PRISM Conference 2023
Jackson	Mark	IT Specialist	\$2,948	DCRB	2023 PRISM conference
Musara	Munetsi	Chief Financial Officer	\$2,791	DCRB	2023 GFOA Annual Conference
Oyundelger	Bolor	Investment Analyst	\$694	DCRB	2022 Clearhaven Partners Annual LP meeting
Oyundelger	Bolor	Investment Analyst	\$3,485	DCRB	ILPA Training
Oyundelger	Bolor	Investment Analyst	\$732	DCRB	DivcoWest Due Diligence meeting
Oyundelger	Bolor	Investment Analyst	\$820	DCRB	Northern Trust Passport Training/Harrison Street Advisors meeting
Sahm	Patrick	Chief Investment Officer	\$312	DCRB	Rockwood Limited Partners Advisory Board meeting
Sahm	Patrick	Chief Investment Officer	\$2,132	DCRB	Ara Partners, EnCap, and Limerock annual conference
Sahm	Patrick	Chief Investment Officer	\$549	DCRB	One Rock Due Diligence meeting
Sahm	Patrick	Chief Investment Officer	\$2,312	DCRB	2023 ILPA Legal Documents Training
Sahm	Patrick	Chief Investment Officer	\$1,151	DCRB	2023 Annual Investor Meeting - Grain Mgmt.
Sahm	Patrick	Chief Investment Officer	\$3,252	DCRB	Due Diligence with various Investment Mgrs.
Sahm	Patrick	Chief Investment Officer	\$1,460	DCRB	Kelso 2023 Annual Investor meeting and LPAC
Sahm	Patrick	Chief Investment Officer	\$491	DCRB	Due Diligence on Altaris Healthcare Partners
Tolani	Deepak	Sr. Investment Strategist	\$959	DCRB	IFM GIF Annual General meeting
Tolani	Deepak	Sr. Investment Strategist	\$845	DCRB	2022 Clearhaven Partners Annual LP meeting
Tolani	Deepak	Sr. Investment Strategist	\$1,038	DCRB	Grain Management 2023 Annual Investor meeting
Tolani	Deepak	Sr. Investment Strategist	\$1,179	DCRB	2023 Quantum annual meeting and to meet with Houston based managers Ara/Encap
Tolani	Deepak	Sr. Investment Strategist	\$2,124	DCRB	ILPA PE Co-Investing course and meeting w/Channing Capital and LSV Asset Mgmt.
Van Der Bunt	Samantha	Sr. InvestmentFunds & Compliance Counsel	\$3,125	DCRB	NAPPA 2023 Summer conference
Van Der Bunt	Samantha	Sr. InvestmentFunds & Compliance Counsel	\$3,017	DCRB	ILPA Institute Legal Documents course
Van Der Bunt	Samantha	Sr. InvestmentFunds & Compliance Counsel	\$1,091	DCRB	ILPA Private Equity Co-Investing for the Limited Partner
Van Der Bunt	Samantha	Sr. InvestmentFunds & Compliance Counsel	\$1,792	DCRB	2023 Morgan Lewis & Bockius Annual Private Fund Investors Roundtable meeting
FY 2024 (as of January 31,2024)					
Employee Name	Title	\$ Amount	Agency	Justification	
Boone	Nathaniel	Lead Investment Analyst	\$582	DCRB	Tiger Infrastructure Partners 2023 Annual Investor meeting
Boone	Nathaniel	Lead Investment Analyst	\$1,132	DCRB	Advent International 2023 Limited Partners meeting
Boone	Nathaniel	Lead Investment Analyst	\$784	DCRB	One Rock Capital Partners and Avance Investment Management annual meetings
Canlas	Jamia	Sr. Investment Strategist	\$1,522	DCRB	Private Credit Forum, Turning Rock, SVP Annual and LPAC meetings
Canlas	Jamia	Sr. Investment Strategist	\$848	DCRB	Due Diligence meeting with JLC Capital and Fortress Investment Group
Oyundelger	Bolor	Investment Analyst	\$1,940	DCRB	DivcoCore Capital Summit meeting
Sahm	Patrick	Chief Investment Officer	\$962	DCRB	Tiger Infrastructure Partners 2023 Annual Investor meeting
Sahm	Patrick	Chief Investment Officer	\$341	DCRB	Centerbridge Capital Partners LPAC meeting and ML Private Fund Investors roundtable
Sahm	Patrick	Chief Investment Officer	\$526	DCRB	GEM Realty Capital 2023 Annual Investor meeting
Sahm	Patrick	Chief Investment Officer	\$757	DCRB	One Rock Capital Partners and Avance Investment Management
Tolani	Deepak	Sr. Investment Strategist	\$1,522	DCRB	DivcoCore Capital Summit meeting
Tolani	Deepak	Sr. Investment Strategist	\$795	DCRB	Due Diligence meeting with Centerbridge Partners for Fund V
Van Der Bunt	Samantha	Sr. InvestmentFunds & Compliance Counsel	\$1,311	DCRB	2023 MLB Annual Private Fund Investors Roundtable meeting

31. Please provide and itemize, as of January 31, 2024, the current number of When Actually Employed (WAE), term, and contract personnel within your agency. If your agency employs WAE or term personnel, please provide, in table format, the name of each employee, position title, the length of his or her term or contract, the date on which he or she first started with your agency, and the date on which his or her current term expires.

**As of January 31, 2024, there are no WAE, term or contract personnel within DCRB.**

32. What efforts has your agency made in the past year to increase transparency? Explain
- (1) In collaboration with the Office of the Chief Technology Officer (OCTO), DCRB provided active police officers, firefighters and teachers with their annual Statement of Estimated Benefits leveraging the PeopleSoft self-service online Portal this past summer. The statements contained important information regarding accrued and projected retirement benefits, the employee's vested status, and the full value of their contributions to the retirement plan as of July 1, 2022. The statement included two benefit estimates:**

- the projected monthly retirement benefit assuming the employee retired voluntarily; and**
- the deferred retirement benefit, in the event the vested employee separated from service prior to attaining eligibility for voluntary retirement.**

**In addition, the annual statement now provides plan participants with an estimate of the total value of their benefit over a 25-year period.**

- (2) In the fall of 2023, DCRB published the revised summary plan descriptions for active and retirement police officers, firefighters and teachers. The summary plan descriptions were updated to be more user friendly and expand on various topics that were of particular interest to participants and stakeholders. The booklets are available online and in hard copy.**
- (3) DCRB and the Office of DC Pensions, a division within the U.S. Treasury Department, expanded the annuitant self-service program by allowing members to view and retrieve their year-end 1099Rs tax forms. This new feature will be available beginning in February 2024.**
- (4) Finally, to further increase the ability for members to report certain life events to DCRB, the agency expanded its online presence by launching a feature that affords the members the ability to submit online forms and communications to the agency using a secured portal. Members are now able to submit their inquiries and forms (i.e., W4P, health enrollment forms, and change of address information) using the online portal.**

33. What efforts will your agency be making to increase transparency? Explain

**The DCRB Benefits Department will continue to review the various methods of communication to plan participants, beneficiaries, and other parties. We are continuing our goal of allowing members to submit forms and documents to us in a secure and electronic manner. We continue to receive documents by secure fax and U.S. Mail, but for immediate inquiries to DCRB, we continue to expand the use of on-line forms. Last year, we started planning for a DCRB website refresh. The goal of the new website design is to enhance the member experience by launching a more user-friendly interface for easier access to top DCRB topics.**

34. Please identify any legislative requirements that your agency lacks sufficient resources to properly implement. Explain.

**None.**

35. Please identify any statutory or regulatory impediments to your agency's operations.

**None.**

36. Please provide, for each fiscal year 2022, 2023, and 2024 (as of January 31), Board member stipend payments for each member. What is the current cap? Is the cap calculated on a fiscal year or calendar year basis?

<b>Trustee Name</b>	<b>FY 2022</b>	<b>FY 2023</b>	<b>FY2024 (thru Jan 31)</b>
Adam Weers	14,921	14,969	2,930
Christopher Finelli	7,651	13,226	-
Danny Clarke Gregg	14,427	14,969	3,564
Geoffrey P. Grambo	8,641	8,320	2,257
Greggory Pemberton	14,921	14,652	2,930
Joseph Bress	10,849	11,246	-
Joseph Clark	14,845	14,256	3,643
Lyle Blanchard	11,267	14,161	1,742
Mary Collins	14,998	14,296	2,376
Nathan Saunders	14,998	14,969	-
Tracy Harris	14,921	14,969	4,000
<b>Total</b>	<b>\$ 142,439</b>	<b>\$ 150,033</b>	<b>\$ 23,443</b>

**The current cap for board members is \$15,000 and the current cap for the Chairman is \$25,000. The cap is calculated on a fiscal year basis.**

37. Did your agency receive any FOIA requests in fiscal year 2023? If yes, did the agency file a report of FOIA disclosure activities with the Secretary of the District of Columbia? If available,

please provide a copy of that report as an attachment. Also state here the total cost incurred by your agency for each fiscal year 2022, 2023, and 2024 (as of January 31) related to FOIA.

**Yes, DCRB received 25 FOIA requests in FY 2023, and it filed an Annual FOIA Report for FY 2023 (see Attachment #3). DCRB does not track the costs incurred in responding to FOIA requests, but it estimates that staff has devoted approximately 145 hours of time between FY 2021, 2022, and 2023 (40 hours per year in 2021 and 2022, and 65 hours in 2023) responding to FOIA requests.**

38. For CBE agency compliance purposes, what is your agency’s current adjusted expendable budget; how much has been spent with SBEs; and what percent of your agency’s expendable budget was spent with SBEs? Further, where SBEs were not available, how much has been spent with CBEs, and what percent of CBE spending, relative to your current expendable budget? How many CBE waivers (including dollar amount) did the agency submit? What efforts has the agency taken to reduce the number of CBE waivers submitted? What is the CBE spending goal for your agency per the DSLDB SBE Opportunities Guide (Green book)? Give this answer for fiscal years 2022, 2023 and 2024 (as of January 31).

Fiscal Year	Adjusted Expendable Budget	Annual CBE Spend	% of CBE Spending relative to Adjusted Expendable Budget	What is the CBE spending goal for your agency per the DSLDB SBE Opportunities Guide (Green book)?	How many CBE Waivers (including dollar amount) did the agency submit?	What efforts has the agency taken to reduce the number of CBE waivers submitted?
FY 2022	\$ 300,192	\$ 144,587	48.2%	\$ 2,494,550	0	N/A
FY 2023	\$ 9,988,208	\$ 114,642	1.1%	\$ 5,679,375	0	N/A
FY 2024 (as of January 31, 2024)	\$ 12,071,196	\$ 41,589	0.3%	\$ 6,035,598	0	N/A

39. Please provide, as an attachment, a copy of your agency’s current annual performance plan as submitted to the Office of the City Administrator.

**DCRB, as an independent agency, does not submit an annual performance plan to the Office of the City Administrator.**

40. (a) What are your agency’s key performance indicators and what has been your agency’s performance (for each of these KPIs) in fiscal year (or calendar year) 2022, 2023, and 2024 (through the first quarter).

**DCRB, as an independent agency, does not submit an annual performance plan to the Office of the City Administrator.**

(b) What KPIs have been dropped (or changed) since 2023? List each specifically and explain why it was dropped or changed.

**DCRB, as an independent agency, does not submit an annual performance plan to the Office of the City Administrator.**

41. (a) Each year DCRB undergoes an independent compensation financial audit. For each fiscal year 2022 to the present, list verbatim each of the material weaknesses or significant deficiencies found by the auditor.

**DCRB did not undergo an independent compensation financial audit for fiscal year 2022 to present.**

42. Please provide a copy of any forensic audit report(s) conducted by DCRB since 2022.

**None.**

43. What is the annual salary currently paid to the Executive Director? Please detail any additional compensation such as benefits, deferred compensation, bonus, or administrative allowance.

**The annual salary of DCRB's Executive Director is \$275,000. He receives no additional compensation and participates in the same District benefits program as other eligible District and DCRB employees. Based on his first-year performance, as assessed by DCRB's Board of Trustees, he was awarded a 10% bonus (\$23,992) in March 2023.**

44. What are your top five priorities for the agency? Please provide a detailed explanation for how the agency expects to achieve or work toward these priorities in fiscal years 2023 and 2024.

**DCRB's top five goals involve continuing to improve and refine its Benefits Administration processes:**

- (1) We are constantly improving benefits administration capabilities to ensure that benefits are paid to our members accurately and timely. DCRB continues to collaborate with our partner agencies, namely MPD, FEMS and DCPS to increase the quality of data provided to DCRB. As a result, retirement packages are now automated through the electronic transfer of information to DCRB, wherever possible.**
- (2) DCRB is pleased to report that we are currently working with the Certified Public Manager Program and the partner agencies to harmonize the data collection process. The overarching goal of the project is to standardize and digitize the retirement application and improve on the data needed to place a member onto the pension payroll.**
- (3) As mentioned earlier in this testimony, we will continue to provide active participants with annual estimated benefit statements that will serve as a tool to assist police**

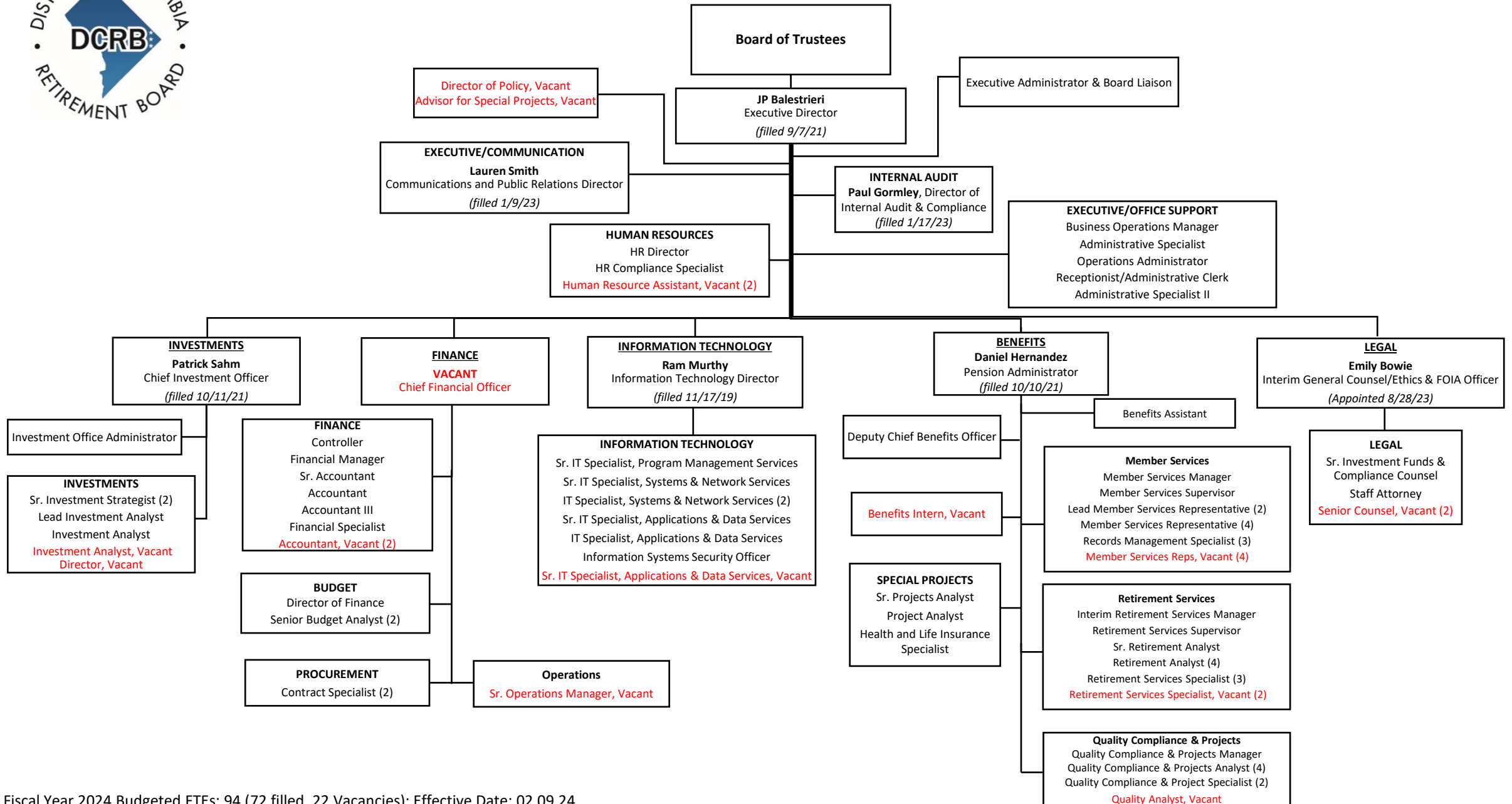
**officers, firefighters, and teachers with planning for their retirement. We will continue to leverage the PeopleSoft self-service portal as a vehicle to distribute information to members. We will continue our goal to improve on this annual statement every year by listening to member feedback and further refine these statements as necessary.**

- (4) DCRB will continue to build upon member resources and tools. Last year, DCRB launched additional website calculators for members to use. The calculators we completed last year, such as the Military Service and Redeposit Purchase of Service calculators, allow members to estimate the cost of purchasing service without the need to contact DCRB. New calculators, such as the Outside Teaching Service and Lateral Law Enforcement Services calculators, are being developed for the upcoming fiscal year.**
- (5) To further increase the ability to report certain life events for members, DCRB is working with both DCRB IT and OCTO to add more on-line secure member submission services to our Member Services Center and improve our website.**



# The District of Columbia Retirement Board (DCRB)

ATTACHMENT #1



**Attachment #2**  
**DCRB Schedule A as of January 31, 2024**

<b>Program Title</b>	<b>Title</b>	<b>Vacant Filled</b>	<b>Salary</b>	<b>Benefits</b>
Executive	Administrative Assistant II	F	61,800	16,068
Executive	Administrative Specialist	F	74,263	19,308
Executive	Advisor Ops & Special Project	V	208,004	54,081
Executive	Business Operations Manager	F	142,997	37,179
Executive	Communications & PR Director	F	190,550	49,543
Executive	Director Internal Audit	F	220,000	57,200
Executive	Director of Policy & Program	V	185,577	48,250
Executive	Executive Administrator and Board Liason	F	105,060	27,316
Executive	Executive Director	F	275,000	71,500
Executive	Operations Administrator	F	68,959	17,929
Executive	Receptionist/Admin Clerk	F	49,440	12,854
Investments	Chief Investment Officer	F	238,960	62,130
Investments	Director Risk and Investment	V	177,077	46,040
Investments	Investment Analyst	F	100,425	26,111
Investments	Investment Office Administrator	F	94,420	24,549
Investments	Lead Investment Analyst	F	103,000	26,780
Investments	Lead Investment Analyst	V	97,968	25,472
Investments	Sr. Investment Strategist	F	180,250	46,865
Investments	Sr. Investment Strategist	F	180,250	46,865
Benefits	Administrative Assistant	F	74,160	19,282
Benefits	Analyst QC&P	F	104,030	27,048
Benefits	Analyst QC&P	F	111,869	29,086
Benefits	Analyst Quality Cpl & Proj	F	106,198	27,611
Benefits	Benefits Systems Manager	V	177,077	46,040
Benefits	Deputy Chief Benefits Officer	F	204,455	53,158
Benefits	IT Specialist	V	130,403	33,905
Benefits	Lead Member Services Representative	F	73,130	19,014
Benefits	Lead Member Services Representative	F	73,130	19,014
Benefits	Lead Retirement Analyst	V	97,968	25,472
Benefits	Manager Quality Compliance & Proj	F	162,728	42,309
Benefits	Member Services Manager	F	138,978	36,134
Benefits	Member Services Representative	F	61,800	16,068
Benefits	Member Services Representative	F	61,800	16,068
Benefits	Member Services Representative	F	61,800	16,068
Benefits	Member Services Representative	F	61,800	16,068
Benefits	Member Services Representative	V	49,646	12,908
Benefits	Member Services Supervisor	F	110,000	28,600
Benefits	Pension Administrator	F	238,446	61,996
Benefits	Pension Risk & Compliance Programs	V	112,531	29,258
Benefits	Project Analyst	F	63,840	16,598
Benefits	Quality Analyst	V	97,968	25,472
Benefits	Quality Compliance & Projects	F	104,030	27,048
Benefits	Quality Compliance & Projects	F	109,667	28,513
Benefits	Records Management Specialist	F	76,385	19,860
Benefits	Records Management Specialist	F	68,959	17,929
Benefits	Records Management Specialist	F	68,959	17,929
Benefits	Retirement Analyst	F	80,340	20,888
Benefits	Retirement Analyst	F	94,760	24,638
Benefits	Retirement Analyst	F	78,507	20,412
Benefits	Retirement Analyst	F	83,585	21,732
Benefits	Retirement Analyst	F	76,220	19,817
Benefits	Retirement Services Manager	F	135,960	35,350
Benefits	Retirement Services Supervisor	F	116,699	30,342
Benefits	Retirement Specialist	F	74,675	19,416



**Attachment #2**  
**DCRB Schedule A as of January 31, 2024**

<b>Program Title</b>	<b>Title</b>	<b>Vacant Filled</b>	<b>Salary</b>	<b>Benefits</b>
Benefits	Retirement Specialist	F	76,385	19,860
Benefits	Retirement Specialist	F	74,675	19,416
Benefits	Senior Communications Strategist	V	130,403	33,905
Benefits	Senior Project Analyst	V	97,968	25,472
Benefits	Senior Retirement Analyst	F	88,580	23,031
Benefits	Senior Retirement Analyst	V	85,391	22,202
Benefits	Specialist Quality Compliance	F	107,889	28,051
Legal Services	General Counsel	F	243,080	63,201
Legal Services	Senior Counsel	V	206,000	53,560
Legal Services	Senior Counsel	V	177,077	46,040
Legal Services	Senior Investment Funds & Comp	F	224,000	58,240
Legal Services	Staff Attorney	F	169,000	43,940
Ops/Finance	Accountant	F	96,065	24,977
Ops/Finance	Accountant	V	125,819	32,713
Ops/Finance	Accountant III	F	127,182	33,067
Ops/Finance	Chief Financial Officer	V	185,577	48,250
Ops/Finance	Contract Specialist	F	127,000	33,020
Ops/Finance	Contract Specialist	F	123,600	32,136
Ops/Finance	Controller	F	197,760	51,418
Ops/Finance	Director of Finance	F	202,632	52,684
Ops/Finance	Director of Human Resources	F	199,449	51,857
Ops/Finance	Financial Manager	F	166,860	43,384
Ops/Finance	Financial Specialist	F	96,823	25,174
Ops/Finance	HR Compliance Specialist	F	132,613	34,479
Ops/Finance	HR Specialist	V	97,850	25,441
Ops/Finance	HR Specialist	V	93,267	24,249
Ops/Finance	Senior Accountant	F	145,736	37,891
Ops/Finance	Senior Budget Analyst	F	145,229	37,760
Ops/Finance	Senior Budget Analyst	F	138,305	35,959
Ops/Finance	Sr. Accountant - GL	V	125,819	32,713
Ops/Finance	Sr. Operations Manager	V	150,000	39,000
Info Tech	Director of Information Technology	F	214,972	55,893
Info Tech	Info Systems Security Officer	F	140,080	36,421
Info Tech	IT Specialist Applications & Data Services	F	133,236	34,641
Info Tech	IT Specialist Systems & Network	F	133,735	34,771
Info Tech	IT Specialist Systems & Network	F	101,846	26,480
Info Tech	Senior IT Specialist Applications	F	165,500	43,030
Info Tech	Senior IT Specialist Applications	V	130,403	33,905
Info Tech	Senior IT Specialist Program	F	132,613	34,479
Info Tech	Senior IT Specialist Systems	F	144,196	37,491

**Agency Name**

DC Retirement Board (DCRB)

**Annual Freedom of Information Act Report for Fiscal Year 2023  
October 1, 2022 through September 30, 2023**

**FOIA Officer Reporting** Emily Baver Bowie, Interim General Counsel

**PROCESSING OF FOIA REQUESTS**

1. Number of FOIA requests received during reporting period .....	25
2. Number of FOIA requests pending on October 1, 2022.....	3
3. Number of FOIA requests pending on September 30, 2023.....	1
4. The average number of days unfilled requests have been pending before each public body as of September 30, 2023.....	21

**DISPOSITION OF FOIA REQUESTS**

5. Number of requests granted, in whole.....	6
6. Number of requests granted, in part, denied, in part.....	11
7. Number of requests denied, in whole.....	8
8. Number of requests withdrawn.....	0
9. Number of requests referred or forwarded to other public bodies.....	0
10. Other disposition .....	2

**NUMBER OF REQUESTS THAT RELIED UPON EACH FOIA EXEMPTION**

11. Exemption 1 - D.C. Official Code § 2-534(a)(1).....	14
12. Exemption 2 - D.C. Official Code § 2-534(a)(2).....	2
13. Exemption 3 - D.C. Official Code § 2-534(a)(3)	
Subcategory (A).....	0
Subcategory (B).....	0
Subcategory (C) .....	0
Subcategory (D) .....	0
Subcategory (E) .....	0
Subcategory (F) .....	0
14. Exemption 4 - D.C. Official Code § 2-534(a)(4) .....	1
15. Exemption 5 - D.C. Official Code § 2-534(a)(5).....	0

16. Exemption 6 - D.C. Official Code § 2-534(a)(6)	
Subcategory (A).....	0
Subcategory (B).....	6
17. Exemption 7 - D.C. Official Code § 2-534(a)(7).....	0
18. Exemption 8 - D.C. Official Code § 2-534(a)(8).....	0
19. Exemption 9 - D.C. Official Code § 2-534(a)(9).....	0
20. Exemption 10 - D.C. Official Code § 2-534(a)(10).....	0
21. Exemption 11 - D.C. Official Code § 2-534(a)(11).....	0
22. Exemption 12 - D.C. Official Code § 2-534(a)(12).....	0

**TIME-FRAMES FOR PROCESSING FOIA REQUESTS**

23. Number of FOIA requests processed within 15 days.....	13
24. Number of FOIA requests processed between 16 and 25 days.....	7
25. Number of FOIA requests processed in 26 days or more.....	7
26. Median number of days to process FOIA Requests.....	18

**RESOURCES ALLOCATED TO PROCESSING FOIA REQUESTS**

27. Number of staff hours devoted to processing FOIA requests.....	65
28. Total dollar amount expended by public body for processing FOIA requests.....	Unknown

**FEEES FOR PROCESSING FOIA REQUESTS**

29. Total amount of fees collected by public body.....	0
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**PROSECUTIONS PURSUANT TO SECTION 207(d) OF THE D.C. FOIA**

30. Number of employees found guilty of a misdemeanor for arbitrarily or capriciously violating any provision of the District of Columbia Freedom of Information Act.....	0
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**QUALITATIVE DESCRIPTION OR SUMMARY STATEMENT**

Pursuant to section 208(a)(9) of the D.C. FOIA, provide in the space below or as an attachment, “[a] qualitative description or summary statement, and conclusions drawn from the data regarding compliance [with the provisions of the Act].”

The majority of FOIA requests DCRB receives pertain to investment matters and related materials. To ensure full transparency and facilitate responding to these requests, DCRB posts publicly available information regarding its investment activity and fund performance on its website.